

Emotion Trajectories in Smartphone Use: Towards recognizing emotion regulation in-the-wild

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Abstract

Emotion has long been acknowledged as an important part of technology user experience. More recently, research has begun to catalogue ways in which people use technology to manage and shape emotion. These have been characterised as emerging digital forms of a category of behaviour known to psychologists as emotion regulation. Since “digital emotion regulation” may impact wellbeing, it is important to explore ways of studying it; however most studies to date have used self-report data and it remains unknown whether this behaviour can be studied objectively. To address this gap, we present findings from a field study that measured how joy unfolds during everyday smartphone use. We built a custom Android application that uses the front-facing camera to register emotions from facial features of 20 individuals, collected over 14 days. Our analysis of 266,002 observations yielded striking non-random patterns, which we analyse as potential indicators of digital emotion regulation. This study is an important first step towards assessing how digital emotion regulation unfolds in naturalistic settings. Our findings have implications for the design of technology and in particular, interventions for psychological wellbeing.

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1. Introduction

Emotions are an integral part of human life, and occur as a set of subjective, behavioural, and physiological responses to challenges and opportunities experienced [1]. They often appear unforeseen, as a consequence of events that
5 originate outside of our control. In a new emerging research field at the intersection of Psychology and Human-Computer Interaction (HCI), *Digital Emotion Regulation* has indicated potential benefits of the availability and flexibility of smartphones in this regard. Wadley *et al.* [2] postulate that technology today offers a plethora of ways to respond to and alter affective states such as emotions.

10 Broadly speaking, emotion generation is the affective response that occurs when we evaluate external events, while Emotion Regulation is a second-order response that occurs when the experiencing person evaluates and tries to change an emotion because it interferes with current goals [3]. People have different innate motivations to regulate their emotions, such as hedonic, instrumental,
15 or social needs [4], as well as when emotions seem to be ill-matched to a given situation [1]. If an emotion is understood to be jeopardizing a goal, different strategies can be used to regulate the emotion according to the situation, and observe its success [3].

Previous work has already shown that smartphones are used to fulfill other
20 innate needs. For instance, in a 2015 analysis, Jones *et al.* [5] showed that the patterns of smartphone use bear strong resemblance to desktop website browsing in the pre-smartphone era. This indicates that humans seek information with whichever technology is available to them. Smartphones’ “anytime, anyplace” access to information has made them constant companions to humans [6].
25 Consequently, it would be surprising if they were not used to also proactively modify our emotions. In previous work, Sarsenbayeva *et al.* [7] show that smartphone use and emotional states correlate and that the causality of this effect is bidirectional. While this study yields that smartphone use leads to emotional

outcomes, the authors also demonstrate that emotions drive smartphone and
30 app use. In other words, smartphones are instruments that are used to generate
and shape our emotions. The study provides evidence for the existence and di-
rection of this effect but does not provide details of exactly how this behaviour
unfolds, and what kind of patterns and strategies users adopt.

Prior research has provided evidence for the use of smartphones for Emotion
35 Regulation, but this has usually relied on self-reports, *e.g.*, [8]. There are no
guidelines on how to automatically and objectively identify and quantify in-
stances and patterns of Digital Emotion Regulation on smartphones. Based on
this and the recent call to action in psychology [9, 10] to start using available
technology to study user behavior such as Emotion Regulation, we present an
40 exploratory study which analyses changes in users’ levels of expressions of joy
during smartphone usage. We analyse data collected over two weeks in-the-wild
from 20 participants. We developed an Android smartphone application that
uses the front-facing camera to estimate values of joy from the users’ facial ex-
pressions at 30Hz while the phone is unlocked. In our analysis, we identify how
45 individual expressions of joy fluctuate during smartphone use, and how these
patterns vary across participants and over time. Our work proposes a novel
methodology to detect patterns of emotional change within phone sessions in a
longitudinal in-the-wild study, contributes to understanding how smartphones
are potentially used to address our innate need for Emotion Regulation, and
50 shows that consumer technology is able to detect non-random emotion patterns
in naturalistic settings.

Beyond the short term effects, such as stress release [11], proactively chang-
ing ones emotion, *e.g.*, increasing positive emotion and down-regulating nega-
tive emotions, has been shown to have positive long-term effects as well, *e.g.*,
55 by lowering the risk of heart diseases [12]. Given these important implications
of emotions and their regulation for mental and physical health, our work of-
fers a tantalising new avenue toward quantifying and classifying the impact of
distinct patterns of smartphone usage upon their users’ emotional trajectories,
thus suggesting another step towards unobtrusive and continuous support of

60 mental and physical health.

2. Related Works

2.1. Sensing Emotions

Traditionally, self-reports are used to assess emotions, commonly by asking an individual to evaluate their feelings according to valence-arousal dimensions [13]. Several techniques have employed valence-arousal dimensions to assess emotional states including the circumplex model of affect [14], the photographic affect meter [15], the positive and negative affect schedule [16], and the self-assessment manikin [17]; however, researchers are still in search of an automatic, trustworthy, and robust emotion detection technology [9, 10].

70 Emotion sensing has recently attracted significant attention from HCI research and scholars suggesting that everyday ubiquitous technology can be successfully used for emotion detection [18, 19, 20, 21]. For example, in a study by Bailenson *et al.* [22], the researchers examined how participants communicated different emotions using a force-feedback joystick. They quantified the communication of different participants using the following measures: x- and y-coordinates of the joystick (position at every 5ms), movement direction, speed, distance, acceleration, and jerkiness. Their results show that distance, speed, and acceleration were significantly greater for joy and anger than for sadness.

Nowadays smartphones are equipped with a wide variety of sensors (*e.g.*, 80 accelerometer, gyroscope, proximity sensor, microphone, battery) that can be successfully utilised to detect users' external [23, 24] and internal contexts including emotions [25]. This was demonstrated in a study by Lee *et al.* [26] where the authors used smartphone sensor data (GPS, keyboard, accelerometer) to unobtrusively sense user emotional states. Although the data collection in this study was limited to only one participant, the authors reported a 67.52% 85 emotion detection rate [26]. Ruensuk *et al.* [27] have used motion sensors, eye-tracking (specific to certain Android phones), and touch interaction to infer emotions of smartphone users. While their machine learning models achieved

high accuracies of up to 94.16% for self-reported valence and arousal values,
 90 the high computational demand drained the device battery significantly. The
 authors reproduced their findings in an extension of the first study by asking
 participants to use Facebook for 25 minutes in the lab to simulate naturalistic
 behavior. However, the authors admit that this design may have resulted in
 atypical behaviors. A study by Zhang *et al.* [20] focuses on the multi-level clas-
 95 sification problem of compound emotions, *i.e.*, multiple basic emotions. In their
 work, the researchers correlated self-reported emotional states with smartphone
 sensor data (*e.g.*, microphone, light sensors, GPS, WiFi, accelerometer, etc.)
 and usage patterns (*e.g.*, app usage logs, calls, SMS). A major limitation of this
 approach is that the initial data collection and training periods necessary take
 100 a long time, preventing a wide adoption.

Another approach was proposed by Bardram *et al.* [28] and Frost *et al.* [29],
 who developed MONARCA, a system designed for people with bipolar disorder
 to track whether any of their daily activities trigger particular emotional states
 (*e.g.*, whether sleep deprivation leads to negative emotions). However, the sys-
 105 tem did not achieve any significant improvements for the patients. A study
 by Springer *et al.* [30], presented a system called EmotiCal, using a similar
 approach to MONARCA. The system used the Daily Reconstruction Method
 (DRM) to collect data on activities and mood. The researchers were successful
 in predicting user moods depending on the user activities and were able to de-
 110 velop individual models of activities influencing mood [30]. In a study by Stone
et al. [31] DRM was used to detect diurnal cycles of positive and negative emo-
 tions among 909 women over a working day. While positive emotions peaked
 at noon and in the evenings, negative emotions showed mid-morning and mid-
 afternoon peaks. The authors could replicate different diurnal patterns from
 115 prior studies. Hasler *et al.* [32] used a portable audio recorder (EAR) that pe-
 riodically recorded environmental sound samples in a longitudinal field study.
 The authors used the audio samples to detect different behaviors of the partici-
 pants wearing the EAR devices. Through their work, Hasler *et al.* [32] validated
 previous findings of diurnal patterns of positive affect in naturalistic settings.

