

# Intrinsic Defects and Their Role in the Phase Transition of Na-Ion Anode $\text{Na}_2\text{Ti}_3\text{O}_7$

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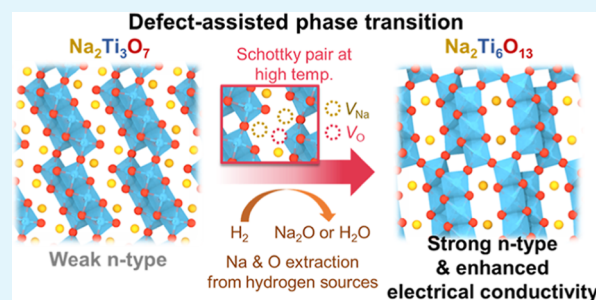
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**ABSTRACT:** The development of high-power anode materials for Na-ion batteries is one of the primary obstacles due to the growing demands for their use in the smart grid. Despite the appealingly low cost and non-toxicity,  $\text{Na}_2\text{Ti}_3\text{O}_7$  suffers from low electrical conductivity and poor structural stability, which restricts its use in high-power applications. Viable approaches for overcoming these drawbacks reported to date are aliovalent doping and hydrogenation/hydrothermal treatments, both of which are closely intertwined with native defects. There is still a lack of knowledge, however, of the intrinsic defect chemistry of  $\text{Na}_2\text{Ti}_3\text{O}_7$ , which impairs the rational design of high-power titanate anodes. Here, we report hybrid density functional theory calculations of the native defect chemistry of  $\text{Na}_2\text{Ti}_3\text{O}_7$ . The defect calculations show that the insulating properties of  $\text{Na}_2\text{Ti}_3\text{O}_7$  arise from the Na and O Schottky disorder that act as major charge compensators. Under high-temperature hydrogenation treatment, these Schottky pairs of Na and O vacancies become dominant defects in  $\text{Na}_2\text{Ti}_3\text{O}_7$ , triggering the spontaneous partial phase transition to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  and improving the electrical conductivity of the composite anode. Our findings provide an explanation on the interplay between intrinsic defects, structural phase transitions, and electrical conductivity, which can aid understanding of the properties of composite materials obtained from phase transitions.

**KEYWORDS:** titanate anodes, sodium-ion battery, intrinsic defect chemistry, Schottky pair, electrical conductivity, phase transition, density functional theory



## 1. INTRODUCTION

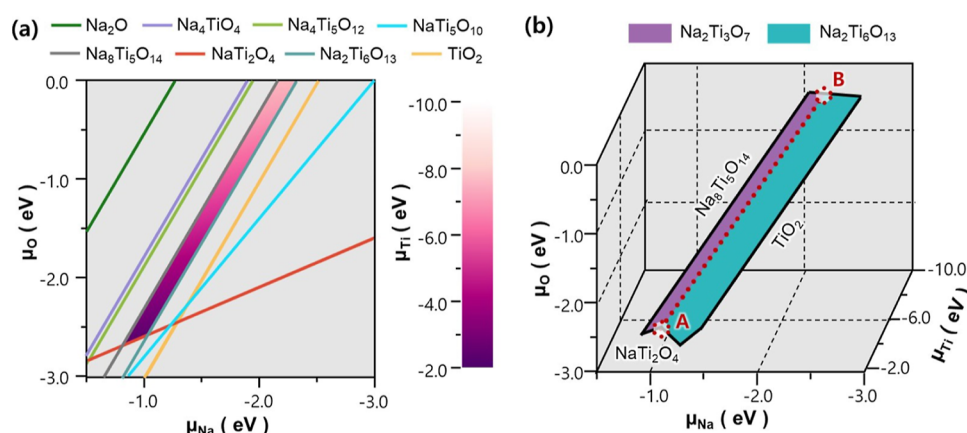
Owing to the ever-growing demands for sustainable energy storage devices in electric vehicles and grid applications, batteries composed of non-toxic and naturally abundant elements have increasingly attracted attention.<sup>1,2</sup> Na-ion batteries (NIBs), taking advantage of Na being the fourth most earth-abundant element, have emerged as promising candidates for such applications. Together with cost-effective electrodes, the use of NIBs can bring a radical decrease in cost compared to the widely used Li-ion batteries, while ensuring sustainability. Sodium titanates ( $\text{Na}_2\text{Ti}_n\text{O}_{2n+1}$ ) are some of the most attractive anodes for sustainable NIBs because of the large abundance of its raw materials and non-toxicity.<sup>3,4</sup> Among the sodium titanate family,  $\text{Na}_2\text{Ti}_3\text{O}_7$  has been reported to be suitable for NIBs due to its moderate theoretical capacity (177 mA h g<sup>-1</sup>)<sup>3</sup> and low average working potential (0.3 vs Na<sup>+</sup>/Na).<sup>5</sup> However, previous tests have shown that  $\text{Na}_2\text{Ti}_3\text{O}_7$  suffers from (i) low electrical conductivity and (ii) structural instability, which results in poor electrochemical performance, particularly at high charge/discharge rates. To enable the practical application of  $\text{Na}_2\text{Ti}_3\text{O}_7$ , it is thus essential to develop proper strategies to overcome its drawbacks.

The low electrical conductivity of  $\text{Na}_2\text{Ti}_3\text{O}_7$  has been addressed by either introducing conductive matrices<sup>6–10</sup> or

aliovalent doping.<sup>11–13</sup> Of these methods, the doping strategy has been widely utilized for  $\text{Na}_2\text{Ti}_3\text{O}_7$  with various dopants, including Nb<sup>5+</sup>,<sup>11</sup> F<sup>1–</sup>,<sup>13</sup> and lanthanides.<sup>12</sup> Despite the extensive efforts on the doped  $\text{Na}_2\text{Ti}_3\text{O}_7$  anodes, the physics underlying the improved electrical conductivity are still under debate. For instance, previous X-ray photoelectron spectroscopy (XPS) and thermogravimetric analysis have suggested that n-type dopants improve the electrical conductivity by donating excess electrons and partially reducing Ti<sup>4+</sup> to Ti<sup>3+</sup>.<sup>11,13</sup> P-type lanthanide doping, on the other hand, is reported to cause a controversial behavior:<sup>12</sup> XPS analyses on the Yb-doped  $\text{Na}_2\text{Ti}_3\text{O}_7$  showed additional peaks corresponding to Ti 2p doublets of Ti<sup>3+</sup>, suggesting the partial reduction of Ti<sup>4+</sup>. The authors interpreted this behavior to arise from oxygen vacancies created upon Yb<sup>3+</sup> doping, which is arguable, as the so-formed oxygen vacancies would preferentially charge compensate the electron holes created by Yb<sup>3+</sup> dopants, rather

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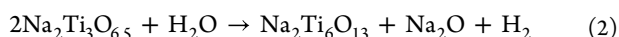
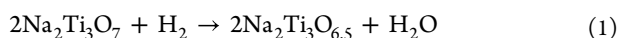
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**Figure 1.** (a) Region of stability (purple area) for  $\text{Na}_2\text{Ti}_3\text{O}_7$  in the 2D space spanned by  $\mu_{\text{Na}}$  and  $\mu_{\text{O}}$ , where the variation of  $\mu_{\text{Ti}}$  is shown within the stability region. The (colored) lines indicate the limits imposed by competing phases. (b) Stability regions for  $\text{Na}_2\text{Ti}_3\text{O}_7$  (purple) and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  (cyan) in the 3D space spanned by  $\mu_{\text{Na}}$ ,  $\mu_{\text{Ti}}$ , and  $\mu_{\text{O}}$ . Competing phases imposing the limits of stability regions are denoted at the boundaries. Chemical potential conditions where both  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  coexist are highlighted by the red dashed line.

than donating extra electrons to  $\text{Ti}^{4+}$ . From this perspective, Ti reduction can be an experimental artifact due to the difficulties in controlling synthesis conditions with low concentrations of dopants (5% Yb). The above example indicates that the experimental investigation on the doping effects of  $\text{Na}_2\text{Ti}_3\text{O}_7$  is challenging and could even lead to a wrong conclusion without prior knowledge of its defect chemistry. Therefore, to elucidate the defect chemistry of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and guide proper dopant choice which can improve electrical conductivity, a computational approach should be carried out first on the intrinsic defects and their role in charge compensation mechanisms.

Other studies on the structural instability of  $\text{Na}_2\text{Ti}_3\text{O}_7$  have revealed that its structural degradation can be effectively alleviated when being mixed with another titanate,  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ .<sup>14,15</sup> This strategy stems from the fact that materials with opposite properties can be mixed to complement respective drawbacks:  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  has a higher degree of interconnection between Ti–O octahedra compared to that of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and thus is more resistive to structural changes while having smaller space for Na intercalation. This indicates that the mixture of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  will display improved cyclability, at the expense of the theoretical capacity. Recently, facile methods to synthesize this mixed anode have been suggested. When synthesized with hydrogen gas, some of the  $\text{Na}_2\text{Ti}_3\text{O}_7$  spontaneously transforms into  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , which provides  $\text{Na}_2\text{Ti}_3\text{O}_7/\text{Na}_2\text{Ti}_6\text{O}_{13}$  hybrid anodes without additional synthesis routes.<sup>14,16,17</sup> This procedure typically includes two reactions of O and Na removal according to the following equations



Above reactions in eqs 1 and 2 imply that O and Na vacancy defects formed during the synthesis condition are closely related to the spontaneous phase transition from  $\text{Na}_2\text{Ti}_3\text{O}_7$  to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ . However, the interplay between defects and phase transition have been neglected to date, and the fundamental driving forces underlying the phase transition remain elusive.

