

Hydrogen transfer hydrogenolysis of organosolv Chinese fir lignin to monophenols over NiZnAlO_x catalyst

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ABSTRACT: Lignin is the only naturally renewable aromatized polymer consisting of several phenyl propane structures linked by C-O and C-C bonds, so lignin can be depolymerized into value-added chemicals or liquid fuels. In this study, the M₅Zn₅AlO_x (M=Co, Ni and Cu) catalysts were obtained by co-precipitation method and then were used in organosolv lignin depolymerization. Among these catalysts, the Ni₅Zn₅AlO_x catalyst possessed the largest surface area and abundant surface oxygen vacancies as well as strong acidic sites on the surface, giving the highest yield of monophenols (about 14.49 wt.%). The effect of Ni/Zn ratios on the lignin depolymerization was also investigated,

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4 and it was found that the surface area and the proportion of surface oxygen vacancies and
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6 strong acidic sites of the NiZnAlO_x catalysts increased and then decreased with the Ni/Zn
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8 ratios increasing. Similarly, the yield of monomeric compounds increased and then
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10 decreased with the Ni/Zn ratios increasing. The highest yield of monophenols was 17.18
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12 wt.% obtained over the Ni₃Zn₇AlO_x catalyst, which was a remarkable monomer yield
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14 from organosolv lignin. The 2D HSQC NMR of bio-oil revealed that the linkage bonds
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16 in lignin could be effectively broken over the Ni₃Zn₇AlO_x catalyst. This study provided
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18 an effective route to obtain high-value chemicals from organosolv lignin under nickel-
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20 based catalysts.
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27 **KEYWORDS:** Lignin, Hydrogenolysis, Monophenols, Mixed metal oxides, Hydrogen
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29 donor solvent
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35 1. INTRODUCTION

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37 With the overconsumption of fossil energy, lignocellulose from agricultural residues,
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39 forestry waste and crops has been extensively studied for its use as a raw material for
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41 bioenergy/fuel and biochemical products ¹. Lignocellulose is composed of cellulose,
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43 hemicellulose and lignin. Cellulose and hemicellulose can be used in industry for the
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45 production of biofuels and chemicals (such as ethanol, formic acid, and furfural) through
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47 pyrolysis and fermentation, etc ². Lignin is mainly made up of three phenolic structural
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49 units, guaiacyl structure (G-type), syringol structure (S-type) and p-hydroxybenzene
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51 structure (H-type), which is a renewable resource of phenolic compounds and can be used
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53 as an alternative to fossil fuel ³⁻⁴. The structural units in lignin are randomly connected by
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4 C-O and C-C bonds, where the C-O bonds containing β -O-4, α -O-4, 4-O-5 and so on, and
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6 the C-C bonds involving 5-5 and β - β , etc ⁵. From the perspective of linkage bonds, lignin
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8 can be effectively utilized to produce aromatic compounds such as benzene, toluene and
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10 phenol for fuels and basic chemicals if the linkage bonds between structural units can be
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12 broken successfully. However, the complexity of the linkage bonds makes it difficult to
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14 depolymerize lignin effectively and becomes a bottleneck for lignin utilization ⁶⁻⁷.
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16 Chinese fir is an important silvicultural species in northeastern China and is known for
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18 providing the richest timber material, with over 69 million hectares of Chinese fir planted
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25 ⁸. Thus, Chinese fir has great potential for the production of valuable chemicals.

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27 The current thermochemical conversion of lignin into fuels and platform chemicals
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29 includes pyrolysis ⁹, hydrolysis ¹⁰, oxidation ¹¹ and hydrogenolysis ¹²⁻¹³. Compared with
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31 other methods, hydrogenolysis reaction condition is milder and the reaction process is
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33 easier to control, leading to higher selectivity and yield of monomer products, and less
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35 coke formation ⁵. Lignin hydrogenolysis is usually carried out at temperatures of 200-
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37 300°C and involves the use of hydrogen and/or hydrogen donor solvents. Compared with
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39 the problems of low atomic economy and high safety risks of hydrogen, hydrogen donor
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41 solvents (methanol ¹⁴⁻¹⁵, ethanol ^{2, 16}, isopropanol ¹⁷⁻¹⁸ and formic acid ¹⁹⁻²⁰, etc.) can also
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43 improve the solubility of lignin, which is more favorable for lignin hydrogenolysis ²¹.
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50 Although some progress has been made in lignin hydrogenolysis, the cost and
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52 efficiency of lignin hydrogenolysis are still its main issues. To some degree, the use of
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54 catalysts can reduce the cost of lignin hydrogenolysis ³. The precious metals of the
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56 platinum group (e.g., Ru, Pd and Pt) are the most studied catalysts due to their high
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4 hydrogenation activity ²²⁻²³. However, the high cost and low availability hinder their
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6 large-scale use in industry. Non-precious metal catalysts such as Cu, Fe, Ni and Co have
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8 exhibited excellent selectivity in the cleavage of C-O bonds of aryl ethers due to their
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10 inherent metallic nature ²⁴⁻²⁸. Low-cost transition metal oxides also usually exhibit
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12 remarkable activity and high-stability ²⁹. For example, Huang et al. used CuMgAlO_x
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14 mixed metal oxides as a catalyst for the one-step conversion of Soda lignin to aromatic-
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16 based compounds in supercritical ethanol ³⁰. Compared the catalytic function with
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18 Cu/MgO and Cu/ γ -Al₂O₃, it was found that the mixed metal oxides CuMgAl-
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20 MMO
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22 catalyst exhibited the best catalytic performance when the content of Cu was 20% and the
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24 (Cu+Mg)/Al ratio was 4 ³¹. In addition, Yan et al. found that the metal Cu was more
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26 efficient than CuO in the catalytic lignin conversion by using reduced CuMgAl-
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28 MMO ³².
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30 Kong et al. used Cu/CuMgAlO_x catalyst into the hydrogenolysis of lignin in supercritical
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32 methanol to obtain 37.76 C% monomeric compounds. The linkage bonds in lignin could
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34 effectively be broken and the deoxygenation hydrogenation reaction was catalyzed by
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36 Cu/CuMgAlO_x catalyst ³³. These studies suggested that reduction can enhance the
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38 catalytic activity of metal oxides and they mainly focused on Cu-based catalysts.
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40 Moreover, it was reported that Co, Ni, Cu and Zn as transition metal elements have d
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42 orbitals that can polarize hydrogen, showing better catalytic performance in experimental
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44 studies of the hydrogenolysis of lignin ³⁴⁻³⁵.

