

1 **Socio-economic development shows positive links to the conservation**  
2 **efficiency of China's Protected Area network**

3

4 **Running Title:** Protected Areas conservation efficiency

5

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26

27 **Abstract**

28 While the protected area covers >15% of the planet's terrestrial land area and  
29 continues to expand, factors determining its effectiveness in conserving endangered  
30 species are being debated. We investigated the links between direct anthropogenic  
31 pressures, socioeconomic settings and the coverage of vertebrate taxa by China's  
32 protected area network, and indicated that high socioeconomic status and low levels  
33 of human pressure correlate with high species coverage, with Threatened mammals  
34 more effectively conserved than reptiles or amphibians. Positive links between  
35 conservation outcomes and socioeconomic progress appear linked to local livelihood  
36 improvements triggering positive perceptions of local protected areas– aided further  
37 by ecological compensation and tourism schemes introduced in wealthy areas and  
38 reinforced by continued positive conservation outcomes. Socioeconomic  
39 development of China's less developed regions might assist regional protected area  
40 efficiency and achievement of the Kunming-Montreal Global Biodiversity  
41 Framework, while also addressing potential shortcomings from an insufficient past  
42 focus on socioeconomic impacts for biodiversity conservation.

43 **Key words: Biodiversity, 'ecological civilization', human activities, nature**  
44 **reserve, sustainable development**

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49 **1. Introduction**

50 Protected Areas (PAs) are crucial elements of global biodiversity conservation  
51 that protect important habitats and species (Timmers et al., 2022; Visconti et al.,  
52 2019). Covering ~15.9% of the earth's land area, the PA has increased rapidly in  
53 response to Aichi Target 11 benchmarks (Geldmann et al., 2019). This growth focus  
54 has arguably partly superseded the focus on targeted designation of areas of  
55 particular importance for biodiversity and threatened species. Simultaneously, the  
56 conservation effectiveness of PAs has been increasingly questioned (Jonas  
57 Geldmann et al., 2015; Maxwell et al., 2020; Watson et al., 2014), with regards to PA  
58 management (Kearney et al., 2018), and the conservation efficiency for habitats and  
59 species (Schulze et al., 2018). For example, iconic conservation flagship species like  
60 rhinoceros or large carnivores experience population declines even in strictly  
61 protected nature reserves (IUCN Category Ia) (Craigie et al., 2010). Furthermore,  
62 famous PAs are often still reporting significant ecological degradation (Rija et al.,  
63 2013). PA performance, referring to the actual results of conservation efforts within  
64 protected areas, such as the number of species sustained or the increase in population  
65 sizes of threatened species, may be impeded by insufficient financial and staff  
66 resources (Lindsey et al., 2017), ineffective law enforcement and weak resource  
67 management (Chowdhury et al., 2022). Conflicts also arise from protected species  
68 venturing from PAs into adjacent agricultural areas or settlements, potentially  
69 causing significant economic damage and resulting in local opposition to the PAs'  
70 existence (Holmes, 2007; Clark et al., 2013).

71 Conservation efficiency, referring to the balance between the resources put into a  
72 conservation program or PA and the resulting conservation achievements or  
73 outcomes, depends on numerous factors beyond direct human interferences  
74 (Cumming & Allen, 2017; Palomo et al., 2014). These include regional economic  
75 priorities, education standards and PA governance. Accordingly, large-scale regional  
76 socioeconomic development has been reported to benefit PA conservation efficiency  
77 (Oldekop et al., 2016; Palfrey et al., 2021), implying that countries with a high GDP  
78 or rapid economic growth are associated with superior conservation outcomes for  
79 rare and threatened species within their PAs networks.

80 China's PA network is central to national biodiversity and habitat conservation  
81 strategies (Sun et al., 2020), with national nature reserves being particularly  
82 important. Responding to past environmental degradation, China has aimed at  
83 biodiversity conservation through a rapid expansion of its PA (Figure 2a) that  
84 covers >18% of its total land area, with numerous relatively small PAs largely  
85 responsible for post-2008 increases in total PA numbers. Recently, a number of  
86 lower-level PAs were downsized or even degazetted, resulting in a slight recent  
87 decline in the total protected area (Li & Pimm, 2020), while China's economic  
88 growth model is transitioning from 'high-speed' to more targeted 'high-quality'  
89 growth since 2010 (Figure 2b) (Bryan et al., 2018). This setting, and the still vastly  
90 different state of local economies across China's provinces, allow for a great case  
91 study to explore potential links between socio-economic settings and conservation  
92 outcomes.

93 China's PA expansions coincided with population increases for a number of  
94 endangered taxa, including the giant panda (*Ailuropoda melanoleuca*), Siberian tiger  
95 (*Panthera tigris altaica*), and the formerly nationally extinct Amur leopard  
96 (*Panthera pardus orientalis*) (Council, 2016). Moving forward, it is critical to assess  
97 the species conservation effectiveness of China's PA network beyond key iconic  
98 species in light of the country's ongoing, rapid transformations in economic status,  
99 rapid urbanization and associated changing pressures on the natural environment, as  
100 the country also aims to transform towards a more sustainable 'ecological civilization'  
101 (Lu et al., 2019). The 'ecological civilization' concept refers to sustainable  
102 development approaches that balance economic expansion with environmental and  
103 natural resource protection, biodiversity conservation, climate change mitigation and  
104 a general reduction of pollution levels. A plethora of studies has focused on species  
105 conservation efficiency and protected area performance at small spatial scales,  
106 looking for example at individual threatened species in area-based population  
107 viability analyses (PVA) and at PA habitat quality and suitability for target species  
108 (Larson et al., 2004). Accordingly, recording species population dynamics in PAs is  
109 commonly used to assess PA conservation performance (Cazalis et al., 2020). Species  
110 distribution patterns and species richness within PAs have been studied at larger  
111 spatial scales, too (Chape et al., 2005; Guo et al., 2019). Here, low human disturbance  
112 levels in PAs generate positive conservation outcomes (Jones et al., 2018).

113 While relationships between species conservation outcomes within PAs and  
114 direct drivers like direct human interferences and climate-related factors have

115 enjoyed substantial attention, impacts of more drivers acting more indirectly, like  
116 socio-economic factors remain less well understood. To fill this gap, we now link the  
117 distribution of China's threatened vertebrate taxa to the country's rapid, spatially  
118 highly uneven economic development— as a case study to test the hypotheses that 1)  
119 China's existing PA network effectively protects the distribution ranges of species  
120 categorized as Threatened according to IUCN Red Lists, 2) the conservation  
121 effectiveness of PAs for Threatened species is lower in areas experiencing a high  
122 human impact, characterized by 13 anthropogenic stressors (Theobald et al., 2020),  
123 and 3) the conservation effectiveness of PAs is positively linked to regional economic  
124 prosperity.