To better understand the role of native defects on the above two issues of charge compensation mechanism and phase transition behavior, we perform computational analyses on the intrinsic defect chemistry in  $\text{Na}_2\text{Ti}_3\text{O}_7$ . In particular, using the

combined density functional theory (DFT) calculations with hybrid<sup>18</sup> and PBEsol<sup>19</sup> functionals, we replicate the high-temperature synthesis condition and investigate major defects formed during synthesis. The formation energies of the so-formed major defects are used to discuss the charge compensation behaviors and underlying conductivity mechanism, which can help in establishing a doping strategy for anodes with improved performance. Furthermore, the effect of intrinsic defects of  $\text{Na}_2\text{Ti}_3\text{O}_7$  on its atomic structure were studied to elucidate the origin of the spontaneous phase transition to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , which can potentially guide further optimization of anodes employing phase transitions.

## 2. RESULTS AND DISCUSSION

**2.1. Phase Stability.** When investigating the intrinsic defect chemistry of a material, a key consideration is its thermodynamic stability under equilibrium growth conditions. In order for  $\text{Na}_2\text{Ti}_3\text{O}_7$  to be stable under certain environmental conditions, the chemical potentials of its elemental components, that is,  $\mu_{\text{Na}}$ ,  $\mu_{\text{Ti}}$ , and  $\mu_{\text{O}}$ , have to satisfy certain conditions.<sup>20</sup> First, to be in equilibrium with its elemental components, the free energy of formation ( $\Delta G_f$ ) of  $\text{Na}_2\text{Ti}_3\text{O}_7$  should be expressed as the sum of the chemical potentials ( $\mu$ ) of each element according to the following equation

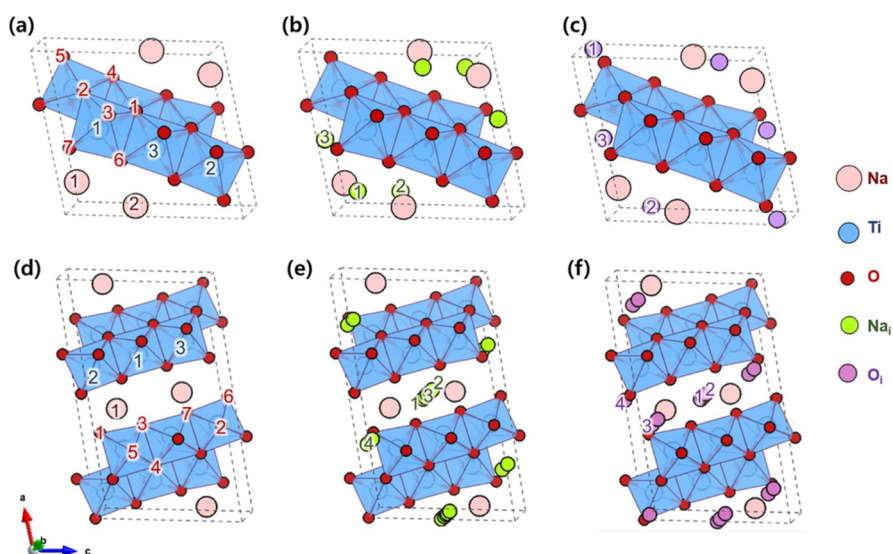
$$\Delta G_{f,\text{Na}_2\text{Ti}_3\text{O}_7} = 2\mu_{\text{Na}} + 3\mu_{\text{Ti}} + 7\mu_{\text{O}} \quad (3)$$

In addition, the chemical potentials should not allow the precipitation of other competing secondary phases under the growth condition, which forces chemical potentials to satisfy following relationships

$$\Delta G_{f,\text{Na}_2\text{Ti}_3\text{O}_7} > x\mu_{\text{Na}} + y\mu_{\text{Ti}} + z\mu_{\text{O}} \quad (4)$$

Equation 4 should be satisfied for all 17 stable competing phases of  $\text{Na}_x\text{Ti}_y\text{O}_z$  listed in Figure S1. Solving the simultaneous eqs 3 and 4 gives the chemical potential space of Na, Ti, and O where  $\text{Na}_2\text{Ti}_3\text{O}_7$  is thermodynamically stable.

The standard procedure<sup>21</sup> to obtain such a stability region is to calculate formation energies at the athermal limit for all competing phases using the DFT total energy calculations. However, this method is unable to predict the stability fields of  $\text{Na}_2\text{Ti}_3\text{O}_7$  because this phase is stable only at elevated temperature<sup>16</sup> and not at the athermal limit. To capture the



**Figure 2.** Crystal structures of conventional (a–c)  $\text{Na}_2\text{Ti}_3\text{O}_7$  ( $P2_1/m$ ) and (d–f)  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  ( $C2/m$ ), showing the symmetry unique defect sites used in defect calculations.

stability region of  $\text{Na}_2\text{Ti}_3\text{O}_7$ , it is thus necessary to take into account temperature effects on the phase stability. To this end, we evaluated the temperature-dependent Gibbs free energy of formation by incorporating the vibrational entropy under the quasi-harmonic approximation (see Figure S1 and Methods in Supporting Information). The calculated Gibbs free energy of formation was then used to plot the chemical potential region of stability for  $\text{Na}_2\text{Ti}_3\text{O}_7$  at a representative synthesis temperature of 1070 K (Figure 1a). The calculated stability region shows that  $\text{Na}_2\text{Ti}_3\text{O}_7$  is stable across a modest range of  $\mu_{\text{Ti}}$ , whereas stability regions for  $\mu_{\text{Na}}$  and  $\mu_{\text{O}}$  are restricted to relatively small ranges. This suggests that  $\text{Na}_2\text{Ti}_3\text{O}_7$  can tolerate a moderate amount of Ti deficiency, whereas small changes in Na or O stoichiometry, such as Na and O vacancies, can cause  $\text{Na}_2\text{Ti}_3\text{O}_7$  to be thermodynamically unstable and lead to the phase transition to other competing phases, for example  $\text{Na}_8\text{Ti}_5\text{O}_{14}$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ .

The predicted chemical potential region can be further narrowed down depending on the synthesis conditions of interest. Among the synthesis methods available for  $\text{Na}_2\text{Ti}_3\text{O}_7$  anode, we selected hydrogenation treatment, which is reported to be effective in synthesizing high-performance  $\text{Na}_2\text{Ti}_3\text{O}_7$  anode.<sup>14,16,17</sup> The key principle of this method is employing the partial phase transition from  $\text{Na}_2\text{Ti}_3\text{O}_7$  to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  during synthesis, which alleviates the structural instability inherent to  $\text{Na}_2\text{Ti}_3\text{O}_7$  and enhances the rate performance of the resultant mixture anode. To identify the chemical potential conditions corresponding to the hydrogenation treatment, we exploited the experimental observation that both  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  phases coexist after hydrogenation treatment: this phenomenon implies that the chemical potential condition during such a synthesis process allows both phases to be stable and thus should be in the space where two stability regions of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  are overlapping. Based on this concept, we searched the chemical potential regions corresponding to hydrogenation treatment by plotting the stability fields of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  (Figure S3) and superimposed it with the region of stability for  $\text{Na}_2\text{Ti}_3\text{O}_7$  (Figure 1b). The result shows that both phases,  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , are thermodynamically stable when chemical potentials correspond to a dividing line between the two stability regions of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and

$\text{Na}_2\text{Ti}_6\text{O}_{13}$  (see the red dashed line in Figure 1b). In this regard, we assume that these sets of chemical potentials represent a good approximation of the chemical environment of hydrogenation treatment. Note that the dividing line between  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  is characterized by an extremely narrow chemical potential region, which suggests that a small variation in Na, Ti, or O stoichiometries during such synthesis conditions can cause  $\text{Na}_2\text{Ti}_3\text{O}_7$  to become thermodynamically less stable than its most competitive secondary phase,  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  and vice versa. Further analyses on the atomic rearrangements during such phase transition will give fundamental understanding on the origin of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  formed during hydrogenation treatment. In the following, we will discuss how the structural changes from  $\text{Na}_2\text{Ti}_3\text{O}_7$  to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  can be aided by intrinsic defects, which will be addressed under two representative conditions of oxygen-poor/metal-rich and oxygen-rich/metal-poor [ $(\mu_{\text{Na}}, \mu_{\text{Ti}}, \mu_{\text{O}}) = (-1.05, -2.44, -2.55)$  and  $(-2.32, -7.54, 0.0)$ ], respectively, as denoted by A and B in Figure 1b].

**2.2. Intrinsic Defect Chemistry.** Close examination of the atomic structures of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  reveals that, in addition to the similarity in thermodynamic stability noted above, both structures exhibit similar crystalline structure. Specifically, the two structures share the same framework of zigzag Ti–O layers, where  $\text{TiO}_6$  octahedra are stacked along the *b*-axis direction (Figure 2). Only slight differences are observed in the coordination environments of Na and O. Under such similar atomic configurations, small concentration of point defects can destabilize the long-range ordering of atomic frameworks, resulting in the phase transition from one to another. In order to gain insights into the role of intrinsic defects in phase transition behavior, we first created eight different point defects:  $V_{\text{Na}}$ ,  $V_{\text{Ti}}$ ,  $V_{\text{O}}$ ,  $\text{Ti}_{\text{Na}}$ ,  $\text{Na}_{\text{Ti}}$ ,  $\text{Na}_{\text{O}}$ ,  $\text{O}_{\text{Ti}}$ , and  $\text{Na}_{\text{Ti}}$ . For all selected vacancies and antisite defects, we have explicitly considered all symmetry inequivalent positions, whereas interstitial defects are modeled by adding the Na or O atom in interstitial sites predicted from the Voronoi polyhedron method (see Figure 2 and Table 1), as discussed in the Methods section.