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46 In this study, mixed metal oxides M₅Zn₅Al-
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48 MMO (M=Co, Ni and Cu) were prepared
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50 by co-precipitation method and then reduced under H₂ atmosphere to obtain M₅Zn₅AlO_x
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52 (M=Co, Ni and Cu) catalysts, which were further used into the hydrogenolysis of lignin
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4 in a mixed ethanol/isopropanol solvent as hydrogen-donors in order to investigate the
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6 catalytic performance of different active centers (Co, Ni and Cu). Then, the catalytic
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8 performance of the catalysts with different Ni/Zn ratios for hydrogen transfer
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10 hydrogenolysis of lignin was investigated. The specific surface area and the amount of
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12 surface oxygen vacancies and acidic sites were adjusted by altering Ni/Zn ratios in the
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14 catalysts. Finally, the possible reaction pathway was speculated by analyzing
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16 monophenols and bio-oil obtained from the reaction.
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25 **2. MATERIALS AND METHODS**

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27 **2.1. Materials and chemicals.** Lignin was extracted from Chinese fir, according to
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29 literature³⁶. Chinese fir was ground close to 0.5 mm, extracted with ethanol and toluene
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31 (1:1 v/v) to remove impurities such as waxes on the surface, washed and dried. 50 g of
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33 wood was then extracted in 625 ml of formic acid/acetic acid/water (3:5:2 v/v/v) at 110 °C
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35 for 6 h. The product was filtered and spun under reduced pressure to a brownish-red
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37 mucilage, added with excess water, filtered and washed to neutral. The filter residue was
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39 dried to obtain Chinese fir lignin. All chemicals which were used in the experiments were
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41 purchased from Sinopharm Chemical Reagent Co. They were of analytical grade.
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43 Nitrogen (99.999%) was provided by Nanjing Shangyuan Industrial Gas Co.
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51 **2.2. Catalyst preparation method.** According to literature ³⁰, nickel-based catalyst
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53 preparation process as a typical process, $\text{Ni}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$, $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ and
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55 $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ ($n(\text{Ni}^{2+}): n(\text{Zn}^{2+})=5: 5$, $n(\text{M}^{3+}): n(\text{M}^{2+}+\text{M}^{3+})=1: 3$) were dissolved in
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57 100 ml of deionized water. NaOH was dissolved in 100 ml of deionized water and
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4 Na_2CO_3 was dissolved in 250 ml of deionized water. The metal nitrate solution and
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6 aqueous NaOH solution were dropped slowly together into aqueous Na_2CO_3 solution and
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8 maintained pH = 10 with stirring at 60 °C. At the end of the titration (about 45 min), the
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10 slurry was aged at 60 °C with stirring for 24 hours. The precipitate was filtered and
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12 washed with deionized water until the pH=7 of the filtrate. The solid was dried at 105 °C
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14 overnight and grinded into less than 125 μm particles. The solid was calcined at 460 °C
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16 (heating rate 2 °C/min) under air atmosphere for 6 h to obtain metal oxides marked as
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18 $\text{Ni}_5\text{Zn}_5\text{Al-MMO}$. Finally, the temperature of the tube furnace increased from 460 °C to
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20 600 °C at a heating rate of 5 °C/min. The catalyst precursor was reduced under 80 mL/min
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22 of nitrogen containing 20% v/v hydrogen for 2 h and then cooled to room temperature to
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24 obtain the catalyst, marked as $\text{Ni}_5\text{Zn}_5\text{AlO}_x$. $\text{Co}_5\text{Zn}_5\text{AlO}_x$ and $\text{Cu}_5\text{Zn}_5\text{AlO}_x$ were prepared
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26 in the same way. In order to compare the catalytic performance of catalysts with different
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28 Ni/Zn ratios, the catalysts were prepared using the same method with $n(\text{Ni}^{2+}): n(\text{Zn}^{2+}) =$
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30 $y: 10-y$ ($y=0, 1, 3, 5, 7, 9$ and 10) and $n(\text{M}^{3+}): n(\text{M}^{2+} + \text{M}^{3+}) = 1: 3$. The catalysts were
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32 labeled as $\text{Ni}_y\text{Zn}_{10-y}\text{AlO}_x$. The chemical compositions of catalysts were further verified
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34 by the ICP analysis (Table S3).

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45 **2.3. Lignin hydrogenolysis processing.** Lignin hydrogenolysis experiment was
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47 conducted in a stainless steel reactor (SLM50, Beijing Century Senlong Experimental
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49 Instruments Co.). In a typical run, 150 mg of lignin, 50 mg of catalyst and 30 mL of
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51 ethanol/isopropanol (1:1 v:v) solvent were mixed, then 20 μL of n-decane was added as
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53 an internal standard, and the quartz liner was placed in stainless steel reactor. The reactor
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55 was closed and purged 5 times with N_2 . The reaction vessel was then pressurized to 1
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4 MPa N₂ at room temperature and the experiment was carried out at 270 °C for 4 h under
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6 continuous stirring (400 rpm). The reaction reactor was cooled to room temperature in a
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8 water bath, then the mixture was filtered. The filter residues were washed three times with
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10 ethanol, and the catalyst was separated from the coke by using the magnetic properties of
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12 the catalyst. The coke was then dried at 105°C overnight. The liquid was fixed to 50 ml
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14 with ethanol, and 1 ml of the liquid phase product was taken for the qualitative and
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16 quantitative analysis of monophenolic compounds. By spinning under vacuum, the
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18 solvent in the remaining liquid was removed to obtain bio-oil.
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24 **2.4. Structural properties of catalysts.** Brunner-Emmet-Teller (BET) measurement was
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26 used to obtain pore structure information. The measurement was carried out using an
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28 Autosorb-IQ2 nitrogen physisorption apparatus, and then nitrogen desorption experiment
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30 was performed in 77k liquid nitrogen. X-ray diffraction (XRD) was used to analyze
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32 crystal phase composition of catalysts. The measurement was performed using a Nihon
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34 Rei Smartlab (3) X-ray powder diffractometer with a 3KW X-ray generator and Cu target
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36 with a CBO crossover optical path. It recorded in 0.02° steps in the angular range of 10-
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38 80°, with a scanning speed of 3°/min. X-ray photoelectron spectrometer (XPS) was used
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40 to characterize the chemical valence of the surface elements of catalysts. The
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42 measurement was carried out on a PreVac XPS-2. NH₃-temperature programmed
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44 desorption (NH₃-TPD) was used to measure the distribution of acidic sites on the catalyst
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46 surface, which was carried out with AutoChem II 2920 with a heating-up procedure: after
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48 purging for 10 min under He atmosphere (flow rate of 30 mL/min), heating from room
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50 temperature to 500 °C at a heating rate of 15 °C/min, followed by holding treatment for
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4 1 h; cooling to 100 °C at a cooling rate of 10 °C/min. Adsorption at 100 °C using a 5%
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6 NH₃-95% He mixture (flow rate of 30 mL/min) to a smooth baseline. After saturation of
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8 adsorption at 100 °C, purging to a smooth baseline using He (flow rate of 30 mL/min).
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10 Starting the programmed heating-up and recording data under He (flow rate of 30 mL/min)
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12 atmosphere to 700 °C at a heating-up rate of 10 °C/min, followed by holding for 30 min.
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14 Afterwards, the test was ended by cooling down to room temperature under He (flow rate
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16 of 10 mL/min) atmosphere. Scanning electron microscope (SEM) was utilized to observe
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18 the catalyst micromorphology, performed on a Zeiss Sigma 300 with a Smart EDX.
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20 Transmission electron microscope (TEM) was applied to characterize the catalyst
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22 microstructure with JEM 2100F.
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30 **2.5. Characterizations of lignin hydrogenolysis products.** Gas chromatography-mass
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32 spectrometry (GC-MS) and hydrogen ion flame gas chromatography (GC-FID) were used
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34 for the qualitative and quantitative analysis of monophenols from lignin depolymerization.
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36 The qualitative analysis was performed on a Thermo ITQ 1100 equipped with an HP5MS
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38 (30m * 0.25mm * 0.25µm) column with a heating-up procedure: hold at 50 °C for 3min,
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40 raise up to 250 °C at 10°C / min and maintain at 250 °C for 5min. The quantitative analysis
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42 was performed on a GC 3900 equipped with an HP5MS (30m * 0.25mm * 0.25µm)
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44 column. The quantification of monophenols was performed by the effective carbon
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46 number method using n-decane as the internal standard ³⁷. Two-dimensional ¹H-¹³C
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48 heteronuclear single-quantum coherence NMR spectroscopy (2D HSQC NMR) was used
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50 to characterize the changes of linkage bonds in lignin and bio-oil. NMR measurements of
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52 lignin or bio-oil were recorded using a Bruker AVANCE III HD 600 MHz instrument.
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Lignin or bio-oil was dissolved in deuterated dimethyl sulfoxide (DMSO- d_6). MestReNova software was used for data processing. Gel permeation chromatography (GPC) was used to determine the relative molecular mass of lignin and bio-oil. Lignin or bio-oil was dissolved in 2 ml of tetrahydrofuran (THF) and then analyzed by PL-GPC220 (Polymer Laboratories). The mobile phase (THF) flow rate was 0.7 ml/min and polystyrene was used as the standard curve. Mass yields of monomeric phenols detected by GC-MS were calculated as

