1	The "bright side" of cyanobacteria: revising the
2	nuisance potential and prospecting innovative
3	biotechnology-based solutions to integrate water
4	management programmes
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24 25	Synopsis:
25 26	Biotechnological exploration of cyanobacteria "bright side" can be linked with sustainable
26	environmental protection.

Abstract. Global warming and the anthropogenic degradation of water quality are pointed out as 27 main causes of the worldwide increase in frequency, severity, and duration of harmful algal blooms 28 (HAB). Cyanobacteria, major constituents of HAB, can cause ecological, economic, and human 29 30 health problems, configuring a "dark side" requiring management attention. Their growth can be 31 potentiated by climate change consequences, highlighting further the urgency of improving HAB 32 management strategies to ensure water quality. An innovative perspective for cyanobacteria 33 management is the exploitation of their "bright side". Several exploitable products produced by 34 cyanobacteria (e.g. bioactive pigments, lipids, proteins) present high market value. Thus, this work provides a critical perspective on how HAB management may be connected with biotechnology in 35 36 the future. We propose the use of the biomass of cyanobacteria blooms physically removed in traditional control actions (much needed to ensure environmental and even human health safety) as 37 a feedstock for future valorization, thus allying profit to water quality management, in a win-win 38 39 relationship between economics and sustainability. Such a proposal was validated with an economic 40 analysis, which evidenced a relevant potential for a positive Return (hence rendering profit likely to 41 occur), both considering only the delivery of harvested biomass to production units and the full 42 valuation route from harvesting to the selling of the extracted/purified product using phycocyanin 43 and chlorophyll as models, under a multi-product strategy.

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45 Keywords: cyanobacteria blooms, water management, biomass exploitation, biotechnology,
46 economic impact

47 1. Introduction

The warming of the climate system is unequivocal. Due to the changing in precipitation patterns or 48 the melting of snow and ice, hydrologic systems suffer alterations in their common cycles, with 49 50 consequences in water quantity and quality¹. Under a global change scenario, water scarcity in many regions will became (or already is) a reality, and contamination of freshwater sources will 51 continue to be an issue (e.g. 80% of the world's population already suffers serious threats on water 52 security²). One of the threats to water degradation is the proliferation of phytoplankton groups such 53 54 as cyanobacteria. Along with the anthropogenic eutrophication and increasing CO₂ levels in the atmosphere, climate change manifestations, namely rising temperatures and altered hydrologic 55 56 patterns, are strong drivers of the increased frequency, intensity and duration of cyanobacterial blooms ^{3–5}. Thus, water management programmes need to increasingly consider these organisms to 57 assure the human health and ecosystems safety. On the other hand, cyanobacteria have the 58 biochemical potential to be commercially exploited in agriculture, aquaculture, bioremediation, 59 biofuels, nutraceutical and pharmaceutical products $^{6-9}$. This imposes the key question on whether 60 61 exploiting cyanobacteria blooms can be a sustainable strategy supporting the management of affected waterbodies. Here, we document the suitability of exploring the nuisance biomass removed 62 63 in environmental control actions and the economic income that can offset management costs. We 64 first provide key definitions for the understanding of the field, regarding the ecophysiological advantages of cyanobacteria and how climate change promotes them. Then, the critical problem of 65 bloom formation and cyanotoxins production configuring the "dark side" of cyanobacteria and 66 (linked) management actions are discussed, these crossed with the "bright side" represented by their 67 68 biotechnological valorization. Moreover, an exercise will be presented considering the potential 69 economic impact of working the "bright side" of cyanobacteria through biotechnology approaches, aiming to allow the deepest understanding of the positive consequences of promoting the 70 ecosystems conservation by their biotechnological valorization. Overall, this review aims to be the 71

rigger for innovative approaches to deal with the cyanobacteria-driven environmental and health