In each of oxygen-poor and oxygen-rich conditions (A and B in Figure 1b), we plotted a defect transition level diagram of



**Table 1. Fractional Coordinates of Symmetry Unique Na, Ti, and O Sites in Conventional Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> and Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> Structures Relaxed Using HSE06 Functionals<sup>a</sup>**

| elements                                        | coordinates |          |         | Wyckoff position |
|-------------------------------------------------|-------------|----------|---------|------------------|
|                                                 | X           | y        | z       |                  |
| Na <sub>2</sub> Ti <sub>3</sub> O <sub>7</sub>  |             |          |         |                  |
| Na(1)                                           | 0.31876     | 0.25000  | 0.41807 | 2e               |
| Na(2)                                           | 0.49705     | 0.75000  | 0.15963 | 2e               |
| Ti(1)                                           | 0.96921     | 0.25000  | 0.72144 | 2e               |
| Ti(2)                                           | 0.75087     | 0.25000  | 0.32208 | 2e               |
| Ti(3)                                           | 0.85454     | 0.25000  | 0.01653 | 2e               |
| O(1)                                            | 0.08436     | 1.25000  | 0.95565 | 2e               |
| O(2)                                            | 0.19647     | 1.25000  | 0.68297 | 2e               |
| O(3)                                            | 0.01605     | 0.75000  | 0.75463 | 2e               |
| O(4)                                            | 0.32809     | 0.75000  | 0.90805 | 2e               |
| O(5)                                            | 0.44869     | 0.75000  | 0.65397 | 2e               |
| O(6)                                            | 0.22043     | 0.75000  | 0.18784 | 2e               |
| O(7)                                            | 0.15194     | 0.75000  | 0.46355 | 2e               |
| Na <sub>i</sub> (1)                             | 0.59233     | 0.25207  | 0.60157 | 4f               |
| Na <sub>i</sub> (2)                             | 0.63901     | 0.75335  | 0.88681 | 4f               |
| Na <sub>i</sub> (3)                             | 0.94621     | 0.76342  | 0.52454 | 4f               |
| O <sub>i</sub> (1)                              | 0.48897     | 0.25014  | 0.56258 | 4f               |
| O <sub>i</sub> (2)                              | 0.57302     | 0.75171  | 0.74492 | 4f               |
| O <sub>i</sub> (3)                              | 0.05589     | 0.75001  | 0.48622 | 2e               |
| Na <sub>2</sub> Ti <sub>6</sub> O <sub>13</sub> |             |          |         |                  |
| Na(1)                                           | 0.46264     | 1.00000  | 0.26783 | 4i               |
| Ti(1)                                           | 0.66642     | 0.50000  | 0.43577 | 4i               |
| Ti(2)                                           | 0.61524     | 0.50000  | 0.09783 | 4i               |
| Ti(3)                                           | 0.72854     | 0.50000  | 0.76881 | 4i               |
| O(1)                                            | 0.33651     | 0.50000  | 0.08484 | 4i               |
| O(2)                                            | 0.35615     | 1.00000  | 0.88024 | 4i               |
| O(3)                                            | 0.37436     | 0.50000  | 0.38412 | 4i               |
| O(4)                                            | 0.20331     | 0.50000  | 0.42923 | 4i               |
| O(5)                                            | 0.23954     | 1.00000  | 0.24808 | 2a               |
| O(6)                                            | 0.50000     | 0.50000  | 1.00000 | 4i               |
| O(7)                                            | 0.43163     | 0.50000  | 0.70740 | 4i               |
| Na <sub>i</sub> (1)                             | 0.00101     | 0.00007  | 0.49789 | 4i               |
| Na <sub>i</sub> (2)                             | 0.00014     | 0.43941  | 0.49935 | 8j               |
| Na <sub>i</sub> (3)                             | 0.00082     | 0.75027  | 0.50008 | 8j               |
| Na <sub>i</sub> (4)                             | 0.20393     | 0.76583  | 0.94977 | 8j               |
| O <sub>i</sub> (1)                              | 0.00000     | 0.00007  | 0.50005 | 2c               |
| O <sub>i</sub> (2)                              | 0.00025     | 0.75003  | 0.50005 | 4h               |
| O <sub>i</sub> (3)                              | 0.11051     | 0.74995  | 0.85874 | 8j               |
| O <sub>i</sub> (4)                              | 0.50000     | 0.003429 | 0.00000 | 4g               |

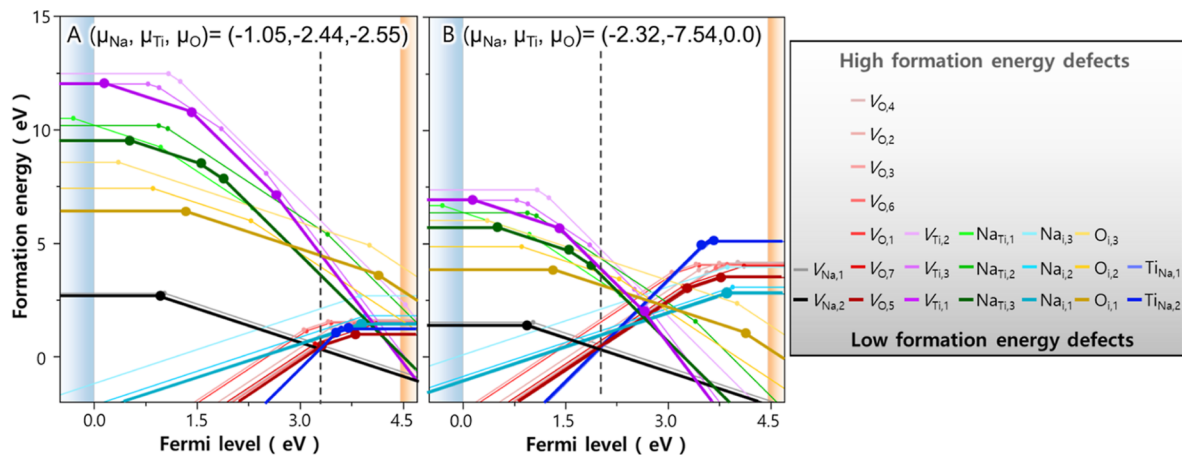
<sup>a</sup>Wyckoff position for each site is also included for reference.

Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> (Figure 3), which shows defect formation energies as a function of Fermi energy. Overall, most intrinsic defects are characterized by deep  $\epsilon(0/-)$  or  $\epsilon(+/0)$  transition levels and act as trap levels (Table 2). This is especially the case for the dominant defects ( $V_{\text{Na}}$ ,  $V_{\text{O}}$ ,  $\text{Ti}_{\text{Na}}$ , and  $\text{Na}_i$ ) with a formation energy less than 3.0 eV:  $\epsilon(0/-)$  transition levels for the acceptor defects of  $V_{\text{Na}}$  are above valence band maximum (VBM) by more than 0.97 eV, whereas  $\epsilon(+/0)$  and  $\epsilon(2+/0)$  transition levels for donor defects of  $V_{\text{O}}$ ,  $\text{Ti}_{\text{Na}}$ , and  $\text{Na}_i$  are greater than 0.45 eV with respect to conduction band minimum (CBM). Such deep transition levels suggest that all acceptor and donor defects are too deep to be thermally activated at room temperature and thus act as carrier traps that reduce the carrier concentration and lifetime. Owing to such deep transition levels of intrinsic defects, defect hole and electron states tend to be localized on the nearest coordinating

atoms (Table 3). For  $V_{\text{Na}}$ , it represents hole polaron localized on neighboring oxygens, particularly those in site 5 ( $\text{O}_5$ ). In contrast, for the donor defects ( $V_{\text{O}}$ ,  $\text{Ti}_{\text{Na}}$ , and  $\text{Na}_i$ ), all excess electrons are trapped over adjacent  $\text{Ti}^{4+}$  ions. Note that, unlike the other defects that have electron/hole polaron positioned neighboring atoms,  $V_{\text{Na}}$  shows preferential hole localization on  $\text{O}_5$ . Such preferential hole localization plays an important role in defect pair formation and subsequent phase transition, which will be discussed in detail in Section 2.3.