$$Y = M_{\text{monophenols}} / M_{\text{lignin}} \times 100\% \quad (1)$$

where $M_{\text{monophenols}}$ is the mass of monomeric phenols, and M_{lignin} is the mass of starting lignin.

3. RESULTS AND DISCUSSION

3.1. Structural characterization of catalysts. The parameters of the catalyst pore structure were shown in Table 1. The $\text{Ni}_5\text{Zn}_5\text{Al}$ -MMO had the largest pore volume and pore size of 1.56 cm^3/g and 28.70 nm, respectively. After reduction, the pore volume and pore size of the $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst reduced, while the BET surface area increased to 241.56 m^2/g , which may be due to the fact that the high reduction temperature changed the pore structure of the catalyst. This was consistent with the figure of adsorption-desorption isotherms of $\text{Ni}_5\text{Zn}_5\text{Al}$ -MMO and $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ (Figure S1). Among the $\text{M}_5\text{Zn}_5\text{AlO}_x$ (M=Ni, Co and Cu) catalysts, the BET surface area, pore volume and pore diameter of the $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst were the largest. In addition, the parameters of pore structure first increased and then decreased with the Ni/Zn ratios of the $\text{Ni}_y\text{Zn}_{10-y}\text{AlO}_x$

catalyst increasing. The maximum BET surface area and pore volume were the Ni/Zn ratio of 5:5. Lager BET surface area and pore volume of the catalysts would be beneficial to the dispersion of the active components and the diffusion of the reactants in the pores, improving the catalytic activity of catalysts ³⁸.

Table 1 BET surface area, pore volume and pore size of catalysts

	BET surface area (m ² /g)	Pore volume ^a (cm ³ /g)	Pore size ^b (nm)
Ni ₅ Zn ₅ Al-MMO	208.61	1.56	28.70
Ni ₅ Zn ₅ AlO _x	241.56	1.32	18.61
Co ₅ Zn ₅ AlO _x	153.49	0.52	16.10
Cu ₅ Zn ₅ AlO _x	168.85	0.18	15.00
Zn ₁₀ AlO _x	114.13	0.24	16.40
Ni ₁ Zn ₉ AlO _x	161.87	0.68	21.26
Ni ₃ Zn ₇ AlO _x	184.04	1.04	23.60
Ni ₇ Zn ₃ AlO _x	195.62	1.21	14.91
Ni ₉ Zn ₁ AlO _x	194.66	0.98	12.44
Ni ₁₀ AlO _x	168.32	1.02	10.40

^a Single point adsorption total pore volume of pores

^b BJH desorption average pore diameter

The crystal phase compositions of catalysts were obtained by XRD analysis, as shown in Figure 1. The peaks of ZnO (Zn²⁺) and NiO (Ni²⁺) could be observed in the Ni₅Zn₅Al-
MMO, however, no signals of Al₂O₃ appeared, which was probably due to the presence

of amorphous Al_2O_3 in the catalyst³⁹. In the $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst, the distinct peaks of Ni could be observed at $2\theta=44.51^\circ$, 51.85° and 76.37° , which showed that NiO in the $\text{Ni}_5\text{Zn}_5\text{Al}$ -MMO was reduced to metal Ni. In addition, spinel phases mainly composed of ZnAl_2O_4 were also observed, which came from metal oxides as a result of high temperature reduction⁴⁰. In the $\text{Co}_5\text{Zn}_5\text{AlO}_x$ and $\text{Cu}_5\text{Zn}_5\text{AlO}_x$ catalysts, Co (111), (200) and (220) crystalline surfaces could be observed, and signals of Cu were observed at $2\theta = 43.29^\circ$, 50.43° and 74.13° , which indicated the appearance of metal Co and Cu, respectively. The spinel phases were also observed in the catalysts after reduction. As the Ni/Zn ratios increased in the $\text{Ni}_y\text{Zn}_{10-y}\text{AlO}_x$ catalyst, the phase composition of catalysts changed from the initial ZnO and Al_2O_3 to Ni, ZnO and spinel phases, and further changed to Ni and spinel phases. When the catalyst was free of Zn, the catalyst was composed of Ni, Al_2O_3 and NiO. In addition, with the higher intensity of spinel phases, the catalyst possessed larger BET surface area and pore volume.

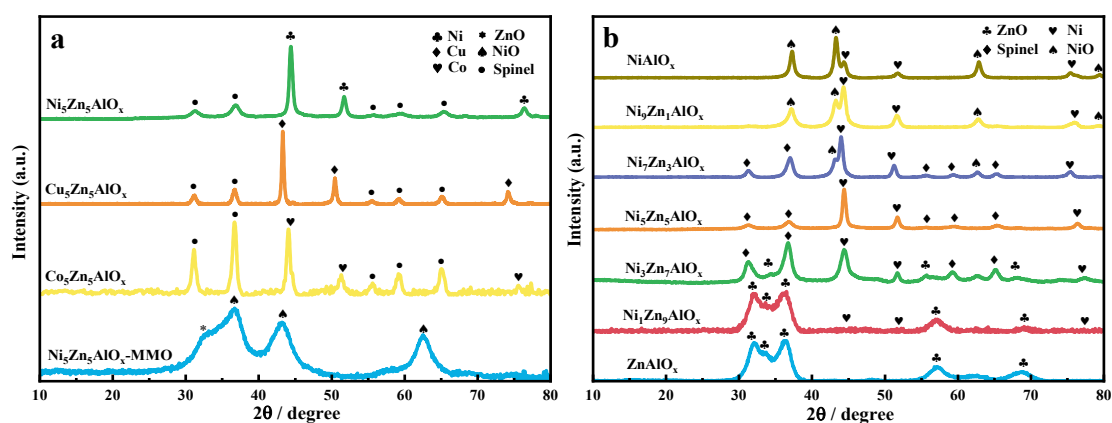


Figure 1 XRD patterns of $\text{M}_5\text{Zn}_5\text{AlO}_x$ ($\text{M}=\text{Ni}$, Co and Cu) catalysts (a) and $\text{Ni}_y\text{Zn}_{10-y}\text{AlO}_x$ catalysts (b)

The XPS patterns of the catalysts were shown in Fig. 2. In the $\text{Ni}_5\text{Zn}_5\text{Al}$ -MMO catalyst, Ni^{2+} for Ni $2p_{3/2}$ (854.56 eV) and Ni $2p_{1/2}$ (873.28 eV) were observed, which was assigned

