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# **BMJ Open** Antimicrobial resistance in topical treatments for microbial keratitis: protocol for a systematic review and meta-analysis

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#### ABSTRACT

**Introduction** There is evidence for increased resistance against the antimicrobials used to treat keratitis. This review aims to provide global and regional prevalence estimates of antimicrobial resistance in corneal isolates and the range of minimum inhibitory concentrations (MIC) with their associated resistance breakpoints.

Methods and analysis We report this protocol following Preferred Reporting Items for Systematic Review and Meta-Analyses Protocols guidelines. We will conduct an electronic bibliographic search in MEDLINE, EMBASE, Web of Science and the Cochrane Library. Eligible studies will report in any language data for the resistance or MIC for antimicrobials against bacterial, fungal or amoebic organisms isolated from suspected microbial keratitis. Studies that only report on viral keratitis will not be included. There will be no time restrictions on the date of publication. Screening for eligible studies, assessment of risk of bias and data extraction will be conducted by two reviewers independently, using predefined inclusion criteria and prepiloted data extraction forms. We will resolve disagreements between the reviewers by discussion and, if required, a third (senior) reviewer will arbitrate. We will assess the risk of bias using a tool validated in prevalence studies. The certainty of the evidence will be assessed using the Grades of Recommendation, Assessment, Development and Evaluation approach. Pooled proportion estimates will be calculated using a random-effects model. Heterogeneity will be assessed using the I<sup>2</sup> statistic. We will explore differences between Global Burden of Disease regions and temporal trends.

**Ethics approval and dissemination** Ethics approval is not required as this is a protocol for a systematic review of published data. The findings of this review will be published in an open-access, peer-reviewed journal. **PROSPERO registration number** CRD42023331126.

#### INTRODUCTION

Microbial keratitis (MK) is a common corneal infection treated with topical antimicrobials. The causative organisms include bacteria, fungi, protozoa and viruses, either singly or in combination. The proportions of these pathogens (viruses, bacteria, parasites, fungi) vary widely between geographic regions,

#### STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ This systematic review protocol follows the Preferred Reporting Items for Systematic Review and Meta-Analyses Protocols guidelines.
- ⇒ This systematic review addresses a gap in the current evidence by estimating the prevalence of antimicrobial resistance and minimum inhibitory concentrations (MICs) by Global Burden of Disease region in common corneal pathogens.
- ⇒ There may be significant clinical, methodological and statistical heterogeneity in reporting prevalence data between different populations.
- ⇒ A potential limitation might be the lack of published literature on MICs and the method of extrapolation of this data to the definition of clinical resistance.
- ⇒ Further potential limitations are the application of clinical thresholds for sampling, and sampling of cases in a hospital setting that may not be representative of the full spectrum of microbial keratitis.

with fungal keratitis particularly prevalent in low-income and middle-income countries and equatorial areas.<sup>1</sup> Published figures for the incidence of MK include 113 per 100000 person-years in South India<sup>1-3</sup> and 799 per 100000 person-years from Nepal.<sup>4</sup> A population-based study in China estimated the prevalence of past or active microbial keratitis to be 192 (95% CI 171 to 213) per 100 000, with a prevalence of presumed viral keratitis of 110, bacterial keratitis 75 and fungal keratitis 7 per 100000.<sup>2</sup> The incidence figures for MK reported from high-income countries since 1995 are much lower with estimates of 4.5-37.7 cases per 100000 person-years in the USA, UK, Australia and Taiwan,<sup>3</sup> which follows a marked increase in cases from the 1970s onward associated with the widespread introduction of contact lens wear.<sup>4</sup>

The relative frequency and distribution of the potential bacterial pathogens isolated from corneal ulcers are usually derived from

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Correspondence to Dr Stephen Tuft; s.tuft@ucl.ac.uk retrospective data rather than prospective surveys. Ideally, all ulcers should be sampled, as defining a threshold ulcer size to justify corneal culture will introduce case selection bias that could affect the spectrum of isolates.<sup>56</sup> The proportions vary widely between reports and according to the definition used for a significant isolate. In a recent meta-analysis of 38 studies, the most common isolates were Staphylococcus spp (including Staphylococcus aureus and coagulase-negative staphylococci) (41.4%, 95% confidence limits 36.2%-46.7%), Pseudomonas spp (17.0%, 13.9%–20.7%), Streptococcus spp (13.1%, 10.9%–15.7%), Corynebacterium spp (6.6%, 5.3%–8.3%) and Moraxella spp (4.1%, 3.1%-5.4%).<sup>7</sup> Streptococcus pneumoniae and *Nocardia* spp. are more frequently reported in series from South India.<sup>8-10</sup> Differences in environmental temperature, humidity, occupation, associated viral disease and malnutrition affect the local prevalence pattern.<sup>11</sup> In lowincome countries, agricultural trauma is the major risk for infection due to filamentous fungi and Acanthamoeba spp., as opposed to contact lens wear in high-income regions.12