125

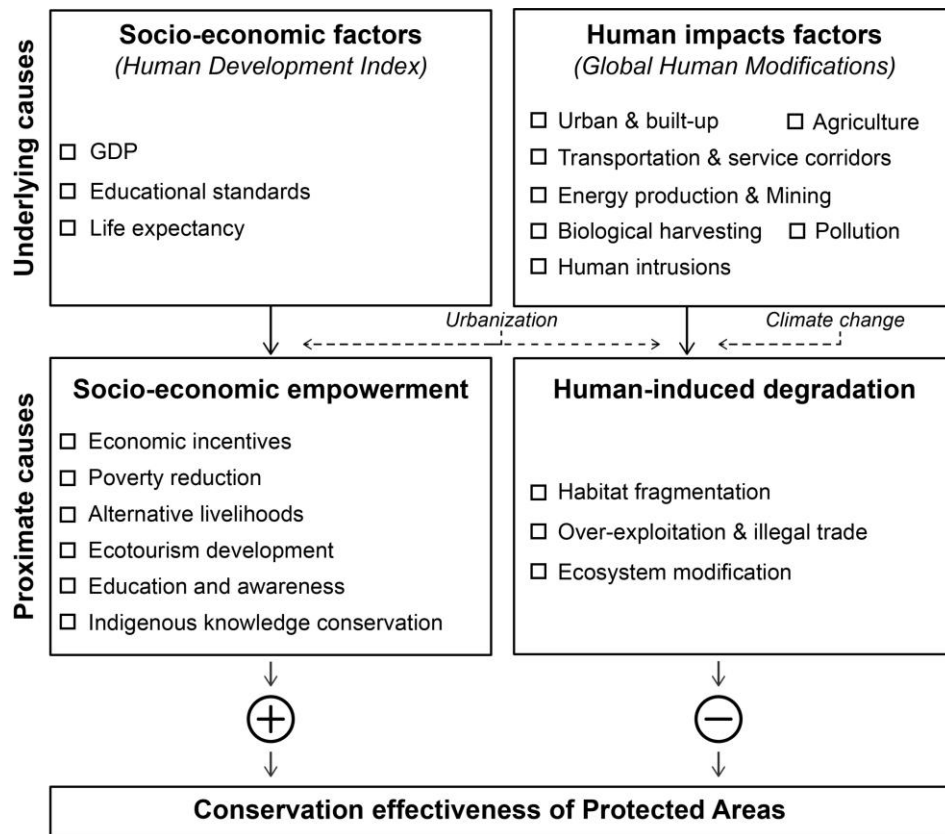
## 126 **2. Materials and Methods**

127 Based on these hypotheses, we modified the work of Geist & Lambin (2002) to  
128 create a conceptual framework that ties socioeconomic variables and 'human  
129 effects'- factors to the rise and decline of nature reserve conservation effectiveness,  
130 respectively (Figure 1). Socioeconomic factors we considered are combined the  
131 Human Development Index (HDI, Schmidt-Traub et al., 2017), including GDP,  
132 educational standards, and life expectancy. The potential causes for the assumed  
133 positive conservation outcomes related to the HDI include economic incentives,  
134 poverty reduction, alternative livelihoods, ecotourism development, increased  
135 environmental education and awareness, and the conservation of indigenous  
136 knowledge. Collectively, they represent socio-economic empowerment of

137 individuals and communities through socio-economic factors to achieve positive  
138 conservation outcomes, emphasizing not only the importance of the general  
139 socioeconomic context in conservation efforts, but demonstrating the potential for  
140 direct, coordinated conservation efforts with increasing investment in positive  
141 outputs.

142 Declines in biodiversity within nature reserves in turn are assumed to result from  
143 decreasing conservation efficacy as a result of direct anthropogenic interference. The  
144 ‘Global Human Modifications’ (GHM) dataset was utilized to depict specific  
145 elements such as built-up areas, agricultural, transportation and service corridors,  
146 infrastructure related to energy production and mining, biological harvesting,  
147 pollution and human intrusions. Within nature reserves, additional, proximate causes  
148 include habitat fragmentation, resource overexploitation and illegal trade, ecosystem  
149 alteration, and other human-induced degradation. We appreciate that variables such  
150 as climate change and urbanization substantially influence the state of nature reserves,  
151 too, but these were outside the scope of our research.

152



153 **Figure 1** Conceptual framework linking socioeconomic factors and human impact to  
 154 the conservation effectiveness of protected areas (modified from Geist & Lambin  
 155 (2002))

156

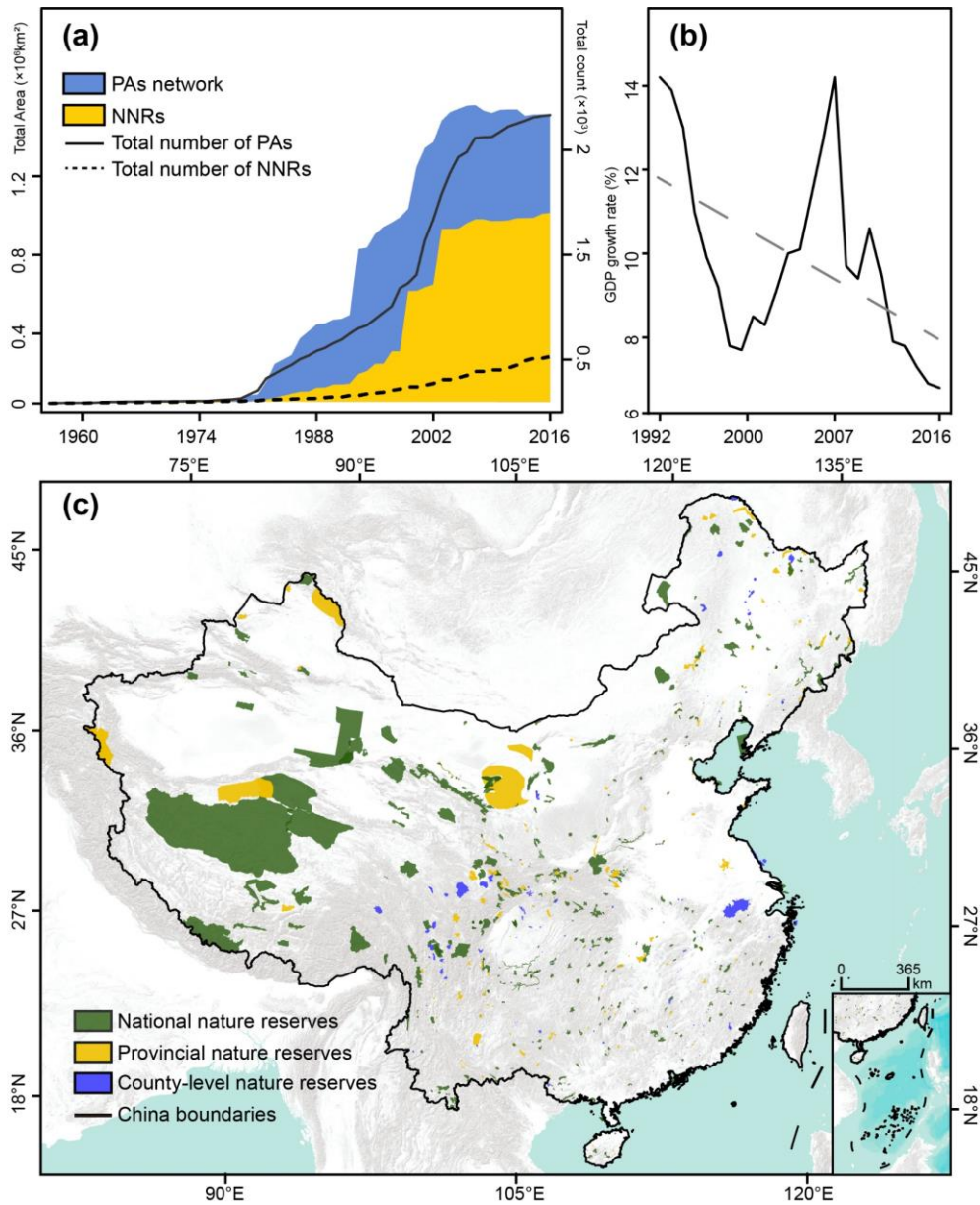
## 157 2.1 Study area

158 We focused our investigations on the 788 nature reserves where concrete spatial  
 159 information regarding their spatial context were readily available from WDPA  
 160 datasets, government agencies and institutions, or nature reserve websites. They  
 161 represented 322 national-, 235 provincial- and 231 county-level reserves (Figure 2c;  
 162 Figure S1). Of these, national nature reserves receive most funding. They also have  
 163 permanent staff and an independent administration. While in combination, the  
 164 selected reserves only represent 28% of China's total PA number, their combined



165 area accounts for ~70% of China's PA (Figure S1). The remaining nature reserves  
166 lack clear boundaries and/or extensive associated documentation, making them  
167 ineligible for consideration in this paper. About half of the nature reserves (n=389)  
168 we selected overlapped with China's critical biodiversity areas and key national  
169 ecoregions. For more than two thirds (n=263) of these, the overlap exceeded 80%  
170 (Figure S2 in the Supporting Information). Given the areal coverage of the selected  
171 nature reserves and the good representation of China's important ecoregions, we  
172 believe that they form a representative sample for all PAs in China.

