

1 **Bio-derived lactones – Combustion and exhaust emissions of a new class of renewable**
2 **fuels**

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4 **Authors: James Frost^{a*}, Dr Paul Hellier^a, Professor Nicos Ladommatos^a**

5 ^aDepartment of Mechanical Engineering, University College London, London WC1E 6BT, U.K

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7 ***Corresponding author:** Department of Mechanical Engineering, University College London,

8 London WC1E 6BT, U.K. Telephone +447858393022. Email: ucemjfr@ucl.ac.uk

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29 **Abstract**

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31 The use of bioderived drop-in fuels is an essential step in the reduction in fossil fuel usage.
32 While ethanol and biodiesel are known quantities, the use of novel biomass that does not
33 compete with food for its production could be vital in ensuring a reliable supply. Lactones are
34 a class of chemicals that can also be sourced from 2nd generation biomass and possess
35 molecular attributes that, from previous investigations within the group, are believed to be
36 effective in reducing particulate emissions relative to diesel, while maintaining high ignition
37 propensity. In this study, a systematic investigation of the combustion and exhaust emissions
38 of a series of lactone fuels in a compression ignition engine was undertaken. The results
39 indicated that blended C6 lactones- ϵ -caprolactone, δ -hexalactone and γ -caprolactone-
40 displayed promising ignition qualities, relative to butanol, an alcohol often employed in diesel
41 blending experiments. Ignition delay decreased as the length of the lactone side chain
42 increased, but combustion was seemingly more stable in both ϵ -caprolactone and γ -
43 caprolactone, compared to the methyl branched δ -hexalactone, which possessed the highest
44 CO emissions and particle number. The C10 lactone, γ -decalactone, within the diesel-butanol
45 blend, possessed excellent ignition quality, while also reducing particle mass significantly. All
46 blends produced lower nitrogen oxides (NO_x) emissions and particle mass than unblended
47 fossil diesel. Subsequently, two C10 and two C12 lactones were employed- based on their
48 potential to be derived from biomass- as pure fuels in the diesel engine. Despite significant
49 differences in physical properties compared to diesel, stable combustion was observed, with
50 ignition delay approximately equivalent to that of base diesel. The high carbon number and
51 long alkyl chains of the C12 lactones meant that particulate emissions were comparable to
52 diesel. C10 lactones showed greater alleviation of particulate emissions, likely due to a
53 combination of lower carbon number and extended ignition delay relative to diesel, while all
54 pure lactone fuels significantly reduced NO_x emissions, suggested to be due to combustion
55 phasing.

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57 1. Introduction

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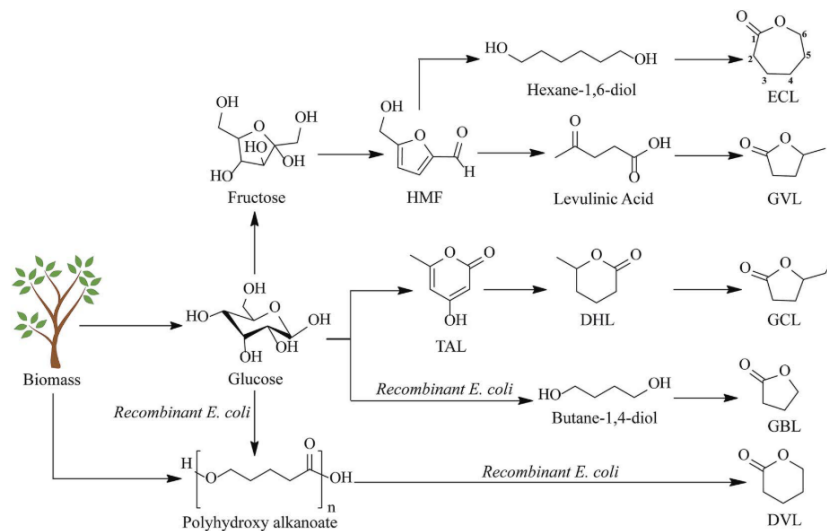
59 Crude oil derivatives account for the vast majority of fuels used in the transportation
60 industry; in the US in 2020, approximately 93% of the energy used for transport came from
61 fossil fuels, with only 5% of this energy sourced from biofuels.¹ In the UK, approximately 98%
62 of transportation energy is derived from oil.² However, moves away from these petroleum fuels
63 are being made, with a ban on new petrol and diesel cars currently set for 2030 in the UK.³
64 The transition period, as well as the fact that cars bought before this ban will likely remain on
65 the road in the 2040s, necessitates the use of cleaner fuels in current internal combustion (IC)
66 engines to mitigate the fossil derived CO₂ emissions produced. However, CO₂ is not the only
67 product of internal combustion. Nitrous oxides (NO_x) and particulate matter/soot (PM) are
68 released as virtually unavoidable by-products of internal combustion of hydrocarbons and both
69 are well-known health hazards.⁴⁻⁷ Euro 6 limits on NO_x for diesel engines are 0.08g/km and
70 0.06g/km for petrol engines, with future regulations anticipated to enforce more stringent
71 restrictions still.⁸ Catalytic converters are necessary for such low exhaust outputs, but the
72 expense and environmental impact these have in themselves are considerable, while their
73 effectiveness varies considerably with temperature and, therefore, are less effective during
74 engine start-up.⁹

75 Biofuels could provide a solution to the short to medium-term for combustion engines.
76 Generally containing oxygen within the molecular structure, combustion of these fuels
77 generally result in lower emissions of particulates compared to fossil fuel combustion,¹⁰⁻¹²
78 while production from biomass makes them renewable and potentially carbon-neutral. Today's
79 current fuels contain small amounts of bio-derived components (10% ethanol in gasoline, 7%
80 biodiesel in diesel). However, not only are these relatively low blend ratios, they are also
81 sourced from 1st generation sources i.e those that compete with food sources. Ethanol is often
82 sourced from sugar, while biodiesel from vegetable oils. Research into new types of biodiesel
83 has increased over recent years as cleaner sources of diesel fuel are needed, though their

84 effect on the combustion requires greater understanding and the relative changes in the
85 emissions produced needs clarity.¹³⁻¹⁶ Fuels from 2nd generation biomass are a more
86 appealing prospect, as this represents non-edible biomass. Such fuels are derived from
87 lignocellulose; the structure comprising cellulose, hemicellulose and lignin that makes up the
88 basic integrity of all plant life. A previous investigation by the current authors¹⁷ undertook a
89 screening study of various molecules that were deemed to be potentially sourced from this
90 lignocellulose for use diesel blend components. Furfural and hydroxymethylfurfural (HMF) are
91 the main platform chemicals of C5 (hemicellulose) and C6 (cellulose) derived sugars, and thus
92 derivatives of these were used for these tests. The main finding of these experiments was that
93 saturated furans (tetrahydrofurans) were required in diesel engines for high blend ratios to be
94 employed, while maintaining stable combustion. Stable combustion is a loosely defined term
95 in which the coefficient of variation (COV) in the IMEP is low (typically below 10%) and is
96 achieved when ignition occurs at favourable timings close to TDC when the cylinder volume
97 is low and in-cylinder pressures are at their highest. This allows rapid early-stage combustion
98 reactions to ensue, with effective propagation and rapid heat release rates. Furthermore, it
99 was determined that long, single chained alkyl groups were desirable in reducing ignition
100 delay, while the addition of a carbonyl group into the moiety helped reduce particulate mass.

101 The desirable molecular traits described suggest lactones as a bioderived blending
102 component that is both renewable and results in lower toxic emissions. Lactones are cyclic
103 esters, and can vary considerably in their carbon number and ring size. ϵ -caprolactone (ϵ CL),
104 for example, is a medium size lactones in terms of its ring-size (7 membered ring), and is
105 particularly important due to its current array of uses, as well as its potential to be sourced
106 from lignocellulose (Figure 1.1). Currently however, the major production route utilised for this
107 lactone is through fossil fuels.¹⁸ The biotransformation route to synthesise this lactone is not
108 easily scaled-up, and the demand for ϵ -caprolactone is extremely high, particularly in the
109 polymer industry (nylon synthesis) with a demand of more than 25,000 tonnes. More recently,
110 ϵ CL production has been developed for 3D printing and for cell culture scaffold purposes.¹⁸

111 However, there are numerous biopathways available for the production of ϵ CL; cellulose may
 112 be dehydrated to produce HMF, which in turn (as investigated by the study of Buntara¹⁹) can
 113 form 1,6-hexanediol through catalytic hydrogenation and hydrodeoxygenation, and
 114 subsequently the final lactone product.



115

116 **Figure 1.1: Production routes from 2nd generation biomass to the formation of the C6**
 117 **lactones tested²⁰**

118

119 Lactones with higher carbon numbers can also be sourced from natural sources and are
 120 potentially applicable for diesel blends. Currently, many of these types of molecule are
 121 employed in the food, cosmetic and pharmaceutical industries, representing a value of almost
 122 US\$7 billion a year.²¹ γ -decalactone (γ DL), for example, has been used as a peach flavouring
 123 agent for the food industry in very low levels- possessing a detection threshold of just
 124 0.088ppm- and was initially produced directly from fruits or via chemical synthesis.²² Since γ -
 125 decalactone can be classed as a natural food flavouring agent, its bioderived nature is already
 126 proven. For the most part, production of this molecule utilises bioconversion of ricinoleic acid,
 127 which may be obtained from castor oil. Recently, microbes have been used in the production
 128 of this molecule²³ and, while the high cost of this production method is a drawback, Schrader
 129 notes that the optimization of the bioconversion of γ -decalactone has contributed to a price

130 drop from \$10000/kg to \$300/kg.²⁴ Currently, the price of synthetic 'aroma compounds', such
131 as C10-C12 lactones, are approximately \$150/kg, whereas those derived from biologically
132 engineered routes are priced closer to the aforementioned \$300/kg value.²⁵ While currently
133 high, the increased demand for so-called 'natural' flavourings in the food and beverage
134 industry is likely to continue to bring this price down significantly, particularly with the use of
135 enzymes.

136 Gamma lactones in particular are versatile in their use as flavouring agents in foods and
137 cosmetics.²⁶ From its presence in a wide range of fruits, including apricot, peach, strawberry
138 and mango, and possession of a butter-like²⁷, the use of γ -dodecalactone (γ DDL) in the
139 aforementioned industries has been investigated Hydroxy fatty acids (HFAs) can be used as
140 precursors to the formation of this lactone, though only ricinoleic acid is found in nature in the
141 quantities necessary for large scale application of the lactone.²⁸ Both 5 member (γ) and 6
142 member (δ) lactones are of interest due to their stability relative to larger and smaller ringed
143 lactones, caused by the minimised bond angle strains for these structures.²⁹ An isomer of γ -
144 decalactone, δ DL differs in that its ring possesses one more carbon atom at the expense of a
145 carbon atom in the side chain. As with the vast majority of long chained lactones, the primary
146 use of this lactone is in fragrances. δ -decalactone itself possesses a cream-coconut and
147 peach aroma.³⁰ Corma et. al³⁰ note that a large number of patents have been submitted
148 relating to the production of this molecule, signalling that, irrespective of its potential use as a
149 biofuel, research is already underway into larger scale synthesis. One of the main problems
150 is the use of peracids which involve potentially explosive materials to synthesise and Corma's
151 studies look into the use of more benign (heterogenous) catalysts that remove the need for an
152 organic solvent.³⁰ However, biological pathways are generally of lower yield than that of the
153 chemical routes- which utilise aldol condensation of cyclopentanone followed by
154 hydrogenation and Baeyer-Villiger oxidation- and therefore have not been scaled up to the
155 same degree.³¹ However, Alam et. al report a green synthesis of δ DL using the product of
156 fermentation of waste lignocellulosic material, such as sugar cane bagasse, and a one-step

157 hydrogenation reaction in the presence of a heterogeneous catalyst to produce δ DL via
158 massoia lactone. Another appealing feature of this synthesis is that the hydrogen required,
159 normally produced from fossil fuels, can be supplied in the form of formic acid, derivable from
160 lignocellulose.³¹

161 A common feature of bio-lactone productions is the use of microbes to allow the
162 transformation of hydroxy fatty acids to the lactone, but a lack of a clear consensus as to which
163 microorganism is most effective, nor where the most likely source of the HFA would be
164 derived, suggests that scale up remains a long-term goal.

