

Typhoon risk and climate-change impact assessment for cultural heritage asset roofs

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Abstract

Recent catastrophic events in Southeast Asia have emphasized that roofs made of wood/steel frames and lightweight metal roofing sheets are the most vulnerable component in the building envelope when subjected to typhoon-induced wind uplift. This also applies to ageing cultural heritage (CH) assets, which deserve special consideration because of their intangible value for local communities, and their essential role for inclusive and sustainable socio-economic development through cultural tourism.

This paper introduces a simulation-based framework for fragility derivation and typhoon risk assessment of CH-asset roofs. Fastener pullout and roof-panel pullover are explicitly considered in the proposed framework to model the progressive failure of the roof system. A simplified roof geometry is assumed, requiring limited information about the structure under investigation and low computational resources. Such a low computational burden allows one to model wind-induced demands and component capacities probabilistically as well as to consider the effects of load redistributions due to fastener failure and fastener/roof-panel corrosion. Variance-based sensitivity

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26 analysis (i.e., Sobol' indices) based on polynomial chaos expansions of the limit state function is
27 also performed, highlighting the parameters most affecting typhoon-risk variance and then
28 requiring special attention during data collection. Climate-change impact on the typhoon risk
29 estimates is finally investigated through the use of various scenarios and a time-dependent function
30 modifying the wind hazard profile of the site where the assets of interest are located.

31 The proposed framework is applied to 25 CH assets in Iloilo City, Philippines. The required input
32 data was collected through rapid visual surveying combined with new technologies, such as
33 drones. It is shown that the proposed framework can be adopted in practice for both risk
34 prioritization at a building-portfolio level and simplified risk assessment at a building-specific
35 level.

36 **Keywords**

37 Typhoon risk assessment; Typhoon risk prioritization; Cultural Heritage; Climate Change, Sobol'
38 indices

39

40 **1. Introduction and Motivation**

41 Developing countries are disproportionately affected by natural hazards and lack coping
42 capacities. This combination sets back progress on poverty alleviation and slows long-term
43 development (Strobl, 2019). In this context, probabilistic catastrophe risk models - estimating
44 potential human and economic losses due to natural hazards - are essential tools for robust and
45 effective pre-disaster preparedness and financial planning to reduce disaster risk and enhance
46 societal resilience. Risk modeling for developing countries emphasizes specific challenges in
47 terms of quantity and quality of the available input data; widening the types of considered hazards
48 and structures/infrastructure and ensuring models are contextualized to local needs is also crucial.

49 This paper focuses explicitly on typhoon risk and climate-change impact assessment for cultural
50 heritage (CH) assets in developing countries. CH assets are highly exposed and vulnerable to
51 natural hazards and resource management, conflict, climate change, and a host of other factors.
52 CH assets require special consideration because of their symbolic value for a given community
53 and their links with local economies, for instance, by attracting investment and promoting green,
54 locally-based, and stable jobs related to a wide range of sustainable activities in areas such as
55 tourism, conservation, construction, and art in general. In fact, cultural tourism is one of the priority
56 sectors by which many governments in developing countries aim to foster inclusive and sustainable
57 socio-economic development.

58 Post-event surveys worldwide have highlighted that most economic losses in high-wind
59 hazard areas are due to the breach of the building envelope (e.g., Chen et al., 2016; Yang et al.,
60 2018). This includes roof panel uplift, roof-to-wall connection failure, and roof system damage,
61 among other failure modes. Once the roof is damaged or even partially/totally collapsed, walls
62 may lose lateral support, heavily affecting the entire construction's global stability. CH and
63 residential asset roofs in Southeast Asian countries are commonly made of wood/steel frame and
64 lightweight metal sheets (LWMSs); this structural typology is the main focus of this study. Steel
65 screws and nails are used as fasteners; considering the reduction of their structural capacity due to
66 corrosion is also essential.

67 Several past studies have addressed the development/use of risk assessment methods and
68 fragility derivation for structural and non-structural components of wood-frame roofs with
69 LWMSs under extreme wind loads (e.g., Masoomi et al., 2018; Song et al., 2019; Vickery et al.,
70 2006, among many others). Most of the existing studies explicitly model wind-induced demands
71 and component capacities in a full probabilistic fashion, in a few cases also considering corrosion

72 models for fasteners/roof-panel and climate-change impact on the wind risk assessment outputs
73 (e.g., Pita et al., 2015; Qin and Stewart, 2019; Stewart et al., 2018). These existing studies often
74 rely on refined numerical (structural) models requiring specific/detailed data about the analyzed
75 asset (e.g., roof geometry, number/location of purlins, number/location of fasteners, material
76 properties). The computational burden and data accuracy required to perform such analyses may
77 prevent their use for wind risk prioritization for large building portfolios, particularly in developing
78 countries. High population density, adaptive reuse of CH assets, and widespread material
79 degradation are disruptive factors for data collection during field surveys (e.g., Sevieri et al., 2020).
80 In this context, simplified scoring-based vulnerability/risk prioritization methods for building
81 portfolios (e.g., Gentile et al., 2019; Pita et al., 2015) are often adopted. However, such methods
82 are less appropriate for building-specific applications, and they do not enable structural capacity
83 degradation and climate change impact to be adequately accounted for in the risk prioritization
84 scheme.

85 The above gaps are addressed in this study by proposing a simplified simulation-based
86 framework for typhoon risk assessment of CH-asset roofs. The proposed framework can be used
87 for both risk prioritization at a building-portfolio level and a preliminary risk assessment at a
88 building-specific level. Results from the analysis can be used to allocate resources/plan more
89 detailed data-collection surveys for the definition of refined numerical models or to design
90 strengthening interventions conceptually.

91 A simplified roof geometry is assumed in the proposed framework, requiring limited
92 information about the structure under investigation and low computational costs. This enables the
93 probabilistic modeling of wind-induced demands and component capacities as well as considering
94 the effects of load redistributions due to fastener failure and fastener corrosion (for instance, due

95 to the lack of maintenance activities). Variance-based sensitivity analysis (i.e., Sobol' indices;
96 Sobol', 1993) based on polynomial chaos expansions of the limit state function is also performed
97 within the proposed framework. Such a global sensitivity analysis allows one to investigate how
98 the model outputs' uncertainty can be apportioned to different uncertainty sources in the model
99 input (Saltelli et al., 2000). In the context of this study, sensitivity analysis is fundamental to
100 understand which parameters affect more typhoon-risk variance, then deserving special attention
101 (and more resources/investments) during data collection. In addition to a wind fragility model
102 suitably defined for aging CH-asset roofs, this paper also investigates climate-change impact on
103 typhoon risk assessment of CH-asset roofs at both portfolio and building-specific level. The use
104 of new technologies (e.g., drones) for the collection of the input data required by the proposed
105 framework is finally discussed with reference to a case study.

106 The analysis of 25 CH assets in Iloilo city, Philippines, demonstrates the feasibility of
107 applying the proposed framework in practice. Three climate scenarios are considered to investigate
108 how climate change may affect the wind risk profiles of the considered assets.

109

110 **2. Typhoon risk assessment framework**

111 In performance-based engineering, a given structure/structural component's performance is
112 assessed through the probabilistic description of a set of decision variables (*DVs*) (e.g., Moehle
113 and Deierlein, 2004; Cremen and Baker, 2018). Each *DV* is a quantitative proxy for the specific
114 structural performance/damage in terms of metrics of interest to various stakeholders and/or
115 society in general, e.g., direct repair cost, downtime, and affected people (casualties/injuries). In
116 this study, direct economic losses (*L*) related to repair costs (of physical damage) are the considered
117 *DV*, while the expected annual loss (EAL, i.e., integration of loss ratio over all possible annual

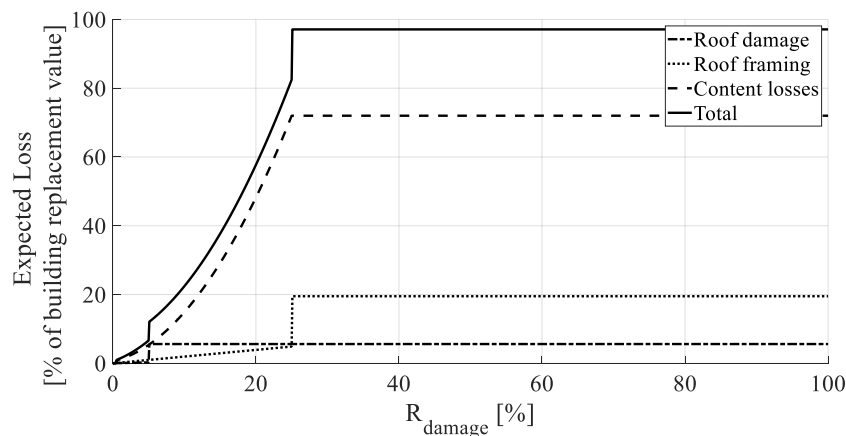
118 frequencies of the considered hazard intensity) is the adopted risk metric (e.g., Grossi and
119 Kunreuther, 2005),

$$120 \quad EAL = \sum_{v_i=0}^{v_{\max}} L Pr[L|DM] Pr[DM|IM = v_i] |\Delta \lambda_v(IM = v_i)|. \quad (1)$$

121 In the previous equation, IM is a wind intensity measure, probabilistically describing the
122 hazard intensity at a site of interest; λ_v is the mean annual frequency of exceeding a given IM level
123 v_i , and DM is a damage measure quantifying the structural damage due to wind load. In the context
124 of typhoon risk assessment, the 3-sec gust speed (v , i.e., the highest 3-sec average wind speed
125 within an observation period of 10 minutes) at 10 m height in open terrain (National Structural
126 Code of the Philippines, NSCP, 2015) is used as an IM while the ratio (R_{damage}) between the
127 number of damaged LWMSs and their total number is used as a DM . For practical reasons, a
128 maximum IM level, capturing the upper bound of probabilistically significant events, is selected
129 (i.e., v_{\max} in Equation 1). Finally, $Pr[DM|IM = v_i]$ indicates the probability of DM conditional
130 on the hazard intensity (usually referred to as fragility), while $Pr[L|DM]$ is the conditional
131 probability of loss given the occurrence of damage. The calculation of $Pr[L|DM]$ requires the
132 definition of a damage-to-loss (or consequence) model describing the (probabilistic) relationship
133 between DM and DV (i.e., in terms of R_{damage} and economic losses in this context) (Vickery et
134 al., 2006). Since no damage-to-loss models are specifically available for Filipino buildings, those
135 developed by the Federal Emergency Management Agency (FEMA, 2014) in terms of direct repair
136 costs for residential buildings in the USA are used in this study. This assumption is somehow
137 justified by the fact that the prescriptions included in the Filipino building codes (NSCP, 2015)
138 are entirely consistent with the recommendations of US building codes (see Sevieri et al., 2020 for
139 a detailed analysis/mapping) across the years. Moreover, the damage-to-loss curves reported in
140 Figure 1 are defined in terms of the percentage of building replacement value rather than in

141 absolute terms. Hence, even if this specific aspect will require more investigation in future studies,
142 it still allows one to illustrate the proposed framework and to obtain loss results for relative
143 comparisons/risk prioritization exercises for the selected case-study portfolio.