173



174

175 **Figure 2** China's Protected Area and national nature reserve coverage and number (a),

176 China's GDP growth rate (b) and distribution of the 788 considered nature reserves on

177 a 1:1,000,000-scale (c). Statistical data in (a) and (b) were obtained from Wang et al

178 (2010) and the National Bureau of Statistics, respectively. Note: map lines delineate

179 study areas and do not necessarily depict accepted national boundaries

180

181 **2.2 IUCN Red List species and distribution ranges**

182        Extent of Occurrence (EOO) and Area of Occupancy (AOO) are two vital  
183 parameters in the IUCN red list. Here, we chiefly focused on the EOO of the  
184 respective species reviewed in the IUCN red list, which is advantageous for scientific  
185 research due to its comprehensive coverage of numerous species, standardized  
186 criteria and categories for assessments that ensure consistency and transparency,  
187 detailed information on species' characteristics and conservation needs, as well as  
188 regular updates based on new data and expert input (IUCN, 2022), while we present  
189 the estimated AOO of all Critically Endangered species in the Supporting  
190 Information. EOO is the area contained within the shortest continuous imaginary  
191 boundary which can be drawn to encompass all the known, inferred or projected sites  
192 of present occurrence of a taxon (Brooks et al., 2019). To evaluate the EOO of the  
193 species evaluated in the IUCN red list, we established the overlap between China's  
194 PA network and the distribution of its red-listed species, and we employed the 'Spatial  
195 Data Download' option ([https://www.iucnredlist.org/resources/spatial-data-](https://www.iucnredlist.org/resources/spatial-data-download)  
196 [download](https://www.iucnredlist.org/resources/spatial-data-download)) to obtain shape files and point data representing the distribution of the  
197 respective species. Given data access and data quality concerns, we concentrated our  
198 research on mammals, amphibians, and reptiles. The scarcity of IUCN red list  
199 assessments for terrestrial invertebrates unfortunately disallowed their inclusion in  
200 our study – while acknowledging that the huge prevailing data gaps for mega-diverse  
201 invertebrate taxa require urgent attention to inform effective holistic conservation  
202 strategies (Zhu et al., 2021).

203

### 204 **2.3 Species conservation effectiveness**

205 We defined ‘conservation effectiveness’ of national nature reserves, provincial  
206 nature reserves and county-level nature reserves by evaluating how many  
207 distribution ranges of endangered species of mammals, amphibians and reptiles were  
208 covered by PAs. On the one hand, such measures of species richness represent a  
209 highly informative conservation goal easily understood by the general public and  
210 policy stakeholders. This measure is also intricately connected to a wide range of  
211 environmental factors, including climate change, alien species invasions, pollution,  
212 land-use changes and associated habitat degradation and loss, and the over-  
213 exploitation of natural resources, with the latter direct drivers often linked to  
214 socioeconomic factors such as poverty and a lack of education. On the other hand,  
215 this measure is fundamental for the evaluation of ‘conservation efficacy’, which in  
216 our study refers to the degree to which conservation efforts have been successful in  
217 increasing the number of species in one/one type of specific nature reserve. This in  
218 turn allowed us to link socioeconomic and other factors to the conservation status of  
219 nature reserves.

220 We further differentiated distribution ranges of the three taxonomic groups by  
221 IUCN Red List categories of Critically Endangered (CR), Endangered (EN),  
222 Vulnerable (VU), Near Threatened (NT), and Least Concern (LC) (additional details  
223 of IUCN Red List are given in Supporting Information 2.1), determining the  
224 proportions of respective species covered by overlaying the PA map with individual  
225 species’ distribution maps. Species classified as either Critically Endangered,

226 Endangered, or Vulnerable will in the following be referred to as Threatened. We  
227 subsequently also mapped the nature reserves' species richness for Threatened, Near  
228 Threatened (NT), and Least Concern (LC) -species. Finally, we assessed the species  
229 cover across the entire PA network across all threat categories.

230

#### 231 **2.4 Evaluation of human impact and socioeconomic status**

232 We specifically analyzed conservation efficiency of the PA network in view of  
233 socio-economic and other anthropogenic factors. We employed two measures - one  
234 characterizing human impact intensity and one describing the socioeconomic status  
235 (Additional details are given in Supporting Information 2.4). Human impacts were  
236 quantified using the Global Human Modification (GHM) approach that combines the  
237 13 anthropogenic stressors human population density, % of built-up area and  
238 cropland, livestock numbers, major and minor roads, two-track roads, rail lines,  
239 mines, oil wells, wind parks, power lines, number of night-time lights and their  
240 approximate combined intensity (Theobald et al., 2020). The GHM dataset at a 1 km<sup>2</sup>  
241 resolution following Kennedy et al. (2019), is a metric that varies between 0 (no  
242 pressure) and 1 (extreme pressure) to characterize the combined degree to which the  
243 environment has been modified and experiences human modification pressures  
244 (Riggio et al., 2020). We used an average GHM value across each PA to reflect  
245 human impact, utilizing zonal statistics on each of the explicit nature reserves  
246 boundaries. To characterize and quantify the socioeconomic status, we used the  
247 Human Development Index (HDI) that measures regional development across three

248 dimensions: GDP, educational standards, and life expectancy. Official HDI datasets  
249 only provide information about socioeconomic status at the national or provincial  
250 level, which meant that all regions within a province were allocated the same HDI,  
251 resulting in a less precise socioeconomic status at the regional level. We, therefore,  
252 calculated a Revised HDI (RHDI), using data from local government papers,  
253 statistical yearbooks and the Nation Bureau of Statistics in order to better reflect the  
254 socioeconomic situation of regions where nature reserves are located, in other words,  
255 we attempted to downscale the resolution of the RHDI to reflect the actual, local  
256 socioeconomic conditions. Specifically, if a nature reserve was located within the  
257 footprint of a county, the resulting data for the three HDI dimensions was also at  
258 county level-resolution.

259 We then used Generalized Additive Models (GAMs) to determine the trends  
260 between human impact, socioeconomic status and the conservation effectiveness of  
261 nature reserves. To better explore links between conservation outcomes and RHDI,  
262 we split the 788 nature reserves into two groups based on the average RHDI value,  
263 and then employed GAM to show the links of socioeconomic status of overall nature  
264 reserves and corresponding species richness of Threatened species, Near Threatened  
265 species and Least Concern species, which was further differentiated by national  
266 nature reserves, provincial nature reserves and county-level nature reserves. We  
267 tested the normality of species richness of the two groups to determine whether t-  
268 tests or nonparametric tests (Mann-Whitney test) should be used.

269

## 270 **2.6 Human impacts and age of nature reserves**

271 Looking at the temporal dimensions of nature reserve establishment, potential  
272 biases might exist for example in initial establishments occurring in areas that are  
273 already sparsely populated and where societal ‘establishment costs’ are hence low.  
274 Alternatively, nature reserves might initially have been established in regions where  
275 species faced the greatest threats from anthropogenic pressures, which likely would  
276 result in them being established either in highly populated or relatively poor areas,  
277 instead. Either way, the temporal patterns of establishment might coincide and interact  
278 with socio-economic factors that we are targeting in this study. A Regression  
279 Discontinuity Design (RDD) is a pretest-posttest approach to estimate causal effects of  
280 treatment in a non-experimental setting by determining if an observed "forcing"  
281 variable exceeds a significance threshold in its influence (Lee & Lemieux, 2010). It  
282 focuses on the discontinuity at a pre-determined cutting off-point, observable by the  
283 direction and magnitude of potential discontinuities (jumps) of lines between two sides  
284 of the cutting-point. Any statistically significant discontinuity indicates a significant  
285 causal effect of the treatment (Yang et al., 2020). Thus, RDD can examine if there are  
286 significant relationships between human impacts and the age of nature reserves,  
287 reflecting any of the potential biases in nature reserve establishment.

288 Establishment years of nature reserves considered here ranged from 1972 to 2012,  
289 with the median year of 1992 set as the cutoff point for our RDD analysis. Nature  
290 reserves created prior to 1992 were classified as the control group, while those created  
291 after 1992 were classified as treatment group. We effectively tested the hypothesis that

292 younger nature reserves were subjected to greater human impacts than older nature  
 293 reserves due to increased establishment costs over time, including land acquisition,  
 294 infrastructure development, ongoing management and maintenance, and opposition  
 295 from local communities, which may result in the establishment of protected areas in  
 296 more developed areas or those already heavily impacted by human activities. In this  
 297 analysis, the Global Human Modifications dataset represented human impact, and we  
 298 used the 'GAM' method in the 'rdrobust' package and the 'ggplot2' package in R to  
 299 calculate and visualize the RDD results.

300

### 301 **3. Results**

#### 302 **3.1 Conservation status**

303 The total of red-listed species under any threat category account for 13.6% of  
 304 all evaluated mammal, 26.2% of evaluated amphibian and 11.7% of evaluated reptile  
 305 species (Table 1).