165 Examples of the utilisation of lactones as drop-in fuels are scarce. However, one lactone,
166 gamma-valerolactone (GVL), has been tested as a biofuel based on its ability to be derived
167 from 2nd generation biomass; it can be formed from the versatile levulinic acid (LA), which
168 can be obtained from both the cellulose and hemicellulose fraction of biomass components.
169 LA undergoes dehydration to form angelica lactone and is subsequently hydrogenated to form
170 GVL.^{32,33} Bereczky performed the first detailed study of this molecule's combustion in a direct
171 injection, turbocharged diesel engine, referencing the potential advantages in the reduction of
172 emissions to compensate for the low cetane number.³⁴ Overall, while engine performance was
173 diminished with the addition of biodiesel and GVL, incomplete combustion products, THC and
174 CO, as well as smoke emissions, were significantly reduced, while NO_x emissions were not
175 greatly affected. Due to the size of the GVL molecule, it has been more common to test the
176 lactone in SI engines with higher concentrations than that used in the study of Bereczky (7%).
177 Horvath noted that, compared to ethanol, GVL possesses a similar octane number, but
178 improves combustion due to lower vapour pressure and also has higher energy density
179 compared to ethanol.³⁵ Furthermore, from a production perspective, GVL is advantageous
180 based on the fact that it does not form an azeotropic mixture with water, which makes the
181 catalyst used in the production both recoverable and reusable, while its high flash point and
182 low toxicity make it a relatively safe molecule to work with.³⁶ However, in a study by Gschwend
183 looking at 50 alternative fuels, while GVL possessed the basic requirements for an alternative

184 SI engine fuel, the fact that it is also an addictive drug was deemed to render its widespread
185 use unlikely.³⁷

186 For compression ignition engines, a fuel requires greater molecular mass to enhance
187 ignition propensity with a potentially larger radical pool exploited. Larger lactones appear to
188 have received less attention as potential fuels. However, as mentioned, there are promising
189 solutions to the sustainable production of the most commonly utilised of the 'mid-size'
190 lactones; ϵ -caprolactone. ϵ CL is promising as a fuel blending component when compared to
191 furan molecules that generally possess a lower carbon number (making them more applicable
192 to gasoline blends), while the ester functionality is potentially of benefit in reducing the
193 emission of particulate mass as the number of oxygen atoms available for soot oxidation is
194 increased. ϵ -caprolactone contains 6 carbon atoms in a cyclic ester structure. Based on the
195 current production potential and higher carbon number of ϵ -caprolactone compared to GVL
196 (an extensively researched lactone),^{34,38,39} The possibility to utilise larger lactones, such as
197 ϵ CL, in diesel engines is therefore highly intriguing. This report is, to the best of our knowledge,
198 the first example of the combustion of higher lactones in a compression ignition engine.

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200 **2. Experimental Procedure**

201 **2.1. Test molecules**

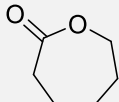
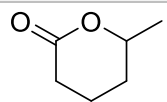
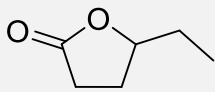
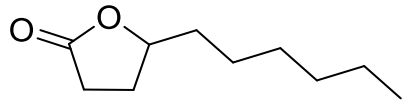

202 The first series of lactones considered, the structures of which are shown in Table 2.1,
203 were used to compare the impact of the ring size and overall carbon number on blending with
204 fossil diesel and the ignition quality. ϵ -caprolactone (ϵ CL), is a seven membered lactone (six
205 carbons) with no side chains, δ -hexalactone (δ H₆L) also possesses 6 carbons but one of these
206 carbons is branched from a six membered ring, while γ -caprolactone (γ CL) takes this further
207 by extending the branch to an ethyl chain at the expense of the ring size (five membered).

208 The comparison of these molecules enabled the impact of the ring size and presence of
209 alkyl chains to be determined while keeping the overall carbon number constant (C6). The
210 fourth lactone tested here, γ -decalactone (γ DL), differed by possessing ten carbons (C10).

211 However, as with γ -caprolactone, the cyclic structure consists of four carbons and an oxygen.
 212 The purpose of including this molecule was to compare the relative effect of adding further
 213 carbons to the chain off the same ring.

214

215 **Table 2.1: Physical properties of three C6 lactones and C10 lactone (γ DL) tested in blends**

Molecule	Abbreviation	Structure	Boiling Point (°C)	Density (g/cm ³)*	Viscosity (mPa)* **
ϵ -caprolactone	ϵ CL		98	1.030	7.44
δ -hexalactone	δ HL		111	1.037	4.53
γ -caprolactone	γ CL		219	1.023	3.00
γ -decalactone	γ DL		281	0.948	7.50
1-Butanol	none		118	0.81	2.53
Diesel	none	N/A	357***	0.834	2.63

216 *at atmospheric temperature

217 **preliminary test results obtained with a Brookfield digital rheometer (Model DV-III)

218 ***final boiling point

219

220 Four lactones were also tested with the prediction of igniting as pure components. The
 221 structures and physical properties of these molecules are supplied in Table 2.2 below.

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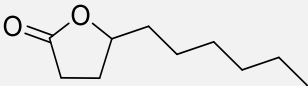
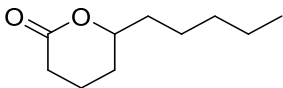
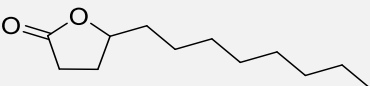
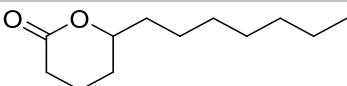
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Table 2.2: Structures and physical properties of C10 and C12 lactones tested as pure fuels

Lactone	Abbreviation	Structure	Boiling Point (°C)	Density (g/cm ³)*	Viscosity (mPa)* **
γ -decalactone	γ DL		281 (760mmHg)	0.948	7.50
δ -decalactone	δ DL		283 (760mmHg)	0.954	11.2
γ -dodecalactone	γ DDL		130-132 (1.5 mmHg)	0.936	14.6
δ -dodecalactone	δ DDL		304 (760mmHg)	0.942	15.0

230 **at atmospheric temperature*231 ***preliminary test results obtained with a Brookfield digital rheometer (Model DV-III)*

232

233 ϵ -caprolactone (>98%), δ -hexalactone (>98%), γ -caprolactone (98%), δ -decalactone (98%),234 γ -decalactone (>98%), δ -dodecalactone (97%), γ -dodecalactone (>97%). 1-Butanol (99%)

235 was purchased from Alfa Aesar and the zero-FAME fossil diesel used was obtained from

236 Haltermann Carless.

237

238 **2.2. Blending**

239

240 The solubility of the blended lactones (Table 2.1) in fossil diesel fuel varied significantly.

241 To maintain consistency, a constant blend ratio was utilised for all tests which reflected the

242 maximum blend ratio possible for the poorest blending fuel (δ HL). Table 2.3 below specifies

243 the volume ratios used for all lactone blends tested. The individual components were

244 measured volumetrically in individual burettes to achieve the desired ratios. A standard

245 sealable glass reagent bottle containing the mixture was initially put onto a magnetic plate,

246 with a magnetic stirrer used for constant agitation of the components as they were added. This

247 was performed for a period of at least 2 minutes after the final component had been added to

248 ensure no phase separation had occurred.

249 Butanol was selected as a co-solvent due to its frequent use in diesel blend studies and
250 the improvement in emissions this molecule can bring when utilised in low quantities.^{17,40,41} A
251 study by Yang utilised butanol in a blend with both diesel and GVL, which determined little
252 change in engine power when utilising higher percentages of oxygenated fuel, with major
253 reductions in HC emissions and smoke opacity.⁴² In the current study however, the proportion
254 of lactone relative to butanol was maximised so as to best be able to observe the effects of
255 varying lactone molecular structure.

256 ϵ CL was noted to blend poorly with diesel. When diesel was combined in a 50:50 volume
257 ratio with the lactone species, a single-phase solution could not be formed. The size and
258 polarity of a molecule are the main attributes in dictating the solubility of a molecule. Ester
259 molecules tend to be polar and given the rule of 'like- dissolves-like', with diesel being a non-
260 polar solvent, poor blending was not unexpected. The large ring and shape of this molecule
261 are also likely reasons for the poor blending properties in diesel; the ring shape means that it
262 cannot form the necessary Van de Waals forces with diesel molecules, which tend to be long
263 chains of alkanes and alkenes, rendering it poorly soluble.

264 As in the case of ϵ -caprolactone, δ -hexalactone was noted not to be insoluble in fossil
265 diesel fuel. Moreover, the blending properties were poorer relative to ϵ CL, such that all blend
266 ratios were dictated by δ HHL solubility. Since the polarity of the various lactones is likely to be
267 very similar, the overall surface area and the orientation in which the diesel molecules may be
268 able to fit around the lactone molecules could explain the observed difference in solubility. The
269 solubility of γ CL was found to be higher than that of δ -hexalactone, therefore not following a
270 trend of ring size or side chain length. The longer chain of γ CL compared to δ HHL may present
271 sufficient non-polar sites for diesel molecules to be able to interact to the extent necessary for
272 the lactone to become solvated more effectively than the methyl branched δ HHL. Compared,
273 however, to ϵ CL to δ HHL, the former is a more compact structure, which would be beneficial to
274 its solubility. γ -decalactone was found to blend at a 50:50 ratio without butanol in the diesel
275 fuel, however, to maintain consistency, the same blend ratios were used for all tests. The

276 enhanced solubility of γ -decalactone is due to the long side chain of the lactone, producing a
277 degree of non-polarity within the molecule that allows the formation of intermolecular forces
278 with diesel fuel molecules, which are typically made up of long aliphatic chains.

279

280

Table 2.3: Lactone blending ratios

Diesel Volume	Butanol Volume	Lactone Volume	Lactone Volume %
150ml	50ml	100ml	33

281

282 A 50vol% diesel:butanol blend was also tested in this study to assess the relative effects
283 of replacing butanol with the various lactone molecules.

284 A Brookfield digital rheometer was used to measure the viscosities of the blended fuels
285 (Figure S1-S2). This equipment operated using a spindle, immersed within the test fluid, driven
286 through a calibrated spring. The deflection of the spring provides details of the viscous drag
287 of the fluid which can be converted into viscosity (in centipoise) using the known rotational
288 speed, size/shape of the spindle and container, as well as the torque of the spring. The
289 specifications of the rheometer can be found in Table S1 (Supplementary Information). The
290 temperature was controlled with a water bath, maintained at 20 °C and circulated around and
291 through the jacket holding the test fluid. Important to note is that, before submerging the
292 spindle in the container of test fluid, the instrument is autozeroed. Once completed, the spindle
293 is submerged and the instrument reads a viscosity value. Monitoring of the viscosity over a
294 period of 5 minutes was important to ensure any drift (caused by shear thinning for example)
295 was observed as a result of the rotation of the spindle. No drift was observed for these tests,
296 therefore the viscosity value recorded after 5 minutes was deemed the final value.

