1	The frost restraining effect of solar air collector applied to air
2	source heat pump
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11	Abstract
12	The air source heat pump has been demonstrated to be an efficient clean space
13	heating technology, but the frosting on the exterior surface of the evaporator will
14	largely decrease its performance. In this paper, the triangular solar air collector is
15	adopted for evaporator frost restraint of the air source heat pump, and the dynamic
16	heat transfer model of the triangular solar air collector and quasi-steady-state frosting
17	model of the evaporator were established and coupled. The effect of frost layer
18	thickness variation on evaporator air flow is considered based on the resistance
19	factor to improve the applicability of the model. The heat flux and water vapor
20	diffusion flux at different frost time and frost thickness were calculated, and the
21	frosting characteristics of the air source heat pump with the triangular solar air
22	collector and the conventional air source heat pump were compared on varying

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23 working conditions and typical daily meteorological parameters. Results show that triangular solar air collector can effectively restrain the frosting of the air source heat 24 pump, and when the solar irradiance is 500 W/m^2 , the triangular solar air collector 25 can reduce the frost thickness by more than 15%. The triangular solar air collector 26 increases the total heat flux by 101.4 W/m² under frosting conditions, which reduces 27 half of the defrosting times and increases the heat exchange of the evaporator by 28 36.6 % during a typical day, indicating that triangular solar air collector significantly 29 improved the energy efficiency of air source heat pump on frosting condition. 30

31 Keywords

32 Air source heat pump; Frost resistance; Solar air collector; Heat transfer model

33

Nomenclature						
Α	surface area (m ²)	Т	temperature (K)			
A_{total}	total heat transfer area of finned-tube evaporator	$T_{ m sft}$	equivalent temperature of the			
	(m ²)		evaporator surface (K)			
A _{tube}	tube base area of the finned-tube evaporator (m^2)	$\overline{T_{a}}$	average temperature of the			
С	absorption coefficient of water by frost layer (-)		incoming air (K)			
C_1	evaporator fan similar law formula constant (-)	и	velocity in the x direction (m/s)			
C_p	specific heat capacitance $(J/(kg \cdot K))$	V	volume (m ³)			
$D_{e\!f\!f}$	effective water diffusion coefficient (m ² /s)	v	velocity in the y direction (m/s)			
D_{std}	standard water diffusion coefficient (m ² /s)	w	moisture content (g/kg)			
d	thickness (m)	Wfs	saturated air moisture content			
d_3	fin root diameter of evaporator (m)		corresponding to the frost			
E_{T}	residual tolerance (-)		surface temperature (g/kg)			
f	resistance factor (-)	$\overline{W_{a}}$	average moisture content of			
$h_{ m eff}$	convective heat transfer coefficient (W/(m ² \cdot K))		incoming air (g/kg)			
$h_{\rm m,eff}$	convective mass transfer coefficient (m/s)	Subscr	ipt			
Ι	solar irradiance (W/m ²)	a	air			
i_{sv}	vaporization latent heat of water (kJ/kg)	ab	absorb			
ĴN	j factor of N-row-tube finned-tube evaporator (-)	air	air			
$k_{ m f}$	thermal conductivity of the frost $(W/(m\!\cdot\!k))$	conv	convection			

L	fin length along the airflow direction (m)	env	environment		
М	mass (kg)	f	frost		
M_a	mass transfer of water vapor between incoming air	fin	fin		
	and frost layer $(g/(m^2 \cdot s))$	fs	frost surface		
$N_{ m f}$	fan speed (r/min)	hole	hole		
т	mass flow rate (kg/s)	ho	housing		
m _{fs}	mass flux of water vapor between incoming air and	i	ice		
	frost layer $(g/(m^2 \cdot s))$	in	inlet		
m _p	water mass flux for increasing the frost density	out	outlet		
	$(g/(m^2 \cdot s))$	rad	radiation		
m δ	water mass flux for thickening the frost layer	sat	saturated		
	$(g/(m^2 \cdot s))$	sens	sensible heat		
Р	porosity factor (-)	tcp	transparent cover plate		
$p_{ m e}$	full pressure (Pa)	tube	tube base		
Q	heat transfer capacity (W)	Greek	reek symbols		
q	heat flux (W/m ²)	μ	dynamic viscosity (kg/m·s)		
q_{conv}	convective heat transfer (W)	τ	time (s)		
q_{rad}	radiant heat transfer (W)	λ	thermal conductivity $(W/m \cdot K)$		
S	fin pitch of finned-tube evaporator (m)	δ	thickness (m)		
S_b	beam solar irradiance absorbed (W)	ρ	density (kg/m ³)		
S_d	diffusion solar irradiance absorbed (W)	v	kinematic viscosity (m ² /s)		

34

35 **1 Introduction**

36 Renewable space heating technologies are potential solutions for decarbonization [1]. Air source heat pumps (ASHPs) are widely used in space 37 heating due to their advantages of availability of renewable energy [2], high energy 38 efficiency, reliable operation [3], and limited space occupation [4]. But under 39 specific ambient temperatures and high humidity, frost will form on the exterior 40 surface of the outdoor evaporator of ASHP [5], which will deteriorate the heat 41 transfer and decrease the performance of ASHP [6]. Research shows that frosting 42

will reduce the energy efficiency of the ASHP by 40 % and the heating capacity by
43 % [7].

