## Alterations in the gut microbiome in inflammatory arthritis implicate key taxa and metabolic pathways across arthritis phenotypes

**One sentence summary:** Gut microbiome configurations and activity in several types of inflammatory arthritis were found to be similar to those in gastrointestinal disease, with additional strain-specific links to iron and vitamin metabolism

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## 1 Abstract

2 Musculoskeletal diseases affect up to 20% of adults worldwide. The gut microbiome has been 3 implicated in inflammatory conditions, but large-scale metagenomic evaluations have not yet 4 traced the routes by which immunity in the gut affects inflammatory arthritis. To characterize the 5 community structure and associated functional processes driving gut microbial involvement in 6 arthritis, the Inflammatory Arthritis Microbiome Consortium investigated 440 stool shotgun 7 metagenomes comprising 221 adults diagnosed with rheumatoid arthritis, ankylosing spondylitis, 8 or psoriatic arthritis, and 219 healthy controls and individuals with joint pain without an underlying 9 inflammatory cause. Diagnosis explained ~2% of gut taxonomic variability, which is comparable 10 in magnitude to inflammatory bowel disease. We identified several candidate microbes with 11 differential carriage patterns in patients with elevated blood markers for inflammation. Our results 12 confirm and extend previous findings of increased carriage of typically oral or inflammatory taxa, 13 and decreased abundance and prevalence of several typical gut clades, indicating that distal

14 inflammatory conditions correspond in similar alterations to the gut microbial composition as do 15 local conditions. We identified several differentially encoded pathways in the gut microbiome of 16 patients with inflammatory arthritis, including changes in vitamin B salvage and biosynthesis and 17 and enrichment of iron sequestration. While several of these changes characteristic of 18 inflammation could have causal roles, we hypothesize that they are mainly "positive feedback" responses to changes in host physiology and immune homeostasis. By taxonomic to functional 19 20 alterations, this work thus expands our understanding of the key shifts in the gut ecosystem in 21 response to systemic inflammation during arthritis.

## 22 Introduction

23 Alterations to the gut microbiome have been implicated in several inflammatory diseases, 24 particularly in the gastrointestinal tract (GIT), including the inflammatory bowel diseases (IBD) 25 and colorectal cancer (CRC)(1, 2). Although the role of gut microbes in other inflammatory 26 conditions such as type 1 diabetes (T1D) and metabolic syndrome have recently come under 27 investigation (3, 4), their influences on or responses to systemic inflammation or disease 28 progression remain poorly elucidated. Inflammatory musculoskeletal arthropathies stand to 29 benefit from a better understanding of gut microbial ecology, both as an early biomarker for 30 diagnosis of these conditions, and as a potential new route for therapy. Arthropathies including rheumatoid arthritis (RA), ankylosing spondylitis (AS), and psoriatic arthritis (PsA) affect over 50 31 million adults worldwide(5-7), who currently have no curative treatment options. Thus, 32 understanding their corresponding alterations within the gut microbiome is essential to both the 33 34 underlying basic biology driving systemic inflammation and clinical routes of arthritis treatment.

The etiology of many of the subtypes of arthritis can be traced back to aberrant immune 35 responses, which may be triggered or sustained by acute or long-term interactions with gut 36 37 microbial populations(8). This is true over and above human genetic contributions, which include 38 variants of the human leukocyte antigen (HLA) family(9). In RA, heritability is estimated at 60%, although without clearly-resolved causal loci(10-13). Conversely, the heritability of AS 39 40 approached 90%, with HLA-B27 carriage the strongest genetic risk factor(14-16). Additionally, 41 smoking has been established as a likely trigger of RA, representing at least one specific 42 interaction between environmental and genetic factors in arthritis etiology (13, 17). The 43 microbiome is one of the most proximal forms of "environment", and indeed many arthritis risk 44 alleles such as HLA are (like those of IBD) known to be involved in microbial interactions or 45 immune sensing(18).

46 Since arthritis pathology is localized in the periphery, all of these arthropathies represent cases in which any involvement of the gut microbiome would be "transmitted" systemically through 47 48 biochemical and immune-mediated signals. Research on this so-called "gut-ioint-axis" dates back 49 to the 1890s, when researchers hypothesized that arthritic conditions could be caused by 50 *Mycobacterium* infections(19). Murine models have furthered this hypothesis by showing that 51 microbial disease triggers are required for SpA type arthritis to develop(20-22) and that gut microbial colonization is necessary for Th17 differentiation (protecting germ-free mice from 52 53 disease)(23). Several strong indicators of the "gut-joint-axis" exist in humans as well, including 54 subsets of patients with chronic IBD exhibiting increased risk of peripheral arthritis(24, 25), 55 reactive arthritis occurring after pathogen infections (26), and the induction of autoreactive 56 cartilage degradation by specific bacterial strains (25). Several studies in smaller human 57 populations, primarily studied via 16S rRNA gene amplicon (16S) sequencing, found compositional alterations of the gut microbiome in patients with RA, PsA, and AS(27-41). These 58 59 included the presence of clades that are frequently pathogenic, increased abundance of typically oral microbes in the gut, and altered abundance of typical human gut clades(39-42). However, 60

61 there is no substantial agreement on which dysbioses are hallmarks of systemic inflammation in 62 arthritis. Additionally, 16S-based profiles do not provide direct insight into the functional 63 implications of microbial compositional changes, and thus far the agreement in functional changes 64 from the few shotgun studies is limited but have identified sweeping changes(*34, 36, 39-41, 43*). 65 Therefore, a comprehensive understanding of the role of the gut microbiome in arthritis 66 development and persistence is still lacking, which has the potential to better-support early 67 disease detection, prevention, or later-stage therapy.

68 Here, we introduce the work of the Inflammatory Arthritis Microbiome Consortium (IAMC), which includes analysis of shotgun metagenome profiles spanning 440 subjects with RA, AS, PsA, and 69 70 controls without inflammatory arthritis. We assessed the taxonomic and functional landscape of 71 the resulting gut microbiomes to elucidate key ecological and biochemical shifts linked to host 72 inflammatory responses and clinical arthritis phenotypes. In patients with inflammatory arthritis, the overall compositional and functional profiles of the gut microbiome were significantly altered. 73 74 We identified enrichment of typically oral, pro-inflammatory, and mucin-degrading microbes, with 75 a corresponding decrease in several typical human gut-resident clades. Notably, several strains of Ruminococcus gnavus isolated from human patients induced more severe phenotypes when 76 77 inoculated into mice. Further, several alterations in microbial community function were identified, 78 including the differential encoding of vitamin B salvage and biosynthesis and the encoding of folic acid metabolism pathways. Similar to other local and distal inflammatory diseases, iron 79 80 scavenging was enriched in patients with current inflammation across heme, non-heme, and 81 siderophore-based mechanisms. While these findings point to pathways and molecules of interest 82 and will serve as an important resource for hypothesis generation, future work will be required to 83 determine if these consistent functional changes occur causally, in response to inflammatory 84 arthritis, or both. At the least, our findings of community level taxonomic and functional alterations 85 in the gut microbiome implicate an interplay between host genetics, immune system, and gut 86 microbiome over the course of initiation, progression, and severity of arthritis.