120 A more recent longitudinal study used facial expressions in work environments,
obtained through stationary cameras in an office, to infer changes in negative
affect in an everyday setting. The authors, McDuff *et al.* [33], relate their find-
ings to two specific Emotion Regulation strategies, namely cognitive reappraisal
and suppression. While narrowing their argument to these two strategies, the
125 authors show that facial expressions describe a valid approach to observe emo-
tional changes in-the-wild.

Given the trade-offs of different approaches, we chose to use the Affectiva
API² using the front-facing camera of smartphones. This software is able to
capture seven basic emotions using solely image recognition: anger, contempt,
130 disgust, fear, joy, sadness, and surprise. While this does not make extensive use
of all available smartphone sensors, it is a technology that is readily available
to study participants and does not require any proactive input by the user or
periodical interaction (as *e.g.*, Experience Sampling Method (ESM) studies), or
additional hardware (*e.g.*, a joystick), thus, lowering the overall burden on the
135 user. The Affectiva API has been benchmarked and validated [34], and more
recently two separate studies have shown that its accuracy can vary consid-
erably across emotions [7, 19]. According to Sarsenbayeva *et al.* [7], surprise
was detected with 97.46% accuracy and joy with 94.17% accuracy, while worst
performing were anger (50.82%) and fear (7.5%). In their paper, Sarsenbayeva
140 *et al.* [7] also provided additional validation for the robustness of the Affectiva
outputs, by looking at weekly fluctuations.

2.2. Changes in Emotion

Gross [3] summarizes in his work that every emotion is defined by three ma-
jor features; (1) they have beneficial (positive) or adverse (negative) effects, (2)
145 they happen over time, and (3) they are associated with changes in behavior,
experience, and physiology. Emotions usually represent valid evaluations but
can misfire, such that people often wish to override them in certain contexts.

²<https://www.affectiva.com/>

People may wish to downregulate positive affect (joy), *e.g.*, at a funeral, and negative affect (anger) in work settings, while wishing to upregulate joy in a social setting and anger in a competitive setting, such as sports contests [35].
 150 Another reason to regulate emotions is that emotions can impact mental and physical health. The benefits of positive emotions include increased creativity and thinking [36], positive modulation of attention [37], and fostering physical health [38]. Accordingly, the experience of negative emotions can result in cardiovascular diseases [12], and impair learning and memory [37]. Emotions
 155 are, however, not immutable. We have strategies available that enable us to modulate our emotional experiences. Gross [35] defines these “*Emotion Regulation*” strategies as: “*all of the conscious and non-conscious strategies we use to increase, maintain, or decrease one or more components of an emotional response.*”
 160

Prior research has shown that an inability to engage in Emotion Regulation can lead to different mental health-related problems including mood [39] and anxiety [40] disorders and decreased social functioning [41]. Other studies have investigated the benefits of short-term Emotion Regulation, for example
 165 how playing video games supports recovery from work-related stress [11]. Long-term effects have also been investigated, and it was found that down-regulating negative emotions reduces the risk of heart attacks and coronary heart disease [42]. Moreover, interventions that help people learn better how to regulate their emotions have also been shown to effectively treat borderline personality
 170 disorders [43], substance abuse, eating disorders, and depression [44]. Nevertheless, research has also identified potential detrimental effects of Emotion Regulation, such as a direct correlation between emotional suppression and problematic smartphone usage [45]. Consequently, it is important to understand how and when people change their emotions to foster the positive effects and avoid the
 175 potential negative repercussions [46].

2.2.1. Physiological Characteristics of Emotion Regulation

Troy *et al.* [47] investigated the effects of Emotion Regulation strategies on participants' negative and positive emotions triggered by watching a sad film, using skin conductance levels (SCL) and self-reports. The authors found
180 that different strategies had different effects on the down-regulation of negative emotions as well as the up-regulation of positive emotions [47].

Similarly, Goldin *et al.* [48] studied the effects of two specific Emotion Regulation strategies (cognitive reappraisal and acceptance) on negative emotions triggered by participants' negative self-beliefs using functional magnetic resonance imaging (fMRI), skin conductance, heart rate, respiration rate, and negative emotion ratings. The results of this study show that both strategies effectively down-regulate negative emotions; however, cognitive reappraisal has
185 a significantly greater effect on the reduction of negative emotions, autonomic activation, and mental engagement [48].

According to Gross [35]'s process model, strategies differ in their temporal onset after an emotional stimulus. Thiruchselvam *et al.* [49] compared the temporal dynamics of Emotion Regulation strategies using electroencephalography (EEG), while participants looked at either neutral or emotional images.
190

Strauss *et al.* [50] investigated different visual attention patterns as well as cognitive demand of Emotion Regulation. They used a set of photographs to
195 trigger emotional responses, and while participants performed Emotion Regulation, recorded eye movement, and pupil dilation using eye trackers together with self-reports on negative emotions. The eye movements showed strategy-dependent, characteristic features such as initially stopping before looking away from the stimulating image, or an overall shorter focus on the arousing image
200 parts [50].

These studies indicate that, while different strategies differ in their effectiveness and temporal dimension, successful proactive attempts to change ones emotion are expressed in changes of physiological signals.

205 *2.3. Digital Emotion Regulation*

Parkinson and Totterdell [51] presented an inventory of 83 cognitive and 79 behavioural Emotion Regulation strategies, which they collected via questionnaires, interviews, and group discussions. Around a quarter of the behavioural strategies involved the use of artifacts, which included alcohol, relaxation tapes, 210 television sets, and musical instruments. Other researchers have studied particular categories of artifacts used in Emotion Regulation, including musical equipment [52] and television [53]. More recently, researchers have begun to examine the use of digital technologies as tools for Emotion Regulation, including online video [54], digital music [55], videogames [56, 57], social networking 215 platforms [58], and smartphones [45]. It is suspected that smartphones, because they combine a range of digital resources in a convenient platform that individuals can use at virtually any time and place, may be a particularly widely-used tool for Emotion Regulation [2].

However, studying Digital Emotion Regulation presents methodological challenges. As aforementioned, Emotion Regulation involves a person, their context, 220 a situation that causes an emotion to be generated, and the person’s efforts to regulate that emotion. Measuring these variables is difficult in a lab, and very challenging in-the-wild, and thus far has not been attempted using sensors but only via self-report and experience sampling (*e.g.*, [59]). There are calls to use 225 smartphone-based sensing to conduct psychology research in naturalistic settings [60, 10], including the study of Digital Emotion Regulation [2]; this study is the first we are aware of to do so that offers a novel approach towards quantifying changes in emotional trajectories. Since analysing the effects of negative and positive emotions goes beyond the scope of our work, we are focusing our 230 analysis on two of the three features Gross [3] postulates to be defining every emotion: they happen over time, and they are associated with changes in physiology.

3. Methodology

In this study we seek to provide initial empirical data and shed light on what emotion trajectories during smartphone use may look like, how they can be detected, classified, and potentially lead to a better understanding of how people use digital technology to fulfil their innate needs.

We hypothesize that if the use of smartphones to moderate and regulate one’s own emotional state is purposeful and intentional, then the emotional state of users while using their phone would not appear to be “random” but would be intentionally “shaped”. Hence, in this study, we focus on identifying temporal patterns in how levels of the emotion **joy** unfold during smartphone use. In the context of this study, “joy” is based on Paul Ekman’s “enjoyment” [61], which he uses as an umbrella term for positive emotions such as relief, contentment, pleasure, thrill, or satisfaction [62].

