1 Sustainable y-cyclodextrin frameworks containing ultra-fine silver 2 nanoparticles with enhanced antimicrobial efficacy 3 Hessah Alotaibi^{1,4†}, Etelka Chung^{3†}, Se Hun Chung¹, Guogang Ren^{3*} Vikramjeet 4 5 Singh^{1,2*} and Jie Huang^{1*} 6 7 ¹Department of Mechanical Engineering, University College London, Torrington Place, London WC1E 7JE, UK 8 9 ²Nanoengineered Systems Laboratory, UCL Mechanical Engineering, University 10 College London, London, WC1E 7JE, UK 11 ³School of Engineering & Computer Science, University of Hertfordshire, Hatfield, 12 AL10 9AB, UK ⁴Department of Biomedical Engineering, King Faisal University, Hofuf, 31982, Saudi 13 14 Arabia 15 [†] These authors contribute equally 16 17

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21 Abstract

22 Cyclodextrin metal-organic frameworks (CD-MOF) are a class of biocompatible 23 MOFs with a great potential in drug delivery applications. Original CD-MOF crystals 24 are fragile and large (0.2-1 mm), which are less useful in pharmaceutical applications. Cetyltrimethylammonium bromide and long chain poly(ethylene) glycol, 25 26 used in size modulation to produce nanosized CD-MOF can compromise the 27 biocompatibility, and physiochemical properties of CD-MOF as their complete 28 removal from frameworks is difficult. To avoid the use of above-mentioned 29 modulators, herein, we demonstrate the synthesis of nanosized CD-MOF using 30 triethylamine (TEA) as a modulator to reduce their size to ~254 nm. The MOF characteristics such as crystal and chemical structure remain unaffected and the 31 32 surface area of CD-MOF synthesised with TEA is measured 1075.5 m²/g, almost 50% higher than those of synthesised using bulky modulators. The improved CD-33 34 MOF architecture utilised for the *in-situ* synthesis of silver nanoparticles resulted in 35 enhanced antimicrobial efficacy tested against Staphylococcus aureus and 36 Escherichia coli bacteria and Candida albicans fungus. And minimum inhibitory 37 concentration (MIC) is recorded in the range of 31-15 µg/mL. Overall, the structural improvement in CD-MOF supported with thorough comparative investigations and 38 39 enhanced antimicrobial efficacy could be very helpful in further establishing them in biomedicine field. 40

43 Keywords

44 Cyclodextrin metal-organic frameworks, triethylamine, antimicrobial, silver45 nanoparticles, modulators,

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47 **1. Introduction**

Microbial-induced infectious diseases are a serious threat to human life and world 48 49 economy causing millions of deaths each year world-wide (Larsson and Flach, 2022). After the discovery of Penicillin in 1928, the first antibiotic, thousands of drugs 50 51 have been developed and antibiotics remain an indispensable approach to fight 52 these dangerous micro-organisms including bacteria, and fungi (Wenzel, 2020). However, microbes, especially bacteria started developing resistance against these 53 54 antibiotics over the time due to misuse and overuse of these drugs, overgrowing 55 population, poor sanitation and inappropriate sewage system (Fouz et al., 2020; Larson, 2007). Research has been ramped up to find alternatives to save human life 56 57 and of all the antimicrobial materials such as metal-ion materials, organic materials, photocatalytic materials and natural compounds, nanoparticles-based approach has 58 59 attracted great attention due to its high efficacy (Staroń and Długosz, 2021). In particular, silver nanoparticles (AgNPs) possess a broad spectrum of antimicrobial 60 activities due to their ability to penetrate microbial cell walls and interrupt cell 61 62 deoxyribonucleic acid replication through release of sliver ions (Dakal et al., 2016). 63 Apart from studying the mechanism of action, the effect of size, shape, charge, coating/capping, agglomeration and purity of AgNPs on the antimicrobial activity 64 have been exploited extensively (Hong et al., 2016; Skandalis et al., 2017). The size 65 of AqNPs was found to have a significant impact - smaller AqNPs exhibit higher 66 67 antibacterial activity compared to the larger nanoparticles. It is concluded that AgNPs 68 with size smaller than the 100 nm interacts well and could penetrate the cell wall 69 more efficiently to reach the cytoplasm (site of action) (Agnihotri et al., 2014). In 70 addition to all of the excellent properties, one of the major drawbacks which is preventing AgNPs to be used as commercial antimicrobial materials is their poor 71 72 underwater stability (Fernando and Zhou, 2019). Water-based nanoparticles 73 suspensions tend to aggregate, resulting into loss of their antimicrobial functionality. 74 The use of polymers, proteins and other stabilizing agents (surfactants) to prevent 75 their aggregation is either inefficient or involves hazardous chemicals which 76 compromise the biocompatibility of the formulation (Akter et al., 2018; Andrieux-77 Ledier et al., 2013). AgNPs have been incorporated into polymer matrix, zeolites or 78 metal-organic frameworks (MOF) for the stability enhancement (Li et al., 2022; Rifai 79 et al., 2006; Torres-Flores et al., 2021). MOF, a new class of porous materials have 80 been exploited for their use in improving the stability of drugs and nanoparticles (Cao 81 et al., 2021; Cure et al., 2019; Luzuriaga et al., 2019) in addition to other applications such as gas storage, imaging, sensing, catalysis due to their well-defined porosity 82 83 and tunable size and structure (Lawson et al., 2021). Especially, cyclodextrin based MOF (CD-MOF) is the centre of attention in drug delivery research field due to: i) 84

85 facile synthesis from edible components such as starch derived cyclic oligosaccharides (cyclodextrins), alkali metals (potassium hydroxide) and alcohols 86 87 (ethanol), ii) dual cavities from cyclodextrin molecules (0.7 nm) and framework architecture (1.7 nm) and iii) exceptional capability of cyclodextrins to form inclusion 88 89 complex with the wide variety of molecules (He et al., 2019; Liu et al., 2016). 90 Specifically, in healthcare, CD-MOF have been exploited for targeted drug delivery of anti-cancer drugs, dry powder inhalation, solubility enhancer and antibacterial 91 92 materials (Huang et al., 2022; Shakya et al., 2019; Zhang et al., 2018). Several 93 structure improvement studies have been also conducted to overcome the moisture 94 sensitive nature of CD-MOF such as by grafting cholesterol molecules on the 95 surface, incorporating fullerene (C60) into their cavities and forming hydrogel by 96 directly cross-linking cyclodextrin units with ethylene glycol and diphenyl carbonate 97 (Li et al., 2016; Singh et al., 2017a; Singh et al., 2017b).