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4 to the formation of NiO²⁴. The ratio of Ni²⁺ to the number of atoms on the catalyst surface
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6 was about 4.98%. The signal peaks Zn²⁺ in ZnO of Zn2p_{3/2} and Zn2p_{1/2} appeared at
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8 1021.58 eV and 1044.6 eV, respectively⁴¹, and the signal peaks (74.01eV) of Al 2p was
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10 attributed to Al³⁺ in Al₂O₃⁴¹. In the spectrum of the Co₅Zn₅AlO_x catalyst, Co⁰ and Co²⁺
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12 accounted for 3.35% and 1.88% on the catalyst surface, respectively. For the Cu₅Zn₅AlO_x
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14 catalyst, Cu⁰ and Cu²⁺ accounted for 2.53% and 1.34%, respectively. In the spectrum of
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16 the Ni₅Zn₅AlO_x catalyst, Ni⁰ and Ni²⁺ constituted 2.13% and 1.01%, respectively. The
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18 percentage of Al in the number of atoms on the catalyst surface was about 28.2%. The
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20 increased Al content on the catalyst surface compared to the unreduced metal oxides
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22 (17.64%) implied that Al migrated to the catalyst surface under high reduction
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24 temperatures. It was reported that the presence of Al₂O₃ could affect the activity and
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26 selectivity of Ni in the catalyst by spatial site resistance effect⁴². Two peaks of O 1S
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28 appeared at 530.1 eV and 531.7 eV, respectively, which could be attributed to the lattice
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30 oxygen and to the oxygen atoms near the oxygen vacancies on the surface of the catalyst
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32 after reduction⁴³. For the Ni_yZn_{10-y}AlO_x catalysts, the proportion of surface oxygen
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34 vacancies based on the semi-quantitative analysis of XPS first increased and then
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36 decreased with the increasing of Ni/Zn ratios, and the highest proportion of surface
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38 oxygen vacancies was obtained when Ni/Zn was 5:5. The binding energy of Ni 2p_{3/2} for
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40 Ni⁰ increased from 853.13 eV in Ni₉Zn₁AlO_x to 853.51 eV in Ni₃Zn₇AlO_x, and the
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42 binding energy of Zn 2p_{3/2} for Zn²⁺ decreased, indicating that some electrons were
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44 transferred from Ni to Zn, leading to a decrease in the electron cloud density of Ni, which
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46 was favorable to the breakage of C-O bonds (Figure 2c and 2d)⁴⁴.
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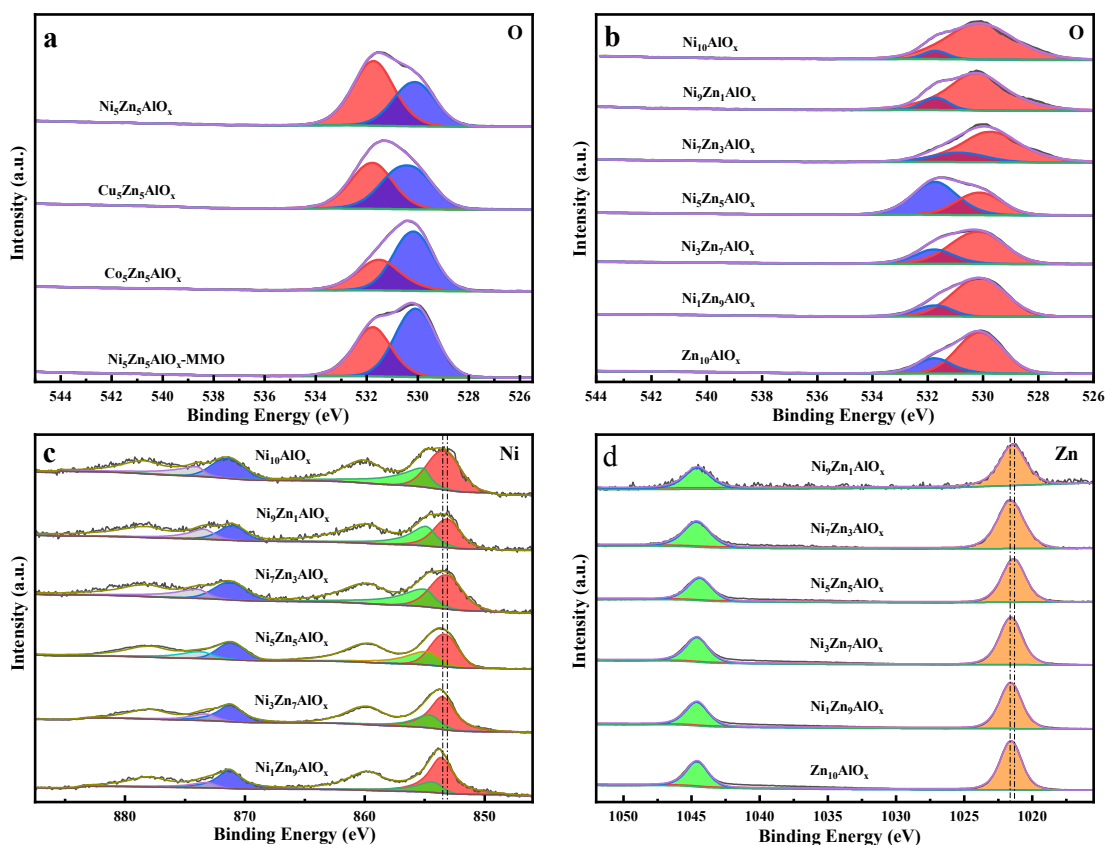
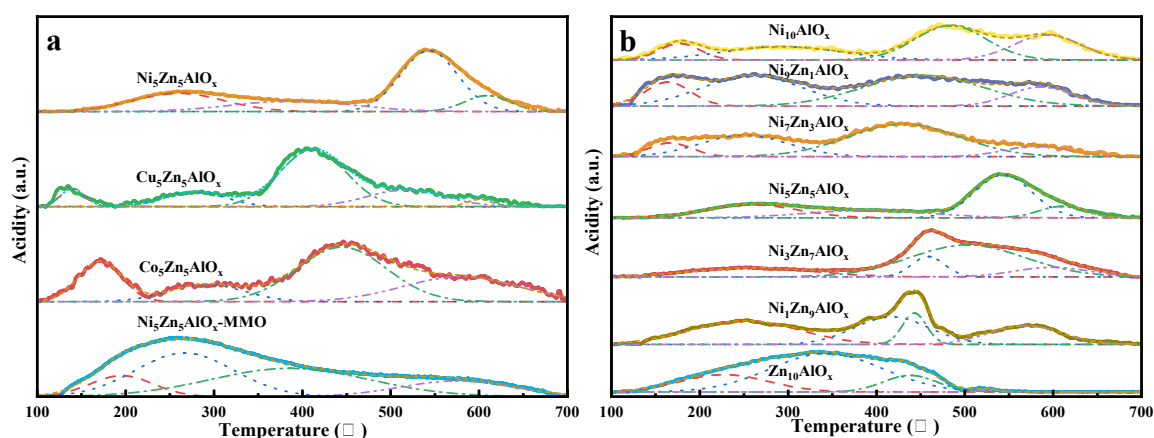


Figure 2 XPS analysis of binding energy of oxygen element in $M_5Zn_5AlO_x$ ($M=Ni, Co$ and Cu) catalysts (a) and binding energy of oxygen element (b), nickel element (c) and zinc element (d) in $Ni_yZn_{10-y}AlO_x$ catalyst

