- The mechanisms and roles of selective autophagy
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#### 23 Abstract:

Autophagy is a process that targets intracellular elements for degradation by 24 sequestering them in double-membrane autophagosomes which then fuse with 25 late endosomes/lysosomes forming degradative autolysomes. Autophagy can 26 be associated with the engulfment of bulk cytosolic components, thereby being 27 non-selective, which occurs for instance in response to starvation and is 28 commonly referred to as bulk or non-selective autophagy. By contrast, selective 29 autophagy has specific targets, such as damaged organelles (mitophagy, 30 lysophagy, ER-phagy, ribophagy), aggregate proteins (aggrephagy) or invading 31 bacteria (xenophagy), thereby being importantly involved in cellular quality 32 control. Hence, not surprisingly, insufficiency of selective autophagy pathways 33 has been associated with various human pathologies, prominently including 34 neurodegeneration and infection. Determination of cargo specificity has been 35 attributed to selective autophagy receptors such as p62, NBR1, OPTN, NDP52, 36 which can both bind the cargo and ubiquitin simultaneously to initiate pathways 37 leading to autophagosome membrane recruitment. In recent years a 38 considerable progress has been made in understanding mechanisms governing 39 selective cargo engulfment, which opens up the possibilities of enhancing 40 selective autophagy pathways to boost cellular quality control capabilities and 41 alleviate pathology. 42

#### 44 Introduction:

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Autophagy is one of the important bulk degradation systems in cells; it 46 is a process to break down cellular components when required. The word 47 "autophagy" is a combination of Greek prefix "self" as Auto and "to eat" as 48 phagy, and was defined by Christian de Duve in 1963<sup>1</sup>. Autophagy is a 49 mechanism conserved in eukaryotes, from yeast to humans, and is involved in 50 maintaining homeostasis by preventing the accumulation of abnormal proteins 51 in cells, recycling proteins when cells face nutritional deficits, eliminating 52 pathogenic microorganisms that have invaded the cytoplasm, eliminating 53 damaged organelles and abnormal proteins and so on. Many diseases are 54 caused by the inability of cells to maintain such homeostasis, thus autophagy is 55 now reported to be involved in diverse diseases including neurodegenerative 56 diseases, infections, inflammation, metabolic dysfunction, cancer, and aging<sup>2</sup>. 57 There are mainly two types of autophagy defined by its degradation target. One 58 is called "bulk" or "non-selective" autophagy, the target is rather random, and 59 encloses and degrades parts of cytoplasm and organelles at random. The other 60 is called "selective" autophagy, which is more selective in its targets for 61 degradation. 62

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Non-selective autophagy allows cells to survive through nutrient
 starvation until the next nutrient source is available<sup>3</sup>. Once cells sense lack of
 nutrient, an isolation membranes is mostly formed at ER-mitochondria contact
 sites<sup>4</sup>, LC3-II (homologue of Atg8, used for an autophagosome membrane

marker) labelled membranes elongate as they engulf materials and eventually 68 closes to form spherical organelles, called autophagosomes (**Fig. 1**). Thus, 69 autophagosomes are organelles that are formed *de novo*, and are therefore 70 unique to most other pre-existing organelles. Autophagosomes then fuse with 71 lysosomes to degrade their contents. Size is up to 1 um in diameter and are 72 enclosed by double lipid bilayer membrane<sup>5</sup> (Fig. 1). Core autophagy-related 73 (Atg) proteins involved in formation of autophagosomes are conserved from 74 yeast to mammalian cells. Yoshinori Ohsumi identified Atgs and the two 75 ubiquitin-like conjugation systems involved in autophagosome biogenesis and 76 maturation. For these discoveries, Ohsumi won the Nobel Prize in Physiology or 77 Medicine in 2016. Nowadays, there are over 40 Atg genes identified; among 78 them, core Atgs from 1 to 18 excluding 11 are involved in non-selective 79 autophagy and Selective autophagy requires most of core Atgs plus receptors. 80 Most of the rest are involved in selective autophagy. Please see the review for 81 detailed functions of each Atg proteins<sup>6</sup>. 82

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Selective autophagy plays a role in maintaining cellular homeostasis by 84 clearing specific cargos such as invading pathogens, damaged organelles, and 85 misfolded proteins, which are harmful to cells<sup>7</sup> (Fig.1). In selective autophagy, 86 many cargos are ubiquitinated, which does not happen in non-selective 87 autophagy. Cargos can then be specifically targeted by receptor proteins, which 88 have LIR (LC3-interacting region) domains and ubiquitin binding domains to 89 bridge cargo and LC3-II: p62, TAX1BP1, NDP52, NBR1, OPTN and more<sup>8</sup> (Fig 90 1, Table 1). Selective autophagosomes vary in size from 1-10um depending on 91

92	the target <sup>9</sup> . Nowadays, selective autophagy is classified according to their
93	targets and is named; xenophagy (intracellular pathogens), lysophagy
94	(lysosomes), mitophagy (mitochondria), aggrephagy (aggregates), ER-phagy
95	(ER), pexophagy (peroxisomes), ribophagy (ribosomes), ferritinophagy (ferritin),
96	lipophagy (lipid droplets), glycophagy (glycogen), fluidophagy (droplets) and so
97	on (Table 1). The many target cargos of selective autophagy are linked to
98	diverse physiological roles, and failure to degrade these cargos lead to many
99	types of diseases <sup>10</sup> .
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101	In this review, we will focus on different types of selective autophagy in
102	mammalian cells, how cargos are tagged, recognized, selectively sequestered,
103	and degraded with a primary emphasis on mitophagy, aggrephagy, lysophagy,
104	and xenophagy.
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106	[H1] Mitophagy
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108	[H2] PINK1 and Parkin as a main a surveillance mechanism for damaged
109	mitochondria
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111	The maintenance of the mitochondrial network is critical for the fitness of
112	many eukaryotic cells. Defects in the respiratory chain complex proteins can
113	result in energy insufficiency and the accumulation of reactive oxygen species,
114	which are detrimental to the cell. Therefore, in order to prevent the

accumulation of impaired mitochondria, damaged mitochondria are selectively
 degraded via autophagy in a process termed mitophagy.<sup>11</sup>

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A main mechanism that provides specificity for damage-induced 118 mitophagy is the ubiquitination of outer mitochondrial membrane proteins, which 119 fosters the recruitment of autophagy receptors only to the organelles that need 120 to be degraded<sup>12</sup>. Indeed, PTEN-induced putative kinase 1 (PINK1) and Parkin 121 are the key regulators of this ubiquitin-tagging process. PINK1 provides a 122 surveillance mechanism for mitochondrial fitness by accumulating solely on 123 damaged mitochondria<sup>13</sup>. In healthy mitochondria, PINK1 is imported by the 124 TOM and TIM complex, then subsequently cleaved by the proteases PARL, and 125 to a minor extent Oma1, both localized on the inner mitochondrial 126 membrane<sup>13,14</sup> resulting in the 52 kD N-terminal-deleted PINK1 to be degraded 127 through N-degron pathway<sup>15,16</sup>. However, when mitochondrial membrane 128 potential is lost, TIM complex import is impaired and PINK1 does not reach the 129 inner membrane, preventing access to PARL<sup>13</sup>. This leads to the outer 130 mitochondrial membrane accumulation of PINK1, where it can then 131 phosphorylate ubiquitin chains specifically on serine 65 attached to a variety of 132 outer mitochondrial membrane proteins<sup>17–20</sup>. In this manner PINK1 activity is 133 restricted to damaged mitochondria. Mitochondrially stabilized PINK1 also 134 phosphorylates Parkin within its ubiquitin-like domain, also in position serine 135 65<sup>21</sup> releasing Parkin from its autoinhibited state<sup>22,23</sup>. Parkin, once active on the 136 mitochondria, ubiquitinates myriad outer membrane mitochondrial proteins<sup>24-26</sup>. 137 These nascent ubiquitin chains can then be further phosphorylated by PINK1, 138

leading to even more Parkin recruitment and activation on the mitochondria<sup>25</sup>. 139 This feedback amplification of OMM protein ubiquitination leads to the ubiquitin-140 dependent recruitment of many other proteins critical for efficient mitophagy, 141 such as the VCP/p97 complex<sup>27</sup>, Rab GTPases<sup>28–30</sup>, and importantly, 142 autophagy receptors<sup>31–33</sup>. Interestingly, recent work revealed that PINK1/Parkin 143 conjugate mono and short phosphoubiquitin chains on damaged mitochondria 144 to initiate mitophagy<sup>34</sup>. This work may have important implications for the 145 understanding of mitophagy receptors, which rely on the PINK1/Parkin-146 generated phosphoubiquitin chains to localize to damaged mitochondria. 147

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# [H2] NDP52 and OPTN are ubiquitin-dependent mitophagy receptors

A systematic analysis of receptor proteins using combinatorial 151 CRISPR/Cas9 KO lines revealed that OPTN and NDP52 are the two ubiquitin-152 dependent receptors most critical for Parkin-dependent mitophagy<sup>31</sup>. OPTN and 153 NDP52 recruit to mitochondria via their respective ubiquitin-binding domains<sup>31-</sup> 154 <sup>33,35</sup>. Importantly, more subtle damage to mitochondria induced by accumulation 155 of matrix-localized protein aggregates also results in the focal recruitment of 156 receptor proteins to these aggregates and their clearance, which depends on 157 Parkin<sup>36</sup>. As discussed in the xenophagy section, NDP52 and OPTN are also 158 involved the in the clearance of invading bacteria<sup>37–39</sup>. Bearing in mind the 159 bacterial origin of the mitochondria, the overlap between xenophagic and 160 mitophagic ubiquitin-binding receptors is quite interesting. Indeed, TBK1 kinase, 161 which also plays a key role in innate immune response, is also important for the 162

timely progression of mitophagy<sup>33,40,41</sup>. Both NDP52 and OPTN interact with and
 are themselves substrates of TBK1<sup>37,39,40</sup>. The phosphorylation of NDP52 and
 OPTN by TBK1 aids in the retention of these receptors on the mitochondria by
 affecting their capacity to bind ubiquitin chains, and thus, positively regulates
 the rate of mitophagy<sup>32,33,40</sup>. Furthermore, phosphorylation of OPTN within its
 LIR domain by TBK1 increases the affinity of OPTN to lipidated LC3<sup>39</sup>.