306

307 **Table 1** – Conservation status of evaluated species from the selected vertebrate taxa  
 308 in China

IUCN category	CR	EN	VU	NT	LC	DD	EW	Total	% Threatened
Mammals	10	40	41	38	474	67	1	671	13.6%
Amphibians	6	30	41	16	165	36	-	294	26.2%
Reptiles	9	15	15	7	251	37	-	334	11.7%



309 Notes: CR- Critically Endangered; EN- Endangered; VU- Vulnerable; NT- near Threatened;

310 LC- Least Concern; DD- Data Deficient; EW- Extinct in the Wild.

311

312 With regards to the inclusion of Threatened species' known distribution ranges'  
313 inclusion in China's most important PAs for biodiversity conservation, the picture  
314 varies greatly between different taxa. The distribution ranges of all 10 Critically  
315 Endangered (100%), of 39 Endangered (97.5%) and 37 Vulnerable (90.2%) mammal  
316 species are currently at least partly covered by the existing key nature reserves. This  
317 network also covers at least parts of the distribution range of 89.4% of all the IUCN-  
318 assessed Chinese mammal species (Table 2). In sharp contrast, the often extremely  
319 small distribution ranges of only 2 of the 6 Critically Endangered amphibian species  
320 (33.3%) are currently protected within the studied 788 nature reserves. The  
321 proportions look somewhat better for the amphibian species listed under the lower  
322 threat status, with the ranges of 19 (63.3%) Endangered and 30 (73.2%) Vulnerable  
323 amphibian species at least partly covered by the nature reserves network – while 66%  
324 of all amphibian species' distribution ranges covered by the PA network (Table 2).  
325 Reptiles occupy an intermediate position between mammals and amphibians, with  
326 the known distribution ranges of 6 of the 9 Critically Endangered (66.7%), 9 of 18  
327 Endangered (50%) and 10 of the 15 Vulnerable reptile species (66.7%) at least partly  
328 covered by the investigated PA network – while 80.2% of the total assessed reptile  
329 species were covered by China's network of key nature reserves (Table 2).

330

331 **Table 2** – Number and proportion of assessed species – differentiated by IUCN  
 332 threat status categories – whose distribution ranges are at least partly covered by  
 333 China’s studied protected area network.

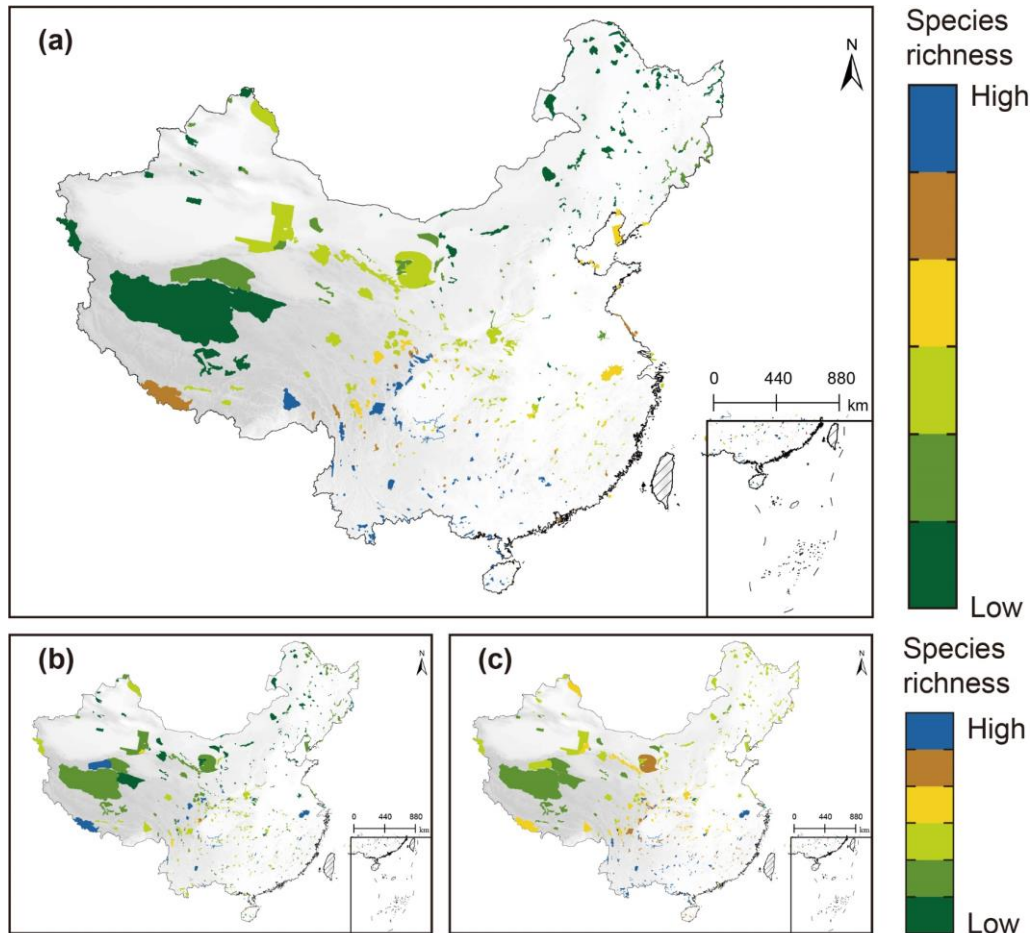
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IUCN category	CR	EN	VU	NT	LC	DD	EW	Total
Mammals	10	39	37	34	434	45	-	600
(% of species)	(100)	(97.5)	(90.2)	(89.5)	(91.6)	(67.2)	(0)	(89.4)
Amphibians	2	19	30	12	113	18	-	194
(% of species)	(33.3)	(63.3)	(73.2)	(75.0)	(68.5)	(50.0)	-	(66.0)
Reptiles	6	9	10	6	213	24	-	268
(% of species)	(66.7)	(60.0)	(66.7)	(85.7)	(84.9)	(64.9)	-	(80.2)

335 Notes: CR- Critically Endangered; EN- Endangered; VU- Vulnerable; NT- near Threatened;  
 336 LC- Least Concern; DD- Data Deficient; EW- Extinct in the Wild.

337

338 Threatened mammals, reptiles, and amphibians are highly concentrated in the  
 339 south and southwest of China’s nature reserves network (Figure 3a). Near Threatened  
 340 mammals, reptiles, and amphibians also showed a high concentration in  
 341 southwestern China’s nature reserves, with the diversity of these species contained  
 342 in these areas generally decreasing from south to north (Figure 3b). The richness  
 343 patterns for Least Concern species again closely resembles that of Threatened species,  
 344 with a clear pattern of greatest species richness in the western sections of southern  
 345 China (Figure 3c).



347

348 **Figure 3** Species richness within nature reserves in China. (a) Threatened species  
 349 richness (b) Near Threatened species (c) Least Concern species richness