144 The damage-to-loss curves adopted in this study consider direct repair costs associated with
145 roof covering, roof framing, and content. It is worth noting that the damage-to-loss curves
146 presented in this section represent the expected loss L given R_{damage} , that is $\mathbb{E}[L|R_{\text{damage}}]$. This
147 means that the consequence model's uncertainties are not considered in the risk assessment
148 framework presented in this study. This is a limitation of this study as damage-to-loss uncertainties
149 significantly affect the total loss distribution and its percentiles. However, according to Silva
150 (2019), the mean loss ratio for a given IM level must not change regardless of the sources of
151 uncertainty considered in the vulnerability modeling approach. When significant differences in
152 this metric are noticed, the statistical model introduces a bias in the random variable. Therefore,
153 when intensity-based losses or EAL are used as metrics in the definition of preliminary risk
154 estimation at building specific level and/or risk prioritization at a portfolio level, as in the proposed
155 method, the impact of such uncertainties is not expected to alter the result.



156
157 Figure 1. Damage-to-loss relationships adopted in this study.
158

159 The use of the same consequence model for all the assets relies on the assumption of a
160 homogeneous building portfolio, at least from the exposure perspective. This means that all the
161 assets within the analyzed portfolio should have similar construction features and similar
162 replacement costs (so leading to coherent repair costs/direct loss estimates), contents, downtime,
163 and intangible values. Focusing on this latter aspect, Sevieri et al. (2020) proposed an index-based
164 multi-hazard risk prioritization method in which CH intangible value is considered by defining an
165 ad-hoc cultural value index. The same approach could be easily applied in the proposed method,
166 where the CH intangible value index could be used to weight the different risk prioritization indices
167 purely based on EAL.

168 ***2.1 Wind hazard modeling and influence of climate change on the wind hazard profile***

169 Quantifying climate-change impact on typhoon risk assessment outputs is among the specific
170 objectives of this study. One of the main effects of climate change is the rise in the world's oceans'
171 temperature. As their surface temperature increases, oceans provide more energy to convert into
172 tropical cyclones (Elsner et al., 2008). According to Mei et al. (2015), this is the thermodynamic
173 phenomenon due to climate change that will cause the globally averaged intensity of tropical
174 cyclones to shift towards stronger storms, from being a category 3 (i.e., severe tropical storm) to a
175 category 4 (i.e., typhoon) by the end of the 21st century. Recent studies (e.g., Emanuel, 2011;
176 Knutson et al., 2010) specifically discuss how the greenhouse warming will cause the globally
177 averaged intensity of tropical cyclones to shift towards stronger storms, with intensity increases of
178 2-11% by 2100. Besides, climate change may also affect tropical storm frequencies, paths, and
179 velocities. For instance, some recent extreme events in the Philippines (e.g., the 2011 tropical
180 storm Washi, the 2012 typhoon Bopha, the 2013 typhoon Haiyan) have shown east-to-west
181 trajectories rather than commonly observed southeast-to-northwest ones (Holden and Marshall,

182 2018). These variations of the environmental conditions may affect the wind hazard of the country
 183 and, ultimately, the wind risk profile of selected sites.

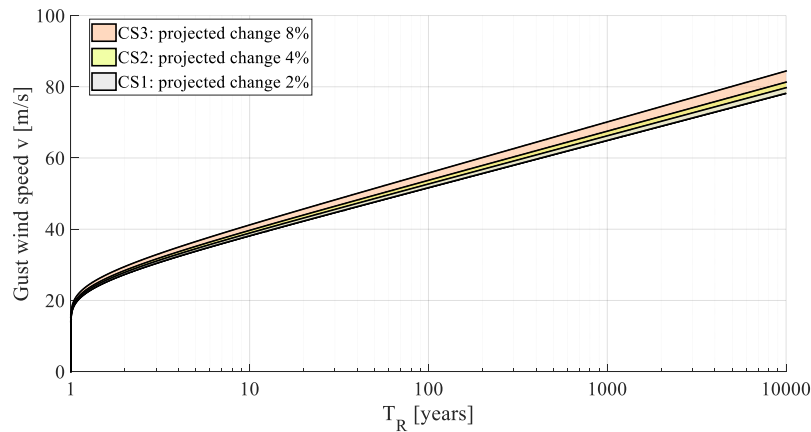
184 The Type I (Gumbel) distribution is generally adopted in the scientific literature (e.g.,
 185 Garciano et al., 2005) and in structural codes (e.g., NSCP, 2015) to probabilistically model the 3-
 186 sec gust speed at 10 m height in open terrain (v). The climate change effect can be incorporated in
 187 the hazard model by modifying the cumulative distribution function (CDF) of the 3-sec gust speed
 188 ($F_v(v, y)$) with a function ($\gamma_{\text{mean}}(y)$) expressing the time-dependent percentage change in v
 189 (Stewart, 2016),

$$190 F_v(v, y) = \exp \left(-\exp \left(-\left(\frac{\frac{v}{1 + \frac{\gamma_{\text{mean}}(y)}{100}}}{\sigma_g} - v_g \right) \right) \right). \quad (2)$$

191 In the previous equation, v_g and σ_g are the location and scale parameters of the CDF of v ,
 192 respectively, while y (years) is the observation year. According to Garciano et al. (2005), in Iloilo
 193 City, where the case-study CH assets considered in this study are located (as discussed in the
 194 following sections), these parameters can be assumed as $v_g = 25.21$ m/s and $\sigma_g = 5.75$ m/s.
 195 Several studies available in the scientific literature assume a time-dependent linear change in wind
 196 speed $\gamma_{\text{mean}}(y)$ and discuss how different functional approximations do not really affect the
 197 typhoon risk assessment (e.g., Stewart, 2016; Stewart et al., 2018). In this study, three climate
 198 scenarios (CS) are assumed for the projected changes in wind speed over the next 50 years: $\text{CS}_1 =$
 199 2%, $\text{CS}_2 = 4\%$; and $\text{CS}_3 = 8\%$. These climate scenarios are in agreement with the studies available
 200 in the scientific literature for similar geographic locations (e.g., Silang et al., 2014; Villarín et al.,
 201 2016). Considering various climate scenarios enables a proper investigation of climate-change
 202 impact on the typhoon risk assessment of the considered CH-asset roofs. Once new reliable climate

203 projections will become available, they can be used to better calibrate time-dependent changes in
 204 the wind speeds for the Philippines or to directly update the Type I distribution parameters as for
 205 the case of other environmental loads (e.g., for snow load, Croce et al. 2018; Croce et al. 2019).
 206 Figure 2 shows the 3-sec gust speed values for different mean return periods (T_R) and the three
 207 considered climate scenarios. It is worth noting that the return period is directly related to $F_v(v, y)$,
 208 that is $T_R = 1/(1 - F_v(v, y))$.

209



210

211 Figure 2. Gust wind speed versus mean return period for the three different climate scenarios (CSs).

212

213 3. Proposed fragility model

214 An LWMS is assumed to fail when a given number of associated fasteners (screws or nails) fails.

215 The threshold defining the failure of an LWMS is treated as uncertain and described in detail

216 below. Analyzing the roof failure down to the LWMS/fastener level facilitates incorporating

217 fastener/roof-panel corrosion and load-redistribution across the roof (as fasteners progressively

218 fail) into the fragility model. Therefore, the determination of the roof fragility (i.e.,

219 $Pr[DM|IM = v_i]$ in Equation 1) requires the calculation of the probability of failure (p_f) at

220 LWMS/fasteners level, which is defined as

221 $p_f = Pr[g(C, D) \leq 0]$, (3)

222 where $g(C, D)$ is the limit state function, C is the uplift resistance (i.e., capacity) for
223 pullout/pullover failure modes (i.e., the two failure mechanisms considered in this study and
224 introduced in the following section), and D is the total load effect (i.e., demand). This latter
225 includes two contributions: the uplift wind load W and the roof dead load Q , so that $D = W - Q$.

226 In this way, Equation 3 can be rewritten as:

227 $p_f = Pr[g(C, D) \leq 0] = Pr[C - D \leq 0] = Pr[C - (W - Q) \leq 0]$. (4)

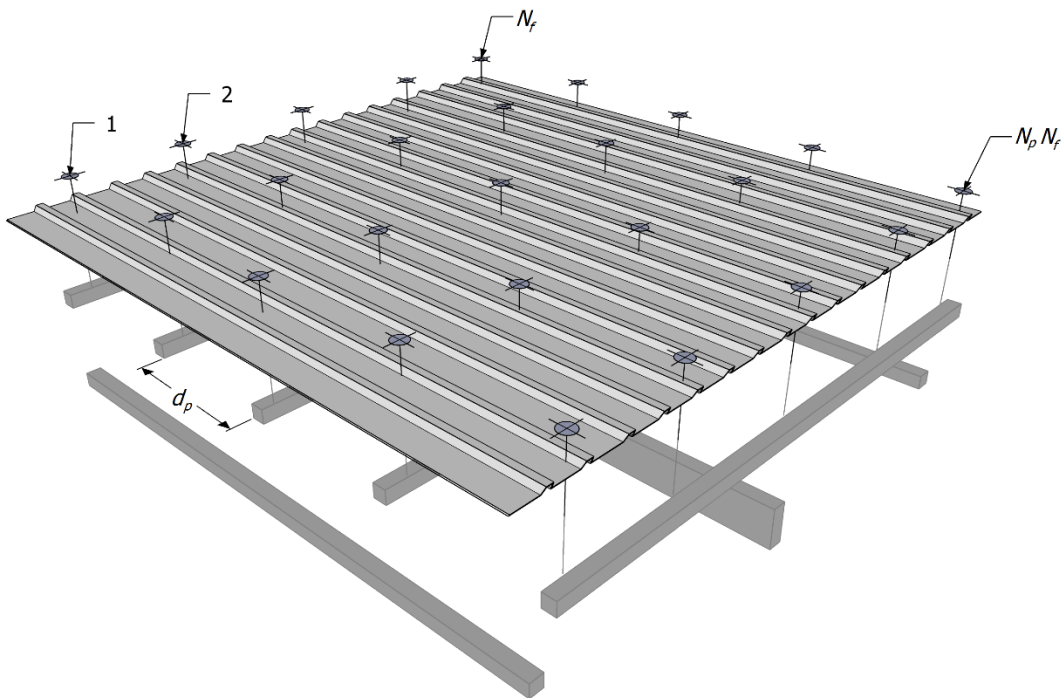
228 In this study, the dead load Q is considered as deterministic, while both capacity C and
229 wind uplift W are modeled probabilistically. This is a fundamental aspect to properly consider the
230 uncertainties involved in defining both C and W .

