1 FACIAL SURGERY 2 REVIEW ARTICLE

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4 Facial Aesthetic Ideals: A Literature Summary of Supporting Evidence

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1 ABSTRACT

Background: The challenge of objectively defining the parameters of beauty dates back
 centuries. As aesthetic physicians and plastic surgeons, our responsibility is to enhance the

4 natural beauty of our patients' faces, whether it is for aesthetic enhancement or reconstructive

5 purposes. To achieve this goal, it is crucial for us to have a deep understanding of the

6 aesthetic ideals that we strive to achieve. While numerous aesthetic criteria have been

7 proposed over the years, there is a lack of empirical analysis supporting many of these

8 standards.9

10 **Objectives**: This literature review represents the first exploration of the empirical evidence 11 concerning the aesthetic ideals of the face in the existing literature.

12

13 Methods: A comprehensive search in MEDLINE, EMBASE, SCOPUS and CENTRAL

14 databases was conducted for primary clinical studies reporting on the classification of the

15 facial aesthetic units as per Gonzales-Ulloa facial aesthetic unit Classification from January

16 1962 to November 2022.

17

18 **Results**: A total of 35 articles were included in the final review. There were 12 case series, 13

19 cohort studies, and 10 comparative studies. We identified 6 studies that described the

20 aesthetic ideals of the forehead with a mean level of evidence of 3.33. We identified 9 studies

21 that described the aesthetic ideals of the nose with a mean level of evidence of 3.6. We

identified 6 studies that described the aesthetic ideals of the orbit with a mean level of

evidence of 3. We identified 4 studies that described the aesthetic ideals of the cheek with a

24 mean level of evidence of 4.07. We identified 6 studies that described the aesthetic ideals of

the lips with a mean level of evidence of 3.33. We identified 4 studies that described the aesthetic ideals of the chin with a mean level of evidence of 3.75. We identified 1 study tha

- aesthetic ideals of the chin with a mean level of evidence of 3.75. We identified 1 study thatdescribed the aesthetic ideals of the ear with a level of evidence of 4.
- 28 described the aesthetic ideals of the ea

29 **Conclusions:** The units that were most extensively studied were the nose, forehead, and lip

30 units, and they also had a relatively higher impact factor than other subunits. Conversely, the

chin and ear subunits had the fewest studies conducted on them and had a relatively lower
 impact factor. In order to provide a useful resource for readers, we believe it would be

impact factor. In order to provide a useful resource for readers, we
 prudent to identify and discuss influential papers for each subunit

1 The recognition of facial beauty is a reflexive and universal phenomenon that occurs

- instantaneously. Our ability to instinctively identify and appreciate facial beauty without
 consciously unravelling the underlying cognitive processes or reasoning behind it remains a
- consciously unravelling the underlying cognitive processes or reasoning behind it remains a
 mystery.¹ Throughout history, artists, mathematicians, and surgeons have dedicated
- 4 mystery. Throughout history, artists, mathematicians, and surgeons have dedicated
- 5 themselves to studying this phenomenon in an effort to unravel the secrets behind facial 6 beauty recognition and its defining factors. The challenge of objectively defining the
- 7 parameters of beauty dates back centuries, with ancient Greece providing clear
- 8 documentation of its significance. The Greeks firmly believed that beauty was a result of
- 9 ideal proportions. Aristotle, famously described beauty as "a sense of harmonious or
- 10 aesthetically pleasing proportionality." It was during this flourishing period of Greek
- 11 philosophy around 500 BC that various arithmetic principles emerged, such as the 1:1 "unity"
- ratio, the division of the face into thirds, and the concept of the golden ratio. These principles
- 13 aimed to provide insights into the foundations of facial beauty.^{2, 3}
- 14

15 During the Renaissance era, the Euclidean concept of the golden ratio was further developed

- 16 into the notion of the "Divine proportion" by Luca Pacioli and Leonardo da Vinci.⁴⁻⁶ Their
- 17 work explored the applications of the golden ratio in geometry, architecture, and the natural
- 18 world, including the human face. This fusion of mathematics, art, and geometry led to the
- 19 formulation of early canons of facial aesthetics. In this period of experimentation, various
- facial indices were introduced, such as the classical facial index, the Bruges facial index, and the Vitruvian proportions in the lower face.^{2, 7, 8} Even in contemporary aesthetics, the golden
- ratio continues to inspire research in the field of facial aesthetics.⁹ One prominent advocate of
- the golden ratio, Marquardt, conducted cross-cultural surveys on beauty, both in modern and
- historical contexts.¹⁰ His research led him to conclude that beauty is a result of the golden
- 25 ratio, which remains consistent across genders, races, and cultures. Based on this belief,
- 26 Marquardt developed the controversial "Marquardt mask" as a means to determine optimal
- 27 beauty. The mask is derived from the application of the "golden decagon matrix," which is
- created by applying the golden ratio to human faces.¹⁰ However, similar to previous attempts
- 29 at defining beauty, this "one mask fits all" approach has also proven inadequate in accurately
- 30 predicting or modelling facial aesthetics.^{11, 12}
- 31

In the twentieth century, the field of facial anthropometry saw significant advancements
 thanks to the contributions of surgeons like Seghers, Farkas, and Ricketts.¹³⁻¹⁶ Their research

34 involved conducting direct measurements of facial features in both attractive and unattractive