98 In an interesting finding, nanocavities of CD-MOF have been utilized as 99 nanoreactors for the synthesis of ultrafine AqNPs with a diameter of ~2-5 nm and their applicability as electric conductors has been demonstrated (Wei et al., 2012). 100 101 Shakya et al., have shown the use of these AgNPs loaded CD-MOF as potential anti-bacterial material and the aqueous stability of nanoparticles was enhanced 102 103 significantly after incorporation into the nanoporous framework (Shakya et al., 2019). The size of as synthesized CD-MOF is in the range of millimetre (200 µm to 1 mm) 104 105 and not suitable for drug delivery applications due to their highly fragile and full-ofcracks architecture. Size modulation using acids, bases or surfactants is a popular 106 107 method to improve the synthesis reproducibility and control the shape and size of MOF (Wang et al., 2022; Zahn et al., 2014). In all CD-MOF work mentioned above, 108 109 size was reduced to submicron to nanometer range their by usina 110 cetyltrimethylammonium bromide (CTAB) and long chain poly(ethylene) glycol 111 (mainly PEG 20,000) as modulators (Liu et al., 2016). However, both the modulators, CTAB and PEG with bulky molecular structure exhibit strong interaction with CD 112 cavity and therefore, their complete removal from the highly cross-channel network is 113 not possible even after extensive washing. It has been reported that β -CD can form 114 inclusion complex with CTAB molecules spontaneously and this can be mainly 115 attributed to the hydrophobic interaction between the inner cavities of β -CD and the 116 alkyl chain of CTAB (Bagheri and Rafati, 2014). Likewise, interaction between β-CD 117 and PEG molecules has been also reported in aqueous solution via the formation of 118 119 strong intermolecular hydrogen bonding (Valero et al., 2003). The presence of these 120 bulky molecular chains could affect their physiochemical properties such as their 121 surface area and pore blockage, ultimately affecting their drug/nanoparticles loading 122 efficacy. Also, CTAB is a highly cytotoxic material with well-known degradation effect on bio membranes. CTAB coated gold nanorods was found to be highly toxic on skin 123 124 cells due to the residual CTAB molecules (Wang et al., 2008). Also, the cells viability 125 test confirmed the significant cytotoxicity against human colon cancer cells (65-75%) 126 loss of viability) when it was exposed to CTAB-capped gold nanorods solution 127 (Alkilany et al., 2009). Overall, use of these toxic and bulky molecular chains hinders

the potential application of CD-MOF in biomedicine, and therefore, a safe alternativeis required to utilise their excellent inherent biocompatible structure.

We envisage that the replacement of CTAB and PEG 20,000 with safe and low-130 molecular-weight modulator could help improving the physicochemical properties of 131 132 CD-MOF which will ultimately lead to the enhanced antimicrobial efficacy of silver 133 loaded framework. After screening the list of modulators that could fit into our 134 hypothesis, we observed that triethylamine (TEA), is the best alternative (Rodríguez et al., 2020; Usman et al., 2020) as it is a safe molecule which is used in some of the 135 cosmetic products related to skin and hair (Fiume et al., 2013), and can be easily 136 removed from the CD-MOF nanocavities due to its volatile nature and small 137 molecular size (molecular weight, 101.19 g/mol). TEA has been used as a capping 138 139 agent to stabilize the nanoparticles and its role to promote nucleation is well established in MOF (Li et al., 1999; Wang et al., 2018). The effect of TEA on the size 140 141 reduction of AgNPs was also reported (Wu and Hsu, 2011). Herein, we report the 142 sustainable synthesis and size modulation of CD-MOF by using TEA as a modulator for the first time. Furthermore, AgNPs were synthesised inside the nanocavities of 143 144 newly synthesised CD-MOF and compared to those obtained using CTAB and PEG 145 as modulators for its antimicrobial efficacy (tested against Staphylococcus aureus and Escherichia coli bacteria and Candida albicans fungus) and other important 146 147 characteristics such as surface area and nanoparticles loading efficiency. Their 148 antimicrobial efficacy was assisted by the agar cut well method and minimal inhibitory concentration (MIC) herein. 149

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151 **2. EXPERIMENTAL**

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153 2.1. Materials & Regents

154 The y-cyclodextrin (y-CD, 98%) was purchased from Apollo Scientific (UK). Regent grade, 90% potassium hydroxide (KOH), molecular biology grade, ≥99% 155 cetyltrimethylammonium bromide (CTAB), poly(ethylene) glycol 20000 (PEG), HPLC 156 grade absolute ethanol (EtOH), acetonitrile (anhydrous, ≥98%), and HPLC grade, 157 158 ≥99% methanol (MeOH), ≥99.5% triethyl-amine (TEA) and silver nitrate (AgNO₃) ACS reagent (≥99%) were purchased from Sigma-Aldrich (UK). For the antimicrobial 159 tests, laboratory strain bacteria, S. aureus and E. coli, and fungi C. albicans (ATCC 160 2091) were kindly provided by The University of Hertfordshire microorganism 161 collection. Also, 25% glutaraldehyde in H₂O, 4% osmium tetroxide in H₂O, nutrient 162 agar, yeast peptone dextrose agar, nutrient broth, yeast peptone dextrose broth, 163 164 Mueller Hinton broth and resazurin dye (Bioreagent grade) were purchased from 165 Sigma-Aldrich (UK). (x10) phosphate buffered saline (Invitrogen (TM)) was obtained 166 from Thermo Fisher Scientific (UK).