297

298

2.3. Research engine

299

300 A direct-injection, custom built, 4-stroke single cylinder compression-ignition engine was
301 employed in these experiments. The cylinder head, intake manifold, fuel injector, piston and

302 connecting rod were from a Ford Duratorq, 2L turbocharged engine (CD132 130PS). A
 303 Ricardo Hydra single cylinder crank case was employed. Further details of the engine and its
 304 auxiliaries can be found in previous work published by the group, while a schematic of the
 305 entire setup can be found in Figure S3 (Supplementary Information).¹⁷ Measurements of in-
 306 cylinder pressure and exhaust gas composition were taken for all experiments.

307

308

Table 2.4: Diesel engine specifications

Engine Head Model	Ford Duratorq
Engine Crankcase Model	Ricardo Hydra
No. of Cylinders	1
Cylinder Bore (mm)	86
Cylinder Stroke (mm)	86
Swept Volume (cm³)	499.56
Geometric Compression Ratio	18.3 : 1
Max In-Cylinder Pressure (bar)	150
Piston Bowl Design	Central ω bowl
Fuel Injection Pump	Delphi single-cam radial-piston pump
High-pressure Common Rail	Delphi solenoid controlled (Max 1600 bar)
Diesel Fuel Injector	6-hole solenoid valve injector (Delphi DFI 1.3)
Fuel Injection System	1 μ s duration (EMTRONIX EC-GEN 500)
Crank Shaft Encoder	1800 ppr (0.2 CAD resolution)

309

310

2.4. High pressure, low volume (HPLV) fuel system

311

312 A low volume fuel system was employed for the injection of the novel fuels into the
 313 combustion chamber (Figure S4- Supplementary Information). This was due to a combination
 314 of the cost of some of these molecules, the physical properties, such as viscosity and density,
 315 which were not suitable to use in the standard common rail system, and the difficulty in
 316 cleaning the header tank and subsequent common rail fuel system from contaminants when
 317 testing different fuels consecutively.

318 This fuel system allowed tests to be conducted with low amounts of fuel (less than 1L). The
 319 system was easy to clean and reuse for different fuels, and avoided subjecting the fuel pump
 320 to potentially incompatible fuels. The stainless steel vessel encased a free moving piston
 321 which divides the vessel into two chambers; the one below the piston is connected to the

322 standard common rail injection system and therefore can be pressurised using the common
 323 rail fuel system and diesel fuel. Mixing of the diesel and test fuel, as well as leaking of the
 324 diesel or test fuel from either chamber, was prevented using Polymax Viton O-rings on the
 325 lids, the top and bottom of the vessel and the piston itself.

326

327 **2.5. Engine Operation**

328

329 The engine was operated at a constant engine load of 4 bar IMEP and a constant engine
 330 speed of 1200 rpm. The lactone blends were tested at only constant start of combustion timing,
 331 with the start of injection varied according to the ignition delay of each fuel so that the start of
 332 combustion always occurred at TDC.

333 A constant injection pressure of 550 bar was utilised for all tests, with the injection duration
 334 and timing modified for each fuel to maintain a constant engine load of 4 bar IMEP and start
 335 of combustion at TDC. A summary of these injection parameters is outlined in Table 2.5 below,
 336 with diesel injection characteristics - at the start and end of a testing day- supplied to highlight
 337 any major changes in injector performance across a day.

338

339 **Table 2.5: Injection parameters for the blends tested (4bar IMEP, 1200rpm)**

Fuel	Average Injection Duration (μ s)	COV of Injection Duration (%)	Injection Timing (CAD BTDC)
Base Diesel (Start)	593	0.3	9.6
ϵ-caprolactone	658	0.99	14.5
δ-hexalactone	659	0.37	14.3
γ-caprolactone	648	0	14.2
γ-decalactone	637	0.11	12.6
Butanol	638	0.23	14.6
Base Diesel (End)	594	0	9.8

340

341 The pure lactone fuels were tested under the same engine load and speed conditions
 342 as those of the lactone blends. For these pure fuels, both constant injection (CInj) and constant
 343 ignition (CIgn) tests were undertaken. At constant injection timing, the start of injection was

344 kept constant at 10 CAD BTDC and the start of combustion allowed to vary in accordance with
 345 the ignition delay of each fuel. This additional condition was employed due to the testing of
 346 pure fuels were tested, with a greater range of ignition delays anticipated, and it was important
 347 to discern whether differences in combustion characteristics were caused by combustion
 348 phasing or the fuel chemistry. At constant ignition timings, the observed ignition (point at which
 349 the apparent net heat release rate became positive) was controlled at 360 CAD by altering the
 350 injection timing. A summary of the injection properties is supplied in Table 2.6 below. It was
 351 noted that these values were consistent across all tests and fuels, likely due to the effective
 352 lubrication of the injector by the high viscosity lactone fuels.

353 **Table 2.6: Injection durations and timings of pure lactone fuels and base diesel tests (4bar**
 354 **IMEP, 1200rpm, SOI = 10 CAD BTDC for CInj tests)**

Fuel	CInj Average Injection Duration (μs)	CInj COV Injection Duration (%)	Clgn Average Injection Duration (μs)	Clgn COV Injection Duration (%)	Clgn Injection Timing (CAD BTDC)
Diesel	592.5	0.25	591	0.15	9.6
δ-decalactone	691	0.18	694.5	0.28	12.6
γ-dodecalactone	678.5	0.27	679.5	0.30	9
δ-dodecalactone	679	0.37	685.5	0.12	10
γ-decalactone	687	0.71	694	0.26	11.8

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3. Results and discussion

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3.1. Diesel-butanol-lactone blend results

3.1.1. Combustion Characteristics

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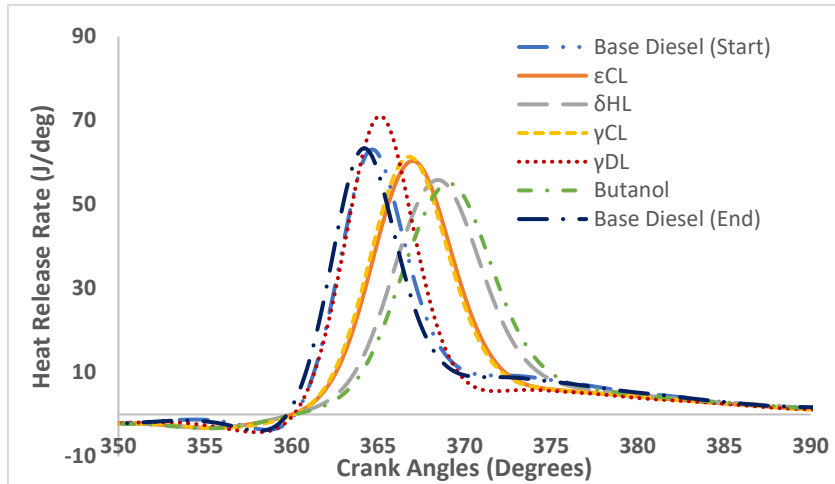
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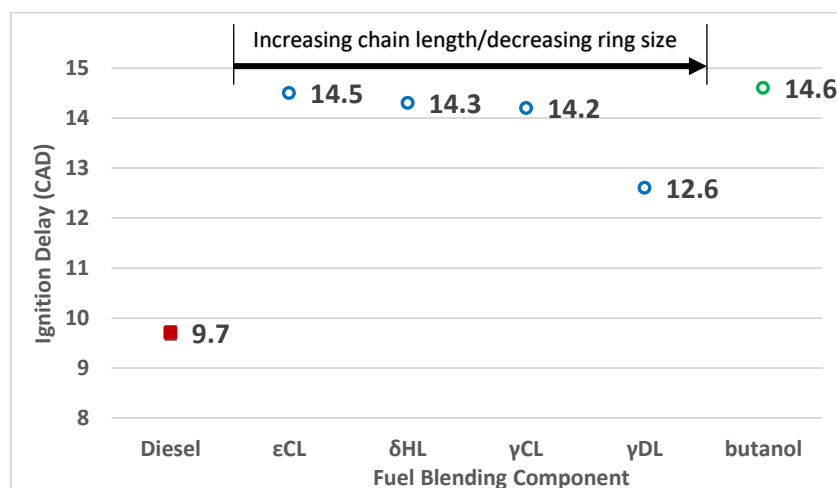
379 **Figure 3.1: Apparent net heat release rates during combustion of diesel-butanol-lactone**
380 **blends and base diesel at 4 bar IMEP, 1200 rpm and constant start of combustion at TDC**

381

382 Figure 3.1 shows the apparent net heat release rates of the various diesel-butanol-lactone
383 blends, compared to fossil diesel. The tests were conducted at constant ignition conditions,
384 which ensured that heat release occurred at similar in-cylinder volumes. Heat release during
385 diesel combustion at the start and end of a test day are shown to highlight the range of
386 experimental variation. Apparent from Figure 3.1 is the subtle difference in the heat release
387 rates of base diesel start and base diesel end, suggesting the variation in heat release
388 between the lactone blends to be attributable to the fuel composition and not drift in engine
389 performance. Of the fuels tested, the sharpest rise in heat release rate is seen in the case of
390 base diesel start and base diesel end, followed by γ DL and with the slowest increase in heat
391 release rate apparent during combustion of the δ HL and the butanol-only blend. Interesting to
392 note is that the γ DL blend exhibited a higher peak heat release rate than base diesel, likely
393 owing to the longer ignition delay of the former that allows more time to form a homogenous
394 fuel-air mixture (observable from the start of injection, indicated by the negative HRR slope in

395 Figure 3.1, occurring just after 350 CAD). γ CL and ϵ CL produced peak heat release rates of
 396 comparable magnitude, occurring slightly later compared to those exhibited by the base diesel
 397 and the γ DL blend. More rapid combustion is expected in the case of γ CL, given that the ethyl
 398 chain will likely help produce the radicals necessary to fully open the lactone ring and enhance
 399 flame propagation. However, it is unclear why similar combustion phasing was exhibited by
 400 ϵ CL given the absence of an alkyl chain to provide readily available sites for hydrogen
 401 abstraction.

402 Relative to δ HL (with one methyl branch), the lack of any side chains in ϵ CL might be
 403 expected to reduce ignition quality and combustion stability. Figure 3.1 indicates that this is
 404 not the case, with δ HL exhibiting a lower magnitude and later timing of pHRR, suggesting that
 405 the structure of δ HL reduces rates of combustion. Since both injection and ignition timings
 406 were similar for both molecules, it is likely that initial radical formation is not the pathway that
 407 is hindered, and instead δ HL derivatives may result in more chain terminating reactions. δ HL
 408 combustion appeared similar to that of the diesel:butanol ‘control’ blend, with both blends
 409 resulting in relatively unstable combustion. Butanol has been commonly employed in diesel
 410 testing⁴⁰- under a range of conditions- but blend ratios greater than 20 vol% have been shown
 411 to result in poor combustion efficiency and high CO emissions.⁴³



412
 413 **Figure 3.2: Duration of ignition delay of the lactone and butanol blends, and base diesel, at**
 414 **constant engine speed and IMEP and variable injection timing for constant start of combustion**

415

416 Figure 3.2 shows the duration of the ignition delay period during combustion of the lactone
417 and butanol blends and also the base diesel. Immediately apparent in Figure 3.2 is the
418 consistent repeatability in measurement of ignition delay as signified by the minor error bars
419 on the base diesel data point, calculated from the standard deviation of all base diesel repeats.
420 The lactone/butanol blends all exhibited longer delay periods than the base diesel. Given the
421 fact that the longest delay period was exhibited by the butanol blend, it is apparent that all the
422 lactones tested possessed higher ignition quality as a blending component than the short
423 chain alcohol. This is expected, given the low cetane number (15.92) of butanol⁴⁴ and the
424 higher carbon number of the lactones compared to the four carbons in butanol. With a variation
425 of only 20% of the blend content, it can be seen that the duration of ignition delay is not
426 changed significantly between the three C6 lactones (ϵ -caprolactone, δ -hexalactone, γ -
427 caprolactone), with the longest ignition delay exhibited by ϵ CL and the shortest by γ CL,
428 indicating a trend of decreasing ring size and longer side chain length reducing the duration
429 of the delay period. With a further increase in the length of the side chain at constant ring size
430 (γ -decalactone relative to γ -caprolactone) the delay period is reduced more substantially,
431 (12.6 CAD from 14.2 CAD). Recalling again that only 20% of the blend content is varied
432 between the lactone blends, and yet the delay period decreases by over 1 CAD, suggests that
433 γ -decalactone is a highly ignitable fuel compared to the other lactones tested in these blends.
434 While a higher fuel viscosity may be expected to be detrimental to fuel atomisation, therefore
435 decrease ignition propensity, the long-side chain of γ DL generates the necessary chemical
436 reactivity to counteract this effect and readily ignite in a compression ignition engine.