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Lastly, there are other mitophagy receptors that function in a ubiquitin-170 independent manner (**Table 1**; <sup>42</sup>). Many of these receptors, for instance NIX 171 (19 kDa interacting protein-3 (NIP3)-like protein X) and BNIP3 172 (BCL2/adenovirus E1B 19 kDa protein-interacting protein 3)<sup>43</sup>, are 173 mitochondrially localized. NIX was initially discovered to be an important 174 mitophagy receptor during reticulocyte maturation <sup>44,45</sup>. BNIP3, a homologue of 175 NIX, was demonstrated to regulate mitophagy, as well as ER-phagy <sup>46</sup>. 176 Although NIX and BNIP3 possess LIR domains, these mitochondrially-localized 177 receptors do not have ubiquitin-binding domains which characterizes OPTN and 178 NDP52. It was recently demonstrated that the mitochondrial matrix resident 179 proteins NIPSNAP1/2 accumulate on the OMM after mitochondrial 180 depolarization and can recruit LC3. Intriguingly, NIPSNAP1/2 also associate 181 directly with NDP52 via its zinc finger domain, the same domain that interacts 182 with ubiquitin chains generated by Parkin<sup>47</sup>. Thus, mitochondrial-resident 183 receptors may have crosstalk and recruit ubiquitin-binding receptors, which can 184 then initiate the autophagic cascade via recruitment of autophagy components. 185

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# [H2] OPTN and NDP52 mediate de novo autophagosome biogenesis during mitophagy

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A recent study showed that even in the absence of LC3/GABARAP 190 family proteins a mitophagosome can still selectively engulf mitochondria after 191 Parkin activation<sup>48</sup>. The authors demonstrate that in the absence of 192 LC3/GABARAP proteins, the rate of expansion of the mitophagosome is 193 impaired and the fusion of the mitophagosome to lysosome is blocked. Indeed, 194 both ATG9A and the ULK1 complex recruit normally to the mitochondria during 195 PINK1/Parkin mitophagy in cells lacking ATG3, a protein that plays an essential 196 role in LC3 lipidation<sup>49</sup>. These findings strongly suggest that LC3/GABARAP 197 proteins are not required for the initiation of Parkin-mediated mitophagy but are 198 instead essential for the expansion of the nascent autophagosome and its 199 subsequent fusion to the lysosome. 200

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The aforementioned studies raise a possible alternative model wherein 202 mitophagosomes are generated de novo on the surface of mitochondria 203 destined to be degraded. In line with this model, it was previously reported that 204 in the absence of NDP52 and OPTN, the recruitment of ULK1 to mitochondria is 205 impaired suggesting that receptor proteins have the capacity to recruit the 206 upstream autophagy machinery to the mitochondria<sup>31</sup>. Recent work revealed 207 that NDP52 interacts with FIP200, a core scaffolding component of the ULK1 208 complex, and that this interaction is critical for the de novo formation of 209 phagophore by activating ULK1 directly on damaged mitochondria and also on 210

invading bacteria<sup>38,41,50</sup>. Furthermore, the interaction of NDP52/FIP200 is 211 facilitated by TBK1 activity<sup>41</sup>. Consistently, a recent study highlighted the effect 212 of NDP52-FIP200 interaction, demonstrating that NDP52 allosterically 213 stimulates the membrane affinity of FIP200 and ULK1<sup>51</sup>. Strikingly, the capacity 214 of NDP52 to recruit ULK1/FIP200 is markedly enhanced by the addition of 215 ubiquitin chains<sup>52</sup>, further demonstrating the importance of ubiquitin chains in 216 serving as platforms for receptors. Experimental tethering of NDP52 to 217 mitochondria by a chemical dimerization assay is sufficient to drive autophagic 218 degradation of the organelle<sup>41</sup>. 219

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OPTN was also recently shown to associate with ATG9A vesicles<sup>53,54</sup>, as 221 well as FIP200<sup>55</sup>. The interaction of OPTN, via its leucine zipper domain, with 222 ATG9A was shown to be important for mitophagy induction<sup>53</sup>. A recent 223 compound screen for novel mitophagy activators found that the anti-parasitic 224 compound ivermectin stimulates mitophagy<sup>56</sup>. The authors found that ubiquitin 225 ligases cIAP1, cIAP2, and TRAF2 are involved in the mitophagy induced by 226 ivermectin. In addition, ivermectin also activates TBK1, which aids in the 227 recruitment of OPTN to mitochondria<sup>56</sup>. Another recent study using proximity-228 based proteomics determined that various ATG components are associated 229 with OPTN and TAX1BP1 during mitophagy<sup>57</sup>. Additionally, OPTN has been 230 shown to interact with the ATG16L1/ATG5/ATG12 complex<sup>58</sup> as well as 231 ATG9A<sup>53,59</sup>. Furthermore, ubiquitin chains enhance LC3-lipidation by OPTN, 232 NDP52 and TAX1BP1, consistent with the model whereby receptor protein 233 oligomerization on cargo is essential for their function<sup>51,60–63</sup>. Interestingly, 234

OPTN is able to bypass ULK1 to promote LC3 lipidation and only requires
 active PI3KC3-C1 complex and WIPI2D in these reconstitution experiments<sup>62</sup>.

LC3/GABARAP proteins and the LIR domains of NDP52 and OPTN are nonetheless critical for mitophagy. For instance, a study demonstrated that once nascent autophagosomes are formed on mitochondria, lipidated LC3 can further recruit NDP52 and OPTN via the LIR domain, in a ubiquitin-independent manner<sup>64</sup>. This ubiquitin-independent, but LC3-dependent recruitment of NDP52 and OPTN is thought to recruit more upstream autophagy machinery to the maturing autophagosome to further facilitate its expansion rate<sup>64</sup>.

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All together these recent findings lead to the model that receptor proteins NDP52 and OPTN act in tandem to initiate mitophagy by stimulating the biogenesis of the autophagosome directly on damaged mitochondria through their interaction with core upstream autophagy components. (**Fig. 2**).

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#### [H2] Importance of mitophagy in health and disease

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In addition to playing a critical role in energy production, mitochondria are also recognized as a signaling hub for various cellular processes, such as apoptosis and innate immunity. For instance, RNA viruses activate the mitochondrial antiviral signaling protein (MAVS), which is localized on the OMM<sup>65</sup>. Mitochondria also regulate apoptosis through the release of various cytotoxic proteins mediated by Bcl-2 family proteins<sup>66</sup> and the ubiquitination of

Bak and Bax by Parkin is able to fine tune apoptosis<sup>67,68</sup>. Furthermore, a recent 259 study reported that VDAC1, a known Parkin substrate, is involved in the triaging 260 between mitophagy and apoptosis<sup>69</sup>. The authors find that the polyubiquitination 261 and monoubiquitination of VDAC1 by Parkin, which occurs at distinct lysine 262 residues, control mitophagy and apoptosis independently. Specifically, K274 is 263 monoubiquitinated and is involved in modulating apoptosis<sup>69</sup>. Parkin also 264 ubiquitinates Bak in a conserved lysine crucial for its homo-dimerization. 265 Ubiquitination of Bak impaired its capacity to form lethal Bak oligomers during 266 apoptosis<sup>68</sup>. Thus, mitophagy also regulates physiological signaling pathways 267 that depend on the mitochondria as a signaling platform by altering the total 268 mitochondrial content within cells or via ubiquitination of OMM proteins involved 269 in various pathways. 270

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Innate immune pathways in eukaryotes are able to respond to myriad 272 invading pathogens, such as bacteria, virus, and fungi<sup>70</sup>. The potency of innate 273 immunity relies on the ability of the pathway to keenly differentiate signature 274 molecules and peptides coming from pathogens. However, mitochondria, owing 275 to their  $\alpha$ -protobacterial origin, presents a problem for the innate immunity. 276 Damage associated molecular patters (DAMPs) originating from mitochondria 277 robustly activate innate immune responses<sup>71</sup>. Furthermore, mtDNA released 278 into the cytosol triggers the activation of STING, which is a key node in the 279 double-stranded DNA antiviral defense pathway, which in turn results in the 280 expression of interferon-stimulated genes<sup>72</sup>. STING is a dimeric ER-localized 281 protein which is activated by cGAMP, a compound generated via the binding of 282

cGAS with cytosolic double-stranded DNA<sup>73</sup>. Thus, mitochondrial damage can
 lead to the release of DAMPs and mtDNA into the cytosol, triggering STING mediated inflammation<sup>72,74</sup>.

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It was recently reported that defective mitophagy in vivo results in the 287 activation of STING, which in turn activates inflammatory responses, such as 288 elevated IL-6<sup>74</sup>. Remarkably, ablation of STING in the mutator/Parkin-null mice, 289 a well-characterized in vivo model of PD<sup>75</sup>, rescues not only the inflammation 290 observed in these mice but also various PD-related symptoms, such as loss of 291 dopaminergic neurons within the substantia nigra and motor deficits<sup>74</sup>. Of note, 292 a study revealed that patients with mutations in Park2 and Park6 display 293 elevated circulating mtDNA compared to healthy controls<sup>76</sup>. Furthermore, IL-6 is 294 also increased in the serum of these PD patients<sup>76</sup>. Thus, this human study 295 recapitulated the inflammatory phenotype observed in a mitophagy deficient 296 mice triggered by the escape of mtDNA from impaired mitochondria further 297 highlighting the role of mitophagy in preventing unmitigated innate immune 298 response to cytosolic mtDNA<sup>74</sup>. Therefore, a possible pathological hallmark of 299 Parkinson's disease is the prolonged activation of innate immunity due to 300 mitophagy defects, leading to neurodegeneration (Fig 3). 301

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The impact of dysregulated mitophagy in disease pathogenesis is highlighted by the fact that mutations in genes central to the initiation of quality control mitophagy, *Pink1* and *Park2* (encodes for PINK1 and Parkin, respectively), result in familial Parkinson's Disease<sup>77,78</sup>. Studies performed in

Drosophila revealed an epistatic relationship between PINK1 and Parkin, with 307 PINK1 functioning upstream of Parkin<sup>79,80</sup>. Other constituents of the mitophagic 308 pathway are also implicated in neurodegenerative disorders, such as 309 Amyotrophic Lateral Sclerosis<sup>81</sup>. It is possible that neurons are intrinsically 310 sensitive to mitochondrial dyshomeostasis since neuronal activity requires the 311 maintenance of plasma membrane chemical gradients, a bioenergetically 312 demanding process requiring the maintenance of healthy mitochondria<sup>82</sup>. Lastly, 313 the complex morphology of axons and dendrites presents another layer of 314 spatial complexity for mitochondrial upkeep since assembly of mitochondria 315 requires the coordinated expression of both nuclear- and mitochondrial-316 encoded genes<sup>83–85</sup>. These demands may in part contribute to the sensitivity of 317 certain neuronal subpopulations to defects in mitophagy (Fig 3). 318