3.1. Data Collection

For data collection purposes we developed a standalone Android application that employs the Affectiva Android API [63] and the AWARE framework to collect phone use data [64]. Our software deduces emotions from facial expressions continuously during phone use and logs emotion confidence values at Affectiva’s default rate of 30Hz. When participants do not look at the screen, Affectiva does not detect emotions and no confidence values are recorded. We used the off-the-shelf Affectiva SDK ³ integrated with our custom software that ran as a background service to collect the data. We did not modify the SDK to avoid introducing external noise. The application uses the device’s front-facing camera together with smartphone usage data. The app starts recording upon each unlock event and stops when the screen is locked, which we call *session*. The software records confidence values (0 – 100) for seven basic emotions (anger, contempt, disgust, fear, joy, sadness, and surprise) with a corresponding timestamp. For the here presented study, we only consider expressions of joy, which

³<https://github.com/Affectiva>

Affectiva can reliably detect with an accuracy of 94.17% [7]. For privacy purposes, the software stored all data locally on the phone. The application runs as an Android background service listening for unlock events and has a one-button interface to start the service upon deployment.

265 3.2. Recruitment and Procedure

We recruited 30 participants aged between 20 and 45 ($M = 29$, $SD = 6.07$) via our university mailing list and snowball recruitment. All participants were owners and users of Android-based smartphones and had different educational backgrounds (*e.g.*, Accounting, Biomedicine, Computer Science, Linguistics).
270 Each participant attended an individual intake session. During the intake session, we briefed our participants about the purpose of the study and asked for their written consent. Next, we installed the application on their personal smartphones and explained its functions. No explicit action was required of the participants during the study, as data was collected passively in the background.
275 The data collection lasted for two weeks, and we instructed our participants to use their phones as usual.

At the end of the data collection phase, we invited participants to our lab for individual debriefing sessions. During these sessions, we downloaded the emotion and smartphone usage data and uninstalled the software from their
280 smartphones. We also conducted semi-structured exit interviews with each participant regarding their perceptions of their emotions and smartphone usage. Every participant received a \$10 gift voucher for their contribution and time.

4. Results

We collected a total of 502,851 valid observation points, each containing a
285 likelihood estimation that the user is experiencing each of the seven emotions that the Affectiva API tracks. These observations already exclude instances where a user’s face did not fully appear in the frame of the camera. We chose to only use expressions of joy, because 1) detecting changes in joy, or positive affect,

has potentially strong implications for applications that are concerned with emotion modification strategies, such as Emotion Regulation, which aim at increasing positive affect [48, 47]; 2) the Affectiva API reliably detects expressions of joy (in the following only “joy”) unlike other emotions, *e.g.*, anger [65, 7, 34, 19]. Adding further emotions to our analysis could be part of future work, requiring a robust and accurate capturing technique. In our subsequent analysis we particularly focus on smartphone usage sessions where enough data points are collected (see Section 4.1). We define a smartphone usage *session* as the time between the smartphone being unlocked and subsequently locked. Simply looking at the lock screen (*e.g.*, to check time) was not considered as a session and did not yield any data.

4.1. Filtering

We further excluded additional data to improve the reliability of the analysis. First, we excluded five participants who did not substantially use their smartphone or had technical issues with their device, and 5 participants who registered less than five sessions with high (*i.e.*, joy values higher than 10% likelihood) joy values, leaving us with 20 participants (9 female, 11 male) aged between 22 and 45 years old ($M = 28$, $SD = 5.9$). Additionally, we only considered data points that were recorded between 8am and 10pm to establish a common time frame across participants. We also excluded sessions with fewer than five observation points, or sessions shorter than 1 second to avoid potential bias as this data was not descriptive enough, leaving us with a total of 266,002 observations.

4.2. Session Duration

We start our analysis by considering how much time participants spent per session using their phones. In many ways, this sets a temporal boundary for our analysis. As people must use their phones to regulate their emotions with it. In this first analysis, we look at how the duration of sessions varies overall for all participants, and for each participant individually. In Figure 1 we show

the probability curve for session duration, noting that the x-axis is logarithmic. Here, we separately consider those sessions which contained *low joy* values (*i.e.*, all values for a session remain below 10% confidence) versus the remaining sessions, where *high joy* measurements (*i.e.*, all values for a session were higher than 10% likelihood) were detected.

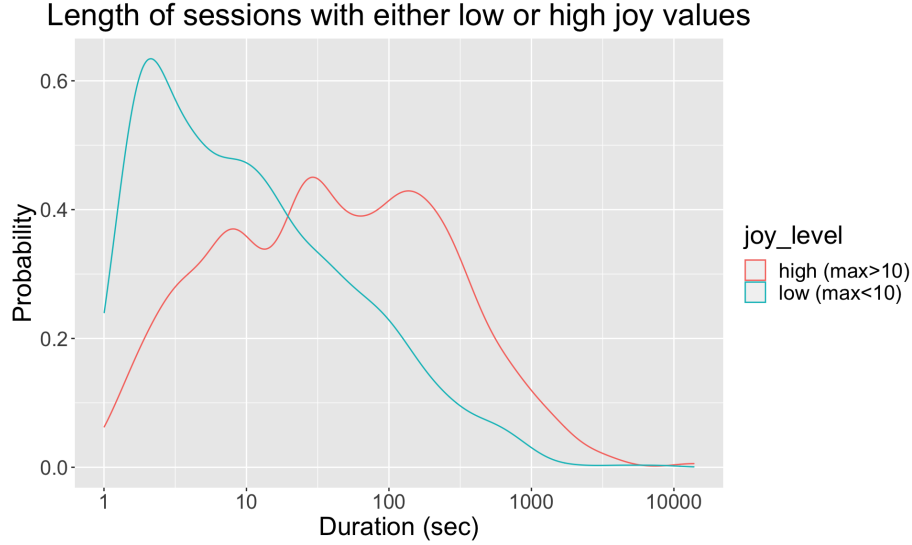


Figure 1: Probability plot indicating the duration of sessions across all participants. Sessions with high joy are in red, and with low joy in blue.

We observe that sessions with low joy tend to be much shorter and primarily brief (typically less than 10 seconds) as compared to sessions with high joy (see Figure 1. This suggests that longer usage sessions either have a stronger impact on the users' joy; ; or that participants spend more time and effort when trying to experience joy; or that or that users intentionally prolong sessions to increase the joy gained while using their phones. We note that we are interested in sessions where individuals express high values of joy while using their phones, potentially indicating a result of Emotion Regulation to increase joy, as most Emotion Regulation strategies aim at increasing happiness and dampening negative emotions.

For this reason, we decided to exclude low joy sessions (*i.e.*, all values for a

session remain below 10% confidence) for the remainder of our analysis. This
335 elimination process resulted in a new total of 266,002 data points, distributed
across 489 unique smartphone usage sessions by 20 individual users. Our ex-
pectation is that if participants use their phones to modify their emotions, *e.g.*,
when engaging in Digital Emotion Regulation, then the retained data would –
at least partly – capture that behaviour.

340 The results for high-joy sessions in Figure 1 show that the majority of sessions
last less than 1000 seconds, with relative peaks at approximately 10, 30, and 200
seconds. Moreover, the majority of sessions is shorter than 100 seconds. These
results suggest that individuals are unlikely to spend more than 15 minutes in
any given session, and most often the duration of a session lasts between 10 and
345 200 seconds.

While Figure 1 shows the session duration across all participants, in Figure 2
we visualise the behaviour of each individual participant. Here we calculate a
probability curve for each participant’s session duration. We observe that while
some participants show a **unimodal** distribution, others present with a **poly-**
350 **modal** distribution. Similarly, we observe that some participants’ distribution is
skewed towards shorter sessions, while others’ is skewed towards slightly longer
sessions. For example, participant 3 (P03) peaks at 20 seconds, while P23 peaks
at 100 seconds.