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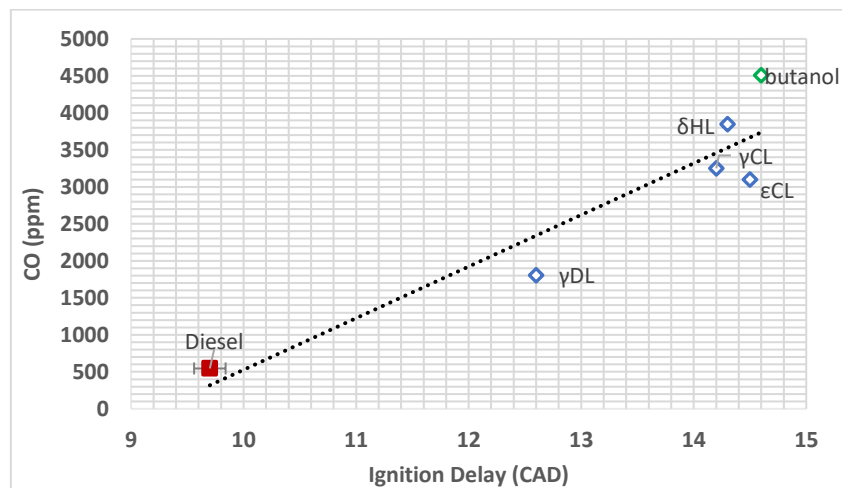
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3.1.2. Gaseous emissions



445

446 **Figure 3.3: Exhaust gas concentrations of CO and duration of ignition delay during**
447 **combustion of the lactone blends and base diesel**

448 The combustion efficiency can help illustrate, to a large degree, the compatibility of a given
449 fuel with a compression ignition engine. Figure 3.3 shows the measured exhaust gas
450 concentrations of CO of the lactone blends relative to the duration of ignition delay and
451 indicates the extent of incomplete combustion, where higher emissions of CO imply more
452 incomplete combustion. Figure 3.3 indicates a positive trend of CO emissions with increasing
453 ignition delay. With increasing duration of ignition delay it is likely that the fuel becomes
454 increasingly overdiluted with air, with areas of the combustion chamber becoming too fuel lean
455 for combustion to occur. The excessively ignition delay of the blends can largely be attributed
456 to the butanol within the blend (the diesel:butanol blend possesses the highest CO emissions),
457 although the 6-carbon lactones also contribute to this given their relatively low carbon number
458 and high oxygen content, and therefore low ignition propensity (Figure 3.2). This extended
459 delay period not only causes over dilution, and therefore lower combustion temperatures-
460 reducing reaction rates and therefore the proportion of complete combustion- but also
461 increases the likelihood of fuel impingement on the cylinder walls.

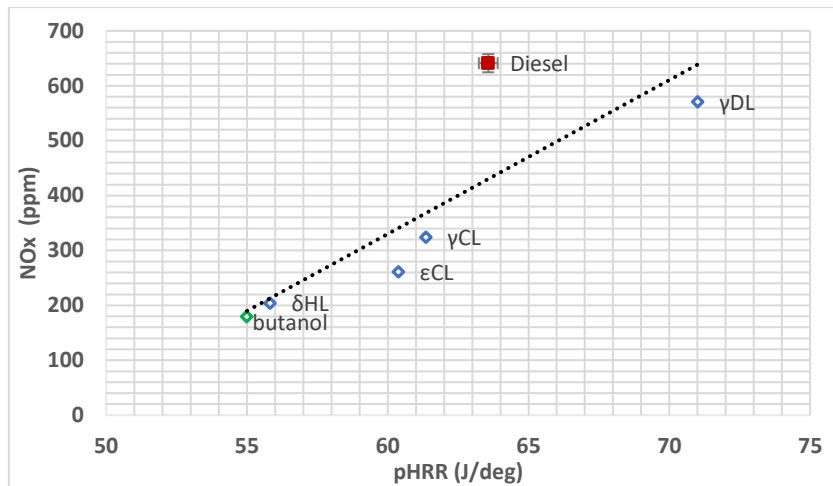
462 The higher density of these lactones (see Table 2.1) compared to diesel (with a density of
463 approximately 0.85g/cm³) is a property that has been shown to increase lift-off length of fuel
464 sprays, thus increasing the likelihood of droplets reaching the walls.⁴⁵ The lactones also

465 possess higher viscosities than diesel itself (diesel viscosity: $\sim 1.35 \text{ mPa s}$)⁴⁶, making fuel
466 atomisation poorer and resulting in a greater proportion of fuel-rich, oxygen deficient zones
467 where CO may form. A further physical property to consider though is the boiling point; ϵ -
468 caprolactone and δ -hexalactone are relatively volatile, with boiling points of 98°C and 111°C
469 respectively. It is therefore postulated that, for these lactones and butanol in particular, fuel
470 penetration into the chamber is relatively low, with a vapour cloud close to the injector tip
471 potentially forming, which could be responsible for incomplete combustion due to a lack of
472 oxygen entrainment.^{47,48}

473 γ -caprolactone possesses a boiling point of 219°C , far higher than the other two C6
474 lactones. However, this blend produced levels of CO similar to those during combustion of ϵ -
475 caprolactone, therefore physical properties do not entirely explain the trends in CO emissions,
476 though ignition delay is a clear contributor. δ -hexalactone sees the highest CO emissions and
477 therefore degree of incomplete combustion. Since the delay period decreases from the largest
478 to smallest ring, the ID does not fully explain the difference in CO emissions, which increase
479 from seven to five to six-membered rings, though these differences are relatively minor (Figure
480 3.3). A tentative suggestion, however, is that, because δ -hexalactone possesses the highest
481 density, this fuel spray possesses the greatest momentum during injection and is most likely
482 to become impinged onto the cylinder walls when coupled with the long ignition delay periods
483 observed in these C6 lactone and butanol blends.

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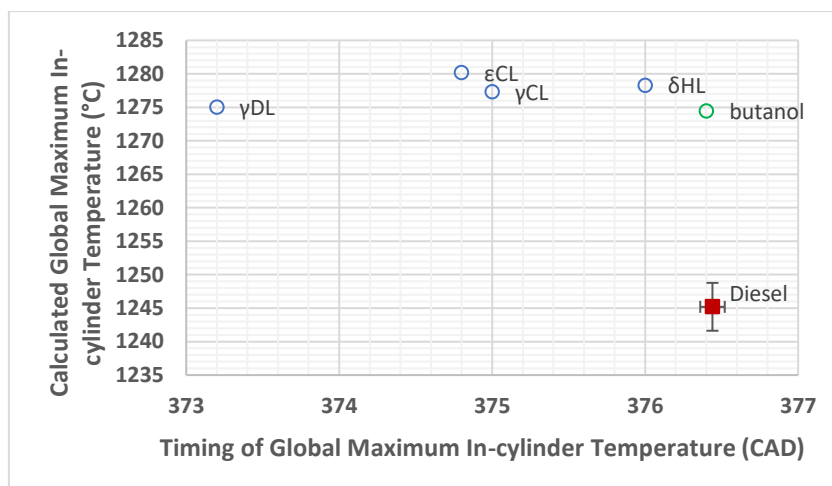
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487 **Figure 3.4: NO_x emissions and peak apparent heat release rate lactone butanol diesel blends**
 488 **at constant ignition timing**

489

490 Figure 3.4 shows the exhaust levels of NO_x relative to the peak apparent net heat release
 491 rates during combustion of the lactone-butanol-diesel blends and base diesel at constant
 492 IMEP and start of combustion at TDC. Apparent is the significantly lower NO_x concentrations
 493 emitted by the lactone blends compared to the base diesel, which consistently produced
 494 approximately 640 ppm; the error bars show plus and minus one standard deviation from the
 495 mean and indicate the high degree of repeatability in NO_x measurement during diesel
 496 combustion. The lowest NO_x levels were observed for the butanol blend and can likely be
 497 attributed to the more unstable combustion in the case of this blend- noted by the high CO
 498 emissions (Figure 3.3)- and thus could be expected to yield lower in-cylinder temperatures on
 499 average. Given the fact that NO_x emissions are formed from the oxidation of nitrogen- and
 500 therefore rates of formation increase with increasing temperature- this decrease in
 501 temperature corresponds to lower NO_x.

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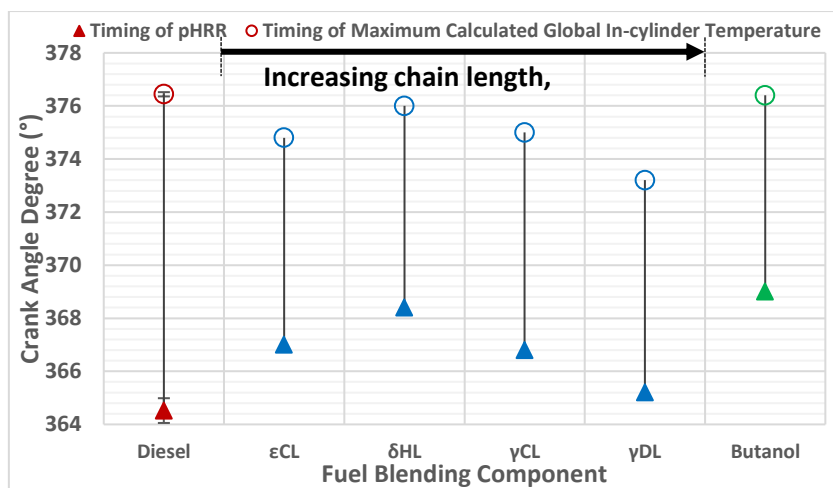
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504 **Figure 3.5: Magnitude and timing of calculated maximum global in-cylinder temperature of**
 505 **blended lactone-butanol-diesel fuels and base diesel**

506

507 Figure 3.5 shows the calculated maximum global in-cylinder temperature and time of
 508 occurrence for the lactone blends and base diesel. Contrary to the lower temperatures
 509 suggested by the NO_x emissions (Figure 3.4), Figure 3.5 indicates that the butanol blend
 510 exhibited comparable maximum in-cylinder temperatures when compared to the lactone
 511 blends, and at a timing equivalent to that of base diesel. Also illustrated are the considerably
 512 lower maximum in-cylinder temperatures experienced during diesel combustion compared to
 513 the lactone blends. However, the timing of the peak HRR and maximum global temperatures,
 514 shown in Figure 3.6 below, offers potential reasoning for higher NO_x during diesel combustion
 515 as compared to the lactone blends. Figure 3.6 shows that the duration between peak heat
 516 release rate and maximum in-cylinder global temperature is approximately 12 CAD.
 517 Comparing this to butanol blend combustion, where the difference is closer to 7 CAD, suggests
 518 more sustained combustion in the case of diesel and therefore the temperatures necessary
 519 for NO_x formation are likely prolonged.