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### 320 [H1] Lysophagy

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Lysosomes, the last organelle to reach the end of membrane transport, 322 have various hydrolytic enzymes and, as the name suggested, are organelles 323 that degrade. Lysosome contains about 50 hydrolytic enzymes capable of 324 breaking down proteins, lipids, polynucleotides, and carbohydrates. The lumen 325 of the lysosome is acidified to around pH5 and plays an important role as a site 326 of intracellular digestion<sup>86</sup>. When the lysosome is damaged, hydrolytic enzymes 327 leak into the cytoplasm and cause cell death<sup>87</sup>. It has been reported that 328 lysosome membranes can be damaged by extracellular materials that are 329 introduced into cells, such as cholesterol, uric acid crystals, human beta-330

amyloid peptide aggregates, and fine particles such as silica and asbestos<sup>87,88</sup>. 331 When the lysosomal membrane is damaged, which causes inflammation due to 332 loss of lysosomal homeostasis, cells attempt to isolate/repair the lysosomal 333 membrane damage by autophagy and other mechanisms to prevent cell 334 death<sup>89</sup>. Damaged lysosomes are the target of autophagy and named 335 "lysophagy"<sup>88,90</sup> (Fig. 4). It has been suggested that damage to lysosomal 336 membranes may lead to lifestyle-related diseases such as type II diabetes, 337 atherosclerosis, gout, and neurodegenerative diseases. Therefore, the 338 mechanism to repair and remove damaged lysosomes is attracting attention. 339 How do cells respond to lysosomal membrane damage? We will outline what is 340 currently known on lysosome repair/removal machinery. 341

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Lysosomes are artificially damaged by using a drug called LLOMe, di-343 peptide L-leucyl-L-leucine methyl ester that becomes membranolytic when 344 cleaved by cathepsin D, and examine the repair mechanism<sup>88</sup>. Galectin-3 345 (Gal3) is a lectin-binding protein that is normally found in the cytoplasm, but 346 when organelle membranes are damaged, gal3 accesses the lumen and binds 347 to the N-glycans of proteins. Accordingly, lysosomal damage caused by 348 exposure to LLOMe is indicated by co-localization of lysosomes with Gal3, 349 ubiquitin and LC3-II. Once LLOMe has been washed-out, localization of Gal3, 350 ubiquitin, and LC3-II is back to cytoplasmic pattern and returned to the pre-351 treatment state, indicating the repair of the damaged lysosome<sup>88</sup>. The difference 352 in the reduction of Gal3-positive lysosomes between control cells and 353 autophagy-deficient cells indicates that autophagy is involved in the repair. 354

However, in autophagy-deficient cells, the percentage of Gal3-positive
lysosomes is also reduced, suggesting that repair is carried out by means other
than autophagy.

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Recently, it has been reported that ESCRT-III complex is recruited to repair 359 smaller lysosome damages<sup>91</sup>. Alix, a component of ESCRT-III complex is 360 recruited to damaged lysosomes very rapidly, just 1 min after LLoMe treatment, 361 where Gal3 recruitment starts to be seen after 30 min. Ca<sup>2+</sup> leakage from 362 lysosomal damage may trigger the recruitment of ESCRT-III and membrane 363 repair. The authors believe the ESCRTs work to repair the lysosomes and keep 364 them normal while the damage is not so severe that Gal3 is recruited. When 365 damage is not fully repaired or large enough to be recognizable by Gal3, 366 lysophagy is induced to clear the damaged lysosome. 367

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- [H2] Mechanisms of lysophagy

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One of the common features of selective autophagy is that the many 371 targets become ubiquitinated<sup>92</sup>. Lysophagy is no exception, and the lysosome is 372 ubiquitinated upon damage. Similar to Gal3 recruitment, ubiquitination on 373 damaged lysosomes does not appear until about 30 min after LLOMe 374 treatment<sup>88</sup>. How does ubiquitination of damaged lysosomes occur? Among 375 more than 600 E3 ubiquitin ligases in humans, recent paper showed the 376 recruitment of TRIM16 as E3 Ub ligase to the damaged lysosome by binding 377 through Gal393. Since TRIM16 interacts with ULK1, Beclin 1 and Atg16, it 378

functions to bridge between damaged lysosome and Atg proteins like a
receptor. It is involved at the initial stage to recruit Atg proteins to damaged
lysosomes; however, Gal3 is only a marker of damaged lysosomes and not a
necessary factor for lysophagy, to which degrees TRIM16 is required is not
clear.

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The involvement of another E3 ubiquitin ligase was reported, FBXO27, a 385 substrate-recognition subunit of the SCF (SKP1/CUL1/F-box), in lysophagy<sup>94</sup>. 386 FBXO27 colocalizes with Gal3 upon LLOMe treatment and FBXO27 KO 387 reduced repair of damaged lysosomes by roughly 20% compared to control. In 388 FBXO27 over-expressing cells, LAMP1 and especially LAMP2 is highly 389 ubiquitinated upon lysosome damage. However, FBXO27 is mainly expressed 390 in muscle and adipose tissue and is not ubiquitously expressed, suggesting the 391 existence of other E3 ubiquitin ligases. 392

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Lysophagy might have several backup systems to recognize/repair/remove damaged lysosomes. Lysosomes are important organelle to degrade yet they can be damaged by many extracellular particles up taken by cells and perhaps level of damages is different. When damages are small, ESCRT machinery tries to repair but when damages are too large detected by Gal3, autophagy removes them. Once damaged lysosomes are cleared, biogenesis of lysosomes kicks in through a control of TFEB.

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402	The types of ubiquitination occurring on damaged lysosomes are K48
403	and K63 <sup>95</sup> . K63 ubiquitin chains are seen from the early stages of damage,
404	whereas the K48 ubiquitin chain peaks later at 2-4 h after LLOMe treatment. In
405	addition, ELDR (endo-lysosomal damage response) complexes containing
406	deubiquitinating enzymes (YOD1) and p97 (or VCP, Valosin-containg protein)
407	are added to K48 ubiquitinated damaged lysosomes, resulting in K48 specific
408	deubiquitination and LC3 recruitment to initiate lysophagy (Fig. 4). Mutations in
409	p97 have been reported to cause neurodegenerative diseases, and damaged
410	lysosomes with K48 ubiquitination remain unremoved in the tissues of actual
411	disease patients. Further study is required to know the role of each type of
412	ubiquitination/deubiquitination on damaged lysosomes.

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Recently, it was reported that UBE2QL1 is an E2 ligase required for 414 lysophagy after screening approximately 40 E2 ligases in humans<sup>96</sup>. UBE2QL1 415 is involved in K48, not in K63, ubiquitin chains and appears 2-3 hours after 416 LLoMe treated damaged lysosomes. The absence of UBE2Q1 significantly 417 reduces the recruitment of p97, p62, and LC3 to the damaged lysosomes. 418 However, since the time of recruitment to damaged lysosomes is as late as 2 419 hours after LLoMe treatment, UBE2Q1 may also work for the clearance of more 420 severely damaged lysosomes. Also, UBE2QL1 recruits p97 to damaged 421 lysosomes in a K48 ubiquitin-dependent manner, while p97 is responsible for 422 pulling out and degrading proteins on the K48 ubiquitinated membrane by 423 ERAD. In fact, it has been reported that mitophagy prevents damaged 424 mitochondria from fission by degrading mitofusin from the outer membrane of 425

mitochondria. It is interesting to note that there may be a protein on the
lysosome that prevents lysophagy from occurring unless it is removed, but the
details will not be known until the protein is identified. The common denominator
of several E3 ligases is that ligases come to the damaged lysosomes, are
involved in K48-type ubiquitination, and ubiquitination occurs in the lumen of the
lysosome.

In selective autophagy, most targets are ubiquitinated and receptors 432 with ubiquitin binding sites and LC3-interacting regions (LIRs), collectively 433 called SARS (selective autophagy receptors), bind to LC3 and recruit 434 autophagosome membranes building factors<sup>97</sup>. The receptor involved in 435 lysophagy is reported to be p62<sup>95</sup>, however, recent study show TAX1BP1 is 436 sufficient to promote lysophagy<sup>98</sup> (Fig. 4). p62 recruitment is observed in 437 FBXO27-mediated ubiquitin<sup>94</sup> and the recently discovered UBE2QL1-mediated 438 ubiquitin<sup>96</sup>. Further studies are needed. 439

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[H2] Lysophagy and disease

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When autophagy was suppressed in the proximal tubules of mice, hypouricemic nephropathy was aggravated<sup>88</sup>. This may be due to the lack of removal of damaged lysosomes by uric acid crystals. In addition, since the factors that cause damage to lysosomes are causative factors of lifestylerelated diseases such as gout and type 2 diabetes, lysophagy may be useful in improving lifestyle-related diseases. If left untreated, lysosomal damage can affect lysosomal homeostasis and lead to neurodegenerative diseases.

Lysosomal damage is also caused by factors known to be causative of 450 neurodegenerative diseases, such as  $\alpha$ -synuclein, amyloid- $\beta$ , tau, and 451 abnormal huntingtin protein<sup>99</sup>. When these causative factors are released into 452 the cytoplasm by damage to the lysosomal membrane and form aggregates, 453 they can be released from the cell and spread to other cells by causing cell 454 death, leading to neurodegenerative diseases. Similar case was seen with 455 prion-like proteins<sup>100</sup>. It is also said that Cathepsin D leaked from damaged 456 lysosomes leads to the release of cytochrome C from mitochondria, resulting in 457 apoptosis<sup>87</sup>. In fact, it has been observed that cathepsin D is released into the 458 cytoplasm of aging rat neurons. 459

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Since lysosomes, like the ER, are reservoirs of calcium, damage to the 461 lysosomal membrane can cause calcium to leak out. It has been reported that 462 this leads to the collapse of calcium homeostasis, leading to Alzheimer's 463 disease<sup>101</sup>. Calcium efflux activates calpain, which inhibits autophagy and leads 464 to further lysosomal damage, leading to necrosis. Mutation in 465 mucolipin1/TRPML1, a calcium channel on lysosomes, have been reported to 466 cause mucolipidosis type 4<sup>102</sup>, a neurodegenerative disease. On the other 467 hand, calcium efflux activates calcineurin, a phosphatase, which 468 phosphorylates TFEB, a transcription factor EB, and causes transcription 469 factors necessary for autophagy and lysosome biogenesis to maintain healthy 470 lysosomes<sup>103</sup>. Recently, it was reported that LC3-II is recruited onto lysosomes 471 during lysosomal damage via an interaction with TRPML1<sup>104</sup>. This interaction 472 further enhances calcium efflux and leads to the activation of TFEB. In order for 473

lysosomes to function normally, cells are thought to have various defense
systems in place: including regulation by TFEB, initial repair responses by
ESCRT, and clearance by lysophagy as a last resort<sup>105</sup>.