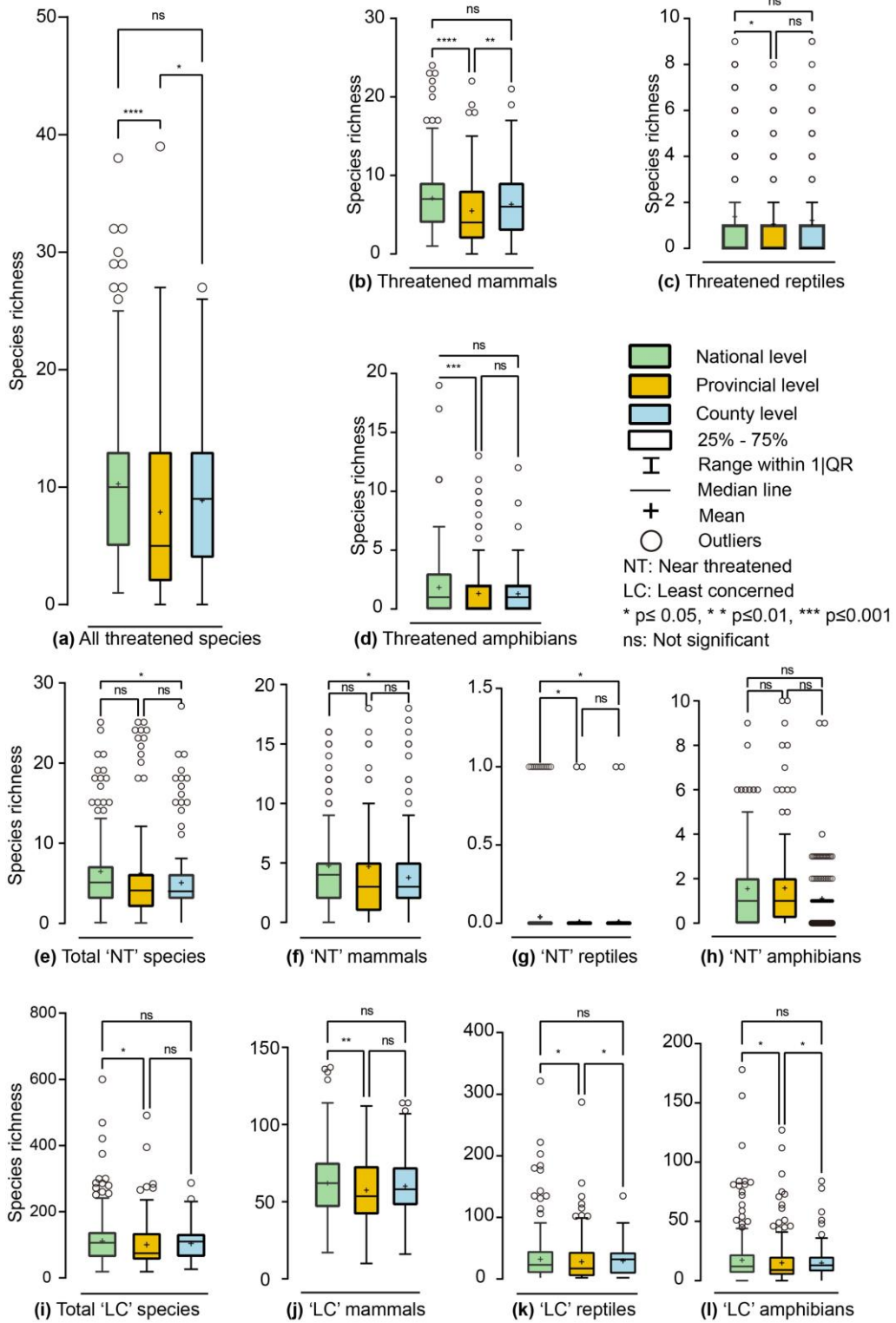
350

351 An additional analysis of the general species richness- nature reserves area  
 352 relationship for all species showed no significant correlation, which are given in  
 353 Supporting Information 2.5. Species' cover across the different nature reserves levels  
 354 - national nature reserves, provincial nature reserves, and county-level nature  
 355 reserves, indicated that for all Threatened species (Figure 4a), both national nature  
 356 reserves and county-level nature reserves contained a greater species richness than  
 357 provincial nature reserves, but, similar to patterns in Threatened mammals (Figure

358 4b), differences in species richness were minor. For Threatened reptiles and  
359 amphibians, national nature reserves performed better than provincial nature reserves  
360 and county-level nature reserves in protecting species (Figure 4c; Figure 4d), while  
361 provincial nature reserves and county-level nature reserves performed similarly well.

362 For -Near Threatened (NT) species, (Figure 4e), national nature reserves  
363 conserved more species than county-level nature reserves, but showed a similar  
364 conservation performance to provincial nature reserves. This trend was visible in  
365 species overall and in mammals (Figure 4f). For 'NT' reptiles, national nature  
366 reserves performed better than provincial nature reserves and county-level nature  
367 reserves, with little differentiation between these latter two categories (Figure 4g).  
368 The species richness of 'NT' amphibians (Figure 4h) was not significantly  
369 differentiated by the nature reserve level. Finally, for 'LC' species overall as well as  
370 for 'LC' mammals, no significant differences were again observed between the three  
371 types of NRs (Figure 4i; Figure 4j). 'LC' reptiles and 'LC' amphibians (Figure 4k;  
372 Figure 4l) in contrast showed a clear trend, with national nature reserves and county-  
373 level nature reserves outperforming provincial nature reserves.

374



375

376

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379

**Figure 4** Conservation outcomes of national nature reserves, provincial nature reserves and county-level nature reserves for three taxa based on IUCN Red List Categories.

### 380 **3.2 Relationship between species and socio-economic factors**

381 Nature reserves characterized by high RHDI values showed significantly better  
382 conservation outcomes than reserves with a low RHDI (Figure 5). Nature reserves  
383 with a high RHDI conserved more Threatened species (Figure 5a) than nature  
384 reserves with a low RHDI, a pattern that was particularly pronounced for RHDI  
385 values below a threshold of 0.7, with this trend being persistent across national nature  
386 reserves and county-level nature reserves (Figure 5d; Figure 5f), while the similar  
387 trend in provincial nature reserves was not statistically significant (Figure 5e). The  
388 value of 0.7 was generally observed as a turning point in many trends, and was hence  
389 used to differentiate low from high value nature reserves.

390 Nature reserves with a low RHDI in this context showed superior conservation  
391 outcomes for Near Threatened species (Figure 5b). These nature reserves generally  
392 conserved a greater number of 'NT' species than those with a high RHDI. This pattern  
393 was replicated for provincial nature reserves (Figure 5h), while no significant  
394 differences in conservation outcomes were found for national nature reserves and  
395 county-level nature reserves (Figure 5g; Figure 5i).

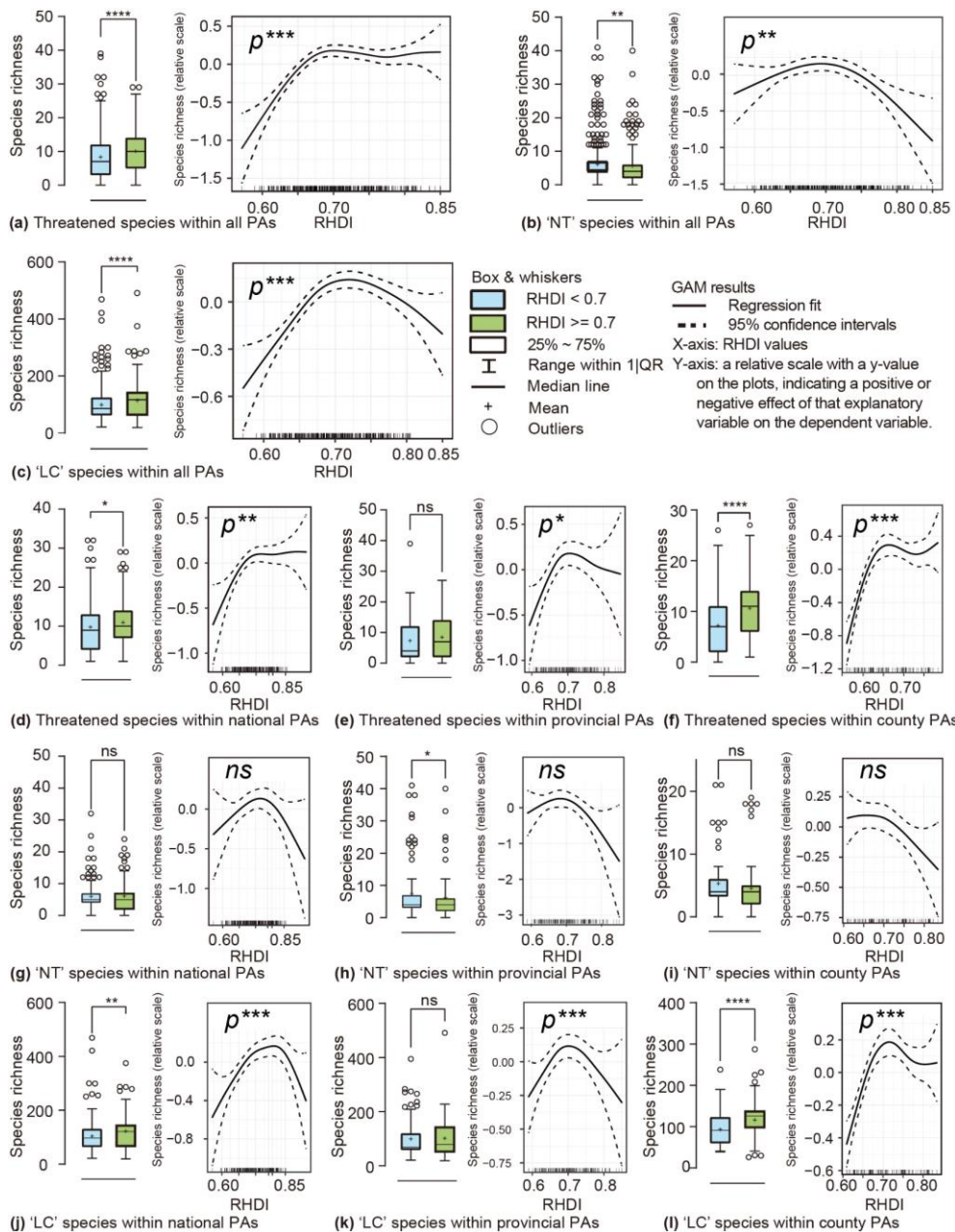
396 Nature reserves with a high RHDI in contrast showed superior conservation  
397 outcomes for species classed as Least Concern (Figure 5c). Nature reserves with a  
398 high RHDI therefore conserved a greater number of 'LC' species than those with a  
399 low RHDI. This situation was again present for both, national nature reserves and  
400 county-level nature reserves (Figure 5j; Figure 5l), while no significant differences  
401 were observed for provincial nature reserves with a low and high RHDI value (Figure

402 5k).