520



521

522

Figure 3.6: Time of occurrence of peak HRR and peak calculated global in-cylinder temperature of fuel blends and base diesel

523

524

525 Higher pHRR and sufficient duration of sustained high combustion temperatures, occurring
 526 close to TDC, also helps to explain why the blend of γ -decalactone produced the highest NO_x
 527 emissions of any of the lactone blends tested, despite having the shortest ignition delay period
 528 that often results in lower NO_x emissions due to a reduction in premixed combustion. The
 529 strong ignition properties of γ DL enabled pHRR to occur earlier in the expansion stroke
 530 (compared to other test blends), shown in Figure 3.1 and 3.6, where higher cylinder pressure
 531 conditions were attained. Thus, high temperatures could be maintained with the reduced
 532 cylinder volume reducing rates of heat transfer to the exposed wall surface area. Furthermore,
 533 the presence of two oxygen atoms within the γ DL molecule will potentially have resulted in
 534 higher adiabatic flame temperatures, compared to fossil diesel (containing no oxygen). While
 535 the advanced combustion phasing in the case of γ DL (Figure 3.2) is beneficial to combustion
 536 efficiency, resulting in a reduction in incomplete combustion products such as CO (as noted
 537 in Figure 3.3), the higher temperatures result in higher NO_x (Figure 3.4).

538 Considering the C6 lactones, the longest delay period and highest maximum in-cylinder
 539 temperatures are observed with ϵ -caprolactone, though γ -caprolactone produced higher NO_x
 540 emissions. A shorter delay period generally reduces fuel-air premixing and therefore the
 541 potential magnitude of pHRR (thereby reducing energy release and temperature). However,

542 as shown in Figure 3.4, for the C6 lactones, the NO_x emissions are in agreement with pHRR,
543 while the period between pHRR and peak temperature (Figure 3.6) is marginally longer in the
544 case of γ -caprolactone. The increase in NO_x emissions seen in the case of γ -caprolactone
545 may also be explained by an improvement in combustion quality as a result of the ethyl chain
546 on this lactone, as indicated by the shorter duration of ignition delay (Figure 3.2). The ethyl
547 chain, and the radicals that may be produced from this, may have helped to sustain higher
548 combustion temperatures, offsetting the effect of butanol within the blends; the butanol diesel
549 blend emitted the lowest NO_x levels (Figure 3.4), attributable to its poor combustion properties
550 (Figure 3.1 and 3.2) and delayed time of peak heat release rate (Figure 3.6).

551 It consistently appeared that δ -hexalactone possessed significantly unfavourable
552 combustion characteristics compared to the other C6 lactones, with pHRR and peak in-
553 cylinder temperature occurring later (Figure 3.6), despite a comparable ignition delay (Figure
554 3.2) to that of ϵ CL, and higher CO emissions produced (Figure 3.3). The lower NO_x emissions
555 produced with this blend continue to suggest poor combustion efficiency. Injection timings
556 were similar for all C6 lactones as a result of the similar ignition delay period, and the start of
557 combustion commenced at 360 CAD in all cases. The physical properties shown in Table 2.1
558 do not appear to reflect major discrepancies in volatility and density, but important to note is
559 that the blending of δ -hexalactone was the poorest of all lactones, despite it seemingly
560 representing a mid-point between ϵ -caprolactone (no side chain) and γ -caprolactone (ethyl
561 chain), suggesting that competing molecular characteristics are at play. One hypothesis is that
562 the structure of δ -hexalactone, and or its subsequent decomposition products, is not
563 conducive to combustion, potentially inhibiting initiation or propagation reactions. Six-
564 membered ring structures are the most stable cyclic molecules,⁴⁹ and therefore, while the
565 methyl group may allow for some release of radicals, it could be that this ring structure is the
566 most difficult to open of the lactones tested, and the reactants produced from the methyl chain
567 are not sufficient in breaking this ring early in the premixed combustion phase.

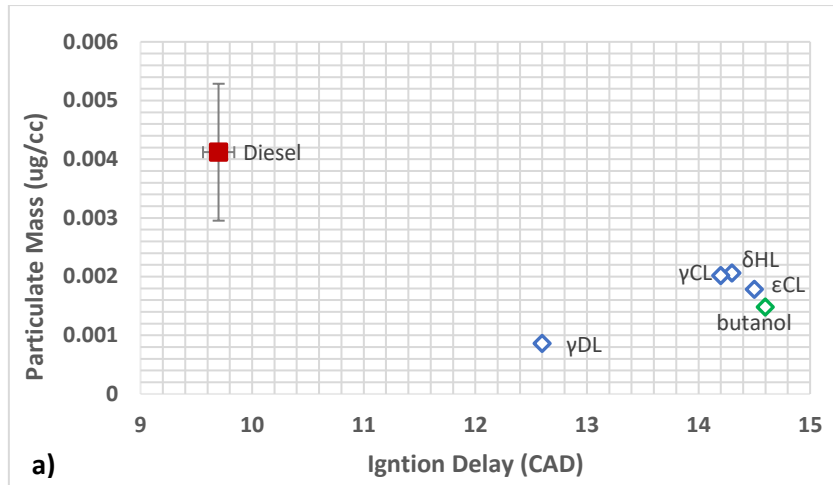
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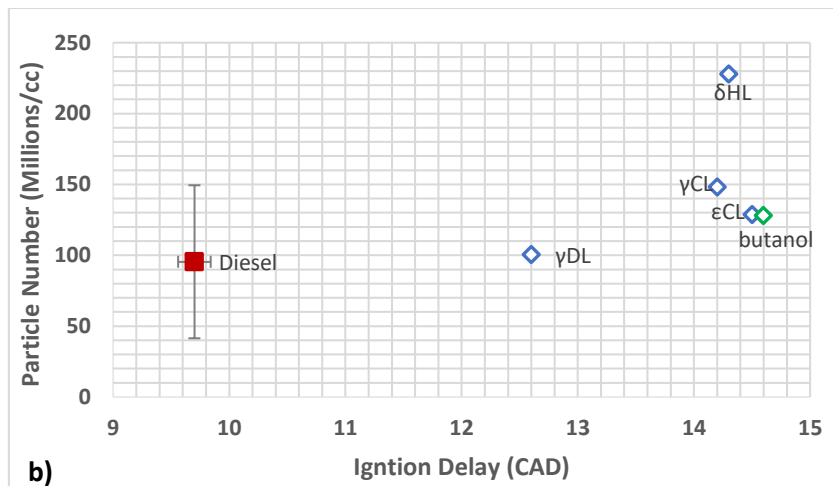
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3.1.3. Particulate emissions



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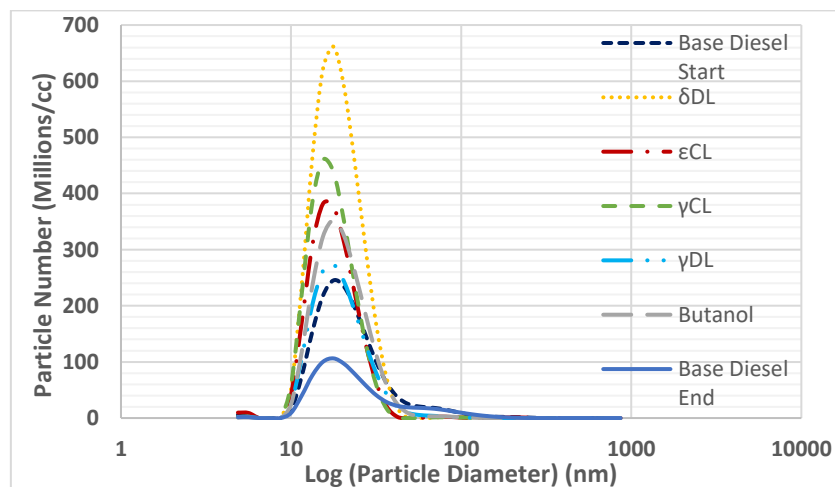
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574 **Figure 3.7: Trend between particle mass (a) and particle number (b) with ignition delay of**
575 **lactone and butanol blends and base diesel (start and end)**

576 Figure 3.7 indicates that particulate emissions were more variant than other emissions
577 tested, reflected in the error bars in Figure 3.7, which encompass a significant range of results
578 that includes the majority of the results of the blended fuels. A potential explanation for this is
579 an increase in engine efficiency from the start to the end of a test day, with higher oil
580 temperatures and a faster acting injector employed as testing ensued, following warm-up and
581 lubrication by the test fuels. Therefore, the following conclusions are stressed as suggestive.
582 Notwithstanding the range of error presented, it can be seen from Figure 3.7 that all of the
583 lactone-butanol-blends emitted a significantly lower level of particulate mass relative to diesel

584 only combustion, which is in line with the extended ignition delay that could allow for greater
585 fuel-air mixing and a reduction in fuel-rich zones in which particulate formation is prevalent.

586 The particulate mass and number output from the combustion of 'biofuels' often contrasts
587 significantly with the emissions from purely fossil diesel combustion;⁵⁰ the particulate mass
588 generally decreases substantially, while the particle number increases as a result of the
589 formation of more, smaller particles in the case of the blends.^{51,52} A significant contributor to
590 the reduction in particle mass (other than the aforementioned extended ID) is likely the oxygen
591 present in the lactones, which seemingly increased rates of oxidation of particulates post-
592 formation, rendering particulates exhausted smaller. It is also likely that the phasing of soot
593 formation is shifted slightly with the longer ignition delay period seen in these bio-derived
594 molecules, not only decreasing particle mass but increasing number. The formation of
595 particulates occurs later in the blends, therefore there is less time (and also a greater in-
596 cylinder volume) in which the particles are able to form and grow.



597

598 **Figure 3.8: Particle size distribution of lactone and butanol blends and base diesel (start and**
599 **end)**

600 The reduced soot agglomeration and coagulation afforded by the retardation of
601 combustion in the case of the lactone blends can be seen from the particle size distribution
602 plot in Figure 3.8. Also apparent is the significant increase in small particles of δHL in
603 particular. Comparing the C6 lactones tested to the butanol:diesel blend, an increase in
604 particle mass and number (although the particle number output of butanol and ε-caprolactone

605 were very similar) was noted in the case of the lactones (despite an increase in oxygen
606 content) which is counter to the view that the poor combustion quality of butanol (Figure 3.1)
607 may lead to the formation of more incomplete combustion products (Figure 3.3). This may be
608 due to the combustion of the butanol diesel blend being too unstable, with an excessive
609 ignition delay, to the extent to which particulates are not formed in great amounts since local
610 temperatures were not sufficient to produce pyrolysis products. Another potential explanation
611 is that the structure of the lactones is more inclined to result in greater particulate formation,
612 the higher carbon number providing greater availability of reactants for soot precursors.
613 Alcohols are one of the most effective oxygenated fuels in reducing particle formation, most
614 likely due to the single carbon-oxygen bond that renders the oxygen more available to oxidise
615 soot particles and reduce the particle mass.^{53,54} The particle mass of all C6 lactones was
616 similar, the main difference in particle emissions is in the case of δ -hexalactone which
617 produced the highest particle number. As with CO emissions (Figure 3.3), it is not clear why
618 δ HHL has the greatest tendency to form incomplete combustion products compared to the other
619 lactones, and the aforementioned stability of this 6-membered ring structure and the
620 subsequent late release of energy could be the reason. Another theory put forward is that the
621 chemical structure of this 6-membered ring lactone is slightly more likely to produce soot
622 precursors. Until recently, soot particles were thought to consist predominantly of six-
623 membered ring species (benzenoids),⁵⁵ but studies have realised the potential of five-
624 membered rings (pentagonal) to be major factors in the morphology of soot particle, especially
625 introducing 'curvature' into the carbon nanostructures and becoming prevalent in incipient soot
626 particles.^{56,57} Given that the structure of δ HHL is that of five carbons within the cyclic ester
627 moiety, and the addition of a methyl chain, it is tentatively suggested that the breakdown of
628 this molecule has a higher tendency to form these five-membered rings that are effective in
629 amalgamating PAHs. It is not unreasonable to expect that the carbons within the ring of δ HHL
630 do not always separate if the ring structure is not broken until significantly into the expansion
631 stroke. More certain is the shift in combustion with δ HHL (and butanol), which results in pHRR

632 occurring later in the expansion stroke (Figure 3.1 and 3.6). Therefore, a likely cause of the
633 higher particle number for a given mass is that the particles have less potential to agglomerate
634 at these higher volume conditions, closer to the exhaust stroke. The difference between δ HL
635 and butanol in terms of particle number, however, is the strong oxidative capability of the
636 alcohol, which reduces overall particle mass and number.