45 In order to understand the frosting phenomenon and alleviate its adverse influences on ASHP performances, numerical and experimental investigations on 46 frosting mechanisms and frost characteristics have been carried out. Song et al. [8] 47 considered that the thickness of the frost layer is the most significant parameter in 48 the frosting process of ASHP because of its direct effects on the airflow through the 49 evaporator. Zhang et al. [9] developed a novel frost model considering the variation 50 51 of frost density along the frost thickness direction, which can improve the prediction precision of frost thickness by 5.1 %. The experiment showed that the frost densities 52 on the surface of the frost layer and the surface of the finned-tube evaporator can 53 54 differ by 68 % [10]. The external environmental parameters are the main factors affecting frosting on the outdoor evaporator. Seker et al. [11] established a transient 55 semi-empirical model of frost formation on the finned-tube evaporator and analyzed 56 57 the effects of air temperature, relative humidity and the surface temperature of the evaporator on the growth rate of frost thickness. Ji et al. [12] analyzed the influence 58 of relative humidity on the frosting of the finned-tube solar-assisted heat pump 59 (SAHP). They found that the COP of finned-tube SAHP decreases by 11% when the 60 61 relative humidity of the incoming air increases from 50% to 70%.

Due to the negative effects of frosting on the ASHPs, it is necessary to investigate methods to restrain frosting [13]. Current research and applications of ASHP restrain frosting through three main avenues [14]: (1) Restraining frost with

additional equipment; (2) Optimizing the structure of outdoor evaporators; (3) 65 Changing inlet air parameters of evaporators. Tan et al. [15] and Sonobe et al. [16] 66 applied ultrasonic vibration and air-jet to ASHP, which can restrain frost effectively 67 but increase the initial investment. Lee et al. [17] analyzed the air-side heat transfer 68 characteristics of flat plate finned-tube evaporators at different structures under 69 frosting conditions, showing that fin pitches and staggered tube alignment had a 70 great effect on frost restraining, but changing the structure of the evaporator may 71 decrease its heat transfer performance under non-frosting condition. Compared to the 72 73 above methods, changing the inlet air parameters of evaporators is an effective and easily-attainable way to restrain frost and does not require changes to the marketed 74 evaporator structure. And a more feasible and ecological approach is to utilize solar 75 76 thermal energy. Huang et al. [18] established a dynamic model of frosting in a flatplate direct-expansion solar-assisted heat pump (DX-SAHP) and analyzed its 77 frosting conditions. Results showed that when the ambient temperature was -1°C and 78 the relative humidity was 70%, the solar radiation increased from 0 W/m^2 to 100 79 W/m^2 , and the thickness of the frost layer decreased from 0.176 mm to 0 mm. 80 81 According to Kong et al. [19], higher solar radiation could effectively increase the evaporation temperature of the SAHP collector/evaporator and result in a decrease in 82 83 the frosting rate.

Solar air collectors (SACs) are also extensively applied as a renewable energy space heating method [20], and can be coupled with ASHP to enhance both performance [21]. The SAC-coupled ASHP can preheat the evaporator inlet air, thus increasing the evaporation temperature and the COP of the ASHP [22]. However, the
frost restraining effect it plays in this process has been ignored by researchers and
rarely analyzed.

In this paper, a novel triangular solar air collector (TSAC) is proposed and 90 coupled with ASHP to provide a frost restraint effect. A dynamic heat transfer model 91 of the novel TSAC and a quasi-steady-state frost model are developed and verified 92 by experiment. The relationship between airflow and resistance factor is considered 93 in the frost model in order to be quickly adjusted to different evaporators. Based on 94 95 the models, the frost characteristics of the ASHP with the novel TSAC are compared with the conventional ASHP under different operating conditions, and the 96 effectiveness of the TSAC for frost restraint was analyzed. 97

The main contributions of this study can be summarized as: (1) A novel coupled frost model for the TSAC and ASHP evaporator was established with better applicability. (2) The frosting characteristics of the finned-tube evaporator were investigated numerically. (3) The effectiveness of TSAC in restraining frost was studied.

103 2 Model development and solution

104 **2.1 The model of triangular solar air collector**

105 2.1.1 The physical model of triangular solar air collector

106The structure of the proposed TSAC is shown in Fig 1. The TSAC places facing107south and the tilted transparent cover plate is inclined at 60°. The recirculating air is

extracted by the fan into the TSAC from the air inlet, exchanges heat with the solar-108 heated perforated corrugated absorbers (PCAs), and then leaves from the outlet. The 109 PCAs are installed in three parts inside the TSAC, at angles of 30°, 120° and 52°, to 110 absorb solar irradiance and heat the circulating air without blocking each other 111 throughout the heating season. The porosity factor of PCAs is 0.085 and the pore 112 size is 4mm. The corrugated shape and porous structure of PCAs can break the air 113 boundary layer on their surface, thus enhancing turbulence and strengthening heat 114 transfer. The absorption rate of PCAs reaches 0.92 with black chromium coating. 115 116 The sides, backs and undersides of the TSAC are coated with insulation material. The geometric and physical parameters of the TSAC are listed in Table 1. 117



