

1 Enhanced expression of the human *Survival motor*
2 *neuron 1* gene from a codon-optimised cDNA
3 transgene *in vitro* and *in vivo*

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21

22 **Abstract**

23 Spinal muscular atrophy (SMA) is a neuromuscular disease particularly characterised by
24 degeneration of ventral motor neurons. *Survival motor neuron (SMN) 1* gene mutations cause

25 SMA, and gene addition strategies to replace the faulty *SMN1* copy are a therapeutic option.
26 We have developed a novel, codon-optimised *hSMN1* transgene and produced integration-
27 proficient and integration-deficient lentiviral vectors with cytomegalovirus (CMV), human
28 synapsin (hSYN) or human phosphoglycerate kinase (hPGK) promoters to determine the
29 optimal expression cassette configuration. Integrating, CMV-driven and codon-optimised
30 *hSMN1* lentiviral vectors resulted in the highest production of functional SMN protein *in vitro*.
31 Integration-deficient lentiviral vectors also led to significant expression of the optimised
32 transgene and are expected to be safer than integrating vectors. Lentiviral delivery in culture led
33 to activation of the DNA damage response, in particular elevating levels of phosphorylated
34 ataxia telangiectasia mutated (pATM) and γ H2AX, but the optimised *hSMN1* transgene showed
35 some protective effects. Neonatal delivery of adeno-associated viral vector (AAV9) vector
36 encoding the optimised transgene to the *Smn*^{2B/-} mouse model of SMA resulted in a significant
37 increase of SMN protein levels in liver and spinal cord. This work shows the potential of a novel
38 codon-optimised *hSMN1* transgene as a therapeutic strategy for SMA.

39

40 Introduction

41 Spinal muscular atrophy (SMA) is an autosomal recessive neuromuscular disease
42 chiefly characterised by degeneration of motor neurons from the ventral horn of the spinal cord.
43 *Survival motor neuron (SMN) 1* gene is the SMA-determining gene, being absent in 95%
44 patients and mutated in the remaining 5% (1). *SMN2* is a highly similar gene with only five
45 nucleotide mismatches, which result in 90% truncated transcripts lacking exon 7 (*SMN Δ 7*) (2,
46 3), producing only low levels of SMN protein. *SMN2* copy number is a strict determinant of
47 disease severity, whereby patients with only two copies of the gene present with the severe type
48 I form of SMA while patients with a greater number of *SMN2* copies have less severe symptoms
49 (4-6). Full-length SMN is a ubiquitous and essential cellular protein that has roles in RNA

50 metabolism, cytoskeletal maintenance, transcription, cell signaling and DNA repair (7). For
51 many years, it was thought that motor neurons were the only affected cells, but recent evidence
52 suggests a wide range of systemic pathologies are also caused by low levels of SMN protein.
53 Therefore, an effective and successful therapy for SMA is likely to involve the consideration of
54 SMA as a multi-system disorder (8, 9).

55

56 In the past five years, three therapies for SMA patients have been approved by regulatory
57 bodies: Spinraza, Zolgensma and Evrysdi, the first two of which are genetic therapies. Spinraza
58 is an antisense oligonucleotide that increases the level of full-length SMN protein by binding and
59 altering the splicing of *SMN2* pre-mRNA (10), enhancing the inclusion of exon 7 (11).

60 Zolgensma is an adeno-associated viral vector of serotype 9 (AAV9) vector containing the
61 cDNA of the human *SMN1* gene under the control of the cytomegalovirus enhancer/chicken- β -
62 actin-hybrid promoter (12). Evrysdi is a small molecule that modulates *SMN2* RNA splicing by
63 binding to two unique sites in *SMN2* pre-mRNA: 5' splice site of intron 7 and an exonic splicing
64 enhancer 2 in exon 7, therefore promoting inclusion of exon 7 (13). Evrysdi is an oral medicine
65 expected to be taken for the duration of the individual's life (13), while Spinraza requires
66 repeated delivery through intrathecal injections and Zolgensma is a one-off intravenous infusion.

67

68 Gene therapy is a technology that allows the modification of gene expression with one possible
69 strategy being the introduction of transgenes for therapeutic purposes. In this context, the
70 efficient delivery of therapeutic genes, or other gene therapy agents, is a critical requirement for
71 the development of an effective treatment. Vectors derived from lentiviruses have proven to be
72 efficient gene delivery vehicles as they integrate into the host's chromosomes and show
73 continued expression for a long time (14). They also have a relatively large cloning capacity,
74 which is sufficient for most clinical purposes (15, 16). Lentiviral vectors can transduce different
75 types of cells, including quiescent cells, have low immunogenicity upon *in vivo* administration,

76 lead to stable gene expression and can be pseudotyped with alternative envelopes to alter
77 vector tropism (17).

78

79 Due to their unique advantages, lentiviral vectors are important gene delivery systems for
80 research and clinical applications (16). Lentiviral vectors have been utilised to treat symptoms in
81 several animal models, such as X-linked severe combined immunodeficiency (SCID-X1) (18), β -
82 thalassemia (19), Wiskott-Aldrich syndrome (20), metachromatic leukodystrophy (21),
83 haemophilia (22), Fanconi anaemia (23) and liver disease (24), as well as being used in clinical
84 applications (25-27). Although the integrative nature of lentiviral vectors provides long-term
85 transgene expression, integration events carry the risk of insertional mutagenesis (28-30).

86 Intensive study of the genome and analysis of integration strategies of lentiviral vectors has led
87 to the development of a number of strategies to minimise these risks. These include the use of
88 viral vectors with a safer integration pattern, the utilisation of self-inactivating vectors and the
89 design of integration-deficient lentiviral vectors (IDLVs). IDLVs are non-integrative due to an
90 engineered class I mutation in the viral *integrase* gene, most commonly involving an amino acid
91 change at position D64 within the catalytic core domain (31).

92

93 Here, we show the development of an integration-deficient lentiviral system expressing a novel,
94 sequence (“codon”)-optimised cDNA transgene, *Co-hSMN1*, which leads to effective SMN
95 production in primary cultures and rescue of nuclear gems, distinct and punctate nuclear bodies
96 where the SMN protein localises in high concentrations. Rescue of SMN production was also
97 seen in an SMA type I induced pluripotent stem cell (iPSC)-derived motor neuron (MN) model.
98 *In vivo* data showed that an AAV9 vector expressing this transgene could strongly restore SMN
99 protein production in the *Smn*^{2B/-} SMA mouse model (32). We also found that untreated SMA
100 cells exhibit molecular signatures of DNA damage with prominent γ H2AX foci and a trend for
101 increased pATM expression. Notably, IDLV_ *Co-hSMN1* was able to reverse an initial spike in

102 pATM signaling, suggesting some protective effect. Together, these data point to novel benefits
103 of gene therapy for SMA, and importantly, highlight an alternative transgene and delivery
104 system.

