### Title

Randomisation to a Liberal versus Conservative Oxygenation Target: Redox Responses in Critically ill Children

## Authors

Gareth A. L. Jones MBChB, MRCPCH <sup>1,2\*</sup>, Simon Eaton PhD <sup>3</sup>, Michael Orford PhD <sup>3</sup>, Samiran Ray MBBChir, MA, PhD, MRCPCH <sup>1,2</sup>, Daisy Wiley BSc <sup>4</sup>, Padmanabhan Ramnarayan MBBS, MD, FRCPCH, FFICM <sup>5,6</sup>, David Inwald MBBChir, FRCPCH, FFICM, PhD <sup>6</sup>, Michael P W Grocott MBBS, MD, FRCP, FRCA, FFICM <sup>7</sup>, Michael Griksaitis MBBS, MRCPCH, FFICM <sup>7,8</sup>, John Pappachan MBBChir FRCA, FFICM <sup>7,8</sup>, Lauran O'Neill RN BSc (Hons) <sup>2</sup>, Paul R. Mouncey MSc <sup>4</sup>, David A. Harrison PhD <sup>4</sup>, Kathryn M. Rowan PhD <sup>4</sup> and Mark J. Peters MBChB PhD, MRCP, FRCPCH <sup>1,2</sup> on behalf of The Oxy-PICU Investigators for the Paediatric Critical Care Society Study Group (PCCS-SG)

## **Author details**

<sup>1</sup> Respiratory Critical Care and Anaesthesia Unit, UCL Great Ormond Street Institute of Child Health, London WC1N 1EH, UK. <sup>2</sup> Paediatric Intensive Care Unit, Great Ormond Street Hospital, London, UK. <sup>3</sup> Stem Cells and Regenerative Medicine Section, UCL Great Ormond Street Institute of Child Health, London, UK. <sup>4</sup>Clinical Trials Unit, Intensive Care National Audit and Research Centre (ICNARC), Napier House, High Holborn, London, UK. <sup>5</sup>Children's Acute Transport Service, Great Ormond Street Hospital, London, UK. <sup>6</sup> Paediatric Intensive Care Unit, Addenbooke's Hospital, Cambridge University Hospitals NHS Trust, Cambridge, UK. <sup>7</sup>Anaesthesia Perioperative and Critical Care Research Group, Southampton NIHR Biomedical Research Centre, University Hospital Southampton / University of Southampton, Southampton, UK. <sup>8</sup> Paediatric Intensive Care Unit, Southampton Children's Hospital, University Hospital Southampton NHS Foundation Trust, Southampton, UK. <sup>9</sup> The NIHR Biomedical Research Centre, Southampton, UK.

\*Corresponding author:

Gareth A. L. Jones MBChB, MRCPCH

Paediatric Intensive Care Unit, Great Ormond Street Hospital, London, UK.

Phone: 020 7405 9200 extension 0032

Email: G.Jones@ucl.ac.uk

## **Author contributions**

G.A.L.J, S.E, M.J.P, and S.R conceptualised the article. G.A.LJ., M.O., S.E. undertook laboratory analyses. G.A.L.J, S.E, M.J.P, S.R. analysed the data with input from D.W., P.R.M and D.A.H. G.A.L.J, S.E, M.J.P wrote the initial drafts with all authors providing critical feedback and edits to subsequent revisions. All Authors approved the final draft of the manuscript.

## **Grants and gifts**

This study was funded by Great Ormond Street Hospital Children's Charity. Registered Charity No. 235825 and supported by the National Institute for Health Research (NIHR) Great Ormond Street Hospital Biomedical Research Centre. GALJ was funded by the National Institute for Health Research Academic Clinical Fellowship scheme. The views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the UK Department of Health. MG is part funded though the NIHR Senior Investigator scheme and via Southampton NIHR Biomedical Research Centre.

## **Conflicts of interest**

No conflicts of interest to declare.

## **Ethics approval**

Ethical approval granted from the United Kingdom Health Research Authority (212228) and National Research Ethics Service (16/ SC/0617).

## Manuscript subject: 4.11 Pediatric Critical Care

## Key Words:

Pediatric Intensive Care Units; Reactive Oxygen Species; Hyperoxia; Pulmonary ventilation; Redox responses; Oxygen

## Manuscript word count (including abstract): 3117

**Copyright Form Disclosure:** Drs. Jones, Ray, Harrison, and Peters' institutions received funding from the Great Ormond Street Hospital Children's Charity. Drs. Jones and Harrison's institutions received funding from the UK National Institute for Health Research (NIHR). Dr. Eaton's institution received funding from Vitaflo; he disclosed that he is an inventor on a patent licensed to Vitaflo and UCL. Dr. Ray received support for article research from the NIHR Great Ormond Street Hospital Biomedical Research Centre; he disclosed consultancy work with La Roche Ltd. Dr. Grocott disclosed that he is a Co-investigator UK-ROX trial of oxygen therapy in critically ill adults. Drs. Mouncey and Rowan's institutions received funding from the UK NIHR Health Technology Assessment program. Dr. Mouncey received support for article research from the UK NIHR. Dr. Peters' institution received funding from the Paediatric Critical Care Society; he received funding from the UK NIHR Health Technology Assessment Program. The remaining authors have disclosed that they do not have any potential conflicts of interest.

### Abstract

#### Rationale

Optimal systemic oxygenation targets in pediatric critical illness are unknown. A U-shaped relationship exists between blood oxygen levels and Pediatric Intensive Care (PICU) mortality. Redox stress or iatrogenic injury from intensive treatments are potential mechanisms of harm from hyperoxia.

### **Objectives**

To measure biomarkers of oxidative status in children admitted to PICU and randomized to Conservative (SpO<sub>2</sub> 88-92%) versus Liberal (SpO<sub>2</sub>>94%) peripheral oxygenation targets.