## 87 **Results**

88 We recruited 440 adults (ages 20-93) from different clinical locations in the United Kingdom, 89 Oxford (primarily AS patients), Birmingham (primarily RA patients), and Newcastle (primarily RA 90 patients), who met classification criteria for one of three arthritis subtypes or were included in the non-inflammatory joint pain control group (Fig. 1A-B). Patient diagnoses included primarily 91 92 treatment naïve rheumatoid arthritis (RA, n=119), axial spondyloarthritis/ankylosing spondylitis 93 (AS, n=67), psoriatic arthritis (PsA, n=35), and non-inflammatory joint pain (typically fibromyalgia 94 [NIJP], n=54), as well as age-matched healthy controls (HC, n=165) (Fig. 1A, Supplemental 95 Table S1). Whilst some members of the NIJP category had low-titer autoantibodies for either RF 96 or anti-CCP (never both), none were considered by consulting rheumatologists to have clinically 97 suspect arthralgia with respect to RA(44).

98 Earlier studies have identified alterations in gut microbial taxonomic profiles with both arthritis 99 diagnosis and local and distal inflammation (27-33). To expand these results, we focused on 100 patient diagnosis and current level of disease activity as primary outcomes while adjusting for 101 relevant clinical covariates including patient age, current arthritis-related drug use, and disease 102 duration, as well as technical confounders such as sequencing batch and clinical site 103 (Supplemental Fig. S2A-I). Only a small subset of patients (58/440) reported use of antibiotics 104 in the last six months, ~half (22) within the previous two months and none at the time of sampling. 105 These were equally spread across all patients and HC's (**Table 1**); such non-recent antibiotics 106 use corresponded with very little overall variation (PERMANOVA R2=0.0049), and no individual features were significantly associated with antibiotics use (MaAsLin). Antibiotic covariates were 107

thus omitted from further analyses. We defined disease activity using two variables: (1) discretized
 C-reactive protein values (CRP) as a marker of current systemic inflammation (Fig. 1D), and (2)
 serum hemoglobin levels, as many patients with inflammatory arthritis also experience anemia as
 a feature of chronic disease (Supplemental Fig. S2I).

112 Individuals' disease activities varied from low to high disease activity levels [Bath Ankylosing 113 Spondylitis Disease Activity Index (BASDAI) = 0.08-8.4 (AS-specific measure), Disease Activity 114 Score 28 for RA with CRP (DAS28-CRP) = 1.54-8.01 (RA/PsA-specific measure)] (Fig. 1C, 115 Supplementary Table S1, Supplemental Fig. S2C-D). While not ideal measures of inflammation, CRP levels for each subject provide a measure that was collected consistently 116 117 across all population cohorts and ranged from 0-167 mg/L (Supplemental Fig. S2C-D). Thus, as 118 the most consistently collected measure, we used CRP as a proxy for systemic inflammation; 119 when available, it compared favorably to more direct measures such as BASDAI for AS or DAS28 120 for RA. Due to the asymmetric nature of these data, we categorized these patients using tertiles 121 into three categories: not inflamed (0-4 mg/L), some inflammation (4-10 mg/L), and inflammation 122 (>10 mg/L). Healthy controls only contributed fecal samples, not blood, and we could thus not 123 quantify CRP levels from these individuals and categorized all controls into not inflamed (Fig 1D). 124 From here on, we refer to the discretized CRP-value for systemic inflammation as simply 125 "inflammation." Anemia was also quantified in this population by current hemoglobin levels, with 126 anemia called when hemoglobin was less than 120g/L or 135g/L for females and males, 127 respectively (Supplemental Fig. S2I). Human leukocyte antigen B27 (HLA-B27) status was 128 quantified as either negative or positive, but only for the patients from Oxford (AS patients, n=67). 129 In addition, rheumatoid factor (RF) and anti-cyclic citrullinated peptide (anti-CCP) status was 130 categorized as negative or positive for RA patients (n=113) (Supplemental Table 1; 131 Supplemental Fig. S2F-H).

## 132 The human gut microbiome is altered in inflammatory arthritis

133 Significant alterations in the overall composition of the gut microbiome were identified in patients 134 with inflammatory arthritis. Patient diagnosis explained a maximum of 1.6% and 2.3% of the 135 compositional differences in the taxonomic and functional microbial profiles, respectively, after 136 adjusting for the sequencing batch (Bray-Curtis PERMANOVA; FDR q-value = 0.003 and 0.006). 137 In pairwise comparisons, these results were driven largely by differences in the RA patients 138 (Supplemental Fig. S3). Categorized CRP-values, which represent the current amount of 139 inflammation a patient is experiencing, accounted for a maximum of 1.2% (FDR q-value = 0.003) 140 and 2.0% (FDR q-value = 0.006) of the variation in the composition of the taxonomic and 141 functional profiles, respectively (Fig. 1E; Supplemental Fig. S3). Inflammation thus explained a 142 small but notable shift in the overall gut microbial composition, not greatly below the amount often 143 observed in IBD(1) (Fig. 1F). Clinical measures of inflammation such as the patients' DAS28-144 CRP and BASDAI also explained similar amounts of variation within the gut ecology (Fig. 1E). 145 This indicates that systemic inflammation during arthritis, as characterized by either disease-146 specific markers or circulating measures in all patients, corresponds with a significant amount of variation in the patients' gut microbiomes. Intriguingly, similar amounts of variation were also 147 explained by a patient's hemoglobin concentrations (q/L) (Taxonomy:  $R^2 = 1.1\%$  and FDR q-value 148 149 = 0.003, Pathway;  $R^2 = 1.2\%$  and FDR g-value = 0.009, Fig. 1E). Similar magnitudes of significance also demonstrate a consistent, but diverse, coupling of taxonomic and functional 150 151 aspects of the gut microbiome, as expected. HLA-B27, anti-CCP and RF-status all did not induce significant alterations in the overall composition of the gut microbiome (Brav-Curtis PERMANOVA 152 153 taxonomy; FDR q-value >0.01). Taken together, these results indicate that patients with 154 inflammatory arthritis do harbor broadly different configurations of microbes within their gut when 155 compared to similarly-aged healthy controls, consistent with previous studies (28, 34, 42).

#### 156 Microbial taxonomic alterations in rheumatoid arthritis and ankylosing spondylitis

157 We identified several taxa significantly associated with inflammation, diagnosis, and anemia or 158 more disease-specific markers of inflammation (e.g. BASDAI or DAS28-CRP) that paralleled 159 changes previously observed in dysbiotic individuals with IBD(1), including the clades 160 Streptococcus sp., Escherichia coli, and Ruminococcus gnavus(45) (Fig. 2A; Supplemental Fig. 161 **S4-8**). Examining the prevalence of these organisms across patients, it appears that *E. coli* and R. gnavus may exhibit a high abundance but low prevalence phenotype, in which a small number 162 163 of patients had substantially higher abundances of these taxa. Previously, this pattern was 164 observed with Prevotella copri in treatment-naïve RA patients (29, 34, 46), but that was not the 165 case in this cohort (Supplemental Fig. S9 and Supplemental discussion). Several of the clades 166 increased during inflammation are more commonly identified in the oral cavity (47-49) than in the 167 gut, including Streptococcus mutans, S. vestibularis, S. salivarius, and Bifidobacterium dentium 168 (Fig. 2B-C: Supplemental Fig. S5-6, 8). For these tests, we were careful to adjust for proton 169 pump inhibitor (PPI) usage, which has been hypothesized to facilitate the transversion of oral taxa 170 into the gut(50, 51). However, only 11 patients out of 275 were documented to be actively taking 171 PPIs at the time of sample collection, and we thus do not believe this to be the mechanism. We 172 do not have information on the oral health status (e.g. periodontal disease) of these patients, previous studies have found that the patients with RA were four times as likely to have poor dental 173 174 health (52), which strengthens the association of these different types of microbial disruption, but 175 leaves their respective causalities unclear.