4.3. Diurnal Distribution of Instances of Joy

355 To get an estimate of how instances of joy are distributed throughout the day
for each participant, we calculate the *minutes of joy* that participants experience
while using their phones. We identify those as 1-minute periods with average
joy values higher than a 10% likelihood, *i.e.*, with consistently high joy readings.
We visualise the minutes of joy for each participant by identifying the time of
360 day when these occurred, as shown in Figure 3. In this graph, the height of
each bar indicates how many minutes of joy were registered, while the color of
the bar indicates, whether those minutes are spread across multiple days (*e.g.*,
a person may experience 7 minutes of joy between 1pm-2pm, but those could

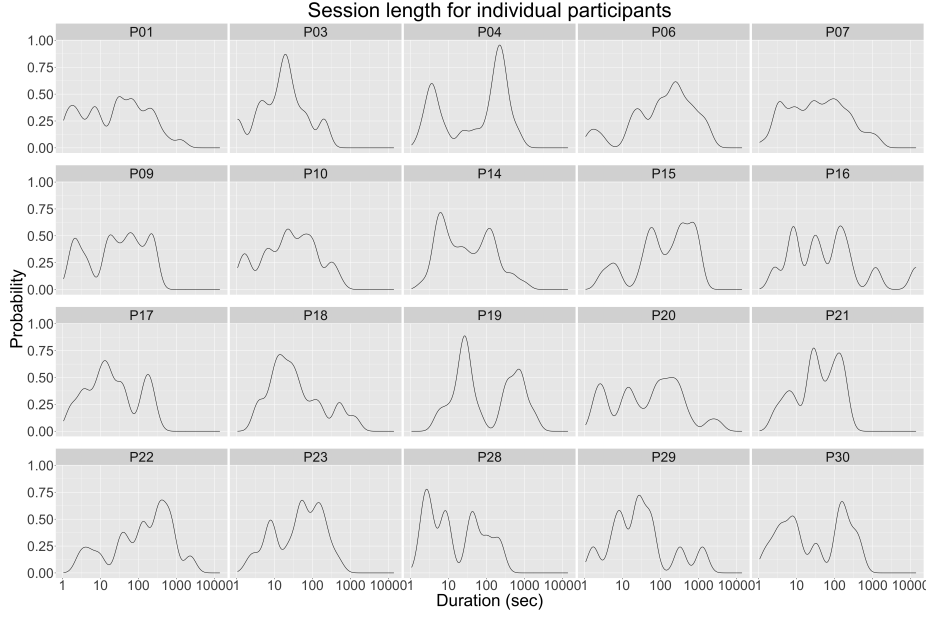


Figure 2: Probability plot indicating the duration of sessions with high joy for each participant.

be either experienced in a single day, such as P06, or spread across many days,
 365 such as P07).

The results show that participants experienced different numbers of *minutes of joy* during the study. We also find that while for some participants these occurred relatively evenly distributed throughout the day (*e.g.*, P01, P04), for others, *minutes of joy* appeared mainly during certain hours of the day (*e.g.*,
 370 P07, P16). Moreover, some participants had a strong daily pattern with multiple readings during the same hour of day across different days (*e.g.*, P07, P10, P23), while for others the daily patterns were less prominent (*e.g.*, P03, P17).

4.4. Evolution of Joy During Smartphone Sessions

For each participant, we collected data for multiple sessions, and in each
 375 session, we have a high-frequency assessment of their level of joy. To further analyse this data, we first calculate the mean level of joy for each participant with a granularity of one second, *i.e.*, we reduce the granularity of data to 1Hz.

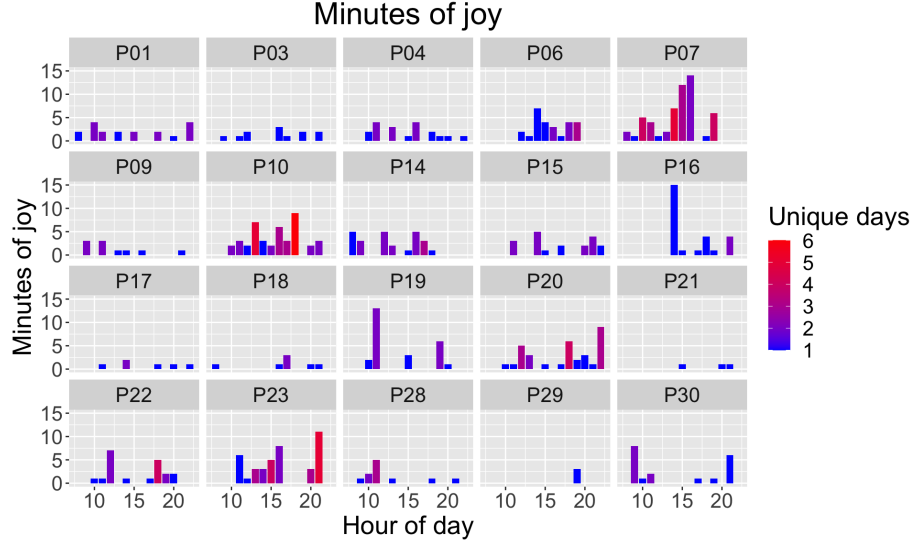


Figure 3: Distribution of joy throughout the day. X-axis: time of day; y-axis: number of unique minutes with high joy.

As such, for each individual session, we are able to estimate the level of joy of each participant for each second of that session. However, we note that sessions have different duration, and therefore aggregating the data for each participant needs further consideration. For this reason, we choose to aggregate data in three ways.

First, we visualise the mean joy for each of the first 100 seconds of the sessions as shown in Figure 4. This is calculated using conditional means and loess smoothing, while the standard error is shown as a dark grey band around the mean. We visualise the error bars based on the average joy values – not based on the raw data – to more clearly identify the signal in the noise. Some sessions are shorter than 100 seconds, others are longer, but regardless we calculate the mean joy for each of the first 100 seconds using the available data. The rationale is that this visualisation provides an assessment of how joy evolves as participants begin using their phones, but a downside is that data beyond the first 100 seconds is discarded. We observe that some participants experience elevated levels of joy within the first 10 seconds of use (*e.g.*, P01, P04, P17),

while for others there is a gradual build-up that can last tens of seconds (*e.g.*,

395 P09, P19, P22).

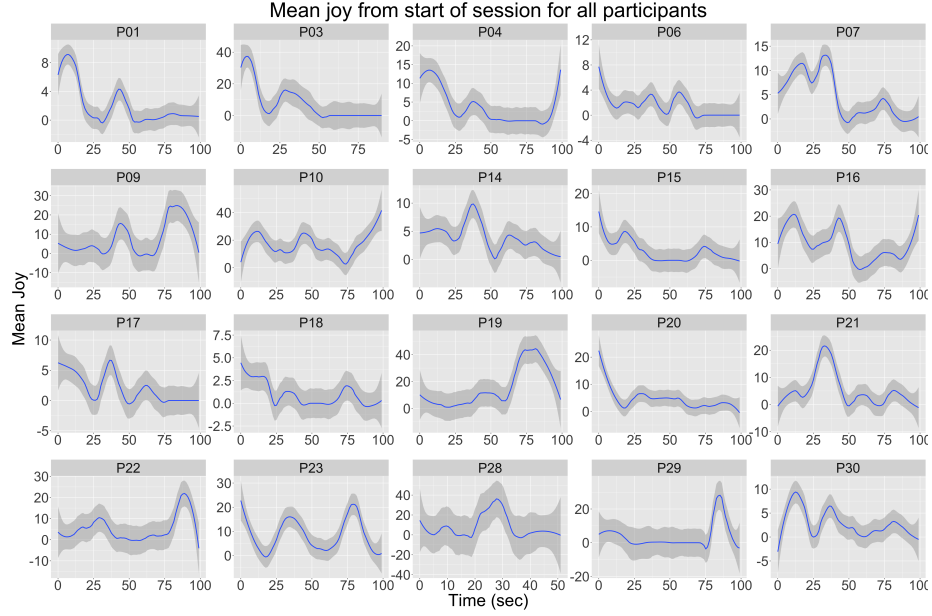


Figure 4: Average joy for the first 100 seconds of sessions. The dark grey band indicates the standard error.