The distribution of acidic sites in the catalysts was obtained by NH_3 -TPD analysis, as shown in Figure 3. The acid sites could be divided into three types: (i) weak acids at the low temperature region ($300\text{ }^\circ\text{C}$ and below); (ii) medium acids at the medium temperature region ($300\text{-}500\text{ }^\circ\text{C}$); and (iii) strong acids at the high temperature region ($500\text{ }^\circ\text{C}$ and above)⁴⁵. In the Ni_5Zn_5Al -MMO, the peaks of acidic sites appeared with 47.50% of weak acidic sites and 37.80% of medium acidic sites. The acidic sites of the $Co_5Zn_5AlO_x$ and $Cu_5Zn_5AlO_x$ catalyst were dominated by medium acidic sites, accounting for 45.56% and 57.37%, respectively. Compared with Ni_5Zn_5Al -MMO, the peaks of the $Ni_5Zn_5AlO_x$ catalyst shifted toward to the higher temperature, which indicated that the enhanced

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4 acidity of the catalyst after reduction. This might be related to the spinel phase in the
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6 reduced catalyst. Among the catalysts with different Ni/Zn ratios, the catalyst acidity first
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8 enhanced and then weakened with the increasing of Ni/Zn ratio, and the highest
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10 percentage (about 58.40%) of strong acid sites was obtained on the $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst.
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12 This was positively correlated with the proportion of spinel phase in catalysts, indicating
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14 that the larger amount of spinel phase, the stronger acidity of catalysts.
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34 Figure 3 NH₃-TPD profiles of $\text{M}_5\text{Zn}_5\text{AlO}_x$ (M=Ni, Co and Cu) catalysts (a) and $\text{Ni}_y\text{Zn}_{10-y}\text{AlO}_x$ catalysts (b)
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39 The microscopic morphology of the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst was obtained by SEM and
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41 TEM analysis, as shown in Figure 4. The microscopic morphology of the catalyst showed
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43 a flocculent structure as observed in SEM image (Figure 4a). Combined with the SEM-
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45 mapping pattern (Figure 4b), it could be observed that the nickel, zinc, aluminum and
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47 oxygen elements in the catalyst were uniformly distributed on the catalyst surface,
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49 implying the good dispersion of active particles. The statistical analysis of the catalyst
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51 particle size exhibited that the average particle size of nickel metal was 15.85 nm as
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53 showing in TEM image (Figure 4c). The lattice spacing of 0.176 nm for Ni (200), 0.286
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55 nm for spinel ZnAl_2O_4 (220) and 0.248 nm for ZnO (101) were clearly observed in the
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TEM (Figure 4d), which were also consistent with the catalyst composition observed by XRD.

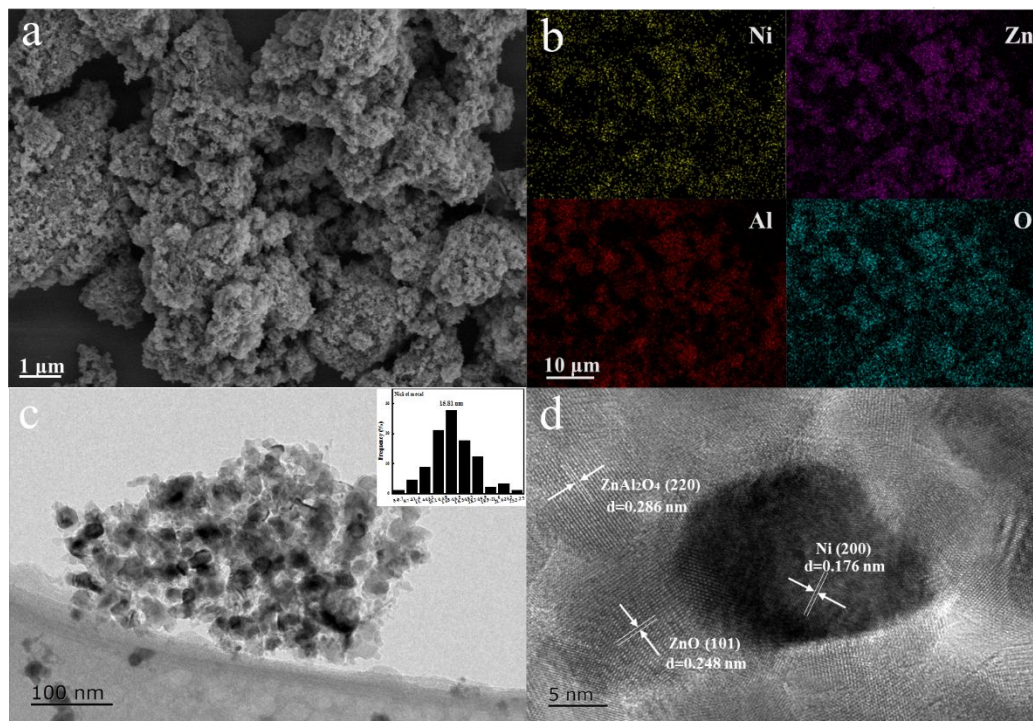
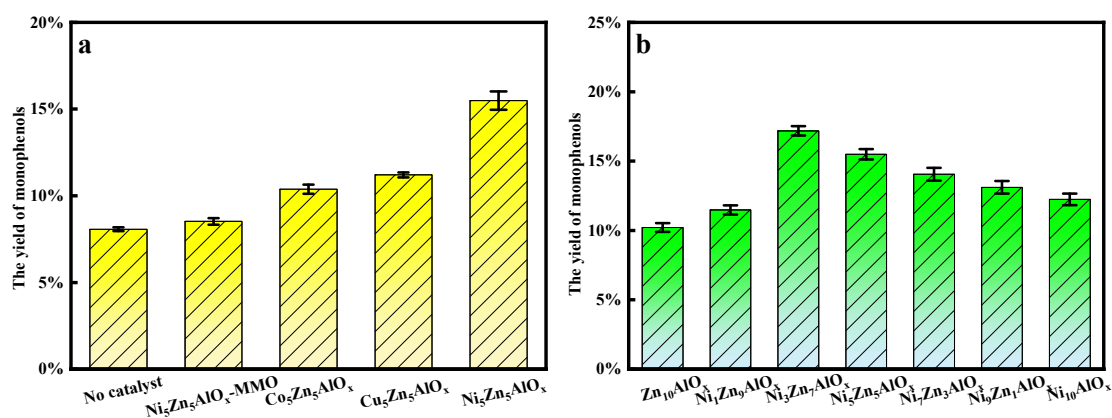


Figure 4 SEM image (a), SEM-mapping (b), TEM image (c) and Ni crystalline planes (b) of $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst

3.2. Product yields from catalytic hydrogenolysis of lignin. The yields of monophenols from the hydrogen transfer hydrogenolysis of lignin with different catalysts were shown in Figure 5. Without catalyst, the hydrogenolysis of lignin yielded 8.07 wt.% monophenols. No obvious change of the monophenols yield from the lignin hydrogenolysis over the $\text{Ni}_5\text{Zn}_5\text{Al}$ -MMO was found, indicating the low catalytic activity of the unreduced metal oxides. The yield of monophenols enhanced under the $\text{Co}_5\text{Zn}_5\text{AlO}_x$, $\text{Cu}_5\text{Zn}_5\text{AlO}_x$ and $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst, respectively (Figure 5a). The best catalytic performance was shown under the $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst, in which the lignin hydrogenolysis produced 14.49 wt.% monophenols, which could be attributed to the

larger specific surface area, abundant surface oxygen vacancies and strong acid sites on the $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst. The C-C bonds in lignin were preferentially broken by the activation of the acid sites in catalysts⁴⁶. In addition, the monomeric products were dominated by side-chain-free and ternary carbon atomic side chains over the $\text{Ni}_5\text{Zn}_5\text{Al-}$ MMO, while the proportion of ternary side-chain monophenols increased significantly and the proportion of side-chain-free compounds decreased over the $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst (shown in Table S1). The $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ catalyst had a good selectivity for ternary side-chain monophenols.