231 The fragility model proposed in this study is based on a simplified geometry of the roof.
232 Specifically, the analyzed CH roofs are divided into (N_{ms}) LWMSs, each of which is supported by
233 a constant number of purlins (N_p) and connected through a constant number of fasteners (N_f).
234 LWMSs are also assumed not interacting with each other, thus allowing the parallelization of the
235 procedure and reducing the computational burden. Only a few information about the roof are thus
236 needed to perform the fragility analysis, namely: N_{ms} , N_p , N_f , the distance between purlins (d_p),
237 fastener typology/geometry, LWMS typology/geometry, and dead load (D); Figure 3.

238 When a fastener fails, its load is redistributed among the closest resisting elements until
239 the equilibrium is achieved. This step requires the definition of a connectivity matrix for each
240 LWMS. Once the safety of each LWMS is assessed, R_{damage} is calculated as the ratio of the
241 number of failed LWMSs over N_{ms} . This procedure is repeated by varying the wind speed v
242 needed for the definition of D .

243 Monte Carlo sampling is used to propagate the considered uncertainties. Once a wind speed
244 v is selected, the uplift loads W are randomly generated for each fastener of each LWMS of the
245 roof. For the same elements, pullout and pullover capacities are also randomly generated. A
246 corrosion model is implemented to reduce the resisting sections of fasteners and LWMSs over
247 time, thus reducing the capacity C . The starting degradation level is treated as a random variable
248 to consider the heterogeneity of the conditions of different LWMS observed during field trips in
249 developing countries (e.g., Sevieri et al., 2020). Figure 4 summarizes the main steps of the
250 proposed fragility analysis.

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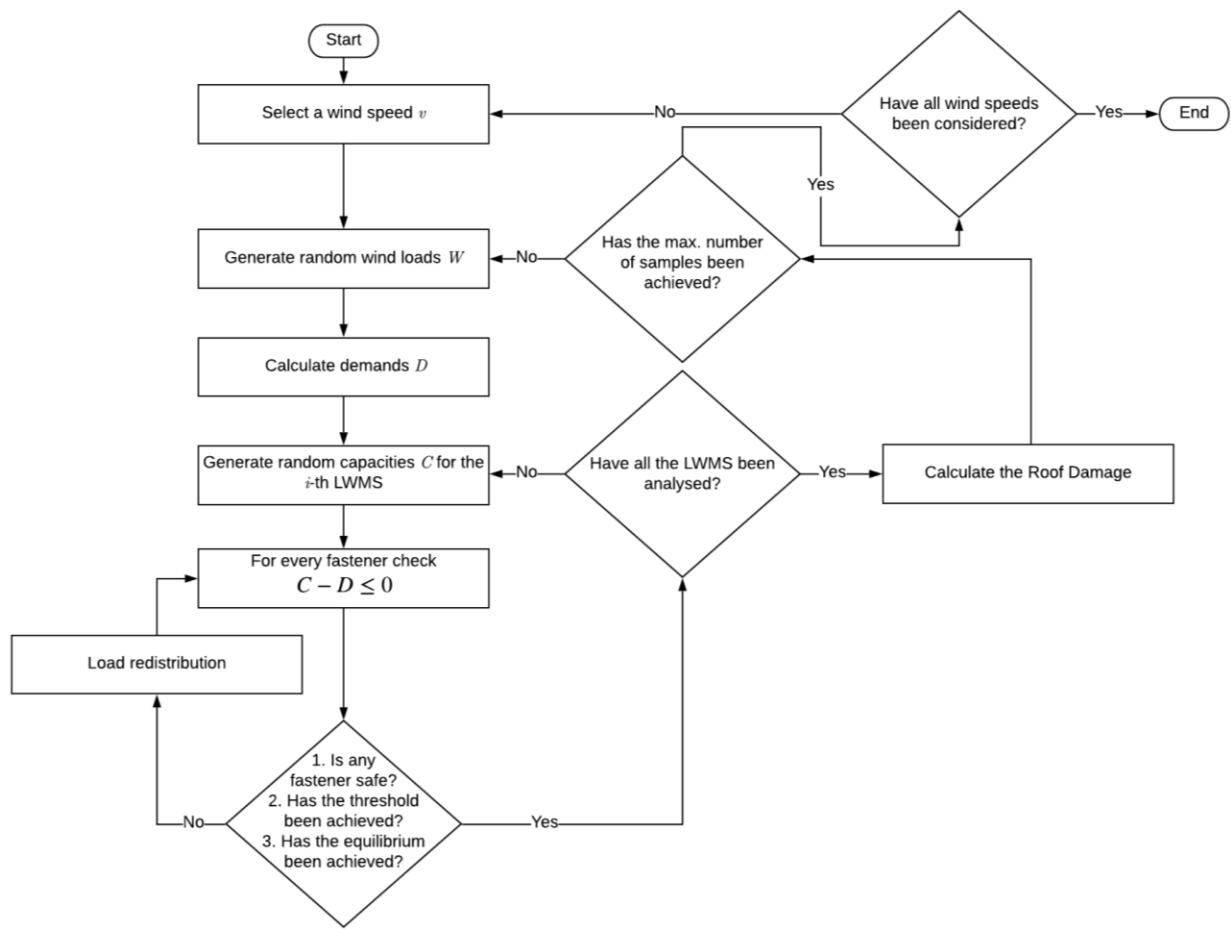
253 Figure 3. Reference metal sheet in the considered fragility model.

254

255 It is finally worth noting that fatigue-induced connection failure is not considered in the
256 proposed study. A significant reduction of roof components' static uplift capacity due to highly
257 fluctuating winds was reported in the literature, based on experimental testing (e.g., Mahendran

258 and Mahaarachchi, 2002). To the authors' knowledge, no similar studies are available in the
 259 literature for CH roofs using LWMSs. More in general, the typhoon-risk prioritization of CH-asset
 260 roofs – as proposed in this study – should not be significantly affected if fatigue effects are
 261 neglected. In fact, most of the considered CH assets share similar roof-panel/purlin materials and
 262 fastener types. While neglecting fatigue effects may results in an underestimation of the absolute
 263 values of the fragility/risk estimates for the considered assets, considering fatigue effects would
 264 lead to a uniform reduction of the roof uplift capacity for all the considered assets, not affecting
 265 the relative 'ranking' and relative comparisons performed in the study.

266



267

268

Figure 4. Flowchart of the proposed fragility model.

269

270 The following sub-sections are specifically tailored to Filipino CH assets, which are the
271 focus of the case study considered in this paper. However, the proposed framework is general
272 enough to be easily adapted to different roof typologies and then to different assets. This only
273 requires the definition of specific input parameter distributions and, eventually, different capacity
274 models, which might better describe other roof typologies.

275 **3.1 Wind load**

276 The NSCP 2015 provides wind load provisions entirely consistent with the American Society of
277 Civil Engineers (ASCE) Standard 7-10 (ASCE, 2010) and based on findings of wind
278 measurements and wind tunnel tests conducted over the past few years. Assuming that LWMSs,
279 purlins, and fasteners can be considered as *components and claddings* (C&C), the uplift wind load
280 W (N/m²) is written as (ASCE, 2010; NSCP, 2015)

$$281 \quad W = q_h (G_{\text{wind}} C_p - G_{\text{wind}} C_{pi}), \quad (5)$$

282 where q_h is the velocity pressure evaluated at the mean roof height of h ; G_{wind} is the gust
283 factor; C_p is the external pressure coefficient (NSCP 2015 Figures 207E.4-2A to 207E.4-7); and
284 C_{pi} is the internal pressure coefficient (NSCP 2015 Table 207A.11-1). In both codes, q_h (N/m²) is
285 evaluated as:

$$286 \quad q_h = 0.613 K_h K_{zt} K_d v^2, \quad (6)$$

287 where K_h is an exposure factor accounting for the terrain exposure condition (NSCP 2015
288 Table 207E.3-1); K_{zt} is a topography factor; and K_d is a wind directionality factor accounting for
289 the reduced probability of unfavorable building orientation and wind direction (NSCP 2015 Table
290 207A.6-1).

291 In this study, the parameter of Equations 5 and 6 are modeled as random variables, and
 292 their probability density functions (PDFs) are defined according to the scientific literature
 293 (Ellingwood and Tekie, 1999; Lee and Rosowsky, 2005) and reported in Table 1.

294

295

Table 1. Wind load statistics.

| Parameters | Category | Mean | Coefficient of Variations (COV) | Statistical model | Reference |
|------------------|------------------------|-------------|---------------------------------|-------------------|------------------------------|
| $G_{wind}C_p$ | | see Table 2 | | Normal | |
| $G_{wind}C_{pi}$ | Partially enclosed | 0.46 | 0.33 | Normal | (Ellingwood and Tekie, 1999) |
| K_h | Exposure B (0 – 9.1 m) | 0.71 | 0.19 | Normal | |
| K_d | | 0.89 | 0.16 | Normal | |
| K_{zt} | | | Deterministic (= 1) | | |

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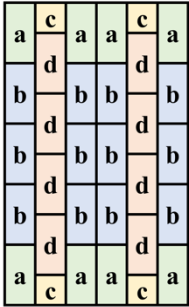
297 Given the orography of the territory of Iloilo City (i.e., the site of the case-study CH assets
 298 in this study), and the geometric features of the considered CH assets (i.e., two-story, plan-regular
 299 buildings), the exposure category B is selected for the definition of K_h . The topographic factor K_{zt}
 300 is assumed deterministic and equal to 1 for all the assets under investigation as they are located in
 301 a relatively small flat area close to the coastline. Moreover, the CH assets analyzed in this study
 302 are considered partially enclosed for the definition of $G_{wind}C_{pi}$. According to the Filipino building
 303 code (NSCP, 2015), the category of enclosure of a specific building must be selected based on the
 304 opening area within the building facades. The CH assets in Iloilo City are usually characterized by
 305 open ground floors and large windows on the upper floors. However, they are located in a
 306 “crowded” urban context and are parts of building blocks. This reduces the number of surfaces
 307 exposed to wind. The gust pressure coefficient $G_{wind}C_p$ varies depending on the LWMS location.
 308 LWMSs along the edge of the roof have higher external pressures than the interior ones. The

309 statistics of $G_{wind}C_p$ are reported in Table 2, assuming a roof slope equal to 18°: this value is
 310 commonly adopted to facilitate the flow of rainwater. If different roof slopes are present in the
 311 analyzed portfolio, the statistics of the $G_{wind}C_p$ distributions can be adjusted through the value
 312 available in the scientific literature (Lee and Rosowsky, 2005).