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168 2.2. Synthesis of CD-MOF-TEA

169 CD-MOF nanoparticles were synthesised according to the previously reported 170 methods (Liu et al., 2016; Singh et al., 2017b) with some modifications to make it more biofriendly. An aqueous solution (10 mL) of γ -CD (324 mg, 25 mM) and KOH (112 mg, 200mM) was prepared. Then, 1 mL of MeOH was directly added to the glass vial and heated for 30 minutes at 70 °C and then different amounts of TEA (1 mL - 3.0 mL) was added followed by the addition of equal amount of MeOH as parent solution. The suspension was incubated at room temperature for 2 hr. Finally, the precipitates were harvested using a centrifuge (5000 RPM) and repeatedly washed three times with EtOH and dried at 50°C overnight under vacuum.

Control CD-MOF samples with CTAB and PEG and CD-MOF particles (without any 178 179 modulator) were synthesised by replicating methods reported previously (Liu et al., 180 2017) and the detailed synthesis procedure is presented in the supporting 181 information. For clarity, CD-MOF synthesised using TEA, CTAB, or PEG is termed as CD-MOF-TEA, CD-MOF-CTAB and CD-MOF-PEG, respectively. Large millimtere 182 sized CD-MOF particles have been obtained by slow introduction of MeOH vapours 183 184 into aqueous solution of y-CD and KOH at 50 °C for 3-4 days (Smaldone et al., 185 2010).

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187 2.3. In situ synthesis of AgNPs inside CD-MOF

For *in-situ* synthesis of AgNPs, CD-MOF crystals were first immersed in acetonitrile for 72 hr (for solvent exchange) followed by replacement with 10 mM solution of AgNO₃ in acetonitrile. Typically, 200 mg of CD-MOF particles were immersed in 10 mL acetonitrile solution of 10 mM AgNO₃ for 72 hours. The as-prepared Ag-CD-MOF particles were collected from the reaction solution using a centrifuge (5000 RPM), washed with acetonitrile several times and then left overnight for vacuum dry at 40 °C.

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196 **2.4.** Characterizations

The samples were scanned for their morphologies using scanning electron 197 198 microscope (SEM) on Zeiss XB1540 Crossbeam system. The sample powders were 199 fixed on a metal stub using an adhesive carbon tape and then coated with a thin gold 200 film before SEM examination at 5 kV voltage and 10 mA current. The size of the nanoparticles was analysed using commercial ImageJ software. Fourier-transform 201 infrared spectroscopy (FTIR) spectra were recorded with a spectrophotometer 202 (Spectrum Two[™], Perkin Elmer) in the region of 600 to 4000 cm⁻¹ in the absorption 203 204 mode.

Nitrogen adsorption-desorption isotherm for CD-MOF samples were measured using a porosimeter (TriStar 3000 V6.05 A, USA). CD-MOF-TEA, CD-MOF-CTAB, and CD-MOF-PEG were firstly activated by immersing in chloroform for three days and dried under vacuum at 50 °C for 12 hr to remove the entrapped solvents. Specific amounts of samples (e.g. 150-200 mg) were loaded into the sample tubes and degassed under vacuum (10-5 Torr) at 100 °C for 5 hr to remove any gas or solvent traces from the MOF cavities. Based on the gas adsorption efficiency (N₂ in this 212 case), specific surface area of the samples was calculated in area units per mass of 213 sample (m²/g) using Brunauer, Emmett and Teller (BET) model. The thermal properties of the samples based on weight change in relation to heating at constant 214 215 temperature were characterised using Discovery TGA (TA Instruments, USA). For 216 TGA, samples (~5-10 mg) were placed in open aluminium pans and were heated 217 from 40 °C - 500 °C with a ramp of 10 °C/min. They were subsequently purged 218 under a flux of nitrogen gas. The percentage of weight losses and onset thermal degradation temperatures were recorded from the TGA traces obtained. 219

The AgNPs embedded in CD-MOF samples were characterised using transmission 220 221 electron microscopy (TEM) on JEOL 2100 200 KV system fitted with a LaB6 filament 222 giving a point resolution of 0.13 nm operated on bright-field mode. The samples were 223 dispersed in water to release the AgNPs and deposited into holey TEM copper grids. The morphology and size of the AgNPs were estimated using ImageJ software. To 224 225 study the effect of modulators on the stability of AqNPs, samples in the form of 226 suspension were stored at different temperatures, 4 °C, 25 °C, and 37 °C for at least 227 1 month. The suspension was prepared by dissolving Ag-CD-MOF in water at 228 concentration of 1000 µg/mL. The stability was assisted by UV-Vis spectrometry (UV-Vis 3800). The UV-Vis spectrum were acquired in the 300-800 nm range and 229 230 the distinct wavelength of UV or visible light absorbed by AgNPs in comparison to 231 control was used to calculate the concentration of nanoparticles. A water sample without any Ag-CD-MOF was used as a control during the measurement. The UV 232 233 spectra graphs were obtained using Origin Pro 2021 and the position of surface 234 plasmon resonance (SPR) peaks were monitored. Zeta potential and size of the CD-235 MOF particles were measured using Malvern Panalytical ZetaSizer (model Zen3600) 236 using dynamic light scattering technique which operates on photon auto-correlation 237 function. For zeta potential, powder samples were dispersed at concentrations of 238 0.1, 0.01 and 0.001 wt/v% in water and measured 3 times with 100 measurements 239 per time. For particle size, CD-MOF were dispersed in absolute ethanol at concentration of 1000 µg/mL and measured 3 times with 15 measurements per time. 240 Water without samples was used as a control. 241

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243 **2.5.** Antimicrobial tests

To assess the antimicrobial activity of the CD-MOF crystals containing silver nanoparticles, agar cut well method and MIC tests were performed. Laboratory strain bacteria, *S. aureus* and *E. coli*, and fungi *C. albicans* (ATCC 2091) were grown in nutrient and yeast peptone dextrose broth, respectively.

