

# 1 **Subject category and title**

2 Adaptive river basin planning: Negotiating Nile infrastructure management  
3 should consider climate change uncertainties

## 4 **Author list**

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## 20 **Standfirst**

21 High uncertainty exists in the projected climate change impacts on the Nile's economies  
22 and water-dependent sectors. Under these uncertainties, managing the Grand Ethiopian  
23 Renaissance Dam (GERD) cooperatively and adaptively can produce economic and water  
24 management benefits for Ethiopia, Sudan, and Egypt.

## 25 **The policy problem**

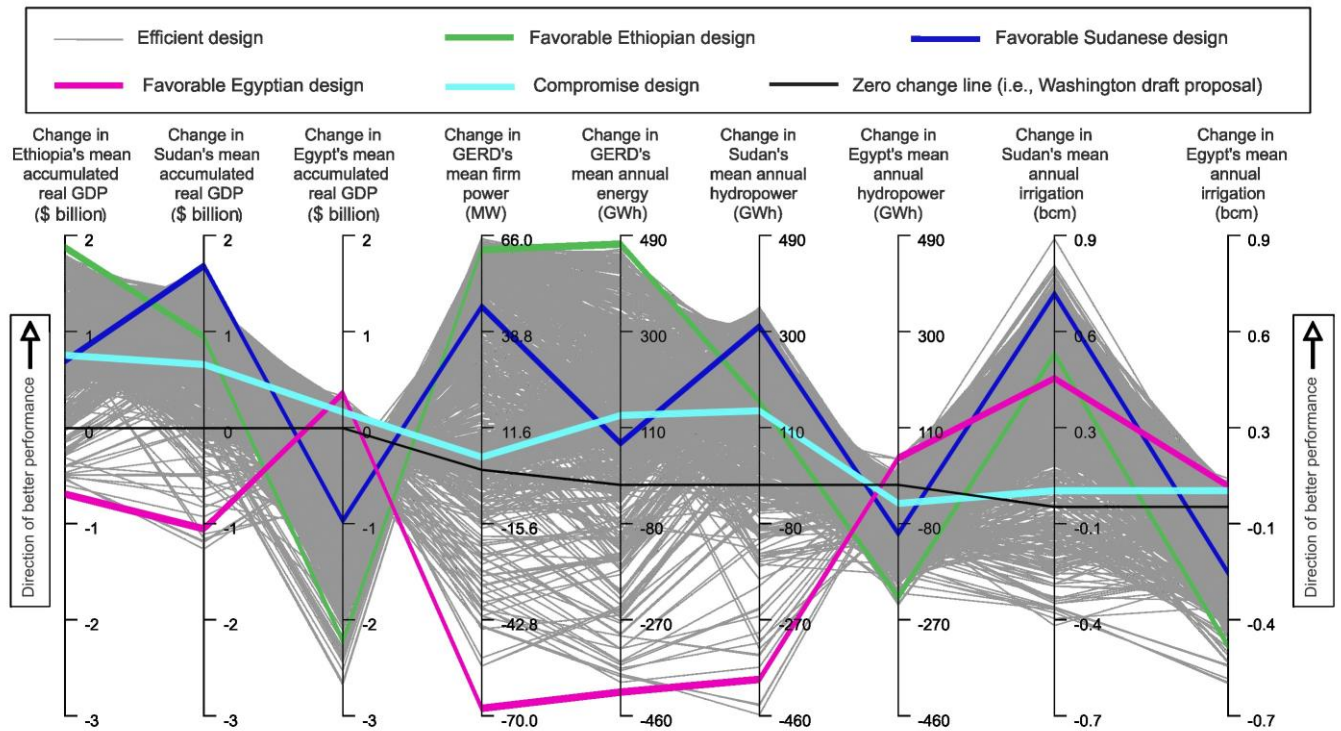
26 Designing management strategies for large dams requires adopting a multi-dimensional  
27 approach to foster synergies, identify efficient tradeoffs, and optimize economic performance.

28 Dam negotiations between the Nile riparian countries have traditionally used biophysical

29 metrics only, such as irrigation water supplies and hydropower generation, even though  
30 governments often build dams to achieve wider economic goals. The implications of climate  
31 change uncertainty for the Nile hydrology (e.g., streamflow and irrigation demands) and the  
32 economies of its riparian countries (e.g., economic development pathways, population  
33 growth, and climate policies) mean non-adaptive dam management can perform poorly. The  
34 construction of the GERD on the Nile triggered political tensions between Ethiopia, Sudan,  
35 and Egypt, with negotiations between the countries yet to reach an agreement. While  
36 negotiations over the GERD have been ongoing since 2011, economy-wide metrics alongside  
37 climate change uncertainties have not been considered in developing and evaluating dam  
38 operation proposals for the Nile.