Further examination of acceptor defects shows that some defects, such as  $\text{Na}_{\text{Ti},1}$ ,  $\text{O}_{i,2}$ , and  $\text{O}_{i,3}$ , lead to the formation of oxygen dimers (Table 3); in the case of  $\text{Na}_{\text{Ti},1}$ , the Na antisite reduces the coordination numbers of Ti–O, while creating hole polarons on neighboring oxygens. This destabilizes an oxygen atom coordinated by a single Ti atom ( $\text{O}_5$ ) and detaches it from the Ti–O framework to form an oxygen dimer with Ti–O–O coordination.  $\text{O}_{i,2}$  and  $\text{O}_{i,3}$ , on the other hand, create oxygen dimers by bonding with neighboring low-coordinated oxygens of  $\text{O}_5$  and  $\text{O}_7$ . These oxygen dimers are referred to as peroxide ( $\text{O}^{2-}$ ), superoxide ( $\text{O}^-$ ), or molecular oxygen ( $\text{O}_2$ ), depending on the number of localized holes. Although it is not straightforward to distinguish the oxygen redox species, such procedure can be done based on the length of O–O bonds ( $d_{\text{OO}}$ ) and the sum of magnetic moments ( $\mu_i$ ) of constituent oxygens  $\mu_{\text{O}}$ :  $1.4 \text{ \AA} \leq d_{\text{OO}} \leq 1.5 \text{ \AA}$  and  $\sum |\mu_i| \leq 0.3$  for peroxides and  $1.25 \text{ \AA} \leq d_{\text{OO}} \leq 1.4 \text{ \AA}$  and  $0.3 \leq \sum |\mu_i| \leq 1.4$  for superoxides.<sup>22,23</sup> Based on these criteria, all oxygen dimers formed upon the presence of  $\text{Na}_{\text{Ti},1}$ ,  $\text{O}_{i,2}$ , and  $\text{O}_{i,3}$  are identified to be superoxides. According to the polaron localization as presented in Table 3, the formation of superoxides is expected to proceed through the electron redistribution from  $\text{O}^{2-}\text{--Ti}^{4+}$  to  $\text{O}^-\text{--Ti}^{3+}$ , which is then followed by the formation of O–O bonds with oxidation states of  $-0.5$  (i.e.,  $\text{O}^{0.5-}\text{--O}^{0.5-}\text{--Ti}^{3+}$  or  $\text{O}^{0.5-}\text{--Ti}^{3+}\text{--O}^{0.5-}$ ). The above results indicate that oxygens coordinated by few Ti atoms are susceptible to form superoxide species under the presence of  $\text{Na}_{\text{Ti}}$  and  $\text{O}_i$  defects. This trend can also be confirmed from the fact that superoxide dimers are less likely to form in  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  where oxygens are relatively highly coordinated than  $\text{Na}_2\text{Ti}_3\text{O}_7$  (Table S3). However, the amount of superoxide oxygens is expected to be low for both  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  because the formation energies of  $\text{Na}_{\text{Ti}}$  and  $\text{O}_i$  in these materials are relatively higher than other defects. Another finding from Figure 3 is that, under both oxygen-poor and oxygen-rich conditions, Na vacancy in site 2 ( $V_{\text{Na},2}$ ) is the most favorable native acceptor defect for Fermi energies within the band gap, except a small range close to CBM under oxygen-rich conditions. It is an acceptor-like defect throughout the majority of band gap and thus would compensate any donor defects created upon synthesis. In the case of donor defect of  $\text{Na}_2\text{Ti}_3\text{O}_7$ , on the other hand, dominant defect species changes depending on chemical potential conditions. To better understand the charge compensation mechanisms of  $\text{Na}_2\text{Ti}_3\text{O}_7$ , therefore, we compared the transition level diagrams calculated under A and B chemical potential sets as shown in Figure 1b, which correspond to relatively oxygen-poor and oxygen-rich conditions during hydrogenation treatment, respectively.

When examining the transition level diagram under oxygen-poor conditions, negatively charged Na vacancies ( $V_{\text{Na}}$  in terms of Kröger–Vink notation) will most likely to be compensated by donor defects with the lowest formation energy, that is, antisite defect of  $\text{Ti}_{\text{Na}}^{\bullet\bullet}$  in site 2 when the Fermi energy is near



**Figure 3.** Transition level diagram of  $\text{Na}_2\text{Ti}_3\text{O}_7$  plotted under two different sets of elemental chemical potentials selected in Figure 1b, showing defect formation energies as a function of Fermi energy. Vertical dashed lines denote self-consistent (SC) Fermi energy at 1070 K where the concentration of excess electrons and holes satisfy charge neutrality.

**Table 2.** Thermodynamic Transition Levels ( $\epsilon$ ) of Native Defects in  $\text{Na}_2\text{Ti}_3\text{O}_7$  Obtained with Respect to VBM and CBM

|                           | $\epsilon$ (vs VBM, eV) |         |         |         |        |        | $\epsilon$ (vs CBM, eV) |       |       |       |       |
|---------------------------|-------------------------|---------|---------|---------|--------|--------|-------------------------|-------|-------|-------|-------|
|                           | (-3 -4)                 | (-2 -3) | (-1 -3) | (-1 -2) | (0 -2) | (0 -1) | (1 0)                   | (2 0) | (2 1) | (3 1) | (3 2) |
| $V_{\text{Na},1}$         |                         |         |         |         |        | 1.02   |                         |       |       |       |       |
| $V_{\text{Na},2}$         |                         |         |         |         |        | 0.97   |                         |       |       |       |       |
| $V_{\text{O},1}$          |                         |         |         |         |        |        | 1.02                    |       | 1.38  |       |       |
| $V_{\text{O},2}$          |                         |         |         |         |        |        | 0.72                    |       | 1.02  |       |       |
| $V_{\text{O},3}$          |                         |         |         |         |        |        | 1.06                    |       | 1.42  |       |       |
| $V_{\text{O},4}$          |                         |         |         |         |        |        | 0.45                    |       | 0.91  |       |       |
| $V_{\text{O},5}$          |                         |         |         |         |        |        | 0.66                    |       | 1.15  |       |       |
| $V_{\text{O},6}$          |                         |         |         |         |        |        | 0.61                    |       | 0.77  |       |       |
| $V_{\text{O},7}$          |                         |         |         |         |        |        | 0.36                    |       | 1.26  |       |       |
| $V_{\text{Ti},1}$         | 2.66                    |         | 1.43    |         |        | 0.17   |                         |       |       |       |       |
| $V_{\text{Ti},2}$         | 4.53                    | 1.25    |         |         | 1.08   |        |                         |       |       |       |       |
| $V_{\text{Ti},3}$         | 2.5                     | 1.85    |         | 0.94    |        | 0.78   |                         |       |       |       |       |
| $\text{Na}_{\text{Ti},1}$ |                         | 2.3     |         | 0.97    |        | -0.31  |                         |       |       |       |       |
| $\text{Na}_{\text{Ti},2}$ |                         | 3.4     |         | 1.07    |        | 0.94   |                         |       |       |       |       |
| $\text{Na}_{\text{Ti},3}$ |                         | 1.9     |         | 1.57    |        | 0.53   |                         |       |       |       |       |
| $\text{Na}_{\text{i},1}$  |                         |         |         |         |        |        | 0.59                    |       |       |       |       |
| $\text{Na}_{\text{i},2}$  |                         |         |         |         |        |        | 0.53                    |       |       |       |       |
| $\text{Na}_{\text{i},3}$  |                         |         |         |         |        |        | 0.61                    |       |       |       |       |
| $\text{O}_{\text{i},1}$   |                         |         |         | 4.13    |        | 1.33   |                         |       |       |       |       |
| $\text{O}_{\text{i},2}$   |                         |         |         | 2.28    |        | 0.85   |                         |       |       |       |       |
| $\text{O}_{\text{i},3}$   |                         |         |         | 4.01    |        | 0.35   |                         |       |       |       |       |
| $\text{Ti}_{\text{Na},1}$ |                         |         |         |         |        |        |                         | 0.87  |       |       | 0.90  |
| $\text{Ti}_{\text{Na},2}$ |                         |         |         |         |        |        | 0.78                    |       |       | 0.92  |       |

the VBM, and by oxygen vacancies in site 5 ( $V_{\text{O}}^{\bullet}$ ) if the Fermi energy is close to the CBM. Under thermodynamic equilibrium, these charge compensations pin the Fermi energy in the middle of band gap [referred to as self-consistent (SC) Fermi energy] to satisfy the condition of charge neutrality. The calculated SC Fermi energy for oxygen-poor conditions is pinned at 3.36 eV, which causes NTO to be a weak n-type material. Close examination on the transition level diagram shows that, at the SC Fermi level, three types of defects, that is,  $V_{\text{Na}}^{\bullet}$ ,  $V_{\text{O}}^{\bullet}$ , and  $\text{Ti}_{\text{Na}}^{\bullet\bullet\bullet}$ , are expected to be dominant ones with low formation energies of 0.29, 0.51, and 0.57 eV, respectively.

Under oxygen-rich conditions, on the other hand, the overall formation energies of donor defects are increased, whereas those of acceptor defects are lowered. Such changes in formation energies increase the concentration of acceptor

defects and associated hole polarons in  $\text{Na}_2\text{Ti}_3\text{O}_7$ , causing the SC Fermi level to be shifted toward VBM and fixed at 2.07 eV. Despite these changes in defect formation energies, the most favorable defects at the SC Fermi level are similar to those under oxygen-poor conditions, that is,  $V_{\text{Na}}^{\bullet}$ ,  $V_{\text{O}}^{\bullet}$ , and  $\text{Ti}_{\text{Na}}^{\bullet\bullet\bullet}$ , with formation energies at the SC Fermi level corresponding to 0.31, 0.52, and 0.52 eV, respectively. The above defect analyses on the two different chemical potential conditions suggest that  $\text{Na}_2\text{Ti}_3\text{O}_7$  synthesized under hydrogenation treatment is a weak n-type material, with its SC Fermi level being positioned from the middle of band gap (Figure 3b) to slightly close to CBM (Figure 3a). This suggests that n-type doping is predicted to be more effective in increasing the charge carrier density and thus improving electrical conductivity of  $\text{Na}_2\text{Ti}_3\text{O}_7$ . P-type doping, on the other hand, is expected to