248 <u>Agar cut well method:</u> Microbes were diluted to ~1-3 x 10^7 colony forming units 249 (CFU/mL) and inoculated onto Mueller Hinton agar plates. Wells (4 mm in diameter) 250 were cut and sample suspensions at the concentration of 1000 µg/mL were added. 251 Plates were incubated and the diameter of the zone of inhibition was measured (in 252 cm).

253 <u>Minimal inhibitory concentration (MIC) tests:</u> To investigate the MIC, sample 254 suspensions were diluted to form a final concentration gradient from 500 μg/mL to 7.8 µg/mL. An antibiotic control was included to assess the antibiotic resistance of microbial strains. Then the plate was incubated with ~1-3 x 10⁴ CFU/mL of microbes. Resazurin indicator dye was added and further incubated before assessing the colour change; the lowest concentration that did not change in colour was regarded as the MIC. An example of the plate template and resazurin colour change after 24 hours is displayed in supplementary Fig. S1. All incubation was done at 37 °C for 24 hours.

262 In addition, growth inhibition of the microbes with Ag-CD-MOF-TEA treatment was also investigated for 24 hours. An initial 1000 µg/mL concentration was added to the 263 264 well plate diluted to a final concentration of 250 µg/mL - 1.95 µg/mL (supplementary Fig. S1). Microbes were diluted to ~1-3 x 10⁴ CFU/mL and incubated at 37 °C with 265 the different concentrations of dispersed sample suspension in quadruplets. 266 267 Absorbance of the samples was measured every hour using ClarioStar spectrometer 268 at 600 nm with orbital shaking of sample plate for 5 seconds at 100 rpm prior to each 269 measurement. Initial absorbance was used a blank taken away from the 270 corresponding data and the mean of replicates was calculated and plotted as a 271 growth kinetic graph, with error bars denoted as standard deviation.

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273 3. RESULTS & DISCUSSION

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275 **3.1.** Size modulation of CD-MOF using TEA

TEA is used for the first time to replace the bulky and toxic modulators, CTAB and 276 PEG 20,000 from synthesis of nanosized CD-MOF (Fig. 1). When observed under 277 278 microscope, cracks were observed on the surface of large CD-MOF crystals 279 synthesised (Fig. 2A). Since the introduction by Liu et al., in 2016, CTAB and PEG 280 20,000 have been used quite a lot to obtain nanosized particles of CD-MOF (He et 281 al., 2021; Liu et al., 2016). In this study, we introduce safer modulator, TEA and the 282 morphology of CD-MOF-TEA in comparison with CD-MOF-CTAB and CD-MOF-PEG samples is presented in Fig. 2B, 2C and 2D, respectively. A typical cubic shape 283 284 could be observed in all samples with no significant difference between all the three modulators. However, it is important to note that the use of TEA has resulted in a 285 faster solvothermal synthesis where crystallisation is completed in 1-2 hours 286 287 compared to 6-12 hr long process with other modulators and the synthesis time is in days when no modulator was used (Liu et al., 2016; Singh et al., 2017b; Smaldone 288 289 et al., 2010). This could be attributed to the well-understood role of TEA to facilitate 290 the crystallisation by enhancing deprotonation of organic ligands, cyclodextrin in this case. The deprotonation of hydroxyl functional groups of cyclodextrin using base has 291 292 been confirmed previously and which might be the reason for faster synthesis of CD-293 MOF using TEA (Gaidamauskas et al., 2009).

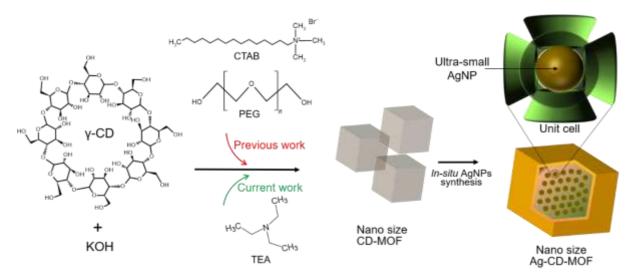




Figure 1 Schematic showing the new synthesis of CD-MOF with TEA as modulator followed by in-situ synthesis of silver nanoparticles inside formwork using MOF cavities as nanoreactors for the silver salt reduction.

To understand the effect, the concentration of TEA was varied from 0 to 3 mL. A 300 301 significant effect of TEA concentration on the size and crystal uniformity was 302 observed where the size of the CD-MOF particles reduced from ~2 µm to ~254 nm when the concentration was increased from 1 to 3 mL, respectively (supplementary 303 Fig. S2). When compared to original millimetre sized crystals, relatively smaller 304 particles with an average size of ~3 µm was obtained in the sample where the 305 306 concentration of TEA was 0 mL which could be due to the excess of MeOH (10 mL). 307 However, the crystals were non-uniform with size ranging from ~200 nm to as big as ~13 µm. At 3 mL concentration of TEA, the average size of the CD-MOF 308 309 nanoparticles was measured ~254 nm while the average size of the nanoparticles from PEG 20,000 and CTAB modulators was measured ~282 and ~278 nm, 310 311 respectively. According to the data obtained from varying TEA amount 312 (supplementary Fig. S2) 3 mL is used as the final optimised concentration for further study. Hydrodynamic diameters of the CD-MOF crystal measured using DLS was 313 recorded in higher range (>1 µm), might be due to the particle aggregation when 314 315 suspended in EtOH (supplementary Fig. S3). The chemical structure of the CD-MOF crystals was confirmed using FTIR (supplementary Fig. S4, and Fig. 2E). Typical 316 CD-MOF characteristic FTIR peaks at 3000-3500 cm⁻¹ and 800-1000 cm⁻¹ 317 corresponding to -OH group's stretching vibration in the glucose ring and C-H 318 319 bending vibration were recorded in all samples (Fig. 2E). However, when observed carefully, a sharp low intensity additional peak at 2970 cm⁻¹ was observed in CD-320 MOF-CTAB samples which correspond to methyl group (-CH₃) of CTAB, further 321 322 supporting our claim of forming inclusion complex between CTAB and y-CD 323 (supplementary Fig. S5) (Su et al., 2015). Due to chemical structure similarity between PEG and CDs, no additional peak was observed in FTIR spectra of PEG 324 325 modulated samples. The absence of C-N stretching vibration peak confirmed the complete removal of TEA from CD-MOF frameworks. The MOF crystals from 326

