Clinical and cost evaluation of two models of specialist intensive support teams for adults with intellectual disabilities who display behaviours that challenge: the IST-ID mixed-methods study

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Background
Intensive support teams (ISTs) are recommended for individuals with intellectual disabilities who display behaviours that challenge. However, there is currently little evidence about the clinical and cost-effectiveness of IST models operating in England.

Aims
To investigate the clinical and cost-effectiveness of IST models.

Method
We carried out a cohort study to evaluate the clinical and cost-effectiveness of two previously identified IST models (independent and enhanced) in England. Adult participants (n = 226) from 21 ISTs (ten independent and 11 enhanced) were enrolled. The primary outcome was change in challenging behaviour between baseline and 9 months as measured by the Aberrant Behaviour Checklist-Community version 2. We found no statistically significant differences between models for the primary outcome (adjusted $\beta = 4.27$, 95% CI: $-6.34$ to $14.87$, $P = 0.430$) or any secondary outcomes. Quality-adjusted life-years (0.0158; 95% CI: $-0.0088$ to 0.0508) and costs (£3409.95; 95% CI: £9957.92 to £4039.89) of the two models were comparable.

Conclusions
The study provides evidence that both models were associated with clinical improvement for similar costs at follow-up. We recommend that the choice of service model should rest with local services. Further research should investigate the critical components of IST care to inform the development of fidelity criteria, and policy makers should consider whether roll out of such teams should be mandated.

Keywords
Intellectual disability; developmental disorders; cost-effectiveness; outcome studies; intensive support.

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Study design
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Service and participant recruitment
The research team prepared a matrix of all identified IST services in England stratified by model type, case-load size and area. The

Approximately 18% of adults with intellectual disabilities (lifelong limitations in adaptive functioning evident in early life) living in the community display aggression, self-injury, property destruction or other socially inappropriate behaviours (e.g. sexual disinhibition, screaming or hitting out, etc.) in their lifetime. Some 24 000 adults with intellectual disabilities are at risk of being admitted to specialist psychiatric assessment and treatment units, often because of the display of such behaviours.

Research suggests that these individuals are subject to unnecessary long-term psychotropic medication use, poorer health, abuse and social exclusion. International studies indicate that adults with intellectual disabilities are more likely to visit the emergency department for psychiatric issues, return to the emergency department within 30 days of discharge, be in long-term in-patient care and experience premature mortality. Failure to effectively address behaviours that challenge before a crisis arises causes significant distress and burden to families and consequent breakdown of placements, in addition to significant healthcare and societal costs. A recent census of the Transforming Care Programme in England, a national initiative to drive improvements in the care of people with intellectual disabilities who display behaviours that challenge, indicated minimal change in relation to the number of in-patient admissions, length of hospital stay, out-of-area placements and antipsychotic medication use, confirming concerns about the lack of progress in the care of this population group across the country. Intensive support teams (ISTs) are community services that complement the community intellectual disability services and have been in operation since the early days of community care. However, there is little evidence to recommend a preferred IST model, and there are no nationally specified outcomes for IST care. The National Institute for Health and Care Excellence (NICE) recognised the importance of such specialist treatment services, but did not find sufficient evidence that they were clinically effective or that they reduced costs. Hassiotis et al have reported the typology of ISTs, which led to the identification of two models, independent and enhanced. The aim of the present study was to examine the clinical and cost-effectiveness of the two IST models at 9 months follow-up.
service managers of ISTs representing the two models were randomly invited to take part in the study. If they refused or did not respond, the next service in the matrix was approached until the required number of ISTs and participants were enrolled. The study inclusion criteria for services were as follows: ISTs operational for at least a year and ISTs funded for the duration of the study; for patient participants, the inclusion criteria were as follows: adults aged 18 years or over with a clinical diagnosis of mild to profound intellectual disabilities, and being under the care of an IST (either model) including new referrals. Those with a primary diagnosis of personality disorder or substance misuse, or a clinical decision that taking part in the study would be inappropriate because of risks, were excluded. Potential participants and their family/paid carers were approached by researchers and, where available, staff from the Clinical Research Networks to seek expressions of interest to take part in the study.