313

314

Table 2. Statistics of the gust pressure coefficient $G_{wind}C_p$.

| LWMS position | Mean | Coefficient of Variations (COV) | Reference |
|--|------|---------------------------------|--------------------------|
| (Wind from all directions) | | | |
|  | a | -1.768 0.12 | |
| | b | -1.455 0.12 | |
| | c | -1.425 0.12 | (Lee and Rosowsky, 2005) |
| | d | -0.855 0.12 | |

315

316 It is finally worth noting that even though the proposed method considers a simplified roof
 317 geometry, and mainly that panels do not interact with each other, the roof slope and panel position
 318 still affect the result through the definition of the $G_{wind}C_p$ distributions.

319 3.2 Dead and total loads

320 The value of the dead load (Q) needed for the calculation of the total demand (D) depends on the
 321 weight of roof panel material and that of the roof system. This load counteracts the effect of wind
 322 uplift, thus stabilizing the roof and increasing its resistance. In this study, the dead load is modeled
 323 as deterministic and assumed to remain constant in time (i.e., added weight due to re-roofing, if
 324 any, is not considered here) and equal to 0.2 kN/m². This value can be easily found in the scientific
 325 literature for the same roof typology (e.g., Song et al., 2019; Stewart et al., 2018).

3.3 Uplift resistance

Two main failure mechanisms govern the uplift failure of a roof panel: (1) *pullout failure*, when a roof fastener (e.g., screw or nail) is pulled out from the holding member due to wind-induced uplift loading; and (2) *pullover failure*, when a roof panel fails/fractures while the connecting fastener is still intact within the holding members. Therefore, the capacity (C) of a roof panel mainly depends on the fastener typology (i.e., screws or nails) and the structural system's geometry (e.g., the distance between fasteners, the distance between purlins). Assuming that the forces due to the wind uplift act parallel to the length of the fasteners and perpendicular to the holding members, the nominal pullover resistance per screw and nail ($P_{n,over}$ in N) is defined, according to NSCP (2015), as

$$P_{n,over} = 1.5 t d_w F_{u1}, \quad (7)$$

where, in the case of screws, t (mm) is the thickness of the member in contact with the screw head, d_w (mm) is the larger of the diameter of the washer and the screw head and F_{u1} (MPa) is the ultimate tensile strength of the member in contact with screw head or washer. In the case of nails, d_w is the diameter of the nail head.

The definition of the pullout resistance for screws $P_{n,out,screw}$ (N) is based on the design criteria provided by the NSCP (2015),

$$P_{n,out,screw} = 0.85 t_c d F_{u2}, \quad (8)$$

where t_c (mm) is the lesser of the depth of penetration and thickness of the element not in contact with the screw head, d (mm) is the nominal screw diameter, and F_{u2} (MPa) is the ultimate tensile strength of the member not in contact with the screw head or washer.

When the roof structure is made of wood purlins, nails are generally used as fasteners. In this case, according to the US National Design Specification (NDS) for Wood Construction (AWC,

2017), the pullout capacity for a single smooth shank nail used as wood-to-wood and metal-to-wood connections $P_{out,nail}$ (N) can be expressed as

$$P_{out,nail} = K_w G_{out}^{5/2} d_s P, \quad (9)$$

where G_{out} is the specific gravity of the wood-based on oven-dry weight, d_s (mm) is the shank diameter of the nail, P (mm) is the penetration of the nail in the member holding the nail point, and K_w is a constant having a value of 9.515, which is converted from the original value of 1380 (in empirical unit) for SI unit consistency.

The parameters needed for the roof-panel capacity definition are treated as random variables to properly account for the epistemic uncertainties involved in the fragility calculation and ‘balance’ the proposed model’s simplified geometry. In the case study presented in this paper, the geometric parameters of the resisting elements (i.e., d_w , t_c , d , d_s , P) are considered normally distributed with mean values equal to the nominal values measured during field surveys or assumed during the analysis. More specifically, 4d and 8d fasteners (i.e., nominal diameters equal to 2.9 and 3.3 mm, respectively) are usually found for such a roof typology (Dong and Li, 2016; Stewart et al., 2018). The coefficients of variations of these variables are derived from studies related to Filipino roofs available in the scientific literature (Alvarez et al., 2013; Song et al., 2019). Table 3 summarizes the statistical models for the parameters defining the pullout/pullover capacities adopted in this study. The nature of these uncertainties is epistemic. These variabilities are mostly related to measurement errors and the impossibility of adequately measuring each fastener. The statistics reported in Table 3 are referred to LWMSs with 0.79 mm thickness. F_{u2} and t_c refer to C-purlin of LC – 150×50×18×3 (NSCP, 2015), commonly adopted in this context as steel purlins.

Table 3. Capacity parameter statistics.

| Parameters | Mean | Coefficient of Variations (COV) | Statistical model |
|------------|--------------------------|---------------------------------|-------------------|
| t | 0.79 mm | 0.1 | Normal |
| d_w | Nominal or assumed value | 0.05 | Normal |
| d | Nominal or assumed value | 0.05 | Normal |
| d_s | 20% of d | 0.025 | Normal |
| P | Nominal or assumed value | 0.25 | Normal |
| F_{u1} | 147 MPa | 0.35 | Log Normal |
| F_{u2} | 215 ^a MPa | 0.10 | Log Normal |
| t_c | Nominal or assumed value | 0.025 | Normal |
| G_{out} | Nominal or assumed value | 0.25 | Normal |

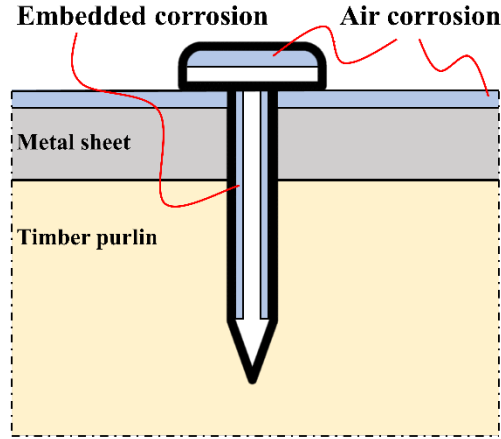
372

373 It is worth noting that the reliability of the data collected on-site is often low, with several
374 variables not recorded in the survey forms. When no specific data about the roof geometry and
375 corresponding fasteners/roof panels are available, studies from the scientific literature (e.g.
376 Alvarez et al. 2013, Dong and Li, 2016) can be used to define the probability distribution models,
377 bias, and variability of the required capacity parameters. However, this still requires the knowledge
378 of nominal values, which can be derived in different ways. For instance, roof inspections through
379 new technologies (e.g., drones) would be the preferred option. However, when an extensive survey
380 campaign cannot be afforded, nominal values can be calibrated based on those available for similar
381 roof typologies, using engineering judgments. For instance, one can consider, for each missing
382 value of a given variable, the (weighted) average of the recorded values for the same variable for
383 other similar assets.

384 **3.4 Corrosion effects**

385 Corrosion can significantly reduce the effective section of fasteners and LWMSs, thus reducing
386 roof panels' uplift resistance over time. This fundamental aspect is even more critical when the
387 analyzed assets are located in developing countries and coastal regions. Lack of maintenance and
388 airborne salinity further exacerbate corrosion effects.

389 The corrosion model adopted in this study is the one proposed by Nguyen et al. (2013).
 390 Two types of corrosions (Figure 5) are considered: embedded and atmospheric.
 391



392
 393 Figure 5. Corrosion model.

394 Atmospheric corrosion is due to corrosive agents within the surrounding air, such as
 395 airborne salinity and airborne pollution agents. The parts of the cladding exposed to the air, such
 396 as the heads of nails and screws as well as the LWMS surfaces, are affected by this type of
 397 corrosion. The air corrosion affects the pullout resistance by reducing t and d_w of equation 7.

398 The mean atmospheric corrosion depth, c_{atm} (μm), over a period of time y (years) is
 399 estimated by the following power-law equation,

400
$$c_{atm} = c_{0,atm} y^{n_{atm}}, \tag{10}$$

401 where n_{atm} is equal to 0.8 for steel fasteners and $c_{0,atm}$ (μm) is the atmospheric corrosion
 402 depth for the first year. According to Nguyen et al. (2013), $c_{0,atm}$ is a function of the time of
 403 wetness t_{wet} (%), the airborne salinity S_{air} ($\text{mg}/\text{m}^2/\text{day}$) and the airborne pollution of air P_{air} in
 404 terms of level of airborne sulfur dioxide (SO_2 $\mu\text{g}/\text{m}^3$):

405
$$c_{0,atm} = 0.5 t_{wet}^{0.8} S_{air}^{0.5} + 0.1 t_{wet}^{0.5} P_{air}. \tag{11}$$

406 The time of wetness is defined as the percentage of time in a year in which the relative
407 humidity is above 80% and the temperature above 0°C. The average monthly relative humidity in
408 the Philippines varies between 71% in March and 85% in September (Philippine Atmospheric
409 Geophysical and Astronomical Services Administration, PAGASA, 2019); based on the average
410 of all-weather stations in the Philippines, the mean annual temperature is 26.6°C. The coolest
411 months fall in January with a mean temperature of 25.5°C, while the warmest month occurs in
412 May with a mean temperature of 28.3°C (PAGASA, 2019). Based on an analysis of weather data
413 from the PAGASA (2019), the factor t_{wet} for Iloilo City is estimated equal to 25%. This value is
414 in agreement with those available in the scientific literature for countries with a similar weather
415 (e.g., Dong and Li, 2016; Nguyen et al., 2013).

416 Airborne salt is generated primarily from the action of surf and ocean waves. No specific
417 studies aimed at quantifying the airborne salinity S_{air} in the Philippines and, in particular, in Iloilo
418 City are available. However, according to Slamova et al. (2012), the level of airborne salinity
419 (expressed in terms of mg/m²/day) in the Philippines is similar to the Vietnamese one, for which
420 specific studies are available (Cole, 2000). Therefore, S_{air} in Iloilo City is assumed equal to 50
421 mg/m²/day.

422 Finally, in this study, the content of sulfur dioxide for the calculation of P_{air} is assumed
423 equal to 12 µg/m³ (Department of environmental and natural resources, Government of the
424 Philippines, 2015).