105 **Materials and methods**

106 *Optimisation of hSMN1 sequence*

107 The wild-type cDNA sequence of the human *SMN1* transcript was codon-optimised using
108 custom services provided by GeneArt/ThermoFisher Scientific to generate *Co-hSMN1*. The
109 GeneArt algorithm identifies and optimises a variety of factors relevant to different stages of
110 protein production, such as codon adaptation, mRNA stability, and various *cis* elements in
111 transcription and translation to achieve the most efficient expression. This transgene was then
112 cloned into lentiviral and AAV transfer plasmid using standard molecular biology procedures.

113

114 *Fibroblast cell culture*

115 Low passage, primary human fibroblasts from wild-type (GM04603) and SMA type I (GM00232)
116 donors were obtained from Coriell Institute for Medical Research and used to assess overall
117 lentiviral transduction efficiency, γ H2AX and caspase 3 foci, and ATM and pATM levels. Similar
118 wild-type and SMA type I fibroblast cell lines were also obtained from E. Tizzano (33) and used
119 to assess restoration of gems following transduction. All fibroblasts were cultured in 65%
120 DMEM+Glutamax, 21% M199, 10% FBS, 10 ng/ml FGF2, 25 ng/ml EGF and 1 μ g/ml
121 gentamicin.

122

123 *Isolation and culture of E18 mouse cortical neurons*

124 Preparation of primary cortical cultures from E18 mouse embryos followed the protocol
125 described in Lu-Nguyen *et al* (34).

126

127 *Preparation of embryonic rat motor neuron primary cultures*

128 The isolation and culture of primary rat motor neurons was achieved by following the protocol
129 previously described in Peluffo et al (35).

130

131 *iPSC culture and motor neuron differentiation*

132 Six iPSC lines were used in this project; three wild-type (4603, derived in house from GM04603
133 fibroblasts (33); 19-9-7T, from WiCell and AD3-CL1, gifted by Majlinda Lako) and three SMA
134 type I (SMA-19, gifted by Majlinda Lako; CS13iSMAI-nxx and CS32iSMAI-nxx, obtained from
135 Cedars-Sinai). Undifferentiated iPSCs were seeded at a density of 20,000 cells/cm² onto
136 Matrigel-coated cultureware in mTeSRTM1 or mTeSRTM Plus media for general growth.

137

138 iPSCs were grown until 90% confluent in 6 well plates then clump passaged with 0.5mM EDTA
139 to Matrigel-coated 10cm dishes until 60-70% confluent. A protocol adapted from Maury et al
140 (36) was used to differentiate iPSCs into MNs. Basal medium (1X DMEM/F12, 1X Neurobasal,
141 1X B27, 1X N2, 1X antibiotic-antimycotic, 1X β -mercaptoethanol and 0.5 μ M ascorbic acid) was
142 used throughout the 28-day protocol. Basal medium was supplemented at specific stages with
143 additional compounds: 3 μ M Chir99021 (days 0-3), 1 μ M Compound C (days 0-3), 1 μ M retinoic
144 acid (day 3+), 500 nM SAG (day 3+), 0.5 μ g/ml laminin (day 16+), 10 ng/ml each of IGF1,
145 CNTF, BDNF, GDNF (all day 16+) and 10 μ M DAPT (days 16-23). Single cell passaging on
146 days 9, 13 (1:3 split ratio) and 16 (at appropriate density for final assay) was performed using
147 Accutase and cells were re-seeded onto Matrigel-coated cultureware in the presence of 10 μ M
148 ROCK inhibitor for 24 hours.

149

150 *Viral vector production*

151 A 3rd generation, transient transfection system was used to generate self-inactivating HIV-1-
152 based lentiviral vectors by calcium phosphate co-transfection of HEK293T/17 cells with
153 pMDLg/pRRE or pMDLg/pRRE_intD64V (for integrating and non-integrating vectors,
154 respectively), pRSV_REV, pMD2_VSV-G and a transfer plasmid containing the promoter of
155 interest and either *hSMN1*, *Co-hSMN1* or *eGFP* at a 1:1:1:2 ratio, respectively. Supernatants
156 were harvested at 48- and 72-hours post-transfection and lentiviral vectors were concentrated
157 by ultracentrifugation. Vectors were titrated by qPCR and where possible, by flow cytometry
158 (31).

159

160 AAV_CAG_*Co-hSMN1* and AAV_CAG_*eGFP* vectors were commercially produced by Atlantic
161 Gene Therapies (France) and were titrated by qPCR against the inverted terminal repeats
162 (ITRs).

163

164 *Viral transduction in cell culture*

165 For transduction of cell lines and primary fibroblasts, cells were seeded in appropriate media 24
166 hours prior to transduction. Lentiviral vectors were diluted in fresh media at the desired qPCR
167 MOI then added to cells in the minimum volume needed to cover cells. 1 hour after transduction,
168 media was topped up to an appropriate volume. All cells were incubated for 72-hours before
169 analysis. Fibroblasts were transduced in the presence of 2 µg/ml polybrene. iPSC-derived MNs
170 were transduced at day 28 of differentiation to ensure maturity of cells.

171

172 Transduction of primary motor neurons was carried out 2 hours post-seeding, while for primary
173 cortical neurons it was three weeks post-seeding. Lentiviral vectors were diluted in conditioned
174 media at the desired qPCR MOI. Analyses were performed three days post-transduction.

175

176 *Viral transduction in vivo*

177 Single-stranded AAV9 vectors (AAV9_CAG_Co-hSMN1 & AAV9_CAG_eGFP) were
178 administered intravenously through the facial vein to post-natal day (P) 0 *Smn*^{2B/-} SMA mice at a
179 dose of 8E10 vg/pup. Liver and spinal cord were harvested at P18 from untreated *Smn*^{2B/-} mice
180 (n=6), *Smn*^{2B/-} mice treated with AAV9_CAG_eGFP (n=5) or AAV9_CAG_Co-hSMN1 (n=5) and
181 age-matched wild-type controls (n=4). At P18 there are overt symptoms in untreated *Smn*^{2B/-}
182 mice.

183

184 Experimental procedures were authorized and approved by the Keele University Animal Welfare
185 Ethical Review Body (AWERB) and UK Home Office (Project Licence P99AB3B95) in
186 accordance with the Animals (Scientific Procedures) Act 1986.