#### 477 [H1] Aggrephagy

#### [H2] p62 and other ubiquitin-dependent receptors of aggrephagy

The clearance of aggregated protein by selective autophagy is called 479 aggrephagy<sup>106,107</sup>. p62/SQSTM1 is a critical aggrephagy receptor and its 480 function was elucidated along with the initial characterization of the LIR 481 motif<sup>108,109</sup>. Recent work revealed that the ULK1 complex is recruited to 482 ubiquitin-p62/SQSTM1 condensates through a direct association of 483 p62/SQSTM1 with FIP200<sup>110</sup>, resulting in the de novo autophagosome 484 formation leading to the engulfment of the protein condensates. The association 485 between FIP200 and p62/SQSTM1 is mediated by the C-terminal claw-domain 486 of FIP200 binding the disordered region of p62 overlapping with the LIR 487 motif<sup>110</sup>. Interestingly, in contrast to NDP52, the interaction of p62/SQSTM1 with 488 FIP200 requires an intact LIR<sup>110</sup>. Lastly, the FIP200-interacting region of 489 p62/SQSTM1 is phosphorylated at various sites, and phosphorylation at these 490 sites enhances the interaction between p62/SQSTM1 and FIP200<sup>110</sup>, although 491 the kinase/s phosphorylating p62/SQSTM1 at these sites remain unknown. 492 Interestingly, TBK1 is also involved in facilitating aggrephagy by 493 phosphorylating p62/SQSTM1 at serine 403 to enhance its interaction with 494 ubiquitin and mediate receptor oligomerization<sup>111</sup>. However, whether TBK1 is 495

involved in the interaction between p62/SQSTM1 and FIP200 is currently not
known (Fig 5).

498

There are two major pathways to degrade protein aggregates within cells 499 - the ubiquitin proteasome pathway (UPS) and autophagy. The solubility of the 500 aggregated proteins and size of the aggregates may determine whether the 501 UPS or aggrephagy is mobilized for their degradation<sup>112,113</sup>. Oligomerization of 502 p62/SQSTM1 was demonstrated to be important for the proper targeting of the 503 phagophore to ubiquitinated substrates<sup>60,61</sup> in line with the previous finding that 504 p62/SQSTM1 oligomerization is critical for its receptor function<sup>109</sup>. Indeed, the 505 ubiquitin-mediated oligomerization of p62/SQSTM1 drives the formation of 506 liquid-like membraneless condensates via the multivalent interactions between 507 the ubiquitin chains and p62/SQSTM1 multimers <sup>114</sup>. Moreover, mutations that 508 prevent ubiquitin-mediated p62/SQSTM1 phase separation into condensates 509 reduce the autophagic degradation of p62/SQSTM1<sup>115</sup>. Apart from ubiquitin, 510 ALFY and WDR81 were previously shown to facilitate the phase separation and 511 clearance of p62/SQSTM1 condensates <sup>116,117</sup>. Furthermore, NBR1, which was 512 previously identified as an aggrephagy receptor<sup>118</sup>, aids in the oligomerization 513 and phase separation of p62/SQSTM1 via its PB1 and UBA domain<sup>119</sup>. Thus, 514 the hetero-oligomeric complex of p62/SQSTM1 and NBR1 may possess a 515 higher affinity for ubiquitinated substrates compared to p62/SQSTM1 oligomers 516 alone<sup>119</sup>. This is supported by the previous findings that the UBA domain of 517 NBR1 binds more tightly to ubiquitin relative to the UBA domain of p62/ 518 SQSTM1<sup>120,121</sup>. 519

520	Apart from p62/SQSTM1, it was also recently shown that TAX1BP1
521	plays an important role in the clearance of Poly-Q Htt aggregates in various
522	models, including in iPSC-derived cortical neurons <sup>122</sup> . Indeed, TAX1BP1 was
523	shown also to be important for degradation of NBR1-positive protein
524	aggregates <sup>123</sup> . Furthermore, TAX1BP1, much like NDP52, can associate with
525	FIP200 via its SKICH domain <sup>123</sup> . The association between TAX1BP1 and
526	FIP200 allows for the clearance of NBR1 condensates independently from LC3
527	lipidation <sup>123</sup> . Surprisingly, the LC3-independent clearance of NBR1 by
528	TAX1BP1 does not appear to require the ubiquitin-binding capacity of
529	TAX1BP1, as deletion of the UBZ domain of the protein does not impair its
530	function <sup>123</sup> . Thus, TAX1BP1, much like p62/ SQSTM1, is able localize the ULK1
531	complex to protein aggregates to promote their clearance via its association
532	with FIP200 ( <b>Fig. 5</b> ).

533

## [H2] Aggrephagy in neurodegeneration

A variety of neurodegenerative disorders are characterized by the age-534 dependent accumulation of protein aggregates<sup>141</sup>. Some of these proteins 535 display prion-like properties and have been identified as substrates of selective 536 autophagy. Hyperphosphorylated tau fibrils<sup>125</sup>, amyloid- $\beta^{126}$ , huntingtin<sup>127</sup>,  $\alpha$ -537 synuclein<sup>128</sup>, RNA-binding protein transactive response DNA binding protein 538 43<sup>112,129</sup> (TDP-43), and Fused in Sarcoma<sup>129</sup> (FUS), have all been shown to be 539 aggrephagy substrates. Indeed, it is thought that the trans-synaptic propagation 540 of some misfolded proteins induces the aggregation of natively folded proteins 541 in naïve neurons<sup>130,131</sup>. The stereotypic spreading of these prion-like proteins 542

within discrete neuroanatomical networks is correlated with the disease
progression and clinical presentation of various neurodegenerative
disorders<sup>124,132</sup>. Indeed, the postmitotic nature of neurons may confer their
sensitivity to pathologic proteins. Thus, a critical pathomechanism involved in
neurodegeneration is the aggregation and the network-dependent spreading of
prion-like proteins, which may be exacerbated by inefficient autophagic
clearance of such proteins.

550

#### 551

### [H1] Xenophagy in anti-bacterial defense

Xenophagy is a mode of selective autophagy in which autophagosomes 552 sequester and eliminate pathogens invading the cytoplasm (Fig. 6). Although 553 the initial barrier against pathogens is an organized response by the immune 554 system, even non-phagocytic cells (e.g. epithelial cells) can counteract 555 pathogens via xenophagy<sup>133</sup>. In addition to bacteria, xenophagy can also target 556 a variety of infecting viruses through a process called virophagy<sup>134</sup>. The case of 557 virophagy, antiviral function of autophagy proteins does not always need 558 autophagosome maturation, suggesting that the mode of actions of each 559 autophagy protein in virophagy often differs from xenophagy of bacteria<sup>134</sup>. 560 Although the mechanism by which host cells recognize the targets of 561 xenophagy is shared with other forms of selective autophagy, xenophagy is 562 distinguished from other modes of selective autophagy since it targets invaders 563 opposing host cells. While xenophagy limits the proliferation of bacteria in the 564 host cells, many pathogens have the capacity to inhibit the formation of 565 autophagosomes or neutralize lysosomal enzymes to prevent degradation (e.g. 566

Listeria RavZ protein inhibiting the recycling of LC3, Shigella IcsB protein that 567 hampers recognition of bacterial VirG protein by ATG5, and Salmonella SopF 568 disrupts infection-induced V-ATPase-ATG16L1 interaction)<sup>135–138</sup>. In some 569 cases these pathologies even hijack and exploit the system of xenophagy to 570 promote their own growth <sup>134,139</sup>. Nonetheless, xenophagy is an essential 571 survival mechanism, as it targets many fatal pathogens such as Group A 572 streptococcus (GAS)<sup>9</sup> and Salmonella<sup>140</sup>, which are often resistant to 573 antibiotics. 574

575

576

# [H2] Recognition of the bacteria for xenophagy

Although the mechanism of invasion varies among pathogens, the 577 major key factors needed for the recognition system are the ubiquitin labelling 578 of targets and receptor proteins that bind to both LC3 proteins and ubiquitinated 579 targets (Fig. 1). When bacteria invade cells, they are surrounded by endosomal 580 membranes, which are subject to degradation by the endosomal-lysosomal 581 system. In case of Salmonella, they proliferate by forming a SCV (Salmonella-582 containing vacuole) to avoid lysosomal degradation<sup>141</sup>. A small but significant 583 fraction of invading Salmonella is released into cytoplasm by damaging the 584 membrane surrounding the bacteria, followed by their decoration with 585 polyubiquitination<sup>142</sup>. Thus, membrane rupture works as a danger signal 586 provoking following events for xenophagy. The ubiquitinated fraction of 587 Salmonella with ruptured membrane becomes positive for LC3 and sequestered 588 by an autophagosome<sup>140</sup>. It has been shown that incorporation of just 589 polystyrene beads bearing a reagent that damages endosomal membranes is 590

sufficient to cause formation of autophagosome-like membranes formation 591 surrounding the beads,<sup>143</sup> the rupture of host membranes works as an universal 592 danger signal provoking following events for xenophagy. However, this does not 593 necessarily mean that bacterial proteins are irrelevant during recognition. 594 Indeed, recent reports show that several bacterial proteins are involved in the 595 recognition process. Mycobacterium tuberculosis protein Rv1468c is directly 596 bound to ubiquitin for sequestration by the autophagosomal membrane<sup>144</sup>. The 597 GlcNAc side chains of the GAS surface carbohydrate structure is recognized by 598 FBXO2, a component of the ubiquitin ligase complex SCF, promoting the 599 ubiquitination of the invading GAS<sup>145</sup>. The lipopolysaccharide (LPS) of the 600 invading Salmonella is ubiquitylated by ubiquitin ligase RNF213 that is needed 601 for the restriction of bacterial growth in host cells. It supports the idea that non-602 proteinaceous ubiquitylation substrates derived from pathogens or host cells 603 may play a pivotal role in xenophagy<sup>146</sup>. Thus, factors derived from both hosts 604 and bacterium become targets for the recognition. Moreover, galectins are not 605 merely used as markers for the ruptured membrane, they also play an essential 606 role in pathogen recognition. Among several galectin subtypes, such as 607 galectin-8, play a major role in the recruitment of NDP52, a receptor protein 608 described below. Indeed, NDP52 binding to galectin-8 on ruptured SCVs 609 suppresses the expansion of invading Salmonella<sup>147</sup> while other galectins such 610 as galectin-1 and -7 may support xenophagy of invading GAS<sup>148</sup>. 611

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613 614 [H2] Polyubiquitination of bacteria and recruitment of receptor proteins