The goal of antimicrobial susceptibility testing is to reliably produce data that may be used to guide patient therapy, inform epidemiological studies, and track rates of antimicrobial resistance (AMR). AMR is measured by the response of a pathogen cultured in vitro in the presence of increasing concentrations of an antimicrobial agent. The critical measurement for antimicrobial susceptibility testing is the minimum inhibitory concentration (MIC) - the concentration of the antimicrobial that inhibits overnight growth of the microbe cultured from the patient. This MIC is then referenced to a breakpoint, a chosen antimicrobial concentration (mg/L) that defines the likelihood of clinical success for that agent against the microbe. The breakpoint is a tool to link a MIC value generated by in vitro testing to the most likely in vivo response of that isolate to an achievable drug concentration. If there is an established breakpoint, the MIC can then be used to differentiate isolates in which there is a high likelihood of treatment success from those in which treatment is more likely to fail. The breakpoint is determined from the MIC distribution of a wellcharacterised wild-type population, the pharmacokinetics of the antimicrobial in vivo, host status, and clinical trials, to identify the lowest MIC to give a good clinical outcome. Ideally, the MIC should be at the lower end of the achievable tissue concentration. However, in vitro resistance does not necessarily equate to treatment failure. The clinical breakpoints of topically applied antimicrobials are unknown, and values for the topical treatment of corneal infection are based on achievable and safe serum concentrations that may not be relevant for topically applied antimicrobials. Suggested ophthalmic breakpoints for bacteria and fungi are provided by regulatory authorities such as EUCAST or CSLI.<sup>13 14</sup> For microbial keratitis, it is difficult to establish a breakpoint without outcome data, so defining the epidemiological cut-off value (ECV or ECOFF) is an alternative. The ECOFF is not equivalent

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to a breakpoint but is the MIC that separates isolates into those with or without acquired or mutational resistance by defining the upper limit of the wild-type MIC distribution. Again, it does not predict clinical success but indicates whether a specific isolate carries resistance to an antimicrobial that would otherwise be active against that species.

AMR is an intrinsic or acquired characteristic encoded by genes that can be transferred between bacteria.<sup>15</sup> It is a global phenomenon that has made some systemic bacterial infections challenging to treat.<sup>16–19</sup> An increase in the prevalence of bacterial resistance to fluoroquinolones, beta-lactams and aminoglycosides has been reported in ophthalmic isolates.<sup>8</sup><sup>18</sup> 20–24</sup> Although *P. aeruginosa* susceptibility to either ciprofloxacin or moxifloxacin for corneal isolates is still approximately 80% worldwide,<sup>8 23-26</sup> several studies have highlighted the presence of high proportions of AMR bacteria in ocular infections, particularly in the US,<sup>27</sup> China,<sup>28</sup> and India.<sup>29</sup> A particularly sharp increase in resistance of P. aeruginosa to moxifloxacin occurred between 2007 and 2009 in southern India, from 19% (95% CI 5.4% to 41.9%) to 52% (95% CI 29.8% to 74.3%) (p=0.024).<sup>30</sup> Two separate 20 year reviews from the US also found an increase in methicillin-resistant S. aureus keratitis from 1993 to 2015.23 31 Fluoroquinoloneresistance, methicillin-resistance and multidrug-resistant (MDR) bacteria, defined as acquired resistance to at least one antimicrobial agent in three or more antimicrobial classes, has also been reported in isolates from bacterial keratitis.<sup>25 32–35</sup> P. aeruginosa can show MDR,<sup>36 37</sup> with MDR P. aeruginosa emerging as a cause of bacterial keratitis in South Asia.<sup>38 39</sup> In South China, an increase in AMR in Gram-positive cocci was reported between 2010 to 2018, while the susceptibility of Gram-negative bacilli to fluoroquinolones and aminoglycosides was stable.<sup>28</sup>

Evidence for a change in AMR to the agents used to treat fungal or acanthamoeba keratitis is less certain. Susceptibility testing for antifungal agents is usually only performed in large hospitals or national reference laboratories,<sup>40</sup> and susceptibility testing for antiamoebic agents is rarely performed. The prevalence of acquired resistance to antifungal agents is generally lower than antibiotic resistance, but the treatment options are more limited.<sup>41 42</sup> Most data on the prevalence of resistance to antifungal agents is derived from testing isolates from systemic infections, with relatively few studies of corneal isolates.<sup>43–46</sup> Methods to assess fungal resistance include broth dilution, disc dilution, antifungal impregnated gradient strips and agar screening as described by EUCAST or the CSLI. Resistance may also be intrinsic (eg, resistance of Candida krusei to fluconazole) or an acquired trait following prolonged drug exposure. If resistance is uncommon (eg, Aspergillus fumigatus to voriconazole), empiric treatment can be determined by accurately identifying the pathogen without routine susceptibility testing. In addition, if there is no interpretive data (breakpoint or ECOFF), the MIC alone may be of limited clinical value. For isolates from systemic