176 Patients with IBD and T1D have both showed reduced abundance of the species 177 Faecalibacterium prausnitzii, Roseburia intestinalis, Eubacterium rectale and Alistipes 178 putredinis(1, 53-55), and we observed a similar decrease in our study for AS and RA 179 patients, either by our proxy marker for inflammation or by disease phenotype or disease-specific 180 markers (Fig. 2C-D; Supplemental Fig. S4-5, 8)(55-61). In particular, F. prausnitzii and R. 181 intestinalis lost both abundance and prevalence in patients with current inflammation, while E. 182 rectale abundance was observed to be tightly coupled with hemoglobin levels. These microbes are generally considered to be both highly responsive to inflammation and themselves anti-183 184 inflammatory by routes such as SCFA production (62-64), making the causality of these changes 185 difficult to untangle observationally. However, it is striking that gut microbial changes observed 186 here for systemic inflammation during arthritis were both taxonomically and functionally similar to 187 those occurring during gastrointestinal inflammation which has been hypothesized to occur due 188 to changes in oxygen availability in the gut ecosystem (65, 66).

189 R. gnavus has been implicated in many inflammatory conditions (67-70) and has been researched 190 more extensively in IBD(71-73). Additionally, using both single nucleotide variants (SNVs, via 191 StrainPhIAn(74)) and differences in pangenome-wide gene content (via PanPhIAn(75)), we 192 identified phylogenetic structures that were significantly enriched in AS and RA patients (denoted 193 Clade One; Kimura 2-parameter distance, PERMANOVA; R<sup>2</sup> = 0.18, FDR q-value = 0.01) when 194 compared the NIJP and HC individuals (which tended to carry members of Clade Other, Fig. 3B 195 and **Supplemental Fig. S12-14**). To strengthen these results, an isolate from one RA patient also 196 fell into *Clade One*, along with several isolates from a previously published IBD cohort(71). These 197 results indicate that the presence of inflammation both locally and at distal locations in the host 198 can correlate with structural, and potentially functional, changes in the gut microbiome. Other 199 species tested did not exhibit the same subclade structuring as R. gnavus in this population 200 (Supplemental Fig. S10).

Notably, isolates from *Clade One* specifically enhanced inflammatory phenotypes when introduced into a mouse model of arthritis. New isolates (**Fig. 3B**) were derived from participant fecal samples and inoculated into previously germ-free SKG mice. Two to three weeks after the introduction of these monocultures of *R. gnavus*, curdlan was injected to induce arthritis symptomology. The presence of isolates from *Clade One* in the gut were able to significantly potentiate the severity of arthritis-like symptoms in the SKG mouse, using both joint diameter and disease score as indicators of severity (**Fig. 3C-E**). This showed that the presence of these strains of *R. gnavus* is sufficient to induce a more severe phenotype, supporting its likely interaction with the immune system, as previously postulated (*72, 76*), and furthering arthritis symptoms.

# Functional profiling reveals consistent functional alterations across all subtypes of arthritis

212 We observed increased carriage of folate metabolism pathway and enzymes in arthritis patients 213 and those individuals with current high levels of systemic inflammation (e.g. PWY-3841: Folate 214 transformation II; linear model not inflamed vs. inflammation; coef = 0.4, q-value = 0.15; Fig. 4A-215 C; Supplemental Fig. S15-16). However, carriage of the methionine cycle (which typically 216 includes production of putrescine and homocysteine) was significantly decreased across both RA 217 and inflammation (e.g. PWY-6151: S-adenosyl-L-methionine cycle I; linear model not inflamed vs. inflammation; coef = -0.03, FDR q-value = 0.17) (Fig. 4A-C). Alterations to nucleotide and amino 218 219 acid pathways downstream of these processes are enumerated in Supplementary Fig. S19. 220 These lines of evidence suggest a dysregulation of folate metabolism in the gut ecosystem during 221 arthritis, but interestingly this was distributed among a variety of different potential encoding 222 organisms in different subjects (Supplemental Fig. S16).

223 Patient hemoglobin levels and inflammation status were also associated with decreases in gut 224 microbial carriage of vitamin B12 salvage and biosynthesis pathways (e.g EC 1.2.1.54: Precorrin-6A reductase; linear model Normal vs. Anemia; coef = -0.17, FDR q-value = 0.14), in tandem with 225 226 these disruptions in vitamin B9 (folate) and its interconnected pathways (Fig. 4A-B, D). Vitamin 227 B12 is a required cofactor in the link between the folate and methionine cycles(77) (Fig. 4B). 228 These alterations in the encoding of B12 salvage appear to be due to mainly (but not entirely) to 229 shifts in the relative abundance of *Eubacterium rectale* as noted above (Supplemental Fig. S17). 230 Additionally, the metagenomic abundances of several other enzymes associated with vitamin B 231 metabolism were also significantly linked to patient diagnosis, inflammation status, or anemia 232 status, including vitamin B1 (thiamin/thiazole), vitamin B2 (flavin), vitamin B6 (pyridoxine), and 233 vitamin B7 (biotin) (Supplemental Fig. S15, 18, 20).

234 Alterations of other gut metagenomic pathways regulating metabolic cofactors were also identified 235 among inflammatory arthritis patients. Genes encoding several enzymes linked with microbial iron 236 sequestration were enriched in inflamed patients, as well as in RA and AS patients specifically 237 (e.g. ENTBACSYN-PWY: Enterobactin biosynthesis; linear model not inflamed vs. inflammation; 238 coef = 0.62, q-value = 0.00014). These included genes encoding ferrochelatase, bacterial non-239 heme ferritin, ferroxidase, and heme biosynthesis (at the pathway level) (Fig. 5A-B). This agrees 240 with results in earlier, smaller RA cohort in which oral and gut capacity for iron transport was 241 disrupted (34). However, this was previously attributed specifically to Klebsiella spp.; in contrast, 242 as with disruptions in folate metabolism, we found contributions to iron sequestration to be 243 encoded by diverse taxa (Fig. 5C and Supplemental Fig. S21), and for non-heme mechanisms, 244 no one clade was individually associated with their differential carriage. This again indicates that 245 dysbiosis of these processes can be distributed among different microbes in different people, 246 and/or that there are strain-level differential carriage within taxa, such as with ABC transporters 247 within *R. gnavus* (Fig. 3C). However, heme related mechanisms appear to be driven mainly by the high abundance phenotype described above for *E.coli* in this population, as it is a major 248 249 contributor to these functions (Fig. 5C and Supplemental Fig. S21).

250 Several other functional classes, including both pathways and enzymes, exhibited differential 251 metagenomic carriage either by inflammation status or patient diagnosis (Supplemental Fig. 252 **S22-26**). The gene classes most highly associated with both RA and inflammation were those 253 relating to the production of isoprenoids or volatile hydrocarbons (VOCs) (Supplemental Fig. 254 **S22**). Microbial gene families that explicitly interact with host immunity were also differentially 255 carried during arthritis, such as cell wall remodeling proteins and oxidative stress response 256 (Supplemental Fig. S23-26). Finally, enzymes involved in the oxidative stress response including 257 methanogenesis, glutathione, and peroxiredoxin (Supplemental Fig. S23) and of interest to the 258 current short-chain fatty acid literature(78), 3-hydroxybutyryl-CoA dehydrogenases and shortchain acyl-CoA dehydrogenase enzymes were differentially carried in inflammation 259 (Supplemental Fig. S24). Overall, alterations to the functional landscape of the gut ecosystem 260 261 indicated disruptions in several key metabolism pathways during inflammatory arthritis.