The ubiquitination of the targets for xenophagy requires several E3 615 ligases which promote polyubiquitin chains including K6-, K27-, K33-, K48-, 616 K63- and linear polyubiquitin chains. Each E3 ligase may have distinct functions 617 for restriction of the proliferation of invading bacteria. Parkin, an E3 ligase 618 required for mitophagy, is needed for K63-linked ubiquitination and growth-619 limitation of *M. tuberculosis*<sup>149</sup>. By contrast, the E3 ligase Smurf1 facilitates K48-620 linked ubiquitination of bacteria<sup>150</sup>. Parkin is required for the recruitment of p62 621 to the invading *M. tuberculosis*, whereas Smurf1 is dispensable for this process. 622 By contrast, Smurf1 is needed to target the proteasome to the bacteria, 623 whereas Parkin is not. The LRR-containing RING E3 ligase LRSAM1, which 624 shows E3 ligase activity for K6- and K27-linked polyubiquitin changes in vitro, is 625 required for the ubiquitination of several types of bacteria<sup>151</sup>. RNF166 is 626 recruited to bacteria and facilitates subsequent recruitment and catalyzes K33-627 linked ubiquitination of p62<sup>152</sup>. LUBAC generates linear polyubiquitin chains and 628 is activated upon Salmonella infection<sup>153–155</sup>. Notably, LUBAC localizes onto 629 bacteria that have been already coated with ubiquitin, suggesting that it 630 amplifies and refashions the ubiquitin coat<sup>154</sup>. Because this polyubiquitin chain 631 on invading bacteria recruits not only optineurin for xenophagy, but also Nemo 632 for activation of NF-kB, LUBAC-dependent recognition of the bacteria 633 coordinates the actions of the anti-bacterial response in higher eukaryotes<sup>154</sup>. 634 Xenophagy is facilitated by tethering of bacteria with autophagosomal 635 structures by receptor proteins which can simultaneously bind to LC3 and 636 ubiquitin (Fig. 6) <sup>156</sup>. p62 is recruited to invading Salmonella and suppresses 637 their growth in host cells in a manner dependent on its activity of ubiquitin 638

binding<sup>157</sup>. NDP52 plays a unique and essential role in xenophagy because it 639 also has galectin-binding domains in addition to ubiguitin-binding motif<sup>37</sup>. 640 Moreover, it has an another role in the expulsion of intracellular bacteria; 641 NDP52 binds to LC3 and MYOSIN VI to facilitate the maturation of bacteria-642 containing autophagosome<sup>158</sup>. Furthermore, NDP52 is required to recruit ULK1 643 complex to the bacteria in the cytosol, supporting the idea that autophagosomal 644 structure is formed on the targets rather than recruited from the distant 645 compartments to the bacteria<sup>38,52</sup>. NDP52 and p62 can be recruited to invading 646 Salmonella independently, but act in the same pathway as the simultaneous 647 knockdown of both receptors results in no additive increase in Salmonella 648 growth than each single knockdown<sup>159</sup>. It has been shown that OPTN promotes 649 xenophagy as a receptor protein and suppresses the proliferation of 650 Salmonella<sup>58</sup>. Knockdown of CALCOCO family protein TAX1BP1 causes an 651 increase in the number of ubiquitin-positive Salmonella and their hyper-652 proliferation<sup>160</sup>. Together with upstream regulators, LAMTOR1 and LAMTOR2, 653 TAX1BP1 facilitates maturation of autophagosome containing invading GAS, 654 and suppresses survival rate of GAS <sup>161</sup>. Tollip may also play a major role in 655 xenophagy of GAS, as it facilitates recruitment of galectin-7 and other receptor 656 proteins to invading GAS<sup>148</sup>. 657 In summary, the coordinated ubiquitination of factors derived from both host 658 and bacteria is critical for the recognition of targets for xenophagy. However, it 659

should be noted that the ubiquitination could not be always essential for

xenophagy. For example, Salmonella is co-localized with either diacylglycerol

(DAG) or ubiquitination, suggesting that DAG and ubiquitination pathway work
 independently <sup>162</sup>.

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- 665 **F**

# [H1] Autophagy of other cellular structures

In the following sections, we will provide a brief overview of some of the
 other autophagy pathways, with a particular focus on receptor proteins involved
 in each process.

- 669 [H2] ER-Phagy
- 670

The degradation of endoplasmic reticulum (ER) fragments by selective 671 autophagy is called ER-phagy or reticulophagy. In mammalian cells, there are a 672 number of ER-phagy receptor proteins. FAM134B, is an ER resident protein 673 containing a C-terminal LIR motif to specify the targeting of autophagic 674 membranes on ER<sup>163</sup>. RTN3, a member of the reticulon protein family, is 675 another ER-phagy receptor possessing multiple N-terminal LIR motifs and 676 functions independently of FAM134B<sup>164</sup>. In addition, SEC62<sup>165</sup>, TEX264<sup>166,167</sup>, 677 atlastin-3<sup>168</sup>, CCPG1<sup>169</sup>, and CALCOCO1<sup>170</sup> have all been recently identified as 678 ER-phagy receptors. Additionally, p62/SQSTM1 also aid in the removal of 679 excess ER from hepatocytes<sup>171</sup>. Furthermore, p62/SQSTM1 has been shown to 680 associate with K63-ubiquitinated TRIM13 to facilitate ER-phagy<sup>172</sup>. Amongst the 681 various ER-phagy receptors, CCPG1 is particularly interesting due to its 682 capacity to bind both LC3 proteins and FIP200 via distinct motifs and interaction 683 with both ATG proteins is essential for CCPG1-mediated ER-phagy<sup>169</sup>. It is 684

important to note that the ER-phagy receptors discussed above are already
localized on the ER, and therefore do not require ubiquitin to function as
receptors.

688

Recently, a genome-wide CRISPR/Cas9 screen revealed that 689 UFMylation, a ubiquitin-like posttranslational modification, is a critical regulator 690 of ER-phagy. The group found that UFL1 ligase translocated to the ER during 691 stress to UFMylate ER-resident proteins<sup>173</sup>, akin to the role of PINK1/Parkin in 692 tagging damaged mitochondria during mitophagy. In addition to this, another 693 group identified a highly conserved cytosolic ER-phagy receptor, called C53<sup>174</sup>. 694 C53 associates with autophagosomes during ER stress via a non-canonical LIR 695 motif. C53 is also recruited to the ER through UFL1 ligase and DDRGK1, thus 696 linking the recently discovered UFMylation pathway with the delivery of 697 phagophores to the ER to facilitate ER-phagy<sup>174</sup>. 698

699

# 700 [H2] Ribophagy

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Ribosomes may be degraded by autophagy through ribophagy<sup>175</sup>. Pharmacologic inhibition of mTOR, starvation, and arsenite were all shown to elicit ribophagy<sup>176</sup>. Nuclear FMR1 Interacting Protein 1 (NUFIP1) was demonstrated to function as a ribophagy receptor in mammals. Indeed, NUFIP1 can directly interact with LC3B and ribosomes to facilitate ribophagy, and reduction of NUFIP1 inhibits ribophagy<sup>177</sup>. However, recent work demonstrated that knocking out NUFIP1 did not perturb ribophagy and using proteomics

revealed that ribosomal delivery to lysosomes contributed very little to ribosomal
 abundance during starvation and mTOR inhibition<sup>178</sup>. Overall, more work is
 required to clarify the molecular components and role of mammalian ribophagy.

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# [H2] Ferritinophagy

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Selective autophagy can also modulate iron homeostasis through
specific degradation of ferritin, an iron sequestering protein. This process is
aptly termed ferritinophagy. Although iron is required for many biological
processes, high levels free iron can generate ROS. Ferritin is able to sequester
free iron and ensure intracellular iron homeostasis is within tolerated levels<sup>179</sup>.
However, when iron levels are low, ferritinophagy is initiated to release iron<sup>180</sup>.

Nuclear receptor coactivator 4 (NCOA4) is the receptor protein mediating 722 ferritinophagy<sup>181</sup>. NCOA4 associates with the heavy and light chains of ferritin, 723 as well as LC3 proteins<sup>181</sup>, and is required for erythropoiesis<sup>182</sup>. Interestingly, 724 NCOA4 was shown to interact with TAX1BP1 to facilitate the delivery of ferritin 725 to the lysosome, even in the absence of FIP200<sup>183</sup>. Additionally, the 726 researchers revealed that TBK1 is responsive to iron levels, and along with 727 TAX1BP1 and ATG9A, mediated the lysosomal delivery of ferritin in FIP200 KO 728 cells<sup>183</sup>. 729

730

# 731 [H2] Pexophagy

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Pexophagy is the selective autophagic degradation of surplus or damaged 733 peroxisomes. Both p62/SQSTM1 and NBR1 have been shown to participate in 734 pexophagy<sup>184,185</sup>. PEX2, a peroxisomal E3 ligase, was reported to ubiquitinate 735 peroxisomal membrane proteins upon starvation to induce pexophagy<sup>186</sup>. 736 Additionally, PEX2 activation and subsequent pexophagy induction requires 737 NBR1<sup>186</sup>. Peroxisomes generate ROS as a by-product of fatty acid  $\beta$ -oxidation. 738 Recently, ataxia-telangiectasia mutated kinase (ATM) was shown to translocate 739 to peroxisomes due to increased ROS production. ATM binds to and 740 phosphorylates the peroxisome import receptor PEX5, leading to PEX5 741 ubiguitination, which in turn targets p62/SQSTM1 to peroxisomes to facilitate 742 pexophagy<sup>187</sup>. 743

744

#### 745 [H1] Therapeutic opportunities

746

Since a common pathologic feature of many neurodegenerative diseases 747 is the accumulation of various pathogenic protein aggregates, there are many 748 therapeutic strategies focused on increasing autophagy flux in neurons that are 749 being developed to clear these aggregates<sup>188</sup>. Moreover, there are many 750 ongoing efforts to improve the clearance of damaged mitochondria by activating 751 mitophagy to aid Parkinson's diseases. Two examples include, inhibiting 752 USP30, a deubiquitinase that disassembles ubiquitin chains placed by Parkin 753 on OMM to stimulate the PINK1/Parkin pathway<sup>189</sup>, and upregulating bulk 754 autophagy<sup>190</sup>. Since a common pathologic feature of many neurodegenerative 755 diseases is the accumulation of various pathogenic protein aggregates, there 756

are many therapeutic strategies focused on increasing autophagy flux in
 neurons that are being developed to clear these aggregates<sup>188</sup>.