## 39 **The findings**

40       Based on 29 climate projections, we find that both the sign and magnitude of potential  
41 changes in naturalized streamflow of the Nile in 2021-2050 are highly uncertain. These  
42 uncertainties spark the need for an adaptive and cooperative approach. We show that  
43 cooperative adaptive management of the GERD yields compromise solutions with economy-  
44 wide benefits to Ethiopia, Sudan, and Egypt compared to a proposal discussed in Washington  
45 D.C. in 2020 (Fig. 1). Under an example compromise solution (Fig. 1), the mean (based on 29  
46 projections) discounted (at 3%) real GDP increases by 0.77, 0.67, and 0.18 billion USD in  
47 2020-2045 for Ethiopia, Sudan, and Egypt, respectively, relative to the Washington Draft  
48 Proposal. These benefits are more pronounced under extreme climate scenarios, with rises in  
49 discounted real GDP of up to 15.8, 6.3, and 3.0 billion USD over 2020-2045 for Ethiopia,  
50 Sudan, and Egypt, respectively. Our results should be complemented by evaluating the  
51 impacts on ecology, groundwater, and riparian populations.



52

53 **Fig. 1 Ethiopian, Sudanese, and Egyptian economic and river system performance under**  
 54 **the best performing designs of an adaptive Grand Ethiopian Renaissance Dam**  
 55 **(GERD) operating approach considering 29 climate change projections for 2020-2045.**  
 56 Each line of the parallel coordinates plot shows the performance achieved by one of the  
 57 Pareto-efficient adaptive designs or policies, i.e., a policy which, if further improved for one  
 58 performance metric, would imply a reduction in one or more other performance metrics. All  
 59 change values are calculated from a baseline in which the GERD is operated based on the  
 60 Washington draft proposal. The upward direction on each axis indicates better performance  
 61 (i.e., a ‘perfect adaptive plan’ would be a straight line across the top); diagonal lines between  
 62 neighboring axes imply tradeoffs, whereas horizontal ones show synergies. The firm power  
 63 values are calculated based on a 90% reliability, and the real GDP values are discounted at a  
 64 3% rate.

## 65 **The study**

66 We developed a planning framework for Nile infrastructure management considering  
 67 the socio-economic and hydrological uncertainties of climate change. The framework  
 68 integrates hydrological, economy-wide, and river system simulators driven by climate and  
 69 socio-economic data from the Coupled Model Intercomparison Project (CMIP) 6. This  
 70 framework enables the estimation of multiple economy-wide and engineering performance  
 71 metrics under various infrastructure management plans and climate change projections. The  
 72 simulators were calibrated and validated, and the climate change data were bias-corrected and

73 downscaled before being used. The climate scenario ensemble includes members synthesized  
74 to address the wetting tendency of climate models known as the “East Africa climate  
75 paradox.” The most efficient operational designs for a cooperative and adaptive GERD  
76 management formulation were identified by linking the integrated simulators with an artificial  
77 intelligence search algorithm over thousands of iterations. We then compared the performance  
78 under the identified efficient, optimized plans with that of the Washington Draft Proposal.

## 79 **Messages for Policy**

- 80 • There are deep uncertainties around the impacts of climate change on the Nile  
81 streamflow, reservoir evaporation rates, crop evapotranspiration, and socio-economic  
82 development.
- 83 • Adaptive management plans for Nile infrastructure are vital for coping with climate  
84 change uncertainty; such plans involve short-term actions and adaptation mechanisms as  
85 climate change unfolds.
- 86 • Cooperative adaptive management of the Grand Ethiopian Renaissance Dam provides  
87 economy-wide and water management benefits to Ethiopia, Sudan, and Egypt compared  
88 to the Washington Draft Proposal.
- 89 • Adaptive management plans of the Grand Ethiopian Renaissance Dam that maximize the  
90 economy-wide gains of one country result in losses for at least one of the other two  
91 countries compared to the Washington Draft Proposal; however, adaptive solutions exist  
92 that improve performance for all countries.