## 262 **Discussion**

Here, we present the findings of a large cross-sectional study of adults with inflammatory arthritis 263 264 diagnosis (and control participants), investigating alterations in gut microbiome composition and 265 function associated with disease status and inflammation. Strikingly, the signals we detected 266 associated with this family of systemic inflammatory conditions largely paralleled those identified in diseases defined by gastrointestinal inflammation, such as IBD. Changes in microbial taxa, 267 functions (pathways and individual gene families), and in some cases even strains (e.g. 268 Ruminococcus gnavus) were shared between arthritis patients and other inflammatory diseases 269 270 such as IBD, T1D, and other metabolic disorders. These changes were largely consistent among RA, AS, and PsA, which was not captured by previous studies. In addition to the initial results 271 272 presented here, the corresponding large shotgun metagenomic and clinical dataset offers the 273 ability for further hypothesis generation and testing, including the potential for identification of 274 novel arthritis therapeutic targets.

275 In particular, several previously-suggested "pro-inflammatory" microbes were enriched here 276 during arthritis(1). This was particularly true for E. coli, which had an especially unique influence 277 on the corresponding community functional potential, and has been previously shown to be 278 enriched in many conditions including RA(43, 79). Mucin-degrading microbes such as R. 279 gnavus(68, 69) were also differentially carried and functional during disease, down to the subspecies level (Fig. 2). Recently, a substantial number of studies have found direct 280 281 associations between R. gnavus and inflammation, including in arthritis(84, 85). Potential 282 mechanisms include direct interaction of R. gnavus with the host immune system through 283 extracellular proteins(86). Notably, a subset of phylogenetically distinct R. gnavus isolates from 284 an individual in this cohort with RA and isolates from a healthy control and two IBD individuals were sufficient to increase arthritis severity when introduced into SKG, both supporting their 285 causality and agreeing with previous subclade results (Fig. 3B-E). Finally, the presence of 286 287 characteristically oral taxa in the gut microbiome of patients with chronic inflammation has been 288 well documented, including in patients with IBD, UC, CRC, and metabolic disorders(1, 48, 87, 88). 289 A few studies on patients with both AS and RA have also identified increases in streptococci in 290 the gut(21, 42), similar to what was observed in our population (Fig. 2). 291

These examples represent two ways in which our results generally agree with previous studies of the gut microbiome in inflammatory arthritis (41, 43, 79). We also observed similar broad patterns, such as the loss of typical gut consortia and increasing abundance of oral taxa and clades associated with gastrointestinal inflammation (34-41, 43). While we were slightly hindered by differences in collection targets within our disease subtypes (e.g. treatment-naive early RA 297 individuals vs. AS patients with predominantly controlled disease, and the larger sample size in our RA group [Methods]), microbiome alterations across different inflammatory arthritis 298 299 phenotypes were, when detectable, largely shared among such subpopulations. We found many 300 of the same microbes associated with either AS (Fig. 2) or BASDAI (Supplemental Fig. S6) as 301 we identified within our RA individuals. We hypothesize this is most likely due to the consistent 302 collection, sequencing, and analysis methods applied throughout our cohort, as well as its relative 303 geographical and environmental homogeneity, any of which can otherwise cause inter-study 304 differences(80, 81).

The observation of consistent shifts in the functional capacity of gut microbial communities in 305 306 patients with inflammatory arthritis provides the opportunity to explain their potential chemical and regulatory consequences. These include changes in folic acid metabolism, iron sequestration, 307 308 metabolism of broad classes of B vitamins, and production of isoprenoids (Supplemental Fig. 309 **S15-26**). Folic acid metabolism in particular (i.e. microbial processing of folate to downstream 310 compounds) was more abundant in arthritis patients with higher circulating CRP (Fig. 4). MTX is a dihydrofolate antagonist, which competitively binds to and blocks several folate pathway 311 enzymes. In treatment-naïve RA patients, higher basal folate metabolism has been documented 312 in the peripheral serum, and MTX treatment was shown to normalize that level of folate 313 314 metabolism(82). Treatment with MTX often relieves arthritis patients of many of their joint 315 inflammation symptoms, indicating a potential role of folic acid metabolism in the disease etiology 316 (although it is not clear that this is the mechanism of action for MTX in this case). Almost no 317 patients in this study were currently taking MTX (e.g. none of the RA patients), and increased 318 microbial folic acid metabolism thus appears independent of MTX exposure. Further, several 319 studies have implicated the role of well-regulated folate metabolism in the appropriate functioning 320 of the host's immune system(83-86), including NK cells(83), the proliferation of CD8+ T 321 lymphocytes(85), the survival of FOX3p+ regulatory T cells(86). One previous study, in a much 322 smaller cohort, has also identified changes in folic acid metabolism pathways associated with disease improvement within the gut ecosystem of patients with RA(41). Thus, folic acid 323 324 metabolism within the gut microbiome is a potential player in the aetiology of arthritis, and 325 warrants further mechanistic validation both linked to and independently of MTX usage.

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327 Despite greatly increasing both the sample size and depth of microbial data compared to previous 328 studies, the inter-individual diversity of the human gut microbiome means that our results are still 329 derived from a relatively small sample size - notably from a single country and dominated by a 330 single ethnicity. This is especially true with the confounding nature of clinical data, including site specific collection of distinct diagnoses, a huge age range, inherent differences in the sex 331 332 distribution and uneven loading of arthritis subtypes across sequencing batches. Further, since 333 we only used sequencing data, especially since these data are based on DNA profiles only, we 334 do not have a true functional profile. Thus, as noted several times above, it is impossible to 335 establish the causality or mechanism of these gut microbial changes from an observational human 336 study, and we fully expect our own and others' longitudinal human and model system research to 337 clarify these.

338 However, this study is the first to comprehensively evaluate functional changes within the gut 339 microbiome of patients with RA and AS at scale. Strikingly, we found what are becoming canonical 340 shifts in the distribution of several microbial processes in the gut during inflammation, not just in 341 local gastrointestinal conditions but during systemic inflammatory disease. Our study contributes 342 to the growing body of evidence that the gut microbiome and inflammation throughout the body 343 are tightly coupled, likely both casually and responsively, as the gut microbiome serves as a 344 mediator of environmental triggers and then also changes in response to immune activity. We 345 hypothesize that this occurs in part due to a functional "echo" of systemic inflammation in the gut 346 microbiome, due to the striking similarity in the specific processes that are altered in IBD and in 347 arthritis (to a lesser magnitude). Some of these alterations, such as those for B vitamin 348 metabolism (including both B9 and B12) could represent mechanisms for long-term prevention, 349 risk reduction, or treatment as well, as could microbial iron sequestration during arthritis-linked 350 anemia. We thus expect these results and resources to date to represent a first step in 351 understanding and managing inflammatory arthritis through its interplay with the gut microbiome.