Reaction condition: 0.15g lignin, 0.05g catalyst, 30 mL ethanol/isopropanol (1:1 v: v), 270 °C, 4 h

Figure 5 Yield distributions of monophenols obtained from hydrogenolysis of lignin on $\text{M}_5\text{Zn}_5\text{AlO}_x$ (M=Ni, Co and Cu) catalysts (a) and $\text{Ni}_y\text{Zn}_{10-y}\text{AlO}_x$ catalysts (b)

Since the catalytic activity of $\text{Ni}_5\text{Zn}_5\text{AlO}_x$ was superior to that of $\text{Co}_5\text{Zn}_5\text{AlO}_x$ and $\text{Cu}_5\text{Zn}_5\text{AlO}_x$ catalyst, the different Ni/Zn ratios of catalysts were further investigated in lignin hydrogenolysis (shown in Figure 5b). The $\text{Zn}_{10}\text{AlO}_x$ catalyst produced the lowest yield of monophenols, indicating that nickel play an important role in the catalytic hydrogenolysis of lignin. The yield of monophenols first increased and then decreased

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4 with the increasing nickel content, which was consistent with the change of the catalyst
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6 specific surface area and the amount of surface oxygen vacancies as well as strong acid
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8 sites. The highest yield of monophenols obtained from the lignin hydrogenolysis was
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10 about 17.18 wt.% over the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst. The Zn element in the catalyst favored
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12 the adsorption of lignin fragments containing C=O bonds on the catalyst surface, and the
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14 substrate was protonated and activated by the acidic sites of the catalyst ²⁹. With the
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16 increasing of Ni/Zn ratios, the yields of eugenol and isoeugenol (had unsaturated side
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18 chains) first increased and then decreased, indicating that at low Ni/Zn ratios, the reaction
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20 was dominated by catalytic hydrogenolysis; when at high Ni/Zn ratios, Ni atoms
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22 promoted the hydrogenation reaction to some extent. The stability of the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$
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24 catalyst for the depolymerization of lignin was also investigated. The yield of
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26 monophenols was 17.18 wt.% in the first test. The results indicated that the yield of
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28 monophenols almost no obvious change after five consecutive runs (shown in Figure S2),
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30 demonstrating the excellent stability of $\text{Ni}_3\text{Zn}_7\text{AlO}_x$.
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40 **3.3. 2D HSQC MNR analysis of bio-oil.** The 2D HSQC NMR spectra of lignin and bio-
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42 oil derived from catalytic hydrogenolysis over the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst were shown in
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44 Figure 6. The 2D HSQC NMR spectra can be divided into two regions: the aromatic
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46 region ($\delta\text{C}/\delta\text{H}$ 100-135/5.5-8.5) and the side chain region ($\delta\text{C}/\delta\text{H}$ 50-90/2.5-6.0) ⁴⁷. In
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48 the side chain region, three major linkage bonds were clearly observed in lignin: β -O-4
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50 (A), β -5 (B) and β - β (C), where the most abundantly distributed linkage bond was β -O-4.
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52 The signals of the G-type structure, S-type structural unit and FA-type structure were still
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54 observed in the aromatic region of bio-oil from the lignin hydrogenolysis over the
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$\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst, but the intensity of the corresponding peaks was declined. The appearing of the H-type unit in the bio-oil indicated that the demethoxylation reaction was promoted by the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst. In the side chain region, the β -O-4, β -5 and β - β were invisible, indicating that the β -O-4, β -5 and β - β bonds in lignin were more likely to be broken over the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst. GPC analysis (Table S5) also confirmed that lignin was effectively depolymerized over the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst.

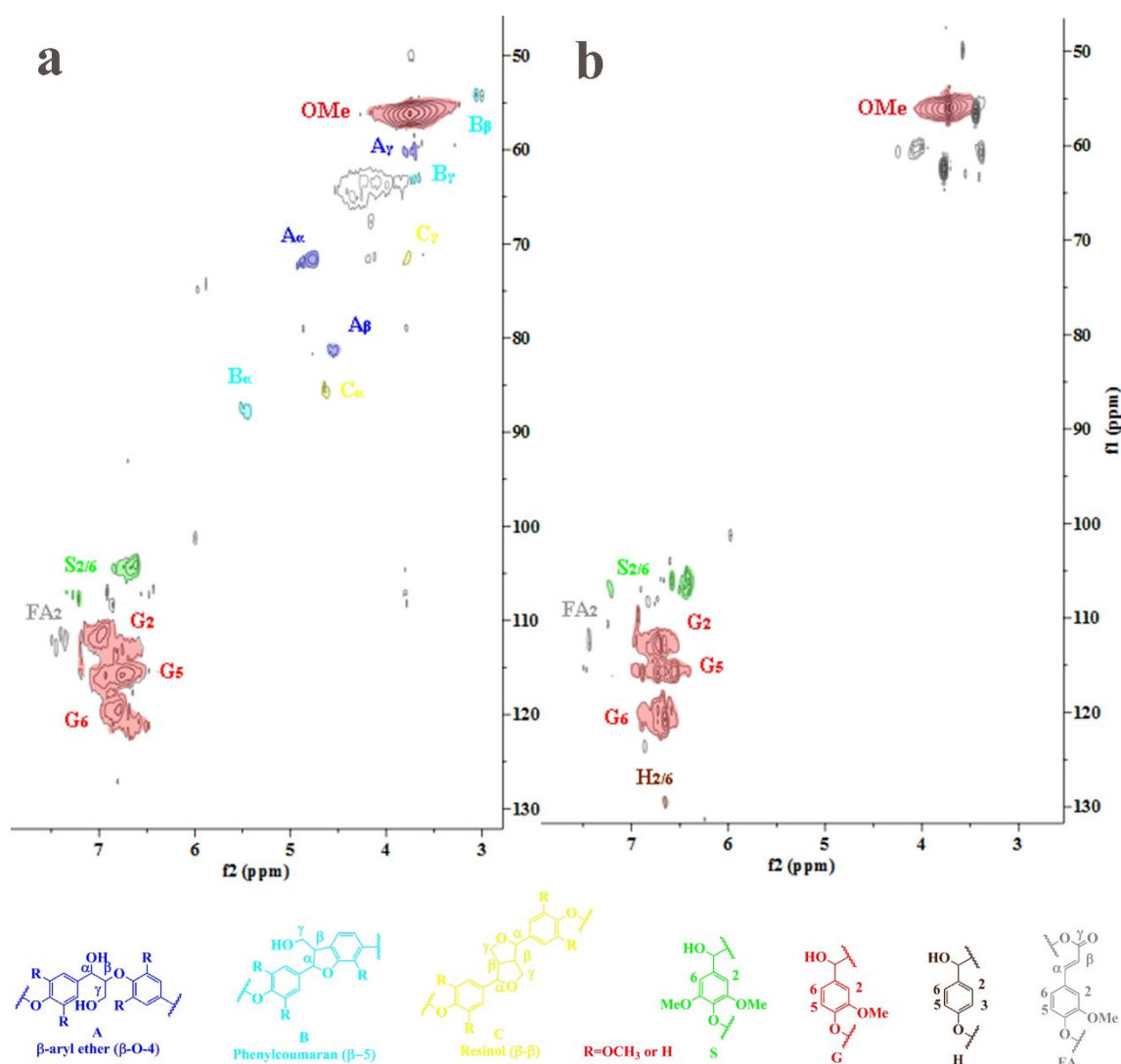


Figure 6 2D HSQC MNR of lignin (a) and bio-oil with the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst (b)