187

188 *RT-PCR*

189 An RT-PCR was performed using cDNA extracted from SMA iPSC MNs to identify the origins of
190 *SMN* transcripts. The primers used to amplify a region between exons 6-8 of the *SMN* genes,
191 plus β -actin and GAPDH as housekeeping genes were as follows: Exon6_F
192 CTCCCATATGTCCAGATTCTCTTG, Exon8_R CTACAACACCCTTCTCACAG, β -actin_F
193 TCACCCACACTGTGCCATCTACGA, β -actin_R CAGCGGAACCGCTCATTGCCAATGG,
194 189_mGapdhx4_Fw AAAGGGTCATCATCTCCGCC, 190_mGapdhx4-5_Rv
195 ACTGTGGTCATGAGCCCTTC. *SMN* RT-PCR amplicons were digested with *DdeI* to reveal *FL*-
196 *SMN1* (504bp), *FL-SMN2* (382+122bp) and *SMN2 Δ 7* (328+122bp) transcripts.

197

198 *Immunofluorescence*

199 Fibroblasts were fixed with 4% PFA before being concurrently permeabilised and blocked in 5%
200 normal goat serum in PBS with 0.25% Triton X-100. Primary and secondary antibodies were
201 incubated with samples overnight at 4°C or 1 hour at room temperature, respectively. iPSC MNs
202 were seeded at a density of 25,000 cells on day 16 of differentiation onto 13 mm coverslips
203 coated with 15 µg/ml poly-ornithine and Matrigel. 4% PFA and 5% normal goat serum in PBS
204 with 0.25% Triton X-100 were used to fix, permeabilise and block coverslips before antibody
205 incubation at room temperature for both primary (2 hours) and secondary (1 hour). All cells were
206 counterstained with 1 µg/ml DAPI, mounted using Fluoromount™ Aqueous mounting medium
207 then imaged using a Zeiss Axio Observer D1 fluorescent microscope (Germany).

208

209 Primary antibodies: anti-gemin2 (Abcam, ab6084, 2.5 µg/ml), anti-SMN (BD Biosciences,
210 610646, 0.6 µg/ml), anti-OLIG2 (Santa Cruz, sc-515947, 2 µg/ml), anti-SMI-32 (Biolegend,
211 801701, 10 µg/ml), anti-βIII-tubulin (Sigma, T2200, 10 µg/ml), anti-choline acetyltransferase
212 (Abcam, ab181023, 5.4 µg/ml), anti-HB9 (DSHB, 81.5c10, 1:50). Secondary antibodies: goat
213 anti-mouse IgG Alexa Fluor 488 (Invitrogen, A-11001, 2 µg/ml), goat anti-mouse IgG Alexa
214 Fluor 555 (Invitrogen, A-21424, 2 µg/ml), goat anti-rabbit IgG Alexa Fluor 488 (Invitrogen, A-
215 11034, 2 µg/ml).

216

217 *Measurement of SMN intensity by immunofluorescence*

218 Analyses of all samples was performed blind to vector type, gene of interest and MOI.
219 Fluorescence pixel intensities (background corrected) were measured in a region of interest
220 around the motor neuron cell body and are expressed as arbitrary units (a.u.) per µm².

221

222 *Western blotting*

223 Cultured cells were lysed in RIPA buffer supplemented with Halt Protease Inhibitor Cocktail and
224 Phosphatase Inhibitor Cocktail 3 and the concentration of resulting protein lysates was
225 determined using the Bio-Rad DC protein assay according to manufacturer's instructions. SMN
226 western blots used 4-15% Tris-Glycine gels and PageRuler™ Plus Prestained Protein Ladder,
227 whilst ATM and phosphorylated ATM western blots used NuPAGE™ 3-8% Tris-Acetate gels
228 and HiMark™ Pre-stained protein standard. Western blots containing samples from iPSC MNs
229 were subjected to total protein staining immediately after transfer using REVERT Total Protein
230 Stain and Wash, as per manufacturer's instructions. Nitrocellulose membranes were blocked in
231 an appropriate buffer (Intercept® 1:1 PBS, 5% milk/PBS or 5% BSA/PBS) for 1 hour at room
232 temperature. Primary and secondary antibodies were diluted in blocking buffer 0.1% Tween-20,
233 with incubations overnight at 4°C or 1 hour at room temperature, respectively. Western blots
234 were imaged using the Odyssey CLx (LI-COR Biosciences, US) in 700nm and 800nm channels.
235 Quantification of protein signals was achieved using Image Studio Lite.

236

237 Primary antibodies: anti-SMN (BD Biosciences, 610646, 0.05 µg/ml), anti-ATM (Abcam,
238 ab32420, 0.12 µg/ml), anti-ATM phospho (Abcam, ab81292, 0.28 µg/ml), anti-alpha tubulin
239 (Abcam, ab4074, 0.33 µg/ml). Secondary antibodies: IRDye 800CW goat anti-mouse IgG
240 (LiCor, 926-32210, 0.5 µg/ml), goat anti-rabbit IgG Alexa Fluor 680 (Invitrogen, A-21076, 0.4
241 µg/ml).

242

243 Western blots were carried out on liver and spinal cord tissues from *Smn*^{2B-/-} mice, which were
244 extracted as previously described (37) using 2X modified RIPA buffer (2% NP-40, 0.5%
245 deoxycholic acid, 2 mM EDTA, 300 mM NaCl and 100 mM Tris-HCl (pH 7.4)). Firstly, the
246 tissues were diced and added to the extraction buffer and homogenized with pellet pestles,
247 then, after 5 minutes on ice, the tissues were sonicated at 5 microns for 10 s. This process was
248 repeated a further 2 times. The tissue extracts were centrifugated at 13,000 RPM (MSE,

249 Heathfield, UK; MSB010.CX2.5 Micro Centaur) for 5 minutes at 4°C and their protein
250 concentrations calculated using a BCA protein assay (Pierce™, 23227). Following adjustment
251 of protein levels, the tissue extracts were heated for 3 minutes at 95°C in 2X SDS sample buffer
252 (4% SDS, 10% 2-mercaptoethanol, 20% glycerol, 0.125 M Tris-HCl (pH 6.8) and bromophenol
253 blue) then loaded onto 4-12% Bis-Tris polyacrylamide gels for SDS-PAGE. The gel was excised
254 along the horizontal axis at a molecular weight greater than that expected for SMN (38 kDa) and
255 the proteins in the lower half of the gel were transferred onto a nitrocellulose membrane
256 overnight via western blot then blocked with 4% powdered milk in PBS. The membranes were
257 probed for SMN with the mouse anti-SMN antibody (MANSMA12 2E6 (38)), at either 1:50 or
258 1:100 for 2 hours and subsequently incubated with HRP-labelled rabbit anti-mouse Ig (DAKO,
259 P0260) at 0.25 ng/ml for 1h. Both incubations were at room temperature and antibodies
260 prepared in diluent (1% FBS, 1% horse serum (HS), 0.1% bovine serum albumin (BSA) in PBS
261 with 0.05% Triton X-100). Following incubation with West Pico, SMN-positive bands were
262 imaged with the Gel Image Documentation system (Bio-Rad). Total protein was assessed in the
263 upper half of the gel via Coomassie blue staining, and this data was used as the internal loading
264 control for each sample. ImageJ Fiji software (v1.51; (39)) was used to analyse both antibody
265 reactive and Coomassie-stained gel bands.