**Table 3. Localization of Polarons on Ions Adjacent to the Native Defects of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub><sup>a</sup>**

|                    | polarons                                                   | constituents        |
|--------------------|------------------------------------------------------------|---------------------|
| Na <sub>Ti,1</sub> | 0.864 (Ti3), 0.718 (O5), 0.446 (O6), 0.37 (O6)             | superoxide (Ti–O–O) |
| Na <sub>Ti,2</sub> | 0.703 (O2), 0.703 (O2), 0.737 (O5)                         |                     |
| Na <sub>Ti,3</sub> | 0.647 (O5), 0.643 (O6), 0.302 (O7), 0.634 (O1), 0.274 (O4) |                     |
| O <sub>i,1</sub>   | 1.349 (interstitial), 0.111 (O5), 0.111 (O5), 0.13 (O5)    |                     |
| O <sub>i,2</sub>   | 0.617 (interstitial), 0.792 (Ti2), 0.238 (O5)              | superoxide (Ti–O–O) |
| O <sub>i,3</sub>   | 0.528 (interstitial), 0.843 (Ti1), 0.259 (O7)              | superoxide (O–Ti–O) |
| V <sub>Na,1</sub>  | 0.706 (O5)                                                 |                     |
| V <sub>Na,2</sub>  | 0.733 (O5)                                                 |                     |
| V <sub>Ti,1</sub>  | 0.631 (O2), 0.66 (O6), 0.689 (O1), 0.534 (O7)              |                     |
| V <sub>Ti,2</sub>  | 0.808 (O5), 0.726 (O2), 0.702 (O4), 0.727 (O2)             |                     |
| V <sub>Ti,3</sub>  | 0.546 (O4), 0.665 (O1), 0.665 (O1), 0.692 (O6)             |                     |
| Na <sub>i,1</sub>  | 0.902 (Ti1)                                                |                     |
| Na <sub>i,2</sub>  | 0.891 (Ti1)                                                |                     |
| Na <sub>i,3</sub>  | 0.879 (Ti1)                                                |                     |
| Ti <sub>Na,1</sub> | 0.987 (substitution), 0.912 (Ti1), 0.912 (Ti1)             |                     |
| Ti <sub>Na,2</sub> | 0.998 (substitution), 0.895 (Ti1), 0.893 (Ti2)             |                     |
| V <sub>O,1</sub>   | 0.647 (Ti3), 0.647 (Ti3)                                   |                     |
| V <sub>O,2</sub>   | 0.394 (Ti2), 0.658 (Ti1), 0.394 (Ti2)                      |                     |
| V <sub>O,3</sub>   | 0.671 (Ti1), 0.662 (Ti1)                                   |                     |
| V <sub>O,4</sub>   | 0.274 (Ti2), 1.202 (Ti3)                                   |                     |
| V <sub>O,5</sub>   | 0.849 (Ti1), 0.892 (Ti2)                                   |                     |
| V <sub>O,6</sub>   | 0.625 (Ti1), 0.553 (Ti3)                                   |                     |
| V <sub>O,7</sub>   | 1.014 (Ti1), 0.609 (Ti2)                                   |                     |

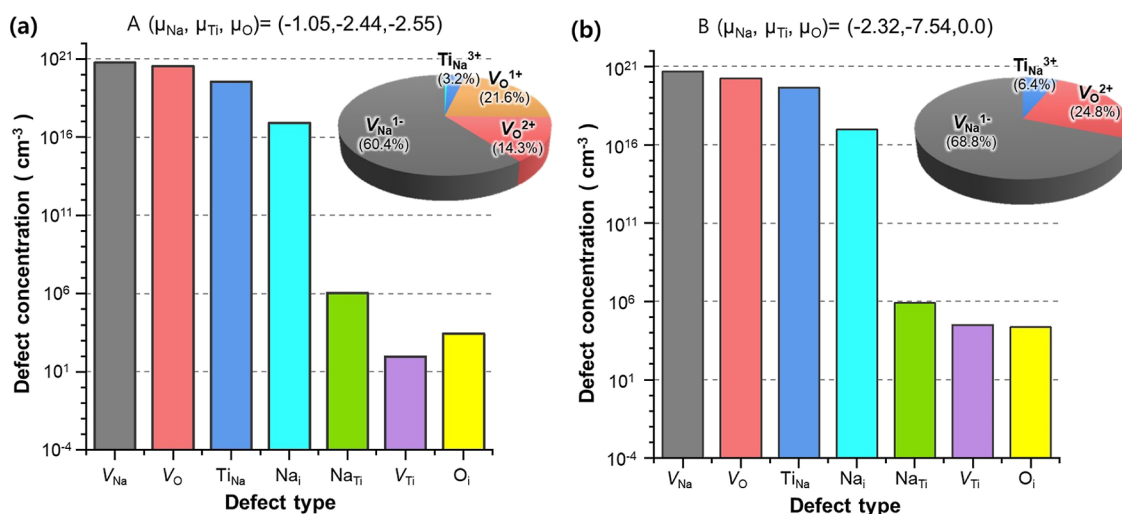
<sup>a</sup>The site of localized hole/electron polarons are denoted in parenthesis. Oxygen dimer species formed upon DFT structural optimizations are also shown for reference.

cause the formation of additional donor defects that charge compensate holes created by dopants. The potential main “killer” defects are O vacancies ( $V_{\text{O}}^{\bullet\bullet}$  and  $V_{\text{O}}^{\bullet}$ ) and antisite defect of  $\text{Ti}_{\text{Na}}^{\bullet\bullet}$  with low formation energies, which can result in

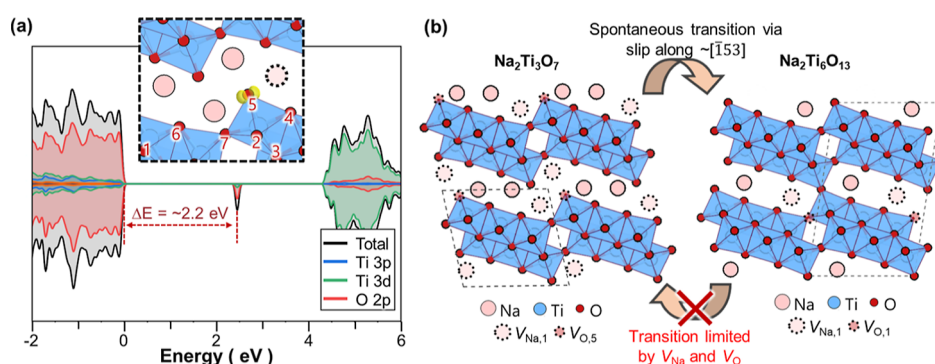
lattice distortions of anodes as observed from previous experiments.<sup>12</sup>

Another important finding from Figure 3 is that, regardless of the chemical potential conditions, dominant intrinsic defects are commonly found to be  $V_{\text{Na}}^{\bullet}$ ,  $V_{\text{O}}^{\bullet}$ ,  $V_{\text{O}}^{\bullet\bullet}$ , and  $\text{Ti}_{\text{Na}}^{\bullet\bullet}$ . This suggests two different types of charge-compensating mechanisms to occur during hydrogenation treatment: (1) Schottky pairs of Na and O vacancies ( $2V_{\text{Na}}^{\bullet} + V_{\text{O}}^{\bullet\bullet}$  or  $V_{\text{Na}}^{\bullet} + V_{\text{O}}^{\bullet}$ ) and (2) Ti antisite and Na vacancy pair ( $\text{Ti}_{\text{Na}}^{\bullet\bullet} + 3V_{\text{Na}}^{\bullet}$ ). Depending on which defect pairs are dominant in Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub>, it can undergo differing atomic rearrangements and associated phase transition. To verify the relationship between the intrinsic defects and phase transition behavior, it is thus necessary to quantitatively evaluate the defect concentrations formed in Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub>. For this purpose, defect concentrations at the SC Fermi energy as shown in Figure 3 are calculated at a representative synthesis temperature of 1070 K. In this study, we assumed that the defects formed in Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> during heat treatment are “frozen in” upon quenching and thus the defect concentrations calculated at high temperature can represent ones at room temperature. This argument can be justified based on the fact that, even if certain high-energy defects formed at high temperature are unstable after cooling down the system, kinetic barriers for their rearrangements are large enough to preserve them at room temperature.<sup>24</sup>

Figure 4 shows the equilibrium concentrations of various defects predicted at two different sets of chemical potentials of A and B in Figure 1. Overall, defects with lower formation energy at SC Fermi level as shown in Figure 3 exhibit higher defect concentrations. Of all defects considered, those other than  $V_{\text{Na}}^{\bullet}$ ,  $V_{\text{O}}^{\bullet}$ , and  $\text{Ti}_{\text{Na}}^{\bullet\bullet}$  are negligible because their concentrations are lower than  $V_{\text{Na}}^{\bullet}$  by more than 3 orders of magnitude. Close examination on the concentrations of three major defects ( $V_{\text{Na}}^{\bullet}$ ,  $V_{\text{O}}^{\bullet}$ , and  $\text{Ti}_{\text{Na}}^{\bullet\bullet}$ ) shows that  $V_{\text{Na}}^{\bullet}$  and  $V_{\text{O}}^{\bullet}$  comprise a major portion (94–97%) of intrinsic defects (see the insets of Figure 4), where the ratio of  $V_{\text{Na}}^{\bullet}$  and  $V_{\text{O}}^{\bullet}$  is around 2:1. Compared to  $V_{\text{Na}}^{\bullet}$  and  $V_{\text{O}}^{\bullet}$ , the amount of  $\text{Ti}_{\text{Na}}^{\bullet\bullet}$  (3–6%) is relatively minor. These defect concentrations suggest that, among all native defects, Schottky pairs of Na and O vacancies ( $2V_{\text{Na}}^{\bullet} + V_{\text{O}}^{\bullet\bullet}$  or  $V_{\text{Na}}^{\bullet} + V_{\text{O}}^{\bullet}$ ) act as a main charge-compensating



**Figure 4.** Equilibrium defect concentrations of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> at the elemental chemical potential conditions of (a) A and (b) B in Figure 1b. Bar graphs are plotted in the logarithmic scale, whereas pie charts in the insets are in the linear scale. All defect concentrations are calculated at 1070 K.