#### Design

Mechanistic sub-study nested within the Oxygen in Paediatric Intensive Care (Oxy-PICU) pilot randomized feasibility clinical trial (clinicaltrials.gov; NCT03040570).

### Setting

Three United Kingdom mixed medical and surgical PICUs in University Hospitals.

### Patients

Seventy-five eligible patients randomized to the Oxy-PICU randomized feasibility clinical trial.

### Interventions

Randomization to a Conservative (SpO<sub>2</sub> 88-92%) versus Liberal (SpO<sub>2</sub>>94\%) peripheral oxygenation target.

#### Measurement

Blood and urine samples were collected at two timepoints; <24 hours and up to 72 hours from randomisation in trial participants (March-July 2017). Plasma was analysed for markers of ischaemic/oxidative response, namely thiobarbituric acid-reactive substances (TBARS; lipid peroxidation marker) and ischaemia modified albumin (protein oxidation marker). Total urinary nitrate/nitrite was measured as a marker of reactive nitrogen species (RONS). Blood hypoxia-inducible factor (HIF)-1a mRNA expression (hypoxia response gene) was measured by Reverse transcription polymerase chain reaction.

### Main Results

Total urinary nitrate/nitrite levels were greater in the liberal compared to conservative oxygenation group at 72 hours (median difference 32.6  $\mu$ mol/mmol of creatinine [95% Cl 13.7-93.6], p<0.002, Mann-Whitney test). HIF-1a mRNA expression was increased in the conservative group compared to liberal in <24-hour samples (6.0-fold [95% Cl 1.3-24.0], p=0.032). There were no significant differences in TBARS or ischaemia modified albumin.

### Conclusions

On comparing liberal with conservative oxygenation targets we show, first, significant redox response (increase in urinary markers of RONS), but no changes in markers of lipid or protein oxidation. We also show what appears to be an early hypoxic response (increase in HIF-1a gene expression) in subjects exposed to conservative rather than liberal oxygenation targets.

Abstract Word count: 305 words (excluding titles)

## **Information boxes**

## **Research in Context**

- There remains clinical uncertainty in the evidence from adult trials of conservative versus liberal oxygen targeting
- In children admitted to the PICU there is an association between exposure to extremes of SpO<sub>2</sub> or PaO<sub>2</sub> and mortality
- Oxidative damage may be a mechanism of harm in hyperoxia in experimental models, but the presence of such a mechanism has not been studied in the PICU

## At the bedside

- In ventilated children requiring supplemental oxygenation, undergoing targeted support, we have identified differences in biological indicators of redox signalling and the hypoxia gene expression response
- We have observed increased redox signalling after randomized exposure to liberal rather than conservative oxygenation target. We have also observed hypoxic response within 24 hours of randomization to conservative rather than liberal oxygenation target.
- The contribution of iatrogenic injury associated with achieving liberal oxygenation targets is unknown. Future biomarker studies should focus on whether redox and hypoxic responses translate into clinically significant differences in patient outcomes.

We do not know whether we should be targeting higher or lower peripheral oxygenhemoglobin saturation (SpO<sub>2</sub>) or arterial oxygen tension (PaO<sub>2</sub>) values in critically-ill children (1–4). There are no randomised control trials (RCTs) to inform this decision in the Pediatric Intensive Care unit (PICU). In critically-ill adults, although there are RCTs, the evidence of harm or benefit remains uncertain (5–9). The *Improving Oxygen Therapy in Acute-illness* systematic review and meta-analysis (with 16,037 adults) suggested harm with a liberal oxygen target (relative risk [RR] for death 1·21, 95% confidence interval [CI] 1·03–1·43) (10).

In theory, harm with liberal clinical oxygen use may occur as a direct consequence of hyperoxia, or some indirect effect of an intervention also used to increase SpO<sub>2</sub>/PaO<sub>2</sub> besides administering more oxygen, like mechanical ventilation and ventilator-induced lung injury (11). Regarding, the direct mechanism, the products of metabolic reduction-oxidation (redox) reactions may cause oxidative damage to proteins, nucleic acids, lipids, and carbohydrates and contribute to cell death or change of function. Some of these products – reactive oxygen and nitrogen species (RONS) – also have essential roles in redox-responsive signalling (11, 12). Thus linking fluctuations in tissue oxygen tension with activation of nuclear transcription factors, such as hypoxia inducible factor (HIF)-1a in response to hypoxia, and other nuclear factors in response to hyperoxia (13). Together, this physiology maintains redox balance and enhances cell survival during hypoxia or hyperoxia (14). Clinically, the production of RONS can be followed using urinary nitrate level as a surrogate (15, 16). Potential harmful effects of RONS during hypoxic or ischaemic conditions can be followed by measuring thiobarbituric acid-reactive substances (TBARS) or ischaemia modified albumin (IMA), respectively (14, 17).

In this exploratory mechanistic study we aimed to quantify the presence of hypoxic and hyperoxic responses using biomarkers of oxidative stress in a heterogenous population of critically-ill children randomised to two SpO<sub>2</sub> targets in the *Oxygen in Pediatric Intensive Care* 

(Oxy-PICU trial) (18). The null hypothesis was no differences in HIF-1a expression, TBARS, IMA or total nitrate/nitrite production in response to the two different SpO<sub>2</sub> targets.

### Methods

#### **Oxy-PICU** trial

The Oxy-PICU multicentre pilot RCT examined the feasibility of a trial comparing conservative (SpO<sub>2</sub> 88-92%) versus liberal (SpO<sub>2</sub>>94%) saturation targets in emergency PICU admissions receiving mechanical ventilation (Table 1). This pragmatic pilot feasibility trial did not specify strategies for maintaining SpO<sub>2</sub> targets. Health Research Authority (212228) and National Research Ethics Service (16/SC/0617) approvals were obtained and the protocol registered in advance (clinicaltrials.gov NCT03040570; February 2, 2017). The protocol and clinical results have been published previously (18, 19). A 'research without prior consent' approach was used, which was deemed appropriate in emergency situations where delay in commencing treatment allocation may be detrimental and when the treatments being compared are within the range of normal practice. Parents/legal representatives were approached as soon as practical after randomisation to obtain consent for continued inclusion in the study.