266

267 *Statistical analyses*

268 Data are presented as mean ± standard deviation. For all experiments where replicate data are
269 presented, at least n = 3 biological replicates were used, unless otherwise stated in specific
270 sections. A range of statistical tests were used, with the most appropriate test for each dataset
271 being determined individually. Data were tested for a normal distribution wherever possible, and
272 appropriate parametric and non-parametric tests were used accordingly.

273

274 Results

275

276 *Lentiviral and AAV9 vectors used for over-expression of hSMN1*

277 To test whether production of SMN could be improved by codon-optimisation of *hSMN1*, we
278 used a wild-type *hSMN1* cDNA and engineered an optimised form using a customised
279 commercial procedure. A comparison of wild-type and *Co-hSMN1* cDNAs is shown in Fig. S1.
280 Both cDNAs were cloned into several lentiviral plasmid backbones under the control of CMV,
281 hSYN and hPGK promoters and in all cases, followed by a mutated form of the WPRE
282 sequence (to prevent putative expression of woodchuck hepatitis virus X protein; Fig. 1A-C).
283 These transfer plasmids were used to produce integrating and integration-deficient lentiviral
284 vectors. Finally, the *Co-hSMN1* transgene was also cloned into an AAV plasmid backbone
285 under the control of the CAG promoter, followed by a mutated WPRE element (Fig. 1E). This
286 plasmid, as well as a control AAV_CAG_eGFP plasmid (Fig. 1F), was used to produce single-
287 stranded AAV9 vectors for *in vivo* use.

288

289 *Over-expression of codon-optimised hSMN1 in primary neuronal cultures*

290 Mouse cortical neuron cultures and rat motor neuron cultures were characterised as shown in
291 Fig. S2, demonstrating the expected morphology and the presence of relevant markers.
292 Integration-proficient (IPLV) and integration-deficient (IDLV) lentiviral vectors driven by the CMV
293 or hSYN promoters, encoding either wild-type *hSMN1* or the novel codon-optimised *Co-hSMN1*
294 transgene were used to transduce the cultures (Fig. 2). Dose-dependent increases in mean
295 SMN fluorescence intensity were seen by western blot in cortical neurons and
296 immunofluorescence in motor neurons (Fig. 2B,D and Tables S1,2). IPLV delivery led to higher
297 expression levels than with IDLVs, but SMN protein levels from the latter were also considerably

298 elevated. In terms of the promoter, CMV resulted in higher SMN levels regardless of vector
299 integration proficiency. The codon-optimised transgene led to significant increases in SMN
300 production in all cases, highlighting the improvements that this technology can afford for
301 transgenic gene expression.

302

303 *Characterisation of Co-hSMN1 IDLVs in human iPSC-derived MNs*

304 Three different wild-type and three SMA type I iPSC clones were differentiated into MNs with
305 high efficiency, exhibiting a characteristic neural network and individual cellular morphology
306 (Fig. 3A) with >90% OLIG2 positive MN progenitors at day 16 and 77.3% SMI-32-, 61.4% HB9-
307 and 90.1% ChAT-positive MNs at maturity (Fig. S3). A lack of full-length *SMN1* transcripts (Fig.
308 S4) and an 18-fold reduction in SMN protein (Fig. S4) were evident in SMA type I MNs
309 compared to wild-type cells ($P<0.0001$).

310

311 Transduction of SMA type I iPSC-derived MNs with IDLV_*Co-hSMN1* driven by CMV, hSYN or
312 PGK promoters led to an increase in SMN protein levels, detected by both immunofluorescence
313 (Fig. 3B) and western blot (Fig. 3C,D). Quantitation of western blot data showed that SMN
314 protein was increased in all transduced samples compared to untransduced counterparts (Fig.
315 3D). IDLVs expressing *Co-hSMN1* under the transcriptional control of either CMV or hPGK
316 promoters were able to significantly increase SMN protein production in all iPSC MN lines (Fig.
317 3D), whereas IDLV_*hSYN_Co-hSMN1* only led to a significant increase in CS13iSMAI-nxx.
318 Maximal SMN protein levels were observed with IDLVs expressing *Co-hSMN1* under the
319 transcriptional control of CMV (line SMA-19: 79.8-fold, $P<0.0001$; CS13iSMAI-nxx: 14.5-fold,
320 $P<0.0001$; CS32iSMAI-nxx: 42.8-fold, $P<0.0001$). When levels were compared to those in wild-
321 type iPSC MNs, supraphysiological SMN protein was evident in SMA-19 and CS32iSMAI-nxx
322 lines, but not in CS13iSMAI-nxx.

323

324 *Transduction and rescue of human SMA type I fibroblasts by lentiviral vectors encoding Co-*
325 *hSMN1*

326 Cultured human wild-type or type I SMA fibroblasts were transduced with IDLVs encoding wild-
327 type or *Co-hSMN1* under CMV, hSYN or hPGK promoters. A clear increase in cytoplasmic SMN
328 was seen by immunofluorescence in both wild-type and SMA type I fibroblasts following IDLV
329 transduction (Fig. 4A) and a statistically significant increase was confirmed by western blot (Fig.
330 4B,C). Analysis of total SMN levels in transduced fibroblasts (Fig. 4C) corroborated the pattern
331 of expression seen in SMA type I iPSC-MNs (Fig. 3D), where CMV-driven vectors were able to
332 increase SMN expression to the highest extent, followed by hPGK and then hSYN-driven
333 vectors.

334

335 SMA type I fibroblasts were transduced with IPLVs and IDLVs to determine the effectiveness of
336 each vector to restore SMN-expressing nuclear gems, which are largely absent in SMA type I
337 samples. All vectors were able to restore the presence of gems in transduced cells (Fig. 5A and
338 Table S3) in an MOI-dependent manner (Fig. 5B). At the highest MOI tested (MOI 100), no
339 visible changes in cell morphology were seen, suggesting absence of vector-mediated toxicity.
340 IPLV transduction led to a 1.6-fold greater number of gems than in IDLV-transduced cells
341 ($P=0.0015$), regardless of promoter or transgene (Fig. 5B). Moreover, *Co-hSMN1* led to the
342 restoration of a significantly higher number of gems than wild-type *hSMN1* (1.7-fold, $P=0.0005$).
343 With regards to choosing the optimal promoter, CMV-driven vectors were able to increase gem
344 number by 1.8-fold compared to hSYN-driven vectors ($P= 0.0003$). In some cases, a higher
345 number of gems was seen in transduced SMA type I fibroblasts than in healthy cells.