425 Corrosive agents, such as wood acidity and timber moisture content, contribute to the
426 surrounding wood's embedded corrosion. Only parts inside the wood, such as the shank of nails,
427 are affected. As in the case of atmospheric corrosion, the mean embedded corrosion depth (c_{emb})
428 (µm), over a period y is expressed by the following equation

429 $c_{emb} = c_{0,emb} y^{n_{emb}}$, (12)

430 where $c_{0,emb}$ (μm) is the embedded corrosion depth for the first year and the shape factor
 431 n_{emb} is equal to 0.6 for steel fasteners. For the case of untreated wood, commonly diffused in the
 432 Philippines, $c_{0,emb}$ is calculated with the following equation,

433 $c_{0,emb} = f_{120}(BTM_{max}) + 0.3 f_{120}(BTM_{mean})$, (13)

434 where f_{120} is the 120-day corrosion depth, and it is a function of the moisture content (M)
 435 (%) of the wood. The parameters BTM_{max} and BTM_{mean} are the seasonal maximum and annual
 436 mean value of the timber moisture content in service, respectively. According to Nguyen et al.
 437 (2013), f_{120} can be expressed as

438 $f_{120}(M) = \begin{cases} 0 & \text{if } M \leq M_0 \\ 0.2 C_{120}(M - M_0) & \text{if } M_0 < M < (M_0 + 5) \\ C_{120} & \text{if } M \geq (M_0 + 5) \end{cases}$, (14)

439 where the parameters C_{120} and M_0 depend on the wood typology and acidity class.

440 Since a specific material testing campaign aimed at characterizing the wood of the analyzed
 441 CH-asset roofs has not been performed, the values of C_{120} and M_0 are derived from the scientific
 442 literature (Nguyen et al., 2013). Assuming that the analyzed roofs are made of hardwoods with
 443 acidity class 2 (pH=4-5), M_0 is equal to 15% and C_{120} is equal to 8 μm .

444 The mean (BTM_{mean}) and maximum (BTM_{max}) seasonal moisture contents can be
 445 calculated by applying the following equations:

446 $BTM_{mean} = TM_{mean} + \Delta_{climate} + \Delta_{rain}$; (15)

447 $BTM_{max} = BTM_{mean} + 0.1 DF TM_{mean}$, (16)

448 where DF is the damping factor due to the lag in timber response, $\Delta_{climate}$ is the
 449 adjustment factor for the climate, Δ_{rain} is the rain factor, and TM_{mean} is the mean seasonal
 450 moisture content of a piece of wood. The adjustment factors for climate and rain account for factors

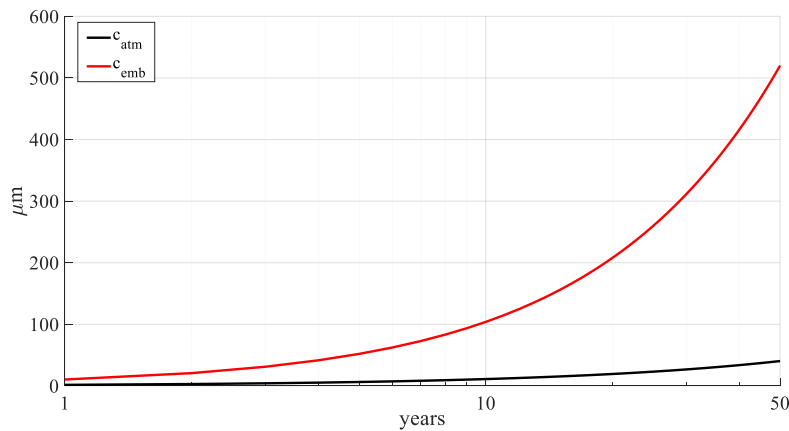
451 affecting the climate of the area under investigation (e.g., distance to the coast) and the exposure
 452 to weather of a fastener, respectively. When the analyzed assets are located in coastal areas, as in
 453 the present case, these parameters can be assumed as $DF = 6.0$, $\Delta_{climate} = 2.5$ and $\Delta_{rain} = 17$
 454 (Nguyen et al., 2013).

455 The mean seasonal moisture content of a piece of timber can be estimated by applying the
 456 following equation:

$$457 \quad TM_{mean} = \exp(1.9 + 0.05 SEMC_{mean}), \quad (17)$$

458 where $SEMC_{mean}$ is the mean annual value of the surface equilibrium moisture content.
 459 Assuming an annual mean temperature equal to 28° and a mean annual humidity rate equal to 80%
 460 (PAGASA, 2019), $SEMC_{mean} = 16\%$ (United States Department of Agriculture, USDA, 2010).

461 Figure 6 shows the mean atmospheric and embedded corrosion depth, c_{atm} and c_{emb}
 462 respectively, adopted in this study.



463
 464 Figure 6. Atmospheric (c_{atm}) and embedded (c_{emb}) corrosion depths.

465
 466 As previously mentioned, CH assets in developing countries show widespread and
 467 heterogenous corrosion, mainly due to the absence of periodic maintenance. Also, keeping track
 468 of the interventions on LWMSs and fasteners is practically impossible. Therefore, the initial

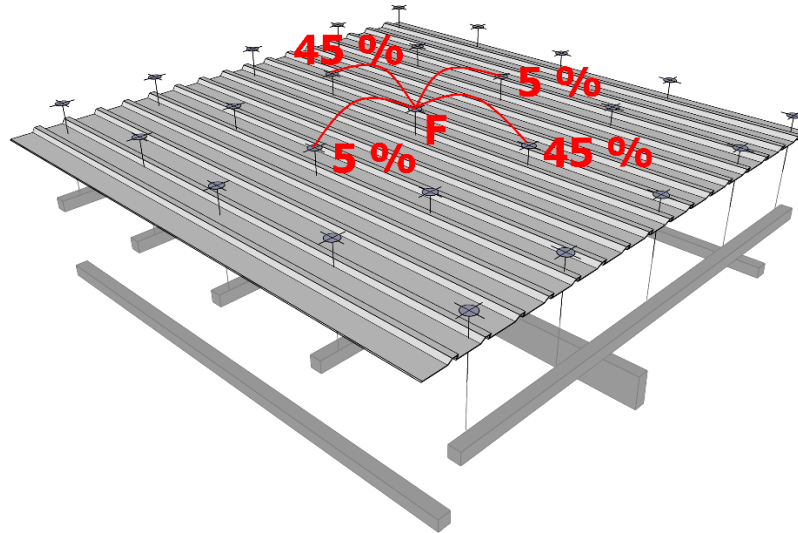
469 embedded and atmospheric corrosion depths are uncertain and then herein treated as random
470 variables. Specifically, due to the limited knowledge on the actual initial level of both embedded
471 and atmospheric corrosions, a uniform probability distribution, ranging from 0 to 50 years, is
472 herein adopted to model the age of all the fasteners and LWMSs belonging to the same cell. In this
473 way, current corrosion values can be easily determined from Figure 6 (Equations 10 and 12). A
474 changing climate would also affect the corrosion depth, both atmospheric and embedded, through
475 temperature and humidity variation. However, in this study, only the climate change effect on the
476 hazard profile of the area under investigation is considered. This choice is justified by the
477 uncertainty related to estimating the initial corrosion conditions, as discussed above. A
478 comprehensive understanding of how climate change affects corrosion depth would require an ad-
479 hoc experimental campaign, but this is outside the current study's scope.

480 ***3.5 Fastener failure progression***

481 Once a fastener fails, its load is redistributed among the adjacent resisting elements. This
482 phenomenon is a crucial aspect that must be considered to properly model the failure progression
483 of the corrugate metal sheets (Henderson et al., 2013). The redistribution rule adopted in this work
484 is shown in Figure 7.

485 The 90% of the failed fastener's load is redistributed between those located on parallel
486 purlins, while the remaining 10% goes to fasteners that are on the same purlin. Further details
487 about the validation of this load redistribution model and its comparison with other models can be
488 found in Konthesingha et al. (2015).

489 In the proposed procedure, the load is redistributed for each fastener failing until the
490 equilibrium is achieved and the LWMS is still safe. Once the load is redistributed to other fasteners,
491 they can fail, leading to rapid damage progression.



492

493

Figure 7. Load redistribution of failed fasteners.

494 **3.6 Metal sheet failure criterion**

495 The maximum number of failed fasteners (i.e., the threshold) to cause the failure of an LWMS is
 496 also treated as an uncertain parameter. This threshold varies depending on specific factors (e.g.,
 497 wind direction, metal sheet dimension, location of the failed fasteners, wind pressure distribution)
 498 which can be considered only within high-fidelity numerical models. Although various thresholds
 499 related to specific cases have been proposed in the scientific literature (e.g., Henderson et al., 2013;
 500 Konthesingha et al., 2015), empirical evidence suggests that failure of a few fasteners generally
 501 results in metal sheet failure. Therefore, in this study, and according to Stewart et al. (2018), a
 502 triangular probability distribution bounded by 10% and 80% (the average is 33.3%) is adopted to
 503 define such threshold.

504

505 **4. Typhoon risk prioritization approach**

506 The proposed framework can be used for a preliminary/simplified typhoon risk assessment at a
 507 building-specific level, or for typhoon risk prioritization at a building-portfolio level, i.e., to assess

508 the relative risk of various buildings within the considered portfolio. In this latter case, the same
 509 metric used to quantify typhoon risk can be adopted to define a prioritization index. Considering
 510 EAL as the risk metric of interest, the proposed typhoon risk prioritization index ($I_{TR,i,j,k}$) related
 511 to the k -th CH assets and considering the j -th observation year of the i -th climate scenario is
 512 defined as,

$$513 \quad I_{TR,i,j,k} = \frac{(100-1)}{(EAL_{\max,i,j} - EAL_{\min,i,j})} (EAL_{i,j,k} - EAL_{\min,i,j}) + 1, \quad (18)$$

514 where $EAL_{\max,i,j}$ and $EAL_{\min,i,j}$ are the maximum and minimum EAL values within the
 515 analyzed portfolio, while $EAL_{i,j,k}$ is the i, j, k -th expected annual loss.

516

517 **5. Sensitivity analysis**

518 The variance-based sensitivity analysis (Sobol', 1993) is used in this study to evaluate the impact
 519 of the considered uncertain parameters on the proposed fragility model. This method does not
 520 assume any type of linearity or monotonicity in the model and it is defined in a probabilistic
 521 framework. The Sobol' method decomposes the variance of the model output into fractions
 522 attributed to inputs or sets of inputs. Therefore, the i -th Sobol' index (S_i), related to the i -th input
 523 random variable, is defined as the ratio between the i -th partial variance ($VAR_{\text{parz},i}$) and the total
 524 variance of the output (VAR_{total}), that is $S_i = VAR_{\text{parz},i} / VAR_{\text{total}}$. Therefore, S_i expresses how
 525 the i -th parameter contributes to the total variance of the output.

526 The full description of the Sobol' indices require the evaluation of 2^n Monte Carlo
 527 integrals, where n is the number of random variables in the probabilistic problem. Its computation
 528 is not practically feasible unless the probabilistic problem's dimension and the computational

529 burden of the model are sustainable. Therefore, first and second-order approximations of the
530 Sobol' indices are generally computed (Sudret, 2008).