## Randomisation and sampling

Participants were randomised (1:1) to either the conservative or liberal SpO<sub>2</sub> group by a secure web-based computer-generated dynamic procedure (minimisation) with a random component (80% chance of allocation to the group that minimises imbalance). Minimisation was performed on: age (<12 months vs.  $\geq$ 12 months); study site; primary reason for admission (lower respiratory tract infection vs. 'other'); and severity of abnormality of gas exchange (saturation to fraction of inspired oxygen ratio <221 with positive end expiratory pressure/continuous positive airway pressure >5 cm H<sub>2</sub>O vs. other). Participants had two blood samples of 1 mL and one urine sample of up to 5 mL collected at two timepoints: within 24-hours and at 72-hours post-randomisation (or immediately before removal of invasive sampling lines in patients with a shorter length of stay). Samples were taken alongside clinical samples to avoid additional venepuncture or accessing of indwelling lines. Urine samples were taken from indwelling catheters, urine bags, cotton wool collection or directly into a urine pot. Blood was collected in lithium-heparin tubes and immediately separated into plasma and cellular components with a cooled (4°C) centrifugation at 2000g. The cellular pellet was suspended in RNAlater<sup>™</sup> solution to preserve RNA stability. In exceptional circumstances where a delay in processing occurred, samples were stored at 4°C and processed within 30 minutes of collection. Samples were stored at the local hospital at minus 80°C before transportation on dry ice to a nearby University laboratory.

Plasma was analyzed for markers of oxidative/ischaemic stress. Spectrophotometric IMA measurement was based upon the cobalt binding assay of Bar-Or et al (20). IMA was expressed as a proportion of total serum albumin for each sample to standardize measurement. In the TBARS assay, sample (or standards) were added to TBA with oxidation products of unsaturated lipids reacting to form compounds detected by spectrophotometry (21). Urine was analyzed for nitrite and nitrate levels as markers of RONS as previously described by Miranda et al (22). Total urinary nitrate/nitrite values were expressed as a ratio to urinary creatinine to standardize measurement. Whole blood HIF-1a RNA expression was measured using reverse transcription-polymerase chain reaction (RT-PCR). RNA extraction was performed as per the Ribopure RNA purification kit (Blood) and subsequent cDNA synthesis

using the SensiFAST cDNA synthesis Kit (Bioline). cDNA yield was estimated prior to gene expression analysis of HIF-1a (gene of interest) and glyceraldehyde-3-phosphate dehydrogenase (GAPDH; housekeeper reference gene) using RT-PCR with Sensifast SYBR Hi-ROX kit (Bioline). The point in PCR cycling where gene amplification exceeded background signal (Cycle Threshold; C<sub>T</sub>) was compared between GAPDH and HIF-1a (delta C<sub>T</sub>). Lower C<sub>T</sub> corresponded to higher gene expression.

#### Statistical analysis

Graphpad Prism 8.3.0 and R programming language was used within R Studio Integrated development environment for Statistical analysis (23). We tested the null hypothesis at timepoints one and two. The results were presented as medians and interquartile ranges (IQR). Comparison of groups was carried out using an unpaired Mann-Whitney test. A secondary paired analysis was completed where available sample numbers allowed.

To explore the association between the oxygen dose and biomarker response, we used an SpO<sub>2</sub>-time area under the curve prior to sampling as a measure of tissue exposure to oxygen. This was analysed using Spearman correlation coefficients as part of a univariable analysis, and using a mixed effects regression model with SpO<sub>2</sub>-time area under curve, age, trial allocation and sampling timepoint (one or two) as fixed effect variables, and patient identifier as a random effect variable. The SpO<sub>2</sub>-time area under the curve value is largely influenced by the timepoint as SpO<sub>2</sub> was kept within a narrow range of values; however, there is potential for selection bias in timepoint according to allocation (those in the conservative arm may liberate from support sooner and potentially less likely to have vascular sampling access in place for timepoint 2 samples. Hence, we used time-point and trial allocation as an interaction term. An a priori power analysis was not possible due to unknown variability of distribution of markers of oxidative stress in this population.

### Results

One hundred and seven critically-ill children were randomised in this feasibility study (Figure 1). Four participants declined consent for sampling. Seventy-five of 103 (73%) consented participants had one or more samples available (Conservative n=36; liberal n=39). Fewer samples were available at timepoint 2 for reasons including participants no longer being ventilated, staff not being available for sample processing and/or no indwelling urinary or vascular access (Supplementary table 1). Samples available for analysis at each timepoint varied due to available sample volumes and amount of cDNA extraction available for RT-PCR. Admission comorbidities at randomisation were similar between intervention groups.