infection, there is a trend toward infection with nonalbicans species of Candida and an increase in antifungal resistance,<sup>47</sup> and acquired resistance has emerged in species such as Candida glabrata and Candida auris (rarely reported as an ocular pathogen). There has also been an increase in the resistance of Aspergillus fumigatus to azoles, especially in Europe,<sup>48</sup> linked to the use of azoles in agriculture.<sup>49</sup> However, polyenes (natamycin, amphotericin B) are preferred for empiric therapy of fungal keratitis rather than azoles.<sup>50 51</sup> Therapeutic guidelines for fungal keratitis have largely been developed from randomised controlled trials (RCTs) performed in South Asia.<sup>50-52</sup> Importantly, the vast majority of isolates from these studies were filamentous fungi, although yeast contributes approximately 25% of isolates in case series from temperate regions.<sup>53</sup> Comparisons of antifungal resistance between regions for isolates from keratitis have not been performed.

Speciation of isolates of Acanthamoeba spp. or susceptibility testing against biocides is not usually performed or discussed by the EUCAST or CSLI. There is a lack of consensus on the optimum protocol for testing trophozoites or cysts against antiseptics (polyhexanide, chlorhexidine).<sup>54</sup> When it is done, susceptibility testing is performed on cysts because most amoebicides readily kill trophozoites. The usual test is based on preventing excystment after culture, or assessing cyst viability by staining with trypan blue, following exposure to serial dilutions for one to 7 days in vitro.<sup>55 56</sup> However, this protocol is primarily used for the assessment of potential amoebicidal drugs rather than for clinical management or audit of resistance. A few publications that have used these methods show a poor correlation between in vitro susceptibility and clinical eradication of acanthamoeba from the cornea.<sup>57 58</sup>

A recent systematic review and meta-analysis estimated differences in the spectrum of bacterial isolates between regions, the overall prevalence of bacterial AMR, and changes over time.<sup>7</sup> However, there have been no reviews of resistance in either fungal or amoebic keratitis. The review by Zhang et al was limited to citations in English published after 2000, with an emphasis on reporting positive culture rates and the spectrum of bacterial isolates globally, with no regional data.<sup>7</sup> We will extend the study to all microbes, and include all publications with no limit to time or language. We will report differences in resistance between Global Burden of Disease (GBD) regions. Because ophthalmic breakpoints are unknown, we will also record the MIC data where this is available. This is because reporting the prevalence of resistance does not identify the primary measurement, which is the MIC. In addition, changes in MIC can occur before the effect of this is evident as a change in resistance values (MIC drift). Establishing the prevalence of antimicrobial resistance may focus attention on the magnitude of the problem and serve as a benchmark for future publications.

► To report the proportion of the common pathogens isolated from microbial keratitis that are resistant to the antimicrobials commonly used as empiric therapy and report the distribution of their MICs.

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- ► To explore how the proportion of AMR and the distribution of MICs varies by region.
- ► To explore if the proportion of AMR and the distribution of MICs in common pathogens has changed over time.

#### METHODS AND ANALYSIS Protocol and registration

We report this protocol following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses Protocols guidelines.<sup>59</sup> The protocol has been registered in PROS-PERO (registration number CRD42023331126). Any protocol amendments will be documented, including the date of amendment and rationale for the change in the final review report.

# **Types of studies**

We will include published prospective and retrospective cross-sectional studies that have identified the genus and species of isolates from corneal cultures and recorded the susceptibility or MIC of each isolate to eye-appropriate topical antimicrobials. We define eye-appropriate antimicrobials as agents that are either commercially available or specifically compounded for topical ophthalmic use. We will also consider the baseline findings (prerandomisation) of people recruited in clinical trials for treatment or cohort studies. We will only include peerreviewed articles published in any language. Articles in a language other than English will be screened with an internet-based translation service (eg, Google Translate). If they appear relevant a professional translation will be obtained. We will not include grey literature or conference abstracts. We will consider publications from all years and geographical regions.

# Types of participants, eligibility and setting

Inclusion criteria will be studies that report the investigation results for suspected microbial keratitis, including bacterial, fungal and acanthamoeba keratitis. We will exclude studies that only report on viral isolates or in vitro resistance to antivirals. There will be no age restrictions. Settings will include primary care as well as secondary and tertiary referral centres. Studies must report the results of an investigation, including the identification of the organisms grown in culture (genus and species) and their anticipated susceptibility or resistance to antimicrobials, with the measured MIC if this is available. The genus and species will be recorded. The method of assessment (disc diffusion, Etest, tube dilution) will be recorded. The regulatory body that provided the assessment thresholds or breakpoints will be recorded, such as EUCAST, CSLI, ChiCAST. We will include case series, but not individual case reports, as we require a representative sample of isolates from a particular setting with information on the proportion with AMR. We will exclude studies where participants are exclusively patients in specific settings (eg, intensive care units), or patients with specific syndromes (eg, Stevens Johnson syndrome) or other disease groups (non-healing ulcers, corneal graft for treatment failure). We will include studies irrespective of pre-treatment of patients. We will group the results as bacteria, fungi or acanthamoeba, and we will pool data from studies within regions. The absolute number of isolates and the proportion of the total isolates will be recorded. Differences between the method of identification (MALDI-TOF vs biochemical assay) for bacteria is not thought to be significant. Results from PCR will not be included as these do not routinely measure AMR. We will not analyse the response to therapy.