531 Alternatively, the Sobol' indices can be calculated by exploiting the Sobol' decomposition
532 of the polynomial chaos expansion (PCE) of the uncertain model response (Xiu, 2010). In fact,
533 according to Sudret (2008), once a PCE approximation of the model output is available, the Sobol'
534 indices can be easily derived analytically with no additional cost. Only simple mathematical
535 operations are needed to compute Sobol' indices from the expansion coefficients. On the other
536 hand, this requires constructing a reliable PCE of the model (i.e., PCE characterized by low
537 approximation error); for further details on these aspects, the reader may refer to Sevieri et al.
538 (2019). The PCE belongs to the family of spectral methods for the propagation of uncertainties
539 through deterministic models. In the context of stochastic modeling, this approach relies on
540 orthogonal basis functions to construct a so-called response surface of the uncertain model output.
541 The resulting response surface allows straightforwardly solving the forward problem (i.e.,
542 derivation of the main statistics of the uncertain model output) as well as surrogating the model
543 output in optimization or reliability problems, thus reducing the computational burden. For
544 instance, Sevieri and De Falco (2020) applied this technique for the definition of physics-based
545 predictive models (i.e., physics-based machine learning) for the static and dynamic real-time
546 control of strategic infrastructures.

547 Since the fragility model proposed in this study is sufficiently smooth, a reliable PCE of
548 $g(C, D)$ can be easily computed. The Sobol' indices are thus calculated by following the PCE
549 approach. For the sake of simplicity, it is possible to assume that all the random parameters that
550 describe C and D are collected in a vector $\boldsymbol{\theta}$, while the deterministic ones are collected in \mathbf{x} , that
551 is $g(\mathbf{x}, \boldsymbol{\theta})$. The PCE $\hat{g}(\mathbf{x}, \boldsymbol{\theta})$ of the limit state function can be written as,

552 $g(\mathbf{x}, \boldsymbol{\theta}) \approx \hat{g}(\mathbf{x}, \boldsymbol{\theta}) = \sum_{\alpha \in \mathbf{I}} \mathbf{u}^{(\alpha)}(\mathbf{x}) \boldsymbol{\Psi}_{\alpha}(\boldsymbol{\theta}),$ (19)

553 where $\mathbf{u}^{(\alpha)}$ is the matrix of the combination coefficients of the orthogonal basis functions
 554 collected in $\boldsymbol{\Psi}_{\alpha}(\boldsymbol{\theta})$ and \mathbf{I} the finite multi-index set. The orthogonality condition of the basis
 555 functions $\boldsymbol{\Psi}_{\alpha}(\boldsymbol{\theta})$ enables approximating the variance of $g(\mathbf{x}, \boldsymbol{\theta})$ ($\text{VAR}_{\text{total}}$) as:

556 $\text{VAR}_{\text{total}} = \text{Var}[g(\mathbf{x}, \boldsymbol{\theta})] \approx \widehat{\text{VAR}}_{\text{total}} = \text{Var}[\hat{g}(\mathbf{x}, \boldsymbol{\theta})] = \sum_{\alpha \in \mathbf{I}} \mathbf{u}^{(\alpha)}(\mathbf{x}) (\boldsymbol{\Psi}_{\alpha}(\boldsymbol{\theta}))^2.$ (20)

557 Defining the finite multi-index \mathbf{J} (that is a subset of \mathbf{I}), such that only the indices related to the i -th
 558 random variables (i.e., input parameter) are nonzero, the i -th partial variance is then

559 $\text{VAR}_{\text{partial},i} \approx \widehat{\text{VAR}}_{\text{partial},i} = \sum_{\beta \in \mathbf{J}} \mathbf{u}^{(\beta)}(\mathbf{x}) (\boldsymbol{\Psi}_{\beta}(\boldsymbol{\theta}))^2.$ (21)

560 The i -th PCE-based Sobol' index (\hat{S}_i) can be thus defined as:

561 $\hat{S}_i = \frac{\widehat{\text{VAR}}_{\text{partial},i}}{\widehat{\text{VAR}}_{\text{total}}}$

562 (22)

563 Sobol' indices derived through the PCE of $g(\mathbf{x}, \boldsymbol{\theta})$ also provide information about the
 564 impact of uncertain model parameters on the probability of failure (Equation 4), and ultimately on
 565 the calculation of fragility, vulnerability, and loss curves.

566 Having only normally and log-normally distributed random variables in the case study
 567 (Tables 1 and 3), 4th order Hermitian polynomials are used to define the PCE. The Bayesian
 568 procedure proposed by Rosić and Matthies (2017) is finally used to calculate the combination
 569 coefficients $\mathbf{u}^{(\alpha)}$.

570 It is worth noting that the PCE can be applied for both implicit and explicit problems. In
 571 fact, as for any other sampling-based uncertainty quantification method, only analysis results
 572 related to randomly generated input sets are required. At this stage, the main difference between
 573 the PCE and sampling-based approaches is that model solutions are used to calibrate the

574 coefficients of the PCE basis. Finally, because of the orthogonality conditions of the basis
575 functions, output statistics can be easily determined through algebraic computations.

576

577 **6. Case study**

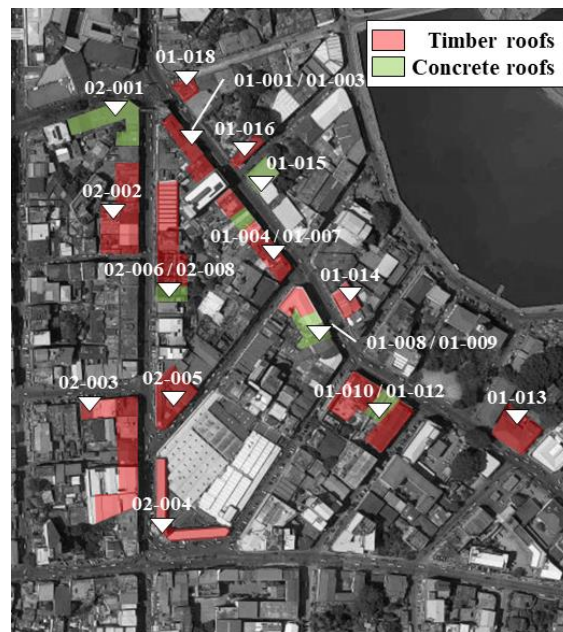
578 ***6.1 Filipino cultural heritage assets***

579 Recent catastrophic events, such as the 2013 super Typhoon Yolanda (international codename:
580 Haiyan), have emphasized Filipino structures' vulnerability against extreme winds. This scenario
581 is likely to be worsened in the future because of the impact of climate change on typhoon
582 frequencies and resulting wind speeds, as discussed above. Indeed, the 2019 Pacific typhoon
583 season was the costliest ever recorded. It was an above-average year with a grand total of 29 named
584 storms, 17 typhoons, and four super typhoons. In 2019 the Philippine Area of Responsibility (PAR)
585 experienced 17 typhoons, the costliest of which was the year's penultimate Pacific tropical
586 cyclone, Typhoon Kammuri, which was known locally as Tisoy.

587 Relatively recent reinforced concrete (RC) frame-type structures and unreinforced
588 masonry (URM) buildings with limited architectural and/or cultural features are often part of the
589 Filipino CH portfolio. Differently from the criteria applied by the United Nations Educational,
590 Scientific and Cultural Organization (Vecco, 2010) for the definition of CH assets, the Filipino
591 law does not explicitly consider subjective features of the buildings such as the architectural
592 value and socio-cultural factors. The only 'objective' feature, which defines a building as a CH
593 asset is the year of construction (Filipino Republic Act no. 10066, 2009). Structures that are at
594 least fifty years old can be declared to be a "Heritage House" by the National Historical
595 Commission of the Philippines (NHCP).

596 Twenty-five Filipino CH assets (Figure 8) were surveyed during a field trip in Iloilo City,
597 Philippines, in July 2019 by a research group composed of members from the University College
598 London (UCL, United Kingdom), the De La Salle University (DLSU, Philippines) and Central
599 Philippines University (CPU, Philippines), as part of the *Cultural Heritage Resilience &*
600 *Sustainability to multiple Hazards* (CHeRiSH) project (Sevieri et al., 2020). Iloilo City is a key
601 heritage hub for tourism in the Philippines. It is one of the most highly urbanized cities of the
602 south-eastern tip of Panay island in the Philippines (Philippine Statistics Authority, 2016) and the
603 province’s capital city. Fine examples of historic RC and URM buildings built in the first half of
604 the 20th century, during the American colonization, can be found in the historic street Calle Real
605 (Iloilo City Cultural Heritage Conservation Council, ICCHCC, 2010). Most of them are two-story,
606 plan-regular buildings; in addition to wood/steel-frame roofs with LWMSs (19 CH assets in total),
607 concrete flat roofs (6 CH assets) can also be found.

608



609

610

Figure 8. Surveyed CH buildings in Iloilo city, Philippines.

611 New technologies for data collections, such as photogrammetry, drones, thermal and
612 omnidirectional cameras, facilitated the data-collection process during the fieldwork. Drones have
613 been extensively used for façade and roof inspections. Figure 9 shows two inaccessible roofs; the
614 drone was the only practicable tool for collecting roof data/information. The only limitation on
615 drones' use was the strong wind during the fieldwork, which affected the flight capability.



616

Figure 9. Two samples of Filipino CH-asset roofs.

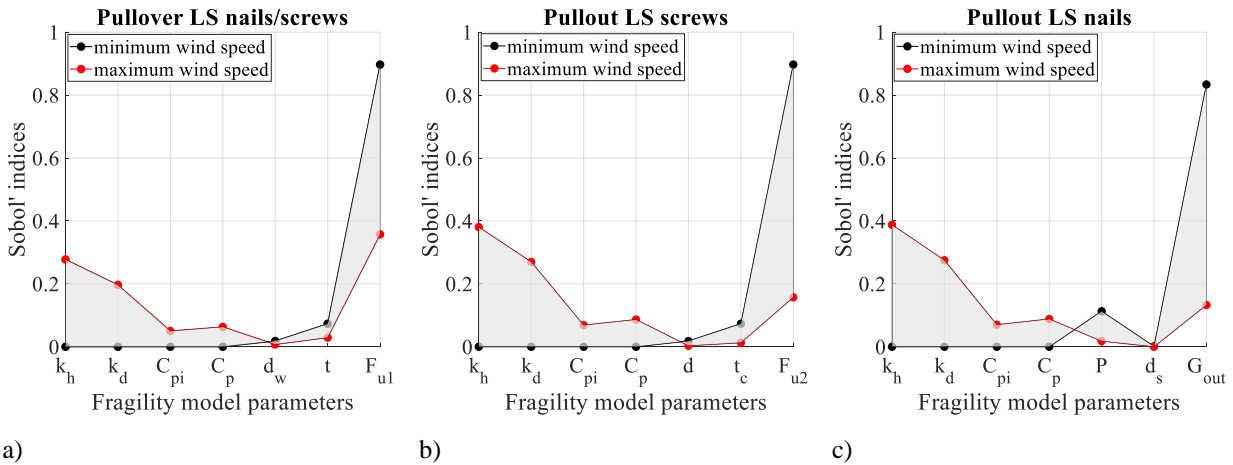
617

618 Particularly important is the entity of the corrosion affecting both LWMSs and fasteners.
619 Figure 9 shows widespread heterogeneous corrosion, which is clearly due to a lack of maintenance
620 activities.