Second, we calculate the mean joy for each of the last 100 seconds of a session as shown in Figure 5. The rationale is that this provides an assessment of how joy evolves leading up to participants locking their phone. Inevitably, this approach discards the first chunk of data for sessions longer than 100 seconds. We observe
400 that some participants experience elevated levels of joy shortly before locking their phones(*e.g.*, P06, P16, P29), while for others there is a gradual wind-down that can last tens of seconds (*e.g.*, P07, P09, P21).

Third, we provide an aggregation that overcomes the limitations of the former two aggregation strategies (first 100 seconds vs. last 100 seconds) as shown
405 in Figure 6. Here, we normalise the duration of each session to be “1”, and any measurement of joy recorded during a session is indexed to a normalised timestamp between 0 and 1. In this manner, all sessions start at 0, end at 1,

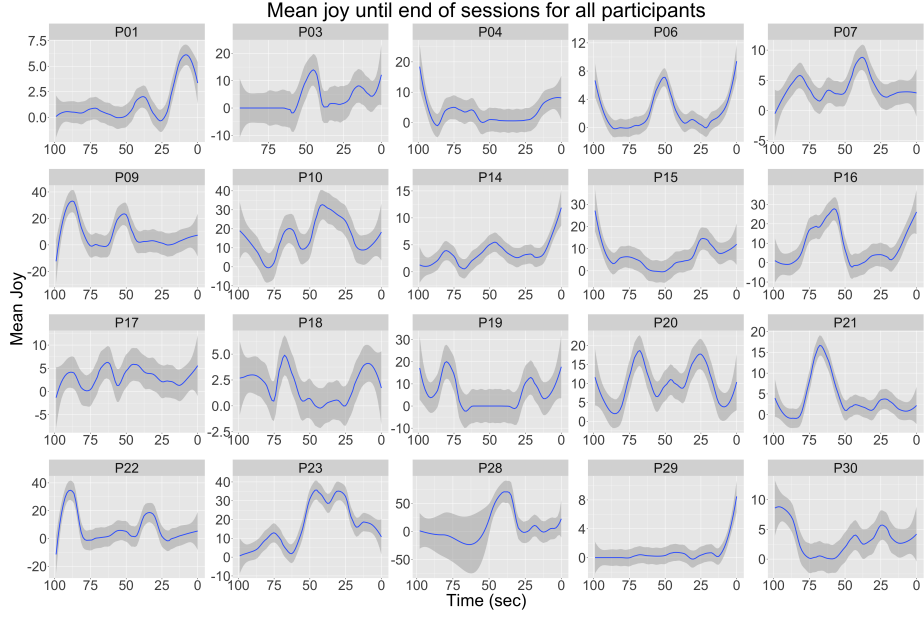


Figure 5: Average joy for the last 100 seconds of sessions. The dark grey band indicates the standard error.

and all joy readings are timestamped with a value between 0 and 1. This allows us to retain all our data when calculating the average joy. However, it does not
410 capture the true magnitude (in seconds) of each session duration.

Figure 6 provides a visual overview of different patterns of changes in joy during smartphone usage. The two major patterns we identify are **unimodal** (e.g., P01, P06, P19, P21) and **polymodal** distributions (e.g., P04, P07, P09, P28) of joy increases. We furthermore see that users experience changes in joy
415 at different times during their usage sessions. P01, P17, and P19 experience the strongest increases in joy at approximately the midpoint of a session. P04, P10, P21, and P22 on the other hand, have the most dominant increases in the first half of their smartphone sessions.

4.5. Magnitude of Joy in Short and Long Sessions

420 Previous work has described how users may “glance”, “review”, or “engage” with their smartphones [66], interactions that involve spending different

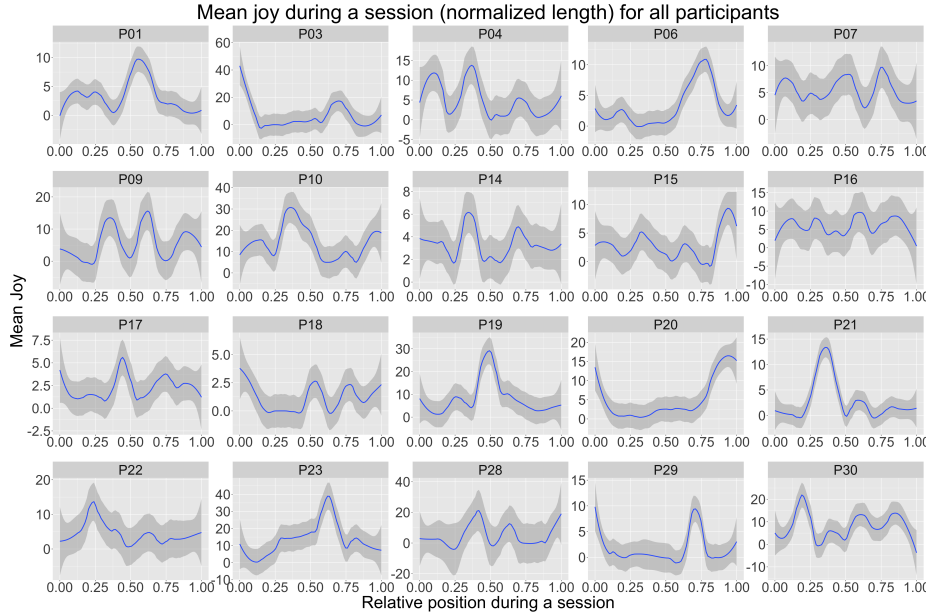


Figure 6: Average joy for sessions, with lengths normalised to $[0,1]$. The dark grey band indicates the standard error.

amounts of time with the phone. We effectively removed “glances” (of the lock screen) from our dataset through our initial filtering. Based on Banovic *et al.* [66], we consider sessions with less than 60 seconds, short “review” sessions and sessions that last more than 60 seconds, long “engagement” sessions, as we illustrate in Figure 1 (red). We repeat our previous analysis, and the results are shown in Figure 7. These results show normalised sessions for each participant, color-coded for short “review” sessions (in blue), and longer “engagement” sessions (in red). We observe that some participants experience joy mainly in long sessions (*e.g.*, P17, P19, P20), some participants experience joy mainly in short sessions (*e.g.*, P06, P16, P22), while for some participants the results are mixed (*e.g.*, P04, P10, P21). A prevalent observation across participants is that more frequently, high joy readings happen relatively sooner in long (red) sessions than in short (blue) sessions.

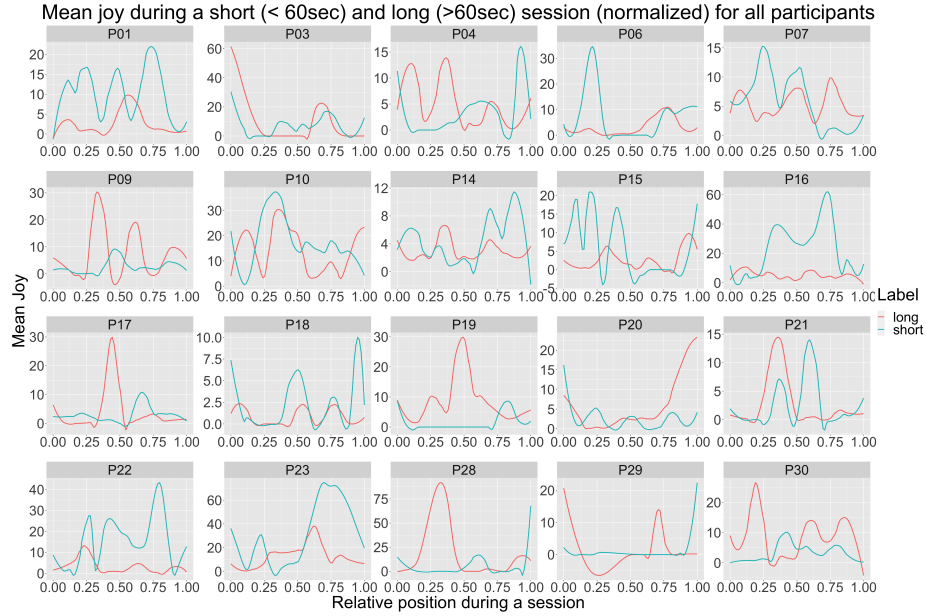


Figure 7: Magnitude of joy during long (red) and short (blue) sessions for all participants.