## 352 Methods

#### 353 Cohort overview

Subjects were recruited for this multi-center study in Birmingham, UK (primarily RA and HC patients, exact numbers in **Supplemental Table 1**), Newcastle, UK (RA, PsA, NIJP, AS, and HC), and Oxford, UK (AS and HC only) from June 2015 until March 2020. Patients enrolled for this study were aged 17 to 97 years. As expected based on disease epidemiology(*87, 88*), diagnoses were skewed by female sex, comprising 63%, 30%, 40%, 85%, and 58% of the patients with RA, AS, PsA, NIJP, and HC, respectively. The majority of participants reported non-Hispanic white ethnicity (74%). Approximately 50% of our population had never smoked cigarettes, and this was

- 361 generally lower among cases than controls (**Supplemental Table 1**).
- 362 Use of human subjects

363 All samples were collected under the Inflammatory Arthritis Microbiome Consortium umbrella 364 biospecimen protocol from one of the three main collection sites. For this study we focused on 365 adults with clearly defined arthritis (corresponding to collection sites in Oxford, Birmingham, and 366 Newcastle, UK). Patients were consented by their treating physician to have blood and stool 367 collected. Other important clinical metadata captured by the treating physician included disease-368 specific measures of BASDAI (for AS patients), DAS28 (for RA and PsA), and swollen/active joint 369 counts. All clinical and demographic information was curated and securely housed in REDCap(89, 370 90). Participants provided written informed consent. The study was approved by the Newcastle 371 and North Tyneside Regional (REC 12/NE/0251), Oxfordshire (REC 06/Q1606/139) and West

372 Midlands-Back Country (REC 12/WM/0258) Research Ethics Committees.

## 373 Collection sites and clinical information

374 Biological material was obtained from consenting patients referred from primary care with 375 suspected arthritis and seen in either the Newcastle Early Arthritis Clinic (NEAC), UK [Newcastle 376 upon Tyne NHS Foundation Trust], the Birmingham Early Arthritis Cohort from Sandwell and West Birmingham NHS Trust, University Hospitals Birmingham NHS Foundation Trust, and the Nuffield 377 Orthopaedic Center (NOC) and Oxford biobank during 2017-2019. The recruitment strategy was 378 379 designed to minimize enrolment of individuals exposed to systemic corticosteroids and/or 380 disease-modifying anti-rheumatic drugs (DMARDs) prior to biological sampling. Clinical 381 diagnoses were ascertained by board-certified rheumatologists in accordance to standard and appropriate classification criteria where available. RA was assigned only where 1987 American 382 383 College of Rheumatology or 2010 European League Against Rheumatism/American College of 384 Rheumatology classification criteria were fulfilled. All axial spondyloarthritis patients met the 385 Assessment of Spondvloarthritis International Societv (ASAS) criteria for axial 386 spondyloarthritis(91). When plain radiographs were performed, the vast majority (>90%) 387 additionally met modified New York Criteria for Ankylosing Spondylitis and we have hence used AS. A diagnosis of non-inflammatory joint pain (NIJP) was assigned when the consulting 388 389 rheumatologist considered the presentation neither attributable to an inflammatory arthritis, nor to

- 390 osteoarthritis. For the HC's from the Oxford biobank, samples were selected to enrich for HLA-
- B27 positive individuals, specifically selecting for ~50% of the controls being positive for the HLA B27 allele.

#### 393 Sample collection

#### 394 Blood collection and processing

Blood samples were collected at routine clinical visits. Whole blood was drawn and stored at –
 80 °C. For serum, blood was drawn into a 5-ml SST tube and allowed to clot at room temperature
 after centrifugation for 15 min at 1000G. Serum supernatants were aliquoted and stored at –80 °C
 and in accordance with approved protocols. Blood samples were assayed for CRP, RF, anti-CCP,
 HLA-B27 genotype, full blood count, and liver function levels by UK certified labs. RF and anti CCP were classified as positive or negative according to local laboratory cut-offs.

#### 401 Stool collection

Those enrolled were provided with a previously-validated stool collection kit designed to maximize ease of participation and to impart a minimum perturbative effect on downstream extraction and computational protocols(*92*). They were also furnished with a brief dietary inventory modeled after prior investigations and a questionnaire surveying various microbiome-relevant exposures, such as the recent use of antibiotics, each completed at the time of collection.

All EtOH fixed kits, for metagenomic sequencing, were returned within 1-3 days of a matched
blood sample via Royal Mail Response Service Delivery. Participants used a FecesCatcher (Tag
Hemi) to collect a stool aliquot into a Sarstedt Feces container containing 100% molecular biology
grade ethanol (Merck Life Sciences) to facilitate preservation at more ambient temperatures.
Participants returned the samples enclosed in UN3373 Category B Postal kit boxes (Air Sea
Containers) to the Kennedy Institute of Rheumatology via Royal Mail delivery. Samples were
immediately stored at -80°C upon arrival until processed for DNA/RNA extractions.

For fresh-frozen stool, used for bacterial isolation, participants were provided with stool collection kits during clinic visits. Samples were collected at home using a FecesCatcher (Tag Hemi) and a feces container (Starstedt) and placed inside a disposable styrofoam container with frozen ice packs. Participants returned the kits to the clinic in person and samples were frozen at -80°C immediately upon arrival. Fresh-frozen stool was pulverized into a homogenous mixture utilizing a Biopulverizer (Stratech) cooled in liquid nitrogen prior to aliquoting

## 420 **Phenotype and clinical covariate curation**

421 Study data were collected and managed using REDCap electronic data capture tools hosted at The Kennedy Institute for Rheumatology (89, 90). REDCap (Research Electronic Data Capture) 422 423 is a secure, web-based software platform designed to support data capture for research studies, 424 providing 1) an intuitive interface for validated data capture; 2) audit trails for tracking data manipulation and export procedures; 3) automated export procedures for seamless data 425 426 downloads to common statistical packages; and 4) procedures for data integration and 427 interoperability with external sources. Data dictionary for the variables included in this study can 428 found in **Supplemental Table 2**.

## 429 Microbiome sequencing

#### 430 DNA extraction

431 Prior to extraction, stool aliquots were transferred to a Lysing Matrix E tube (MP Biomedicals) 432 containing 500ul of extraction buffer consisting of 200 mM NaCl, 20 mM EDTA, 4 M guanidine 433 thiocyanate and 1 % (v/v) β-mercaptoethanol. After adding 210ul of 20% SDS, tubes were 434 vortexed and homogenised in a Precellys tissue homogeniser 6500 for 30 seconds. Total nucleic 435 acid was extracted from stool samples using the Zymo ZR-duet DNA/RNA mini-kit (Zymo 436 Research) following the manufacturer's standard instructions. DNA was eluted in DNAse/RNAse-437 free water and stored at -80°C.

#### 438 Shotgun metagenomic sequencing

439 All sequencing was completed at the Oxford Genomics Centre at the Wellcome Centre for Human 440 Genetics (Oxford, UK). Briefly, DNA was first quantified using two mechanisms 1) Quant-iT<sup>™</sup> PicoGreen® dsDNA kits (Invitrogen, Carlsbad, CA, USA) and 2) a FLUOstar OPTIMA plate 441 442 scanner (BMG Labtech, Offenburg, Germany); both protocols followed the manufacturers' 443 instructions. For shotgun metagenomic sequencing, approximately 500ng of gDNA extracted from 444 stool samples was fragmented using an Episonic system (Epigentek, Farmingdale, NY, USA). 445 Library preparation proceeded using NEBNext Ultra DNA Sample Prep Master Mix Kit (NEB, 446 Ipswitch, MA, USA), with some minor modifications to the base protocol and a customized 447 automated preparation system on the Biomek FX (Beckman, Jersey City, NJ, USA). Adaptors 448 were ligated using the Illumina (Illumina, San Diego, CA, USA) Multiplex Adaptors, and size selection proceeded with Ampure beads (Agencourt, Beckman, Jersey City, NJ, USA). Libraries 449 450 were enriched with PCR. Then prepared libraries were QC'ed with Ampure beads and 451 PicoGreen<sup>®</sup> before paired end sequencing over 8 lanes of HiSeg 4000. Each lane generated 72-98GB of data resulting in an average of 59,892,940 reads (s.d. 9,217,417) per sample. 452

#### 453 Metagenomic analysis

#### 454 Bioinformatic processing

All sequence processing was completed using the bioBakery shotgun metagenomic workflow v0.13.2(93), with components as described below. When applicable, after demultiplexing, multiple barcodes per sample were first concatenated, as all samples were run on two separate sequencing runs ~96 samples at a time (referred to here as sequencing batch).