346

347 *Analysis of downstream DNA damage markers following in vitro IDLV transduction*

348 The molecular links between SMN and DNA damage- and apoptosis-related proteins (40-43)
349 are not completely clear but learning how SMN interacts with these pathways may be important
350 in understanding why SMA MNs degenerate and how this could be modulated by treatment with
351 an *SMN*-encoding vector. It is also important to understand the consequences of SMN
352 restoration to wild-type or supraphysiological levels, and what effect this might have on cells that
353 have always been severely deficient in SMN.

354

355 γ H2AX foci are hallmarks of DNA damage (44, 45) and immunofluorescent detection of these in
356 untreated wild-type and SMA type I fibroblasts revealed distinct foci in nuclei of both genotypes,
357 but these were seen more frequently in SMA type I cells (Fig. 6A). Both the number of foci per
358 cell and the percentage of cells exhibiting any number of foci were significantly higher in SMA
359 type I samples (Fig. 6B,C; $P=0.0057$ and $P=0.0069$, respectively). Upon transduction of SMA
360 type I fibroblasts with IDLV_CMV_*Co-hSMN1* (the IDLV vector shown to be most potent in
361 previous experiments), signs of DNA damage were increased further as the number of γ H2AX
362 foci, and γ H2AX foci-positive cells increased significantly, compared to mock-treated SMA type I
363 cells (Fig. 6B,C; $P=0.0134$ and $P=0.0068$, respectively). At this stage, it is unclear whether this
364 increase was due to the act of lentiviral transduction, or due to a sudden increase in SMN levels
365 in cells that had always been deficient. Of note, no increase in levels of cleaved caspase 3, a
366 marker of DNA damage and apoptosis (46), was observed in IDLV_*Co-hSMN1*-transduced
367 SMA type I fibroblasts (Fig. S5).

368

369 ATM, specifically its phosphorylated form, acts as a chief mobiliser of cellular DNA damage and
370 apoptotic pathways that may be active in SMA cells (47). Levels of total ATM were found to be
371 equal in both wild-type and SMA type I fibroblasts according to quantitated western blots (Fig.
372 7A; $P=0.6662$ and Fig. S6), with the phosphorylated form only showing a trend for increased

373 signal in the mutant cells (Fig. 7B; $P > 0.05$). Phosphorylated ATM could be significantly
374 increased by treatment of the cells with 200 μM hydrogen peroxide for 2 hours (Fig. 7B; wild-
375 type vs SMA+ H_2O_2 $P < 0.01$, SMA vs SMA+ H_2O_2 $P < 0.05$). Following transduction of SMA type I
376 fibroblasts with either IDLV_CMV_eGFP or IDLV_CMV_Co-hSMN1, phosphorylated ATM was
377 assessed. At 3 days post-transduction, pATM was significantly increased in IDLV_CMV_eGFP
378 treated cells, but not in IDLV_CMV_Co-hSMN1 (Fig. 7C; $P = 0.0160$ and $P = 0.4983$, respectively).
379 pATM remained relatively high in IDLV_CMV_eGFP treated cells at 7 days post-transduction
380 (Fig. 7C; $P = 0.0002$), whereas in IDLV_CMV_Co-hSMN1-transduced cells dropped below that of
381 mock samples (Fig. 7C; $P = 0.0256$). ATM and pATM levels were also measured in SMA type I
382 iPSC-derived MNs, mock-transduced or treated with IDLV_CMV_Co-hSMN1. No effect of
383 transduction on total ATM was observed, but a significant increase in pATM was seen in two out
384 of three SMA type I iPSC-MN lines at 3 days post-transduction (Fig. 7D,E; SMA-19 $P < 0.0001$,
385 CS13iSMAI-nxx $P = 0.0003$, CS32iSMAI-nxx $P = 0.0160$).

386

387 Together, these data show that at least two markers of DNA damage are increased in the short-
388 term window following lentiviral transduction of SMA cells. As pATM levels then normalised
389 again, and were even reduced to below those of untreated cells, we suggest that this short-term
390 increase in DNA damage markers is due to the act of transduction, rather than our *Co-hSMN1*
391 transgene. Although γH2AX foci were not measured at later time points, we suspect this
392 outcome measure would follow the same pattern.

393

394 *In vivo expression from AAV_CAG_Co-hSMN1 in the Smn^{2B/-} mouse model of SMA*

395 To test the expression of *Co-hSMN1 in vivo*, we chose the *Smn^{2B/-}* mouse model of SMA, where
396 over-expression of the transgene would be easily detected above low background levels of the
397 protein. An AAV9 vector driven by the CAG promoter and including a mutated WPRE element

398 was produced, and an AAV9_CAG_eGFP vector used as a control. These vectors were
399 delivered to neonatal mice and SMN expression assessed in liver and spinal cord samples
400 harvested at the symptomatic time-point of P18.

401
402 Livers of untreated and AAV9_CAG_eGFP-treated *Smn*^{2B/-} mice showed significantly less SMN
403 than wild-type controls (Fig. 8A,B; P=0.0377 and P=0.0118, respectively), whereas those
404 treated with AAV9_CAG_Co-hSMN1 exhibited 1.7-fold of wild-type levels (Fig. 8A,B; SMN vs
405 wild-type P=0.0725, SMN vs *Smn*^{2B/-} P=0.0005). Data from spinal cord samples showed
406 similarly low levels of SMN in *Smn*^{2B/-} mice, and more variability in AAV9_CAG_Co-hSMN1
407 treated mice, but a 2.6-fold increase above wild-type SMN levels was still seen (Fig. 8C,D; SMN
408 vs wild-type P=0.5260, SMN vs *Smn*^{2B/-} P=0.0162).

409

410 Discussion

411 Gene therapy allows the modification of gene expression for therapeutic purposes, whereby
412 gene addition involves the introduction of a functional transgene into the appropriate cells of the
413 host. Therefore, the efficient delivery of therapeutic genes and appropriate gene expression
414 systems are critical requirements for the development of an effective treatment (48). Benefits of
415 an optimised system include significant reduction of vector dose needed to maintain transgene
416 expression and lead to sufficient levels of protein production. Therefore, this study aimed to
417 optimise a novel expression cassette for SMA, assessing integrative ability, promoters and
418 transgene sequences for their effect on vector expression.

419

420 Our *in vitro* SMN restoration data provides similar results to those reported for existing lentiviral
421 (49) and adenoviral (50) transduction as well as plasmid lipofection (51) and gene targeting
422 (52). Limited use of lentiviral vectors for *in vivo* treatment of SMA has been reported, with the

423 early exception of Azzouz and colleagues (53). Here, we show evidence that a lentiviral
424 expression system can efficiently restore SMN protein levels, especially when expressing our
425 optimised transgene, *Co-hSMN1*. The four seminal papers that first demonstrated that viral
426 vector-mediated expression of *SMN1 in vivo* on the day of birth provides amelioration of SMA
427 phenotype, all used AAV vectors (54-57). Whilst these provided invaluable data and later led to
428 the approval of Zolgensma as a licensed SMA therapy, it is also clear that no curative treatment
429 is yet available for SMA. Our goal has been to develop a novel expression cassette,
430 implemented in lentiviral vectors for cell culture testing and localised delivery *in vivo*, and in AAV
431 vectors for widespread *in vivo* distribution.