**Figure 5.** (a) Projected DOS calculated for  $\text{Na}_2\text{Ti}_3\text{O}_7$  after the removal of a Na atom from  $\text{Na}_2$ . The inset shows the partial charge density for the hole band located 2.2 eV above the VBM. Type of oxygen sites are denoted as numbers in the inset. (b) Schematics of major intrinsic defects in  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  and their role on the irreversible phase transition behavior.

**Table 4.** Local Bonding Environments and Madelung Potentials of All O Sites in  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ <sup>a</sup>

| phase                                 | O site | coordination number |      |     | average distance (Å) |       |       | Madelung potential (V) |
|---------------------------------------|--------|---------------------|------|-----|----------------------|-------|-------|------------------------|
|                                       |        | O–Na                | O–Ti | O–O | O–Na                 | O–Ti  | O–O   |                        |
| $\text{Na}_2\text{Ti}_3\text{O}_7$    | O1     | 1                   | 8    | 15  | 3.917                | 2.851 | 3.07  | 33.77                  |
|                                       | O2     | 2                   | 5    | 13  | 2.768                | 2.563 | 3.049 | 28.40                  |
|                                       | O3     | 1                   | 6    | 15  | 2.971                | 2.563 | 3.095 | 32.58                  |
|                                       | O4     | 3                   | 4    | 12  | 2.528                | 2.83  | 3.222 | 24.49                  |
|                                       | O5     | 5                   | 1    | 11  | 2.621                | 1.758 | 3.374 | 17.94                  |
|                                       | O6     | 3                   | 4    | 13  | 2.696                | 2.811 | 3.256 | 25.28                  |
|                                       | O7     | 2                   | 6    | 13  | 2.457                | 3.13  | 3.167 | 25.23                  |
| $\text{Na}_2\text{Ti}_6\text{O}_{13}$ | O1     | 2                   | 6    | 14  | 2.996                | 3.110 | 3.193 | 23.60                  |
|                                       | O2     | 2                   | 5    | 13  | 3.456                | 2.548 | 3.018 | 26.71                  |
|                                       | O3     | 2                   | 4    | 11  | 2.620                | 2.821 | 3.142 | 25.12                  |
|                                       | O4     | 1                   | 8    | 14  | 3.712                | 2.849 | 2.995 | 32.34                  |
|                                       | O5     | 4                   | 2    | 12  | 3.208                | 1.834 | 3.174 | 21.83                  |
|                                       | O6     | 1                   | 6    | 14  | 3.351                | 2.558 | 3.012 | 31.24                  |
|                                       | O7     | 2                   | 4    | 13  | 2.450                | 2.818 | 3.238 | 23.55                  |

<sup>a</sup>Coordination numbers and average distances were determined from atoms adjacent to O by less than 4.0 Å.

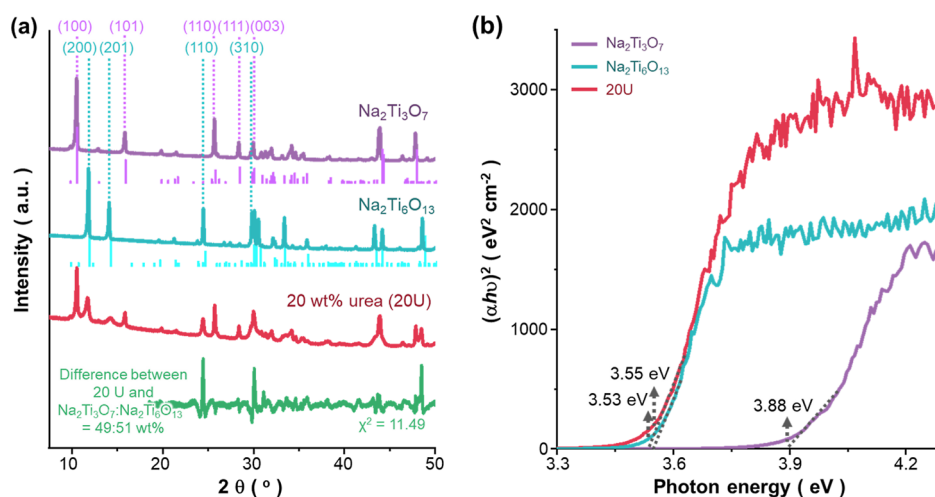
defects and thus are primarily responsible for the low electrical conductivity of  $\text{Na}_2\text{Ti}_3\text{O}_7$ .

By converting the predicted defect concentrations of Schottky pairs into Na ( $x_{\text{Na}}$ ) and O ( $x_{\text{O}}$ ) stoichiometries of  $\text{Na}_2\text{Ti}_3\text{O}_7$ , we can further analyze potential influence of intrinsic defects on phase transition behaviors. For a given  $\text{Na}_2\text{Ti}_3\text{O}_7$  with a volume per unit chemical formula of 145.41 Å<sup>3</sup> (Table S1), Na and O stoichiometries under oxygen-poor and oxygen-rich conditions are  $x_{\text{Na,n}} = 1.92$ ,  $x_{\text{Na,p}} = 1.93$ ,  $x_{\text{O,n}} = 6.95$ , and  $x_{\text{O,p}} = 6.97$ . It is noted that, in both chemical potential conditions, the deviation in stoichiometries of  $\text{Na}_2\text{Ti}_3\text{O}_7$  occurs toward secondary  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ . For instance,  $\text{Na}_{1.93}\text{Ti}_3\text{O}_{6.97}$  under oxygen-rich growth conditions can be expressed as  $\text{Na}_{1.93}\text{Ti}_3\text{O}_{6.97} \rightarrow 0.035\text{Na}_2\text{Ti}_6\text{O}_{13} + 0.93\text{Na}_2\text{Ti}_3\text{O}_7$ , whereas  $\text{Na}_{1.92}\text{Ti}_3\text{O}_{6.95}$  under oxygen-poor conditions can be split into  $\text{Na}_{1.92}\text{Ti}_3\text{O}_{6.95} \rightarrow 0.04\text{Na}_2\text{Ti}_6\text{O}_{13} + 0.92\text{Na}_2\text{Ti}_3\text{O}_7$ . This indicates that about 7–8% of bulk  $\text{Na}_2\text{Ti}_3\text{O}_7$  have the composition similar to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , implying the precipitation of secondary  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  during the synthesis of  $\text{Na}_2\text{Ti}_3\text{O}_7$ . The amount of precipitated  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , however, will be lower than those (7–8%) predicted from Na stoichiometry because  $\text{Na}_{i,3}$  in  $\text{Na}_2\text{Ti}_3\text{O}_7$  may act as a trapping site that facilitates the clustering of  $\text{Na}_i$  and  $\text{V}_{\text{Na}}$  pairs, lowering the mobile Na population (see additional notes in the Supporting Information).

**2.3. Phase Transition from  $\text{Na}_2\text{Ti}_3\text{O}_7$  to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ .** To further understand the formation of Schottky pairs and how it affects the phase transition behavior, we modeled the Na vacancy defects and analyzed its relation to the O vacancy. Figure 5a shows the density of states (DOS) after removing a Na atom from site 2 as presented in Table 1. The DOS values reveal that, among O 2p electron population that predominantly occupies VBM, some electrons were extracted along with the Na atom, forming a hole defect state in the middle of the band gap. The hole defect state is strongly localized in O 2p electron, as revealed by the large offset of 2.2 eV from the top of VBM to the defect state. This indicates that Na vacancies formed in  $\text{Na}_2\text{Ti}_3\text{O}_7$  accompanies with full oxidation of oxygens.

Further analysis on the partial charge density of the hole state (see the inset of Figure 5a) illustrates that, of all oxygens, one in site 5 is preferentially oxidized upon Na extraction, as predicted in Table 3. This preferential oxidation at O<sub>5</sub> is also observed when Na is removed from Na<sub>1</sub> (Figure S4), indicating that this phenomenon occurs regardless of the position of Na vacancy. Considering that the Schottky pairs are generated with positively charged O vacancy ( $\text{V}_{\text{O}}^{\bullet}$  and  $\text{V}_{\text{O}}^{\bullet\bullet}$ , as shown in Figure 4), above hole localization behavior suggests that O vacancy in  $\text{Na}_2\text{Ti}_3\text{O}_7$  is likely to specifically form at partially oxidized O<sub>5</sub>. In support of this argument, we have





**Figure 6.** (a) PXRD data measured for  $\text{Na}_2\text{Ti}_3\text{O}_7$ ,  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , and the 20 wt % urea-treated titanate anode (20U). Bragg reflections of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  in low refractive index ranges in  $10^\circ < 2\theta < 30^\circ$  are denoted by purple and cyan tick marks, respectively, whereas XRD from calculated structures are indicated by solid vertical lines. Difference between experimental and calculated data resulting from Rietveld fit against XRD data of the 20U sample is added for reference. (b) Tauc plots obtained from the ultraviolet–visible diffusive reflectance spectra in the range of 200–600 nm measured for  $\text{Na}_2\text{Ti}_3\text{O}_7$ ,  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , and the urea-treated anode. See Figures S9–S10 and Table S4 in Supporting Information for the raw data.

calculated the formation energies of Schottky pairs with differing oxygen sites (Figure S5). The calculated formation energies showed that the defect pairs with  $\text{O}_5$  exhibit the lowest formation energy of 3.18 eV, the value of which is lower than those of other defect pairs by 0.6–2.0 eV. This confirms that, owing to the preferential oxidation of  $\text{O}_5$  upon Na removal, Schottky pair defects are most likely to be formed with  $\text{O}_5$  vacancy.

