

1 Title:

2 **Ruthenium Plaque Radiotherapy in the Current Era of Retinoblastoma Treatment**

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37 **Abstract**38 *Background*

39 Two major treatment modalities for retinoblastoma, intraarterial chemotherapy (IAC) and intravitreal  
40 chemotherapy (IVitC), have superseded external beam radiotherapy for eye salvage. In this new setting our  
41 objectives were to evaluate the indications for plaque radiotherapy, complications, and recurrence rates.

42 *Methods*

43 Retrospective detailed review of patient's charts was performed for all subjects treated with plaque radiotherapy  
44 for retinoblastoma between January 2015 and December 2020.

45 *Results*

46 A total of 12 eyes of 12 patients were included. Mean age at plaque insertion was 45 months (median 29, range  
47 17-150). The treatment dose was 40 Gy to the tumour apex. The indication for plaque radiotherapy was salvage  
48 therapy in 11 eyes (92%) and primary treatment in one eye (8%). At last follow-up from plaque insertion (mean  
49 36 months, range 3-67), four (33%) patients had visual acuity better than 0.5 LogMAR and four (33%) had visual  
50 acuity worse than 1.0 LogMAR. Radiation-related complications were: one (8%) vitreous haemorrhage, two  
51 (16%) non-proliferative radiation retinopathy and one (8%) cataract. Recurrence was detected in four (33%)  
52 patients at a mean of 7.8 months (median 5, range 1-20) post-plaque. Globe salvage rate was 75%, as three eyes  
53 required enucleation, one to treat recurrence of the tumour treated with plaque and two to treat recurrence of other  
54 tumours.

55 *Conclusions*

56 In the current era of retinoblastoma management, a role for plaque radiotherapy remains for salvage or primary  
57 treatment in eyes with localised active tumour, providing tumour control in 66%. Close observation is  
58 recommended to both detect recurrence and radiation-related complications.

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62	<i>Keywords</i>
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64	Retinoblastoma
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66	Plaque radiotherapy
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68	Ruthenium
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70	Oncology
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72	Retina

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## Introduction

Ocular brachytherapy, using radon seeds, was first used to treat retinoblastoma in the 1930s.<sup>1</sup> Now, in Europe, ruthenium-106 is commonly used for plaque radiotherapy. In the United States, iodine-125 is the preferred radioactive source. The radioactive plaque is sutured onto the sclera over the tumour and a dose of 40-45 Gray at a dose rate of 1000 CGy per day is typically prescribed.<sup>2</sup> Plaque radiotherapy is generally used to treat smaller solitary tumors (AJCC group cT1 and cT2) that have only very localized seeding that can be incorporated into the radiation field or for ocular salvage for the treatment of residual or recurrent tumors.<sup>3,4</sup>

Reported rates of 5-year overall tumour control following plaque radiotherapy as both a primary treatment and a salvage treatment range from 79% to 94.4%.<sup>3,4</sup> Although the dose of radiation used for the treatment of retinoblastoma is significantly less than that used in uveal melanoma, radiation-related complications have been observed. Schueler *et al.*, delivered a mean radiation dose of 138 Gy to the tumor apex and demonstrated 22% rate of retinopathy, 21% rate of optic neuropathy and 17% rate of cataract at five years follow-up.<sup>4</sup> Abouzeid *et al.* used a lower dose of radiation (50Gy to the apex) and observed 2% rate of radiation retinopathy and 73% rate of tumour control at one year follow-up.<sup>5</sup> Murphree *et al.*, delivered 40Gy to the tumor apex, in patients previously treated with platinum-based chemotherapy and demonstrated 100% risk of radiation retinopathy.<sup>6</sup> The radiation dose rate administered per day is a function of isotope activity and depends on the age of plaque used in each individual case in these previous studies.<sup>3-6</sup>

Intraarterial chemotherapy (IAC) treatment was first started in Japan in 2004 and then developed and popularized in New York in 2008.<sup>7,8</sup> The safety-enhanced technique of intravitreal chemotherapy (IVitC) was described in 2012.<sup>9</sup> These two treatment options were therefore not in use when previous studies looking at plaque radiotherapy were performed and many of the patients in these previous studies had undergone external beam radiotherapy.<sup>3-6,10</sup> The aim of our study was to investigate the current use of ruthenium-106 plaque radiotherapy, with an apex dose of 40Gy, in the modern era of retinoblastoma treatment. In this new setting our objectives were to find out what the indications for plaque radiotherapy are, and what complication and recurrence rates are.