#### Types of outcome measures

- ► The proportion of the common pathogens isolated from suspected microbial keratitis that are resistant to the antimicrobials commonly used as empiric therapy. Results will be recorded as sensitive (S), sensitive at an increased dosage (I), or resistant (R). For the analysis, the S and I groups will be amalgamated.
- ► The MIC values (mg/L) for the antimicrobials commonly used as empiric therapy for suspected microbial keratitis. Results will be reported as the mode and range.

#### Search strategies

#### **Electronic searches**

We will conduct an electronic bibliographic search in MEDLINE, EMBASE, Web of Science, and the Cochrane Library. An expert information specialist developed the search strategies (online supplemental figure 1). Relevant publications will be retrieved manually if electronic access is not available.

#### Searching for other resources

We will identify additional studies by searching the reference lists of relevant publications identified through electronic searches and prior review articles on this topic. We will consult trial registries such as WHO ICTRP and ClinicalTrials.gov to identify studies indexed in the databases.

#### Selection of studies

Two review authors will independently screen search results based on title and abstract and will remove reports that do not fall into the scope of this review. We will resolve disagreements by discussion and consultation with another author as needed. We will acquire the full text of all publications appearing to meet the criteria for inclusion in this review. Two review authors will independently screen the full-text reports using the inclusion criteria listed above. They will discuss any disagreements, and a third review author will arbitrate if they cannot resolve them. Screening of search results will be conducted using Covidence systematic review software (Veritas Health Innovation, Melbourne, Australia; available at www.covidence.org).

#### **Data extraction and management**

Data extraction will be done in Covidence using a customised data extraction template which will be pre-piloted on five studies (table 1). Two review authors will independently extract the following data from each study: study design, participant characteristics, study population and size, study setting, study dates, diagnostic and ascertainment methods, details of pathogen and antimicrobials, number of isolates, the proportion of isolates reported resistant (R) for each antimicrobial, and the MIC values of these antimicrobials. We will document the method used for speciation of the organism, how the MIC was determined, and the reference guidelines for determining breakpoint thresholds. Disagreements will be resolved by discussion and consultation with another author as needed; a third review author will arbitrate if they cannot resolve them.

AMR will be recorded separately for bacteria, fungi and acanthamoeba. Within each phylum, the AMR will be reported for each class of antimicrobial against each genus of organism.

- ▶ For bacterial isolates, the genus and species of all isolates will be recorded, with a secondary grouping of pathogens into classes. The groups will be: *Enterobacterales, Pseudomonas* spp., *Staphylococcus* spp., *methicillin-resistant S. aureus* (MRSA), *coagulase-negative staphylococci* (CNS), *Streptococcus pneumoniae*, viridans group streptococci (VGS), *beta-haemolytic streptococci*, *Haemophilus* spp., *Neisseria* spp. The antimicrobial groups will be quinolones (second and subsequent generations), beta-lactams (early and late cephalosporins), aminoglycosides, chloramphenicol, glycopeptides and antiseptics.
- ▶ For fungal isolates, the genus and species will be recorded, with a secondary grouping of the pathogens into classes. The groups will be yeast and filamentous fungi. The antifungal groups will be the polyenes (natamycin, amphotericin), azoles (miconazole, econazole, voriconazole), echinocandins (micafungin) and antiseptics.
- For amoeba isolates the genus will be recorded and the species if this has been reported. The antiamoebic treatments include polyhexanide, chlorhexidine, voriconazole and neomycin.

#### Assessment of risk of bias

Two reviewers will independently assess the risk of bias in each included study using the risk of bias tool for prevalence studies developed by Hoy *et al.*<sup>60</sup> This tool covers four domains - selection bias, non-response bias, measurement bias and bias related to analysis. For each of the 10 items included in the assessment, two reviewers will record the rationale for their judgements. The Risk of Bias (online supplemental figure 2) shows the items included in the tool and specific considerations for this