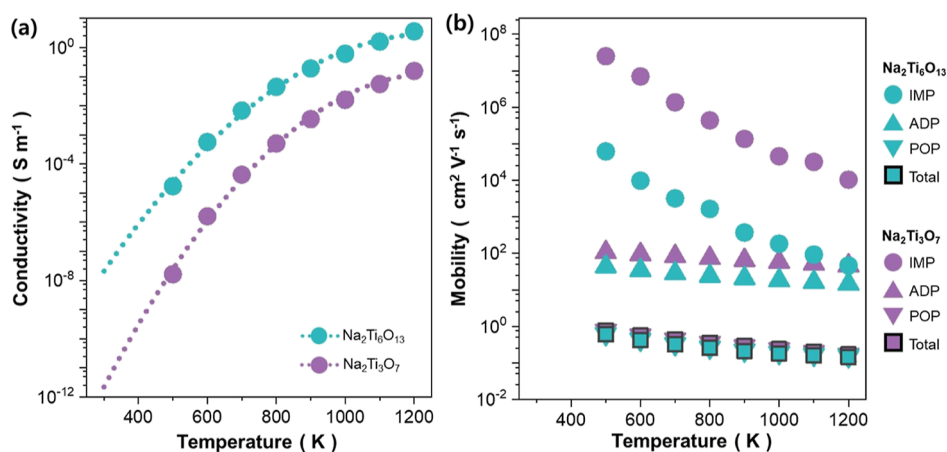
The preferential hole trapping in  $\text{O}_5$  can be understood by examining the local electrostatic (Madelung) potentials of oxygens in  $\text{Na}_2\text{Ti}_3\text{O}_7$ . Local Madelung potential of a selected ion is determined by the sum of its electrostatic interaction with all other ions, which makes a dominant contribution to its energy position in DOS.<sup>25,26</sup> When comparing the calculated Madelung potentials of oxygens (Table 4),  $\text{O}_5$  coordinated by single Ti has much lower value than other oxygens by more than 6.4 V. Such low electrostatic potential locates 2p orbitals of  $\text{O}_5$  closer to VBM compared to other O 2p orbitals. Such high-energy electrons in  $\text{O}_5$  tend to be readily removed upon Na extraction, resulting in the partial oxidation of  $\text{O}_5$ .

The preferential oxygen vacancy formation in  $\text{O}_5$  as shown in Figure 5a provide insights for understanding the spontaneous phase transition of  $\text{Na}_2\text{Ti}_3\text{O}_7$  to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  (Figure 5b). When comparing the atomic structures of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ ,  $\text{Na}_2\text{Ti}_3\text{O}_7$  has extra oxygen at  $\text{O}_5$  and two Na at  $\text{Na}_1$ . When these O and Na atoms are removed from  $\text{Na}_2\text{Ti}_3\text{O}_7$ , it can be transformed into  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  by simple gliding of Ti–O octahedra layers along  $[1\bar{5}3]$ . This suggests that the intrinsic Schottky pairs of  $V_{\text{O}}$  at  $\text{O}_5$  and  $V_{\text{Na}}$  make the structure of pristine  $\text{Na}_2\text{Ti}_3\text{O}_7$  similar to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , facilitating the subsequent phase transition. This is especially the case when the synthesis proceeds with hydrogen sources (e.g.,  $\text{H}_2$  gas and  $\text{H}_2\text{O}$  vapor), which can react with Na and O of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and precipitate them as  $\text{Na}_2\text{O}$  or  $\text{H}_2\text{O}$ .<sup>17,27</sup> Under these chemical environments, the additional formation of Schottky pairs accelerates the phase transition from  $\text{Na}_2\text{Ti}_3\text{O}_7$  to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ . The so-formed  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  has 2D structure with relatively more interconnected Ti–O octahedra compared to

$\text{Na}_2\text{Ti}_3\text{O}_7$  and thus is more resilient to structural changes during battery cycling.

Our previous studies on the Gibbs free energies of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and its competing phases showed that  $\text{Na}_2\text{Ti}_3\text{O}_7$  is a metastable phase at an operating temperature ( $\sim 300$  K), whereas  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  is thermodynamically stable at the same temperature.<sup>16</sup> This indicates that once  $\text{Na}_2\text{Ti}_3\text{O}_7$  is decomposed into  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , the reverse reaction is thermodynamically not favorable. To further investigate the reversibility of this phase transition behavior, we calculated the transition level diagrams of secondary  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  and its dominant native defects (Figures S6 and S7). This was done for the same sets of elemental chemical potentials of A and B used in  $\text{Na}_2\text{Ti}_3\text{O}_7$  as shown in Figure 3. Overall, for both oxygen-poor and oxygen-rich conditions, Na vacancy in site 1 ( $V_{\text{Na},1}$ ) acts as a dominant compensating acceptor defect, which has the lowest formation energy among acceptor defects for almost all Fermi energies within the band gap. The dominant donor defects in  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  differ depending on the chemical potential conditions: oxygen vacancies ( $V_{\text{O}}^\bullet$  and  $V_{\text{O}}^{\bullet\bullet}$ ) and  $\text{Ti}_{\text{Na}}$  antisite ( $\text{Ti}_{\text{Na}}^{\bullet\bullet\bullet}$ ) for oxygen-poor conditions and three defect species of  $\text{Na}_1^\bullet$ ,  $V_{\text{O}}^{\bullet\bullet}$ , and  $\text{Ti}_{\text{Na}}^{\bullet\bullet}$  for oxygen-rich conditions (Figure S6). This suggests three possible charge compensation mechanisms upon synthesis of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , that is, (1) Frenkel pair of  $V_{\text{Na}}'$  and  $\text{Na}_1^\bullet$ , (2) Ti antisite and Na vacancy pair ( $\text{Ti}_{\text{Na}}^{\bullet\bullet\bullet}$  and  $3V_{\text{Na}}'$ ), and (3) Schottky pair of Na and O vacancies ( $2V_{\text{Na}}' + V_{\text{O}}^{\bullet\bullet}$  or  $V_{\text{Na}}' + V_{\text{O}}^\bullet$ ). To identify the dominant charge compensation mechanism, subsequent calculations were carried out on defect concentrations of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  at the SC Fermi level (Figure S7). The results showed that, similar to  $\text{Na}_2\text{Ti}_3\text{O}_7$ , Schottky pair of Na and O vacancies act as dominant intrinsic defects, where  $V_{\text{Na}}' + V_{\text{O}}^{\bullet\bullet}$  pairs tend to form under oxygen-poor conditions and  $2V_{\text{Na}}' + V_{\text{O}}^{\bullet\bullet}$  pairs will be created under oxygen-rich conditions. Subsequent analysis on the localization of hole/electron spin states reveals that most native defects of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  represent strongly localized polarons on neighboring atoms, as revealed by deep  $\epsilon(0/+)$  and  $\epsilon(0/-)$  presented in Table S2. In particular, Na vacancy has localized hole polaron at  $\text{O}_2$  with an  $\epsilon(0/-)$  value of 0.98 eV, suggesting that the positively





**Figure 7.** (a) Calculated electrical conductivity of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub>, Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>, and mixture of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub>/Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> anodes. (b) Temperature-dependent theoretical mobility of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> and Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> as calculated by AMSET under differing scattering mechanisms of POP, IMP, and ADP.

charged oxygen vacancies ( $V_{\text{O}}^{\bullet}$  or  $V_{\text{O}}^{\bullet\bullet}$ ) are likely to be created at O<sub>2</sub> upon the Schottky pair formation. Such Schottky defect pairs cause the atomic structure of Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> to further deviate from Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> (Figure 5b), which kinetically precludes Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> from the phase transition to Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub>.

Further examination on the transition level diagrams of Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> shows that their SC Fermi levels are closer to CBM than those of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> by 0.05–0.12 eV (Figures S6 and 3). This suggests that Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> is a relatively stronger n-type material with higher electron concentration, as confirmed from charge carrier concentrations calculated at the SC Fermi levels (Figure S8). These differences suggest that Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> formed upon hydrogenation treatment has higher electrical conductivity, which improves the overall conductivity and associated rate performance of mixed titanate anodes. To investigate this behavior, we first synthesized the hybrid Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub>/Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> anode by adding 20 wt % of urea (CH<sub>4</sub>N<sub>2</sub>O) to pristine Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub>, synthesized by solid-state reaction methods at 800 °C, as described in ref 16, followed by a heat treatment at 450 °C under a N<sub>2</sub> atmosphere. Under the heat treatment, urea can act as a hydrogen source by a two-step decomposition process<sup>16</sup>



The urea treatment causes the phase transition of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> anode such that the resultant mixed titanate anode is composed of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> and Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> of 49 and 51 wt %, respectively (Figure 6a). Such phase transition improves the charge transfer kinetics of the anode: subsequent UV–vis spectroscopy experiments (Figure 6b) show that the band gap of the mixed anode after hydrogenation treatment has lower band gap (3.53 eV) than the pristine one (3.88 eV), while having a similar value (3.55 eV) to Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>. Furthermore, our preliminary Mott–Schottky plots<sup>16</sup> showed that the urea treatment greatly increases the charge carrier density of the anode by ~33 times. Such improvements in charge transfer processes boost the rate performance of the anode: the 20 wt % urea sample can deliver 53% of the initial capacity (272 mA h g<sup>-1</sup>) after 100 cycles under a 2 C rate, whereas the pristine Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> anode shows only 42% capacity retention compared

to its initial capacity (210 mA h g<sup>-1</sup>) under the same condition (100 cycles at 2 C).<sup>16</sup>

Overall, the phase transition and associated improvements in charge transfer kinetics are more significant in experiments than calculations. For instance, the amount of Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> formed after hydrogenation treatment (51%) is much greater than the predicted value of 7–8% (see Figure 4). In addition, compared to the calculated decrements in band gap of mixed anodes (~0.19 eV),<sup>16</sup> the measured band gap is lowered by 0.35 eV after synthesis. In the case of charge carrier concentration, it is measured to be greater for mixed anodes than pristine Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> by 33 times,<sup>16</sup> whereas the electron concentration of Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> ( $1.22 \times 10^{18} \text{ cm}^{-3}$ ) is predicted to be greater than Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> ( $4.11 \times 10^{16} \text{ cm}^{-3}$ ) by up to 30 times under n-type conditions at a synthesis temperature of 1170 K. The above differences between experiments and calculations are speculated to arise from the hydrogen sources that were overlooked in calculations. Although it was not considered in the present calculations, urea and its decomposed components can facilitate the removal of Na and O from Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> and thus increase the amount of secondary Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> after synthesis. This can be confirmed from our previous electron paramagnetic resonance spectroscopy measurements,<sup>16</sup> where more oxygen vacancies and associated Ti<sup>3+</sup> ions are generated with increasing urea contents. Furthermore, in addition to the intrinsic defects calculated in this study, urea treatment can create extrinsic defects including hydroxyl groups and nitrogen interstitials. These defects can further increase the charge carrier concentrations while reducing the band gap of the mixed anode, which will be identified in the future by calculating the effect of potential extrinsic defects created upon urea treatment.