432

433 Our optimisation has revealed that both IPLV and IDLV configurations encoding *SMN1* variants
434 are efficient at transducing various *in vitro* models. Generally, IPLVs resulted in higher
435 expression levels compared to their IDLV counterparts, although significant expression could
436 still be obtained with the latter. The expression levels mediated by the IDLVs may actually be
437 more adequate, as it has come to light that supraphysiological levels of SMN may be toxic (58),
438 and IDLVs are a safer option without the potential risk of insertional mutagenesis from IPLVs.
439 Transgenic expression levels of *SMN1* can also be controlled through the choice of promoter.
440 Our *in vitro* experiments revealed that the ubiquitous CMV promoter directed the most robust
441 transgene expression from lentiviral vectors. The strong and constitutive nature of this promoter
442 lends itself to the systemic nature of SMA, as CMV can mediate gene expression in a
443 remarkably broad range of cells. Intermediate transgenic expression levels were achieved with
444 the ubiquitous hPGK promoter, while the neuron-specific hSYN promoter appeared the weakest
445 of the three, despite the use of relevant neuronal systems as well as human fibroblasts.

446

447 Codon-optimisation of the *hSMN1* cDNA had a significant positive impact on the efficiency of
448 the transgenic expression in all the cell culture systems evaluated. Implementation of the

449 optimised transgene in an AAV9 vector for *in vivo* delivery in *Smn*^{2B/-} mice demonstrated robust
450 expression in liver and spinal cord, at somewhat variable levels that on average were not
451 significantly different from wild-type. Whilst the scope of the *in vivo* work presented here was
452 limited to demonstrating effective transgenic expression, our cell culture experiments have
453 shown dose-dependent expression from lentiviral vectors, which presumably could be replicated
454 *in vivo* to titrate expression levels to an optimum. This is important, given the potential toxicity of
455 SMN over-production (58).

456

457 The goal of maximizing correction of the SMA phenotype through the concurrent actions of
458 several therapeutic compounds, or delivery routes, is gaining traction within the SMA field (59).
459 Combinatorial delivery of a systemic AAV9 and a locally injected AAV or lentiviral vector to
460 reinforce strong expression at specific locations might be a future avenue of investigation. A
461 second possible strategy in which to use either AAV or lentiviral vectors expressing *SMN* would
462 be *in utero* delivery. This has been attempted recently for SMA using AAV9 vectors and
463 intracerebroventricular injections in mice fetuses. The results have shown encouraging rescue
464 of the SMA phenotype but also significantly enhanced abortion rates of SMA mice compared to
465 heterozygous or wild-type counterparts, pointing to potentially increased sensitivity to the
466 procedure in SMA animals (60). Fetal delivery of IDLVs injected intraspinally has led to
467 widespread expression of *eGFP* at all levels of the spinal cord in mice, underscoring the
468 potential promise of this delivery system (61).

469

470 Several groups have found proteins associated with DNA damage and apoptosis to be
471 dysregulated in SMA systems, including cleaved caspase 3 (41, 62), pATM, DNA-PKcs (43),
472 senataxin (43), CHK2, pBRCA1, p53 (63) and γ H2AX (63, 64). Signals indicative of genomic
473 instability caused by DNA double strand breaks are transduced by ATM and downstream
474 proteins including H2AX, leading to DNA repair by proteins such as BRCA1; or if damage is too

475 severe, apoptosis. Evidence of SMN restoration being able to revert some molecular signatures
476 of the DNA damage response has been reported in the literature (40-43). In contrast, we found
477 here that lentiviral transduction caused an increase in pATM levels, in the percentage of SMA
478 fibroblasts that exhibited γ H2AX foci as well as in the number of foci per cell, indicative of
479 activation of the DNA damage response pathway. However, we did observe that the *Co-hSMN1*
480 transgene had a protective effect in fibroblasts compared to *eGFP*-expressing vector regarding
481 the induction of pATM.

482

483 A possible explanation for increase in γ H2AX foci and pATM following IDLV transduction could
484 be short-term initiation of host anti-viral responses which then activate the DNA damage
485 response pathway. Lentiviral vector transduction is likely to trigger host anti-viral responses
486 causing an increase in Toll-like receptor- (65) and type I interferon-signaling (66). Endocytosis
487 of vectors, presence of the RNA:DNA hybrids following reverse transcription acting as a
488 pathogen-associated molecular pattern, or plasmid contamination in laboratory-grade vector
489 preparations could all alert the cell to presence of the viral vector (65). Finally, third generation
490 lentiviral vectors lack pathogenic proteins such as Vpr, whose role normally is to counteract host
491 anti-viral factors (65). Interferon- γ treatment has been shown to activate ATM (67), a process
492 that involves autophosphorylation thus leading to increased pATM, like that seen here in SMA
493 type I cells. Unrepaired DNA lesions, such as those evidenced by the increased γ H2AX foci in
494 SMA fibroblasts seen here, prime the type I interferon system leading to enhanced anti-viral
495 responses upon encounter with viral particles (67, 68), potentially explaining why lentiviral
496 vector transduction increased levels of γ H2AX protein further. Following on from our work,
497 further investigations are needed into both the benefits and potential detriments of viral
498 transduction, specifically with regard to DNA damage and apoptotic protein expression changes
499 following *in vivo* administration.

500

501 The outlook of therapy for SMA is continuing to look positive with three therapies licensed for
502 clinical use, as well as an increasing number of other therapeutic strategies in the pipeline.
503 Here, we have presented promising steps towards the development of a new strategy focused
504 on delivery of a codon-optimised transgene, *Co-hSMN1*. Lentiviral-mediated expression of *Co-*
505 *hSMN1* is able to rescue SMN expression in multiple *in vitro* cell systems and AAV9 delivery
506 leads to strong expression in the *Smn*^{2B/-} mouse model of SMA. Future experimentation should
507 continue to explore long-term benefits of this therapeutic strategy on survival and motor
508 performance of SMA mice, whilst also delving into any unexpected genotoxic consequences of
509 viral transduction.

510

511 Author contributions

512 EMC and NAMN performed *in vitro* experimentation and analyses. MB performed *in vivo*
513 injections and tissue harvests whilst SO analysed tissue from *in vivo* experiments. HF provided
514 support for animal experimentation. RJY-M provided conceptual support and interpretation of
515 results. All authors contributed to manuscript preparation.