4.6. Overall Strategies

Finally, considering the multiple ways in which we have characterised our participants, we conduct a *hierarchical clustering analysis* of their behaviour. Our clustering follows Verduyn *et al.* [67]’s emotion intensity profile definition, whereby the three defining features are the “number of peaks” (one or more), the “steepness at onset” (some profiles start with a burst of emotion), and “skewness” (experiencing emotional peaks towards the beginning or end). These features have been shown to account for over 84% of the variability in emotional profiles [67].

In our analysis we characterised each participant in terms of their tendency to exhibit joy near the start of a session including an initially high joy value (**onset**), peaks early in or near the end of a session (**skewness**), whether their joy distribution is unimodal or polymodal (**number of peaks**), and whether they exhibit high joy values mainly in long or short sessions. Each dimension is coded as a binary variable. The hierarchical clustering uses Euclidean distance

450 and the ward method as it maximises the agglomerative coefficient (0.86). Using the elbow method (metric: total within sum of squares) we identified the optimum number of clusters as 3. The clustering results are shown in Figure 8, with the three identified clusters highlighted.

We analyse the identified clusters, and summarise the main characteristics
455 our analysis yielded as follows:

- Go-Getters (in red): participants who register high joy a few seconds before locking their phones and mainly exhibit joy in short sessions. Their behaviour suggests that they seek a quick experience of joy and then lock their phone.
- 460 • Targeters (in green): participants who mainly have a unimodal joy profile and gradually increase their joy during a session. They mainly experience a single episode of joy in their sessions, which could be the consequence of targeted use, or a side effect of their usage behavior.
- 465 • Explorers (in blue): participants who have mainly polymodal joy profiles and show gradually declining joy levels before locking their phones. They experience multiple joyful episodes in one session and lock their phones when the joy wears off.

We did not control for variables such as demographic information or smartphone notifications. However, Table 1 shows our participants' demographic
470 data, along with their average smartphone session length, and cluster. We found no relation between phone usage data, demographic data and clustering results. There is a tendency for female participants being more present in the Targeter cluster than male participants. However, due to the small sample size and this cluster being the smallest of the three, we recommend that future re-
475 search investigates a potential impact of demographics on emotion regulation behavior in the wild. The probability curves in Figure 2 present the smartphone session length in a more detailed format for each participant.

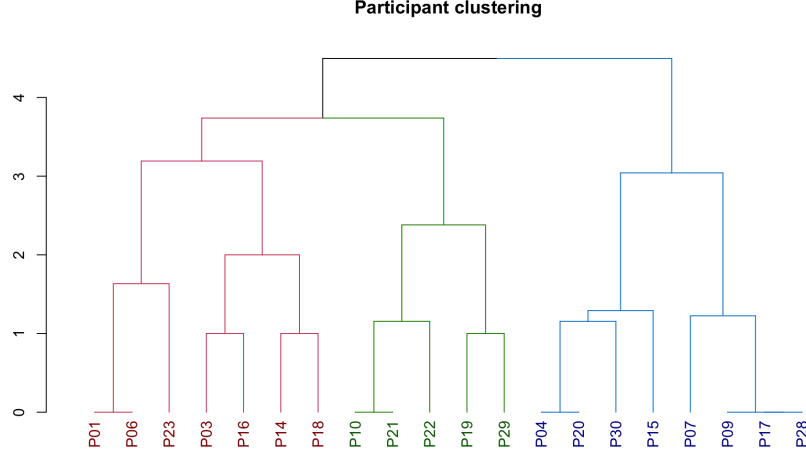


Figure 8: Hierarchical clustering of participants based on how they exhibit joy during smart-phone use.

4.7. Interview Results

After finishing the data collection, all participants were invited to a debrief-
 480 ing session. We conducted semi-structured face-to-face interviews (15min/participant,
 pre-covid), where we asked participants about their emotional well being, the
 strategies they use to respond to their emotions, and the impact of certain mobile
 apps on their emotional states. We used an inductive analysis approach, con-
 ducted thematic analysis with coding, and summarised our results into themes
 485 presented in the following.

4.7.1. Response to Negative Emotions

We asked about participants' responses to negative emotions. 12 out of
 20 participants explicitly stated that they use their smartphone to respond to
 negative emotions such as sadness. Five out of these 12 spoke of distraction
 490 strategies, *e.g.*, by interacting with social media apps (P01, P21), calling people
 (P03), or by being rather passive and watching documentaries (at home) and
 listening to music (P06) (when not at home). This suggests that the patterns

Participant	Gender	Age	Avg. Session Length (sec.)	Cluster
P01	Male	26	112.22	Go-Getter
P03	Male	26	38.76	Go-Getter
P06	Female	33	343.64	Go-Getter
P14	Male	45	95.89	Go-Getter
P16	Male	25	1214.08	Go-Getter
P18	Male	35	148.09	Go-Getter
P23	Female	22	93.99	Go-Getter
P10	Female	31	72.33	Targeter
P19	Male	25	334.44	Targeter
P21	Female	29	64.49	Targeter
P22	Female	22	374.90	Targeter
P29	Female	38	164.28	Targeter
P04	Male	25	152.06	Explorer
P07	Male	32	138.47	Explorer
P09	Male	25	71.11	Explorer
P15	Female	25	304.36	Explorer
P17	Male	26	57.57	Explorer
P20	Male	26	263.00	Explorer
P28	Female	22	47.55	Explorer
P30	Female	25	104.53	Explorer

Table 1: Summary of demographic information for all participants, average smartphone session length in seconds, and cluster.

detected in sensor data are likely to involve emotional changes. P21 added that they would change their activity to get distracted, which does not necessarily have to include phone use, but might involve some other activity that distracts them, *e.g.*, go for a walk. One participant also mentioned mixed strategies, for example when they felt sad or depressed at night they would walk and listen to

music on the phone until their “*thoughts were sorted*” (P04). P09 mentioned looking for explanations and arguments justifying how they felt, using their
500 phone, and stated that this helped them feel better, which is a clear sign of intentional modification of their emotions. Only a small group of participants ($N = 3$) stated that they do not use their phones in response to feeling sad, except for when they are alone, at which time they would use their phones to get in touch with others (P29). The main applications used to respond
505 to negative emotions were communication applications that enable talking to friends, voice calls to family, and apps that provide distraction in the form of music and videos. As we will detail in Section 5.4, this corroborates findings of prior studies that looked at app usage in relation to different session lengths of smartphone usage [66].

510 4.7.2. *Response to Positive Emotions*

While participants showed a strong tendency to resort to smartphones in response to negative emotions, the interviews yielded that positive emotions triggered mostly non-technology responses. Nine participants explicitly stated that they would not use their smartphones in response to positive emotions, or
515 not for any specific purpose. Partly overlapping, eight participants named engaging in offline activities, such as going into nature (P29) and meeting friends (P04, P10) as the main responses to positive emotions. P29 stated specifically that they do not use their phones much when they are happy, and P20 stressed that they just keep doing what they were doing, but did not use their smart-
520 phone. Only four out of all 20 participants mentioned responses to happiness involving phones. P17 used their phones when they felt happy to take and edit pictures as a form to act out, enhance, and sustain their happiness. P21 emphasized that they tend to continue what they had been doing when feeling happy, but sometimes use the phone to send someone a message.