621 **6.2 Sensitivity analysis of fastener limit states**

622 The Sobol' indices of $g(C, D)$ are calculated for both pullout and pullover failure mechanisms
623 (Equations 7, 8, and 9) by varying the gust wind speed v from 0 to 100 m/s (Figure 10). For small
624 gust wind speeds (black lines in Figure 10), the Sobol' indices show that the variability of the
625 capacity-related variables affects the variation of the results more than the demand-related
626 variables. In the case of roof-panel pullover and screw pullout (Figures 10a and 10b), the variation
627 of the strength parameters F_{u1} and F_{u2} leads to the highest Sobol' indices (around 0.9 in both

628 cases). In the case of nail pullout (Figure 10c), the variability of the specific gravity of the wood
 629 G_{out} is the factor that affects most the variation of the result. These results are justified by the fact
 630 that F_{u1} , F_{u2} and G_{out} are the parameters with the highest variability (Table 3). In addition, G_{out}
 631 is raised to 5/2 in Equation 9, so the impact of its variation is even higher.
 632



633 Figure 10. Sobol' indices: a) Pullover LS of nails/screws, b) Pullout LS of screws and c) Pullout LS of nails. For the
 634 sake of clarity G_{wind} has been omitted in the Figure.
 635

636 For high values of the gust wind speed, the variation of the random parameters used for the
 637 wind uplift definition affects the output variability more than the capacity parameters. For all the
 638 failure mechanisms, the exposure factor K_h is the most affecting parameter. This is mainly due to
 639 the its variability which is higher than the variability of the other demand parameters except that
 640 for $G_{wind}C_{pi}$. However, $G_{wind}C_{pi}$ is subtracted to $G_{wind}C_p$ (Equation 5) that has higher absolute
 641 values and smaller variance. Therefore, the effect of the variation of $G_{wind}C_{pi}$ is strongly
 642 mitigated.

643 As mentioned in Section 4, these findings also hold when looking at the value of the
 644 probability of failure. In this latter case, Sobol' indices may have different absolute values,

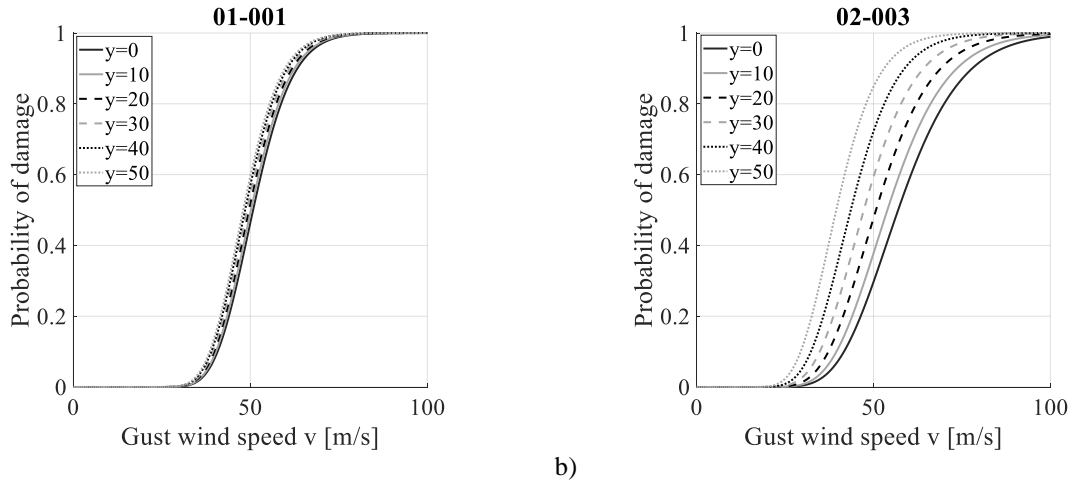
645 compared to the $g(C, D)$ case, but same relative impact. These results suggest that a sensible
646 reduction of the epistemic uncertainty effects on the upper tail of fragility curves can be achieved
647 by improving the characterization of the structural demand D .

648 **6.3 Fragility analysis**

649 The fragility analysis has been carried out for all the 19 CH assets previously described by varying
650 the gust wind speed v from 0 to 100 m/s with 2 m/s steps and by increasing the corrosion depths
651 through the variation of y (Equations 10 and 12) by considering 10-year steps from 0 to 50 years.
652 One million samples are considered within the Monte Carlo analysis to calculate the probability
653 of failure (p_f , Equation 3) for a given IM with an approximation error (of the mean) of the order
654 of 10^{-3} . A lognormal CDF is used to fit the analysis results by applying the maximum likelihood
655 estimation (MLE) method (Baker, 2015).

656 For the sake of brevity, only the fragility curves for buildings with ID ‘01-001’ and ‘02-
657 003’ (Figure 8) are described in this section. It is worth noting that the fragility curves derived for
658 the other CH assets under investigation are in good agreement with the results presented in this
659 section. The building ‘01-001’ has 2.6-mm shank diameter screws with a 500-mm spacing.
660 Whereas, the building ‘02-003’ has 2-mm shank diameter nails with 250-mm spacing. When
661 fragility curves are calculated for $y = 0$, so without increasing the initial corrosion depths, the two
662 CH-asset roofs show similar fragility relationships (Figure 11). When y is increased, the corrosion
663 affects more the roof ‘02-003’ (Figure 11b) than the roof ‘01-001’ (Figure 11a); in fact, the
664 distance among fragility curves is more considerable in Figure 11b. This is mainly due to the fact
665 that nails are affected by both embedded and atmospheric corrosion (Section 2.3.4), because of the
666 use of wood purlins.

667 In contrast, screws are generally subjected to only atmospheric corrosion, which is
 668 generally smaller than the embedded one (Figure 6). Corrosion's influence also depends on the
 669 geometry of the roof structural system (e.g., fastener spacing, the distance between purlins) and
 670 the diameter of the fasteners. In general, the fragility curves derived in this study show that CH-
 671 asset roofs with nails as fasteners are more sensitive to corrosion than the screw ones.

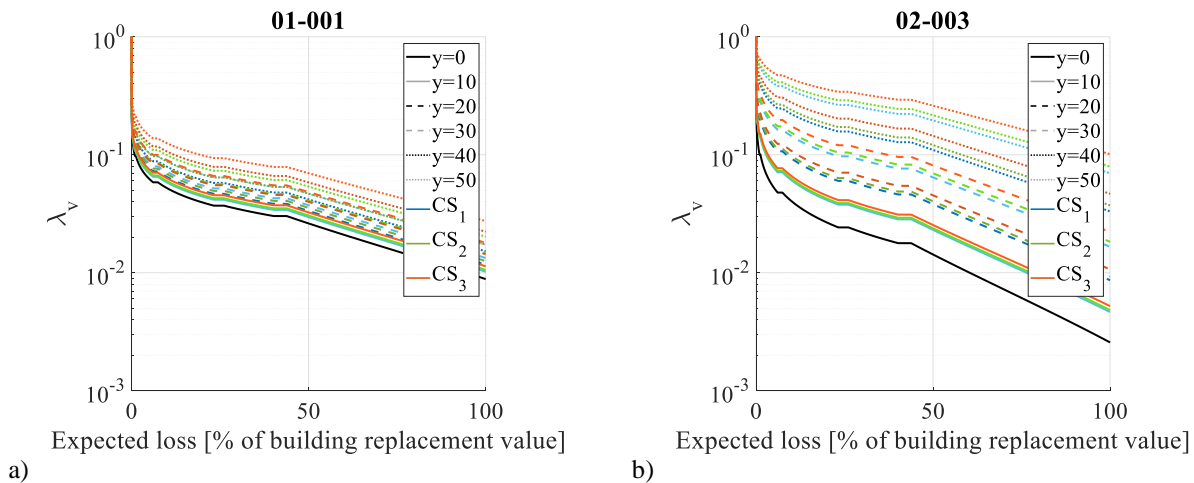


672 a) Figure 11. Fragility curves derived for different observation years (y): a) building 01-001 (screws), b) building 02-
 673 003 (nails).
 674

675 The results discussed in this section are in line with those available in the scientific literature for
 676 similar roof typologies (e.g., Stewart et al., 2018, Song et al., 2020), thus somehow validating the
 677 proposed fragility model. One should note that a validation of the whole procedure in terms of
 678 observed losses is unfeasible because of the lack of public data, such as insurance claims. Even
 679 when post-event loss data are available, they are usually characterized by high aggregation levels
 680 (e.g., for significantly larger geographic areas and building portfolios) rather than for specific,
 681 smaller building portfolios (as the one considered in this study).

682 **6.4 Risk assessment**

683 Loss curves (Figure 12) calculated considering the observation year equal to 0 are very close, thus
 684 reflecting the fragility curves' result. The variation of the observation year affects more the roof
 685 '02-003' (nails) than the '01-001' one (screws). This is mainly due to the effect of corrosion effect
 686 rather than climate change, as explained before. It is worth noting that the loss curves presented in
 687 this section are derived considering the expected values of damage-to-loss curves $\mathbb{E}[L|R_{\text{damage}}]$
 688 (section 2). A comprehensive discussion of this problem would require considering the uncertainty
 689 related to the damage-to-loss curves, which means to consider $p[L|R_{\text{damage}}]$.



690 Figure 12. Loss curves derived for different observation years (y) and for different climate scenario (CS): a)
 691 building 01-001 (screws), b) building 02-003 (nails).

692
 693 The loss curves are finally used to calculate the EAL for each CH assets considering
 694 different CS and observation years. A discount factor r equal to 4% is considered to refer EAL
 695 calculated for different observation years considering different CS to the same year. This can be
 696 easily done by dividing each EAL by $(1 + r)^y$.

697 The EAL in terms of percentage of building replacement value for all the 19 CH assets is
 698 reported in Table 4 for the three different climate scenarios.

699 CH-asset roofs with nails as fasteners show higher annual risks compared to those with
700 screws. Again, this is mainly due to how corrosion affects screws and nails in different ways.
701 However, as for fragility, vulnerability, and loss curves, other parameters, such as spacing between
702 fasteners and purlins, significantly affect the risk assessment.