403 P-values of smooth terms for ‘NT’ species in the GAM models for national,  
404 provincial, and county-level nature reserves all exceeded 0.05 (see Table S1 in  
405 Supporting Information), indicating non-significant patterns in non-linear  
406 relationship between economic factors and species richness, and that an increase in  
407 the RHDI may not significantly impact conservation outcomes for ‘NT’ species in  
408 comparison to the other threat category groups. In contrast, the  $R^2$  values of ‘LC’  
409 species found in county- and provincial-level nature reserves were extremely high in  
410 comparison to other groups, suggesting that the effects of RHDI are specifically  
411 strong for ‘LC’ species encountered in lower-level nature reserves.

412

413



414 **Figure 5** Relationships between conservation outcomes (Threatened, Near  
 415 Threatened and Least Concern species richness) and various nature reserves'  
 416 socioeconomic status (RHDIs within nature reserves) ('0'; 0.001-'\*\*\*'; 0.01-'\*\*';  
 417 0.05-'\*'; ns-not significant)

### 419 3.3 Relationship between species and human impact factors

420 For all species, nature reserves with a high human effect showed worse

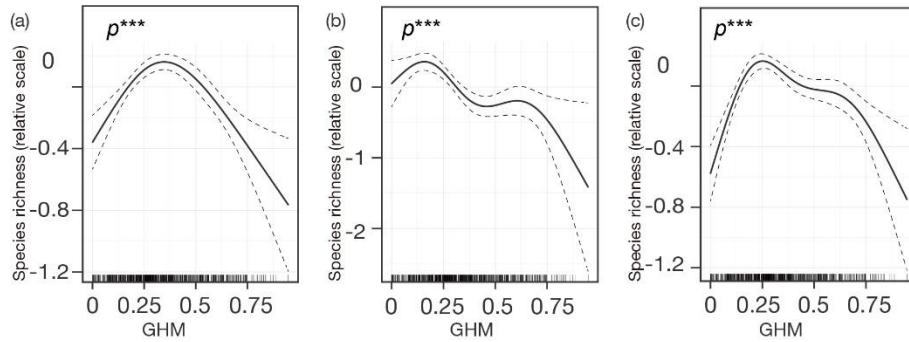


421 conservation results than nature reserves experiencing a low human impact, and this  
422 trend was more pronounced within nature reserves with increasing GHM (Figure 6).  
423 More specifically, nature reserves' conservation outcomes for Threatened species  
424 (Figure 6a) grew with increasing GHM values between 0 and 0.3, but when GHM  
425 values surpassed 0.3, a contrasting pattern of diminishing species' coverage emerged,  
426 which became more pronounced with increasing GHM.

427 GAM results indicated slight fluctuations in the relationship between relative  
428 scale of species richness and GHM for Near Threatened species, while nature  
429 reserves with low GHM showed the best conservation outcomes (Figure 6b). For  
430 nature reserves with GHM values ranging from 0 to 0.2 conservation outcome  
431 increased with GHM, but once GHM values exceeded 0.2, a negative trend was  
432 observed, though there was a minor increasing conservation outcome with GHM  
433 values between 0.5-0.62.

434 The trend for species of Least Concern (Figure 6c), patterns resembled the  
435 increasing-decreasing trends in Threatened species, while nature reserves with GHM  
436 values ranging from 0-0.25 showed a positive relationship, and nature reserves with  
437 GHM values  $>0.25$  a negative correlation between GHM value and conservation  
438 outcome.

439



440

441 **Figure 6** Relationships between conservation outcomes (a) Threatened species;(b)  
 442 Near Threatened species; (c) Least Concern species. X-axes designates average  
 443 Global Human Modification values and Y-axes represents relative species richness  
 444 values, indicating a positive or negative effect of that explanatory variable on the  
 445 dependent variable.

446

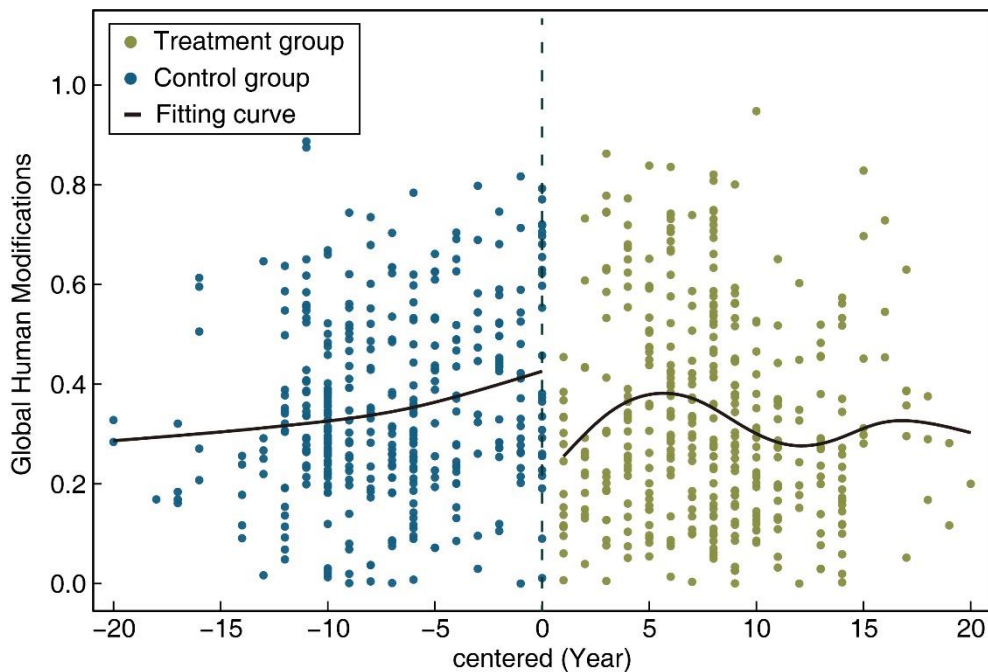
### 447 3.4 Nature reserve age and human impact

448 The treatment group (nature reserves created after 1992) and the dependent  
 449 variable (global human modifications) showed a significant negative relationship (see  
 450 Table S3 in Supporting Information) . The estimate for the treatment group was -0.226  
 451 (standard error = 0.060), with a p-value < 0.001, indicating, in direct contradiction to  
 452 our expectations, that nature reserves established after 1992 were characterized by  
 453 lower levels of human modifications than those established prior to 1992.

454 The GAM model contained a smooth term for the centered variable indicating a  
 455 non-linear relationship between the centered variable and human modifications (Fig. 7).  
 456 The edf, ref.df, and F-values indicate that this relationship is statistically significant  
 457 (p=0.001). This suggests that there is a non-linear relationship between the year of  
 458 establishment of nature reserves and GHM that cannot be captured by the parametric

459 term alone. Similarities between edf and ref.df values furthermore indicate that the  
460 smooth term did not overfit the data (see Table S3 in Supporting Information). The  
461 overall model had a low  $R^2$ -value of 0.046 and only explains 5.7% of the variations in  
462 the global human modification values of the nature reserves.

463



464 **Figure 7** Regression discontinuity plot of the effects of age on the Global Human  
465 Modification values of investigated nature reserves. The centered variables on the x  
466 axis were calculated by subtracting the actual year of NR establishment from 1992.

467

#### 468 **4. Discussion**

469 Since 1956, China's PA network has rapidly increased and in 2020 covered ~ 18%  
470 of the total land area (Li & Pimm, 2020). The rapid expansion of China's PA network,  
471 combined with the enforcement of relevant legislation, is linked to favorable  
472 conservation outcomes for some iconic mammal species like giant pandas or Pere

473 David's deer (Li & Pimm, 2016; Zhang et al., 2021). For less charismatic reptile, and  
474 especially amphibian species with their commonly very limited distribution ranges,  
475 general trends appear much less favorable (Fey et al., 2015), also reflected by their  
476 much lower cover within the investigated nature reserves network. This pattern  
477 indicates that additional PA designations, or other, more holistic species conservation  
478 plans conserving for example entire mixed natural-cultural landscapes, are urgently  
479 required to secure their future survival. It is crucial to note, too, that the simple  
480 intersection of nature reserves with species' distribution ranges does not guarantee  
481 the effective protection of the respective species (Rodrigues et al., 2004). Instead,  
482 the actual size of nature reserves and of the populations of Threatened species they  
483 contain, the state of protected ecosystems, nature reserves' governance systems and  
484 associated sustained financial support of reserves, as well as the potential persistence  
485 of key threats causing original species' declines, strongly determine conservation  
486 outcomes (Campos-Silva et al., 2021; Geldmann et al., 2015; Watson et al., 2014),  
487 too.