525 4.7.3. App Usage and Emotions

17 out of 20 participants stated that rather than specific app categories (*e.g.*, messengers, social media, entertainment), content is the important factor influencing their emotions when using the phone. However, one in four participants (N = 5) mentioned specific apps that they use to intentionally trigger certain emotions. Two participants stated that *YouTube* and *9GAG* (P16) as well as *Pinterest*, *WhatsApp*, and *Instagram* (P28) tend to increase their happiness. An important property of these apps is that they enable people to communicate and share content of interest with their close contacts. But it was stressed that here as well, emotions also depended on the content delivered through the app, *e.g.*, sad messages make users feel sad, happy messages make users feel happy. Communication functions of specific apps (*Instagram*, *Facebook*), and call and messaging functions were mentioned as important to stay in touch with friends and family, but there as well, the content of the messages is the deciding factor over positive or negative emotional outcomes. P03 stated that one never knows what is coming inside the message, unlike in other apps (*e.g.*, travel apps, weather).

The majority of participants (N = 15) mentioned specifically sadness and boredom as emotions that make them use the phone. The most frequently mentioned negative emotion was boredom (N = 10). Only three (P15, P17, P22) out of the 15 participants stated positive emotions as drivers for app choice. Sadness was not named as a motivator to use social media, it rather prompted browsing or reading on the phone. Five participants on the other hand stressed that available time rather than emotion was the driving factor for their app usage, because they check things when they have time rather than depending on their mood.

In summary, we see that negative emotions stimulate smartphone usage more often than positive emotions. While our participants mentioned using different concrete digital strategies when feeling sad or bored, responses to positive emotion are predominantly manifested in offline activities. However, online and

555 offline activities in response to emotions primarily involve social goals, such as
being in touch with friends, family, or people, which is vital for human wellbe-
ing [68, 69].

A detailed study of the bidirectional impact of app-usage and user emotion
of our sample is presented in [7].

560 5. Discussion

Our work is one of the first longitudinal studies to report individual patterns
of changes in joy during smartphone use in naturalistic settings. We are able
to identify and cluster characteristic usage patterns, even though characteris-
tics of emotional responses (*e.g.*, magnitude) are highly individual [70]. While
565 our analysis and discussion strongly focus on detecting and explaining non-
random patterns of emotional trajectories during smartphone use, we do not
claim to provide unequivocal evidence for behaviors such as Emotion Regula-
tion. However, as sensing technology has become ubiquitous with smartphones,
it is increasingly possible to sense individual activities and behaviors. Our work
570 aims to provide a novel approach for researchers to possibly investigate and
quantify intentional behaviors such as Emotion Regulation in naturalistic set-
tings [71, 10].

5.1. Detecting Patterns of Emotion Changes

Reliable long term high-frequency collection of emotion ground truth is chal-
575 lenging, except through self-report methods such as Experience Sampling (ESM)
and surveys [72]. These can only be deployed a few times per day, so that long
stretches of collected data do not have associated ground truth. Furthermore,
using high-frequency surveys potentially disrupts the naturalistic setting of the
study and changes the behavior of participants, especially in longitudinal set-
580 tings. Sarsenbayeva *et al.* [7] provided a new approach to passively collecting
ground truth data at a higher frequency using smartphones. They validated the
robustness of Affectiva for detecting emotions from facial expressions in-the-
wild using ESM data as ground truth. Based on this, and given the trade-offs

of survey-based ground truth collection, our method was designed to minimise
585 disruption and collect high-quality longitudinal data.

As the literature details, it is challenging to differentiate between emotion generation and regulation [73]. We cannot capture human intention in sensor data, which by definition is a prerequisite for Emotion Regulation. Therefore, our data analysis requires further discussion. Sarsenbayeva *et al.* [7] have shown
590 that the relationship between emotions and smartphone use is bidirectional, *i.e.*, there are instances where emotions drive smartphone use as well as there are instances where smartphone use drives emotions. Moreover, since interview data indicate that users intentionally use their smartphones to respond to emotional events, or to trigger certain emotions, we can expect that the collected data
595 contains instances of intentional emotion modification, and thus is not random but would rather elicit trends or even patterns. Lastly, a series of studies have shown that smartphones are especially attractive for intentional use, as they serve a multitude of purposes, such as entertainment, work, information seeking, social engagement, communication [11, 74, 75].

600 5.2. Individual Emotion Trajectories

Individuals differ in the way they react to emotional events, physiologically as well as emotionally [76, 77]. In particular, people respond to emotions and express emotions differently, depending on several contextual factors [78]. One point to be addressed in this context, however, is the relative uniformity of
605 the individual emotion trajectories. As people use their phones for different applications and purposes (*e.g.*, calls, emails, weather, social media, etc.), the individual trajectories present with striking regularity. Different research has shown that people, while picking up and using the phones multiple times a day, develop habitual use patterns. In an experience sampling and interview study,
610 Lukoff *et al.* [79] found that users often fall victim to automated checking habits. This counted especially for social media use, entertainment, and communication apps. Our participants also reported that an important purpose of smartphone use was to find relief from negative feelings, in which cases the meaningfulness

of the usage session was secondary. These often quickly accessible “rewards”
615 also contribute to the development of specific usage behaviors [80]. Tran *et al.* [81] have shown that certain triggers cause the start, but also end, of habitual usage. These triggers can initiate a chain of apps that is habitually used in the same order [82, 83]. Nevertheless, users seem to be aware of these compulsive behaviors and have strategies to break out of them [81].

620 5.3. *Go-Getters, Targeters, and Explorers*

Synthesizing the aforementioned, the definitions and explanations given in the following aim to provide a first classification scheme of different smartphone use. Our analysis identified three clusters of users that show characteristic smartphone usage patterns and present with distinct patterns of joy.

625 5.3.1. *Go-Getters*

We have seen that the group of participants we call “Go-Getters” tend to express joy in the last seconds of their smartphone usage session. They also mainly express higher joy values during short phone usage sessions. Our interview findings endorse these findings: P01 and P03 mentioned accessing social
630 media or calling friends and family in response to negative emotions. According to Banovic *et al.* [66], the necessary apps are typically used in short sessions. This corroborates findings of previous studies that have investigated the differences in the impact of different Emotion Regulation strategies on positive and negative emotions [47, 48, 50] as well as on the temporal dimensions of their effects [49], *e.g.*, distraction shows faster effects on emotional trajectories than the cognitively demanding reappraisal strategy [49]. Moreover, Suri *et al.* [84] and Brans *et al.* [59] show that cognitive reappraisal is actually utilized in a minority of cases, both in everyday life and in the laboratory. Considering previous work, we hypothesise that “Go-Getters” seem to be mainly adopting quick dis-
640 traction strategies, which can be performed spontaneously as they require lesser cognitive effort [47] and which smartphones afford in abundance.

5.3.2. *Explorers*

“Explorers”, despite experiencing several peaks of joy, show an overall gradual increase in joy throughout a session. As one of the cognitive change strategies [3], reappraisal requires significant cognitive effort, such as overriding a predominant initial response, actively engaging with working memory, and switching between tasks [85, 86]. This corroborates findings by Verduyn *et al.* [87], who argue that the expression of multiple peaks in response to a single event can be a sign of either recollecting or recalling the emotional event after successfully regulating an emotion, or that multiple peaks might be the result of overlapping processes that had different temporal onsets and resulted in emotional responses. P09 reported using their phone to “look for arguments” when they are sad, while P04 explicitly mentioned that they listen to music until their “thoughts were sorted” indicating cognitive change strategies [3].

5.3.3. *Targeters*

Finally, the smallest group of “Targeters” mainly present with a gradual increase in joy values. This group tends to experience a single peak of joy (unimodal profile) in both short and long sessions. During our interviews, P21 mentioned using social media apps when feeling negative emotions, whereas P29 stated that they would get in touch with their friends when nobody was around, using their phone (see Section 4.7.3). This coincides with the work by Banovic *et al.* [66], who have shown that short smartphone sessions are mostly used for fulfilling social needs (*e.g.*, communication), which are crucial for a person’s physical and mental wellbeing [68, 69], whereas longer sessions are mainly used to consume entertainment content [8].