Table	Table 1         Data extraction domains for included studies			
	Domain/subdomain	Description		
1	Document characteristics			
	Title, authors, publication year	Title, authors and year of publication		
	Full citation and web link	Citation of publication and PMID		
2	Study characteristics			
	Design	Prospective study, retrospective study, RCT, etc.		
	Setting	Primary care, hospital eye service, tertiary referral centre, number of participating centres		
	Location	City and country of data collection, GBD region		
	Data collection	Years when samples collected		
	Population	Eligibility criteria, age range, specific risk group (LVC, ICU, SJS)		
	Sample size	Number of participants in the study. Eyes included.		
	Study objectives	What was the study research question?		
3	Characteristics			
	Inclusion criteria	Presumed microbial keratitis, size and position of ulcer		
	Exclusion criteria	Case report, selected disease group, for example, corneal perforation		
	Definition of significant isolate	Growth on one or more media, supportive investigation (histology, PCR, IVCM)		
	Microbial isolate	Bacteria, fungus, acanthamoeba		
	Method of identification	eg, MALDI-TOF, phenotypic and biochemical, molecular		
	Determination of MIC	Tube dilution, Etest, disc diffusion, agar slope, excystment.		
	Reference body for breakpoint	EUCAST, CSLI, ChiCAST		
	Bacterial groups	Enterobacteriales, Pseudomonas spp, Staphylococcus spp, MRSA, Staphylococcus (CNS), Streptococcus pneumonia, Streptococcus (VTS), beta-haemolytic Streptococcus, Haemophilus spp, Neisseria spp.		
	Sensitivity and MIC of bacteria to antimicrobials (six categories)	Quinolones, beta-lactam, aminoglycoside, glycopeptide, chloramphenicol, antiseptics		
	Fungal groups	Yeast, filamentary fungus		
	Sensitivity and MIC of fungi to antifungals (four categories)	Polyenes, azoles, echinocandins, antiseptics		
	Amoeba isolates	Acanthamoeba with speciation if available		
	Sensitivity and MIC of amoeba to amebicides (four categories)	Polyhexanide, chlorhexidine, voriconazole, neomycin		

MIC, minimum inhibitory concentration; EUCAST, European Committee on Antimicrobial Susceptibility Testing; CSLI, Clinical & Laboratory Standards Institute; MRSA, methicillin-resistant Staphylococcus aureus; VTS, viridans-type streptococci; MALDI-TOF, matrix-assisted laser desorption/ ionisation time of flight; LVC, laser vision correction; ICU, intensive care unit; SJS, Stevens Johnson syndrome; PCR, polymerase chain reaction; IVCM, in vivo confocal microscopy; GBD, Global Burden of Disease; RCT, randomised control trial; .

review. This risk of bias tool will be piloted on five studies and instructions for reviewers amended in the light of that pilot. Agreement between reviewers will be assessed and any disagreements resolved by discussion. For each study, a judgement of the overall risk of bias (low, moderate, or high) will be made.

#### Dealing with missing data

If we do not find all the necessary information in a published study, for articles published in 2010 or later, we will email the corresponding author to solicit further information. If we cannot obtain the necessary information, we will document in the review that we attempted to contact the study authors. We will report and discuss the possible effect of missing data on each study and the overall review and meta-analysis. We will consider the susceptibility of our meta-analysis to the impact of missing data. We will analyse the data that is available rather than imputing missing data.

#### Unit of analysis issues

We will document how each study handled eyes - whether one eye or both eyes were included and analysed in the study. We will also document how the study handled multiple isolates per person or eye. Where multiple isolates are identified we will consider each isolate separately and analyse as reported. Where possible, we will extract proportions/confidence intervals adjusted for clustered data or consider adjusting using methods outlined in the Cochrane Handbook.<sup>61</sup>

#### Data synthesis and meta-analysis approach

We will perform meta-analyses using a random-effects model in Stata V.17 (StataCorp).<sup>62</sup> We will summarise and

report the pooled proportion of resistance, its 95% CI, and between-study heterogeneity  $(I^2)$ . We will conduct meta-analyses separately for each class of antimicrobial (table 1): fluoroquinolones (second and fourth generation separately), beta-lactam antibiotics (eg, cefuroxime), aminoglycosides (eg, gentamicin, tobramycin), glycopeptide antibiotics (eg, vancomycin) for bacterial; natamycin, voriconazole, amphotericin, (and others) for fungi (filamentous fungi, yeasts); polyhexanide (PHMB) and voriconazole for Acanthamoeba spp. If a study reports susceptibility for more than one antimicrobial in a class against the same organism(s), our strategy for the primary analysis will be to select the results for the most frequently used antimicrobial of that class from the whole data set. We will then perform a sensitivity analysis substituting results for the less commonly used antibiotic(s) in that class to check that the results do not change substantially. We will consider amalgamating closely related groups of organisms (table 1) that have similar mechanisms of AMR.

We anticipate heterogeneity in reporting of MIC values and therefore we plan to report these data narratively in structured tables and figures. If comparable figures on MIC in mg/L are available from different studies, we will consider a meta-analysis as specified above.