3.4. Plausible reaction pathways for the catalytic hydrogenolysis of lignin. A possible reaction pathway for the hydrogenolysis of lignin over the $\text{Ni}_3\text{Zn}_7\text{AlO}_x$ catalyst was

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4 speculated by combining the analysis of the study results, which was shown in Figure 7.
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7 First of all, the dehydrogenation reaction of ethanol and isopropanol catalyzed by nickel
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9 and acidic sites on the catalyst generated aldehydes and hydrogen atoms ⁴⁸, and the
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11 hydrogen radicals *in situ* participated in the lignin hydrogenolysis. Then, the oxygen-
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13 containing functional groups in lignin interacted with oxygen vacancies and zinc particles
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15 on the catalyst surface ²⁹. The lignin adsorbed on the catalyst surface was activated by
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17 acidic sites and catalyzed by nickel to yield enol ether intermediates by protonation of -
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19 OH in lignin ⁴⁹. The intermediates were further depolymerized to generate monophenolic
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21 compounds, while a portion of the intermediates containing benzyl cations might be
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23 attacked by other aromatic nuclei during the reaction process, resulting in condensation
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25 reaction. At the same time, monophenols containing unsaturated side chains such as
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27 eugenol and isoeugenol further underwent hydrogenation reactions over nickel atoms.
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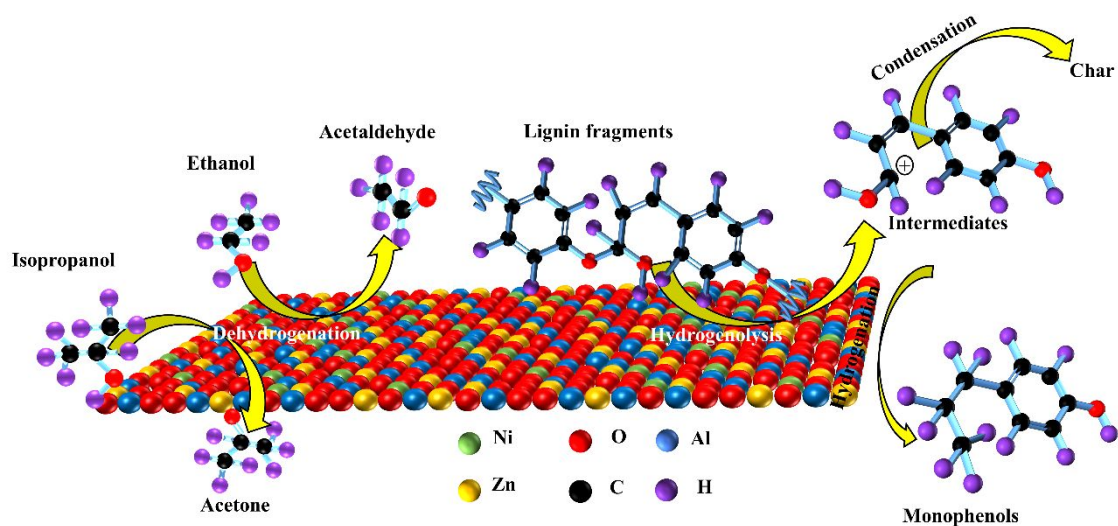


Figure 7 Plausible reaction pathway for the catalytic hydrogenolysis of lignin

4. CONCLUSIONS

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4 The catalytic depolymerization of organosolv lignin over inexpensive mixed metal
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6 catalysts to monophenolic compounds was investigated. $M_5Zn_5AlO_x$ (M=Co, Ni and Cu)
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8 catalysts were obtained by co-precipitation method. Compared with the reduced catalyst,
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10 BET surface area of Ni_5Zn_5Al -MMO was smaller, and the proportion of weak acidic sites
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12 was larger. Among these catalysts, $Ni_5Zn_5AlO_x$ had the largest BET surface area (241.56
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14 m^2/g) and the largest proportion of oxygen vacancies and strong acidic sites on the surface.
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16 Lignin was depolymerized in ethanol/isopropanol with $Ni_5Zn_5AlO_x$ to monophenols with
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18 the highest yield about 14.49 wt.%, and the ternary carbon side chain compounds
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20 dominated the monomer compounds, so $Ni_5Zn_5AlO_x$ exhibited superior selectivity for
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22 ternary side chain monophenols. The study further investigated the relationship between
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24 different Ni/Zn ratios in catalysts and the lignin depolymerization efficiency. With the
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26 increase of Ni/Zn ratios, the BET surface area and the amount of oxygen vacancies and
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28 strong acidic sites on the catalyst surface increased and then decreased. Similarly, the
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30 yields of monophenols from lignin depolymerization increased and then decreased, where
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32 up to 17.18 wt.% of the monomer products was obtained over the $Ni_3Zn_7AlO_x$ catalyst.
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34 By the 2D HSQC NMR of bio-oil, it was found that the catalyst could effectively catalyze
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36 the reaction of breaking the major linkage bonds in lignin. The dehydrogenation of
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38 ethanol and isopropanol catalyzed by nickel atoms in $Ni_3Zn_7AlO_x$ was accompanied by
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40 the depolymerization of lignin to obtain monophenols by the coordinated action of strong
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42 acidic sites on the catalyst surface and nickel atoms. This research provides a reliable
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44 method for high value utilization of lignin.
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ASSOCIATED CONTENT

Supporting Information

Monophenols yields from hydrogenolysis of lignin on $M_5Zn_5AlO_x$ ($M=Ni, Co$ and Cu) catalysts and $Ni_yZn_{10-y}AlO_x$ catalysts; chemical compositions of the catalysts; the catalytic properties and performances of the catalysts; relative molecular masses of lignin and bio-oil; the yield of bio-oil and coke; adsorption-desorption isotherms of $Ni_5Zn_5Al- MMO$ and $Ni_5Zn_5AlO_x$; and the stability of the $Ni_3Zn_7AlO_x$ catalyst for lignin hydrogenolysis.

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Notes

The authors declare no competing financial interest.

ACKNOWLEDGEMENTS

The authors gratefully acknowledge the financial support from the Natural Science Research Project in Colleges and Universities of Jiangsu Province, China (grant number: 21KJB480004), National Natural Science Foundation of China (grant numbers. 51861145102) and the Key Research & Development Program of Jiangsu Province (grant number: BE2020114).