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In addition to these strategies, directing the autophagic machinery 760 directly to detrimental cargo may be a viable therapeutic approach (Fig. 5). 761 AMPK activates ULK1 during starvation-induced autophagy, while mTOR 762 inhibits ULK1<sup>191</sup>. However, mitochondrial tethering of ULK1 still induces 763 mitophagy even in AMPK KO cells or in cells overexpressing mTOR suggesting 764 these bioenergetic inputs can be bypassed during selective autophagy once 765 enough ULK1 is localized on cargo<sup>41</sup>. Indeed, this model was first proposed and 766 demonstrated for Atg1 in yeast cytosolic-to-vacuole targeting pathway<sup>192,193</sup>, 767 suggesting this is a conserved mode of ULK1 activation during selective 768 autophagy. Recently, Atg11 dimerization was demonstrated to cluster Atg1, 769 resulting in the cis-autophosphorylation of Atg1, further suggesting clustering of 770 Atg1 and ULK1 is sufficient to elicit its kinase activation<sup>194</sup>. These observations 771 suggest that selective autophagy initiation can be decoupled from energy 772 sensors that normally activate or repress bulk autophagy. Thus, a new strategy 773 to enhance cargo selective autophagy is to identify chemical compounds that 774 mimic the role of receptor proteins without the need to alter AMPK or mTOR 775 signaling. Compounds that mimic receptors may be able to induce not just 776 mitophagy, but also the degradation of various toxic intracellular targets, such 777 as prion-like proteins, known to cause neurodegenerative diseases. The design 778 of these compounds is similar to PROTACs<sup>195</sup>, but instead of targeting a E3 779 ligase to a substrate to engage the proteasome, these compounds instead 780

bridge cargo organelle and autophagy components. For example, a compound 781 able to simultaneously bind LC3 and huntingtin can diminish the levels of 782 aggregated huntingtin in vitro and in vivo<sup>196</sup>, which in turn effectively decreased 783 huntingtin's disease-related pathologies, at least in flies<sup>196</sup>. Furthermore, a 784 compound known as AUTAC, which is composed of an organelle-localizing 785 molecule fused with a guanine-derivative, is able to induce mitophagy<sup>197</sup>. A 786 promising therapeutic strategy is to develop permutations of "double-headed" 787 compounds able to link different cargo with various autophagy proteins to 788 pathogens, such as protein aggregates, damaged organelles, or bacteria. 789 These receptor-like compounds would have a distinct advantage over 790 increasing bulk autophagy by potentially avoiding the wholesale autophagic 791 degradation of healthy organelles and intracellular components. Thus, in the 792 foreseeable future, a repertoire of receptor-like compounds may hold the 793 promise for ameliorating various diseases by degrading disease-related 794 pathogens with great precision (Fig. 5). 795

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# [H1] Conclusions and Perspectives

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The newly defined capacity of receptor proteins to associate with upstream autophagy components provides a mechanism for the spatiotemporal control of selective autophagosome biogenesis. This model allows for the rational design of multi-specific compounds that can target various diseaserelevant pathogenic cargos for autophagic disposal. There are, however, still many open questions with respect to selective autophagy and its receptors. For instance, an aspect of selective autophagy which is not well-understood is

whether various receptors that work to eliminate the same cargo can provide 806 context-dependent control of selective autophagy by being activated only during 807 certain biological stimuli. Furthermore, understanding the cellular contexts and 808 molecular players that remodel the ubiquitylome on cargo organelles may offer 809 another layer of control for cargo selection due to the varying affinities of 810 ubiquitin-dependent receptors to various ubiquitin moieties. Thus, precisely how 811 various receptors are spatiotemporally coordinated, what restricts their function 812 only to certain cargos, and the physiologic relevance of the overlapping function 813 of some receptors, remain to be elucidated. Unraveling the processes 814 governing selective autophagy may help to generate pharmacologically viable 815 approaches to address several diseases. 816

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#### Conflicts of interest

J.N.S.V, M.H, T.K, R.J.Y and T.Y. declare no conflict of interest. This work was supported by the Intramural Program of the National Institute of Neurological Disorders and Stroke.

824 Author Contributions

M.H, T.K, and T.Y wrote and edited the sections on introduction,
 lysophagy and xenophagy, and created the figures and a table associated with
 these sections. J.N.S.V and R.J.Y wrote and edited the sections on mitophagy,
 aggrephagy, autophagy of other cellular structures, therapeutic opportunities,
 and conclusions and perspectives as well as created the figures associated with
 these sections.

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# 834 Figure Legends

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# Table 1. Receptor proteins involved in mammalian selective autophagy

Figure 1. Schematics of non-selective autophagy and Selective autophagy

Autophagy degrades cytoplasmic components sequestered by a double-840 membrane structure called autophagosome in manners both non-selective and 841 selective. Isolation membrane is generated at the autophagosome formation 842 sites upon a range of cues such as nutrient starvation. In the case of non-843 selective autophagy, the isolation membrane/phagophore is expanded to form 844 autophagosomes and sequester cytoplasmic components randomly, followed 845 by fusion with a lysosome that allows the contents to be digested by hydrolytic 846 enzymes. In the case of selective autophagy, autophagosomes are formed on 847 specific targets. Ubiquitination is a major, but not a prerequisite, factor for the 848 recognition of the targets to be degraded by selective autophagy. It facilitates 849 the recruitment of receptor proteins and tethering of the isolation membranes 850 with the targets, promoting the sequestration of them by autophagosomes that 851 are often bigger than regular autophagosomes generated by the non-selective 852 autophagy pathway. 853

854

# Figure 2. Receptor protein initiates de novo autophagosome formation and expansion during PINK1/Parkin mitophagy

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(1) Damage to mitochondria, such as loss of membrane potential, induces the 858 stabilization of PINK1, leading to ubiquitin phosphorylation and the recruitment 859 and activation of Parkin leading to increased conjugation of ubiquitin chains on 860 outer mitochondrial membrane proteins. (2) These ubiquitin chains then recruit 861 and stabilize receptor protein complexes on the damaged mitochondria through 862 their respective ubiquitin-binding domains. Here shown for instance, NDP52 863 and OPTN. TBK1 is recruited and activated on the mitochondria by virtue of its 864 interaction with NDP52, as well as OPTN, leading to TBK1 autoactivation and 865 corollary phosphorylation of NDP52 and OPTN (3) NDP52/TBK1 interacts with 866 FIP200 and thereby recruits and stimulates ULK1 activation by 867 autophosphorylation directly on the mitochondria. Furthermore, OPTN can 868

mitochondria. (4) Activated ULK1 complex can then recruit downstream 870 autophagy components to foster the de novo biogenesis of the phagophore 871 studded with lipidated-LC3 on the mitochondria (5) More receptor proteins are 872 recruited to the growing phagophore through their interaction with LC3 proteins 873 via their LC3-interacting regions, promoting the recruitment and activation of 874 more ULK1 complex to facilitate the expansion and maturation of the 875 phagosome. (6) The feedforward recruitment of ULK1 complex by NDP52/TBK1 876 and of ATG9A by OPTN/TBK1 allows efficient enclosure of cargo organelle by 877 the autophagosome followed by the subsequent formation of autolysosomes 878 and the degradation of the damaged mitochondria. 879

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#### Figure 3. Mitophagy in health and disease

A) The upkeep of the mitochondrial network requires a balance between 883 mitochondrial biogenesis and mitophagy to ensure that the requisite number of 884 optimally functioning mitochondria is maintained. Many factors can contribute to 885 mitochondrial damage, for example exposure to compounds that depolarize the 886 mitochondria. The bioenergetic requirements of neurons may also contribute 887 mitochondrial stress. Furthermore, normal aging may also result in various 888 pathways involved in mitochondrial biogenesis or mitophagy to become less 889 efficient. PINK1/Parkin-dependent mitophagy can specifically identify and 890 degrade suboptimal or damaged mitochondria, whilst sparing health ones to 891 preserve optimal mitochondrial function. However, mutations in various genes 892 known to facilitate mitophagy can lead to a block in the clearance of damaged 893 mitochondria resulting in their accumulation, which is a hallmark of various 894 neurodegenerative diseases, such as Parkinson's disease and Amyotrophic 895 Lateral Sclerosis (ALS). B) The buildup of damaged mitochondria can initiate 896 various pathomechanisms which are toxic to the cell. For instance, damaged 897 mitochondria can release mtDNA, which then triggers the cGAS/STING 898 pathway. The unmitigated activation of STING by mtDNA can lead to aberrant 899 inflammatory response and cell death. Furthermore, mitochondrial impairments 900 can lead to the release of cytochrome-c from the mitochondria to the cytosol 901 triggering apoptosis. Lastly, mitophagic defects results in the increase of 902 reactive oxygen species (ROS) and loss of ATP which then leads to 903 bioenergetic defects that cause accelerated aging. 904

#### 906 Figure 4. Schematic of Lysophagy

Various factors listed in the figure could cause lysosome membrane damage.
 Damaged lysosomes are labelled with Galectins, poly ubiquitinated, ELDR
 complex removes K48 ubiquitin chain then recruitment of receptors & Atgs to
 form autophagosome membranes.

911

# Figure 5. Receptor recruitment during aggrephagy promotes de novoautophagosome biogenesis

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A) During aggrephagy, p62 binds ubiquitinated misfolded proteins to form 915 condensates. NBR1 is then recruited by p62 filaments via its PB1 domain 916 resulting in larger ubiquitin-dense condensates due to the higher affinity UBA 917 domain of NBR1. Furthermore, the recruitment of another receptor, TAX1BP1, 918 to these condensates is facilitated by NBR1, leading to the delivery of the 919 FIP200/ULK1 complex. B) Ubiquitination of pathogenic aggregated proteins, 920 such as prion-like proteins that form insoluble fibrils and protein condensates 921 initiates selective autophagy by recruiting various receptor proteins. Of 922 particular importance, both p62 and TAX1BP1 recruit the ULK1 complex to 923 these aggregates through their association with FIP200. This event leads to the 924 clustering and the autoactivation of ULK1. FIP200 also serves as a platform for 925 the recruitment of various ATG components, such as ATG9A-containing 926 vesicles and the PI3K complex, which in turn promotes the de novo biogenesis 927 of autophagosomes directly on these aggregated protein substrates. Another 928 receptor protein, TOLLIP, is also recruited to protein aggregates via ubiquitin-929 binding to facilitate aggrephagy <sup>198</sup>. Lastly, although not receptor protein, ALFY 930 has been proposed to be important for the clearance of protein aggregates <sup>199</sup>. 931 C) Schematic of double-headed compounds that mimic receptor protein function 932 to target the autophagy machinery to specific intracellular cargos. Designer 933 molecules with multispecific affinity towards autophagy-related proteins and 934 organelle or proteotoxic aggregates, for example, can be used to localized 935 autophagy machinery to target cargos. The targeting of upstream autophagy 936 machinery, ULK1 complex for instance, may be sufficient to stimulate the de 937 novo formation of autophagosome around the cargo, prompting their 938 degradation through the autophagic pathway. p62: sequestosome-1; NBR1: 939 Neighbor of BRCA1 Gene 1 protein; PB1 domain: Phox and Bem1 domain; 940 UBA: Ubiquitin-associated domain; TAX1BP1: Tax1-binding protein 1; FIP200: 941