Consent statement
Participants provided written and/or audio-recorded verbal consent for in person or online assessments, respectively. For participants with intellectual disabilities who did not have capacity to make an informed decision about taking part in this study, we obtained written and/or audio-recorded agreement from a personal/nominated consultee.

Ethics statement
The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national and institutional committees on human experimentation and with the Helsinki Declaration of 1975, as revised in 2008. All procedures involving human patients were approved by the London Bromley Research Ethics Committee (approval number 18/LO/0890). The study was registered with ClinicalTrials.gov (identifier NCT03586375), the Integrated Research Application System (identifier 239820) and the National Institute for Health Research (NIHR) Central Portfolio Management System (identifier 38554).

Outcomes
The primary outcome was change in challenging behaviour as measured by the carer-reported A aberrant Behaviour Checklist-Community version 2 (ABC-C).\(^{16}\) Secondary outcomes were mental health comorbidity (Psychiatric Assessment Schedule for Adults with Developmental Disabilities Checklist; PAS-ADD Checklist),\(^{17}\) clinical risk (Threshold Assessment Grid; TAG)\(^{18}\) and quality of life (Quality of Life Questionnaire; QoL-Q).\(^{19}\) The ABC-C, PAS-ADD and QoL-Q have been validated for use with people with intellectual disabilities. The TAG is widely used in clinical practice to capture clinical risk in patients with mental illness, and has been used previously in a population with intellectual disabilities. The TAG is widely used in clinical practice to capture clinical risk in patients with mental illness, and has been used previously in a population with intellectual disabilities.

Sample size
The sample size was calculated to detect a difference of 0.45 s.d. in primary outcome score. Assuming two IST models, this required 96 participants per group (192 in total) with 5% significance (two-sided), 80% power and an intraclass correlation coefficient of 0.02.\(^{24}\) After inflation for 15% loss to follow-up, the estimated sample size was 113 participants per model (226 participants in total).

Statistical analyses
A detailed statistical plan was developed a priori and reviewed by the oversight Study Steering Committee. All analyses were carried out with Stata/IC version 16.0 for Windows.\(^{25}\) All hypothesis testing was conducted with a two-sided significance level of 5%, with corresponding 95% confidence intervals.

Clinical effectiveness
The primary outcome was estimated with a mixed-effects linear regression model, with change in ABC-C score as the outcome, a fixed effect for IST type as the main exposure and a random effect for IST to account for clustering within services. We carried out unadjusted modelling, then age, gender, accommodation type, level of intellectual disability (SABS score), level of risk (baseline TAG score), presence of autism and/or attention-deficit hyperactivity disorder, number of physical comorbidities and presence of organic, affective and psychotic disorders (as determined by the PAS-ADD) were identified as potential confounders and were included in an adjusted model. Continuous secondary outcomes were analysed with statistical models analogous to those for the primary outcome. Binary outcomes were analysed with mixed-effects logistic regression models and were unadjusted. Analyses of secondary outcomes were considered exploratory. Predictors of missingness of the primary outcome were examined with mixed-effects logistic regression. Where there were two or more missing items for the ABC-C, TAG and QOL-Q, they were replaced by the mean score of items present. Where items were missing for the PAS-ADD, they were replaced by a code indicating the participant was negative for the given condition.

Health economic analysis
A detailed health economic analysis plan was also developed a priori and reviewed by the oversight Study Steering Committee, and followed similar principles to the statistical analysis plan regarding assumptions. All analyses were carried out with Stata/IC version 16.0.\(^{25}\)

Economic evaluation
Perspective
The cost-effectiveness analysis adopted the perspectives of health and social care, which covers hospital and community health, social care service and voluntary support provided by not-for-profit organisations. Wider societal perspective also includes the cost of unpaid support to the participant by family and friends.