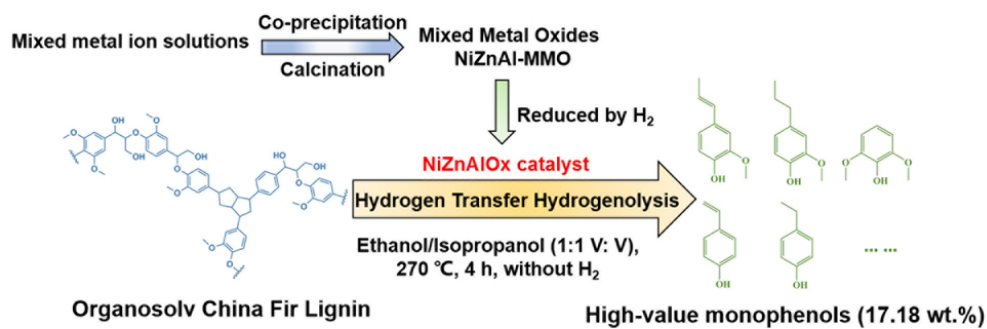
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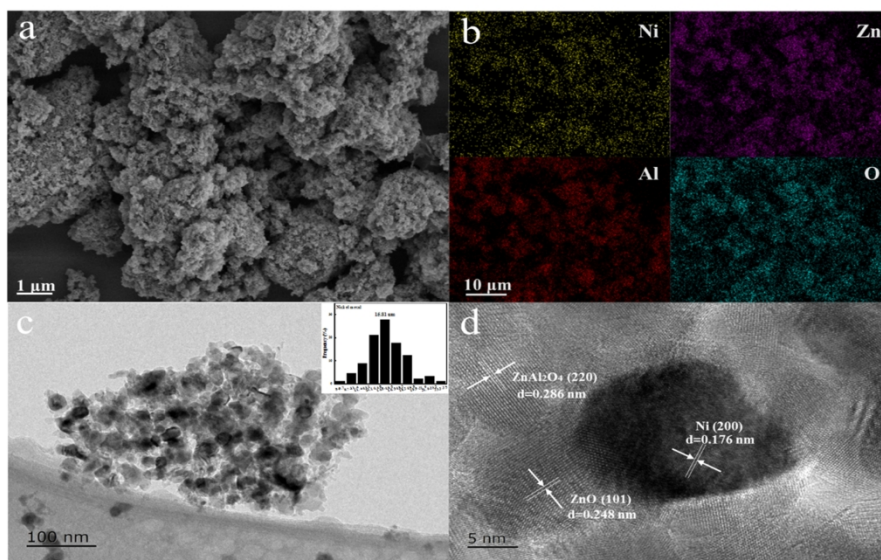


Figure 4 SEM image (a), SEM-mapping (b), TEM image (c) and Ni crystalline planes (d) of Ni₃Zn₇AlO_x catalyst

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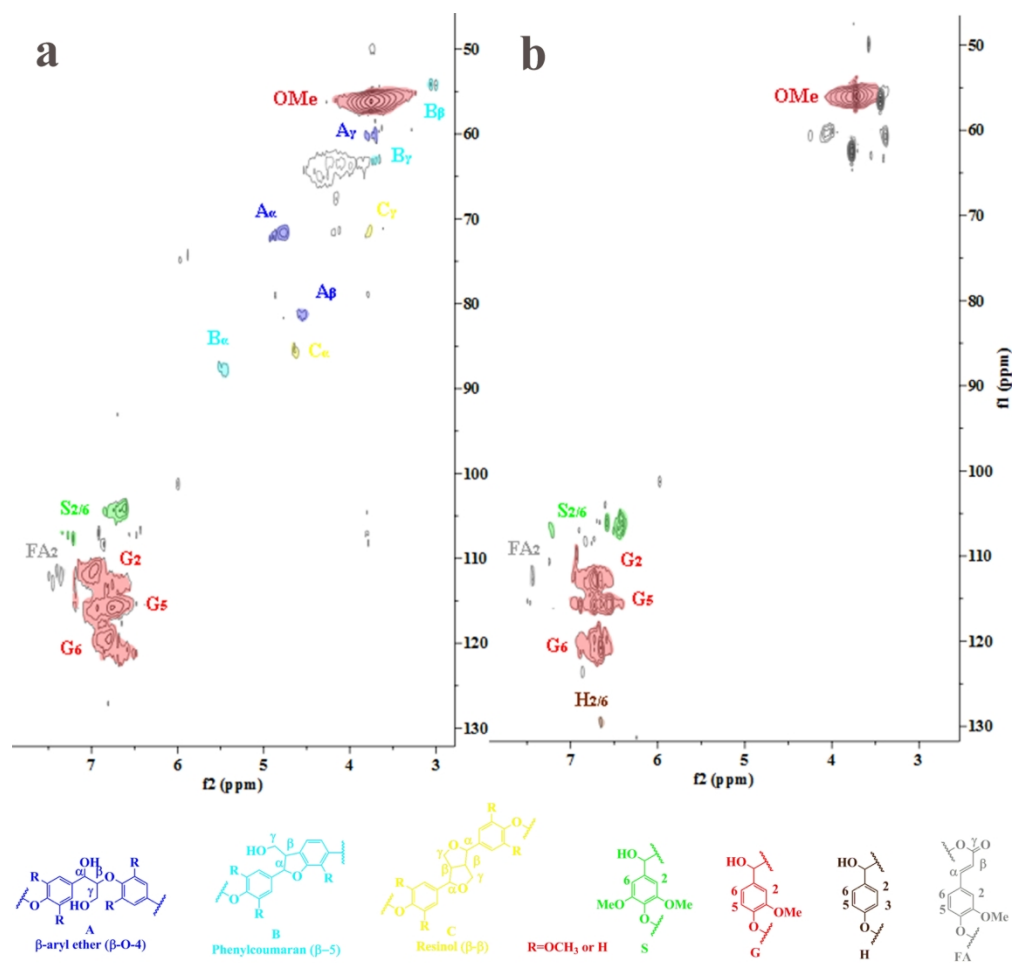


Figure 6 2D HSQC MNR of lignin (a) and bio-oil with the Ni₃Zn₇AlOx (b)

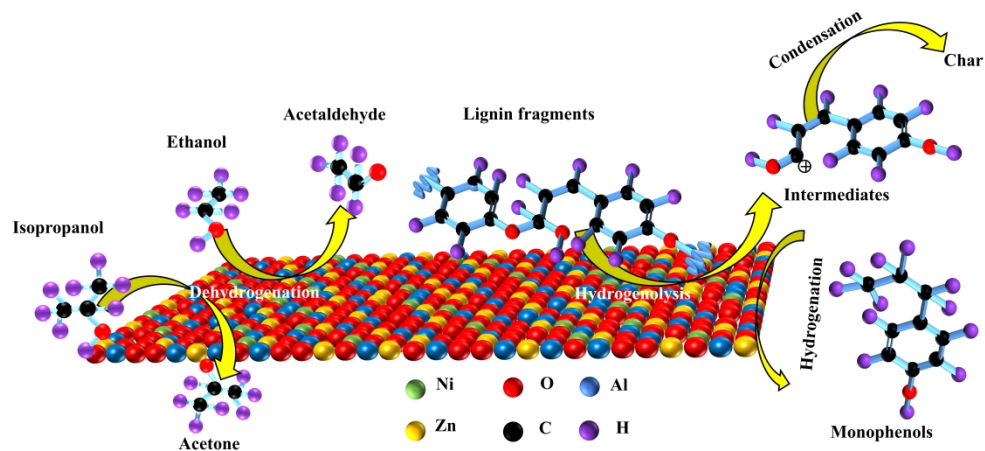


Figure 7 Plausible reaction pathways for the catalyzed hydrogenolysis of lignin

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