

1 DO APICAL ANEURYSMS PREDICT SUDDEN CARDIAC DEATH IN  
2 HYPERTROPHIC CARDIOMYOPATHY?

3

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## 1 **Background**

2 Hypertrophic cardiomyopathy (HCM) is a common heart muscle disorder which causes  
3 premature death from ventricular arrhythmia, heart failure and stroke. Extensive research  
4 over many decades has identified a number of disease features associated with an increased  
5 risk of sudden cardiac death (SCD), and current ESC and AHA clinical practice guidelines  
6 recommend their incorporation into systematic risk algorithms designed to assist targeted  
7 primary prevention with ICDs.

8         Although annualised SCD rates in contemporary HCM registries are less than 1%,  
9 ongoing concern about residual risk propels the search for new risk markers. Left ventricular  
10 (LV) apical aneurysms, defined as a discrete thin-walled dyskinetic or akinetic segment of the  
11 most distal portion of the ventricle, were first reported in patients with HCM more than 30  
12 years ago. Their cause is unknown, but they are often associated with mid-cavity obstruction  
13 <sup>1</sup> and it has been hypothesized that they are the result of myocardial ischaemia caused by high  
14 intracavity systolic pressures. Very limited data is available regarding aneurysm progression  
15 in relation the severity of mid-cavity gradients, with no data on the long-term impact of  
16 gradient reduction.

17         The first descriptions of LV apical aneurysms in HCM suggested an association with  
18 sustained monomorphic ventricular tachycardia (VT) <sup>2</sup> – an otherwise extremely rare  
19 occurrence in HCM – but the notion that they are a predictor of SCD only emerged in 2008  
20 following the publication of small series of patients (n=28) from two centres <sup>3</sup>. The same  
21 group published an expanded series in 2017 (n=93) and reaffirmed their conclusion that  
22 apical aneurysms are a novel SCD risk factor in HCM <sup>4</sup>.

23         A recent systematic review and meta-analysis identified a total of four studies that  
24 reported SCD equivalent events in patients with HCM and apical aneurysm <sup>5</sup>. Along with the

1    aforementioned papers <sup>4</sup>, the meta-analysis included two small series that described a selected  
2    subgroup of patients with HCM and midventricular obstruction. Neither of these reports  
3    recorded a higher incidence of SCD events in those with apical aneurysm <sup>6,7</sup>. The fourth study  
4    included in the meta-analysis was larger (apical aneurysm n=24) and described patients with  
5    apical HCM and/or midventricular obstruction and/or apical aneurysm <sup>8</sup>. It reported a high  
6    rate of SCD or equivalent events, that occurred in 8/24 (33%) patients with apical aneurysm,  
7    however these patients had a higher risk profile (family history of SCD, non-sustained VT  
8    and syncope were all more frequent). More recently, the largest series to date reported 160  
9    Canadian patients with apical aneurysm identified over a 24-year period and similarly  
10   concluded that apical aneurysms are associated with an increased risk of ventricular  
11   arrhythmias <sup>9</sup>.

12   Based on the increased SCD event rate reported in these studies (1.8-4.7% / year <sup>4,9</sup> vs ~1% /  
13   year in HCM overall <sup>4</sup> – including both primary and secondary prevention ICDs). LV  
14   aneurysms were included in the 2011 AHA/ACC HCM guidelines as a “*risk modifier*” that  
15   should prompt consideration of an ICD in the presence of another established risk factor <sup>10</sup>. In  
16   the more recent 2020 AHA/ACC HCM guidelines, apical aneurysms are considered a major  
17   independent SCD risk factor and a reasonable indication for an ICD based solely on this  
18   finding <sup>11</sup>. This recommendation has important clinical implications and the data supporting it  
19   require careful scrutiny.