Valuation of resource use
Costs of the IST service models were derived by combining data on annual salary, working time, overheads, number of sessions with participants, information on case-loads and referrals over 12 months. Travel costs to home visits were included where this was...
noted. The annual cost was then weighted to derive a cost per study participant for each IST model over 9 months. Unpaid support costs were calculated with the market price approach, the hourly rate of a home care worker was used for those not in employment and if employed, the carer hourly wage rate. All unit costs were for the financial year 2020/2021.

Cost-effectiveness

We analysed differences in mean health and social care costs and wider societal costs at 9 months in turn between the IST models, by regressing total cost from each perspective on IST model, baseline costs, total ABC-C score, health-related quality of life tariffs and a range of clinical and sociodemographic indicators. Non-parametric bootstrapping was used to estimate 95% confidence intervals for mean costs. Significance was set at \( P < 0.05 \).

Cost-effectiveness was explored with the net benefit approach,26,27 with effectiveness measured in terms of the primary outcome measure (ABC-C score), and QALY gains were derived by developing value sets from the EQ-5D-3L by means of a cross-walk to the EQ-5D-3L value sets28 at each time point. Uncertainty around the cost and effectiveness estimates was represented by cost-effectiveness acceptability curves.29

In sensitivity analyses, we examined whether adjustment for baseline characteristics affected the main findings. Those variables identified as significantly associated with missingness were then added to the baseline covariates used in main analyses and new incremental cost-effectiveness ratios were re-estimated.

COVID-19 impact and adaptations

Three National Health Service (NHS) sites withdrew their participation when the NIHR suspended all non-COVID-19-related research in March 2020. To carry on with recruitment, we applied for and received ethical approval to complete the consent process and research assessments remotely, using digital platforms (e.g. Zoom, telephone calls, scanned copies via email). Challenges to the study included digital poverty (e.g. lack of computer/smartphone), insufficient knowledge of using digital platforms and where a participant could receive support from if doing so, difficulty in assessing whether a patient with intellectual disabilities had sufficient verbal ability to provide consent remotely and delays in obtaining contact details for consultees.

Results

Clinical outcomes

The STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) diagram (Fig. 1) presents the participant flow into the study. Enrolment took place between September 2018 and May 2020, with the last participant assessment in January 2021. There was an 8% attrition rate because of the following reasons: uncontactable (n = 12), death (n = 2, of which one was because of COVID-19), missing follow-up assessment window (n = 2), imprisonment (n = 1) and excessive stress during the pandemic (n = 1).

Demographic characteristics of adults with intellectual disabilities per IST model at baseline and 9-month follow-up are presented in Table 1. The median age of participants was 29 years old (inter-quartile range (IQR) 23–39) and the majority were single male of White ethnicity. More than 60% of participants had comorbid developmental disorders. The whole cohort level of adaptive ability was 52 (s.d. = 24). Participants in the two models differed in the number of reported hearing or visual problems (enhanced 52% v. independent 68%; \( P = 0.018 \)) and education status (enhanced 45% v. independent 32%; \( P = 0.035 \)). At follow-up, participants were more likely to be receiving care from the enhanced IST compared with those still in contact with the independent IST (enhanced \( n = 78, 74\% \) v. independent \( n = 45, 45\% \); \( P = 0.001 \)). The median time adults with intellectual disabilities were seen from the enhanced ISTs was 20 months (IQR = 12–33) compared with 13 months in independent ISTs (IQR = 10–22).

Primary outcome

Baseline mean total ABC-C scores were similar between IST models (enhanced 64, s.d. = 34; independent 62, s.d. = 32) (Supplementary Table 1 available at https://doi.org/10.1192/bjo.2023.74/). The mean ABC-C scores were lower at 9 months for both IST models (enhanced 56, s.d. = 34; independent 49, s.d. = 32) (Supplementary Table 1). Both unadjusted and adjusted analyses found no statistically significant difference in total ABC-C score change between IST models at 9 months (adjusted \( \beta = 4.27, 95\% \) CI –6.34 to 14.87) (Table 2). The only predictors of missingness were physical health conditions.