703

704

Table 4. EAL in terms of % of building replacement value.

| CH ID | y = 0 [%] | y = 20 [%] | y = 50 [%] | CH ID | y = 0 [%] | y = 20 [%] | y = 50 [%] | |
|--------|-----------|------------|------------|--------|-----------|------------|------------|-----------|
| 01-001 | 3.2 | CS1: 7.5 | CS1: 9.7 | 01-014 | 20.8 | CS1: 42.9 | CS1: 70.1 | |
| | | CS2: 7.7 | CS2: 11.4 | | | CS2: 44.1 | CS2: 75.8 | |
| | | CS3: 8.1 | CS3: 12.6 | | | CS3: 46.3 | CS3: 79.5 | |
| 01-002 | 5.4 | CS1: 12.4 | CS1: 17.4 | 01-016 | 11.5 | CS1: 28.7 | CS1: 44.9 | |
| | | CS2: 12.6 | CS2: 18.2 | | | CS2: 29.3 | CS2: 46.8 | |
| | | CS3: 13.2 | CS3: 20.0 | | | CS3: 30.5 | CS3: 50.7 | |
| 01-003 | 1.9 | CS1: 4.4 | CS1: 6.3 | 01-018 | 2.1 | CS1: 4.9 | CS1: 7.0 | |
| | | CS2: 4.5 | CS2: 6.6 | | | CS2: 5.0 | CS2: 7.5 | |
| | | CS3: 4.7 | CS3: 7.4 | | | CS3: 5.4 | CS3: 8.3 | |
| 01-004 | 7.5 | CS1: 17.2 | CS1: 24.3 | 02-002 | 1.6 | CS1: 3.8 | CS1: 5.5 | |
| | | CS2: 17.6 | CS2: 25.4 | | | CS2: 3.9 | CS2: 5.8 | |
| | | CS3: 18.4 | CS3: 27.7 | | | CS3: 4.0 | CS3: 6.5 | |
| 01-006 | 4.9 | CS1: 13.3 | CS1: 23.4 | 02-003 | 2.4 | CS1: 6.1 | CS1: 14.6 | |
| | | CS2: 13.6 | CS2: 24.6 | | | CS2: 6.4 | CS2: 15.9 | |
| | | CS3: 14.3 | CS3: 27.2 | | | CS3: 6.7 | CS3: 18.0 | |
| 01-007 | 3.4 | CS1: 9.2 | CS1: 16.6 | 02-004 | CS1: 16.4 | CS1: 37.3 | CS1: 51.82 | |
| | | CS2: 9.5 | CS2: 17.6 | | | CS2: | CS2: 38.0 | CS2: 53.7 |
| | | CS3: 10.0 | CS3: 19.6 | | | CS3: | CS3: 39.3 | CS3: 57.7 |
| 01-008 | 0.3 | CS1: 0.7 | CS1: 1.1 | 02-005 | CS1: 2.0 | CS1: 6.2 | CS1: 14.4 | |
| | | CS2: 0.7 | CS2: 1.1 | | | CS2: 6.5 | CS2: 15.7 | |
| | | CS3: 0.8 | CS3: 1.3 | | | CS3: 6.9 | CS3: 17.7 | |
| 01-010 | 37.3 | CS1: 71.6 | CS1: 87.1 | 02-007 | 0.0 | CS1: 0.0 | CS1: 0.1 | |
| | | CS2: 72.9 | CS2: 88.5 | | | CS2: 0.0 | CS2: 0.1 | |
| | | CS3: 73.8 | CS3: 89.4 | | | CS3: 0.1 | CS3: 0.1 | |
| 01-012 | 0.11 | CS1: 0.3 | CS1: 0.42 | 02-008 | 3.7 | CS1: 9.3 | CS1: 14.8 | |
| | | CS2: 0.3 | CS2: 0.42 | | | CS2: 9.5 | CS2: 15.6 | |
| | | CS3: 0.3 | CS3: 0.5 | | | CS3: 10.0 | CS3: 17.4 | |
| 01-013 | 0.96 | CS1: 2.3 | CS1: 3.3 | | | | | |
| | | CS2: 2.3 | CS2: 3.5 | | | | | |
| | | CS3: 2.5 | CS3: 3.9 | | | | | |

705

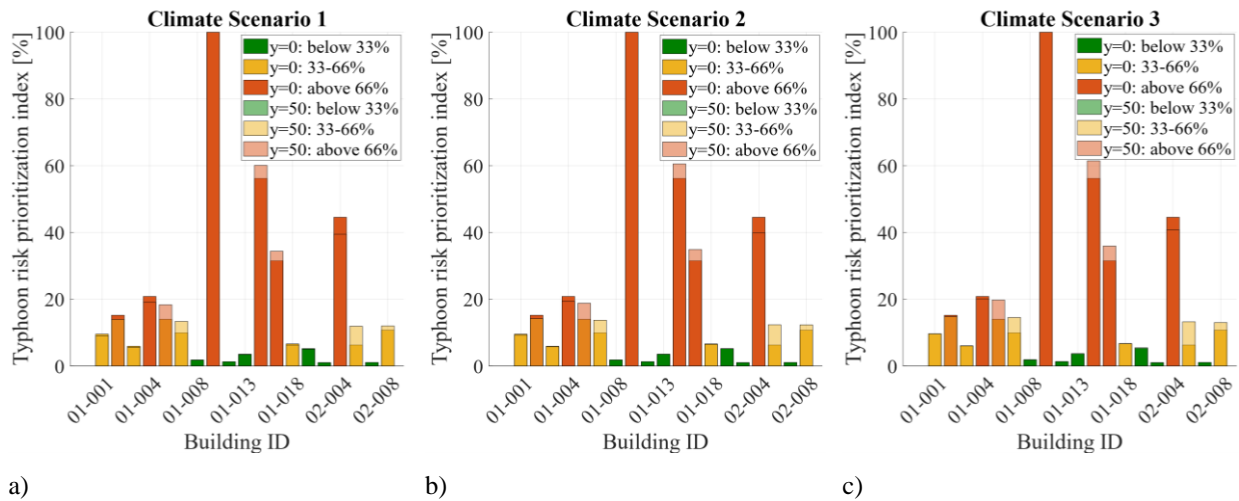
706 The results reported in Table 4 confirm the previous findings, i.e., corrosion may affect the
707 results of the risk assessment exercise more than climate change. In fact, the comparison between
708 the EAL for different CSs, assuming the same y value, shows a little variation of the results. This
709 seems to highlight that climate change has little impact on the typhoon risk assessment. Whereas,
710 the comparison between EAL related to the same CS but for different y values shows higher
711 variability of the result. In view of the conclusion derived in the previous section for the fragility
712 curves (i.e., fragility curves are strongly affected by corrosion), the variation of the EAL (Table 4)
713 seems to be mainly due to the material degradation (i.e., corrosion in this study).

714 It is worth noting that a parallelized Matlab® code has been developed to implement the
715 proposed method. The computer used for the analysis of the case study (19 roofs composed of
716 3583 fasteners in total) has an Intel® core™ i7-8750H CPU @ 2.20 GHz with 16 Gb RAM, and
717 it required 290 seconds to be completed (including the derivation of Sobol' indices).

718 ***6.5 Typhoon risk prioritization***

719 The proposed typhoon risk prioritization index ($I_{TR,i,j,k}$) (Section 3) is finally calculated for the
720 three considered CS by exploiting the results derived in the previous section. The resulting indices
721 (Figure 13) are arbitrarily categorized into three groups, respectively “green”, “yellow,” and “red”
722 tags by defining two thresholds. As a proof of concept, in this study, the thresholds are selected to
723 be equal to 33% and 66% for the calculated typhoon risk index. The results reported in Figure 13
724 show that the variation of the reference year of analysis leads to a small variation of the
725 prioritization index. This fact indicates that even if corrosion and climate change affect the risk
726 analysis at a building-specific scale (previous section), the initial conditions of the analyzed CH
727 assets are more important for the definition of the typhoon risk prioritization scheme.

728 Finally, the influence of climate projections can be assessed by comparing the prioritization
 729 schemes derived for the three different climate scenarios. Only small variations of the prioritization
 730 indices can be observed in Figure 13 due to the considered climate scenario. These results reveal
 731 that climate change affects more the risk analysis at a building-specific scale than the risk
 732 prioritization at a building-portfolio level.
 733



734 Figure 13. Typhoon risk prioritization index: a) climate scenario 1, climate scenario 2 and c) climate scenario 3.

735

736 7. Conclusions

737 This paper introduced a simulation-based framework for CH-asset roof fragility derivation and
 738 risk assessment in which roof panel pullout and pullover failure mechanisms, corrosion effects,
 739 and load redistribution (after fastener failure) have been explicitly modeled. The considered CH-
 740 asset roofs are made of wood/steel frames and lightweight metal sheet (LWMSs) with steel screws
 741 and nails used as fasteners. A simplified model for the roof geometry, at the base of the proposed
 742 approach, has enabled one 1) to reduce the required computational burden for fragility assessment;
 743 and 2) to probabilistically model capacities/demands. The proper balance between refinement level

744 and uncertainty propagation makes the proposed approach especially suitable for both risk
745 prioritization at a building-portfolio level and preliminary risk assessment at a building-specific
746 level. Finally, the impact of climate-change scenarios on the typhoon risk assessment results has
747 been investigated by modifying the wind hazard profile for the site under investigation.

748 The analysis of 25 CH assets in Iloilo City, Philippines, has shown the feasibility of the
749 proposed approach in practice and has enabled the evaluation of the impact of climate-change
750 scenarios on both risk assessment at a building-specific level and on the proposed risk
751 prioritization scheme. The results of the analysis have revealed that corrosion may strongly affect
752 the fragility results for the considered CH-asset roofs. Therefore, given the lack of maintenance
753 activities in several developed countries around the world, corrosion effects must be explicitly
754 considered in the typhoon fragility/risk assessment. Results of the analysis have also shown that
755 considered climate-change scenarios affect more the risk assessment estimates at building specific
756 level than the prioritization scheme.

757 This study included a detailed uncertainty characterization for all the random variables
758 involved in the proposed framework (e.g., those related to both wind-induced demands and
759 component capacities as well as climate-change and corrosion scenarios). The uncertainty
760 associated with the damage-to-loss model was instead neglected. While this uncertainty can
761 significantly affect the total loss distribution, it does not significantly alter the assessment results
762 in terms of expected annual losses, as proposed in this study.

763 It is worth highlighting that fatigue-induced connection failure was not considered in the
764 proposed analysis. A significant reduction of roof components' static uplift capacity due to highly
765 fluctuating winds is reported in the literature, based on experimental testing. The typhoon-risk
766 prioritization of CH-asset roofs – as proposed in this study – should not be significantly affected

767 if fatigue effects are neglected. In fact, most of the considered CH assets share similar roof-
768 panel/purlin materials and fastener types. While neglecting fatigue effects may results in an
769 underestimation of the absolute values of the fragility/risk estimates for the considered assets,
770 considering fatigue effects would lead to a uniform reduction of the roof uplift capacity for all the
771 considered assets, not affecting the relative ‘ranking’ and relative comparisons performed in the
772 study.

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