- FAK-interacting protein 200 kilodalton (also referred to RB1CC1; ULK1: Unc-
- <sup>943</sup> 51 Like Autophagy Activating Kinase 1; ATG9A: Autophagy-Related Protein 9A;
- 944 ALFY: autophagy-linked FYVE protein; TOLLIP: Toll-interacting protein.
- 945 946

947

### Figure 6. Schematics of Xenophagy

Bacteria invading into host cells are accompanied by host membrane,

sometimes generating niche structure for bacterial growth such as SCV

950 (Salmonella-containing vacuole) in case of Salmonella infection. Entering

<sup>951</sup> cytoplasm by rupturing the membrane, bacteria are labeled by galectin and

<sup>952</sup> ubiquitin, provoking recruitments of receptor proteins and machinery facilitating

<sup>953</sup> autophagosome formation. Receptor proteins tether bacteria and isolation

<sup>954</sup> membranes by binding both LC3 on the isolation membrane and ubiquitin on

<sup>955</sup> the bacteria. After the closure of the edge of the double membrane structure,

the bacteria-containing double-membrane structure is fused with lysosomes,

<sup>957</sup> followed by a break-down of the contents by lysosomal enzymes.

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1456	Damage-associated molecular pattern. Various molecules released during cell
1457	death via infection or damage. For instance, mtDNA released by apoptotic cells
1458	act as a DAMP and is recognized by Toll-like receptor 9 expressed by other
1459	cells, leading to inflammatory response.
1460	
1461	LLoMe
1462	L-Leucyl-L-Leucine methyl ester is a dipeptide that gets activated by lysosome
1463	enzyme like cathepsin and ruptures lysosomal membrane.
1464	
1465	ELDR
1466	Endo-lysosomal damage response. Cellular response triggered by lysosomal
1467	damage. ELDR complex contains ubiquitin-directed AAA-ATPase p97/VCP,
1468	deubiquitinating enzyme YOD1, cofactors UBXD1, PLAA.
1469	
1470	E3 ligase
1471	E3 ubiquitin ligases selectively modify proteins by covalently attaching ubiquitin.
1472 1473	Transcription factor EB (TFEB)
1473	Master regulator for lysosomal biogenesis.
1475	
1476	Prion-like proteins
1477	Proteins like prions, self-replicating protein aggregates. Causative for various
1478	neurodegenerative
1479	
1480	Calpain
1481	Calcium-dependent non-lysosomal cysteine proteases.
1482	
1483	Таи
1484	Protein functions to stabilize microtubules in axons. When
1485	hyperphosphorylated, it becomes insoluble aggregates, causative of dementias
1486	of nervous system such as Alzheimer's diseases and Parkinson's diseases.
1487	
1488	Amyloid β peptide aggregates
1489	amyloid plaques found in the brain of patients with Alzheimer's disease.
1490	Accumulated amyloid beta peptide takes sheet structure and forms an amyloid
1491	plaque.

1492

#### 1493 Huntingtin

Protein involves in axonal transport. Mutants are causative of Huntington'sdiseases.

1496

#### 1497 Alpha synuclein

Neuronal protein that regulates synaptic vesicle trafficking and neurotransmitter
 release. Aggregates of alpha-synuclein is insoluble fibrils found in patients with
 Parkinson's disease.

1501

### 1502 **TDP-43**

RNA-binding protein transactive response DNA binding protein 43. An RNA-

- <sup>1504</sup> binding protein which is mutated in amyotrophic lateral sclerosis (ALS).
- Furthermore, the aggregation of this protein is the neuropathological hallmark of
- 1506 ALS and frontotemporal dementia.
- 1507

# 1508 **FUS**

Fused in Sarcoma. A protein that functions as an RNA-binding protein.Mutations in FUS lead to early onset ALS.

1511 1512

1515

# β-oxidation

The process of breaking down fatty-acids, which in eukaryotes, is facilitated by the mitochondria.

# 1516 LPS

Lipopolysaccharide. A major component of outer membranes of gram-negative bacteria. It consists of lipid A, oligosaccharide and the O-antigen. The structure of lipid A and oligosaccharide is shared among many bacteria, but O-antigen is variable.

1521

### 1522 Galectins

Proteins termed S-type lectins which bind β-galactoside carbohydrates. They
 bind to glycoproteins on the inner membrane of endosomes, so endosomal
 membrane rupture causes the exposure of galectins to cytoplasm which works

- as a danger signal provoking selective autophagy.
- 1527

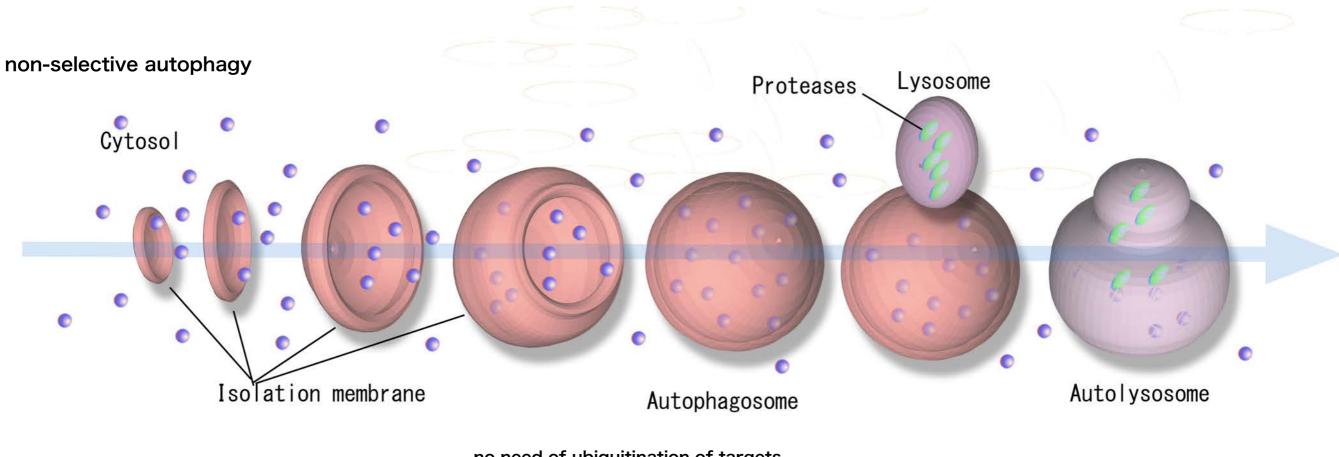
#### 1528 **PROTACS**

- <sup>1529</sup> PROteolysis TArgeting Chimeras. Heterobifunctional molecules that target E3
- ligase complexes to specific substrates to induce the ubiquitination and
- subsequent proteasomal degradation of the target.

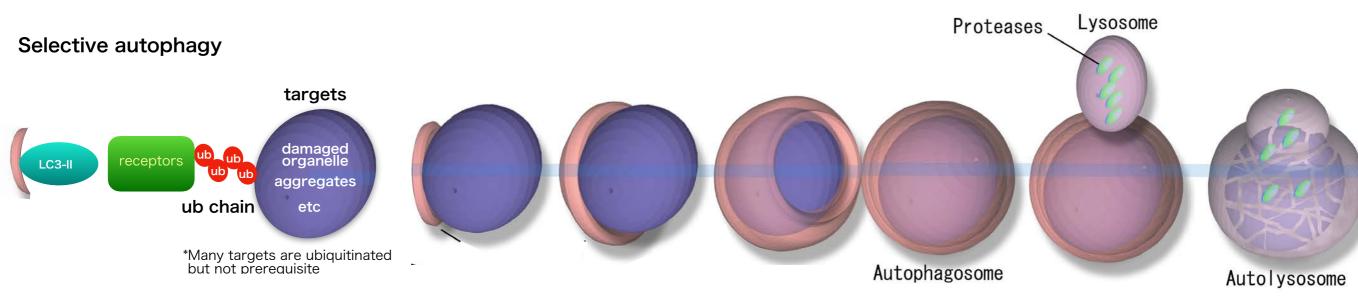
Table 1. Receptors involved in mammalian selective autophagy

Pathway	Substrate	Size	Mammalian autophagy receptors	E3	Refs	Disease related
Ub-dependent Mitophagy	Mitochondr ia	1-2 µm	NDP52, OPTN, p62, TAX1BP1, Tollip	Parkin	[31-32],[35],[40-41],[53],[64], [111]	Neurodegenerative diseases, in particular Parkinson's disease and Amyotrophic Lateral Sclerosis, cancer, accelerated aging, heart defects
Ub- independent Mitophagy	Mitochondr ia		NIX, BNIP3, FUNDC1, FKBP8, PHB2, NLRX1, AMBRA1, cardiolipin, ceramide, NIPSNAP1/2		Reviewed in detail in [42]	Neurodegenerative diseases, cancer, heart defects
Lysophagy	Lysosome	~1 µm	TAX1BP1, p62	FBXO27	[90-94]	Hypouricemic nephropathy, neurodegenerative diseases
Aggrephagy	Protein aggregate	~200 nm	p62, NBR1, OPTN, Tax1bp1		[108-110],[118],[123]	Implicated in many neurodegenerative disorders characterized by the accumulation of prion-like proteins
Xenophagy	Bacteria	1-5 µm	NDP52, p62, OPTN, TAX1BP1, Tollip	LRSAM1, Parkin, Smurf1, LUBAC, RNF166	[9],[38], [58], [140], [149-161]	Infectious diseases (e.g. Streptococcal infection and Shigellosis)
ERphagy	ER	1-5 µm	FAM134B, SEC62, RTN3, CCPG1, ATL3, TEX264		[163-174]	spastic paraplegia, autosomal-dominant hereditary sensory neuropathy
Ribophagy	Ribosomes	~500 nm	NUFIP1	UFL1	[175-178]	
Ferritinophagy	Ferritin	≥12 nm	NCO4A		[181-183]	Implicated with iron-dyshomeostasis in neurodegenerative diseases, cancer
Ub-dependent Pexophagy	Peroxisome	~500 nm	NBR1, p62		[184-187]	