### Markers of oxidative stress

One-hundred and two samples were measured for TBARS, with a median level of 4.7  $\mu$ M [IQR 3.5-6.4]). TBARS levels were not significantly different between conservative and liberal arms at timepoint 1 (conservative 4.4 $\mu$ M [IQR 3.2-5.5]; liberal 4.5 [IQR 3.2-7.2]; p=0.375; or time point 2 (conservative 5.1 [IQR 3.7-7.1]; liberal 4.9 [IQR 4.0-6.4]; p=0.815; Figure 2A). Ninety-six samples were measured for IMA, with a median level expressed as a corrected ratio to albumin was 0.0097 [IQR 0.0079-0.0113]. IMA was not significantly different between conservative and liberal arms at time point 1 (conservative 0.0089 [IQR 0.0068-0.0114]; liberal 0.0097 [IQR 0.0098 [IQR 0.0098

Sixty-eight samples were measured for total urinary nitrate plus nitrite concentration, with a median of 78.4310  $\mu$ mol/mmol [IQR 50.49-111.65]. Total nitrite/nitrate levels were significantly greater in the liberal oxygenation group at timepoint 2 compared to the conservative group (p<0.002, median difference 32.6, [95% CI 13.7-93.6], Mann-Whitney test Figure 2c). There was a significant increase in nitrate/nitrite levels in the liberal group between timepoint 1 to timepoint 2 (median difference; 26.1 $\mu$ mol/mmol creatinine, p=0.049, [95% CI - 10.19 to 65.38]) in contrast to the conservative group (0.78  $\mu$ mol/mmol creatinine, [95% CI - 130.8 to 24.66], p=0.6, Wilcoxon test). When the analyses were repeated in the subset of cases (liberal n=14; conservative n=8) with both time points available, we observed a similar result of an increase in nitrate/nitrite levels in the liberal group (p= 0.031 median difference 26.11, [95% CI= -8.661 to 63.27]).

### Response to hypoxia

HIF-1a gene expression was significantly increased in the conservative group compared to liberal group at timepoint 1 (Figure 3, p=0.032), corresponding to a 6.0-fold [95% CI 1.3-24.0] fold higher expression. HIF-1a expression was similar between groups at timepoint two. There were no differences in HIF-1a expression observed on paired analyses between timepoints (Conservative arm p= 0.21, liberal arm p = 0.56).

### Biomarker oxygen dose-response relationship

Univariable analysis of all biomarkers according to dose of exposure to oxygen (SpO<sub>2</sub> -time AUC) found no significant relationship between oxygen exposure prior to sampling and biomarker levels (Spearman correlation coefficient; IMA 0.18 [95% CI -0.01, 0.36]; TBARS 0.13

[95% CI -0.07, 0.31]; Nitrate/nitrite -0.14 [95% CI -0.37, 0. 10]; HIF-1a -0.12 95% [CI -0.35, 0.13]). IMA was positively associated with SpO<sub>2</sub>-time AUC in the multivariable model, although the effect size was small, with a 7% increase in IMA for a 1% increase in the SpO<sub>2</sub>-time AUC (Beta coefficient 0.07 [95% CI 0.01, 0.12]). There were no significant associations between TBARS, Nitrate/nitrite or HIF-1a and SpO<sub>2</sub>-time AUC (Beta coefficient; TBARS 0.01 [95% CI -0.09, 0.10]; Nitrate/nitrite -3 x 10<sup>-6</sup> [95% CI -0.19, 0.19]; HIF-1a 0.01 [95% CI -0.41, 0.42]; Supplementary table 2).

### Discussion

In this exploratory study of redox-response nested within a pilot RCT of oxygenation targets, we demonstrate an association between a liberal oxygenation target and significantly lower HIF-1a gene expression, with subsequent increase in biomarkers of RONS production. These changes occurred in the absence of significant changes in markers of lipid peroxidation or protein oxidation. Numbers of clinical trial participants with available samples (71%) were similar in the liberal (n=39) and conservative (n=36) groups. This compares favourably with the adult *Conservative Oxygen Therapy during Mechanical Ventilation in the ICU* (ICUrox) nested study of markers of oxidative stress in participants randomised to a conservative SpO<sub>2</sub> threshold (normal practice of >90% or conservative SpO<sub>2</sub> targets of <97%.) (24). The ICUrox sub-study also found no significant effect of conservative oxygenation therapy on markers protein or lipid oxidation despite a significant difference in PaO<sub>2</sub> between intervention groups.

The increase in the urinary marker of RONS that we have demonstrated provides a potential mechanism of hyperoxic respiratory tissue damage in mechanically ventilated critically-ill children via direct oxidative injury. The contribution of these oxidative responses from iatrogenic injury of intensive care treatments to achieve saturation targets cannot be completely appreciated from our results. In animal and in vitro human cell studies of hyperoxia, an increase in RONS occurs due to excess oxygen utilisation leading to redox imbalance (25, 26). RONS are key effectors of damage in animal models of hyperoxia associated lung injury via protein, lipid and carbohydrate oxidation and activation of the innate immune response (27, 28). Animal models of hyperoxia associated lung injury demonstrate similar histopathology to acute respiratory distress syndrome with subsequent fibrosis and reduction in pulmonary function (29). Response to oxygen levels is tissue specific within respective microenvironments (30). It is not possible to conclude the relative contributions of specific tissues to the oxidative signals we have measured.

The primary outcome of the pilot Oxy-PICU study was feasibility. In this sub-study IMA and TBARS serve as surrogate markers of oxidative stress in the absence of clinical outcomes of trial participants. Much of the TBARS signal comes from malondialdehyde (MDA); the stability of MDA under biological conditions is variable. Raised MDA levels in critically-ill adults with sepsis can be used in prognostication of 30-day mortality but the specificity of the assay used can influence measurement (31). The absence of significant changes in IMA and TBARS may represent a true insignificant effect of conservative versus liberal SpO<sub>2</sub> targets or that measured RONS were contributing to redox signalling rather than redox stress (32). Conversely, given that both HIF-1a mRNA and total urinary nitrate/nitrite showed significant changes, our results may reflect insufficient power to detect a significant difference in crude markers of protein and lipid oxidation. Based on our results, we calculated post-hoc sample size estimates for each biomarker to detect a difference between the conservative and liberal groups at timepoint 2. To detect any difference with 80% power and an alpha of 0.05, for IMA 621 samples per group would be needed; for TBARS 640 samples per group; for HIF-1a 46 samples per group. For RONS, 17 samples per group would be needed to detect any difference

(Supplementary table 4); 14 samples per group would be needed to detect higher levels of RONS in the liberal group compared to the conservative group (i.e. a one-sided difference).