- 35 individuals, aiming to compare and establish standard values for attractive facial features.
- Their findings challenged the notion that aesthetic ideals were solely based on the golden
- 37 ratio. They emphasized that there are multiple components contributing to facial
- 37 ratio. They emphasized that there are multiple components contributing to racial attractiveness beyond mere proportions. Their work revealed that facial beauty arises from
- 39 the interplay of various factors, including symmetry, averageness, ogee curves (S-shaped
- 40 curves), measurements of individual subunit features, and overall proportions.¹⁷ A face that
- 41 harmoniously incorporates these elements adheres to a global standard of beauty, which has
- 42 been observed to be consistent across different ethnicities and cultures.
- 43 As aesthetic physicians and plastic surgeons, our responsibility is to enhance the natural
- 44 beauty of our patients' faces, whether it is for aesthetic enhancement or reconstructive
- 45 purposes. To achieve this goal, it is crucial for us to have a deep understanding of the
- 46 aesthetic ideals that we strive to achieve. While numerous aesthetic criteria have been
- 47 proposed over the years, there is a lack of empirical analysis supporting many of these
- 48 standards. Therefore, it is essential to have a comprehensive and concise comprehension of
- 49 the quantitative evidence related to aesthetic standards. This knowledge will enable
- 50 practitioners to optimize their outcomes and introduce objectivity into our field. To the best

- 1 of our knowledge, this literature review represents the first exploration of the empirical
- 2 evidence concerning the aesthetic ideals of the face in the existing literature.
- 3

4 METHODS

5 Search Strategies

- 6 A comprehensive, systematic literature search of published articles was conducted according
- 7 to the Preferred Reporting Items for Systematic Reviews (PRISMA) guidelines.¹⁸ The search
- 8 collected articles published from January 1962 until November 2022.
- 9 The literature search was performed using MEDLINE (National Institutes of Health,
- 10 Bethesda, MD), Embase (Elsevier, Amsterdam, the Netherlands), SCOPUS (Elsevier,
- 11 Amsterdam, the Netherlands), and CENTRAL (Wiley, Hoboken, NJ) databases. The
- 12 keywords used in the search were selected from key papers; two search strings were created
- 13 and combined using the Boolean term 'AND'. Additionally, a MeSH term search was also
- 14 conducted. Forwards and backwards citation searching, as well as grey literature was checked
- 15 to identify further articles. A search was carried out for each of the aesthetic subunits as per
- 16 Gonzales-Ulloa facial aesthetic unit Classification.¹⁹
- 17 **String 1:** "Face" OR "Forehead" OR "Nose" OR "Eyelid" OR "Cheek" OR "Upper lip" OR
- 18 "Lower lip" OR "Chin" OR "Ear" AND "Aesthetic" OR "beauty"
- 19 String 2: "Classification" OR "Analysis" OR "Measurement" OR "Anthropometry" OR
- 20 "Ideal*"

21 Inclusion criteria

- Original research publications including randomised controlled trials, cohort studies,
 case-control studies and case series which reported on the aesthetic classification of at
 least 1 facial unit.
- 25 2. Human female subjects
- 26

27 Exclusion criteria

- 28
 29
 1. Studies reporting on the measurement of facial units without providing aesthetic ideals.
- 30 2. Studies with only male subjects
- 31 3. Review articles
- 32 4. Conference abstracts without full text
- 33 5. Case reports
- 34 6. Non-English studies

35 Outcome measures

- 36 The primary outcome measure was the presentation of data related to aesthetic classification
- 37 of facial subunits.
- 38

39 Study selection and data management

1 Study selection was conducted in a two-stage process. Titles and abstracts were initially 2 screened by two reviewers (RF and PS) for potential eligibility, after excluding duplicate 3 records. Next, studies identified as relevant underwent full-text review by both reviewers. 4 Any discrepancies between the reviewers were resolved by discussion or referral to a third 5 reviewer (AP). The data from all full-text articles accepted for the final analysis were independently retrieved by RF and PS using a standardized data extraction form. Any 6 7 discrepancies between the reviewers were resolved by discussion or referral to AP. All data 8 was then reviewed by AP. The search results, including abstracts, full-text articles and 9 records of reviewers' decisions, including reasons for exclusion, were recorded in Endnote 10 X8 (Clarivate Analytics, USA).

11 The extracted data includes details on study characteristics, number of patients, classification 12 used, methodology, study objectives, outcomes, ideals listed. Data were extracted from the 13 studies as presented.

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- 15

16 **RESULTS**

17 Literature search results

18 We found 2773 articles in the MEDLINE database search. 2739 articles in the EMBASE database search, 1760 in the SCOPUS database search and 172 in the CENTRAL database 19 20 search. References from these three searches were combined, and after removing the duplicates, 4640 articles were available for title and abstract reviewing. Of these, 4546 21 articles did not meet the inclusion criteria and were excluded. Following full-text review of 22 23 the remaining 94 articles, 68 articles were excluded as the inclusion criteria were not met. A 24 secondary search of the reference list revealed an additional 10 articles. A total of 36 articles 25 were included in the final review and formed the basis of this systematic review (Figure 1). 26 Details of the included studies were summarized in Supplemental Table 1. There were 12 27 case series, 14 cohort studies, and 10 comparative studies. The mean level of evidence of the 28 studies included in this review was 3.29. 29

30 Forehead

31 We identified 6 studies that described the aesthetic ideals of the forehead. The mean level of 32 evidence for this unit is 3.33. The mean impact factor of the journals that these studies were 33 published in is 4.09.

34

35 Nose

36 We identified 9 studies that described the aesthetic ideals of the nose. The mean level of 37 evidence for this unit was 3.33. The mean impact factor of the journals that these studies were 38 published in is 3.60.

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41 **Orbit**

We identified 6 studies that describe the aesthetic ideals of the peri-orbital unit. The mean
level of evidence for this unit was 3. The mean impact factor of the journals that these studies
were published in is 1.82.

- 45
- 46 Malar

1 We identified 4 studies that described the aesthetic ideals of the malar unit. The mean level of 2 evidence for this unit was 3.25. The mean impact factor of the journals that these studies

2 evidence for this up 3 published in is 4.07.

4

5 Lip

6 We identified 6 studies that described the aesthetic ideals of the lip unit. The mean level of 7 evidence for this unit was 3.33. The mean impact factor of the journals that these studies were 8 published in is 3.40.