327 different modulators were further compared for their surface area and the obtained 328 N₂ isotherm curves for CD-MOF-CTAB, CD-MOF-PEG, and CD-MOF-TEA are presented in Fig. 2F. The shape of the three isotherm curves is similar to the BET 329 isotherm type 1, which refers to the microporous solid (pores with <2 nm). CD-MOF-330 TEA exhibited the highest BET surface area of 1075.5 m²/g when compared to those 331 332 obtained from CTAB (455.12 m²/g) and PEG (493.6 m²/g). These results again 333 suggested that the remained polymer chains adversely affected the physicochemical properties of CD-MOF. When compared with the literature, it shows that TEA has not 334 affected the surface area which is comparable to CD-MOF synthesized without any 335 modulator (Smaldone et al., 2010). The reason for higher surface area in TEA 336 modulated samples could be explained by the physicochemical characteristics of 337 base. TEA is volatile organic compound (VOC) which could be easily evaporated 338 and/or washed out with solvents due to its high vapor pressure and polarity and low 339 340 molecular weight (Zhang et al., 2021).



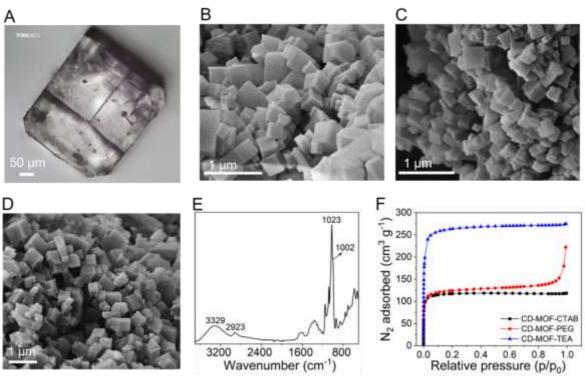


Figure 2 CD-MOF characterisation. (A) Optical microscopy image of a large CD-MOF crystal synthesised by state-of-the-art vapor diffusion method. (B) SEM images of the cubic nanoparticles of CD-MOF-CTAB, (C) CD-MOF-PEG and (D) CD-MOF-TEA. (E) FTIR spectra of CD-MOF-TEA with the indicated characteristic peaks for -OH group's stretching vibration ($3000 - 3500 \text{ cm}^{-1}$) and C-H bending vibration ($800 - 1000 \text{ cm}^{-1}$). (F) N₂ adsorption isotherms for CD-MOF-CTAB, CD-MOF-PEG and CD-MOF-TEA.



351 Benefitting from sustainable synthesis and high surface area, the cavities of CD-352 MOF-TEA crystals were used as nanoreactors for the synthesis of ultra-small AgNPs. The synthesis procedure is adopted from previously published reports 353 (Shakya et al., 2019; Wei et al., 2012). The colour of the CD-MOF crystals turned 354 brown-black after silver synthesis (supplementary Fig. S6) and the morphology and 355 356 crystallinity were recorded using SEM and PXRD, respectively. In-situ growth of the 357 AgNPs did not affect the shape and size of the CD-MOF-TEA crystals (Fig. 3A). The powder was further scanned for the elemental distribution using SEM-EDS (Fig. 3B, 358 3C and supplementary S7). The sample displays a homogenous distribution of silver 359 which indicated a uniform synthesis of AgNPs within the CD-MOF-TEA framework 360 structure. CD-MOF-CTAB and CD-MOF-PEG samples were also used for AgNPs 361 synthesis for the comparative purpose, which are termed as Aq-CD-MOF-CTAB and 362 Aq-CD-MOF-PEG, respectively. The percentage of each element that was acquired 363 364 using EDS is presented in (supplementary Table S1). The total percentage of silver 365 was recorded slightly higher in CD-MOF-TEA in comparison to Ag-CD-MOF-CTAB and Ag-CD-MOF-PEG. Spherical shape with 2-5 nm size (average size calculated by 366 367 ImageJ) was observed under TEM for AgNPs synthesised inside CD-MOF-TEA cavities (Fig. 3D). No significant difference was recorded in the size of AgNPs 368 synthesised inside CD-MOF samples modulated with TEA, CTAB and PEG 369 370 (supplementary Figure S8). The crystal structure of the Aq-CD-MOF-TEA remained 371 intact with diffraction peaks recorded at same positions as CD-MOF-TEA samples (Fig. 3E). When characterised using FTIR, the spectrum of both, Ag-CD-MOF-TEA 372 373 and CD-MOF-TEA were found identical supplementary Figure S9 (21).