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## Material and Methods

The medical records of the London Retinoblastoma service were retrospectively reviewed from January 2015 to December 2021 to select patients treated with plaque radiotherapy for retinoblastoma. This study was approved as an audit by the Institutional Review Board of Barts Health NHS Trust (Number 12436) and adhered to the tenets of the Declaration of Helsinki.

107 The diagnosis of retinoblastoma was made by a retinoblastoma specialist using examination under  
108 anaesthesia (EUA) with indirect ophthalmoscopy, and multimodal imaging including ultrasonography and  
109 fluorescein angiography. All eyes with retinoblastoma were graded according to the International Intraocular  
110 Retinoblastoma Classification (IIRC) and American Joint Committee on Cancer Staging (AJCC) at diagnosis.<sup>11,12</sup>  
111 Decisions to treat with plaque radiotherapy were made by a multi-disciplinary team including ocular oncologists  
112 and paediatric oncologists.

113 Plaque radiotherapy was performed using a 12mm, 15mm or 20mm circular Ruthenium-106 plaque by  
114 a single surgeon (MSS). Plaque size was chosen based on allowing at least 2mm of safety margin around the  
115 tumour. Plaques were secured to the sclera with 5-0 non-absorbable polyester sutures. Extraocular muscles were  
116 temporarily removed if needed to ensure correct plaque placement and haemostasis was ensured to prevent plaque  
117 lifting. If there was concern of possible lifting of the plaque a mattress suture could be placed over the body of  
118 the plaque. Vitreous seeds were incorporated into the radiation field of the plaque if localised close to the tumor  
119 and if radiation planning allowed. Patients were examined under anaesthesia 3-4 weeks following plaque  
120 radiotherapy and subsequent follow up intervals were chosen based on tumour response and the status of other  
121 tumours in the same and fellow eye.

122 Visual acuities (VA) were tested before every EUA using Cardiff Cards (fixed choice preferential  
123 looking (FCPL)), Keeler Cards (FCPL), Kays picture tests (optotype) and crowded LogMAR, depending on the  
124 age of the child. If quantitative assessment was not possible, qualitative methods were used; fixing and following  
125 a target, fixation preference or objection to occlusion of the fellow eye.

126 Data was collected from each patient chart regarding patient demographics, all treatment modalities  
127 previously employed, clinical features of the patient and tumours, details of the plaque used and outcomes.  
128 Outcome data included data from date last seen including VA, radiation-related complications, tumour recurrence  
129 and retinoblastoma-related metastasis/death. Data on any further treatments used was also collected.

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### 131 *Results*

132 There were a total of 12 eyes from 12 patients who underwent plaque radiotherapy for the treatment of  
133 retinoblastoma during the study period. Patient demographics including AJCC grade of the plaque-treated eye are  
134 shown in table 1. The mean patient age at the time of plaque insertion was 45 months (median 29, range 17-150).  
135 The mean time between diagnosis and plaque insertion was 29 months (median 23, range 1-79). Bilateral  
136 retinoblastoma was seen in eight (66%) patients and unilateral in four (33%). None of the unilateral retinoblastoma

137 cases had genetic retinoblastoma. In the eight patients with bilateral retinoblastoma, the AJCC grades of their  
138 fellow eyes at presentation were: cT1a (n=1, 13%), cT1b (n=1, 13%), cT2a (n=1, 13%), cT2b (n=3, 38%) and  
139 cT3c (n=2, 25%).

140 Clinical and tumour features are shown in table 2. Plaque radiotherapy was used as a primary treatment  
141 in one patient (8%). This patient had a long-standing retinoma that converted to retinoblastoma after 4 years of  
142 follow up. All the other patients in the study (n=11, 92%) had plaque radiotherapy performed as salvage therapy  
143 to treat retinoblastoma relapses that were resistant to other forms of treatment.