In our study, the early increase in HIF-1a mRNA expression without increased RONS markers in the conservative group demonstrates a response in the absence of potentially damaging tissue ischaemia or redox stress. Our trial represents utilisation of a physiologically appropriate conservative SpO<sub>2</sub> target as seen in the Liberal or Conservative Oxygen Therapy for Acute Respiratory Distress Syndrome (LOCO<sub>2</sub>) trial (SpO<sub>2</sub> targets of 88-92 or >96%) that causes relative hypoxic stress without redox imbalance in a heterogenous patient population as seen in the ICUrox trial. We demonstrate an increase in HIF-1a mRNA expression but not a resultant increase in the translation of HIF-1a nuclear factor. HIF-1a and Nrf2 nuclear factors each have over 200 target genes including those regulating cellular survival (33). Further work, for instance RNAseq-based transcriptomics, is necessary to explore the precise pathways that are downstream HIF-1a and Nrf2 targets.

We are not able to conclude whether increases in urinary nitrate/nitrite at timepoint two in the liberal study group are due to increases in redox signalling or due to decreased production (or increased consumption) in the conservative group. Our analysis did not consider factors that lower levels of nitrate/nitrite (via decreased production, increased consumption or reduction) or varying nitrogen load which may contribute to RONS production from other sources, (i.e. diet, parenteral nutrition, immune or epithelial cells induced nitric oxide synthase) (34). The relative contributions of the globin superfamily of proteins that convert nitrite to other nitrogen species were not available in our analysis (35). Although not measured in our study, the presence of bacteria (or absence due to antibiotic administration) in urine would have enabled us to comment on potential bacterial denitrification reducing measured nitrates/nitrites levels (36). The TBARS assay we used primarily measures MDA but

also other minor products of lipid peroxidation; whilst MDA may itself react to form other unmeasured products (37). Further studies should use a more specific test of lipid peroxidation.

The number of patients recruited for this mechanistic sub study was limited and we note that the distribution of some participant diagnoses between the liberal and conservative groups is unequally distributed. We recognise that sample timings in our study, in particular timepoint 2, were variable. Study samples were only taken when routine clinical samples were taken to avoid oversampling. In the case of the timepoint 2 samples, this led to both variability of when samples were taken and the availability of samples (Supplementary Table 1). Interestingly, in our multivariable analysis there was a positive association between SpO<sub>2</sub>-time area under the curve and IMA, but no significant associations between the TBARS, Nitrate/nitrite and HIF-1a, and SpO<sub>2</sub>-time area under the curve.

In conclusion, we have demonstrated significant biological responses of randomisation to liberal versus conservative peripheral oxygenation targets in critically-ill ventilated children that appear early in critical illness. These responses range from changes in total urinary nitrate and nitrite, through to a genetic response in HIF-1a mRNA production. As Oxy-PICU was a pilot feasibility trial with a primary outcome of feasibility, we are not able to conclude whether these responses represent physiologically appropriate redox signalling or redox stress that may lead to harm. A larger definitive Oxy-PICU clinical study is currently aiming to recruit 2040 participants and will achieve 90% power in detection of a combined end point of mortality and length of organ support (38). Measurement of markers of redox stress and their downstream targets in this larger study could reveal more about the biological response to a conservative SpO<sub>2</sub> target.

### Acknowledgements

The authors would like to thank the medical and nursing staff at each trial site, the Paediatric Critical Care Society Study Group, and the patients and their families who contributed to this study. We are also grateful to members of the Trial Steering Committee and Data Monitoring Committee for their assistance in performing this study.

## References

- 1. Numa A, Aneja H, Awad J, et al.: Admission hyperoxia is a risk factor for mortality in pediatric intensive care. *Pediatr Crit Care Med* 2018; 19:699–704
- Raman S, Prince NJ, Hoskote A, et al.: Admission Pa o 2 and Mortality in Critically III Children: A Cohort Study and Systematic Review. *Pediatr Crit Care Med* 2016; 17:e444– e450
- Sznycer-Taub NR, Lowery R, Yu S, et al.: Hyperoxia Is Associated With Poor Outcomes in Pediatric Cardiac Patients Supported on Venoarterial Extracorporeal Membrane Oxygenation. *Pediatr Crit Care Med* 2016; 17:350–8
- Balcarcel DR, Coates BM, Chong G, et al.: Excessive Oxygen Supplementation in the First Day of Mechanical Ventilation Is Associated With Multiple Organ Dysfunction and Death in Critically III Children. *Pediatr Crit Care Med* 2022; 23:89–98
- 5. Barrot L, Asfar P, Mauny F, et al.: Liberal or Conservative Oxygen Therapy for Acute Respiratory Distress Syndrome. *N Engl J Med* 2020;
- Panwar R, Hardie M, Bellomo R, et al.: Conservative versus liberal oxygenation targets for mechanically ventilated patients: A pilot multicenter randomized controlled trial. *Am J Respir Crit Care Med* 2016; 193:43–51
- 7. Mackle D, Beasley R, Bellomo R, et al.: Conservative oxygen therapy during mechanical