	Perforated corrugated	$\lambda_{tcp} = 0.2/0.06 (W/(m \cdot K)); \tau_{tcp} = 0.89/0.79;$					
	absorber	Physical parameter: $\lambda_{ab}=14.8 \text{ (W/(m·K))}; \alpha_{ab}=0.92; \varepsilon_{ab}=0.2;$ Materials: Polystyrene board and galvanized sheet Physical parameter: $\lambda_{ho}=0.028 \text{ (W/(m·K))}; \alpha_{ho}=0.2; \varepsilon_{ho}=0.1$					
	Insulation housing						
	Air inlet/outlet	Section size: 0.15×0.4/0.2×0.2 (m×m), length: 0.6 (m)					
122							
123	The following assu	mptions are considered to establish the mathematical model					
124	of the TSAC:						
125	(1) The heat transfe	er of the TSAC in the cross-section is neglected.					
126	(2) The velocity of	the recirculating air is uniformly distributed over the TSAC					
127	cross sections.						
128	(3) The density of recirculating air is considered constant as the air inside the						
129	TSAC has a narrow range of temperature and pressure variations.						
130	(4) The thermal parameters of the insulation housing, PCA, transparent cover						
131	plate and recirculating air are temperature independent.						
132	(5) The heat loss	es between the insulation housing and the external					
133	environment ar	e ignored.					
134	2.1.2 The mathematical model of triangular solar air collector						
135	A one-dimensional heat transfer model of the TSAC was developed along the						
136	elevation direction. The energy conservation equation for the recirculating air is						
137	formulated as Eq. (1). It consists of the heat unsteady term, the convection term, and						
138	source terms representin	g the convective heat exchange between the recirculating air					
139	and TSAC components.						
140	$M_{ m air}c_{ m p,air} {\partial T_{ m air}\over\partial au} + M_{ m a}$	$\int_{\text{dir}} c_{\text{p,air}} \frac{\partial (u_{\text{air}} T_{\text{air}})}{\partial x} = q_{\text{conv,ho-air}} + q_{\text{conv,hole}} + q_{\text{conv,tcp-air}} + q_{\text{conv,ab-air}} $ (1)					

141 Energy conservation equations for the transparent cover plates, Eq. (2), the PCA, Eq.

(3) and the insulated housing, Eq. (4) are formulated as follows. Their composition is
similar to Eq. (1), but without the convection term, possessing the diffusion term and
radiative heat gain denoted by source terms. More detailed descriptions of the TSAC
model are in our previous published work [23].

146
$$M_{tcp}c_{p,tcp}\frac{\partial T_{tcp}}{\partial \tau} = V_{tcp}\lambda_{tcp}\frac{\partial^2 T_{tcp}}{\partial x^2} + s_{b,tcp} + s_{d,tcp} + q_{conv,tcp-env} + q_{rad,tcp-air} + q_{rad,tcp-env} + q_{rad,tcp-ab} + q_{rad,tcp-ho}$$
(2)

147
$$M_{ab}c_{p,ab}\frac{\partial T_{ab}}{\partial \tau} = V_{ab}\lambda_{ab}\frac{\partial^2 T_{ab}}{\partial x^2} + s_{b,ab} + s_{d,ab} + q_{conv,ab-air} + q_{conv,hole} + q_{rad,ab-tcp} + q_{rad,ab-ho} + q_{rad,ab-ab}$$
(3)

148
$$M_{\rm ho}c_{\rm p,ho}\frac{\partial T_{\rm ho}}{\partial \tau} = V_{\rm ho}\lambda_{\rm ho}\frac{\partial^2 T_{\rm ho}}{\partial x^2} + q_{\rm conv,ho-air} + q_{\rm rad,ho-tcp} + q_{\rm rad,ho-ab} + q_{\rm rad,ho-ho}$$
(4)

The discrete diffusion, convective, and unsteady terms were represented by the central difference, first-order upwind, and fourth-order Runge-Kutta methods, respectively.

152 2.1.3 The boundary and initial conditions

153 The boundary conditions of the mathematical TSAC model are:

154
$$T_{\text{air}}(x,\tau)\big|_{x=0} = T_{\text{in}}(\tau)$$

155
$$m_{\rm air}(x,\tau)\big|_{x=0} = m_{\rm in}(\tau)$$

The variations of environmental temperature T_{env} , wind speed v_{env} , and solar irradiance I_g with time are considered. The initial conditions of the mathematical model are listed below:

. .

159
$$T_{\text{air}}(x,\tau)|_{\tau=0} = T_{\text{tcp}}(x,\tau)|_{\tau=0} = T_{\text{ab}}(x,\tau)|_{\tau=0} = T_{\text{ho}}(x,\tau)|_{\tau=0} = T_{\text{env}}|_{\tau=0}$$

160

161 **2.2 Frosting model**

162 A quasi-steady-state frosting model of the finned-tube evaporator has been

developed and coupled with the mathematical model of the TSAC. The following
assumptions are considered to simplify the frosting model and facilitate the
calculation:

166 2.2.1 Assumptions of the frosting model

167 (1) The frost thickness is considered constant during a time step and only168 updated at the end of the time step.

169 (2) The heat capacity of the frost layer is ignored.

(3) Only the heat and mass transfer along the thickness direction of the frostlayer is considered in the one-dimensional frosting model.

172 (4) The original growth period during frosting is not considered, i.e., the initial

173 frost thickness and frost density are directly input.

(5) The thickness of frost is consistent across the effective frosting area of thefinned-tube evaporator.

(6) The water content of the air inside the frost layer is supersaturated, and the
amount of water absorbed inside the frost layer is proportional to the density
difference between the supersaturated water inside the frost layer and the saturated
water at the corresponding frost layer temperature.

180 (7) The thermal conductivity of the frost is determined only by the frost density.

181 (8) The convective heat and mass transfer inside the frost layer are ignored.