516 Competing interests

517 NAMN, EMC and RJY-M have filed a patent application on the uses of the novel SMN
518 transgene reported in this manuscript. SB, HRF and MB report no conflicts of interest.

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529

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- 728

729 Figure legends

730

731 **Figure 1: Maps displaying features of the transfer plasmids encoding *Co-hSMN1* or**
732 **control *eGFP*.**

733 The constructs used in transfer plasmids to produce (A-D) lentiviral or (E,F) adeno-associated
734 viral (AAV) vectors are shown. Each plasmid encodes the *Co-hSMN1* or *eGFP* transgene
735 flanked upstream by a promoter (CMV, hSYN, hPGK or chicken beta-actin CMV hybrid (CAG))
736 and downstream by woodchuck hepatitis post-transcriptional regulatory element (WPRE;
737 mutated in constructs A-C and E), a post-transcriptional element that improves transgene
738 expression (except in the case of AAV_CAG_eGFP (F)).

739

740 **Figure 2: Lentiviral vector-mediated *hSMN1* and *Co-hSMN1* expression in mouse primary**
741 **cortical neurons and rat primary motor neurons.**

742 3-week old mouse primary cortical cultures and isolated motor neuron cultures from E15 rat
743 embryos were transduced with IPLVs and IDLVs encoding CMV_ *hSMN1*, CMV_ *Co-hSMN1*,
744 hSYN_ *hSMN1* or hSYN_ *Co-hSMN1* cassettes, with cells collected at 72h post-transduction. (A)
745 qPCR MOI 30 and 100 were used to transduce mouse cortical neuronal cultures, which were
746 analysed by western blot and SMN protein levels were quantified in (B). Representative western

747 blots are shown and statistical comparisons can be found in Table S1. (C) Motor neurons were
748 transduced at qPCR MOI 30, 60 or 100. Immunofluorescence images show examples of
749 transduced cells at MOI 60, 72h post-transduction. Scale bars = 20 μ m. (D) Quantification of
750 SMN immunofluorescence in cell bodies of transduced or control E14 rat primary motor
751 neurons. Statistical comparisons can be found in Table S2. Error bars represent standard
752 deviation. N=3 biological replicates were collected in each case.

753

754 **Figure 3: Assessment of SMN protein levels in iPSC motor neurons.** (A) Representative
755 images of mature, SMA type I iPSC-derived motor neurons at both high and low seeding
756 density. Scale bar = 100 μ m (high density, top image) and 50 μ m (low density, bottom image).
757 (B) Immunofluorescence images of control and IDLV_CMV_Co-hSMN1-transduced SMA type I
758 iPSC motor neurons. Scale bar = 20 μ m (top image) and 50 μ m (bottom image). (C)
759 Representative western blots showing total protein (red) and SMN (green) in triplicate samples
760 from three independent SMA type I iPSC MN lines mock-transduced or transduced with IDLVs
761 expressing Co-hSMN1 under transcriptional control of CMV, hSYN or hPGK promoters. (D)
762 Quantification of western blots. Error bars represent standard deviation. No significant
763 difference was seen between the three untransduced wild type lines, or between the three SMA
764 type I lines. Significance represented by stars on transduced samples indicates a comparison to
765 the control SMN levels in that particular line. * P<0.05, ** P<0.01, *** P<0.001, **** P<0.0001.
766 N=3 biological replicates were collected for each line, as well as three independent lines for
767 each genotype used.

768

769 **Figure 4: SMN levels in primary SMA type I patient fibroblasts following IDLV**
770 **transduction.**

771 (A) Representative immunofluorescent images of wild-type and SMA type I fibroblasts after
772 IDLV_CMV_Co-hSMN1 transduction at qPCR MOI 75 and 100, plus control. Scale bars = 50

773 μm in all images. (B) Western blots from cells harvested 72h post-transduction with IDLVs at
774 MOI 75 and 100. (C) Quantification of western blots. Error bars represent standard deviation. *
775 $P<0.05$, ** $P<0.01$, *** $P<0.001$, **** $P<0.0001$. N=3 biological replicates were collected in each
776 case.

777

778 **Figure 5: Restoration of gems in SMA type I fibroblasts transduced with lentiviral vectors**
779 **encoding *hSMN1* or *Co-hSMN1*.**

780 Cultured human SMA type I fibroblasts were transduced with IPLVs or IDLVs encoding
781 *CMV_hSMN1*, *CMV_Co-hSMN1*, *hSYN_hSMN1* or *hSYN_Co-hSMN1* cassettes at qPCR MOI
782 30, 60 or 100. The number of gems present in 100 nuclei was quantified 72h post-transduction.
783 (A) Representative images of gems in control human fibroblasts, non-transduced and SMA type
784 I cells transduced at MOI 100. Statistical comparisons can be found in Table S3. Scale bars = 5
785 μm . (B) Quantification of (A). Error bars represent standard deviation. N=3 biological replicates
786 were collected in each case.

787

788 **Figure 6: The effect of IDLV_*CMV_Co-hSMN1* transduction on γH2AX foci in SMA type I**
789 **fibroblasts.**

790 (A) SMA type I fibroblasts were immunostained for γH2AX 72h post-transduction with
791 IDLV_*CMV_Co-hSMN1* at MOI 75. Scale bars = 20 μm in images of wild-type and SMA type I
792 cells, and 50 μm in transduced cells. A view of cells of interest (white dotted line) at increased
793 magnification (lower panel) shows nuclear foci more clearly. (B) The number of foci per cell and
794 (C) percentage of foci-positive cells were quantified. Error bars represent standard deviation. *
795 $P<0.05$, ** $P<0.01$. N=3 biological replicates were collected in each case with each technical
796 replicate quantifying at least n=25 cells.

797

798 **Figure 7: ATM and pATM in wild-type and SMA type I fibroblasts and SMA type I iPSC-**
799 **derived motor neurons.**

800 Quantification of western blots using protein lysates from wild-type, SMA type I fibroblasts and
801 SMA type I fibroblasts treated with 200 μ M hydrogen peroxide (H_2O_2) for 2 hours prior to lysis
802 assessing (A) ATM and (B) pATM levels. (C) Transduction of SMA type I fibroblasts with either
803 IDLV_CMV_eGFP or IDLV_CMV_Co-hSMN1 (both MOI 75) for either 3 or 7 days before
804 harvest and pATM western blot. (D,E) Quantification of ATM and pATM western blots from three
805 independent lines of SMA type I iPSC-derived motor neurons transduced at maturity with
806 IDLV_CMV_Co-hSMN1 (MOI 75) and harvested 3 days post-transduction. Error bars represent
807 standard deviation. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, **** $P < 0.0001$. N=3 biological replicates
808 were collected in each case. See Supplementary Figure 4 for representative western blot
809 images.