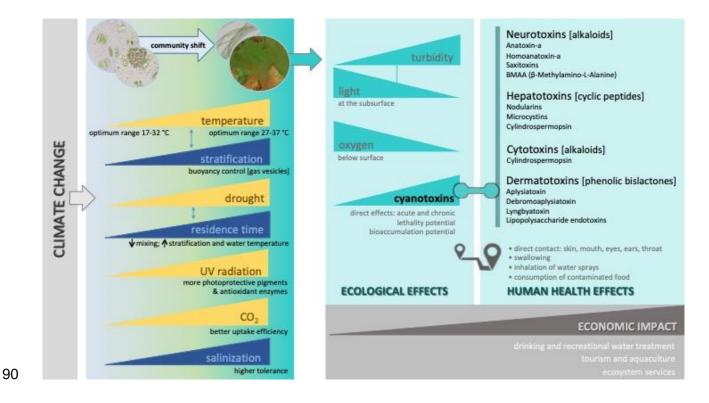
issues, interconnecting the fields of water quality, water management, and biotechnology.

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75 2. The nuisance potential of cyanobacterial harmful algal blooms (CyanoHABs)

76 Cyanobacteria are gram-negative bacteria performing photosynthesis (Domain Bacteria: Phylum 77 Cyanobacteria) that can occur singly or grouped in colonies, often forming blooms. A complex 78 interaction of environmental factors linked to global climate change is contributing to cyanobacterial growth and leading to the outcompeting of eukaryotic algae⁵ (Figure 1). Higher 79 optimal temperature ranges for growth ¹⁰, buoyancy capacity allowing to benefit (better than non-80 81 bouvant microalgae) from vertical stratification caused by the water surface warming ⁴, higher production and accumulation of photoprotective pigments and antioxidant aminoacids supporting 82 protection against increased UV irradiation¹¹, and even a better efficiency using increased CO₂ 83 levels in the atmosphere as a source of carbon⁵, feature the capacities of cyanobacteria in this 84 context (Figure 1). Even under drought conditions, cyanobacteria can be favoured ¹², due to 85 increased water residence ¹⁰, as well as with the increase in salinization of freshwaters ⁴. Finally, 86 87 diazotrophic cyanobacteria (e.g. Anabaena, and Cylindrospermopsis) can fix atmospheric nitrogen in heterocysts to grow successfully under nitrogen scarcity ¹³. 88

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91 Figure 1. Synthesis on the driving role of climate change towards cyanobacteria dominance over 92 other phytoplankton groups, with emphasis on the consequent noxious effects in ecosystem and 93 human health, as well as negative socio-economic impacts resulting from nuisance management 94 demands.

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Harmful algal blooms (HABs) is the generalist name given to algal blooms that affect 96 97 adversely the environment, plants or animals' health. This is a worldwide nuisance and the greatest 98 public health impacts related to HABs are linked to CyanoHABs, considering drinking water supply and recreational waters ¹⁴. From an ecological perspective, CyanoHABs lead to the reduction of 99 biodiversity and habitat deterioration or even production of toxins ¹⁵ as detailed in Figure 1. 100 Consequently, socio-economic impairment occurs, especially regarding affected drinking water 101 reservoirs and recreational waters ¹⁶, seed on the treatment demands ¹⁷ (Figure 1). While 102 information on HABs prevalence is particularly difficult to find for example regarding Europe, data 103 for USA can be found in scientific and official reports ¹⁸. Costs associated to monitoring 104 programmes can be more easily assessed and are dependent on the monitoring strategy applied and 105 also the area of the lake or reservoir ¹⁹. In large lakes like Taihu (2,537 km²), and Chaohu (775 106

107 km²), in China, severe events of cyanoHABs imposed the closure of water treatment plants and caused losses of more than RMB 100 million in each lake¹⁹. The costs associated to additional 108 109 treatment of water and to the use of additional water sources are also remarkable. These costs can 110 range between \$12 million and \$56 million for dealing with a problem in a town of 100 000 people, in the USA ²⁰. More data for USA can be found ^{e.g. 18}, with Bingham et al. ²¹ estimating \$43 111 million/year losses for recreation and tourism, \$18 million for property values, and \$4 million for 112 113 drinking water treatment following cyanotoxin contamination in Ohio. An interesting study by Smith et al.²², in Canada, demonstrated long-term impacts of blooms. These authors pointed out an 114 equivalent annual cost equal to \$272 million (2015 prices) over a period of 30 years, in a business-115 116 as-usual scenario. Commercial fisheries affected by problems of eutrophication could generate losses of £ 29-118 000 annually in the United Kingdom ²³. For a more complete compilation of 117 economic data regarding concerning the losses in sectors such human health, commercial fisheries, 118 tourism and recreation, monitoring and management, we refer to the report on the topic to the 119 European Commission by Sanseverino et al.¹⁸. Still, not many studies focus systematically on the 120 121 effects of cyanoHABs regarding human health impairment due to the difficulty of establishing consequence links. 122