One effective way to quantitatively represent the improved charge transfer kinetics of mixed anodes is to estimate their electrical conductivity using electrochemical impedance spectroscopy.<sup>28</sup> Experimentally, certain requirements need to be met to allow reliable electrochemical impedance spectroscopy data analysis, for example, low porosity, as pores constrain the current flow during the experiment, resulting in Nyquist plots with large impedances, which do not reflect accurately the material's properties.<sup>29</sup> To achieve densified pellets, methods including high-temperature sintering,<sup>30</sup> spark plasma sintering,<sup>31</sup> and two-step sintering processes<sup>32</sup> have been studied.

These methods, however, can lead to element volatilization, due to localized sample overheating. For  $\text{Na}_2\text{Ti}_3\text{O}_7$ , defect formation due to Na and O volatilization may trigger phase transformations to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , preventing from establishing an accurate relationship between a phase and its specific conductivity.

For this reason, we employed the ab initio scattering and transport (AMSET) software package to calculate the electrical conductivity solely from first-principles input. The AMSET calculations have shown excellent agreement against both experiments and state-of-the-art calculations on semiconducting materials.<sup>33</sup> The list of parameters that have been used in AMSET for mobility calculations are provided in Table S5, where three different scattering mechanisms of polar optical phonon (POP), ionized impurity (IMP), and acoustic deformation potential (ADP) are considered under the momentum relaxation time approximation<sup>34</sup> (see Methods). When calculating the electrical conductivity, charge carrier concentrations are assumed to be those at the SC Fermi level of chemical potential A (O-poor conditions) as shown in Figures 2 and S3, where sufficient amount of electron concentrations ( $>10^{10} \text{ cm}^{-3}$ ) are predicted under temperature greater than 500 K S7. The calculated electrical conductivity in Figure 7a shows that  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  exhibits greater conductivity than  $\text{Na}_2\text{Ti}_3\text{O}_7$  by 4 orders of magnitude at 300 K. The higher electrical conductivity of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  makes the hybrid titanate anodes to have enhanced charge transfer kinetics, thereby exhibiting improved rate performance as reported in the literature.<sup>14</sup>

To better understand the origin of the high electrical conductivity calculated in Figure 7a, we analyzed the scattering physics governing the charge transfer of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ . Figure 7b shows the calculated electron mobilities of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  and their corresponding scattering mechanisms. For both materials, the major mechanism that limits the electron mobility is predicted to be POP, which is followed by ADP and IMP. The effect of POP scattering is more significant for  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , which causes its electron mobility to be lower than that of  $\text{Na}_2\text{Ti}_3\text{O}_7$ . Even with lower mobility,  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  exhibits higher electrical conductivity than  $\text{Na}_2\text{Ti}_3\text{O}_7$  (Figure 7a). Considering that the electrical conductivity  $\sigma$  is given by  $\sigma = nq\mu$  ( $n$ ,  $q$ , and  $\mu$  correspond to the electron density, electron charge, and the mobility, respectively), this is speculated to arise from the higher electron concentration of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ , as shown by the calculated charge carrier densities in Figure S8.

### 3. CONCLUSIONS

In this study, by considering various ranges of native defects with differing charge states, we provided a detailed picture of defect formation mechanism of  $\text{Na}_2\text{Ti}_3\text{O}_7$ : (1) among various native defects,  $V'_{\text{Na}}$  shows the highest defect concentrations in all chemical potential conditions accessible during synthesis. (2) Upon the formation of  $V'_{\text{Na}}$ , electrons are preferentially extracted from oxygens ( $\text{O}_5$ ) that are coordinated by one Ti atom, oxidizing it to  $\text{O}_5^-$ . (3)  $V^\bullet_{\text{O}}$  and  $V^{\bullet\bullet}_{\text{O}}$ , having second and third highest defect concentrations, tend to be created at oxidized  $\text{O}_5$  and paired with  $V'_{\text{Na}}$  to form Schottky pairs. The so-formed Schottky pairs act as a major charge compensation mechanism that reduces the charge carrier density of  $\text{Na}_2\text{Ti}_3\text{O}_7$ , lowering the overall electrical conductivity. The main charge carriers of  $\text{Na}_2\text{Ti}_3\text{O}_7$  are electrons, which suggests that n-type doping will be suitable for improving anode

performance by increasing charge carrier density and further shifting the Fermi energy to CBM. In contrast, p-type dopants and associated holes will be readily charge compensated by low formation energy donor defects, that is, O vacancies, which can distort atomic structures of anodes.

In addition to its role in charge compensation, Schottky pair defect is also speculated to be the root cause of the spontaneous phase transition from  $\text{Na}_2\text{Ti}_3\text{O}_7$  to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  upon hydrogenation/hydrothermal synthesis: Schottky pair defects not only change stoichiometries of  $\text{Na}_2\text{Ti}_3\text{O}_7$  close to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  but also cause  $\text{Na}_2\text{Ti}_3\text{O}_7$  to be readily transformed into  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  by simple gliding of Ti–O octahedra layers. The resulting  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  exhibits a sturdier framework of the Ti–O octahedra than  $\text{Na}_2\text{Ti}_3\text{O}_7$ , which contributes to the improved cycling performance of titanate anodes after phase transition.<sup>14,16,17</sup> Subsequent experiments show that urea treatment on the pristine  $\text{Na}_2\text{Ti}_3\text{O}_7$  anode can act as hydrogen sources, which accelerates the Schottky pair formations and the spontaneous phase transition from  $\text{Na}_2\text{Ti}_3\text{O}_7$  to  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ . The resulting mixture anode shows the band gap to lower by 0.35 eV, as revealed by UV–vis spectroscopic measurements. The enhanced charge transfer kinetics of the mixed anodes were further supported by our previous Mott–Schottky measurements that showed increased charge carrier concentrations for the mixed anodes.<sup>16</sup> First-principles calculations together with advanced scattering modes quantitatively assessed the relatively high electrical conductivity of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  that contributes to the enhanced rate performance of the mixed titanate anodes. The improved electrical conductivity of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  mainly arises from the greater amount of electron concentration compared to  $\text{Na}_2\text{Ti}_3\text{O}_7$ .

Our investigation illustrates the intrinsic defect chemistry of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and its potential applications to optimize electrochemical performances. Specifically, donor dopants will increase the charge carrier density and are suitable for improving its electrical conductivity. In addition, we expect these dopants may induce the creation of the low formation energy acceptor defects of  $V_{\text{Na}}$ , which may enable the vacancy hopping migration of Na and increase the ionic conductivity of  $\text{Na}_2\text{Ti}_3\text{O}_7$ . From this perspective, n-type doping, with its capability to improve both electric and ionic conductivity, is the way to mitigate the inherent drawbacks of  $\text{Na}_2\text{Ti}_3\text{O}_7$  and fully utilize its high specific capacity under fast charging/discharging conditions. Another effective strategy is to supply the reducing agents during synthesis to induce the formation of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  with a sturdier 3D tunnel Ti–O framework. We have been demonstrated this argument using one of the affordable reducing agents, urea, where the content of  $\text{Na}_2\text{Ti}_6\text{O}_{13}$  increases in the titanate anodes with increasing amount of urea.<sup>16</sup> The resulting mixture titanate anodes will be characterized by stable cyclability at the expense of low specific capacity,<sup>14</sup> both features of which correspond to tunnel-structured  $\text{Na}_2\text{Ti}_6\text{O}_{13}$ . As this phenomenon is the same for another reducing agent of  $\text{H}_2$  gas,<sup>27</sup> we expect various other additives (e.g., sodium borohydride, hydrazine hydrate) can perform the same roles. The above strategies provide directions to optimize  $\text{Na}_2\text{Ti}_3\text{O}_7$  anode either for developing large energy storage devices with high specific capacity or for fast chargeable anodes with long cycle life.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsaem.2c03466>.

Methods; thermodynamic stability of phases in the Na–Ti–O system; comparison on the entropy values of elemental phases of Na and Ti; chemical potential stability region of Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>; DOS of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> after the removal of a Na atom; Schottky defect pairs in Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> and their formation energies; transition level diagram of Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>; equilibrium defect concentrations of Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>; charge carrier densities of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> and Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>; Rietveld refinements of titanate samples; UV–vis spectroscopy data of titanate samples; lattice parameters of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> and Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>; thermodynamic transition levels of native defects in Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>; list of localized polarons of Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>; crystallographic data of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> and Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub> from Rietveld refinements; input parameters to AMSET; and additional notes regarding the number of mobile Na ions of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> (PDF)

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### Notes

The authors declare no competing financial interest.

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