810

811 **Figure 8: Analysis of SMN levels following *in vivo* neonatal administration of AAV9**
812 **vectors expressing Co-hSMN1.**

813 *Smn*^{2B/-} neonatal (P0) mice were administered AAV9_CAG_eGFP or AAV9_CAG_Co-hSMN1
814 and their livers (A,B) and spinal cords (C,D) harvested at the symptomatic time-point of P18 for
815 protein analysis. SMN protein levels were normalised to those in wild-type samples in all cases.
816 Error bars represent standard deviation. * $P < 0.05$, ** $P < 0.01$. Wild-type n=4, untreated *Smn*^{2B/-}
817 n=3, *Smn*^{2B/-} + AAV9_CAG_eGFP n=5, *Smn*^{2B/-} + AAV9_CAG_Co-hSMN1 n=5 biological
818 replicates.

819

820 **Supplementary Figure 1: Pairwise alignment of wild-type and Co-hSMN1 cDNA**
821 **sequences.**

822 The sequences of the wild-type *SMN1* cDNA (top) and the *Co-hSMN1* cDNA (bottom) open
823 reading frames were aligned, and nucleotide differences highlighted with asterisks.

824

825 **Supplementary Figure 2: Characterisation of cortical and motor neurons in culture.**

826 (A) 6 day-old mouse cortical neuron cultures were fixed and stained with neuron marker (NeuN).
827 Nuclei were stained blue with DAPI. (B) 72-hours post-seeding, rat motor neurons were fixed
828 and immunostained for a common motor neuronal marker (ChAT) to confirm motor neuron
829 identity. Scale bars = 100 μ m.

830

831 **Supplementary Figure 3: Characterisation of iPSC-derived motor neurons.**

832 Representative images of motor neuron cells at different stages of the differentiation protocol.
833 (A) OLIG2-positive (green) motor neuron progenitors at day 16 of differentiation. (B-D) Mature
834 motor neurons express (B) SMI-32 (red) and β III-tubulin (green), (C) HB9 (red) and (D) ChAT
835 (green). All counterstained with DAPI (blue).

836

837 **Supplementary Figure 4: Determining *SMN* transcript origin and *SMN* protein levels in**
838 **iPSC-derived MNs.**

839 An RT-PCR was performed using primers to amplify a region between exons 6-8 of the *SMN*
840 genes in iPSC-derived MNs. -RT = minus reverse transcriptase control reaction. (A) Full length
841 *SMN* (*FL-SMN*) products (504bp) and *SMN Δ 7* transcripts (450bp) are shown. (B) Two control
842 gene products (GAPDH: 184bp and β -actin: 295bp) were also amplified. The same lane order is
843 present in all gels. (C) The two bands seen at 504 and 450bp in (A) were excised separately
844 and purified. PCR amplicons were digested with *Ddel* for 2 hours before running digested
845 products on a second gel to reveal diagnostic *Ddel* restriction site present only in *SMN2*
846 transcripts. Cleavage products: *FL-SMN2* (504bp) = 382 and 122bp, *SMN2 Δ 7* (450bp) = 328
847 and 122bp. (D,E) SMA type I MNs show 18-fold ($P < 0.0001$) less *SMN* protein than wild type
848 MNs at day 31 of differentiation. N=3 biological replicates were collected for each line.

849

850 **Supplementary Figure 5: Representative western blot images of ATM and pATM levels in**
851 **SMA type I fibroblasts (top and middle panels) and iPSC-derived motor neurons (bottom**
852 **panel).**

853 Quantification can be found in Figure 7.

854

855 **Supplementary Figure 6: Immunofluorescence staining pattern of cleaved caspase 3 and**
856 **γ H2AX in wild-type, SMA type I fibroblasts and SMA type I fibroblasts transduced with**
857 **IDLV_CMV_Co-hSMN1.**

858 Fibroblasts were immunostained against cleaved caspase 3 before the staining pattern was
859 quantified. (A) A scoring system was designed to delineate levels of expression: 0 = no signal, 1
860 = less than 5 foci, 2 = more than 5 foci, 3 = light, diffuse staining, 4 = strong, diffuse staining
861 throughout whole nucleus, or very strong expression in a concentrated area. Examples of nuclei
862 representative of scores 1-4 are shown. (B) Values for each cleaved caspase 3 score as a
863 percentage of total cells in each replicate were calculated and an unpaired, one-tailed t-test
864 between wild-type and SMA (average 19 and 37 cells per replicate, respectively), at each score
865 was conducted (0: P=0.0006, 1: P=0.0472, 2: P=0.0451, 3: P=0.4565, 4: P=0.1613). (C) The
866 percentage of total SMA type I cells exhibiting each score was calculated, but large variation is
867 seen in both mock and transduced samples. At least 30 cells per replicate were scored for each
868 condition (total n=107 mock transduced cells, n=115 transduced cells). Significance was
869 assessed at each score by unpaired, two-tailed t-tests (0: P=0.1751, 1: P=0.8194, 2: P=0.9031,
870 3: P=0.5228, 4: P=0.8709).

871

872 **Supplementary Table 1: Comparison of SMN protein production from all vectors in**
873 **primary mouse cortical neurons.**

874 One-way ANOVA and Bonferroni's post-hoc test were used to determine significant differences
875 in western data from transduced mouse cortical neurons (shown in Figure 2A-B). The data
876 compare types of vectors, transgenes and promoters on protein production. Additionally, data
877 were analysed to determine whether there was a dose-dependent increase within each group.
878 Values represent mean \pm SEM. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. N=3 biological replicates were
879 collected in each case.

880

881 **Supplementary Table 2: Comparison of SMN protein production from all vectors in**
882 **primary rat motor neurons.**

883 One-way ANOVA and Bonferroni's post-hoc test were used to determine significant differences
884 in immunofluorescence data from transduced primary rat motor neurons (shown in Figure 2C-
885 D). Data compare types of vectors, transgenes and promoters on protein production.
886 Additionally, data were analysed to determine whether there was a dose-dependent increase
887 within each group. Values represent mean \pm SEM. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. N=3
888 biological replicates were collected in each case.

889

890 **Supplementary Table 3: Comparison of gem restoration by all vectors in SMA type I**
891 **fibroblasts.**

892 One-way ANOVA and Bonferroni's post-hoc test was used to determine significant differences
893 in type I SMA fibroblast populations (shown in Figure 5). The analysed data show the effect of
894 different parameters such as lentiviral vector configuration, transgene and promoter, on gem
895 restoration. In addition, data were analysed to determine whether there were dose-dependent
896 increases within each promoter group. Values represent mean \pm SEM. * $P < 0.05$, ** $P < 0.01$, ***
897 $P < 0.001$. N=3 biological replicates were collected in each case.