144 Outcomes are shown in table 3. At final review, in those patients with globe salvage, four had VA better  
145 than 0.5 LogMar (20/60 Snellen) and four had VA worse than 1.0 LogMar (20/200 Snellen) in their plaqued eye.  
146 Following treatment, 11 (91%) patients were judged to have a complete response to the plaque radiotherapy.  
147 Figure 1 illustrates the rapid initial response to treatment three weeks following plaque radiotherapy. The mean  
148 time from plaque treatment to maximum response was 2.3 months (median 1.5, range 1-4). Radiation related  
149 complications were observed in four (33%) patients: non-proliferative radiation retinopathy (n=2, 16%), vitreous  
150 hemorrhage (n=1, 18%) and cataract (n=1, 8%). The patient who developed vitreous haemorrhage had previously  
151 been treated with IAC. The two patients with non-proliferative retinopathy had previously received systemic  
152 chemotherapy. Recurrence was detected in four patients (33%) at a mean of 7.8 months (median 5, range 1-20)  
153 post-plaque (figure 2). Of the four patients with recurrence, one patient had an enucleation four months following  
154 plaque radiotherapy, the others had further salvage therapy with either transpupillary thermotherapy laser or  
155 intravitreal chemotherapy. The patient requiring intravitreal chemotherapy required no further treatment to the  
156 primary tumor following three injections of 20 micrograms of intravitreal melphalan one week apart. Two of the  
157 patients had further relapses of other tumours in same eye, eventually requiring enucleation (at 13 months and 22  
158 months following plaque radiotherapy). One (8%) of these patients had trilateral retinoblastoma and developed  
159 disseminated central nervous system metastatic disease from which they died at 5 years of age, this was the same  
160 patient that developed vitreous haemorrhage post-plaque. Of the four patients with relapse, 3 patients had tumors  
161 located posterior to the equator but not involving the posterior pole and one had a tumor anterior to the equator.  
162 The patient who developed a cataract did not undergo surgical removal of the cataract as it was not precluding  
163 tumor monitoring and it was felt that surgery would not give significant visual improvement.

164

165 *Discussion*

166            Approximately one child is diagnosed with retinoblastoma per week in the UK.<sup>13</sup> In the London  
167 Retinoblastoma service, approximately 25 new cases of retinoblastoma are seen per year. Over the past 7 years  
168 only 12 plaques have been inserted. Plaque radiotherapy is therefore not commonly performed, however, in the  
169 context of modern treatment modalities for retinoblastoma it remains an important option. We have shown that  
170 plaque radiotherapy is used mainly in the salvage of localised relapse that is refractory to other treatment. Eleven  
171 (92%) patients in this study had plaque radiotherapy as salvage therapy following multiple other treatments. Only  
172 one (8%) patient had the procedure done as a primary treatment and this was for an isolated peripheral tumour.  
173 Radiation-related complications were seen in four (33%) patients. Tumour control rate at one year was 75%, and  
174 after a mean follow-up of three years was 66%. One patient died from metastatic trilateral retinoblastoma.

175            The main strength of this study is that it is the first to be carried out looking at ruthenium-106 plaque  
176 radiotherapy for the treatment of retinoblastoma in the current era of intraarterial and intravitreal chemotherapy.  
177 Patients in this study had a full orthoptic assessment with age-appropriate visual acuity testing at every visit.  
178 Previous studies have tended not to report visual acuity outcomes. The main weakness is the low number of  
179 patients included in the study. This is due to the low numbers of children diagnosed with retinoblastoma and the  
180 infrequency with which radioactive plaques are used.