#### **Meta-regression**

If there are sufficient studies for analysis, we will include the following covariates:

- Class of microbe: We intend to present data for the eight most frequent Gram-positive (S. aureus, Streptococcus spp. etc) and Gram-negative (P. aeruginosa, etc) isolates.
- Region: we will group studies according to the global super-region as defined by the Global Burden of Disease Study.<sup>63</sup>
- Decade: we will group studies according to the decade in which they were conducted: Before 2000, 2000– 2009, 2010–2019, 2020–2022.
- ▶ Pre-treatment (none, some, all).
- ► Sex (M:F).
- ► Age (children:adults >16 years).
- Study size. We will assess small study effects, one of which may be publication bias, by preparing a funnel plot, which is a scatter plot of effect size vs precision (SE).<sup>64</sup>

#### Assessment of heterogeneity

We will assess clinical heterogeneity by comparing key participant characteristics at the study level (eg, age, sex, ocular diagnosis). Methodological heterogeneity will also be considered, including comparing the risk of bias of included studies. We will assess statistical heterogeneity by inspecting forest plots and through the I<sup>2</sup> statistic. If high levels of inconsistency are detected, and there are enough studies, we will explore likely sources of this heterogeneity (see section on meta-regression).

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#### Sensitivity analysis

We will conduct a sensitivity analysis of the primary analysis in which studies are excluded if they are judged to be at high risk of bias.

#### Assessing the certainty of the evidence

We will assess and report the overall certainty of evidence from our analyses and critical appraisal using the modified Grades of Recommendation, Assessment, Development and Evaluation (GRADE) tool.<sup>65</sup> We will consider evidence from cross-sectional studies to be high certainty and will downgrade for risk of bias, imprecision, inconsistency, indirectness and publication bias. The GRADE assessment will be done by consensus discussion.

#### Patient and public involvement

None.

#### **ETHICS AND DISSEMINATION**

Ethics approval is not required as this is a protocol for a systematic review of published data. Findings will be published in an open-access peer-reviewed journal and presented at national and international meetings. We anticipate that the findings will be of considerable interest to those involved in eye health provision, as well as the general medical, public health, development, and governmental sectors.

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**Contributors** ST conceived the original idea. ST and JE wrote the first draft of this protocol, and MJB, TN, DM, SK, IG, AL and NS made further suggestions. ST, JE, DM, NS, TN, AL, SK and MJB participated in the study's design and the inclusion and exclusion criteria setting. IG, JE and ST developed the search strategy. ST, JE, MJB, SK and DM will supervise the data extraction and the overall work. ST and JE are the guarantors. All authors read the final draft and approved the publication of the protocol.

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# Supplementary figure 1

Search strategy

# MEDLINE

- 1. keratitis/ or acanthamoeba keratitis/
- 2. keratitis.tw.
- 3. (fung\$ adj3 infect\$ adj3 eye\$).tw.
- 4. (fung\$ adj3 infect\$ adj3 ocular).tw.
- 5. (bacteria\$ adj3 infect\$ adj3 eye\$).tw.
- 6. (bacteria\$ adj3 infect\$ adj3 ocular).tw.
- 7. or/1-6
- 8. exp Drug Resistance, Microbial/
- 9. ((antimicrobial or antifungal or increase\$) adj2 resistan\$).tw.
- 10. "AMR".tw.
- 11. Microbial Sensitivity Tests/
- 12. (drug adj2 (resistan\$ or suscept\$)).tw.
- 13. (minimum adj1 inhibit\$ adj1 concentration\$).tw.
- 14. "MIC".tw.
- 15. breakpoint.tw.
- 16. or/8-15
- 17. prevalence/
- 18. prevalence.tw.

19. case-control studies/ or retrospective studies/ or cohort studies/ or follow-up studies/ or longitudinal studies/ or prospective studies/ or cross-sectional studies/ or observational study/

- 20. (case adj1 control\$ adj3 (stud\$ or trial\$)).tw.
- 21. (cross adj1 sectional\$ adj3 (stud\$ or trial\$)).tw.
- 22. (follow adj1 up adj3 (stud\$ or trial\$)).tw.

23. ((prospective or retrospective or cohort or longitudinal or observational) adj3 (stud\$ or trial\$)).tw.

24. clinical trial/ or control groups/ or double-blind method/ or meta-analysis as topic/ or network meta-analysis/ or random allocation/ or single-blind method/

25. randomized controlled trial/ or controlled clinical trials as topic/ or randomized controlled trials as topic/ or comparative study/ or evaluation studies/ or metareview"/

- 26. random\$.tw.
- 27. or/17-26
- 28. 7 and 16 and 27

29 (animal or animals or mouse or mice or rat or rats or rabbit\$ or dog or dogs or canine or cat or cats or pig or pigs or horse or horses or veterinary).tw.