20   **How frequent are LV aneurysms in HCM?**

21   The prevalence of LV aneurysms in patients with HCM is uncertain as their location at the  
22   LV apex means that they are often overlooked on routine (non-contrast enhanced)  
23   echocardiography. This is not the case with cardiac magnetic resonance imaging (CMR), and  
24   in the largest prospective study to date (n=2755) the prevalence of LV aneurysms was 3% <sup>12</sup>.

1 This is broadly in line with the published retrospective series (prevalence 3-5%),<sup>4,9</sup> but the  
2 predominant use of echocardiography in most other studies almost certainly led to a selection  
3 bias since it is very likely that some smaller aneurysms were not detected. On the other hand,  
4 it should also be noted that the prospective CMR registry selected a lower risk population by  
5 excluding patients with an ICD in place<sup>13</sup>.

## 6 **Sudden death or non-fatal ventricular arrhythmia?**

7 A frequently encountered challenge for prognostic studies in cardiomyopathies is the  
8 interpretation of tolerated episodes of sustained VT which may trigger therapy from an ICD  
9 but may not necessarily equate to SCD. In HCM, the relatively low frequency of sustained  
10 VT compared to ventricular fibrillation (VF) has meant that appropriate shocks are generally  
11 accepted as a SCD equivalent, but analysis of the published data suggest that this cannot be  
12 assumed in the subset of patients with LV apical aneurysm.

13 In the only series<sup>4</sup> that provides a detailed analysis of SCD events, the vast majority  
14 were appropriate ICD interventions for monomorphic VT. In detail, the 21 SCD events  
15 reported included a single actual SCD, 2 resuscitated cardiac arrests, and 18 appropriate ICD  
16 interventions. It is not stated if these were all appropriate shocks or also included anti-  
17 tachycardia pacing therapies, but 89% (16/18) of ICD interventions were for monomorphic  
18 VT, and only 11% (2 patients) for ventricular fibrillation (VF). In the latest Canadian series,  
19 half of the events (7/14) were resuscitated cardiac arrest and half appropriate ICD  
20 interventions but details of the underlying rhythm were not reported<sup>9</sup>.

## 21 **Confounding effect of other risk factors**

22 The small absolute number of events in the published series precludes analysis of the  
23 independent predictive value of apical aneurysms. This is important since a significant

1 proportion of those who experienced SCD events had confounding, well-established risk  
2 factors for SCD. Around 1/3 of patients with SCD events <sup>4,9</sup> had survived a sustained VT/VF  
3 event at or prior to the baseline evaluation (i.e. recognition of the apical aneurysm). This is  
4 crucial given that a prior episode of VF or sustained VT is a strong predictor of subsequent  
5 SCD events <sup>14</sup> and is the only class I recommendation for an ICD in HCM in both the  
6 AHA/ACC and ESC guidelines <sup>11,15</sup>. The high proportion of patients with prior VT/VF is  
7 another indication of bias toward the inclusion of more severely affected patients in these  
8 series.

9         The second important confounder is the presence of a reduced LV ejection fraction  
10 ( $\leq 50\%$ ) (prevalence 24-36% <sup>4,9</sup>). A small number of mostly retrospective studies have  
11 examined the relation between prognosis in patients with HCM and LV systolic dysfunction.  
12 All consistently report an increased rate of SCD events. As with LV aneurysms, the  
13 independent value of LV systolic dysfunction compared to other validated risk markers in  
14 unknown, but the association between the two parameters again highlights the complex  
15 nature of the relation between aneurysm formation and subsequent SCD events.

16         Finally, no aneurysm features have been shown to be clearly associated with an  
17 increased SCD risk. Aneurysm size has been inconsistently associated with increased SCD  
18 risk <sup>4,9</sup> and when it has been, this has been with a very high degree of uncertainty due to the  
19 particularly low number of events supporting the subgroup analysis <sup>9</sup>.