488 Nature reserves currently planned or under construction are typically designated  
489 to protect a small set of specific species or a specific taxonomic group (Bohorquez  
490 et al., 2021). Nonetheless, as China increasingly puts a focus on the conservation of  
491 'CR' species, our results indicate that some nature reserves designated, accordingly,  
492 may also serve the protection of a wider range of Threatened species. One example  
493 is provided by nature reserves in Guangxi designated to protecting gibbons  
494 (*Nomascus nasutus*). These receive direct funding from central and local

495 governments, and are conserving not only two ‘CR’-categorized species, but more  
496 than twenty Threatened species, overall. Focusing on EOO results, it was in contrast  
497 surprising to discover that the proportions of overlap between ‘NT’ species and  
498 nature reserves among mammals, reptiles, and amphibians were relatively low,  
499 indicating that these species may have received limited attention, leaving them  
500 potentially exposed to risks pushing them into a Threatened state. Moving forward,  
501 a multi-taxon approach targeting areas of maximum distribution range-overlap in  
502 Threatened, and potentially also ‘NT’ species not already covered well in the existing  
503 PA network in our view would be a very effective approach, accompanied by the  
504 establishment of top-down protection strategies and the accurate recognition and  
505 monitoring on targeted species (implications on multi-taxon approach for effective  
506 conservation are given in Supporting Information 2.6). It furthermore should be  
507 noted that China’s nature reserves established in recent decades were not primarily  
508 located in regions with high human impact that may have resulted in strong losses of  
509 local biodiversity. Instead, new nature reserves were designated in areas of low  
510 human impacts compared to established reserves, potentially indicating that the  
511 implementation of area-based conservation measures was increasingly based on  
512 targeted species and overall biodiversity conservation.

513 Species richness is clearly influenced by linked effects of habitat requirements and  
514 human pressures on protected areas, with species for example adapted to alpine  
515 environments commonly thriving in nature reserves located in Qinghai and Xinjiang  
516 Provinces, where human impact, but also levels of development and wealth, are all

517 generally low. For the majority of taxa in China, Southern and Southeastern Provinces,  
518 and in particular Yunnan, represent biodiversity hotspots, reflecting the abundance of  
519 resources and highly favorable subtropical/tropical climatic conditions (Yang et al.,  
520 2015). These general trends are paralleled by the high species richness across all threat  
521 categories in the respective nature reserves.

522 Since the establishment of the ‘Law on the Protection of Wildlife’ (hereafter  
523 ‘wildlife law’), the threat levels faced by many listed species appears to have  
524 decreased, with their populations stabilizing or even increasing, as their habitats  
525 within nature reserves have remained relatively intact (Huang et al., 2021). However,  
526 with China’s move toward an ‘ecological civilization’, certain flaws are becoming  
527 apparent. These include the narrow focus on red-listed species and associated,  
528 restrictive definitions of rare and Endangered species that widely disregard the vast  
529 majority of biodiversity taken up by invertebrate taxa. Many species excluded from  
530 red lists are hence still facing a significant risk of extinction, particularly where their  
531 distribution ranges are poorly aligned to that of charismatic species like giant pandas  
532 that absorb vast proportions of conservation attention and funding. Taxonomic  
533 ambiguities are also a key problem, resulting in insufficient protection due to  
534 conservation professionals’ inability to identify species, or an insufficient  
535 appreciation of the genetic diversity in species complexes that might result in species  
536 within such groups going extinct before their status as independent species has been  
537 established, as exemplified by giant salamanders (McCartney-Melstad & Shaffer,  
538 2015; Turvey et al., 2018). Some challenges were addressed in the 2016 revisions of

539 the wildlife law that applies a ‘conservation first’ principle to wild animals, while  
540 also aiming to increase public awareness of the need of conservation and advancing  
541 ‘ecological civilization’-principles. The amended law emphasizes the importance of  
542 protected areas for wildlife protection, and any human disturbances potentially  
543 jeopardizing the survival of wild animals are strongly regulated within protected  
544 areas (Koh et al., 2021). Over the last two decades, additional legislation governing  
545 wildlife, biosecurity, and environmental protection have further aided the  
546 conservation of China’s biodiversity (Fang et al., 2022). This legislation helps  
547 limiting the introduction and spread of alien species in nature reserves and supports  
548 the preservation of important habitats. This has led to further increases in population  
549 of charismatic species, and more generally in local biodiversity across China’s nature  
550 reserve network, in turn enabling nature reserves to increasingly play the anticipated,  
551 significant role in overall biodiversity conservation (Zhang et al., 2017).

552 Clear criteria for the establishment and management of nature reserves have  
553 further increased their effectiveness, but with high-level national and local, county-  
554 level NRs outperforming provincial-level NRs. The large size and clear boundaries  
555 of national nature reserves greatly aid their coherent management. Their high public  
556 profile also facilitates a lot of direct funding and surveillance from provincial and  
557 central governments, resulting in comparatively low levels of illegal activities (Song  
558 et al., 2020). In contrast, we believe the interest of local people in local biodiversity  
559 and their ecological knowledge may significantly contribute to the comparatively  
560 high conservation outcomes of county-level nature reserves. The reasons of county-

561 level nature reserves' high conservation outcomes over provincial nature reserves  
562 are given in Supporting Information 2.7.

563 In addition to lacking the prestige and funding of national nature reserves and the  
564 local community links associated with many county-level nature reserves, the  
565 relatively low observed conservation efficiency of provincial nature reserves can  
566 additionally be linked to land ownership disputes and unclear, or ambiguous,  
567 conservation objectives that result from regional socioeconomic development  
568 disparities. Provincial nature reserves with boundaries spanning two or more  
569 provinces are traditionally managed by a plethora of different ministries and agencies,  
570 each potentially setting unique targets and applying distinct management standards  
571 for the PA area within their authority, resulting in poor or even conflicting  
572 conservation practices (Cao et al.,2013). Additionally, some provinces might  
573 prioritize economic development at the expense of the wider environment, resulting  
574 in neglected, poorly monitored and managed Provincial nature reserves. Collectively,  
575 these factors in our view might explain the relatively poor performance of provincial  
576 nature reserves reflected in our analysis.

577 The better performance of nature reserves in conserving mammals as compared to  
578 reptiles and especially amphibians might partly be explained by the easier  
579 identification of, and greater interest in mammals by non-specialists, which has aided  
580 advancement in wildlife legislation and assisted the timely establishment of the risk  
581 status and population trends of mammal species, in turn aiding the implementation  
582 of effective conservation measures and areas; however, this might lead to a bias



583 toward documenting the occurrence of other species (Etard et al., 2020). As a result,  
584 mammal poaching and illegal selling is also relatively easy to notice and sanction  
585 once appropriate dedicated legislation and administration are in place. Reptiles, and  
586 particularly amphibians, are furthermore highly vulnerable to natural disasters,  
587 climate change (Brown et al., 2015) and, particularly in the case of amphibians,  
588 disease (Marino et al., 2022; Shaffer et al., 2015), with low dispersal abilities of many  
589 amphibian species resulting in highly localized distribution patterns that further  
590 cause a low species richness of amphibians in many PAs.

591 Where direct human interferences are concerned, high levels generally impede PA  
592 conservation performances, with also aligns with Geldmann et al. (2018)'s  
593 observations. An increased human influence inside PAs commonly exacerbates  
594 habitat loss and degradation, and to the depletion of natural resources, while it  
595 commonly leads to formations of movement barriers by infrastructure like roads,  
596 culminating in declines both in genetic diversity and population numbers of species  
597 within the PAs. In response, PA management and surveillance efforts, initially  
598 focused on national nature reserves, have subsequently been expanded to Provincial  
599 nature reserves and county-level nature reserves, with the target of prohibiting  
600 poaching and illegal human encroachment in nature reserves.

601 In support of hypothesis 3, we found positive links between socioeconomic status  
602 and Threatened species coverage of nature reserves. Poor education, local  
603 infrastructure and high reliance on natural resources in economically poor regions  
604 could explain this trend. Some residents living within or adjacent to PAs particularly

605 in poor areas might hence opt for unsustainable natural resource uses, often resulting  
606 in greater overall poverty. In some nature reserves in areas with low socioeconomic  
607 growth in western China such as Tibet and Xinjiang Province, conservation  
608 outcomes in contrast were excellent, as locals had close relationships with nature and  
609 wildlife based on local traditional knowledge. In these areas, unsustainable use of  
610 natural resources and resulting an unfavorable conservation status seems chiefly  
611 linked to an influx of non-local populations lacking the local ecological-cultural  
612 understanding.