459 Then samples were QC'ed for read quality and removal of human reads. Briefly, KneadData v0.7 460 was used to align all reads to a human and common sequencing contaminant database (DB: Homo sapiens hg37 and human contamination v0.1 and SILVA 128 DB v0.1) to remove 461 contaminant reads from each file. Trimming and low-quality read removal proceeded via 462 463 Trimmomatic(94) first through a sliding window trim set at a window size of 4, with an average 464 guality score of 20 for the window. Once cut, the minimum length for the remaining sequence had to be longer than 50 base pairs. A schematic of the remaining high-quality reads is in 465 466 Supplementary Fig. S1, with remaining average read count per sample 54,818,546 (s.d. 467 9,034,220).

## 468 <u>Taxonomic assignment</u>

469 The resulting high-quality reads were then taxonomically profiled using MetaPhIAn 2 v2.2.0(95,

- 470 96)(https://github.com/biobakery/MetaPhIAn). Briefly, per-species marker genes are identified by
- 471 clustering all open reading frames per species (as deposited at the NCBI) into pangenomes, then

472 identifying the core genes conserved across each species, and refining these to at most 200 that 473 do not occur outside of each species. For subsequent profiling, Bowtie2(97) was used to align each sample's reads to the resulting marker genes for taxonomic assignments. Read count per 474 475 marker gene is normalized by marker gene length, outliers with low or high coverage were 476 trimmed, and relative abundance was calculated per species and up the stratified taxonomy. For 477 analysis, the resulting feature tables were stratified by taxonomic level (species used unless 478 otherwise stated) and filtered for features with a minimum of 10% prevalence at 0.001% 479 abundance.

#### 480 Functional profiling

481 Each metagenomic sample was also functionally profiled using HUMAnN 2 v0.11.1(98) 482 (https://github.com/biobakery/humann). Briefly, HUMAnN leverages the same per-species 483 pangenomes as MetaPhIAn to create species-specific functional databases, then aligns the reads 484 to those pangenomes again using Bowtie2(97). Any reads remaining after this search are then 485 translated to the corresponding amino acids and searched against UniProt(99) using 486 DIAMOND(100). This allows for the characterization of the encoded functions and the per-species contribution of those functions. Once generated, HUMAnN genes were regrouped into Enzyme 487 488 Commission (EC) numbers and MetaCyc(101) metabolic pathways for analysis and converted to 489 relative abundances. For downstream analysis, features were again retained at a 10% prevalence 490 and 0.001% abundance threshold.

#### 491 Strain profiling

492 Finally, we completed strain-level profiling through two different mechanisms: (1) SNV profiling to call the dominant strain per species within each sample, using default StrainPhIAn v2.6.0(74) 493 494 parameters (http://segatalab.cibio.unitn.it/tools/strainphlan/) and (2) genotyping each sample 495 based on the presence and absence of genes within the entire species' pangenome, leveraging the default settings of PanPhIAn v1.2.2.5(75) (http://segatalab.cibio.unitn.it/tools/panphlan/). 496 497 StrainPhIAn provides a single genotype for the dominant strain per species per metagenome by 498 variant-calling within the unique per-species marker genes (for species with sufficient read depth 499 to do so). PanPhIAn likewise provides a single genotype per strain per species per sample, but 500 does so by identifying which genes from the species pan-genome are present or absent in 501 sufficiently-covered organisms.

502 For both StrainPhIAn and PanPhIAn, we analyzed the top 20 most abundant species within our 503 dataset and a further curated list of 16 additional targeted (a posteriori) species from our MaAsLin 504 2 linear modelling results based on previous literature of interest(27-33). From the resulting 505 StrainPhIAn sequence genotypes, we calculated the phylogenetic distance between every pair of 506 applicable samples using ape::dist.dna function v5.3(102) in R, then tested for significantly 507 nonrandom phylogenetic partitioning of participant phenotypes using the adonis function within 508 vegan v2.5-6 package (103). We assessed signatures of positive or negative selection per strain-509 specific marker gene genotype using the ape::dnds function.

From PanPhlAn genotypes, we removed genes either too core/prevalent or too rare to analyze, i.e. greater than 80% prevalent overall or less than 30% prevalent in either the control or the disease associated groupings. We further limited the UniRef families included in our analysis by using a *t.test* in R for differences between the control (HCs and NIJP) and the inflammatory arthritis samples (AS, RA, and PsA). Any gene with FDR q<0.2 was included in the subsequent analysis. Then we calculated the Jaccard distances in a pairwise manner using the vegan v2.5-6 package(*103*) in R.

#### 517 Bacterial isolates analysis

To isolate R. gnavus from donors stools we utilize a robotized platform that enables isolation and 518 519 culturing of a high proportion of the bacteria found in the human gut(104). Isolation was carried 520 out on fresh-frozen samples collected separately from ethanol-fixed samples used for sequencing 521 (see Methods). Briefly, clarified stool samples are plated on solid media, followed by growth 522 under a range of environmental conditions designed to cultivate anaerobic, microaerophilic, 523 aerobic and spore-forming bacteria. Next, 384 colonies are picked for each donor sample and 524 regrown in liquid media in multiwell plates. Each isolate is then identified by a combination of 525 MALDI-TOF mass spectrometry and whole genome sequencing. Using this knowledge, the 526 original 384 isolates are de-replicated and unique strains for each donor are archived in multiwell 527 plates, which allows for automated selection of specific strains and sub-communities. The 528 sequencing reads from each cultured isolated were quality filtered with Trimmomatic(94) and assembled using Spades(105). Assembly strains from patients were then included as references 529 530 in StrainPhIAn.

#### 531 <u>Gnotobiotic Mouse Model of Inflammatory Arthritis</u>

532 All mouse experiments were approved by the Mount Sinai Institutional Animal Care and Use 533 Committee. Germ-free SKG mice(106) were bred in isolators at the Icahn School of Medicine 534 Gnotobiotic Facility with free access to sterile water and chow (5K67, LabDiet) and under a 12-535 hour light/dark cycle. At 6-8 weeks of age, both male and female mice were colonized with a 536 single strain of R. gnavus by oral gavage. Mice were then housed in positive pressure cages and maintained under strict aseptic conditions. Two weeks after colonization, arthritis was induced by 537 538 intraperitoneal injection of 3 mg of Curdlan (beta-1,3-glucan) in PBS. Arthritis score was 539 monitored weekly using a scoring system and by measuring the dimension of peripheral joints in 540 three locations bilaterally: dorsal-palmer dimension of front paw, dorsal-plantar dimension of hind paw footpad, and width of hind paw 'ankle'. The change from baseline of the sum of these six 541 542 measurements is reported. The scoring system used was as follows: 0; no swelling or redness, 543 0.5; swelling or redness of digits and mild swelling and/or redness of the joint, 1; mild swelling of 544 the joint, 2; moderate swelling the joint, 3 substantial swelling of the joint. The sum of the score 545 for all four paws is reported.

546

## 547 **Downstream statistics and visualization**

#### 548 Statistics

549 Two primary classes of statistical testing were used throughout this analysis, omnibus tests and 550 per-feature tests. The former assessed whether whole microbial community structure was significantly different based on phenotype, whereas the latter assessed this for each individual 551 552 feature (e.g., taxon, pathway, etc.). Omnibus tests were generally carried out using Bray-Curtis-553 based PERMANOVA for the taxonomic, pathway and, enzyme-based feature tables using the 554 vegan v2.5-6 package (103) in R. With one notable expectation, we ran all models in an adjusted univariate format, consisting of sequencing batch followed by the variable of interest [i.e. 555 556 adonis(bray ~ batch + x)] with 1,000 permutations. However, to test the collection center variable, 557 we added diagnosis to the adjustments to the model, since diagnosis was substantially 558 confounded with clinical site (i.e., patients carrying certain diagnoses were only seen at certain centers). All p-values that are presented are FDR corrected using the p.adjust function unless 559 560 otherwise stated.