Fig 1. Model of non-selective autophagy vs selective autophagy

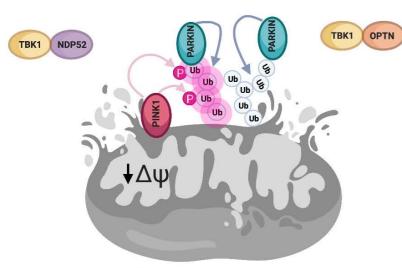


no need of ubiquitination of targets

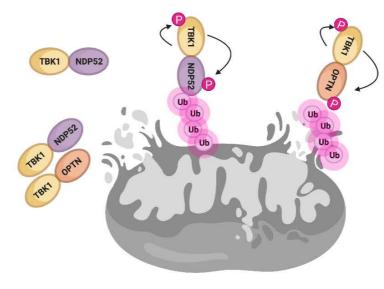


isolation membrane/phagophore sequesters along the specific targets that are ubiquitinated

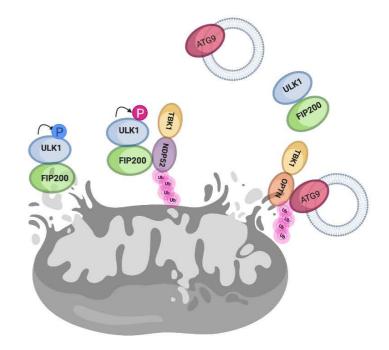
Fig 2. Receptor protein initiates de novo autophagosome formation and expansion during PINK1/Parkin mitophagy



(1) PINK1/Pakin recruitment to damaged mitochondria and generation of S65-PO4 ubiquitin chains on OMMs

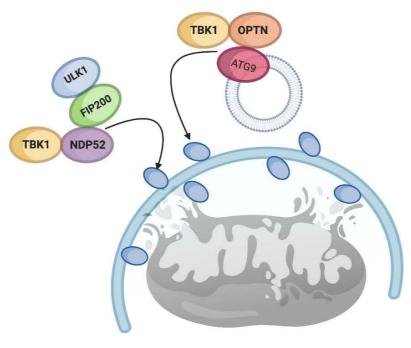


(2) Recruitment of NDP52/TBK1 proteins to mitochondria by S65-PO4 ubiquitin chains

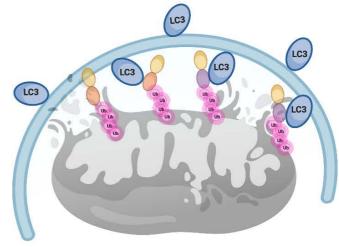


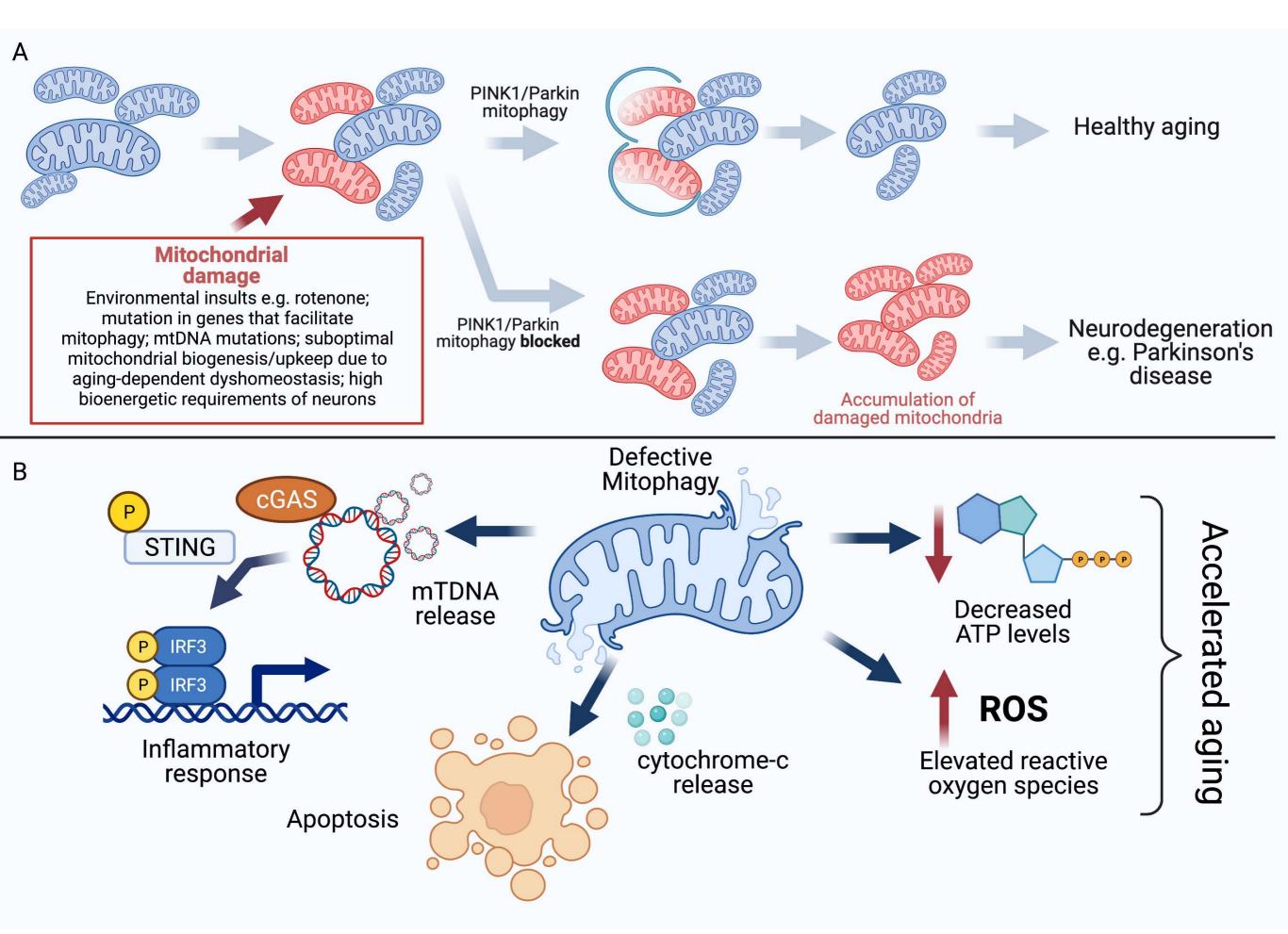
(3) Recruitment of ULK1 complex though interation between FIP200 and NDP52/TBK1 and ATG9A by OPTN

**(6)** Autophagosome closure, autolysosome formation and cargo degradation (5) LC3-dependent recruitment of NDP52 and OPTN to maturing phagosome to facilitate membrane expansion



(4) De novo phagophore biogenesis, downstream ATG recruitment and LC3-lipidation





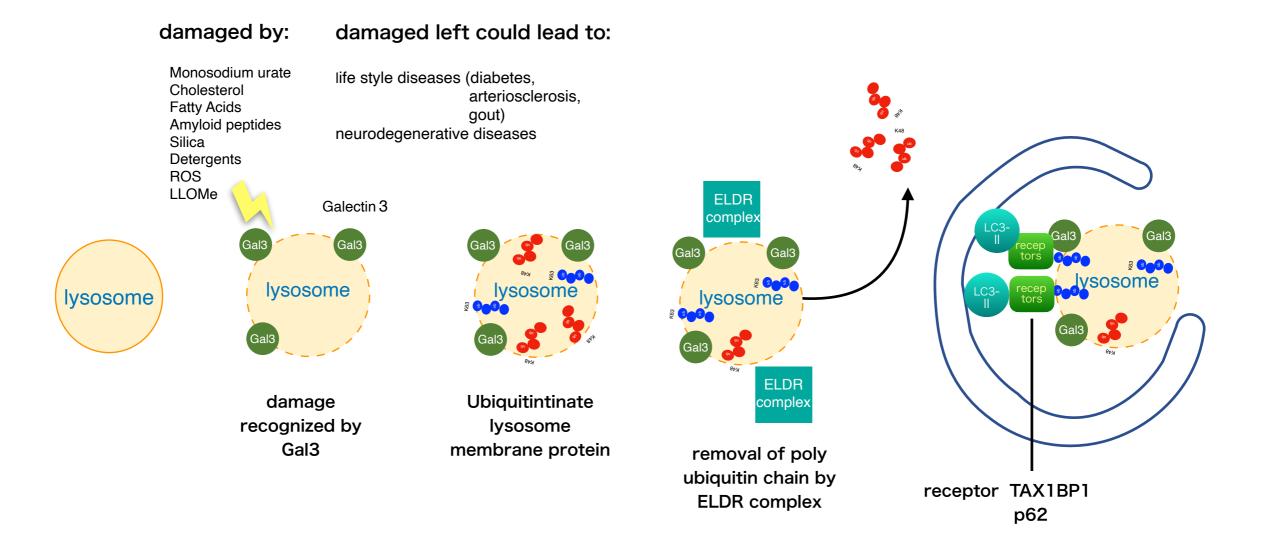


Fig 5. Receptor recruitment during aggrephagy promotes de novo autophagosome biogenesis

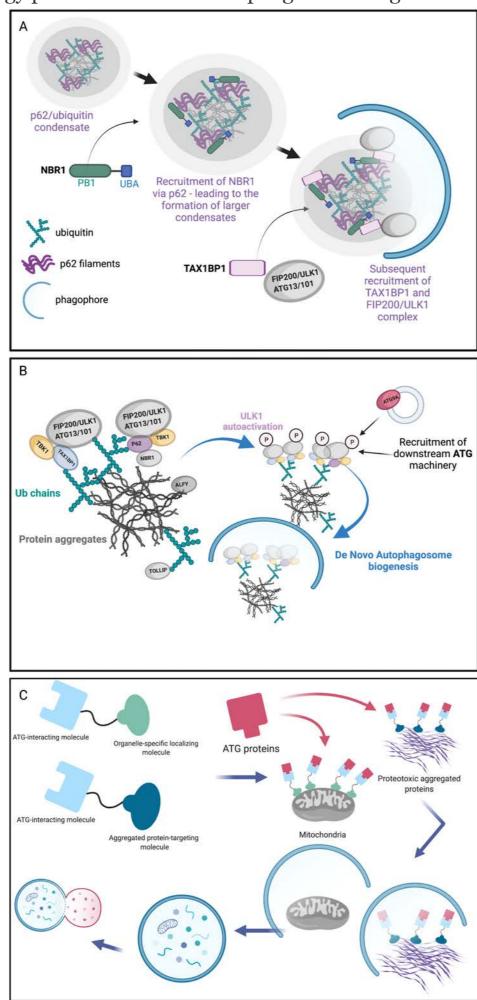


Fig 6. Schematics of enophagy