613 We see multifaceted processes linking socioeconomic factors to an enhanced  
614 conservation efficiency in protected areas. Enhanced investment, including central  
615 government funding, but also local and foreign funds, can be utilized to promote the  
616 establishment and management of PAs, but also associated activities like targeted  
617 conservation operations, research and monitoring, to ensure sustainable positive  
618 conservation outcomes.

619 Monetary factors also play a significant role in alleviating poverty among local  
620 communities residing within or near natural reserves, reducing pressures to extract  
621 natural resources from the protected areas. In the past, local residents have faced  
622 opportunity costs related to physical displacement or restricted access to their local  
623 natural resources. Resulting increased poverty risks and local hostility toward PAs  
624 can in these cases lead to refusals to collaborate in conservation. With economic  
625 advancements and associated local increases in sustainable, quality-focused  
626 production patterns, more stringent legal regulations have been successfully

627 implemented in China's more economically developed areas that, in addition to the  
628 decreased reliance of local populations on direct natural resource extractions, has  
629 decreased pressures on natural habitats. As a result, habitat fragmentation and  
630 anthropogenic pressures decreased, reducing the risk of species' extinctions.  
631 Economic growth also had a significant impact on the environmental education and  
632 associated awareness of local communities, helping them understand the importance  
633 of conservation and emphasizing the importance of a sustainable resource use,  
634 resulting in more responsible uses of natural resources and a stronger sense of  
635 ownership and stewardship for the environment. Regional economic growth has also  
636 ensured better financial assistance and ecological compensation to local people and  
637 communities, further improving PA conservation performances. These laid the  
638 foundation for ecotourism, which can profit local communities via for example  
639 entrance fees and guide services that can also be used in direct support of  
640 conservation efforts, from actual conservation initiatives, required personnel,  
641 infrastructure improvements and other aspects of protected area management.  
642 Moreover, by providing alternative livelihoods and opportunities for income  
643 generation, ecotourism can play a key role in alleviating poverty and improving  
644 living conditions, strengthening the overall appreciation and good-will towards local  
645 nature reserves.

646 China's nature reserves, which will reach a higher socioeconomic level with a  
647 RHDI of 0.7 appearing to be the current cutoff, have a high potential to gain from  
648 improved well-being outcomes, income generation, the preservation of regional

649 culture, the strengthening of local governance, land tenure, the protection of social  
650 rights, and improved access to natural resources (Campos-Silva et al., 2021). While  
651 nature reserves with a RHDI less than 0.7 had a high potential to profit from  
652 socioeconomic growth, as China's network of nature reserves became more  
653 socioeconomically developed, the threshold increased, resulting in a clearer  
654 relationship between socioeconomic growth and nature reserves' conservation of  
655 Threatened species. It is important to note, however, that 'LC' species showed a  
656 downward trend when RHDI exceeded values of  $\sim 0.7$ . This could be due to the  
657 relatively small species richness that some nature reserves conserved, or it could be  
658 that some regions reverted to unsustainable development practices, resulting in  
659 unfavorable land use changes, pollution, or the over-extraction of natural resources.  
660 Alternatively, or additionally, there might have been less overlap in the distribution  
661 ranges of taxa in the respective parts of our study area.

662 When looking forward, it is essential to also consider the interrelationships  
663 between global change, socioeconomic development, and the conservation  
664 effectiveness of nature reserves – highlighting the importance of holistic biodiversity  
665 conservation frameworks where area-based conservation efforts are complemented  
666 by landscape-scale approaches that also create migration opportunities for protected  
667 species allowing them to adapt their distribution ranges to altered climatic conditions.  
668 Urbanization furthermore is also regarded as one of the most significant global  
669 drivers of biodiversity loss – and often can be directly linked to increasing economic  
670 prosperity (Zhang et al., 2017). Urban expansions frequently result in the conversion

671 of natural habitats to anthropogenic land uses in the form of housing developments,  
672 roads and similar infrastructure, resulting in the fragmentation and isolation of  
673 remaining natural habitat areas (Song et al., 2020), which negatively impacts on the  
674 viability of remnant, isolated populations. This was compounded in Africa, where  
675 the conservation of PAs has remained a contentious issue, with the establishment of  
676 PAs resulting in the eviction of residents and fostering mistrust among nearby rural  
677 Africans. (Kinzig & McShane, 2015). In addition, poorly managed urbanization can  
678 lead to increases in pollution, a modification of local climates via urban heat island  
679 effects and associated changes in the water cycle that further exacerbate warming  
680 trends caused by climate change. These were fairly typical problems for PA  
681 performance over the world, particularly in large human growth centers. PAs in  
682 South Asia, for instance, confronted a wide range of anthropogenic threats, such as  
683 forest fires, waste disposal, and illegal logging, necessitating conservation efforts  
684 linked to socioeconomic considerations (Chowdhury et al., 2022). A similar situation  
685 was also observed in West Africa, where it was essential to combine socioeconomic  
686 factors with conservation strategies to protect natural vegetation against agricultural  
687 expansion (Schulte to Bühne et al., 2017). On the other hand, a declining rural  
688 population brought on by urbanization may give rise to the perception of less  
689 negative effects on nature and, consequently, more promising prospects for  
690 conservation and restoration (Sanderson et al., 2018). From a more upbeat viewpoint,  
691 increasing prosperity linked to urban centers could lead to more funding and  
692 infrastructure for biodiversity conservation, too - exploring types of protection that

693 better integrate local actors and stakeholders (Geldmann et al., 2019), supporting the  
694 management and protection of local nature reserves and species habitats, as well as  
695 better environmental education opportunities with the benefits outlined above.

696 Overall, China's PAs network expanded considerably following the country's  
697 joining of the CBD in 1992, while PAs have been expanded further not least in  
698 response to the SDGs (Sustainable Development Goals)' 14 and 15 criteria. China is  
699 now trying to address concerns associated with the original establishment of PAs,  
700 including ecological compensation schemes, management of resulting human-  
701 wildlife and land conflicts, while continuing to transition to a more sustainable  
702 economic development model and the deepening of the ecological civilization ethos  
703 amongst its population (Wu et al., 2019). In combination with the ongoing rural-  
704 urban migration, these developments will hopefully also decrease economic  
705 disparities within the country, and hence increase the conservation outcomes by  
706 decreasing direct anthropogenic pressures across China's PA network.

707 With the strong research focus on ecosystem services over the last few decades,  
708 the livelihoods and economic and cultural status of local communities living within  
709 and near nature reserves in general is receiving increased attention, in many cases  
710 positively affecting local citizens' attitudes toward PA establishment and  
711 conservation. Eventually, the aim has to be to create conditions that allow economic  
712 growth and conservation to progress in lockstep. Additionally, it seems imperative to  
713 strongly develop also more holistic conservation approaches supplementing area-  
714 based conservation that incorporate both cultural as well as natural habitats and their

715 management at large spatial scales, such as China's ecological 'Red Lines' in  
716 safeguarding China's vast biodiversity, environmental resources and ecosystem  
717 services (Sang & Axmacher, 2016), in turn providing more permeable landscapes  
718 that allow species to effectively respond to changes in climatic conditions, as well as  
719 other, local environmental and anthropogenic pressures.

720 China's PA network benefits conservation outcomes for Threatened mammal  
721 species, and, albeit to a lesser degree, also its Threatened reptiles and amphibians,  
722 with both high socioeconomic development and low levels of direct human influence  
723 improving conservation outcomes. This indicates that, once local populations do not  
724 need to extract large amounts of natural resources from their local environment, local  
725 conservation and socioeconomic development might be able to advance in lockstep.  
726 China's economic development is increasingly allowing local authorities to address  
727 livelihood concerns of residents within and in the vicinity of PAs, in turn significantly  
728 altering their attitudes toward these areas and conservation activities as ecological  
729 compensation and ecotourism initiatives are implemented. The Intergovernmental  
730 Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES) in 2019  
731 has called for a paradigm shift in the global economic system to address biodiversity  
732 loss (IPBES, 2019). In line with its 'ecological civilization' framework, China is  
733 approaching this challenge in trying to shift its economic patterns away from 'high-  
734 speed' quantity-focused toward sustainable and more quality-focused development  
735 patterns.

736

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742

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