Secondary outcomes

No statistically significant differences were found in any of the secondary outcomes between IST models at 9 months (Table 2, Supplementary Table 1).

Medication use

The mean number of medications prescribed at baseline was the same for both models (n = 5). At follow-up, the mean number was slightly reduced in the independent model (four for the independent versus five for the enhanced). At baseline, psychotropic medication was prescribed at similar proportions in both models: antipsychotic (enhanced 17% v. independent 18%) and other psychotropic (enhanced 35% v. independent 30%). The relative proportions of prescribed antipsychotics and other psychotropics did not change at follow-up: antipsychotics (enhanced 18% v. independent 20%) and other psychotropics (enhanced 31% v. independent 22%). Of those who were on psychotropics, over two-thirds were prescribed more than one medication in both models.

Psychiatric hospital admissions and change in accommodation

Over the study duration, eight participants in the enhanced model and 11 participants in the independent were admitted to a psychiatric unit as a result of a mental health crisis. Nine (4%) participants moved accommodation during the study period. All but one participant lived in supported living or residential provision.

Cost evaluation

The average annual cost of teams in the enhanced model was £612 612 (£4980 per case), whereas the average annual cost of a team in the independent model was £647 812 (£10 122 per case) (Supplementary Table 2).

Service use

From an NHS/Personal Social Services perspective, the mean total cost over 9 months was £22 915.6 for the independent model and £19 037.6 for the enhanced model; the adjusted mean difference in costs was not statistically significant (£446.55; 95% CI –5637.60 to £7519.30).

From a societal perspective the mean total cost over 9 months was £31 850.8 for the independent model and £29 852.8 for the
enhanced. The adjusted mean difference in costs was not statistically significant (−£855.80; 95% CI −£8342.54 to £6059.69).

The mean use of in-patient, out-patient and day patient health services over the 9-month follow-up period are reported in Supplementary Table 3. Duration of in-patient stay, out-patient attendances, day hospital contacts and emergency (accident and emergency department) attendance, were broadly similar for both models. Notably, participants in the independent model spent longer, on average, as in-patients than those in the enhanced model (mean 8.63 days (s.d. 39.98) v. 5.26 days (s.d. 28.10). Participants in the independent model had, on average, more contacts with their general practitioner than participants in the enhanced model (mean 4.88 days (s.d. 14.20) v. 3.47 days (s.d. 3.96) attendances), although these were not statistically significant.

Fig. 1 STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) diagram.
Cost-effectiveness

There are no statistically significant differences in QALYS in any of the comparisons of the service models at 9 months (Supplementary Table 4). Results from the regression analysis using the two outcomes of total ABC-C score and QALYs are summarised with incremental cost-effectiveness ratios in Supplementary Table 5.

Probability estimates were plotted for a range of implicit monetary values attached to improvements in total ABC-C score and QALY gain over 9 months under an NHS and societal perspective, in turn (Supplementary Figs 1–4).

The independent model had a low likelihood (approximately 50%) of being more cost-effective than the enhanced model if decision makers were not willing to pay anything for a unit improvement in the total ABC-C score. The likelihood of cost-effectiveness rose to 70% if willingness to pay for an improvement in total ABC-C score rose to £1000. Under a broader perspective, which includes cost of unpaid support, the probability of the independent model being cost-effective when compared with the enhanced model at the standard NICE-preferred willingness-to-pay levels of £20 000–30 000 per QALY, was 52%. It is therefore unlikely that there are any economic gains from choosing one model of care over another. Controlling for factors contributing to missing data in health and social care costs in sensitivity analyses did not alter the findings of the main analyses.