182 2.2.2 The mathematical model of frosting

183 To simplify the frost model, the frost distribution is assumed to be the same for 184 each heat transfer unit of the finned-tube evaporator. As shown in Fig. 2, a heat and

mass transfer unit consists of fins in a box and copper tubes on both sides of the fins, 185 which are frosted on the windward side. The heat and mass transfer processes of the 186 frosting on the finned-tube evaporator are shown in Fig. 3. Heat conduction exists 187 between the frost layer and the evaporator surface. Heat and mass transfer occur 188 inside the frost layer through thermal conduction and molecular diffusion. The 189 incoming air flows over the frost layer and exchanges heat and mass with it 190 convectively. For the steam entering the frost layer through convective mass transfer, 191 part of it condenses on the surface thickening the frost layer, and the rest is absorbed 192 into the interior of the frost layer increasing its density. 193



194

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Fig. 2. The frost distribution of a heat transfer unit



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divided along the frost thickness direction. The heights of the control bodies located at the top and bottom of the frost layer are $\frac{1}{2}dx$, and the other control bodies are high *dx*. The energy and mass equilibrium equations of the frost layer and the incoming air are presented below.

The energy conservation equation for the frost layer can be expressed as:

205
$$k_{\rm f}(x)\frac{d^2T_{\rm f}(x)}{dx^2} = i_{\rm sv}C\rho_{\rm a}(x)\big(w_{\rm f}(x) - w_{\rm sat}(x)\big)dx$$
(5)

C indicates the empirical value of the absorption coefficient of water vapor by the frost layer, and it is expressed in 1/s. The value of C is mainly determined by the structure of the frost layer, and very low values of C lead to unrealistically large values of water vapor density, as C is on the order of 100 or higher [24]. In this work, C is set at 500 to ensure that the calculation results of the frost model and experimental measurement results are consistent.

The mass conservation equation for the frost layer can be expressed as:

213
$$D_{\rm eff}(x)\rho_{\rm a}(x)\frac{d^2w_{\rm f}(x)}{dx^2} = C\rho_{\rm a}(x)\left(w_{\rm f}(x) - w_{\rm sat}(x)\right)dx \tag{6}$$

The sensible heat transfer between the incoming air and frost layer $Q_{\text{sens.a}}$ can be described as:

216
$$Q_{\text{sens.a}} = c_{\text{p.a}} m_{\text{a}} (T_{\text{a,in}} - T_{\text{a,out}}) = q_{\text{sens.fs}} A_{\text{fs}}$$
 (7)

The mass transfer of incoming air and frost layer M_a can be expressed as:

218
$$M_{a} = m_{a}(W_{a,in} - W_{a,out}) = m_{fs}A_{fs}$$
(8)

The thermal conductivity of the frost layer $k_{\rm f}$ is related only to the frost density, which is calculated as [25]:

221
$$k_{\rm f}(x) = 0.132 + 3.13 \times 10^{-4} \rho_{\rm f}(x) + 1.6 \times 10^{-7} \rho_{\rm f}(x)^2$$
 (9)

The effective water diffusion coefficient D_{eff} in the frost layer is calculated according to the empirical formula proposed by Breque [26], and D_{std} denotes the standard water diffusion coefficient:

225
$$D_{\rm eff}(x) = \frac{D_{\rm std} \left[\rho_{\rm i} - \rho_{\rm f}(x) \right]}{\rho_{\rm i} - 0.58 \rho_{\rm f}(x)}$$
(10)

The third boundary condition is adopted for the heat and mass transfer between the incoming air and the frost layer surface. The sensible heat flux $q_{\text{sens.fs}}$ and the water mass flux m_{fs} on the frost layer surface can be calculated as:

$$q_{\rm sens.fs} = h_{\rm eff} \left(\overline{T_{\rm a}} - T_{\rm fs} \right) \tag{11}$$

230
$$m_{\rm fs} = h_{\rm m.eff} \rho_{\rm a} \left(\overline{w_{\rm a}} - w_{\rm fs} \right) \tag{12}$$

229

The convective heat transfer coefficient h_{eff} is calculated by the empirical formula with the j factor [27]. The j factor is used to calculate the convective heat transfer coefficient of finned-tube heat exchangers with good accuracy and generality, and j factor formula proposed by McQuiston is applied with an applicability range of 700<Re<5000:

236
$$h_{\rm eff} = j_{\rm N} \rho_{\rm a} v_{\rm a.max} c_{\rm p.a} \, \mathrm{Pr_a}^{-\frac{2}{3}}$$
(13)

237
$$j_{\rm N} = 0.991 j_4 (2.24 \,\mathrm{Re}^{-0.092} \times \left(\frac{N}{4}\right)^{-0.031})^{0.607(N-4)}$$
 (14)

238
$$j_4 = 0.0014 + 0.2618 \operatorname{Re}^{-0.4} \left(\frac{A_{\text{total}}}{A_{\text{tube}}}\right)^{-0.15}$$
 (15)

239
$$\operatorname{Re} = \frac{m_{\operatorname{air}} d_{\operatorname{out}}}{A_{\min} \mu_{\operatorname{air}}}$$
(16)

240 The mass transfer coefficient $h_{m,eff}$ is calculated by the Lewis equation:

241
$$h_{\rm m.eff} = \frac{h_{\rm eff}}{\rho_{\rm a} c_{\rm p.a}} L_{\rm e}^{-\frac{2}{3}}$$
(17)

The second boundary condition is applied for the heat transfer between the frost layer and the finned-tube evaporator, and the equivalent temperature of the finnedtube evaporator surface T_{sft} can be calculated as [28] Eq. (18). The average temperature of the incoming air $\overline{T_a}$ takes its arithmetic average of the inlet and outlet temperatures of the finned-tube evaporator.