637 The reduced particle mass and slightly reduced particle number produced by γ -
638 decalactone, compared to the other lactone blends, is a surprise given the significant increase
639 in carbon number of this lactone. Likely, this can be at least partially attributed to the higher
640 combustion temperatures (Figure 3.5) that enhance the rate of soot oxidation, reducing
641 particle mass. Furthermore, the boiling point of γ DL is significantly higher than in the other
642 blends, potentially increasing lift-off length, which tends to see a reduction in particulate
643 emissions as this increases the available oxygen entrained, thereby lowering the premixed
644 equivalence ratio.⁵⁸ Moreover, when a tertiary fuel blend is combusted, and possesses vastly
645 different boiling points, it can undergo a micro-explosion phenomena.⁴³ The more volatile
646 component- butanol in this case- will vaporise within the bulk droplet and essentially 'explode',
647 fractionating the main fuel droplet more effectively and reducing pyrolysis regions.

648

649 **3.2. Pure lactone fuels**

650

651 From the lactone blend study, it was apparent that a long alkyl chain attached to the ring
652 of a lactone was effective in reducing the ignition delay period, the CO emissions, and both
653 particle mass and number. Furthermore, the ability to blend the high carbon number
654 molecules- with non-polar side chains- in higher ratios than the C6 lactones, and without
655 butanol, is advantageous from both a combustion perspective (butanol is a poor compression
656 ignition fuel) and reducing reliance on fossil fuels. A 50:50 blending ratio would be achievable,
657 however tests were subsequently conducted to assess the viability of the C10-C12 lactone
658 fuels (Table 2.2) as pure components without the presence of any fossil diesel fuel. Not only

659 would this enable the combustion performance of the individual fuels to be determined more
660 accurately, but successful combustion would indicate that conventional diesel molecules need
661 not be used in compression ignition engines in the future.

662

663 3.2.1. Combustion characteristics

664 Both constant injection and constant ignition tests were possible in the experiments with
665 pure lactones, due to the stable combustion traits that all test fuels displayed. At constant
666 injection (CInj) conditions, all fuels experienced equivalent physical conditions during the
667 ignition delay period while, for constant ignition (CIgn) combustion, all fuels were exposed to
668 the same conditions at the start of combustion.

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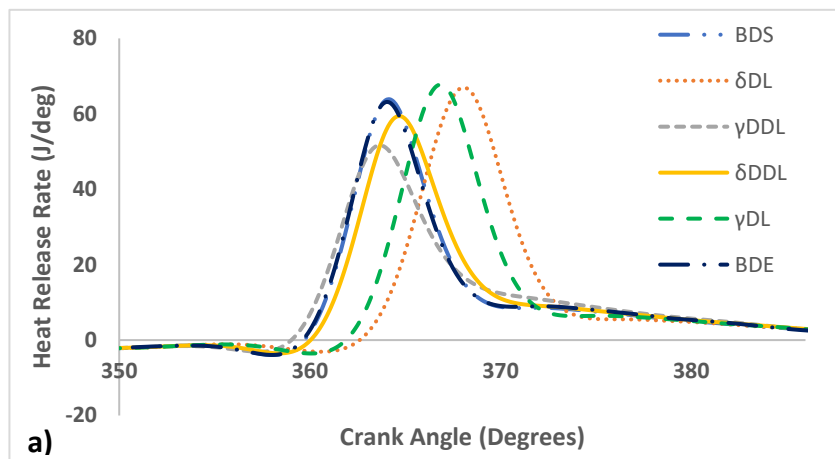
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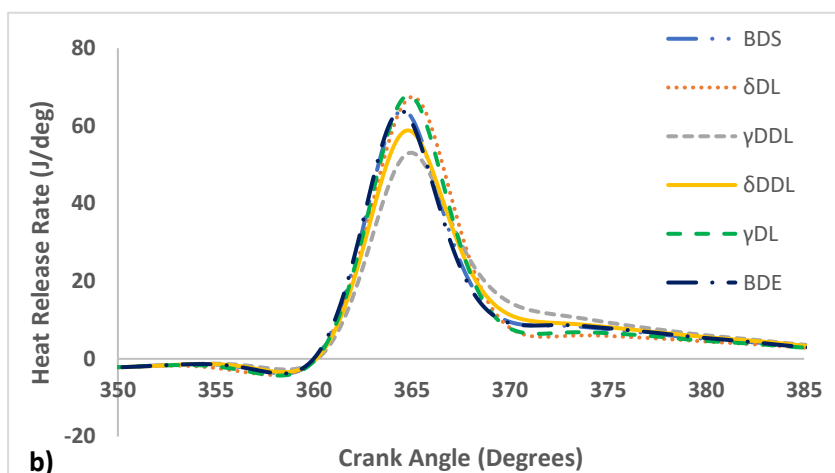
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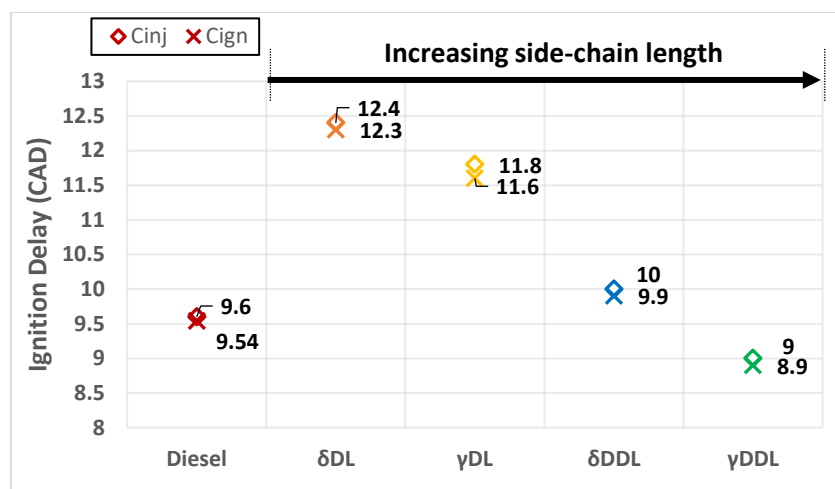
685 **Figure 3.9: Apparent net heat release rates during combustion of lactone fuels and diesel fuel**
686 **(start and end) at constant injection (a) and constant ignition (b) conditions at 4 bar IMEP, 1200**
687 **rpm and constant start of combustion at TDC**

688

689 From Figure 3.9, comparing base diesel start and base diesel end it is apparent that the
690 engine drift in terms of heat release during the pure lactone tests was negligible. Figure 3.9a
691 also shows that three out of the four pure lactones tested exhibited longer ignition delays than
692 the base diesel (indicated by line intersections of the x-axis occurring further to the right than
693 the base diesel lines), with the exception being γ -dodecalactone (γ DDL). The reduced
694 premixing time of this C12 lactone can be seen to reduce pHRR compared to diesel. Its isomer,
695 δ -dodecalactone, displayed a slightly longer delay time than diesel, though produced a slightly
696 decreased pHRR, indicating that mixing was less efficient for this fuel. Table 2.2 shows the
697 boiling points of the lactone fuels. The low volatility, of the C12 lactones in particular, was
698 potentially detrimental to fuel vaporisation,⁴⁵ resulting in reduced premixing of fuel and air and
699 therefore lower pHRR, and more of the fuel being burnt in the diffusion-led combustion phase
700 relative to diesel. The two C10 lactones, as pure fuels, resulted in marginally longer delay
701 periods than diesel, and thus resulted in higher pHRR. The aforementioned low volatility of δ -
702 dodecalactone was not as pertinent in these C10 molecules of lower atom number (Table 2.2).

703 Comparing constant injection and constant ignition experiments, it can be seen that the γ -
704 dodecalactone test registered a pHRR earlier than that of diesel when injection was constant,
705 but marginally later when ignition timing was maintained; in both cases the magnitude was
706 lower. With constant injection timing, the shorter delay period of this lactone naturally resulted
707 in an earlier pHRR, as combustion had been advanced slightly. During constant ignition tests
708 however, the lactone injection was retarded slightly to compensate for the rapid ignition, thus
709 delaying pHRR relative to diesel (diesel injection timing was similar for both CInj and Clgn
710 tests).

711 A similar analysis can be made when comparing δ DL to γ DL. The former possesses a
 712 longer delay period- 0.6-0.7 CAD longer- and so when injection timings were changed to
 713 normalise ignition timing, the phasing of δ DL and γ DL combustion converge, indicating little
 714 change in the actual combustion rates of the 2 lactones once ignition reactions have initiated.
 715 However, δ DL has more time to mix with air, so the fact that the pHRR does not increase
 716 suggests there is more unreacted fuel, or incomplete combustion, from this fuel compared to
 717 γ DL.
 718



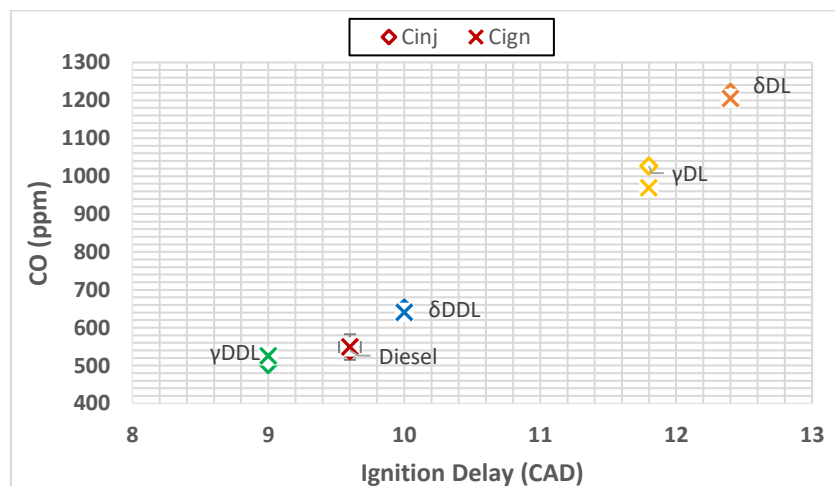
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 720 **Figure 3.10: Duration of ignition delay of lactone fuels and base diesel (diesel results are**
 721 **calculated averages) at constant engine speed and IMEP and variable injection timing for**
 722 **constant start of combustion**

723 The durations of ignition delay shown in Figure 3.10 enable some conclusions to be drawn
 724 from the use of a pure lactone fuel in a compression ignition engine. First of all, it is apparent
 725 that these lactones, despite possessing oxygen that tends to increase the delay period of a
 726 fuel molecule,^{54,59} exhibit encouraging ignition properties relative to diesel. Figure 3.9 indicates
 727 that none of the pure lactones tested possessed delay periods long enough for combustion to
 728 become unstable. The shortest delay period was noted in the test of γ -dodecalactone,
 729 possessing a delay period of 9 CAD at constant injection timing, which was even lower than
 730 that of fossil diesel (Figure 3.10). It can be seen that the gamma-lactones have shorter ignition
 731 delays than the delta analogues, with γ -dodecalactone possessing a delay period of

732 approximately 9 CAD, while δ -dodecalactone exhibiting a longer delay period of 10 CAD.
 733 Naturally, the 10 carbon lactones are slightly less susceptible to ignition with a shorter chain
 734 lengths and overall atom number, but a delay period of between 11.8 and 12.4 for γ -
 735 decalactone and δ -decalactone respectively is still promising, considering no fossil diesel was
 736 used. These results indicate the importance of the increasing chain length of the side chain in
 737 increasing ignition propensity and, likely, the resulting combustion quality; these appear to be
 738 of greater influence on the delay period than the size of the ring, which likely breaks apart at
 739 a later phase after which enough radicals have been formed from the side chain for ignition to
 740 ensue.