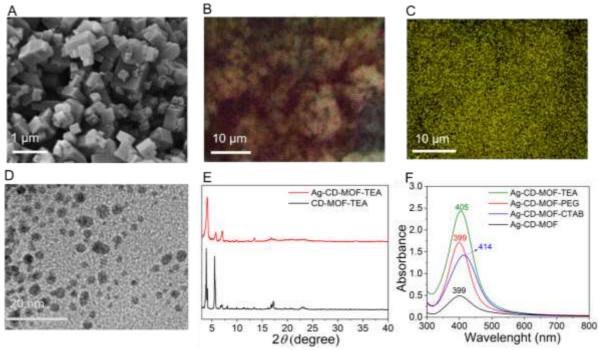
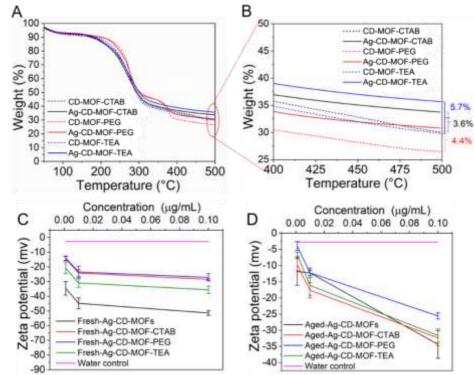


Figure 3: Characterisation of CD-MOF after in-situ silver nanoparticle synthesis. (A)
SEM images of the cubic Ag-CD-MOF-TEA. (B) EDS elemental mapping of oxygen
(O), potassium (k) and carbon (C). (C) EDS elemental mapping of Ag on the Ag-CDMOF-TEA nanoparticles. (D) TEM image of AgNPs from CD-MOF-TEA sample. (E)

PXRD characterisation of CD-MOF-TEA and Ag-CD-MOF-TEA. (F) UV-Vis spectra
 of AgNPs synthesised in CD-MOF-TEA, CD-MOF-PEG, CD-MOF-CTAB and CD MOF.

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AqNPs synthesised in CD-MOF samples were detected under UV-Vis spectroscopy. 383 384 The UV-Vis spectrum of AgNPs synthesised in CD-MOF-TEA, CD-MOF-CTAB, CD-385 MOF-PEG and CD-MOF are shown in Fig. 3F. The wavenumber of the SPR peak can provide the information about the size of AgNPs, and the peak would shift 386 towards lower wavenumber with decreasing AgNPs size (Paramelle et al., 2014). 387 The SPR peaks for Ag-CD-MOF and Ag-CD-MOF-PEG were detected at 399 nm 388 while the SPR peak for Aq-CD-MOF-TEA was detected at slightly higher 389 wavenumber of 405 nm. The SPR peak for Ag-CD-MOF-CTAB was detected at 390 391 much higher wavenumber of 414 nm. The obtained results suggested that the AgNPs released from CTAB modulated samples tend to aggregate, might be due to 392 393 the formation of inclusion complex between CTAB and the hydrophobic cavities of 394 cyclodextrin units.



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Figure 4 Silver quantification and Zeta potential measurements. (A & B) TGA curves of CD-MOF and (B) AgNPs synthesised within CTAB, PEG & TEA modulated CD-MOF with zoom in version of the same graph to visualise the clear difference in the remaining weight which correspond to the concentration of silver nanoparticles. (C) Zeta potential of fresh samples and (D) aged samples (after 30 days) of Ag loaded CD-MOF synthesised using different modulators.

402 AgNPs loaded samples were subjected to thermal gravimetric analysis (TGA) to 403 confirm the presence of polymers (surfactants) residue and investigate the amount of 404 AgNPs inside the framework. TGA curves for CD-MOF-TEA and CD-MOF-CTAB 405 showed identical thermal decomposition behavior (Fig 4A). The first thermal decomposition at 50 °C - 100 °C possibly represents the evaporation of the trapped 406 407 solvents in the nanocavities of CD-MOF. The second decomposition at around 175 °C, could be mainly because of the degradation of y-CD units (Smaldone et al., 408 409 2010). A similar trend was recorded for CD-MOF-PEG sample except for the 410 decomposition of y-CD was delayed until around 220 °C. This could be explained by stabilisation affect from polymer chains on the MOF structure (Li et al., 2014) which 411 412 confirmed the un-complete removal of PEG chains from CD-MOF nanocavities. The 413 TGA curve of CD-MOF-PEG presented another decomposition stage started at 414 around 364 °C which could be attributed to PEG degradation (Irfan Khan et al., 415 2015). Fig. 4A shows the TGA curves of silver loaded CD-MOF samples, Aq-CD-MOF, Aq-CD-MOF-TEA, Aq-CD-MOF-CTAB and Aq-CD-MOF-PEG. The overall 416 417 thermal stability of all the four samples remained unaffected after the synthesis of 418 AgNPs. The difference between the remaining weight of CD-MOF-TEA and Ag-CD-MOF-TEA recorded around 5% which could be accounted for AgNPs. The weight % 419 420 of AgNPs in Ag-CD-MOF-TEA recorded highest (5.7%) when compared with the 4.4%, 3.6% and 1% in Ag-CD-MOF-PEG, Ag-CD-MOF-CTAB and Ag-CD-MOF, 421 422 respectively (Fig. 4B). Additionally, the UV-Vis absorbance of AgNPs was compared 423 from all three samples and as expected, highest absorbance intensity was recorded 424 from Ag-CD-MOF-TEA sample which again supported the claim that high surface 425 area enhanced the AgNPs loading (Fig. 3F).