10 **Chin**

9

11 We identified 4 studies that described the aesthetic ideals of the chin unit. The mean level of 12 evidence for this unit was 3.75. The mean impact factor of the journals that these studies were 13 published in is 1.95.

14

15 Ear

16 We identified 1 study that described the aesthetic ideals of the ear unit. The level of evidence

- 17 for this study was 4. The impact factor of the journal that this study was published in is 2.08.
- 10 19

20 **DISCUSSION**

21 The purpose of conducting this literature review was to gather and analyse the existing evidence regarding the aesthetic standards of different facial subunits. It is not surprising that 22 23 some subunits received more attention from researchers compared to others. The subunits 24 that were most extensively studied were the nose, forehead, and lip units, and they also had a 25 relatively higher impact factor than other subunits. Conversely, the chin and ear subunits had 26 the fewest studies conducted on them and had a relatively lower impact factor. Interestingly, 27 despite having a large number of studies conducted on it, the peri-orbital area had the lowest average impact factor. Notably, the majority of studies on the peri-orbital area were 28 29 conducted in east Asia, whereas studies on other facial units were predominantly carried out 30 in western regions; emphasising the cultural significance attached to certain facial subunits. 31 Meta-analysing all the studies in a quantifiable way presents a challenge due to significant 32 heterogeneity in the methodology of the papers and the various measurements used in 33 defining aesthetic standards. This heterogeneity includes the use of different metrics, 34 proportions, degrees, coordinates, and descriptions. Furthermore, authors utilised various 35 methods to compare attractive and less attractive faces, including evaluating fashion models, 36 grouping faces into attractive and unattractive categories by non-medical study participants, 37 having professional make-up artists rank attractiveness, and the authors themselves ranking 38 attractiveness.

As a result, it becomes challenging to combine the results of different studies into a single, quantifiable analysis. Our comprehensive search yielded numerous aesthetic classifications for each facial subunit. In order to provide a useful resource for readers, we believe it would be prudent to identify and discuss influential papers for each subunit. By doing so, readers can refer to these papers as a reference point for further investigation and analysis.

44

45 Forehead

The forehead aesthetic unit can be subdivided into three subunits: the central forehead, lateral
 forehead (temple) and brow (Figure 2).^{19, 55}

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- 49
- 50

1 Eyebrows

Most of the studies that investigated the aesthetic standards of the forehead focused on defining the standards for the eyebrow. The aesthetics of the eyebrow have evolved over the years, with fashion trends playing a significant role in shaping the standards. One of the earliest definitions of a modern aesthetic ideal for the brow was provided by Westmore (Figure 2a).⁵⁶ However, it is important to note that this definition did not provide any numerical guidelines for the brow. Instead, it was based solely on Westmore's aesthetic ideals, without any empirical evidence to support his suggestion.

9 Gunter and Antrobus conducted a study to explore the relevance of eyebrow shape in facial 10 aesthetics. In their study, the authors compared the difference in brow shape between a group

10 aesthetics. In their study, the authors compared the difference in brow shape between a group 11 of 'attractive' models from fashion magazines and a second group of patients from their

- 12 practice who were consulted for facial rejuvenation procedures (many of which underwent
- brow lifts).²⁰ They suggested that when evaluating eyebrow aesthetics, it is important to consider the entire periorbital area, especially the eyelids. By doing so, one can better
- 15 understand the impact of the brow shape on the overall appearance of the eyes and 16 surrounding areas.
- 17 Another important consideration in evaluating eyebrow aesthetics is the patient's facial shape.
- 18 It has been suggested that although the Westmore brow is considered the most aesthetically
- 19 appealing in an oval face shape, this may not necessarily be the case for individuals with
- 20 more round, square, or long face.²¹ Therefore, it is important for practitioners to consider the
- patient's individual facial features and characteristics when determining the most appropriate
 brow shape and aesthetic ideal.
- 22 bi 23

24 Forehead Length

The ideal vertical height of the forehead is also an important consideration in facial 25 anthropometry and aesthetics. Studies have shown that elongation of the forehead can 26 negatively impact facial attractiveness.²² Several studies have suggested that the ideal 27 forehead height (measured from brow to hairline) in females should be between 5-6cm.^{15, 24,} 28 29 ⁵⁷ These studies determined the ideal height based on the concept of averageness, where anthropometric measurements of forehead length were obtained from a population of 30 31 'average' individuals. In addition to metric measurements for forehead length, there are several ideal proportions to consider when assessing facial aesthetics. One of the most widely 32 33 used canons over the centuries has been the division of the face into horizontal thirds. 34 Leonardo da Vinci described the canon of equal thirds, which states that the following three 35 measurements should be equal: the upper third (trichion to glabella), the middle third 36 (glabella to subnasale), and the lower third (subnasale to menton)⁵⁸ (Figure 2b). Studies have 37 shown that attractive females generally meet the criteria of these proportions in terms of their facial parameters.58 38

39 40

41 Forehead Inclination

42 Forehead inclination is a measure of the lateral contour of the forehead, defined as the angle 43 between the line from the trichion to the glabella when the face is placed on the Frankfurt horizontal line (Figure 2b).⁵⁹ In a study of 100 Korean women, Oh et al. found that the mean 44 45 forehead inclination was 12.47° (ranging from 11.6 to 13.3).⁶⁰ This study, however, did not focus on determining the most attractive forehead inclination. Instead, it emphasises the 46 47 potential significance of considering forehead inclination as a factor in assessing 48 attractiveness. Furthermore, it highlights the importance of recognising and accounting for 49 racial variations in facial structure and aesthetic preferences. Swift and Jones suggested that a beautiful female forehead has a curve of 12-14° recession off the vertical axis (as shown in a 50

1 figure).⁹ Although this measurement is important to consider, there are no studies comparing 2 the curve of the forehead in attractive and unattractive faces.