Additionally, for diagnosis and inflammation status, we used the package default pairwise.adonis v0.0.1(*107*) to identify which of the diagnoses or inflammation status categories might be driving the overall results. The same method PERMANOVA model was used for strain testing, but with Kimura 2-parameter distances as input (ape::dist.dna). For our PanPhlAn presence/absence data, we tested for significantly different presence or absence of genes using a chi-Squared test by leveraging the GTest function in the DescTools package(*108*) in R.

567 For parametric feature-wise multivariable testing we used MaAsLin 2 v1.4.0(109) in R, which finds 568 associations between microbial features and metadata of interest. MaAsLin uses a transformed generalized linear model to associate each feature iteratively with covariates of interest, here 569 570 using a variance-stabilizing log transformation plus a small pseudocount of half the minimum 571 feature value for microbial relative abundances (total sum scaling). It then models each microbial feature as a function of the patient's age and adjusts the resulting p-values for multiple hypothesis 572 573 tests, using BH correction. As noted above for different analyses, we used several variants of the 574 main feature-covariate model (Equation 1): first, a fully multivariable model that was the most 575 conservative adjusting for the most patient information; second, a reduced model accounting only 576 for technical sequencing batch; and finally, the least conservative model only adjusting for age 577 with the metadata of interest (inflammation status or patient diagnosis or anemia status). In 578 general, the model without sequencing batch was not used, since there was little evidence of technical batch effects and since inflammation and diagnosis were imbalanced across our 579 580 sequencing batches. Further we compared the categorized way of processing CRP data to other 581 disease specific markers including BASDAI and the Das28 metrics and they identified many of the same taxonomic features and importantly in the same direction (Supplementary Fig. S6) 582

- 583 Equation 1: Feature ~ batch + drug + age + inflammation status OR patient diagnosis OR anemia status
- 584 <u>Visualization</u>

Most visualizations were carried out using standard methods in R ggplot2 v3.3.2(*110*), ggridges v0.5.2(*111*), ggthemes v4.2.0(*110*), gridExtra v2.3(*112*), gtools v3.81(*113*), and ggtree v2.0.2(*114*, *115*). The principal coordinate analysis was done using the capscale function in vegan(*103*).

- 589 Additionally, we used GraPhIAn v0.9.7(*116*) to construct the cladogram in **Fig. 2**. Additional R
- 590 packages used for data manipulation and processing include pylr v1.8.6(*117*), dpylr v1.0.2(*118*), 591 scales v1.1.0(*118*), mgsub v1.71(*119*), and RColorBrewer v1.1.2(*120*).

## 592 Supplemental Material

- 593 Supplemental Results Text
- 594 Supplemental Discussion
- 595 Supplemental References
- 596 Supplemental Table S1: Demographic information stratified by patient diagnosis.
- 597 Supplemental Table S2: Data dictionary of the data reported within the manuscript.
- 598 Supplemental Figure S1: Quality control metrics for each sample included, or excluded from this population.
- 599 Supplemental Fig. S2: Additional patient demographic information.
- 600 Supplemental Figure S3: Community-wide alterations in the gut microbiome by patient diagnosis and inflammation status.
- 602 Supplemental Figure S4: Consistent taxonomic alterations across the inflammatory arthritis phenotypes.
- 603 Supplemental Figure S5: Species-level associations with Anemia, AS, RA, inflammation and some inflammation.
- 604 Supplemental Figure S6: Large overlap in the taxonomic features associated with the categorized inflammation and all other inflammatory markers.
- 606 Supplemental Figure S7: Higher order phylogenetic associations with Anemia, AS, RA, inflammation and some inflammation.

- 608 Supplemental Figure S8: Predictive analysis largely confirms per-feature taxonomic and functional results.
- 609 Supplemental Figure S9: Normal abundance and prevalence of Prevotella copri in this population of patients with 610 inflammatory arthritis.
- 611 Supplemental Figure S10: Several species of microbes were tested for subclade level structuring within the gut 612 microbiome, only Ruminococcus was significantly associated with clinical features.
- 613 Supplemental Figure S11: Limited sub-species level associations with diagnosis.
- 614 Supplemental Figure S12: Further details of Ruminococcus gnavus's subclade structuring with patient diagnosis.
- 615 Supplemental Figure S13: Pangenome changes associated with the disease associated Clade one of Ruminococcus 616 gnavus.
- 617 Supplemental Figure S14: Entire dN/dS results from the R. gnavus marker genes.
- 618 Supplemental Figure S15: Differential encoding of vitamin B processing within the gut ecosystem of patients with 619 inflammatory arthritis.
- 620 Supplemental Figure S16: Extension of the Pathways and enzymes dysregulated in vitamin B9, folate, encoding.
- Supplemental Figure S17: Anemia is associated with loss of encoding of vitamin B12 salvage and biosynthesis.
- 621 622 623 624 Supplemental Figure S18: Example features and the species encoding the enzymes and pathways involved in the differential encoding of vitamin B processing in inflammatory arthritis.
- Supplemental Figure S19: Downstream pathways dependent on folate metabolism and vitamin B cofactors including 625 the biosynthesis of nucleic acids and amino acids were dysregulated.
- 626 Supplemental Figure S20: Broadly functional features associated with cofactor, carrier, and vitamin processing were 627 628 deregulated in the gut ecosystem of patients with inflammatory arthritis.
- Supplemental Figure S21: Bacteria involved in the encoding of iron sequestration were dependent on the mechanism 629 of sequestration.
- 630 Supplemental Figure S22: The encoding of isoprenoid biosynthesis was highly enriched in the gut ecosystem of patients 631 with inflammatory arthritis.
- 632 Supplemental Figure S23: Differential encoding of pathways and enzymes was observed across several broad 633 environmental response categories.
- 634 Supplemental Figure S24: Pathways and enzymes involved in long and short chain fatty acids biosynthesis, 635 degradation, and processing, were dysregulated.
- 636 Supplemental Figure S25 Aromatic, amine, and polyamine processing was differentially encoded across the 637 inflammatory and diagnosis categories.
- 638 Supplemental Figure S26: Broadly pathways and enzymes in sugar metabolism and glycan metabolism were
- 639 dysregulated in the gut ecosystems of patients with inflammatory arthritis.

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#### 915 Figure captions

916 Figure 1: The gut microbiome is significantly altered in patients with inflammatory arthritis. A) Overview of the 917 participants and samples collected from each subtype of arthritis and the B) collection schema. C) Prevalence of 918 arthritis subtypes by age collected under this cross-sectional study by the Inflammatory Arthritis Microbiome Consortium 919 (IAMC). D) Proportion of patients with overt inflammation, some inflammation and no inflammation defined by tertiles 920 of circulating serum levels of CRP by diagnosis (0-4mg/L Not inflamed, 4-10mg/L Some inflammation, 10-167mg/L 921 Inflammation). E) Univariate PERMANOVA of Bray-Curtis dissimilarity by demographic and clinical measures. Color 922 923 indicates the features assessed (microbial taxonomy, metagenomic pathways, and metagenomic Enzyme Commission (ECs) numbers). Stars indicate FDR-corrected q-values. Tests are batch adjusted (i.e. Bray-Curtis ~ sequencing batch 924 + covariate). \*\*The effect of the collection site was also adjusted for by diagnosis to account for the fact that some sites 925 only enrolled individuals with a particular disease subtype. F) Bray-Curtis principal coordinates analysis of all 440 926 taxonomic profiles, with overall diagnosis tracking weakly with a typical Bacteroidetes/Firmicutes population gradient. 927 Species are labeled by using weighted averages and limited to just species whose abundance explains samples that 928 fall outside of 0.04 distance from origin.