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427 **3.3.** Stability of AgNPs synthesised inside CD-MOF particles

After successful synthesis of ultra-small AgNPs inside CD-MOF-TEA, the stability of 428 429 the nanoparticles was assessed using Zeta potential and UV-Vis spectroscopy for 30 430 days of storage at 4 °C, 25 °C and 37 °C. As shown in Fig. 4C, an increase in the concentration of silver loaded crystals increased the zeta potential values, with -431 432 51.37±1.64 mV, -35.6±2.5 mV, -28.5±0.5 mV and -27.13±2.45 mV was recorded for Ag-CD-MOF, Ag-CD-MOF-TEA, Ag-CD-MOF-CTAB and 433 Aq-CD-MOF-PEG, 434 respectively. Zeta potential of -2.7 mV was measured for the deionized water (control). Zeta potential is the measurement of the electrostatic charge on the 435 surface of particles; values outside the ±20-30 mV range is regarded as stable due 436 437 to the charged particles' ability to repel, thus unlikely to form agglomerates 438 (Mourdikoudis et al., 2018). After dissolving MOF crystals in water, AgNPs released 439 from CD-MOF cavities would be stabilized by CD-units (Wei et al., 2012). The 440 hydrophobic cavities of CD, which is well-known for its inclusion forming capabilities, forms host-quest interaction with nanoparticles and help them stabilise in the 441 442 suspension (Huang et al., 2009). According to the obtained zeta potential values of -443 35.6 mV, Ag-CD-MOF-TEA can form the most stable suspension and AgNPs are unlikely to form agglomerates. The zeta potential of Ag-CD-MOF-PEG and Ag-CD-444 445 MOF-CTAB is slightly closer to the instability range - might be due the competition between residual polymer chains and AgNPs to form inclusion complex with CD 446

447 units. Nevertheless, the obtained data suggested that all samples can form stable 448 suspension in water. The zeta potential of Ag-CD-MOF-TEA suspension decreased 449 slightly to -31.6 ± 2.05 mV after storing it for 30 days at 25 °C (Fig. 4D). Similar trend was observed in Ag-CD-MOF and Ag-CD-MOF-PEG suspensions. Interestingly, the 450 zeta potential was increased from -28.5 ± 0.5 to -32.2 ± 2 for stored Ag-CD-MOF-451 452 CTAB suspension. This could be attributed to nanoparticle agglomeration. It was 453 observed that the colour of Ag-CD-MOF suspension turned darker (supplementary Fig. S10) due to the agglomerates formation which did not contributed towards the 454 zeta potential measured. 455

- 456 Furthermore, the stability of the fresh and stored suspensions (30 days) was also 457 assessed using UV-Vis spectroscopy. The obtained data is presented as 458 supplementary Fig. S11. Ag-CD-MOF-TEA samples demonstrated excellent stability at 4 °C, 25 °C and 37 °C tested for 30 days. The SPR peak of the samples stored at 459 460 25 °C and 37 °C showed a slight shift to the higher wavenumber after one week of 461 storage, and no change was observed in the sample stored at 4 °C. The shift became larger for all the three samples which indicate that the size of AgNPs 462 463 increased slightly due to agglomeration. Both Ag-CD-MOF and Ag-CD-MOF-PEG 464 showed good stability as the SPR peak shifted slightly to higher wavenumbers after one week. However, the samples stored at 37 °C shows a greater shift after 30 days 465 compared to the other samples. AgNPs synthesised in CD-MOF-CTAB were found 466 to be less stable than those synthesised from other modulators with quick 467 aggregation was observed (supplementary Fig. S9), this might be due to the highly 468 469 stable inclusion complex formation between CD units and CTAB which affected the 470 stabilisation capabilities of CD (Bagheri and Rafati, 2014).
- 471

472 **3.4.** Antimicrobial test results

473 The antimicrobial efficacy of Aq-CD-MOF-TEA was tested against bacteria, S. 474 aureus and E. coli, and fungi C. albicans and compared with Ag-CD-MOF-PEG and 475 Aq-CD-MOF-CTAB. Zone of inhibition diameters (ZOI) and overall MIC was used as the measurement standards. MIC and ZOI measured for different samples are 476 477 presented as Fig. 5A and Table 1 respectively. Ag-CD-MOF-TEA has the highest 478 antimicrobial efficacy in ZOI and showed excellent antifungal activity based on the 479 obtained MIC results (31-15 µg/mL) when compared to the other samples. The 480 enhanced antimicrobial ability of the newly synthesised Aq-CD-MOF-TEA could be 481 attributed to the improved physiochemical properties of CD-MOF, especially, the surface area which led to high AgNPs yield confirmed in above sections. However, 482 483 the mechanism of action for Ag is not completely understood yet, but it is elucidated that Ag⁺ ions can i) puncture the cell wall by reacting with the peptidoglycan 484 485 component, ii) inhibit the cellular respiration and disrupt the metabolic pathways 486 through generation of reactive oxygen species and iii) also disrupt the DNA and its replication cycle Ag⁺ and their subsequent interaction and damage (Le Ouay and 487 488 Stellacci, 2015).

491	Table 1: The MIC of Ag-CD-MOF synthesised with TEA, CTAB, PEG, and without a
492	modulator against E. coli, S. aureus and C. albicans.

Sample	<i>E. coli</i> (µg/mL)	S. aureus (µg/mL)	C. albicans (µg/mL)
CD-MOF	500-250	>500	500
Ag-CD-MOF	125	125	500
Ag-CD-MOF-CTAB	62-31	250-125	62-31
Ag-CD-MOF-PEG	62-31	250-125	62-31
Ag-CD-MOF-TEA	62-31	250-125	31-15

493

494 The comparatively low antimicrobial efficacy of Ag-CD-MOF-CTAB samples could be due to the larger size of AgNPs as confirmed earlier. The large particles of Ag-CD-495 MOF showed no antimicrobial activity when tested using the cut well method, and 496 497 required higher concentrations to have an effect, if any, when tested for their MIC. This could be due to two reasons, the inability of larger MOF particle size (> 100 μ m) 498 499 to penetrate and slow frameworks degradation which might have affected the release of Ag⁺ ions. The second reason could be the less silver concentration inside 500 501 CD-MOF, again due to slow diffusion of the solvent (containing silver precursor) 502 inside crystals during in situ synthesis.