4

3

5 Nose

6 The nose, as the central feature of every face, plays a crucial role in determining overall facial 7 beauty among facial units. However, due to the complex three-dimensional morphology of 8 the nose and its central role in aesthetics, many potential ideals for nasal aesthetics have been 9 suggested. To quantify nasal dimensions, several measurements can be taken into account, 10 including height, width, and inclinations such as nasal tip projection, nasolabial angle, and 11 nasofrontal angle. Additionally, nasal proportions such as nose width/nose height, nose 12 height/face height, and nose width/face width are also considered. (Figure 3)

13

14 Inter-Alar to Inter-Canthal Ratio

To determine the quantitative parameters of the ideal nose, Farkas et al. conducted a study 15 comparing anthropometric nasal and craniofacial measurements between attractive and 16 17 below-average faces of young north American Caucasian women.²⁶ The study included 34 attractive women and 21 "below average" faces from a group of 200 women, and various 18 nasal and craniofacial parameters were measured and compared. This paper is considered one 19 20 of the earliest and most influential works in this field. However, the authors did not specify 21 how the attractiveness groups were determined in the study. Farkas not only emphasizes the 22 absence of six neoclassical canons, namely the three-section profile canon, nasoaural canon, 23 orbitonasal canon, nasofacial canon, naso-oral canon and nasoaural inclination canon, in 24 aesthetically pleasing noses, but also presents a detailed quantitative analysis of the dimensions, angles, and proportions of the aesthetically pleasing nose. This analysis is 25 backed by numerical data and measurements.²⁶ The orbitonasal canon, which is one of the 26 27 earliest canons, proposes that the distance between the nostrils (inter-alar distance) should be equivalent to the distance between the inner corners of the eyes (inter-canthal distance).⁶¹ 28 29 However, Baker et al conducted a study to determine the optimal alar width and measured this ratio in 36 Caucasian females who were objectively rated as the 'most beautiful women' 30 by People Magazine. Their findings indicated that the ideal inter-alar distance for aesthetic 31 32 purposes is slightly wider than the inter-canthal distance, with a ratio of 1:1.17.²⁸

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34 Nasal Tip Projection

35 Nasal tip projection (NTP) refers to the forward extent of the nasal tip from the facial surface, 36 which is most prominently observed in the profile view. NTP is considered to be one of the 37 most critical aspects of both nasal aesthetics and rhinoplasty procedures. The earliest and 38 most frequently referenced method for measuring NTP was established by Goode, who 39 determined it as a ratio calculated by dividing nasal height by nasal length. According to 40 Goode, the optimal NTP range is between 0.55 and 0.6. Since then, several other techniques 41 for measuring NTP have been proposed, such as the Simons, Baum, Powell, and Crumley ratios.^{30, 62-64} 42

Numerous papers have since attempted to examine the relationship between facial
attractiveness and the different methods of NTP measurement. These studies applied various
NTP measurement techniques to facial images, which were subsequently assessed for
attractiveness. The level of correlation between the different NTP measurement methods and

- 47 facial attractiveness was then evaluated.
- 48 The Crumley methods (Crumley 1 & 2) demonstrate the strongest correlation with facial
- 49 attractiveness.^{30, 31, 32} The Crumley 1 method calculates NTP by dividing the sum of upper lip

1 dividing the distance from the vertex of nasofrontal angle to menton by nasal height. Crumley

2 has suggested that the optimal NTP is 3.53 when using the Crumley 1 method and 4.23 when

- 3 using the Crumley 2 method.
- 4

5 Nasolabial Angle

6 The available literature provides different methods of measuring the nasolabial angle (NLA), 7 with four commonly used definitions: (1) the angle between the columella and the line that 8 intersects the subnasale and labrale superius, (2) the angle between the columella and the line 9 tangent to the cutaneous upper lip proper, (3) the angle between the long axis of the nostril and the line perpendicular to the Frankfort horizontal, and (4) the angle between the long axis 10 of the nostril and the line that intersects the glabella and pogonion.^{26, 31, 32, 65} However, there 11 12 are no studies that have compared the aesthetic relevance of these various definitions. In a 13 survey of 82 rhinoplasty surgeons, Harris et al. (2016) found that there is no consensus among surgeons regarding the optimal definition of NLA.⁶⁶ We identified two studies that 14 15 have attempted to calculate the ideal NLA empirically.

16

17 Sinno et al. defined NLA as the angle between the columella and the line tangent to the cutaneous upper lip proper.³² They conducted a study where 98 members of the public rated 18 the aesthetic appeal of three different nasolabial angles (100°, 105°, 110°) and concluded that 19 20 the most aesthetically pleasing NLA was 104.9°. On the other hand, Armijo et al. defined 21 NLA as the angle between the long axis of the nostril and the line perpendicular to the Frankfort horizontal.³³ They manipulated lateral photographs of ten women to have various 22 23 nasolabial angles (90° to 110°) and then had plastic surgery residents and office staff rate 24 these photographs. Their study suggested that the ideal nasolabial angle would be 97.7 \pm 25 2.32° with a range of 95.56 to 100. It is worth noting that each definition of NLA has its 26 advantages and disadvantages.

The method described by Sinno et al. considers the surface anatomy of the patient, which can 27 lead to a better understanding of the patient's aesthetic preferences.³² However, this method 28 can be distorted by underlying bone or soft tissue abnormalities such as upper lip fillers or 29 implants, upper lip deficiency, class II malocclusion, protrusive maxilla, and upper incisor 30 31 inclination. In contrast, measuring NLA using a line perpendicular to the Frankfort horizontal 32 or a line intersecting the glabella and pogonion is governed by a facial plane and therefore, 33 the bony structures play a larger role in defining the NLA than the soft tissues. These 34 measurements are more constant over time and less likely to be altered, but they are more 35 difficult to make in person and do not consider the labial component of the angle. For 36 aesthetic surgeons, it is important to choose the definition of NLA that is most appropriate for 37 their patient. Additionally, when making comparisons of proposed aesthetic ideals, it is 38 essential to consider how the studies have measured NLA to avoid discrepancies. In 39 summary, the choice of NLA definition should be made based on the patient's individual 40 characteristics and the surgeon's preferences. The advantages and disadvantages of each 41 definition should be taken into account when selecting the most appropriate method, and 42 comparisons between studies should be made with caution.