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742 3.2.2. Gaseous emissions



743

744 **Figure 3.11: Relationship between carbon monoxide emissions and ignition delay of pure fuels**
 745 **at constant injection and constant ignition conditions**

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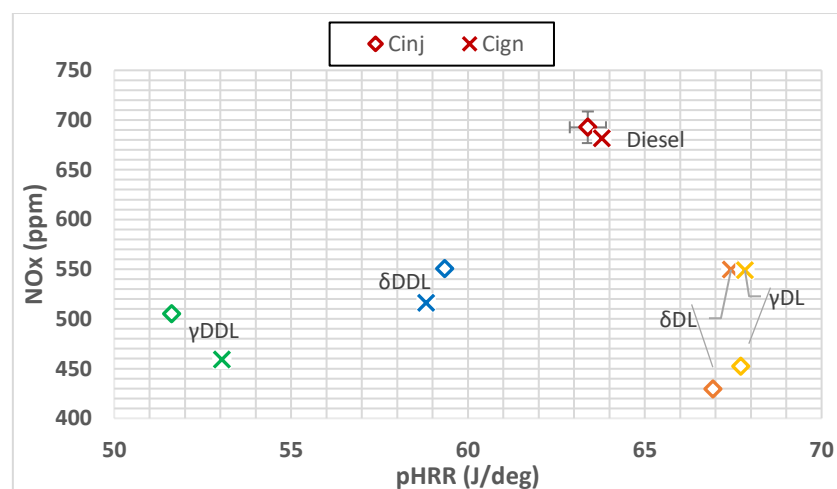
747 Figure 3.11 indicates that, for the pure lactones, CO emissions follow a trend of increasing
 748 with increasing ignition delay, in agreement with the lactone blend combustion results (Figure
 749 3.3). The same reasons are put forward in explanation; a greatly extended ignition delay
 750 potentially leads to combustion at lower temperatures from over dilution and heat release
 751 during the expansion stroke; also, an increased viscosity fuel (Table 2.2 indicates the high
 752 viscosity of the lactones tested as pure fuels) leads to poor atomisation, thus larger fuel

753 droplets, impeding fuel-air mixing which can result in incomplete combustion through a lack of
754 oxygen available. Poor fuel vaporisation also increases the chance of droplets reaching the
755 cylinder wall and becoming impinged on the cold surface, where complete combustion is
756 unlikely.

757 At both constant injection and constant ignition timings, δ -decalactone emitted the highest
758 levels of CO and exhibited the longest duration of ignition delay (Figure 3.11). γ -
759 dodecalactone, with a delay period even shorter than that of diesel, displayed slightly lower
760 CO emissions than the fossil fuel, implying that the reduced premixing time available in the
761 case of the lactone did not result in excessive fuel-rich zones favouring CO formation. The
762 inclusion of oxygen in a molecule has been shown as advantageous in reducing CO
763 emissions¹² relative to diesel, with the occurrence of oxygen deficient zones reduced.

764 It can be seen in Figure 3.11 that the tests at constant injection and ignition timing yielded
765 very similar results CO emissions for each fuel. For the fuels possessing longer delay periods
766 than diesel, the constant injection tests produced slightly higher levels of CO, as combustion
767 was retarded slightly (ignition began *after* 360 CAD), and potentially a reduced proportion of
768 complete combustion occurred. In the case of γ -dodecalactone, constant ignition tests meant
769 an injection timing slightly delayed compared to constant injection timing.

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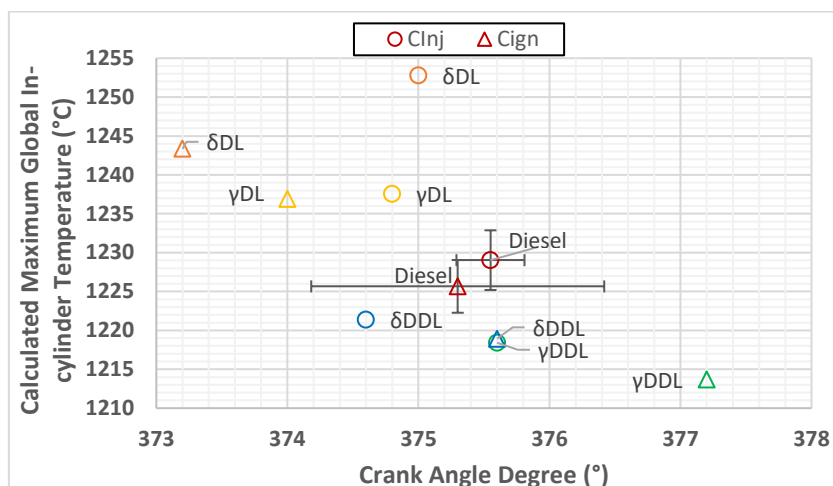


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772 **Figure 3.12: Exhaust gas nitrous oxide emissions and peak heat release rates for pure**

773 **lactones and base diesel at constant injection and constant ignition conditions**

774



775

776 **Figure 3.13: Magnitude and timing of calculated maximum global in-cylinder temperature of**
777 **pure fuels and base diesel at constant injection and constant ignition conditions**

778

779 Clear from Figure 3.12 is that the emissions of NO_x from the diesel tests are consistently
780 higher than that of the lactones, despite the pHRR of lactone combustion being comparable,
781 if not higher, than diesel (Figure 3.9); as discussed in the context of the lactone-butanol diesel
782 blends (Figure 3.4) NO_x emissions tend to relate to the magnitude of pHRR and resulting
783 temperature. Figure 3.13 illustrates that the maximum magnitude of in-cylinder temperatures
784 for diesel lay in the middle of those determined for the lactones, though the error bars suggest
785 a significant degree of uncertainty. Therefore, instead of looking at only the magnitude of in-
786 cylinder temperatures, it may be more informative to look at the timing of pHRR and peak
787 temperatures (Figure 3.14).

788 Figure 3.14 shows that for constant injection testing, the timing of pHRR is earliest in the
789 case of diesel and γ -dodecalactone, which could explain why diesel produces the highest
790 concentration of NO_x (Figure 3.12); potentially, temperatures sufficient for NO_x formation were
791 sustained for longer before exhausting the cylinder contents, alluded to in Figure 3.14 by the
792 long duration between pHRR and peak in-cylinder temperature. γ -dodecalactone did not emit
793 as much NO_x as diesel combustion however, despite the timing of the lactone pHRR being
794 earlier than that of diesel in the constant injection tests, resulting in an even longer duration

795 between pHRR and peak global temperature. This can be attributed to the lower magnitude
796 of pHRR and peak temperature as a result of the shorter ignition delay period in the case of
797 γ -dodecalactone (Figure 3.9).

798 The two C10 lactones went against the trend of increasing NO_x with pHRR (and maximum
799 in-cylinder temperature). The combustion phasing again helps to explain this, with the shortest
800 duration between pHRR and peak temperature seen during combustion of these two fuels
801 (Figure 3.14). The CO emissions of the C10 lactones were also the highest of all fuels tested
802 (Figure 3.11), suggesting a reduced combustion efficiency. From the HRR shown in Figure
803 3.9, it can be seen that almost all of the heat release during combustion of the C10 lactones
804 occurs in the premixed phase, and it is possible that there are insufficient quantities of fuel left
805 in diffusion-led combustion to sustain the high temperatures necessary for NO_x formation.

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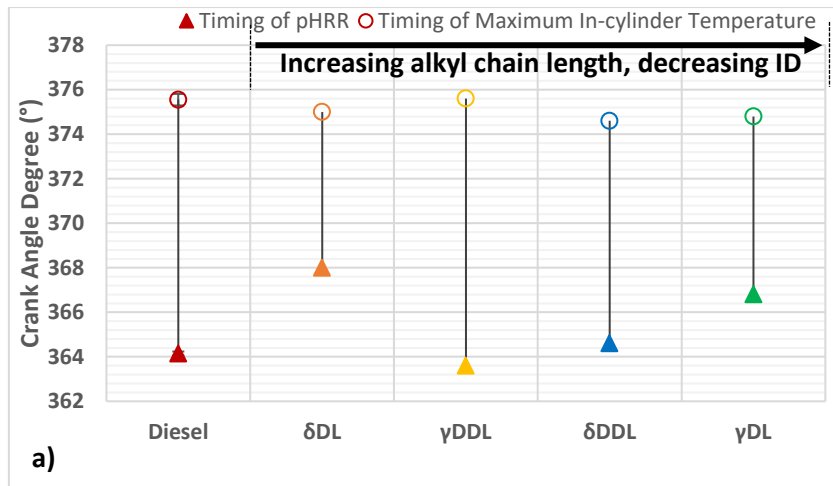
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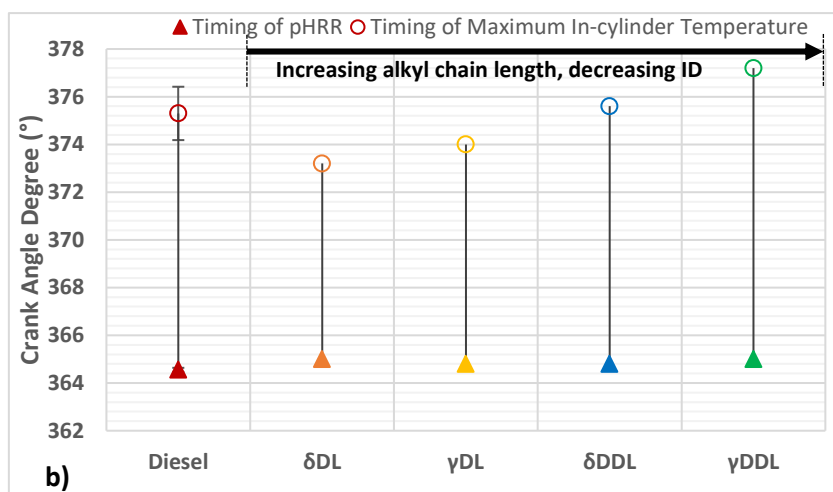
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818 **Figure 3.14: Comparison of timings of pHRR and peak in-cylinder temperature of pure fuels at**
 819 **constant injection (a) and constant ignition conditions (b)**

820

821 A final note to make is the contrast in NO_x emissions when comparing constant injection
 822 and constant ignition tests. Whether the Clnj or Clgn NO_x emissions were higher depends on
 823 the ignition delay of the respective fuels. Since the ignition delay of diesel was such that Clnj
 824 and Clgn injection timings occurred at approximately the same time (Table 2.6; 9.6 CAD BTDC
 825 for Clgn, 10 CAD BTDC for Clnj), there was little difference in NO_x emissions from diesel at
 826 these two timings. However, when the ignition delay was significantly lower than that of the
 827 reference diesel, as in γ-dodecalactone (Figure 3.10), constant ignition results resulted in
 828 lower NO_x emissions (Figure 3.12), which is likely because ignition was forced to occur later
 829 with the start of injection having been retarded. In the case of the tests at constant injection

830 timing, ignition occurred while the fuel-air mixture was still undergoing compression, and likely
831 this release of energy during the compression stroke saw significant NO_x formation due to
832 higher temperatures forming earlier and thus increasing time for the thermal NO_x formation
833 mechanism to occur. In the case of the C10 lactones, constant injection tests resulted in lower
834 NO_x exhaust concentrations (Figure 3.12). CInj tests saw ignition occur later than the CIgn
835 experiments, into the expansion stroke, where lower pressures meant that the majority of
836 energy was released at less favourable conditions for thermal NO_x to form. The fact that δ-
837 decalactone and γ-decalactone exhibited very similar levels of NO_x under constant ignition
838 conditions, suggests that the difference in NO_x emissions between the two fuels is almost
839 entirely due to the differences in the premixing phase.