181            Our relapse rate of 33% following plaque radiotherapy sits in the middle of figures reported by previous  
182 studies which range from 5.6% to 66.3%.<sup>4,10</sup> It is however difficult to make direct comparisons. For instance,  
183 Shields *et al.* found a 21% recurrence rate at 5 years, however in 29% of their cases, plaque radiotherapy was used  
184 as primary treatment rather than salvage treatment, they also used several different radioisotopes including cobalt-  
185 60, ruthenium-106 and iodine-125.<sup>3</sup> Schueler *et al.* had a 5.6% recurrence rate at 5 years.<sup>4</sup> They used significantly  
186 higher radiation doses with an average dose of 138 Gy delivered to the tumour apex with ruthenium-106. They  
187 had a 29.1% incidence of intraocular haemorrhage following plaque radiotherapy with half of these patients  
188 developing vitreous haemorrhage. Abouzeid *et al.* is the most comparable to our study in that they used  
189 Ruthenium-106 at a dose of 50 Gy to the apex and 4.8% of their patients were being treated as primary treatments.<sup>5</sup>  
190 They report a 27% recurrence rate, but with only one year of follow up, which is similar to our 1-year recurrence  
191 rate of 25%.<sup>5</sup> Recurrence following plaque radiotherapy may be secondary to radiation-resistant retinoblastoma  
192 cells or due to insufficient radiation dose to the edge or apex of the tumor. Meticulous planning of the plaque  
193 procedure by a trained ocular oncologist in conjunction with a radiation physicist experienced in plaque  
194 radiotherapy together with careful attention to plaque positioning to avoid plaque mispositioning or lifting are  
195 important in preventing recurrence.<sup>2</sup>

196 Our radiation-related complication rates lie in the middle of figures reported by previous studies but  
197 again it is difficult to compare studies because of the multiple differing variables, particularly the fact that many  
198 of the patients included in previous studies were exposed to external beam radiotherapy as well as plaque  
199 radiotherapy. We report a 24% risk of retinopathy and an 8% risk of cataract. Murphree *et al.*, using Iodine-125  
200 at a dose of 40Gy to the tumour apex, in patients previously treated with platinum-based chemotherapy,  
201 demonstrated a 100% risk of radiation retinopathy.<sup>6</sup> Shields *et al.* reported retinopathy in 27%, papillopathy in  
202 26%, cataract in 31% and glaucoma in 11%.<sup>3</sup> Schueler *et al.* reported retinopathy in 22%, papillopathy in 21%  
203 and cataract in 17%.<sup>4</sup> Abouzeid *et al.* reported retinopathy in 2.4%, radiation-related retinal detachment in 17.1%  
204 and cataract in 9.7%.<sup>5</sup> The high rate of papillopathy in many of these older studies may be due to the use of  
205 external beam radiotherapy.

206 This study is important as it benchmarks outcomes of Ruthenium-106 plaque radiotherapy with an apex  
207 dose of 40 Gy, the most frequently prescribed dose, in the current era of retinoblastoma treatment.<sup>2</sup> The recurrence  
208 rate, visual acuity figures and complication rates will be helpful to patients and their physicians when deciding  
209 about the risks and benefits of plaque radiotherapy versus other options. The recurrence rate of 33% and the  
210 retinopathy rate of 24% emphasises the importance of regular follow up and vigilance when assessing patients  
211 treated with plaque radiotherapy for retinoblastoma. Even though tumours may initially show complete responses,  
212 as 91% did in this study, they can reactivate later. We had only one case of vitreous haemorrhage which was when  
213 plaque radiotherapy and IAC were used in the same patient. We first reported this risk in 2012 when all 3 children  
214 developed vitreous haemorrhages when IAC was used following relapse after radiotherapy.<sup>12</sup>

215 Further studies should examine why plaque radiotherapy is being used less frequently than before as a  
216 primary treatment. Shields *et al* used plaque radiotherapy as a primary treatment in 29% of cases and had a 12%  
217 recurrence rate at 1 year.<sup>3</sup> We used it as a primary treatment in one patient (8%) and no recurrence has developed  
218 after 3 years of follow up. Further research also needs to examine larger numbers of retinoblastomas treated with  
219 plaque radiotherapy in the current era so that statistical analysis can be done to determine risk factors for failure  
220 of plaque radiotherapy and radiation-related complications.