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- 44

45 **Orbit**

The eyes and periorbital area are crucial in determining facial attractiveness and are often the first areas to display signs of aging. Ethnic differences in the morphology of this region also exist, further highlighting the importance of individualized treatment approaches. The primary objective of surgery in this region is typically to restore a more youthful appearance. To accomplish this goal, several measurements must be taken into account. These 1 measurements may include the degree of brow ptosis the amount of upper eyelid skin 2 redundancy (excess skin), the amount of orbital fat prolapse, and the degree of lower eyelid

3 malposition (drooping or bulging of the lower eyelid).

5 Canthal Tilt

6 Canthal tilt is defined as the angle between the horizontal line from the medial to the lateral 7 canthus and is considered an important factor in determining facial aesthetics (Figure 4). 8 While a positive canthal tilt is generally preferred, there is still debate regarding the ideal 9 degree of tilt. Research conducted by Kim et al, who measured anthropometric data on a group of 43 Korean beauty pageant models, suggested that the ideal canthal tilt for Korean 10 women is around 8 degrees.³⁴ Similarly, Rhee et al found that a canthal tilt of 8 degrees was 11 12 desirable in Korean women, while noting that Caucasian women typically have a lower 13 average canthal tilt of 4.12 degrees.³⁸ These findings highlight the importance of taking into account the ethnic background of the population being studied in determining aesthetic ideals 14 of the orbital area.^{34, 35} It is essential to understand and appreciate the cultural, racial and 15 gender based anatomical differences between populations in order to achieve optimal 16 17 outcomes in facial plastic surgery.

18

19 Upper Eyelid

20 The upper eyelid varies greatly among different ethnicities and is the focus of many 21 procedures. One of the key differences between ethnicities is the presence of a supratarsal 22 crease. The supratarsal crease is the fold of skin in between the brow fat span and the tarsal 23 platform show. Numerous studies have delved into the exploration of aesthetic ideals 24 specifically within East Asian populations, shedding light on the significance of the supratarsal crease in defining an aesthetically pleasing eye.^{34, 36, 37} In their research, Rhee et 25 26 al brought attention to the differing characteristics of the supratarsal crease among various 27 ethnic groups.³⁸ They found that in Caucasian individuals, a lower-positioned supratarsal 28 crease tends to impart a more natural and youthful appearance. On the other hand, in Korea, a 29 higher-positioned supratarsal crease without an epicanthal fold is considered preferable, while in Japan, a higher-positioned supratarsal crease with the presence of the epicanthal fold 30 is favoured.³⁸ In their study, Vaca et al explored the topographic variations of upper eyelid 31 32 proportions among Caucasian females. 294 individuals were evaluated for their eye "attractiveness" by a panel of 6 members comprising both plastic surgeons and lavpersons.³⁹ 33 The authors conducted a comparison between eyes categorised as "attractive" and 34 35 "unattractive." They specifically directed their attention towards assessing the ratio between 36 the upper lid fold (the crease of the eyelid to the lower margin of the brow) and the pretarsal 37 region (area from lash line to eyelid crease) at multiple positions. The authors illustrated 38 elevated rations in attractive eyes compared to less attractive ones. Furthermore, they 39 emphasised earlier observations indicating a stronger connection between positive canthal tilt 40 and attractive eyes. The authors also investigated the link between the golden spiral and 41 upper lid aesthetics. They discovered that the curvature peaks of attractive eyes aligned more 42 closely with the golden spiral compared to less attractive eyes. However, this observation did not attain statistical significance, underscoring the tendency of conventional standards to 43 44 come up short under empirical examination. These findings further underscore the crucial 45 importance for surgeons to recognize and consider the influence of ethnicity on beauty ideals when planning aesthetic procedures involving the periorbital region. By acknowledging these 46 47 ethnic nuances, surgeons can tailor their approaches and techniques to achieve results that 48 align with the aesthetic preferences and cultural norms specific to each ethnic group.

1 The tarsal platform show (TPS) refers to the distance between the upper eyelash and the 2 supratarsal crease (Figure 4). In a study conducted by McDonnell et al, 110 individuals were 3 asked to rate 42 Caucasian faces. These faces were divided into two categories: 'attractive' 4 and 'unattractive' by lay people. The researchers measured various eyelid parameters and 5 compared the differences between these two groups. The study revealed that the tarsal 6 platform show was lower in the group perceived as aesthetically pleasing, with an average 7 measurement of 2.97 ± 0.9 mm.³⁵

9 Lower Eyelid

The morphology of the lower evelid exhibits considerably less variation compared to the 10 11 upper eyelid. Currently, there is a lack of empirical studies that specifically classify the 12 aesthetic ideal of the lower lid. However, one prominent reason for undergoing lower lid 13 surgery is the age-related lengthening of the lower lid. A crucial measurement utilized to 14 define the lower eyelid is the vertical distance from the lower eyelid margin to the lower lid crease in the mid-pupillary line, as outlined by Fezza and Massry.⁶⁷ In their research, Fezza 15 and Massry demonstrated a strong linear correlation between age and lower lid length. This 16 17 measurement plays a significant role in assessing the youthfulness of the lower eyelid region and thereby influences the aesthetic ideal associated with it.⁶⁷ 18