30 28 not 29

# Embase

1. keratitis/ or bacterial keratitis/ or virus keratitis/ or Acanthamoeba keratitis/ or microbial keratitis/ or suppurative keratitis/ or amebic keratitis/

- 2. keratitis.tw.
- 3. (fung\$ adj3 infect\$ adj3 eye\$).tw.
- 4. (fung\$ adj3 infect\$ adj3 ocular).tw.
- 5. (bacteria\$ adj3 infect\$ adj3 eye\$).tw.
- 6. (bacteria\$ adj3 infect\$ adj3 ocular).tw.
- 7. or/1-6
- 8. antibiotic resistance/ or antibiotic sensitivity/ or drug resistance/

- 9. aminoglycoside resistance/ or beta-lactam resistance/ or chloramphenicol resistance/ or fluoroquinolone resistance/
- 10. ((antimicrobial or antifungal or increase\$) adj2 resistan\$).tw.
- 11. "AMR".tw.
- 12. microbial sensitivity test/
- 13. (drug adj2 (resistan\$ or suscept\$)).tw.
- 14. minimum inhibitory concentration/
- 15. (minimum adj1 inhibit\$ adj1 concentration\$).tw.
- 16. "MIC".tw.
- 17. breakpoint.tw.
- 18. or/8-17
- 19. prevalence/
- 20. prevalence.tw.
- 21. controlled clinical trial/
- 22. case control study/
- 23. cohort analysis/
- 24. follow up/
- 25. longitudinal study/
- 26. observational study/
- 27. prospective study/
- 28. retrospective study/
- 29. cross-sectional study/
- 30. control group/
- 31. "meta analysis (topic)"/
- 32. network meta-analysis/
- 33. randomization/
- 34. single blind procedure/
- 35. double blind procedure/
- 36. control group/
- 37. controlled clinical trial/
- 38. clinical study/
- 39. clinical trial/
- 40. "clinical trial (topic)"/
- 41. "controlled clinical trial (topic)"/
- 42. "randomized controlled trial (topic)"/
- 43. comparative study/
- 44. evaluation study/
- 45. randomized controlled trial/
- 46. meta analysis/
- 47. "systematic review"/
- 48. "systematic review (topic)"/
- 49. (case adj1 control\$ adj3 (stud\$ or trial\$)).tw.
- 50. (cross adj1 sectional\$ adj3 (stud\$ or trial\$)).tw.
- 51. (follow adj1 up adj3 (stud\$ or trial\$)).tw.
- 52. ((prospective or retrospective or cohort or longitudinal or observational) adj3 (stud\$ or
- trial\$)).tw.
- 53. random\$.tw.
- 54. or/19-53
- 55. 7 and 18 and 54

56. (animal or animals or mouse or mice or rat or rats or rabbit\$ or dog or dogs or canine or cat or cats or pig or pigs or horse or horses or veterinary).tw.

## 57. 55 not 56

## **Cochrane Library**

- #1 MeSH descriptor: [Keratitis] this term only
- #2 MeSH descriptor: [Acanthamoeba Keratitis] this term only
- #3 keratitis
- #4 fung\* NEAR/3 infect\* NEAR/3 eye
- #5 fung\* NEAR/3 infect\* NEAR/3 ocular
- #6 bacteria\* NEAR/3 infect\* NEAR/3 eye\*
- #7 bacteria\* NEAR/3 infect\* NEAR/3 ocular
- #8 #1 or #2 or #3 or #4 or #5 or #6 or #7
- #9 MeSH descriptor: [Drug Resistance, Microbial] explode all trees
- #10 (antimicrobial or antifungal or increase\*) NEAR/2 resistan\*
- #11 AMR
- #12 MeSH descriptor: [Microbial Sensitivity Tests] this term only
- #13 drug NEAR/2 (resistan\* or suscept\*)
- #14 minimum NEXT inhibit\* NEXT concentration\*
- #15 MIC
- #16 breakpoint
- #17 #9 or #10 or #11 or #12 or #13 or #14 or #15 or #16
- #18 #8 and #17

# Web of Science

#19 not #20

TS= (animal OR animals OR mouse OR mice OR rabbit\* OR dog OR dogs OR canine OR horse OR horses OR veterinary)

#6 AND #11 AND #18

#17 OR #16 OR #15 OR #14 OR #13 OR #12

TS= (clinical OR comparative NEAR/3 (stud\* OR trial\*))

TS= (random\* OR meta NEAR/1 analysis OR systematic NEAR/1 review)

TS= (follow NEAR/2 up NEAR/3 (stud\* OR trial\*))

TS= (cross NEAR/2 sectional\* NEAR/3 (stud\* OR trial\*))

TS= (case NEAR/2 control\* NEAR/3 (stud\* OR trial\*))

TS= (prevalence OR retrospective OR prospective OR cohort OR observational OR longitudinal)

#7 OR #8 OR #9 OR #10

TS= (drug NEAR/2 (resistan\* or suscept\*))

TS= ((antimicrobial OR antifungal OR increase\*) NEAR/2 resistan\*)