ventilation in the ICU. N Engl J Med 2020; 382:989–998

- 8. Girardis M, Busani S, Damiani E, et al.: Effect of conservative vs conventional oxygen therapy on mortality among patients in an intensive care unit the oxygen-icu randomized clinical trial. *JAMA J Am Med Assoc* 2016; 316:1583–1589
- Schjørring OL, Klitgaard TL, Perner A, et al.: Lower or Higher Oxygenation Targets for Acute Hypoxemic Respiratory Failure. N Engl J Med 2021;
- 10. Chu DK, Kim LHY, Young PJ, et al.: Mortality and morbidity in acutely ill adults treated with liberal versus conservative oxygen therapy (IOTA): a systematic review and metaanalysis. *Lancet* 2018; 391:1693–1705
- 11. Valko M, Leibfritz D, Moncol J, et al.: Free radicals and antioxidants in normal physiological functions and human disease. *Int J Biochem Cell Biol* 2007; 39:44–84
- 12. Nathan C, Cunningham-Bussel A: Beyond oxidative stress: An immunologist's guide to reactive oxygen species. *Nat Rev Immunol* 2013;
- 13. Zaher TE, Miller EJ, Morrow DMP, et al.: Hyperoxia-induced signal transduction pathways in pulmonary epithelial cells. *Free Radic Biol Med* 2007;
- Bar-Or D, Bar-Or R, Rael LT, et al.: Oxidative stress in severe acute illness. *Redox Biol* 2015; 4:340–5
- 15. Wennmalm Å, Benthin G, Edlund A, et al.: Metabolism and excretion of nitric oxide in humans: An experimental and clinical study. *Circ Res* 1993;
- 16. Tsukahara H, Hiraoka M, Hori C, et al.: Age-related changes of urinary nitrite/nitrate excretion in normal children. *Nephron* 1997;
- 17. Jalan R, Schnurr K, Mookerjee RP, et al.: Alterations in the functional capacity of albumin in patients with decompensated cirrhosis is associated with increased mortality. *Hepatology* 2009; 50:555–564

- Peters MJ, Jones GAL, Wiley D, et al.: Conservative versus liberal oxygenation targets in critically ill children: the randomised multiple-centre pilot Oxy-PICU trial. *Intensive Care Med* 2018; 44:1240–1248
- Jones GAL, Ramnarayan P, Raman S, et al.: Protocol for a randomised pilot multiple centre trial of conservative versus liberal oxygenation targets in critically ill children (Oxy-PICU). *BMJ Open* 2017; 7:e019253
- 20. Bar-Or D, Lau E, Winkler J V.: A novel assay for cobalt-albumin binding and its potential as a marker for myocardial ischemia A preliminary report. *J Emerg Med* 2000; 19:311–315
- 21. Kikugawa K, Kojima T, Yamaki S, et al.: Interpretation of the thiobarbituric acid reactivity of rat liver and brain homogenates in the presence of ferric ion and ethylenediaminetetraacetic acid. *Anal Biochem* 1992; 202:249–55
- 22. Miranda KM, Espey MG, Wink DA: A rapid, simple spectrophotometric method for simultaneous detection of nitrate and nitrite. *Nitric oxide Biol Chem* 2001; 5:62–71
- 23. R Core Team (2021): R: A Language and Environment for Statistical Computing. *R* Found Stat Comput 2021;
- 24. Carr AC, Spencer E, Mackle D, et al.: The effect of conservative oxygen therapy on systemic biomarkers of oxidative stress in critically ill patients. *Free Radic Biol Med* 2020; 160:13–18
- 25. Parinandi NL, Kleinberg MA, Usatyuk P V., et al.: Hyperoxia-induced NAD(P)H oxidase activation and regulation by MAP kinases in human lung endothelial cells. *Am J Physiol Cell Mol Physiol* 2003; 284:L26–L38
- 26. Freeman BA, Crapo JD: Hyperoxia increases oxygen radical production in rat lungs and lung mitochondria. *J Biol Chem* 1981; 256:10986–92

- 27. Ho YS, Dey MS, Crapo JD: Antioxidant enzyme expression in rat lungs during hyperoxia. *Am J Physiol Cell Mol Physiol* 1996; 270:L810–L818
- 28. Bhandari V, Elias JA: Cytokines in tolerance to hyperoxia-induced injury in the developing and adult lung. *Free Radic Biol Med* 2006; 41:4–18
- 29. DeMartino AW, Kim-Shapiro DB, Patel RP, et al.: Nitrite and nitrate chemical biology and signalling. *Br J Pharmacol* 2019; 176:228–245
- Mas-Bargues C, Sanz-Ros J, Román-Domínguez A, et al.: Relevance of Oxygen Concentration in Stem Cell Culture for Regenerative Medicine. *Int J Mol Sci* 2019; 20:1195
- Costa NA, Gut AL, Azevedo PS, et al.: Protein Carbonyl, But Not Malondialdehyde, Is
  Associated With ICU Mortality in Patients With Septic Shock. *J Intensive Care Med* 2019;
  34:669–673
- Bar-Or D, Winkler J V., VanBenthuysen K, et al.: Reduced albumin-cobalt binding with transient myocardial ischemia after elective percutaneous transluminal coronary angioplasty: A preliminary comparison to creatine kinase-MB, myoglobin, and troponin I. Am Heart J 2001; 141:985–991
- 33. Ke Q, Costa M: Hypoxia-Inducible Factor-1 (HIF-1). *Mol Pharmacol* 2006; 70:1469–1480
- 34. Weitzberg E, Hezel M, Lundberg JO: Nitrate-nitrite-nitric oxide pathway: implications for anesthesiology and intensive care. *Anesthesiology* 2010; 113:1460–75
- 35. Nagababu E, Ramasamy S, Abernethy DR, et al.: Active nitric oxide produced in the red cell under hypoxic conditions by deoxyhemoglobin-mediated nitrite reduction. *J Biol Chem* 2003; 278:46349–56
- 36. Mitchell HH, Shonle HA, Grindley HS: THE ORIGIN OF THE NITRATES IN THE URINE. *J Biol Chem* 1916; 24:461–490

- 37. Janero DR: Malondialdehyde and thiobarbituric acid-reactivity as diagnostic indices of lipid peroxidation and peroxidative tissue injury. *Free Radic Biol Med* 1990; 9:515–40
- 38. Chang I, Thomas K, O'Neill Gutierrez L, et al.: Protocol for a Randomized Multiple Center Trial of Conservative Versus Liberal Oxygenation Targets in Critically III Children (Oxy-PICU): Oxygen in Pediatric Intensive Care. *Pediatr Crit Care Med* 2022; 23:736–744

## **Figure legends**

**Figure 1**. Flow chart of samples analysed from the Oxy-PICU pilot randomised clinical trial. \*See supplementary table 3 for reasons samples not available.