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8

20 Cheek/Malar

21 The intricacy of the subtle curvature found in the malar region poses a challenge when 22 attempting to define it quantitatively. The interplay of facial shadows and highlights plays a 23 significant role in creating the desirable curves associated with a youthful face. Existing 24 literature acknowledges the limitations of analyzing the malar region solely through anterior 25 and lateral views, suggesting that an oblique angle is necessary.⁴⁰ Addressing the aging 26 midface is a critical aspect of facial rejuvenation, as it often involves a loss of malar 27 projection, resulting in a disruption of the youthful ogee curves. The concept of the malar ogee curve was first introduced by Little.⁶⁸ He emphasized that when observing a face from 28 an oblique angle, the soft tissues in the midface form an architectural "S" shaped curve. Little 29 described the ideal malar ogee curve as an elegant transition starting from the lateral tail of 30 31 the brow, flowing through the convex fullness of the cheek, and tapering into the concave contour of the mandibular border. This configuration creates a harmonious and aesthetically 32 33 pleasing appearance.

34

35 Ogee Curve

36 To gain a comprehensive understanding of the malar area, it is essential to consider the 37 relationship between the Ogee curves and the key landmarks within the midface. These 38 landmarks include the malar eminence, zygomatic point, malar hollow, and the anterior and posterior mandible.40, 42 The configuration and alignment of these landmarks contribute to 39 40 shaping the overall appearance of the midface. However, it is worth noting that only a limited 41 number of studies have successfully established parameters for aesthetically classifying the 42 midface. These studies have attempted to quantify the relationship between landmark points 43 by measuring lengths from the midline, employing coordinates, or calculating distances from 44 reference lines (such as the lateral canthus to oral commissure or interzygomatic distance). 45 Additionally, some early studies have presented aesthetic ideals by defining specific facial coordinates in frontal, lateral, and oblique views. While these approaches are intriguing, they 46 47 present challenges when it comes to their practical application in current clinical practice.

48

49 WIZDOM

1 Linkov et al introduced the WIZDOM (Width of interzygomatic distance of the midface) 2 parameter as a valuable tool for assessing the relationship between midface landmarks 3 (Figure 5).⁴¹ By drawing a horizontal line connecting the zygomaxillary points on both sides 4 of the face, the authors were able to measure various distances and relationships between this 5 line and multiple facial landmarks, including the chin, medial canthus, and lateral brow. In their study, the authors assessed the faces of 55 attractive models and developed the 6 7 WIZDOM parameter, aiming to identify aesthetic ideal parameters for the midface. The 8 results demonstrated that WIZDOM is a reliable and straightforward tool that can be utilized 9 to define the midface in two-dimensional photographs. By using this tool, clinicians and researchers can objectively assess and quantify the relationship between midface landmarks, 10 11 providing valuable insights into the aesthetics of the midface region.

12

13 Beauty Arch

The concept of the "beauty arch" offers another valuable approach to analyze lateral malar 14 projection. This method was developed by Marianetti as a means to determine the ideal 15 position of the zygomatic prominence in the sagittal view.⁴³ In this technique, an arch is 16 17 constructed in the lateral view by drawing a line from the lateral canthus to the edge of the mouth. From the midpoint of this line, a perpendicular line known as the fulcra line is drawn. 18 19 The fulcra line intersects with a perpendicular line passing through the lateral canthus. Using 20 this intersection point, a compass is utilized to create an arch that passes through the corner of 21 the mouth. This resulting arch is referred to as the beauty arch. Although this method can be complex and challenging to perform on a patient, it was developed based on a study 22 23 involving 74 "attractive" beauty contestants. The beauty arch has been effectively used in 24 planning malar augmentation for individuals with malar hypoplasia, demonstrating its utility 25 in midfacial reconstruction. While it may require technical proficiency, this approach 26 provides valuable guidance for achieving desirable malar projection and aesthetics in 27 appropriate cases.

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29 Lips

Historically, plump lips have been associated with a youthful appearance and have been
 considered aesthetically desirable. Moreover, efforts have been made to objectively analyze
 and establish standards for rejuvenation and aesthetic evaluation.

33

34 In 1984, Farkas conducted a study focusing on the proportions of average faces, aiming to establish standards for defining the dimensions of the upper lip, lower lip, and chin area.⁶⁹ 35 36 However, it is worth noting that this study did not specifically address the concept of facial 37 attractiveness. Our investigation highlighted that in perioral aesthetics, several measurements 38 need to be considered. When examining the frontal face, it is important to measure the upper 39 lip and lower lip heights, as well as the distances from the nasal tip to the mouth and from the 40 mouth to the chin (These measurements can be used to formulate several proportions such as 41 the upper lip to lower lip ratio, upper lip height to nose-mouth ratio, and the lower lip height to mouth-chin ratio (Figure 6).44,46,69 42

43 Talei and Pearlman present a noteworthy study, which outlines a comprehensive methodology for characterising the upper lip and offering guidance on preserving a youthful 44 lip balance through surgery.⁷⁰ While their study lacks a direct comparison between attractive 45 and unattractive lips in terms of empirical aesthetic ideals, it does offer valuable insights into 46 essential factors for approaching a lip lift. The authors introduce the innovative "CUPID lip 47 48 lift" technique, which has effective preserved the natural upper lip balance, enhanced muscle 49 function, and ensured favourable long-term results in a cohort of 2440 consecutive patients 50 spanning 6 years.

