Bridging the gap of unmet need: using observational data to emulate clinical trials that address research priorities in cystic fibrosis

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The field of cystic fibrosis (CF) research and clinical care is changing rapidly, yet there remain many unanswered questions. While randomised controlled trials (RCTs) are the gold standard for generating evidence on effects of treatment, they have limitations and are not always feasible. In populations where CFTR modulators are now established as standard care, anticipated effect sizes for other treatments may be smaller, requiring larger sample sizes to demonstrate efficacy. In those ineligible for CFTR modulators, the number of potential participants for traditional RCTs may fall below that required to achieve adequate power. Novel trial designs permitting smaller sample sizes, and increased opportunity for clinical trial participation, are highly welcomed.\(^2\) Realistically, they will still not meet demand. Robust, complimentary, alternatives to the traditional RCT are required. An alternative approach is to ‘emulate’ an RCT using existing observational data.\(^2\) Trial emulation using CF patient registry data offers the possibility of assessing a range of questions that are not feasible to address in RCTs. This is particularly topical in an era of a rapidly changing treatment landscape. The breadth and complexity of unanswered questions have been highlighted by the James Lind Alliance research priority setting exercises.\(^3,4\) Several priority questions pertain to the effects of treatments, including: What are the long-term effects of medications (including CFTR modulators) in CF? and What are the effective ways of simplifying the treatment burden of people with CF?.

The trial emulation process, summarised in figure 1, begins with a research question. This is followed by specifying the protocol of the “target trial” one would like to conduct to answer that question. The protocol should include details about eligibility criteria; treatment strategies and assignment; follow-up period; outcomes; the causal effect of interest; and statistical analysis plan. The next step is to specify how each element of the target trial protocol is to be emulated using the available observational data, before proceeding to the final step of data setup and analysis. The key element of an RCT that cannot be emulated directly using observational data is the randomisation of participants to the treatment strategies being compared. In observational data there are likely to be imbalances in the characteristics of individuals who followed different treatment regimes, resulting in confounding. This is addressed at the analysis (and sometimes design) stage. The trial emulation framework also helps resolve less recognised sources of bias that can arise when using observational data to study treatment effects.\(^5\)

Applications of trial emulation are increasing across a number of disease areas.\(^6-9\) In CF, data from patient registries are ripe for enabling use of this approach. Key questions that could be assessed include those concerning generalisability of treatment effects across diverse CF populations, treatment effect heterogeneity, long-term effects, and effects of treatments used in combination. National CF patient registries are already a key resource for understanding the characteristics of CF populations and tracking changes over time. The largest are the US Cystic Fibrosis Foundation Patient Registry (\(\sim32,000\) individuals)\(^10\) and the UK CF Registry (over 10,500 individuals)\(^11\), which captures nearly all of the UK CF population. There is not yet widespread awareness of the trial emulation framework within the CF community or the wider respiratory disease community, although examples demonstrating its feasibility using CF registry data are emerging.\(^12,13\) The UK CF Registry was highlighted in the NICE Real World Evidence Framework as an example data source for generating real-world evidence on effects of interventions.\(^14\)

A crucial step in establishing the potential of target trial emulation in CF will be to use registry data to emulate existing RCTs, to demonstrate the extent to which RCT findings can be replicated and identify sources of difference. Emulation of an existing trial has been referred to as ‘benchmarking’\(^8\) and in this...
case the target trial protocol is given by that of the index RCT. Collaborative groups have already been established with the aim of emulating existing trials. These include the RCT DUPLICATE Initiative⁶ (https://www.rctduplicate.org/), which has published findings from emulations of 32 existing trials using US insurance claims data, and the Observational Patient Evidence for Regulatory Approval Science and Understanding Disease (OPERAND) project, which aims to examine whether real-world data can be used to inform regulatory decision making¹⁵. Initiatives that focus on the CF context are required, and this motivated the newly established CF Trial Emulation Network (CF-TEN). CF-TEN aims to lead international efforts to build the evidence base for target trial emulation in CF using national patient registries. The network is multidisciplinary and collaborative, involving clinicians, statisticians, data experts, and the patient community. CF-TEN activities include emulating existing RCTs using registry data from the pre-CFTR modulator era, to demonstrate whether the findings can be replicated for populations similar to those used in the original trial, and if not, to explore reasons for differences observed. If findings from existing trials can be replicated, this will provide confidence that emulation of target trials that are hypothetical can provide reliable evidence about treatment effects. Subsequent CF-TEN activities will include 1) repeating emulations of existing trials but in CFTR modulator-exposed populations; 2) extending emulations of existing trials to wider patient groups than those considered in the original RCTs, and to other outcomes and longer-term follow-up; and 3) emulating hypothetical trials to estimate effects of treatment strategies for which there is little evidence from RCTs, such as treatments used in combination and treatment withdrawal.

Trial emulation will not be a panacea or a replacement for RCTs. Challenges include the ability to adequately control for confounding and that certain aspects of a target trial may not be possible to emulate exactly, such as specification of treatment dose, and adherence. Trial emulation is also restricted to treatments that have been used within the populations of interest. However, trial emulation in CF using data from national patient registries presents an exciting opportunity to contribute to the evidence base for treatment effects for the benefit of the CF community.

Figure 1. Key steps in trial emulation

<table>
<thead>
<tr>
<th>Trial emulation process</th>
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<tbody>
<tr>
<td><strong>Formulate research question</strong></td>
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<tr>
<td><strong>Identify observational data source</strong></td>
</tr>
<tr>
<td>• Knowledge of the observational data source is required to determine that it has the features that will be needed to enable an emulation of the target trial</td>
</tr>
<tr>
<td><strong>Target trial protocol</strong></td>
</tr>
<tr>
<td>Define the protocol. This may be an existing RCT or be hypothetical (e.g. an optimal but not feasible RCT)</td>
</tr>
<tr>
<td><strong>Emulated trial protocol</strong></td>
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<tr>
<td>Define how each component of the target trial is to be emulated using the observational data</td>
</tr>
<tr>
<td><strong>Trial emulation</strong></td>
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<tr>
<td>Data set-up and statistical analysis</td>
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<tr>
<td>• Prepare observational data including variables required for analysis: treatment, outcome, confounders</td>
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<tr>
<td>• Identify individuals meeting eligibility criteria in the observational data</td>
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<tr>
<td>• Implement SAP to obtain estimates of the causal treatment effect of interest</td>
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Protocol elements:
• Eligibility criteria
• Treatment strategies
• Treatment assignment
• Follow-up period
• Outcome
• Causal treatment effect of interest—whether it is intention-to-treat or per protocol—how the effect is measured, e.g. by a mean difference
• Statistical analysis plan (SAP)
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Conflict of Interest statement

GD reports institutional fees for clinical trial leadership and advisory board roles (Vertex Pharmaceuticals) and speaker honoraria from Vertex Pharmaceuticals and Chiesi Ltd, outside the submitted work. GD is a member of the UK CF Registry steering committee. RHK reports speaker honoraria from Vertex Pharmaceuticals, outside the submitted work.

References