929 Figure 2: Taxonomic features differ in both a diagnosis- and severity-specific manner. Many features of the gut 930 microbiome were found to be significantly associated with the diagnosis of the patients, their current levels of systemic 931 inflammation (categorized CRP value), or their concurrent hemoglobin levels (linear model; FDR q-value < 0.25). A) 932 Clade-specific taxonomic alterations of the gut microbiome by inflammation (inflamed vs. not inflamed, some 933 inflammation vs. not inflamed), diagnosis (RA vs. HC, AS vs. HC), and hemoglobin levels (Anemia vs. Normal). These 934 variables have been reduced for clarity, all results are presented in Supplemental Fig. S4. B) Microbial species 935 associated with higher levels of systemic inflammation as measured by CRP in the serum. Changes were observed in 936 both the profiles of each clade's relative abundance within the gut community and, in many cases, their prevalence as 937 well. Increased abundance and prevalence were observed in three previously inflammation-associated species(45. 938 121, 122): Streptococcus vestibularis (linear model; coef = 0.707, FDR q-value = 0.002)(a typically oral clade), and the 939 proinflammatory Escherichia coli (linear model; coef = 0.788, FDR g-value = 0.006) and Ruminococcus gnavus (linear 940 model; coef = 1.005, FDR q-value = 0.003). C) Example disease-specific alterations in the gut microbiomes of patients 941 with inflammatory arthritis. Faecalibacterium prausnitzii exhibits a lower abundance and prevalence in patients with RA 942 (linear model; coef = -0.299, FDR q-value = 0.126) and, to a lesser extent, AS (linear model; coef = -0.344, FDR q-943 value = 0.21), which parallels its changes in other inflammatory conditions. The typically oral-sourced clade 944 Streptococcus salivarius had a higher prevalence and abundance especially in RA patients. D) A loss of several typical 945 human gut residents was observed with lowering hemoglobin concentrations (d/L), with the highest effect size observed 946 in Eubacterium rectale (linear model; coef = 0.832, FDR q-value = 0.006).

947 Figure 3: Identification of a *Ruminococcus gnavus* sub-species clade enriched in patients with inflammatory 948 arthritis, A) Species tested for sub-species phylogenetic structure associated with diagnosis and inflammation. Of the 949 25 taxa chosen for testing based on abundance and significant species-level disease associations, only 21 species 950 had sufficient sample sizes to investigate for disease and inflammatory associations. Only Ruminococcus gnavus 951 exhibited significant subspecies level structuring (Kimura 2-parameter distance, PERMANOVA; R2 = 0.18, q-val = 952 0.01; StrainPhlAn(65)) (Supplemental Fig. S10-12) B) Phylogeny of R. gnavus strains from each individual's gut 953 microbiomes. Clade One was significantly associated (as above) with patient diagnosis, predominantly carried by AS 954 patients and a subset of RA patients. Clade One also contained isolates from IBD patients that were sourced from a 955 subset of the PRISM cohort(66) and isolates from an RA patient (Methods). Triangles indicate isolated strains used in 956 (D) and (E). C) Clade One R. gnavus induces an arthritis-like phenotype in SKG mice. Previously germ-free mice were 957 inoculated with a monoculture of a single R. gnavus strain (arrows on Fig. 3B) at week 1. Two to three weeks later 958 curdlan was injected to simulate arthritis. Male and female mice were then followed for joint diameter changes (D) and 959 disease score (E). Mice inoculated with the human disease-associated clade were more likely to develop severe 960 symptoms than mice exposed to other R. gnavus clades.

Figure 4: Differential encoding of vitamin B metabolism and processing in the gut microbiomes of patients
 with inflammatory arthritis. A) Significant associations between patient diagnosis, inflammation status, and
 hemoglobin levels with folic acid metabolism, vitamin B12 salvage and biosynthesis and methionine biosynthesis and
 cycling (FDR q-value < 0.25). Folic acid metabolism (top; B9) shows the dichotomy of the association between upstream</li>

965 pathway components positively associated with both diagnosis and inflammation, but the methionine component of the 966 pathway is mainly negatively associated with diagnosis (RA and AS), hemoglobin, and especially inflammation. All 967 vitamin B12 pathways and enzymes identified (bottom) were negatively associated with diagnosis, inflammation, and 968 hemoglobin levels. **B**) Folic acid metabolism pathway components carried by gut microbes. Enzymes in the one-carbon 969 metabolism cycle were enriched in gut metagenomes during inflammatory arthritis (red), while enzymes in the 970 methionine cycle were decreased (blue). Cofactors in each cycle (various B vitamins) displayed diverse enrichment 971 patterns. **C**) Increased encoding of folate metabolism cycle components within the gut microbiomes of patients with 972 inflammatory arthritis. **D**) Decreased encoding of both the salvage and biosynthesis of vitamin B12 in arthritis gut 973 ecosystems.

974 Figure 5: Increased metagenomic carriage of pathways and enzymes involved in iron sequestration across 975 several distinct mechanisms in arthritis patients with high serum C-reactive protein. Disruptions in iron 976 sequestration has been previously observed in several immune cell types in patients with inflammatory arthritis(123); 977 parallel functional shifts were observed here in the gut microbiome, with increased carriage of several enzyme 978 categories involved in the sequestration of iron. A) Metagenomic functional features (pathways and enzymes) with 979 disrupted, mainly increased, carriage in the gut ecosystems of patients with elevated C-reactive protein values. 980 Mechanisms of increased microbial iron sequestration spanned heme and non-heme (ferritin) based storage, high 981 affinity siderophores, and the production of phytates (which decrease the absorption of iron by hosts(124)). B) Enzymes 982 from several of the sequestration mechanisms. C) Species that most contribute to carriage of the ferrochelatase iron 983 sequestration gene family. A clear shift in species encoding this gene between not inflamed and inflamed gut 984 ecosystems, with increases coming from clades including Escherichia coli and Streptococcus salivarius.

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#### 1001 Author contributions

1002 This study was conceptualized and designed by FP, CH, KR, PB, AGP, DRL, SPY, JJF, LRW 1003 and PCT. Data and specimen collection was completed by PC, SJB, AF, CP, SK, AGP, PB, and 1004 KR. Molecular methods prior to sequencing were done by PC, IB, CP, CM, VK, and LHL. Computational storage of clinical and sequence data was designed, maintained, and organized 1005 1006 by KSB, NEI, PC, LJM, MAJ, and BM. Analysis was completed by KNT, KSB, NEI, GJB, SM, and 1007 LHN. Feedback and directions were guided by AGP, PB, and KR. Mouse work was completed by 1008 GJB and JJF. Manuscript was written by KNT, LHN, AP and CH. Editing was completed by all 1009 authors.

## 1010 Competing interests

1011 D.R.L. is a founder and advisor to Vedanta Biosciences.

#### 1012 Data availability

1013 Sequence data and metadata are available for approved user to download through the EGA 1014 (https://ega-archive.org/), study accession number EGAS00001005525. While processed 1015 taxonomic and functional tables are available in supplement (**Supplemental tables S3-5**).

#### 1016 Code availability

1017 Bioinformatic workflows for metagenomic processing available are at 1018 https://huttenhower.sph.harvard.edu/biobakery\_workflows, these include some basic statistical 1019 and visualization scripts. Custom analysis scripts are available at 1020 http://huttenhower.sph.harvard.edu/Adult cross-sectional IAMC