1

2 Upper-Lower Lip Ratio

3 The ideal ratio between the upper lip and lower lip is a subject of considerable debate in perioral aesthetics. Various studies suggest a range of ideals, spanning from 1:1 to 1:2.43, 44, 47 4 5 Among these, Bisson and Grobbelaar's research provides the most commonly referenced ideal for the upper to lower lip ratio. In their study, they compared the aesthetic 6 7 characteristics of lips between 28 fashion models and 14 hospital employees.⁴⁸ Based on their 8 measurements, they proposed a ratio of 1:1.6 for the upper lip to lower lip ratio.⁴⁸ 9 Additionally, they observed that both the upper and lower lip heights were greater in models than the general population. Interestingly, Heidekrueger et al, in a cross-cultural survey, 10 found that lip ratio preferences did not differ significantly across different ethnicities. 11 However, they did note variations between age groups within the same ethnicity, with 12 13 younger individuals showing a preference for larger lower lips.⁴⁵ 14

15 **Philtrum: Labial Parameters**

Similar to the lower eyelid, the elongation of the upper lip over time due to gravitational 16 17 effects and reduced elasticity of the soft tissues can cause an abnormal balance between the upper lip height and nose-mouth distance. To better analyze the upper lip region, Raphael et 18 al. developed the philtral-labial score (PLS).⁴⁶ To calculate the PLS, three important 19 20 landmarks need to be identified with the lips touching at rest: the base of columella 21 (subnasale), the midpoint of the superior vermilion border (labiale superius), and the centre of 22 the labial fissure (stomion). These landmarks are then used to define the philtral and labial 23 heights. The PLS is calculated by dividing the philtral height by the labial height. The authors 24 show that with the aging process, the PLS increases over time, and larger PLS values are 25 considered less attractive, with an ideal score of 2.0. Ultimately, this score serves as a 26 measure of lower face disharmony and is a useful tool in defining the aesthetics of the upper 27 lip. 46

29 Lip Protrusion

Lip protrusion refers to the point of maximum projection of the lip, as measured in lateral 30 31 view. To calculate lip protrusion, the point of maximum protrusion of the vermillion is measured on a side view, perpendicular from a vertical line connecting the base of the 32 33 columella to the fold demarcating the lower lip and chin, as outlined by Lemperle.⁴⁹ In addition to lip protrusion, the nasolabial and mentolabial angles are useful measures in 34 defining the protrusion of the upper and lower lips.^{47, 48} Penna et al. found that when 35 36 comparing the nasolabial and mentolabial angles in "attractive" and "unattractive" patients 37 (judged by online volunteers through a survey), both angles were significantly less in the attractive cohort.⁴⁶ Specifically, they found that a nasolabial angle of 98° and a mentolabial 38 39 angle of 130.5° were most attractive in this group.⁴⁶

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42 Chin

43 Extensive exploration of the chin's cephalometric analysis has been carried out within the field of orthodontics. Numerous methods have been developed to measure the degree of 44 anteroposterior mandibular protrusion (Figure 7).^{14, 71, 72} However, only a limited number of 45 studies have specifically examined the aesthetically appealing positions of the chin. Kuroda 46 47 et al. determined that, among the Japanese population, faces with a degree of mandibular 48 retrusion were considered most attractive, and this finding correlated well with the Burstone 49 Sn-Pog classification.⁵² When comparing the correlation of different methods of chin protrusion analysis to facial attractiveness, significant cultural differences emerge regarding 50

the preferred method.⁵² Hsu suggested that the Burstone Sn-Pog line is best suited for aesthetic analysis of profiles in the Chinese population as well.⁵³ However, in studies conducted on the Turkish population, Ricketts' norms for upper and lower lips appeared to exhibit a stronger correlation.⁷³ This again highlights the need to take into consideration cross-racial differences for facial aesthetics. Notably, there are currently no studies comparing methods of analysis specifically within the Caucasian population group. (Figure 7)

8 Ear

While there have been numerous studies analyzing the dimensions of the ear during growth, 9 there is a lack of research specifically focused on empirically defining aesthetic ideals for the 10 ear⁷⁴ From a lateral facial view, ear position is defined by the angle of the ear axis in relation 11 12 to a true vertical line of the face extending from nasion to gonion. (Figure 8) Previous work 13 by Farkas indicated that the normal range of auricular inclination fell between 9-29°.74 14 However, Broer et al. emphasized that the ideal ear position is slightly reclined, ranging from -5 to 10°.⁵⁴ In their study, they conducted an online survey among plastic surgeons and the 15 general public worldwide.⁵⁴ Participants were asked to modify the axis of a female model's 16 17 ear to align with their desired ideal. Furthermore, they discovered that participants' country of residence influenced ear axis preferences, with European participants tending to prefer a 18 19 more vertical ear compared to those from the Middle East. Clearly, the ear unit has received limited attention in terms of defining aesthetic ideals, indicating a need for further research in 20 21 this area to establish standards for the aesthetically pleasing ear.

22

23 The Future of Defining Facial Aesthetics

The majority of papers encompassed within this study employed manual facial measurements 24 25 and deliberate evaluations of facial aesthetics carried out by both surgeons and volunteers. 26 This approach can be labour-intensive, susceptible to errors, and constrained by the number 27 of faces that can feasibility be assessed. Given the advancements in artificial intelligence capabilities the future of delineating aesthetic standards may rest within AI models. 28 29 Specifically, there is promise in developing a system proficient in illustrating the perspectives observed when appraising a face, while simultaneously aiding in definition of facial beauty. 30 31 This can be accomplished through the synergistic integration of computer vision, machine 32 learning, and cognitive psychology. Such a system is able to provide insights into the manner 33 in which people gauge facial attractiveness. It also may have the capacity to unearth 34 disparities in aesthetic preferences across diverse cultural contexts by scrutinising interactions 35 among users from different geographical regions and ethnic backgrounds. Recent studies 36 have embarked upon the utilisation of machine learning techniques to delve into the aesthetics of facial images.^{75, 76} Iver et al, employed a dataset comprising 5500 facial images, 37 38 which were evaluated by a panel of 60 volunteers and rated for beauty using a 5-point Likert 39 scale.⁷⁵ This dataset was then employed to train a model capable of tasks including landmark 40 localisation, extraction of facial feature sets, and evaluation of an array of predetermined 41 ratios. Their analysis indicated that facial images deemed aesthetically pleasing exhibited 42 correspondence with the principles of neoclassical facial proportions. However, their study predominantly concentrated on evaluating the ratios of crucial facial landmarks. This 43 44 underscores the need for more sophisticated algorithms capable of comprehensively 45 appraising the subtler intricacies inherent within facial features.