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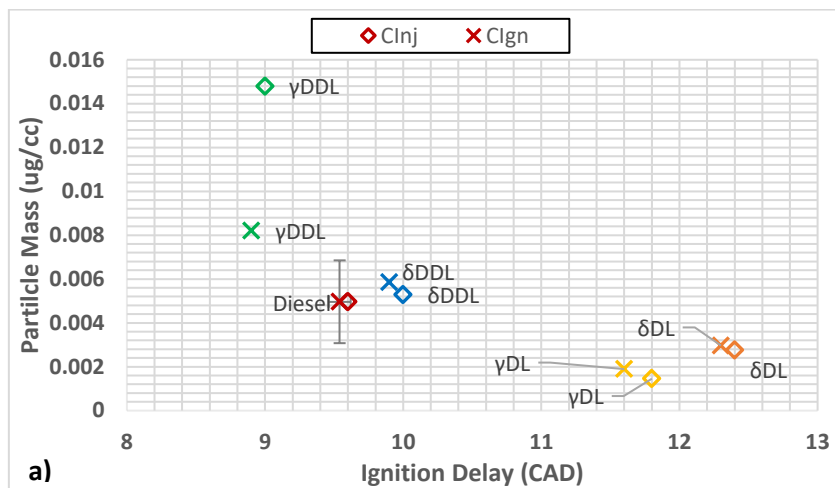
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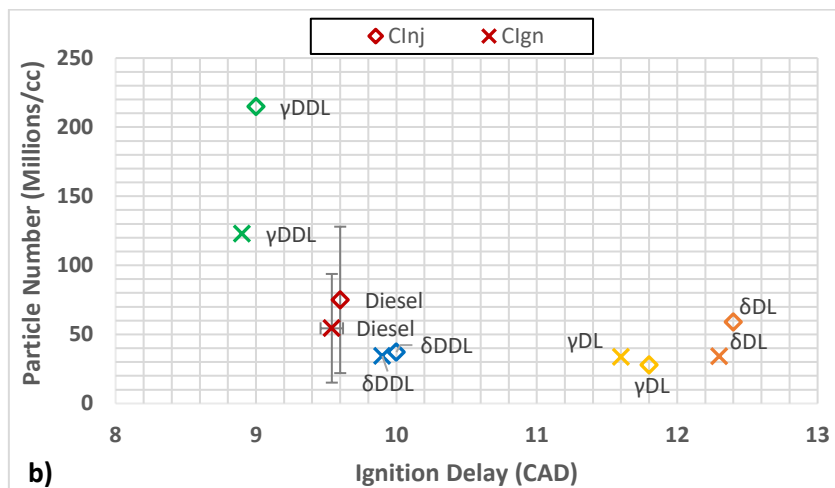
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3.2.3. Particulate emissions

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861 **Figure 3.15: Relationship between particle mass (a) and particle number (b) and ignition delay**
 862 **of pure fuels at constant injection and constant ignition conditions**

863

864 Important to note in Figure 3.15, firstly, is that measurement of particulates saw
 865 appreciable test to test variability, meaning conclusions as to the effect of the fuel itself are
 866 difficult to draw, noted by the major error bars.

867 Overall, both particle mass and particle number seemingly followed a general trend of
 868 decreasing ignition delay, likely caused by the reduced mixing durations increasing
 869 inhomogeneities in the fuel-air mixture. Compared to the combustion of the lactone butanol
 870 diesel blends, these pure lactone fuels behaved more comparably to neat diesel and did not
 871 possess significantly prolonged ignition delays (and therefore phasing), meaning that the

872 particle mass and number were more comparable. In lactone blends, however, the oxygenated
873 fuels saw a significant reduction in soot emissions on a mass basis, but an increase in the
874 particle number, suggesting smaller particles were present due to an increase in oxidation
875 and/or because particles were formed later and thus with insufficient time to form accumulation
876 mode particles.

877 Notwithstanding the error bars shown, Figure 3.15a shows that the emissions of particulate
878 mass are reduced in the case of γ -decalactone and δ -decalactone compared to diesel but
879 increased in the case of γ -dodecalactone. This was likely due to the short ignition delay of the
880 latter (Figure 3.10). The longer ignition delay of the C10 lactones (Figure 3.11) is also likely
881 responsible for the lower particle mass emitted relative to diesel, however the higher
882 oxygen:carbon ratio compared to the C12 lactones and diesel potentially decreases particle
883 mass also. Meanwhile particle numbers of the C10 lactones and diesel were comparable (and
884 the error bars in Figure 3.13b suggest assessments of these relatively small differences in PN
885 cannot be made).

886 Other than the reduced ignition delay resulting in less time for premixing of the fuel-air
887 mixture, it is suggested that a potential reason for the observed higher particle mass of the
888 C12 lactones (Figure 3.15a) is the length of the side chains present. It is not established how
889 the lactones studied break down during combustion, but the particulate mass in this instance
890 is in keeping with the number of carbons on the side chain of the molecule, increasing from γ -
891 decalactone (six carbons on the side chain) to δ -dodecalactone (seven carbons) to γ -
892 dodecalactone (eight carbons). Diesel fuel consists of numerous species, including long
893 chained alkanes, therefore it is not surprising that the PM emissions of the lactones and diesel
894 fuels are comparable, especially if one of the first stages of the molecular break-down of a
895 lactone involves separation of the side chain from the ring, or a ring opening reaction, which
896 would provide ample potential for soot precursors to form from radical attack on the resultant
897 long-chained alkyl chains. Pyrolysis products have been shown to increase as the chain length
898 of a molecule increases- even when keeping overall carbon numbers constant, likely as a
899 result of the weaker bond dissociation bonds of a longer molecule.⁶⁰

900 Constant injection and ignition tests of the same fuels saw considerable variation of particle
901 mass and number in some cases (Figure 3.15a and 3.15b). Notwithstanding the evident
902 variability in the measurement of particulates, and as highlighted in the discussion of NO_x
903 emissions, constant injection testing of γ -dodecalactone saw combustion commence in the
904 compression stroke. These lower volume conditions are likely to increase pyrolysis in
905 inhomogeneous regions of the fuel-air mixture, relative to CIgn combustion. With a retarded
906 injection timing (CIgn), combustion began at TDC, meaning the cylinder volume is increasing
907 as energy is released and this therefore reduces the likelihood of regions suitable for pyrolysis
908 occurring.

909

910 **4. Conclusions**

911

912 Saturated cyclic esters- lactones- were predicted to yield positive combustion
913 characteristics in a compression ignition engine. Therefore, low-carbon number lactones were
914 tested in diesel:butanol blends to obtain their effectiveness as bio-derived diesel fuel
915 extenders. The following conclusions can be drawn from the findings obtained.

- 916 - The combustion of the three C6 lactone blends saw a significant increase in ignition
917 delay, relative to fossil diesel, likely due to the low carbon number of the biocomponent,
918 resulting in the ignition quality of the lactone blend being similar to that of an equivalent
919 butanol blend.
- 920 - Levels of CO emitted by the C6 lactones were primarily influenced by the extended
921 duration of ignition delay relative to reference diesel. All of the lactones emitted higher
922 levels of CO than reference diesel, likely to be attributable to fuel over-dilution and
923 impingement.
- 924 - NO_x emissions followed a general, expected, trend with pHRR; as higher pHRR
925 generally correlates with higher, more sustained temperatures that are necessary for
926 NO_x formation. The butanol blend produced the lowest levels of NO_x due to the poor
927 combustion quality, and therefore low in-cylinder temperatures. γ -decalactone

928 produced the highest pHRR, with a slightly longer ignition delay causing greater
929 premixed combustion, but lower NO_x, than diesel. This was potentially caused by the
930 later onset of pHRR and earlier timing of peak temperature, suggesting more fleeting
931 high temperatures.

932 - Emissions of particulate mass were reduced relative to reference diesel for all of
933 lactone blends. γ -decalactone registered the lowest mass of all blends, due to the
934 presence of oxygen combined with the higher combustion quality allowing for higher
935 temperatures and therefore enhanced soot oxidation.

936 - Ultimately, γ -decalactone, despite being blended with butanol, exhibited good
937 combustion characteristics for a diesel fuel. Ignition delay was not increased to the
938 extent that that high amounts of incomplete combustion products were formed, while
939 the lactone oxygen content and higher peak heat release resulted in lower particle
940 mass, and similar particle number, to diesel.

941

942 It was then shown, for the first time, the operation of a diesel engine, without significant
943 modification, using 100% bio-derived lactone fuels. The C10 and C12 lactones tested here
944 can be derived from first generation biomass and, more recently, investigations have outlined
945 potential pathways to obtain the molecules from 2nd generation sources also. From the
946 experimental results presented, the following conclusions can be drawn.

947 - Ultimately, all of the long-chained lactones showed promising ignition quality,
948 equivalent to or, in the case of γ DDL, greater than that of diesel. However, the C12
949 lactones appeared not to alleviate the emissions of particulates, relative to diesel.

950 - NO_x emissions decreased for all lactones compared to diesel, which was explainable
951 by either the magnitude or timing of peak HRR and peak global in-cylinder
952 temperatures; no evidence of an impact of differing physical properties was apparent.

953 - Combustion of the C10 lactones likely resulted in over dilution and fuel impingement,
954 caused by a relatively long ignition delay, observed by an increase in CO emissions
955 that indicated greater incomplete combustion.

956 - C10 lactones, due to their slightly lower ignition quality compared to the C12 lactones
957 and diesel, resulted in a lower particle mass and similar number to diesel combustion
958 emissions.

959 The current work outlines a potential novel source of bioderived diesel fuel extenders. The
960 next step to this research is to further understand the fundamental properties of this class of
961 fuels, for example, relating to the long-term storage stability, the heat of vaporisation and the
962 cetane number. It is envisioned that, once production costs of biological pathways have been
963 lowered for this class of molecule, the understanding of the combustion behaviour of lactones
964 is at a stage where they are considered as viable fuel candidates.

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ATDC	After top-dead centre
BDE	Bond dissociation enthalpy
BTDC	Before top-dead centre
CAD	Crank angle degree
CI	Compression ignition
CIgn	Constant ignition
CInj	Constant injection
CN	Cetane number
CO₂	Carbon dioxide
CO	Carbon monoxide
COV	Coefficient of variation
CR	Compression ratio
δDDL	Delta-dodecalactone
δDL	Delta-decalactone
δHL	Delta-hexalactone
εCL	Epsilon-caprolactone
γCL	Gamma-caprolactone
γDDL	Gamma-dodecalactone
γDL	Gamma-decalactone
GHGs	Greenhouse gases
GVL	Gamma-valerolactone
HCs	Hydrocarbons
HRR	Heat release rate
ICE	Internal combustion engine
ICT	In-cylinder temperature

ID	Ignition delay
IMEP	Indicated mean effective pressure
LA	Levulinic acid
NO_x	Nitrous oxide
N₂	Nitrogen
O₂	Oxygen
PAH	Polycyclic aromatic hydrocarbons
pHRR	Peak heat release rate
PM	Particle mass
PN	Particle number
ppm	Parts per million
rpm	Revolutions per minute
SI	Spark ignition
SOC	Start of combustion
SOI	Start of injection
TDC	Top dead centre
UHCs	Unburnt hydrocarbons
μs	Microseconds

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