5.4. *Duration of Use*

Our analysis shows that the majority of smartphone sessions is between 10 and 200 seconds long. This corroborates prior findings detecting a mean smartphone usage duration of about 10-250 seconds [88]. Banovic *et al.* [66] have classified usage sessions by their length, degree of interaction, and predominantly

used application type. They classify usage sessions as “glance sessions”, “review sessions”, and “engage sessions”.

Glance sessions are characterized by brief interactions, that do not require unlocking the phone. We removed all glance sessions from our dataset, as they
675 do not require proactive input by the user. We deem unlocking of the phone and the need to interact with the content as an assurance that intentionsteers the interaction with the phone.

Review sessions are defined by an interaction duration of up to 60 seconds. They require users to consume content and actively input to the phone.
680 As Banovic *et al.* [66] observed, review sessions were mainly used for single-application interactions, such as checking a specific mail in the email app. The majority of our sessions fall into this category, and especially our cluster of “Go-Getters” who exhibit joy in short sessions. Ferreira *et al.* [89] also state that many of these applications that are “micro-used” aim at connecting users with
685 other people.

Engage sessions are defined by a minimum duration of 60 seconds. The median duration determined with 130.25s is also reflected in our dataset, as can be seen in the third peak of the red line in Figure 1. Engage sessions often appear when the user interacts with multiple applications, *e.g.*, when playing
690 games, watching videos, or seeking information on the Internet. Interestingly, the study by Banovic *et al.* [66] revealed that review and engage sessions are defined by targeted application launch, as user data showed very low search times for specific applications, with a median of 1s for review sessions and 4.5s for engage sessions. This is also confirmed by our participants’ interview
695 responses. None of our participants mentioned aimlessly searching for something to do on their phones, but that they rather have specific apps in mind when they are feeling sad. This also indicates intentional smartphone usage that serves specific (innate) purposes.

5.5. *Towards Identifying and Designing for Digital Emotion Regulation*

700 The major hurdle on the way to detecting Digital Emotion Regulation is that Emotion Regulation involves not just a change in emotion but a goal to change emotion. While emotions can be sensed, goals cannot (yet). A series of lab studies, as well as qualitative studies in the wild, have compared different regulation strategies, their effectiveness, and features. However, as it is challenging to detect intention in-situ, there are no successful attempts that use
705 sensors to quantify Emotion Regulation in naturalistic settings. We propose a first step towards quantifying Emotion Regulation and classifying distinctive features of different regulation strategies by investigating smartphone usage.

Other studies using psychophysiological measures have presented promising
710 results, such as differing patterns in eye gaze and visual attention when comparing different strategies [35, 50]. Others have relied on different responses in electrodermal activity (EDA) [47]. Physiological sensors in smartphones, smartwatches, and other wearables promise to offer a new approach towards better categorizing (Digital) Emotion Regulation strategies [9, 10]. However,
715 few of these sensors are widely available, and as prior literature has shown and our analysis has corroborated, emotion profiles, responses, and their effects are highly individual. Therefore, analysing patterns will require statistical approaches, and can probably benefit from applying intelligent algorithms that learn over long periods of time, which was not possible in our study.

720 What our analysis has shown, though, is that recording smartphone usage data and emotion information from facial expressions in naturalistic settings enables us to categorise our sample according to features defining emotional trajectories. Our findings allow researchers and developers to begin considering designs for detecting and possibly developing interventions that foster (Digital)
725 Emotion Regulation. For example, introducing timing interventions could stop the decreasing joy values in longer sessions. Alternatively, systems could make it easier to reach applications or services that are likely to support successful Emotion Regulation – for instance by displaying shortcuts or recommendations. Even further, there exists an opportunity for education and learning, **e.g.**, by

730 promoting alternative strategies for Emotion Regulation that the user has not
chosen in the past, or by offering instructions for specific Emotion Regulation
strategies while automatically considering contextual factors, such as time avail-
able, social situation (alone, or amongst people), or location (at home, at work,
or in public). Importantly, our work uses a sensor that is available on essentially
735 all phones (the front-facing camera) and can therefore be more readily used in
the design of interventions.

Future research also has to consider the impact of one usage session on
the next. Interventions can support emotional well-being and prolong positive
regulation effects by limiting access to applications with detrimental effects as
740 long as users experience positive effects. Similarly, by utilizing more sensing
modalities in the phone, *e.g.*, keyboard strokes to detect stress or random mul-
titasking to detect boredom, we will be able to better understand and cater to
context-dependence of emotions [78].

5.6. Limitations and Future Work

745 Our study has a number of limitations. First, the reliability of detecting
emotions from facial expressions has been contested [71]. However, two recent
studies by Kulke *et al.* [65] and Sarsenbayeva *et al.* [7] have validated the robust-
ness of Affectiva, specifically for detecting joy. Other more objective approaches
are those that assess dynamic changes in the autonomic nervous system (ANS),
750 such as cardiovascular, respiratory, or perspiration changes (measured as varia-
tions in skin conductance levels [90]), and dynamic changes in the central ner-
vous system, such as changes in blood flow or electrical activity in the brain [91].
While these are still challenging to be deployed in naturalistic settings, future
studies should adopt a multi-sensory approach to increase construct validity.

755 While joy alone does not reflect the complexity of human emotions, we adopt
a data-driven approach to investigate if individual emotional responses [70] col-
lected in naturalistic settings exhibit non-random patterns that correlate with
smartphone use. Our findings show that the quantified joy trajectories show
characteristic patterns. However, a larger sample, consideration of multiple emo-

760 tions, and studies that control for technical and non-technical variables (e.g., demographic information, smartphone notifications, app usage, app content, personality traits) are required to increase the internal and external validity. As an exploratory approach, focusing on joy was a reasonable first step towards investigating potential use of smartphones for Emotion Regulation.

765 We also acknowledge that in our study we were not able to capture users' intentions in-the-moment, which is a key aspect of Emotion Regulation. We tried to assure a certain level of intention though, by only analysing sessions where users unlocked the phone. Nevertheless, our data appear to be consistent with the Emotion Regulation hypothesis, and we have made sure to clearly
770 indicate that our findings only point to further hypotheses rather than definitive conclusions.

Additionally, our analysis has not considered the actual applications that participants used. This was intentional due to our relatively small sample size in relation to the diversity of applications and application types that exist. A
775 study with a larger sample would be able to investigate in-depth how different applications are adopted by the different clusters of participants, and which strategies rely on which types of applications. Our interviews indicate the richness of data that application choices and usage imply for future studies.

Finally, future studies should investigate the temporal dimension of potential
780 regulation strategies, e.g., by delivering targeted questionnaires when Emotion Regulation presumably happened. This will lower the burden on users in longitudinal studies while providing a validated ground truth. Future work can also consider instrumenting multiple devices per user, including desktop computers, tablets, smartwatches, music players, and video players. This would enable the
785 development of high-resolution images of the emotional state of a user across devices and can provide further opportunities to support well-being through targeted interventions based on users' prior behaviour, preferences, and habits.

6. Conclusion

We have explored a new method of detecting, analysing, and classifying emotion trajectories in naturalistic settings. Our study shows that users exhibit distinct non-random patterns of expressions of joy while using their smartphones. These patterns align with prior findings from controlled settings, as well as with theoretical accounts of emotion and emotion regulation. There is little evidence of whether and how behavior, such as Emotion Regulation, occurs in naturalistic settings. However, we believe our work is a first step towards understanding and quantifying Digital Emotion Regulation on smartphones, and we articulate a set of behaviours that can be further considered in validated follow-up studies. Finally, our work provides initial pointers towards future research questions, and for using the detection of emotion trajectories in the design of technology, although more work is needed for this to become a useful component of interventions aiming at supporting wellbeing.

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