**Figure 2:** (A) TBARS, (B) IMA, (C) Total urinary nitrate + nitrite in ventilated children randomised to a conservative or liberal oxygenation target. Time Points: TP1= sample taken within 24 hour of randomisation, TP2= Samples taken at 72 hours post-randomisation (or immediately prior to removal of invasive sampling lines in patients with an anticipated shorter length of stay). \*p<0.002 compared with conservative TP1, p<0.05 vs. liberal TP2. Unpaired analyses are shown. For paired samples, a paired Mann-Whitney analysis revealed similar results.

**Figure 3**: HIF-1a expression in ventilated children randomised to a conservative or liberal oxygenation target. Time Points: TP1= sample taken within 24 hour of randomisation, TP2= Samples taken at 72 hours post-randomisation (or immediately prior to removal of invasive sampling lines in patients with an anticipated shorter length of stay). Unpaired analyses are shown but paired analyses where samples were available revealed similar results.

## **Research in Context**

Observational studies in children admitted to intensive care show a U-shaped relationship between mortality and exposure to extremes of  $SpO_2$  or  $PaO_2$ . We know of no randomised trials of  $SpO_2$  targets in a Paediatric Intensive Care population.

The non-PICU Bronchiolitis of Infancy Discharge trial of conservative vs liberal SpO<sub>2</sub> targets showed equivalent safety profile and primary outcome of cough resolution. Adult trials of conservative oxygen targets show harm, benefit, or no significant difference in mortality or morbidity.

Association between oxidative damage and harm have been demonstrated in adult ischemic heart disease and animal hyperoxia models. No causal relationship has been established between hyperoxia, increased redox signals and poor outcomes (or mild-hypoxia and benefit) in Paediatric Intensive Care.

## At the bedside

We provide evidence of a significant redox response in children randomised to a liberal oxygenation target versus a significant hypoxic response in children randomised to a conservative oxygenation target without associated redox response.

The time course of the hypoxic response in the conservative group was within 24 hours of randomisation to the study which illustrates the need for appropriate oxygenation targets early in a patients' PICU journey.

Future studies should focus on whether redox and hypoxic responses translate into clinically significant differences in patient outcomes and downstream targets of our measured biomarkers.

# **Tables and figures**

### **INCLUSION CRITERIA**

## **EXCLUSION CRITERIA**

•	Children more than 38 weeks corrected	•	Death perceived as imminent		
	gestational age and less than 16 years of		Brain pathology/injury as primary reason f		
	age		admission (e.g. traumatic brain injury, post-		
•	Within the first 6 hours of face-to-face		cardiac arrest, stroke, convulsive status		
	contact with PICU staff or transport team		epilepticus)		
•	An emergency admission accepted to a	•	Known pulmonary hypertension		
	participating PICU	•	Known or suspected sickle cell disease		
•	Requiring invasive or non-invasive	•	Known or suspected uncorrected congenital		
	respiratory support (including: invasive		cardiac disease		
	mechanical ventilation, non-invasive	•	End-of-life care plan in place with limitation of		
	ventilation or high-flow humidified		resuscitation		
	oxygen therapy)	•	Receiving long-term mechanical ventilation		
•	Receiving supplemental oxygen for		prior to this admission		
	abnormal gas exchange	•	Recruited to Oxy-PICU in a previous admission		

Table 1. Oxy-PICU pilot randomised clinical trial inclusion and exclusion criteria

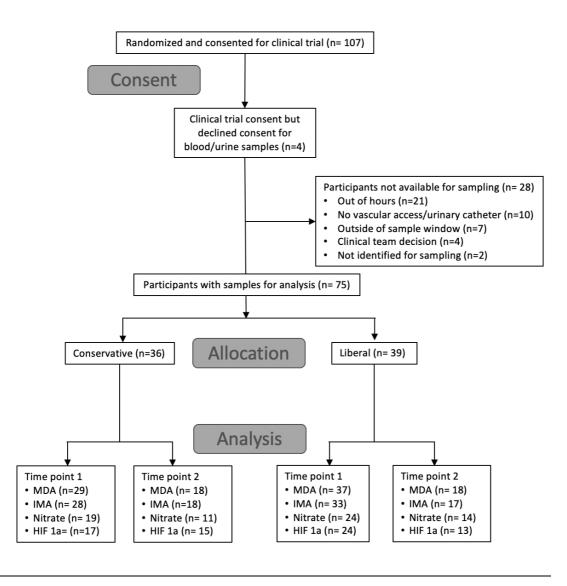
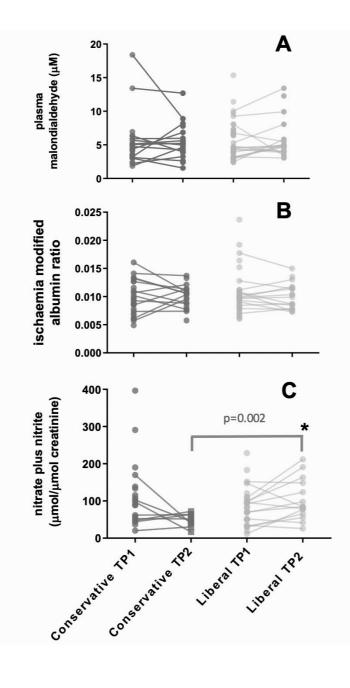