## 20 **Conclusions**

21 While LV apical aneurysms are probably associated with monomorphic VT, significant  
22 selection bias and the presence of important confounders such as previous VT/VF or reduced  
23 LV ejection fraction lead us to suggest that the available data do not support their use as an  
24 independent predictor of SCD in HCM. Prospective studies of large cohorts that represent the

1 full spectrum of disease may help resolve the debate. Until then, clinicians should continue to  
2 make individualised decisions based on well-established risk factors, an ICD should be  
3 considered for secondary prevention and in those with an aneurysm large enough to cause a  
4 reduced LV ejection fraction, but ICD decisions should not be based solely on the presence or  
5 absence of an LV apical aneurysm.

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## 7 **Data availability**

8 There are no new data associated with this article.

## 9 **Disclosure**

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14 the chairman of the International Cardiomyopathy Network.

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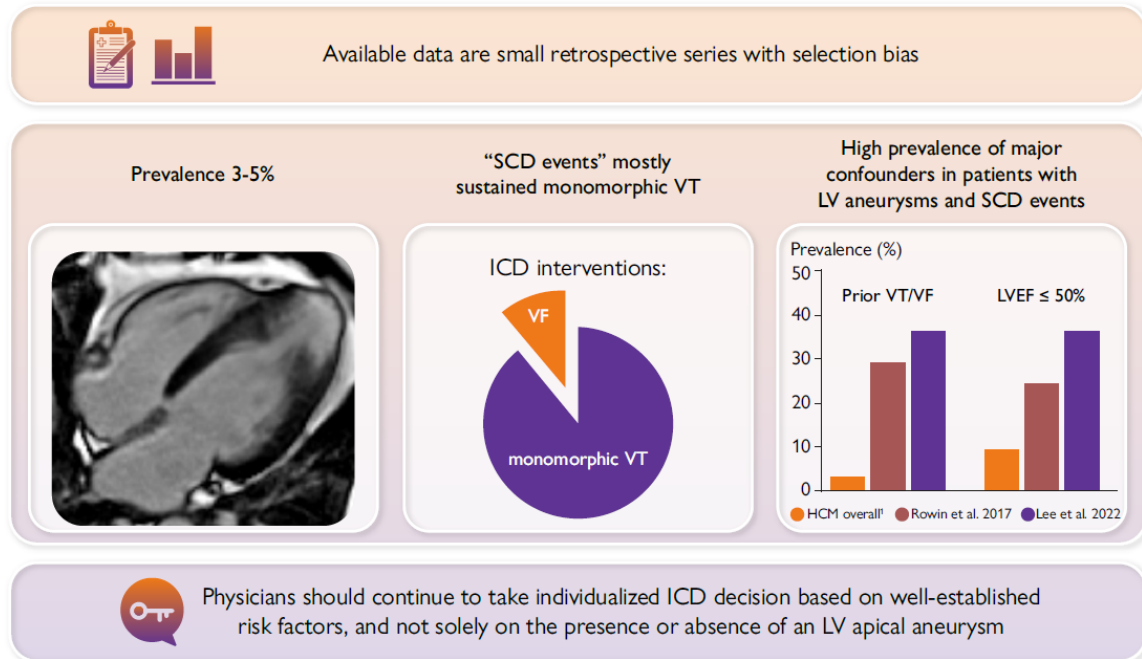
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Are LV apical aneurysms a major risk factor for sudden cardiac death (SCD) in hypertrophic cardiomyopathy?



1

2 **Figure Legend**

3 The current AHA/ACC HCM guideline recommendation for a primary prevention ICD is  
4 based on retrospective series that have important limitations. These include selection bias,  
5 the fact that "SCD events" are appropriate ICD interventions for monomorphic VT in the vast  
6 majority of cases, and a high prevalence of major confounders in patients with apical  
7 aneurysm and SCD events. HCM: hypertrophic cardiomyopathy; SCD: sudden cardiac death;  
8 LV: left ventricular; LVEF: left ventricular ejection fraction; VF: ventricular fibrillation; VT:  
9 ventricular tachycardia.

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