TS=(Microbial Sensitivity Tests)

TS=(Microbial Drug Resistance)

#1 OR #2 OR #3 OR #4 OR #5

TS= keratitis

TS= (fung\* NEAR/3 infect\* NEAR/3 eye\*)

TS= (fung\* NEAR/3 infect\* NEAR/3 ocular)

TS= (bacteria\* NEAR/3 infect\* NEAR/3 eye\*)

TS= (bacteria\* NEAR/3 infect\* NEAR/3 ocular)

# Clinicaltrials.gov

(antimicrobial OR antifungal OR antibiotic OR drug) AND resistance | Keratitis

# WHO ICTRP

Keratitis AND antimicrobial AND resistance OR Keratitis AND antifungal AND resistance OR Keratitis AND antibiotic AND resistance OR Keratitis AND drug AND resistance

# Supplementary Figure 2: Risk of Bias Assessment

	Risk of bias item	Criteria for answers
1	Was the study's target population a close representation of the national population in relation to relevant variables?	Yes (LOW RISK) The study's target population was a close representation of the national or regional population <i>e.g., population- based study or sampling of cases of microbial keratitis from representative settings.</i> No (HIGH RISK) The study's target population was clearly not representative of the national population. <i>e.g., sampling of cases attending tertiary referral center or cases selected from a population that had failed to improve with prior therapy with antimicrobials, selection of larger ulcers, exclusions of small peripheral ulcers or of impending or actual corneal perforation.</i>
2	Was the sampling frame a true or close representation of the target population?	Yes (LOW RISK): The sampling frame was a true or close representation of the target population, e.g., an acceptable and standardized definition of microbial keratitis was used, including definitions of pathogens, standardized criteria for definition and selection of isolate type, and definition of significant growth. No (HIGH RISK): The sampling frame was NOT a true or close representation of the target population, e.g., potential 'non-pathogens' were excluded. Unclear the basis for the clinical diagnosis. Definitions of pathogens and isolates are not standardized.
3	Was some form of random selection used to select the sample, OR was a census undertaken?	Yes (LOW RISK): A census was undertaken, OR, some form of random selection was used to select the sample (e.g. simple random sampling, stratified random sampling, cluster sampling, systematic sampling), e.g. <i>random sample of a consecutive series of patients, or</i> <i>inclusion of a consecutive series of patients</i> No (HIGH RISK): A census was NOT undertaken, AND some form of random selection was NOT used to select the sample <i>eg unclear how cases selected or unclear if</i> <i>consecutive or not</i>
4	Was the likelihood of non-response bias minimal?	Yes (LOW RISK): The response rate for the study was ≥75%, OR, an analysis was performed that showed no

		significant difference in relevant demographic characteristics between responders and nonresponders No (HIGH RISK): The response rate was <75%, and if any analysis comparing responders and non-responders was done, it showed a significant difference in relevant demographic characteristics between responders and non-responders.
5	Were data collected directly from the subjects (as opposed to a proxy)?	Yes (LOW RISK): All data were collected directly from the subjects. It is likely that data will be collected directly from all participants so this item may not be relevant and will be marked low risk of bias for all studies. No (HIGH RISK): In some instances, data were collected from a proxy. Difficult to envisage a study where this would be the case.
6	Was an acceptable and standardized case definition for AMR used in the study?	Yes (LOW RISK): An acceptable case definition was used, e.g., definition of AMR clearly described and standardized (between geographical locations and over time if applicable) No (HIGH RISK): An acceptable case definition was NOT used. e.g., unclear definition of AMR and/or definition not consistently applied in different groups of patients or over time.
7	Was the study instrument that measured the parameter of interest shown to have validity and reliability?	Yes (LOW RISK): The study instrument had been shown to have reliability and validity (if this was necessary), e.g., test-retest, piloting, validation in a previous study, etc., e.g., method of measuring AMR was valid and reliable i.e., valid laboratory systems for the identification of isolates and measurement of AMR or MIC. No (HIGH RISK): The study instrument had NOT been shown to have reliability or validity (if this was necessary), e.g., method of measuring AMR was not clearly described or not validated.
8	Was the same mode of data collection used for all subjects?	Yes (LOW RISK): The same mode of data collection was used for all subjects, e.g., <i>collection methodologies</i> <i>for the identified cases and measurement of resistance</i> <i>or MIC were adequately described and consistent during</i> <i>the course of the study.</i>

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Adapted from Hoy et al.(Hoy et al. 2012)

Hoy, Damian, Peter Brooks, Anthony Woolf, Fiona Blyth, Lyn March, Chris Bain, Peter Baker, Emma Smith, and Rachelle Buchbinder. 2012. "Assessing Risk of Bias in Prevalence Studies: Modification of an Existing Tool and Evidence of Interrater Agreement." *Journal of Clinical Epidemiology* 65 (9): 934–39.