221 In conclusion, plaque radiotherapy offers a way of delivering localized radiation to retinoblastoma  
222 tumours whilst avoiding the wide-spread side-effects associated with external beam radiotherapy. At our  
223 institution where multiple other treatment modalities are available, it is rarely used. When it is used, it is  
224 predominantly a salvage treatment. Recurrence rate after plaque radiotherapy with a 40Gy apex dose is 33% at a



225 mean of 3 years. Radiation-related complications are not uncommon and we report a 24% risk of retinopathy and

226 an 8% risk of cataract

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228 *Declaration of Interest Statement*

229 The authors report there are no competing interests to declare.

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**Figures**

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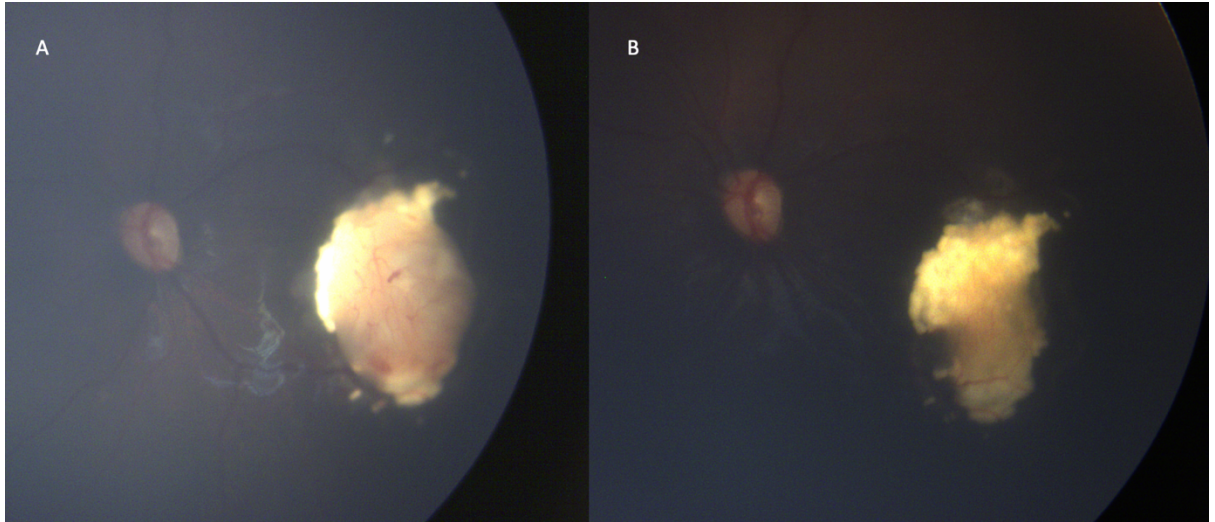
**Figure 1:**

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An example of a retinoblastoma tumor responding to ruthenium-106 plaque radiotherapy. A, pre-

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treatment; B, Three weeks post treatment, showing a rapid early response.



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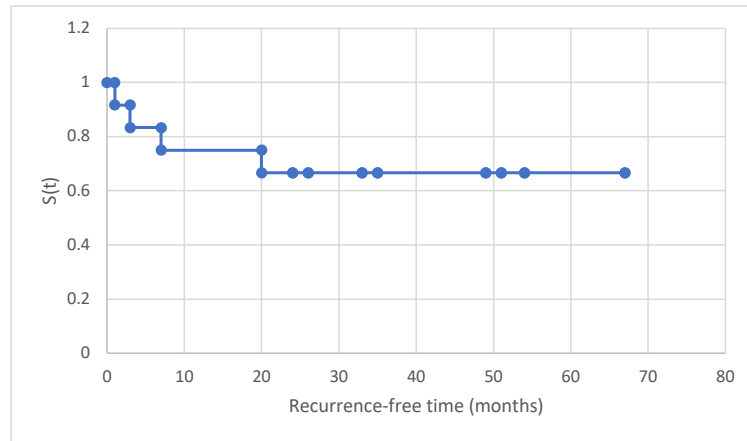
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**Figure 2:**

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Kaplan Meier survival curve of tumor recurrence following plaque radiotherapy.