Figure 1. Flow chart of samples analysed from the Oxy-PICU pilot randomised clinical trial

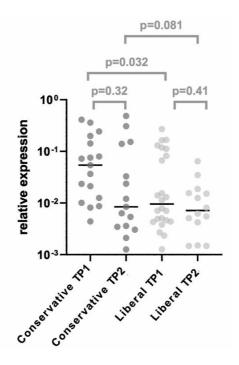
	CONSERVATIVE		LIBERAL	
	N	(%)	N	(%)
ADMISSION DIAGNOSIS	36		39	
SEVERE SEPSIS/SEPTIC SHOCK	6	17	3	8
RESPIRATORY	25	70	30	79
OTHER INFECTION	4	11	0	0
CARDIAC ARRYTHMIA	0	0	1	3
DKA	1	3	0	0
TRAUMA	0	0	1	3
OTHER METABOLIC	0	0	2	5
SOLID TUMOUR	0	0	1	3

SURGICAL - ACUTE ABDOMEN	0	0	1	3
COMORBIDITIES	Ν	(%)	Ν	(%)
CARDIAC ARREST PRIOR TO PICU ADMISSION	0	0	1	3
CARDIOMYOPATHY OR MYOCARDITIS	1	3	0	0
SCID	1	3	0	0
LEUKAEMIA	0	0	1	3
NEURODEGENERATIVE DISORDER	1	3	0	0
BONE MARROW TRANSPLANT RECIPIENT	1	3	1	3
ORGAN SUPPORT	N	(%)	Ν	(%)
CONTINUOUS INFUSION OF INOTROPE	16	44	8	21
VASODILATOR	0	0	1	3
EXTRACORPOREAL MEMBRANE OXYGENATION	0	0	1	3

Table 2. Participants' baseline characteristics at randomisation.



**Figure 2:** (A) MDA, (B) IMA, (C) Total urinary nitrate + nitrite in ventilated children randomised to a conservative or liberal oxygenation target. Time Points: TP1= sample taken within 24 hour of randomisation, TP2= Samples taken at 72 hours post-randomisation (or immediately prior to removal of invasive sampling lines in patients with an anticipated shorter length of stay). \*p<0.002 compared with conservative TP1, p<0.05 vs. liberal TP2. Unpaired analyses are shown. For paired samples, a paired Mann-Whitney analysis revealed similar results.



**Figure 3**: HIF 1a expression in ventilated children randomised to a conservative or liberal oxygenation target. Time Points: TP1= sample taken within 24 hour of randomisation, TP2= Samples taken at 72 hours post-randomisation (or immediately prior to removal of invasive sampling lines in patients with an anticipated shorter length of stay). Unpaired analyses are shown but paired analyses where samples were available revealed similar results.

Biomarker	Odds ratio	95% Confidence Interval	
IMA			
SpO2-time AUC	0.07	0.01, 0.12	
Time point (2 cf 1)	-0.10	-0.26, 0.06	
Allocation (Liberal cf Conservative)	0.04	-0.9, 0.18	
Time point 2 * Liberal arm	0	-0.17, 0.17	
Age in years	-0.03	-0.06, -0.01	
TBARS			
SpO2-time AUC	0.01	-0.09, 0.10	
Time point (2 cf 1)	0.04	-0.23, 0.31	
Allocation (Liberal cf Conservative)	0.07	-0.16, 0.31	
Time point 2 * Liberal arm	0.06	-0.23, 0.35	
Age in years	-0.02	-0.06, 0.01	
Nitrate/nitrite			
SpO2-time AUC	-3 x 10-6	-0.19, 0.19	
Time point (2 cf 1)	-0.60	-1.17, -0.08	
Allocation (Liberal cf Conservative)	-0.36	-0.78, 0.06	
Time point 2 * Liberal arm	0.86	0.32, 1.43	
Age in years	-0.04	-0.11, 0.02	
HIF1α			
SpO2-time AUC	0.01	-0.41, 0.42	
Time point (2 cf 1)	-0.91	-2.03, 0.22	
Allocation (Liberal cf Conservative)	-1.17	-2.22, -0.12	
Time point 2 * Liberal arm	0.76	-0.42, 1.88	
Age in years	0.04	-0.08, 0.15	

Supplementary Table: Odds ratios following multi-variable mixed effect regression analysis, with biomarker as the response variables, SpO2-time area under the curve, age, and a time point and allocation interaction term as the fixed effects variables and patient identifiers as the random effects variables. All continuous values and SpO2-time area under the curve values were log transformed for the purposes of the model given skewed distributions. The model used time point and allocation as a categorical variables, with the odds-ratio of time-point 2 in relation to time-point 1, and liberal in relation to conservative arms as the output. There is a positive association between SpO2-time area under the curve and IMA (both log transformed), but no significant associations between the TBARS, Nitrate/nitrite and HIF1 $\alpha$ , and SpO2-time area under the curve.

Biomarker		Liberal group	Conservative	Standard	Effect size	Sample size
		mean	group mean	deviation		(per group)
IMA						
-	Timepoint 1	0.0104	0.0093	0.0035	0.3239	174
-	Timepoint 2	0.0103	0.0099	0.0022	0.1707	621
MDA						
-	Timepoint 1	5.56	5.08	3.09	0.16	729
-	Timepoint 2	5.93	5.46	2.83	0.17	640
RONS						
-	Timepoint 1	87.0	117.9	74.1	-0.42	105
-	Timepoint 2	103.6	49.3	50.7	1.07	17
HIF-1a						
-	Timepoint 1	0.02	0.10	0.10	0.52	67
-	Timepoint 2	0.02	0.09	0.11	0.64	46

**Supplementary table:** Sample size estimates needed to detect a difference with 80% and a two-sided alpha of 0.05 for each biomarker measured. The sample size was scaled up by 15% to account for non-parametric tests.