## 93 **Source research**

94 Basheer, M., Nechifor, V., Calzadilla, A., Gebrechorkos, S., Pritchard, D., Forsythe, N.,  
95 Gonzalez, J.M., Sheffield, J., Fowler, H.J., Harou, J.J., 2022. Cooperative adaptive  
96 management of the Nile River with climate and socio-economic uncertainties. *Nature Climate*  
97 *Change*.

## 98 **Further Reading**

99 Basheer, M., Nechifor, V., Calzadilla, A., Siddig, K., Etichia, M., Whittington, D., Hulme, D.,  
100 Harou, J.J., 2021. Collaborative management of the Grand Ethiopian Renaissance Dam  
101 increases economic benefits and resilience. *Nature Communications* 12, 5622.  
102 <https://doi.org/10.1038/s41467-021-25877-w>

103 **This study describes the integrated economy-wide and river system simulation used to**  
104 **model the interlinkages between the Nile river system and the economies of Ethiopia,**  
105 **Sudan, and Egypt.**

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107 Basheer, M., Nechifor, V., Calzadilla, A., Ringler, C., Hulme, D., Harou, J.J., 2022.  
108 Balancing national economic policy outcomes for sustainable development. Nature  
109 Communications 13, 5041. <https://doi.org/10.1038/s41467-022-32415-9>

110 **This article connects economy-wide simulation with artificial intelligence search and**  
111 **machine learning. This shows that the multiobjective design approach used in the**  
112 **present study is general; it can be used in a wide range of contexts to find efficient**  
113 **policies and the trade-offs and synergies they imply.**

114

115 O'Neill, B.C., Tebaldi, C., Van Vuuren, D.P., Eyring, V., Friedlingstein, P., Hurtt, G., Knutti,  
116 R., Kriegler, E., Lamarque, J.F., Lowe, J., Meehl, G.A., Moss, R., Riahi, K., Sanderson, B.M.,  
117 2016. The Scenario Model Intercomparison Project (ScenarioMIP) for CMIP6. Geoscientific  
118 Model Development 9, 3461–3482. <https://doi.org/10.5194/gmd-9-3461-2016>

119 **This paper describes the climate change scenarios of the Coupled Model**  
120 **Intercomparison Project (CMIP) 6, from which some scenarios have been bias-**  
121 **corrected, downscaled, and then used in simulating the impacts of climate change on the**  
122 **Nile Basin and its riparian economies. More scenarios were synthesized to address the**  
123 **“East Africa climate paradox.”**

124

125 Marchau, V.A.W.J., Walker, W.E., Bloemen, P.J.T.M., Popper, S.W., 2019. Decision making  
126 under deep uncertainty: from theory to practice. Springer Nature. [https://doi.org/10.1007/978-](https://doi.org/10.1007/978-3-030-05252-2)  
127 [3-030-05252-2](https://doi.org/10.1007/978-3-030-05252-2)

128 **This book provides a review of methods for decision-making under deep uncertainty**  
129 **(such as climate change), which motivated the adaptive management formulation used**  
130 **for the GERD in our study.**

131

132 Edrees, M., 2020. Letter from the permanent representative of Egypt to the United Nations  
133 addressed to the President of the Security Council.  
134 <https://digitallibrary.un.org/record/3931750?ln=en>

135 **This document is a letter from the permanent representative of Egypt to the United**  
136 **Nations to the President of the United Nations Security Council in which the**  
137 **Washington Draft Proposal for filling and operating the GERD is annexed.**

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139 **Acknowledgments**

140 M.B.'s doctoral degree is funded by the Faculty of Science and Engineering of the  
141 University of Manchester. This work was supported by the UK Research and Innovation  
142 Economic and Social Research Council [ES/P011373/1] as part of the Global Challenges  
143 Research Fund through the "Future Design and Assessment of water-energy-food-  
144 environment Mega Systems" ([FutureDAMS](#)) research project, in which J.J.H. served as  
145 research director. The authors thank GAMS Software GmbH for providing optimization  
146 solver licenses compatible with deploying the economy-wide simulation on supercomputers.  
147 The authors acknowledge the use of the Computational Shared Facility (CSF) and High-  
148 Performance Computing (HPC) of the University of Manchester. The views expressed in this  
149 paper are the responsibility of the authors and do not necessarily reflect those of their  
150 institutions.

## 151 **Competing interests**

152 The authors declare no competing interests.

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