247
$$T_{\rm sft} = \overline{T_{\rm a}} - \frac{(A_{\rm tube} + \eta_{\rm fin} A_{\rm fin})(\overline{T_{\rm a}} - T_{\rm tube})}{A_{\rm fin} + A_{\rm tube}}$$
(18)

The mass transfer is 0 on the contact surfaces between the frost layer and the finned-tube evaporator:

250
$$D_{\rm eff} \rho_{\rm a} \left. \frac{dw_{\rm f}}{dx} \right|_{x=0} = \mathbf{0}$$
(19)

The capacity and velocity of incoming air in the finned-tube evaporator are 251 important boundary conditions for solving the frost model. The resistance of the 252 finned-tube evaporator increases because of the growth of the frost on its surface, 253 resulting in changes in the flow rate of the incoming air. The evaporator fan speed 254 also varies under different operating conditions. Therefore, it is necessary to derive 255 the flow rate of the incoming air in the finned-tube evaporator under various fan 256 speeds. The relationship between the full pressure $p_{\rm e}$ and the speed of the fan $N_{\rm r}$ is 257 Eq. (20), and the evaporator fan similar law formula constant C_1 takes 0.1 [29]. 258

259
$$p_{\rm e} = C_1 \rho_{\rm a} N_{\rm r}^2$$
 (20)

260 The resistance factor f of the finned-tube evaporator is defined as [30]:

261
$$f = \frac{2\Delta p}{\rho_a v_{a,max} L/d_3}$$
(21)

The resistance factor f can also be calculated by the following formula for flat finned-tube evaporators:

264
$$f = 5.504 \operatorname{Re}^{-0.454} \left(\frac{s}{d_3}\right)^{-0.940}$$
(22)

The flow rate at the narrowest flow path $v_{a,max}$ can be derived by equating the full fan pressure to the total pressure drop of the incoming air along the length of the finned-tube evaporator:

268
$$v_{a.max} = C_1 \frac{s^{0.608} d_3^{\ 0.333}}{L^{0.647} v^{0.294}} N_r^{\ 1.294}$$
(23)

269 The water mass flux at the surface of the frost layer is composed of two parts,

and the part for increasing the frost density can be computed as:

271
$$m_{\rho} = D_{\rm eff} \rho_{\rm a} \left. \frac{dw_{\rm f}}{dx} \right|_{x=f_s}$$
(24)

Then the part condensing at the contact surface and thickening the frost layer can be expressed as:

274

$$m_{\delta} = m_{\rm fs} - m_{\rho} \tag{25}$$

The thickness of the frost layer at each time step can be formulated as:

276
$$\delta_{\rm f}(\tau + \Delta \tau) = \delta_{\rm f}(\tau) + \frac{m_{\delta}(\tau) \times \Delta \tau}{\rho_{\rm f}(\tau)\big|_{x=\rm fs}}$$
(26)

277 **2.3 Numerical methods**

The above boundary conditions were brought into the conservation equations and the diffusion term discretization was in the central difference format. The grid was divided into 100 due to the thin frost layer, and it was found that further

increasing the grid number would not affect the calculation results after several tests. 281 The time step should be small enough to improve the accuracy of the quasi-steady-282 283 state model, but cannot be too small due to the required computational speed for a large number of computations. So, the time step was set to 5 s after several tests to 284 meet the above requirements. The frost thickness was updated at the end of each 285 286 time step. The initial conditions required for the frost model include the initial frost thickness and frost density, which were taken as 0.01 mm and 25 kg/m³ respectively. 287 The parameters to be input are external temperature and moisture content, tube-base 288 temperature of the finned-tube evaporator, evaporator fan speed, and solar irradiance. 289 The model was solved by Python and the physical parameters of air were obtained 290 from the REFPROP. The solution procedure of the frosting model coupled with the 291 292 TSAC model is shown in Fig. 4.

293





Fig. 4. The solution procedure of the coupled model

296 And the solution procedure can be expressed as follow:

(1) Use the initial frost layer thickness to calculate the flow rate of air in theevaporator, and input the required boundary conditions into the TSAC model to

299 calculate the inlet temperature of the incoming air.

300 (2) Determine the average temperature and moisture content of the incoming air
 and frost layer heat transfer by making reasonable assumptions about air temperature
 and moisture content.

303 (3) Assume the frost layer temperature at each node to calculate the dry air
304 density, effective water vapor diffusion coefficient, frost layer thermal conductivity,
305 and moisture content of saturated wet air.

306 (4) Solve the node equations to obtain the new frost layer temperature of each
307 node, then return to the previous step to iterate, until the frost layer temperature
308 distribution and heat and mass transfer between the frost layer and the incoming air
309 are reasonable.

(5) Using the equilibrium equation for air, calculate the new incoming air outlet
temperature and moisture content, then return to step 2 for iterative calculations to
obtain reasonable incoming air outlet parameters.

313 (6) Update the frost thickness and density.

314 (7) Return to step 1 and enter the new frost thickness for the next time step,

repeating this process until the frost thickness reaches the upper limit.

316 3 Results and discussion

317 **3.1 Model validation**

The heat transfer model of TSAC has been verified in the previous study [23], and the frost model of the finned-tube evaporator is validated by the experimental

320	data of Zhang et al [6]. The frost thickness distribution was determined by taking
321	high-resolution camera shots of the frosted surface on the finned-tube evaporator
322	every 5 minutes and the measurement uncertainty was $\pm 2.53\%$. The geometric
323	parameters of the finned-tube evaporator and the experimental frosting conditions
324	are shown in Table 2.