**Figure 2**



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283 **Table 1:** Ruthenium plaque radiotherapy for the treatment of retinoblastoma in 12 consecutive patients. Patient  
 284 demographics and clinical features at presentation of the eye that eventually had plaque radiotherapy.  
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<b>Demographics</b>	<b>Number (%), n=12</b>
<b>Age at presentation (months) mean (median, [range])</b>	18 (6, [0-149])
<b>Sex</b>	
Male	4 (33%)
Female	8 (66%)
<b>Genetic status</b>	
Somatic retinoblastoma	4 (33%)
Genetic retinoblastoma	8 (66%)
<b>Eye</b>	
Left	6 (50%)
Right	6 (50%)
<b>Visual acuity (logMAR)</b>	
-0.18	1 (8%)
0.2	1 (8%)
0.5	2 (16%)
Fixes and follows	3 (25%)
Objects to occlusion	1 (16%)
Reacts to light	1 (8%)
No objection to occlusion	1 (8%)
Unable to measure	2 (16%)
<b>AJCC grade</b>	
cT1a	1 (8%)
cT1b	1 (8%)
cT2a	1 (8%)
cT2b	9 (75%)

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288 **Table 2:** Ruthenium plaque radiotherapy for the treatment of retinoblastoma in 12 consecutive patients. Clinical  
 289 and plaqued tumour features.  
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<b>Clinical and tumour features</b>	<b>Number (%), n=12</b>
<b>Age at plaque treatment (months) mean (median, [range])</b>	45 (29, [17-150])
<b>Time between diagnosis and plaque (months) mean (median, [range])</b>	27 (21, [1-79])
<b>Indication for plaque</b>	
Primary treatment	1 (8%)
Salvage treatment	11 (92%)
<b>Visual acuity immediately pre-plaque (logMAR)</b>	
-0.2	1 (8%)
0.0	1 (8%)
0.1	1 (8%)
0.2	2 (17%)
1.0	2 (17%)
1.6	1 (8%)
Fixing and following	3 (25%)
No light perception	1 (8%)
<b>Sectoral location of tumour</b>	
Inferotemporal	7 (58%)
Inferonasal	2 (17%)
Superotemporal	2 (17%)
Superonasal	1 (8%)
<b>Anteroposterior location of tumour</b>	
Anterior to equator	6 (50%)
Equator	2 (17%)
Posterior to equator	3 (25%)
Posterior pole	1 (8%)
<b>Size of tumour (mm) mean (median, [range])</b>	
Transverse diameter	5.3 (4.7, [3.8-9.1])
Longitudinal diameter	5.2 (5.1, [2.8-6.8])
Elevation (not including sclera)	3 (2.9, [0.7-7.4])
<b>Radiation dose prescribed to tumour apex</b>	
40 Gy	12 (100%)
<b>Plaque diameter (mm)*</b>	
12	10
15	1
20	1
<b>Treatments received prior to plaque</b>	
None	1 (8%)
IVC and Focal	5 (42%)
IVC, IAC and Focal	4 (33%)
IVC, IVitC and Focal	1 (8%)
IVC, IAC, IVitC and Focal	1 (8%)

291 IVC = intravenous chemotherapy; IAC = intraarterial chemotherapy; IVitC = intravitreal chemotherapy; Focal =  
 292 cryotherapy or transpupillary thermotherapy laser. \*all patients had circular (non-notched) plaques.  
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295 **Table 3:** Ruthenium plaque radiotherapy for the treatment of retinoblastoma in 12 consecutive patients.  
 296 Outcomes.  
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<b>Outcomes</b>	<b>Number (%), n=12</b>
<b>Follow-up (months) mean (median, [range])</b>	36 (34, [3-67])
<b>Visual acuity at date last seen (LogMAR)</b>	
-0.3	1 (8%)
0.0	1 (8%)
0.1	2 (16%)
0.7	1 (8%)
1.1	1 (8%)
1.2	2 (16%)
1.3	1 (8%)
Enucleation	3 (25%)
<b>Tumour regression patterns (type)</b>	
1	4 (33%)
2	1 (8%)
3	2 (16%)
4	5 (42%)
<b>Radiation-related complications</b>	
Non-proliferative radiation retinopathy	2 (16%)
Vitreous hemorrhage	1 (8%)
Cataract	1 (8%)
<b>Tumour recurrence following plaque</b>	
Within 1 year	3 (25%)
Within 2 years	4 (33%)
<b>Treatment of recurrence</b>	
Enucleation	1 (8%)
TTT laser followed by Enucleation	2 (16%)
Intravitreal chemotherapy	1 (8%)
<b>Death from metastatic retinoblastoma</b>	1 (8%)

298 TTT=transpupillary thermotherapy  
 299