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47 Limitations

Numerous limitations within this review warrant acknowledgement, foremost among them
 being the challenge of comparing studies due to the diversity in units employed for defining
 aesthetic ideals. Secondly, a lack of standardised measurement for attractiveness is

1 noteworthy. The prevailing method involves plastic surgeons assessing faces/units on a Likert 2 scale; yet discrepancies arise as certain studies solely incorporate laypersons as reviewers, 3 while others opt for a combination. In an optimal scenario, a balanced review panel is 4 advocated, alongside the presentation of rater demographics. Reviewers' distinctions rooted 5 in ethnicity, gender, and age manifest distinctly, evident notably in the periorbital area, with 6 studies emphasising different east Asian and Caucasian ideals. Hence, it becomes imperative 7 for studies to elucidate their focal ideals and for surgeons to acknowledge cross-cultural 8 aesthetic variations. Furthermore, the bulk of studies within this review centred on Caucasian 9 females, underscoring the need to discern the patient demographic to which the findings of 10 the included papers predominantly apply to. Another important limitation in establishing aesthetic ideals for facial units lies in their evolutionary nature. An illustrative instance is the 11 12 Westmore brow, emblematic of the 1970s, characterised by a slender, high arched and 13 medially peaked brow⁵⁶ - a contrast to contemporary inclinations favouring thicker brows with lateral peaks. This dynamic shift underscores how these ideals evolve over time. 14

An additional crucial aspect of this study pertains to the predominant focus of the included papers on delineating optimal parameters for specific female facial anatomical units, rather than encompassing a compressive definition of beauty. Recognising beauty involves intricate interplays of numerous elements extending beyond mere measurements and proportions – an intricacy exceeding the scope of this paper.

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22 CONCLUSIONS

23 This review has examined the current evidence regarding the aesthetic ideals of the face. Our 24 findings indicate that while there are numerous definitions available for the aesthetic ideals of 25 various facial subunits, many measurements have not been empirically defined or 26 consistently validated. Farkas's work made progress in quantifying facial dimension 27 measurements by categorizing and documenting numerous measurements for each facial unit, 28 although these have not been utilized to establish aesthetic ideals. With the advancements in 29 computer modelling and automation, we now have the capability to take a significantly 30 greater number of facial measurements, aiding in the assessment of aesthetic ideals. 31 Furthermore, we have emphasized the significant gaps in aesthetic classifications for certain 32 facial units, such as the ear and chin. We have summarized key papers that we believe will be 33 valuable for aesthetic surgeons. Going forward, it is imperative to conduct more standardized 34 studies that explore and define aesthetic ideals in a comprehensive manner.

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FIGURE LEGEND

- Figure 1. The PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) flow diagram.

- Figure 2. (A) Westmore Brow. (B) Forehead length & angle.
- Figure 3. (A) Nasal measurements as per Farkas: Nose height (n -sn dotted), nasal bridge
- length (n-prn), nasal root depth (sagittal) m(s), nasal root width (horizontal) mf-mf, nasal root
- slope length (m-en), soft nasal tip protrusion (sn-prn), soft nose width (al- al), ala length (ac-
- prn). (B) Combination of Nasolabial angle and Crumley 1 method for defining nasal tip
- projection.

- 1 Figure 4. (A) Anthropometric measurements frontal view: (a) horizontal dimension of
- 2 palpebral fissure (exocan- thion–endocanthion); (b) vertical dimension of palpebral fissure
- 3 (palpebrale superius–palpebrale inferius); (c) interpupillary distance (center of pupil-center of
- 4 pupil); (d) binocular width (exocanthion– exocanthion); (e) intercanthal width
- 5 (endocanthion–endocanthion); (f) nasal width (distance between the widest point on each
- 6 ala); (g) midfacial width (tragus-tragus); (h) slant of palpebral fissure (angle of the line
- 7 between endocanthion and exocanthion of an eye based on the line connecting the right and
- 8 left endocanthion); (i) height of upper eyelid (perpendicular distance between palpebrale
- 9 superius and lower margin of the eyebrow); (j) width of pretarsal crease (palpebrale superius–
- 10 upper margin of crease). (B) Eyelid measurements: Brow fat span (BFS) red line, Tarsal
- 11 Platform show (TPS) yellow line, margin to reflex distance 1 (MRD1) green line, 12 reflex heat firms (DE) – blact line and Lafering edges have (SE) – with line
- 12 palpebral fissure (PF) blue line and Inferior scleral show (ISS) pink line.
- 13 **Figure 5.** (A) WIZDOM: (a) corneal diameter; (b) interpupillary distance; (c) nasal length;
- 14 (d) medial canthus; (e) brow length; (f) WIZDOM; (g) WIZDOM to medial canthus; (h)
- 15 Hairline to WIZDOM; (i) chin to WIZDOM; (j) chin to WIZDOM diagonal; (k) angle to
- 16 WIZDOM; (l) medial canthus to nasal ala; (m) eye length; (n) lateral brow to WIZDOM. (B)
- 17 Beauty Arch.
- 18
 19 Figure 6. Lip analysis: Nasolabial angle (red), Mentolabial angle (green), Lip protrusion
- 20 (black), Upper lip height (pink), Lower lip heigh (orange).

- 22 Figure 7. Different methods of measuring chin protrusion. Soft tissue analysis: A, Legan and
- 23 Burstone analysis B, Holdaway soft tissue analysis C, Ricketts profile analysis D, Merrifield
- 24 Z-angle E, Epker et al soft-tissue relationships.
- 25
- 26 **Figure 8.** The ear axis.
- 27

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