Table 2. The geometric parameters of the evaporator and the experimental frosting conditions.

Geometric parameters of the evaporator	Frosting conditions
Length×width×height: 243×150×22 (mm×mm	×mm) Frosting cycle: 3600s
Rib thickness: 2mm; tube outer diameter: 9.52	2mm Tube base temperature: -10 °C
Rib spacing: 0.2mm; tube spacing: 25mm	Income air temperature: 2 °C
Number of ribs: 76; number of tube rows:	1 Income air moisture content: 3.74 g/kg

Fig. 5 illustrates the calculated and measured values of the frost thickness and 326 the incoming airflow with the frosting process. As the frost grew, the pressure drop 327 of the incoming air along the finned-tube evaporator increased, and the incoming air 328 329 flow rate was reduced from 150 m³/h to 40 m³/h at a constant fan speed in the experiment. The calculated values of incoming airflow were slightly smaller than the 330 experimental test values with an average relative error of 9.9 % at a fan speed of 353 331 rpm. The measured frost thickness on the surface of the finned-tube evaporator is 332 divided into two types: the frost thickness on the windward side (front) and the 333 334 leeward side (back). The windward side frost was significantly thicker than the leeward side in the early stage of frosting, while the two gradually converged later. 335 336 The average relative error between the experimentally measured frost thickness and the model calculated value was 12.3 %. The error mainly occurred before 1200 s of 337

frosting because of the large difference in frost thickness between the front and back 338 of the finned-tube evaporator, which was contrary to the assumption of uniform frost 339 340 distribution over the effective frosting area in the frosting model. At the later stage of frosting, the distribution of frost thickness tended to be uniform, and the error 341 between the measured and calculated values gradually decreased. After 1200 s, the 342 relative error was only 8.9%. The frost layer was thin at the early stage of frosting, 343 and the average frost thickness measured at 1200 s was only 0.6 mm. The thermal 344 resistance of the frost layer is very small, which has less impact on the performance 345 346 of heat pump systems, so the accuracy of the model prediction is more important in the late frost period. In summary, there is reasonable agreement between the 347 experimental test values and the model predictions of the frost thickness, indicating 348 349 that the frosting model developed in this paper is reliable.



350

351

Fig. 5. Comparison of calculated and measured values of frost thickness

352 3.2 Frosting situation comparison at different moments

353 The key parameters affecting the frost condition of the ASHP include the

environment temperature and moisture content, the tube base temperature of the finned-tube evaporator, and the solar irradiance. In this section, the installed area of the TSAC was 2 m² and the evaporator fan speed was fixed at 500 rpm. Since the finned-tube gap of the evaporator was 1.17 mm, the frost thickness was limited to 0.58 mm. The standard values of each key parameter were: environment temperature of 2 °C, ambient air moisture content of 3.4 g/kg, tube base temperature of - 10 °C and solar irradiance of 300 W/m².

Fig. 6 demonstrates the comparison of the frosting situation between the ASHP 361 362 with the TSAC (TSAHP) and the conventional ASHP at different moments. As illustrated in Fig. 6 (a), the sensible and latent heat fluxes between the incoming air 363 and the frost surface decreased as frosting proceeded. This is due to two reasons, on 364 365 the one hand, larger thermal resistance leads to a higher temperature and saturated air moisture content on the frost layer surface, and a lower flow rate makes smaller 366 average temperature and moisture content of the incoming air. As a result, the 367 temperature and moisture content difference between the incoming air and the frost 368 layer surface decreases. On the other hand, the reduced flow rate lessens the 369 convective heat and mass transfer coefficient between the incoming air and the frost 370 layer surface. The sensible heat flux was higher than the latent heat flux throughout 371 the frosting process, but the sensible heat flux declined more quickly. The difference 372 between sensible and latent heat fluxes for the TSAHP dropped from 168.2 W/m² to 373 124.2 W/m² from the beginning of frosting to 1800 s. 374

The total and sensible heat fluxes between the incoming air and the frost surface

were higher for TSAHP than for ASHP, attributed to the greater temperature difference between the frost surface and the air preheated by the TSAC before entering the evaporator of the TSAHP. As shown in Figure 6 (c), the frost thickness of ASHP increased at a smaller rate and the flow rate of incoming air decreased more slowly as the frosting progressed, hence the sensible and latent heat fluxes decreased more slowly. The total heat flux of TSAHP was 21.8 W/m² higher than that of ASHP at the beginning of frosting, and 101.4 W/m² higher at the 1800 s of frosting.

As indicated in Fig. 6 (b), the trends of water vapor diffusion flux were similar 383 384 to those of heat flux, but with a minor difference between TSAHP and ASHP. Since the surface temperature and the corresponding saturated moisture content of the 385 TSAHP frost layer are higher, the moisture content difference with the incoming air 386 387 is smaller. Thus, the water vapor diffusion flux used to increase the frost thickness of TSAHP is less than that of ASHP at the early stage of frosting. At the same time, the 388 water vapor diffusion flux to increase the density was greater due to the larger 389 390 temperature gradient and larger saturated air moisture content gradient in the frost layer of the TSAHP. In summary, the frost thickness of TSAHP was smaller due to 391 the lower water vapor diffusion flux for increasing the frost thickness and higher 392 water vapor diffusion flux for increasing the frost density. In this paper, the tube base 393 temperature was fixed to explore the effects of a single variable. In real system 394 operation, the evaporation temperature and tube base temperature of TSAHP are 395 higher than those of traditional ASHP, which further reduces the frosting of TSAHP. 396 The water vapor diffusion fluxes of both systems are primarily used to increase frost 397

thickness. For TSAHP, the water vapor diffusion flux for thickening frost layer was
2.5 times greater than that for increasing frost density throughout the frosting
process.