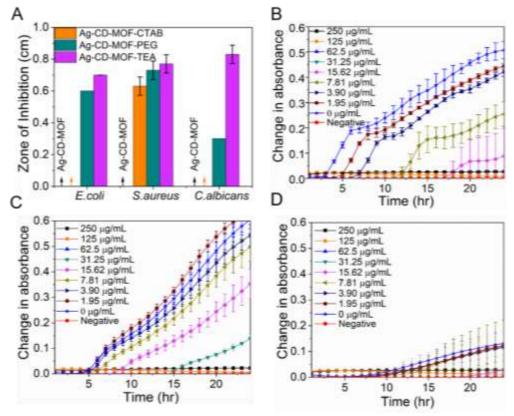


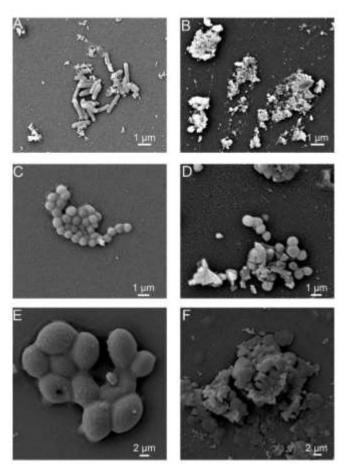
Figure 5 Antimicrobial testing (A) Zone of inhibition diameter (in cm) of Ag-CD-MOF synthesised with different modulators against bacteria and fungi, (B) the growth kinetic of *E. Coli* (C), *S. aureus*, (D) and, fungi *C. albicans* treated with various concentrations of Ag-CD-MOF-TEA was monitored over 24 hours.

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The growth kinetics of microbes treated with various concentrations of Ag-CD-MOF-509 510 TEA was monitored over a 24 hr period with an optical density reading taken every 511 hour. As shown in Fig. 5 (B, C & D), the growth kinetics of the microbes, E. Coli S. aureus, and, fungi C. albicans was found concentration-dependent. Even at lower 512 concentrations, Aq-CD-MOF-TEA was able to slightly reduce and delay the growth, 513 whilst higher concentrations were able to completely inhibit the microbial growth. The 514 515 results showed that 62 µg/mL and higher concentration were able to inhibit 100% growth of all three microbial when treated with Ag-CD-MOF-TEA. However, 516 517 resazurin assay indicated that the MIC was higher against some of the microbes. A reason for the slight difference in results could be that the growth of microbes was 518 519 inhibited by the Ag-CD-MOF-TEA, however the cells were still metabolically active, 520 thus were able to reduce resazurin leading to a colour change. S. aureus is 521 associated with two-thirds of the orthopaedic implant infections and these infections 522 are difficult to treat as the bacteria always tend to form biofilm (Ribeiro et al., 2012). 523 Prashik et al., reported the MIC values of AgNPs - with an average size of 5 nm 524 produced from nanoComposix company against S. aureus as 0.625 mg/mL which is 525 very high compared to Ag-CD-MOF (Parvekar et al., 2020). Therefore, the AgNPs synthesised within CD-MOF matrix especially CD-MOF-TEA can provide a potent 526

527 antimicrobial effect with very low concentration of AgNP as indicated by the MIC

- 528 values.
- 529



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Figure 6 SEM images of control and treated samples (A) *E. Coli* control, (B) *E. Coli* treated with Ag-CD-MOF-TEA. (C) *S. aureus* control and (D) *S. aureus* treated with Ag-CD-MOF-TEA. (E) *C. albicans* control and *C. albicans* treated with Ag-CD-MOF-TEA. TEA.

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The SEM images of the healthy microbes (E. coli, S. aureus and C. albicans) and 536 treated with Aq-CD-MOF-TEA are presented in Fig. 6. The disruption of cell wall with 537 538 extensive cellular debris could be observed in all three cases, where the effect on E. 539 coli was the highest. Furthermore, the effect of the silver loaded CD-MOF on the 540 size of microbes (those managed to survive) was also tested. The size of at least 20 healthy cells was measured in each case using ImageJ (supplementary Fig. S12). 541 542 The average size of the untreated E. coli was recorded 2.3±0.7 µm which was 543 reduced to 1.6±0.4 µm after the treatment. Similar effect was also recorded where 544 the size of S. aureus and C. albicans were reduced from 0.8±0.1 µm and 4.7±0.7 µm 545 to 0.69±0.1 μ m and 2.2±1.5 μ m, respectively. The obtained results suggested the excellent antimicrobial activity of the silver nanoparticles released from highly aligned 546 547 frameworks of CD-MOF which successfully prevented the aggregation of the 548 nanoparticles in solution (treated medium). 549

550 4. Conclusion

In this research, we proposed TEA as a modulator to improve the physicochemical 551 552 characteristics and hence, the antimicrobial efficiency of silver loaded CD-MOF by 553 replacing conventional high-molecular-weight PEG 20,000 and cytotoxic CTAB from 554 the synthesis. The obtained results show that TEA can be used an alternative for the rapid synthesis of uniform nanosized CD-MOF crystals. CD-MOF prepared using 555 TEA as a modulator demonstrated higher specific surface area (1075.5 m^2/g) 556 compared to the samples synthesised with CTAB (455.12 m²/g) and long chain PEG 557 (493.6 m²/g). TEA modulated crystals used for synthesising ultra-small AgNPs has 558 559 resulted in enhanced antimicrobial efficacy tested against both, bacteria and fungus. 560 The improved CD-MOF skeleton led to high silver nanoparticles payload, 58.3%, 561 29.5% and 470% increase is measured when compared to CD-MOF synthesised 562 without any modulator, with CTAB and PEG, respectively. Increment in ZOI 563 diameters is also observed against all the tested microbes, specifically, the MIC towards fungi C. albicans significantly enhanced (31-15 µg/mL) compared to those 564 obtained from CTAB (62-31 µg/mL) and PEG (62-31 µg/mL). Based on the above 565 results, we strongly believe that this study could be very useful in further exploration 566 567 of these newly synthesised CD-MOF crystals in biomedicine field.

568

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