Discussion

The study showed that both IST models currently in operation in England were associated with reduction in behaviours that challenge at 9 months follow-up, with comparable costs.
The participants in both IST models appeared to score at levels on risk similar to those who were admitted to hospital in a previous study of an in-patient psychiatric ward. Another study of predictors on in-patient admission that used routine clinical data did not include a measure of risk. Over 28 months, during which time we enrolled and assessed participants at two time points, there were 19 admissions, averaging fewer than one admission per month across all services that took part. However, this is at odds with the monthly data release by the NHS Digital Learning Disability Services Monthly Statistics at the end of 2020 (closest date to the end of the final participant 9-month follow-up), which shows that 90 were admitted to an in-patient unit that month. When we started the participant enrolment in the autumn of 2018, there were 125 first or readmissions. There are several considerations about the interpretation of this information. First, NHS Digital reports on both people with intellectual disabilities and people with autism, so it is possible that the figures are inflated because of the diversity of the patient cohort. Second, our reporting is based on 21 services whereas NHS figures are inflated because of the diversity of the patient cohort. Over 28 months, during which time we enrolled and assessed participants at two time points, there were 19 admissions, averaging fewer than one admission per month across all services that took part. However, this is at odds with the monthly data release by the NHS Digital Learning Disability Services Monthly Statistics at the end of 2020 (closest date to the end of the final participant 9-month follow-up), which shows that 90 were admitted to an in-patient unit that month. When we started the participant enrolment in the autumn of 2018, there were 125 first or readmissions. There are several considerations about the interpretation of this information. First, NHS Digital reports on both people with intellectual disabilities and people with autism, so it is possible that the figures are inflated because of the diversity of the patient cohort. Second, our reporting is based on 21 services whereas NHS figures are inflated because of the diversity of the patient cohort.
on IST case-loads, which minimise the risk of bias. The findings from this study are highly relevant to the support of very vulnerable individuals with intellectual disabilities in the community, and potentially applicable to other UK countries where they seek to establish similar approaches to the acute or preventive management of behaviours that challenge.

The study also has limitations. First, responses might be subject to respondent social desirability bias. Second, this was not a randomised controlled trial, so there may have been differences between groups that we were unable to measure and adjust for in the analyses. Third, the turnover of paid carers may have affected the reporting of behaviours that challenge if the carer had not known the person with intellectual disabilities for long enough. Fourth, the lack of statistical significance in clinical outcomes between models may be an indication that adults who are referred during a crisis will recover in the short to medium term as behaviour that challenges is a remitting/relapsing condition (regression to the mean). Fifth, as we did not recruit participants at the point of referral to the IST, we must be cautious about the change that was achieved, as it has not taken into account any improvements made before study entry. Sixth, there may have been some effects from the COVID-19 pandemic, as 131 follow-up interviews were conducted from March 2020 to January 2021, but we were unable to fully adjust for it. For example, the pandemic may have exacerbated behaviours that challenge or affected the patterns and intensity of service use in both models. This is especially important, given the current disproportionate impact of COVID-19 on people with intellectual disabilities, including higher death rates. Finally, we did not collect process outcomes such as Care and Treatment Reviews (CTRs) completed by the teams, although it appears that almost half of those admitted had a CTR within 6 months of admission. Therefore, it is likely that CTRs may not be the sole reason for failing to prevent an admission.

In conclusion, our findings indicate that commissioners can choose which IST model is relevant to their localities, but also that there is a need to further investigate the critical ingredients of effective IST care and understand how best ISTs may work with and fit into the wider mental health service system. This information should be incorporated within the action plans about the right community support for adults with intellectual disabilities who display behaviours that challenge.

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Author contributions

A.H. is the chief investigator of this study, A.K. and L.H. prepared the manuscript with A.H. A.K. and L.H. carried out data collection. L.M. performed the analysis of clinical data, and R.R. and N.Y. performed analyses of the health economic data. A.H., N.K., L.H., L.M., I.H., N.M., K.C., P.E.L., I.T., R.R., N.Y., V.C. and B.E.L. have contributed to the writing of the manuscript and its intellectual content. They have all agreed to the published version.

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Declaration of interest

None.

References