The difference in frost thickness for TSAHP and ASHP during the frosting process is depicted in Fig. 6 (c). The frost thickness gradually rose in the frosting process, but its development rate slowed due to the lower water vapor diffusion flux between the incoming air and the frost layer surface. The growth rates of TSAHP and ASHP frost thickness fell 36.7 % and 38.3 %, respectively, from the beginning of frosting until the 1800s. The frost of TASHP grew slower than ASHP, and TSAHP frost thickness dropped by 9.6% compared to ASHP at 1200 s.



408 409

(a)



410 411



412 413

(c)



Figure 7 (a) shows the heat fluxes of TSAHP and ASHP at different locations along the frost thickness direction. The heat transfer direction within the frost layer is from the surface to the bottom. The heat flux at the frost layer's surface consists of sensible and latent heat exchange. The sensible heat exchange is between the frost layer's surface and the incoming air. The latent heat exchange from condensing water vapor raises the thickness of the frost layer. During the heat transfer process, the water vapor that increased the frost density condensed and released latent heat of vaporizing, which increased the heat flux. But the heat flux changed less across the entire frost thickness because the condensation heat was minor. Furthermore, the heat flux of TSAHP was greater than ASHP, indicating that the TSAHP evaporator exchanges heat with the incoming air better and has superior system performance throughout the frosting process.

Figure 7 (b) depicts the water vapor diffusion fluxes of TSAHP and ASHP at 428 429 various positions along the frost thickness direction. The water vapor diffusion flux was the greatest on the frost surface, and the water vapor is continuously absorbed 430 by the frost layer as it spread from the frost surface to the bottom. The water vapor 431 diffusion flux gradually decreased and it was $0 \text{ kg}/(\text{m}^2 \cdot \text{s})$ at the interface between the 432 frost layer and the finned-tube evaporator. Furthermore, as frosting progressed, the 433 frost layer density increased, and the water vapor diffusion flux and the amount of 434 435 water vapor entering the frost layer decreased, resulting in the smallest gradient of water vapor diffusion flux at the 1800s. Similarly, the water vapor diffusion fluxes of 436 TSAHP and ASHP followed a similar decreasing trend, with TSAHP having slightly 437 higher values. 438









Fig. 7. (a) Heat fluxes and (b) water vapor diffusion fluxes at various frost thicknesses

3.3 Frosting situation comparison at different operating conditions

445	In this section, when analyzing the influence of a parameter, the values of the
446	other parameters were set to be constant in accordance with the standard values. The
447	standard values for each key parameter were 2 °C environment temperature, 3.4 g/kg
448	ambient air moisture content, - 10 °C tube base temperature, and 300 W/m^2 solar

449 irradiance.

Fig. 8 compares the frost thickness of TSAHP and ASHP at three moments 450 451 under different ambient temperatures. The temperature of incoming air rise along with the ambient temperature, leading to the increase of the frost layer surface's 452 temperature and its saturated moisture content. And then the water vapor diffusion 453 flux between the incoming air and the frost layer surface falls. Furthermore, as the 454 finned-tube evaporator's equivalent temperature and frost layer temperature increase, 455 the diffusion coefficient between the frost and the wet air inside it rises, raising the 456 457 water vapor diffusion flux for increasing the frost density. Frost thickness decreases due to the interaction of decreasing total water vapor diffusion flux and increasing 458 water vapor diffusion flux for enlarging frost density. As the ambient temperature 459 460 rose from -2 °C to 6 °C, the TSAHP frost thickness decreased by 0.11 mm, 0.17 mm, and 0.22 mm at 600 s, 1200 s, and 1800 s, respectively. The frost thickness 461 decreased more at later frosting moments as higher ambient temperatures could 462 463 consistently restrain the growth of frost. Compared with the ASHP, the rate of frost thickness reduction at different moments was slightly slower for TSAHP, as the 464 TSAC had a greater impact on the preheating of incoming air at lower ambient 465 temperatures. Above all, the benefit of TSAHP in postponing frost is greater with 466 lower ambient temperature and longer frost cycles. 467



468 469

Fig. 8. The frost thickness of TSAHP and ASHP at different ambient temperatures

470 Fig. 9 compares the frost thicknesses of TSAHP and ASHP at three moments with various incoming air moisture contents. As the moisture content of the 471 incoming air increased, the thickness of the frost layer increased linearly at all 472 473 frosting moments, and the frost thickness of TSAHP was thinner than that of ASHP. The average frost thickness of TSAHP was 10.4% less than ASHP at all air moisture 474 content. At later stages of frosting, increasing the incoming air moisture content had 475 476 less impact on the growth of the frost thickness, as the frost thickness and the saturated air moisture content corresponding to the frost surface temperature 477 increased, resulting in a decrease in the amount of incoming air and the water vapor 478 diffusion flux between the incoming air and the frost surface. 479



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Fig. 9. The frost thickness of TSAHP and ASHP with different incoming air moisture content 481 482 Fig. 10 compares the frost thicknesses of TSAHP and ASHP at three different moments for various tube base temperatures of the finned-tube evaporator. With the 483 tube base temperature increased, the equivalent temperature of the finned-tube 484 485 evaporator was higher, the frost layer temperature went up. The higher frost surface temperature led to the larger saturated air moisture content, as the water vapor 486 diffusion flux between the incoming air and the surface of the frost layer, and the 487 488 thickness of the frost layer decreased. When the tube base temperature was -14 °C, the frost thickness of TSAHP increased by 0.24 mm from 600 s to 1800 s, but when 489 the tube base temperature was -6 °C, it only increased by 0.02mm. Both TSAHP and 490 ASHP experienced the same trend in frost thickness as the tube base temperature 491 rose. At 1800 s, the frost thickness decreased by 0.45 mm for TSAHP and 0.47 mm 492 for ASHP as the tube base temperature increased from -14 $^{\circ}$ C to -6 $^{\circ}$ C. 493

494 The frost thickness can be reduced by increasing both the tube base temperature 495 and the ambient temperature, but the tube base temperature had a greater effect. It

was due to the tube base temperature having a greater impact on the equivalent 496 temperature of the finned-tube evaporator surface, which in turn had a greater impact 497 498 on the frost layer temperature distribution and saturated air moisture content of the saturated air on the frost layer surface, as well as the water vapor diffusion flux 499 between the incoming air and frost layer surface. At the 1800s, the frost thickness of 500 TSAHP and ASHP decreased by 9.8% and 9.9% for every 1 °C increase in ambient 501 temperature, while decreased by 27.3% and 25.3% for every 1 °C increase in tube 502 503 base temperature.



Fig. 10. The frost thickness of TSAHP and ASHP with different tube base temperature Fig. 11 shows the comparison of the frost thickness of TSAHP and ASHP at three moments with different solar irradiance. The maximum solar irradiance was set at 500 W/m², because frosting occurred typically in the early morning when the ambient temperature was low and the moisture content was high. With the solar irradiance increased from 100 W/m² to 500 W/m², the frost thickness of TSAHP decreased by 12.2 %. The solar irradiance did not affect the thickness of the ASHP

504

frost layer. When the solar irradiance was 500 W/m^2 , the average frost thickness of



Fig. 11. The frost thickness of TSAHP and ASHP at different solar irradiance

513 TSAHP at the three moments could be 15.1% less than that of ASHP.

514 515

Fig. 13 depicts the frost thickness and evaporator heat exchange capacity of ASHP and TSAHP operating from 9:00 to 17:00 on a typical daily meteorological parameter (Fig. 12) in Dalian, China. The frost thickness was set to zero when the frost filled the finned-tube gap, symbolizing the defrosting of the system. And both

the frost thickness and heat exchange capacity varied periodically and the nodes of abrupt changes corresponded to each other. The frost thickness growth rate of TSAHP was significantly slower than that of ASHP, and TSAHP defrosted twice less than ASHP during the eight-hour daytime period. This was due to increases in direct solar irradiance and ambient temperature, both of which help the TSAC preheat the incoming air and raise the evaporating temperature, then slowed the growth of frost thickness.

527

When the frost thickness went up, the evaporator heat exchange of the ASHP

decreased, and that of the TSAHP continued to grow. The thicker frost layer 528 enhanced the convective heat exchange thermal resistance of the evaporator surface, 529 530 causing the convective heat exchange between the incoming air and the finned-tube evaporator to be weak. However, because TSAHP used TSAC to preheat the 531 incoming air, and the heat collection of TSAC became stronger as the direct solar 532 radiation increased, the temperature of the incoming air sweeping through the 533 evaporator rose. As a result, the temperature difference between the incoming air and 534 the evaporator surface was greater and the heat transfer was stronger, so the heat 535 536 exchange of the evaporator in TSAHP stayed growing as the frost thickened during a frost process. During the eight hours of daylight, the total heat exchange of the 537 TSAHP evaporator was 36.6% greater than that of the ASHP. 538

539





Fig. 12. The typical daily meteorological parameters in Dalian, China



Fig. 13. The frost thickness and evaporator heat transfer capacity of TSAHP and ASHP on a
 typical day

545 **4 Conclusions**

542

In this paper, the triangular solar air collector (TSAC) is adopted for frost restraint of air source heat pump (ASHP). The dynamic heat transfer model of the TSAC and a quasi-steady-state frosting model of the ASHP were established and coupled. The correlation equation between frost layer thickness and evaporator air flow is derived to make the coupled model better applicable. The frosting characteristics of the ASHP with the TSAC (TSAHP) and the conventional ASHP were compared and analyzed. The main conclusions can be drawn as follows.

(1) The frost model considers the effect of frost thickness variation on incoming
air flow, and the average relative error between calculated and tested incoming air
flow is 9.9%. The relative error between the calculated and tested frost thickness is
8.9% after uniform frost distribution, indicating the reliability of the frosting model.
(2) The sensible heat flux of incoming air and frost surface is greater than the

latent heat flux, and the water vapor diffusion flux of increasing frost thickness is
greater than that of increasing frost density, and the former is 2.5 times the latter for
TSAHP.

(3) The frost thickness decreases as the ambient temperature and tube base
temperature rise, and the influence of tube base temperature is greater. The frost
thickness of TSAHP is 15.1% less than ASHP when the solar irradiance is 500 W/m².
(4) The TSAHP increases the heat flux by 36.6% and reduces half of the
defrosting times compared with the conventional ASHP under standard operating
conditions, indicating that the TSAC is effective in restraining the frosting of ASHP,
which helps ASHP operate efficiently.

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