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124 2.1. Cyanotoxins

The production of cyanotoxins is associated to specific genes that may or may not be present ²⁴, and 125 even if present, expression occurs depending on environmental conditions ²⁵. Still, toxic blooms 126 may occur frequently with potential health risks associated to direct contact, bioaccumulation of 127 cvanotoxins through the food chain and/or through the inhalation of water particles due to crop-128 spray irrigation, and haemodialysis ²⁶. Cyanotoxins can act as neurotoxins (including 129 neurodegenerative agents), hepatotoxins, cytotoxins, and dermatotoxins (Figure 1). Occurrence of 130 cyanotoxins and its association with cyanobacterial taxa can be pictured, as previously reviewed 131 ^{18,26,27}, but expectable concentrations are difficult to ascertain due the scarcity of studies addressing 132

the time and space variability of blooms' toxin content, although this is critical information to
 conduct risk assessment and protective water management ¹⁶.

Although sub-lethal doses allow full recovery and no effects of chronic exposure have been 135 136 observed, neurotoxins can be lethal at high doses by causing asphyxia through paralysis of respiratory muscles ¹⁶. Besides direct action as hepatotoxins, microcystins accumulate in mammals 137 and fish, with consequent tissue and cell damage ²⁷. Microcystin toxicity is cumulative, and it can 138 139 be lethal in vertebrates depending on the dose by liver necrosis, within hours to a few days following exposure ²⁸. Meanwhile, chronic exposure of humans to low microcystin levels in 140 drinking water can promote cancer¹⁵. In Caruaru (Brazil), numerous deaths occurred by exposure 141 142 to microcystins in water used for the dialysis 29 . This is the most common toxin found worldwide 30 , but cylindrospermopsin is also a concern. It was first discovered after a poisoning incident on Palm 143 Island (Australia) in 1979, when 148 persons were hospitalized with hepatoenteritis due to 144 contamination of a drinking water reservoir ³¹. This toxin acts by blocking protein synthesis, 145 potentially causing kidney and liver failure ³². Besides acutely hepatotoxic, cylindrospermopsin is 146 147 genotoxic and potentially carcinogenic ³³.

While cyanotoxins can entry in the human body *via* direct contact, swallowing or inhalation during recreational or occupational exposure to contaminated water, less common exposure routes are through renal dialysis, irrigation water used in crops and possible uptake into the food chain and dietary supplements ³⁴.

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153 **3. Brief notes on the regulatory appraisal of cyanoHABs**

The nuisance potential of cyanoHABs was ignored in socio-political arenas worldwide until the 1990s, when evidence of environmental and human health impairment by cyanobacteria and cyanotoxins became exposed. The United States Environmental Protection Agency (USEPA) included freshwater cyanobacteria and their toxins in the first Candidate Contaminant List in 1998, and since then, regulatory agencies worldwide started considering cyanotoxins as potential

bioterrorism agents ³⁵. In the European Union (EU), the main piece of legislation concerning water 159 160 quality management is the Water Framework Directive (WFD - 2000/60/EC), establishing the 161 concept of ecological water quality, while the specific Bathing Water Directive (BWD - 2006/7/EC) 162 regulates the quality of recreational waters. Phytoplankton is a defined indicator of the ecological quality of water by the WFD; although this includes Cyanobacteria, no guidelines specifically 163 164 targeting cyanotoxins are given. Regarding the BWD, HAB-causing organisms are neither assessed 165 in practice nor given a relevant role in qualitative classification ruling management actions, 166 although cyanobacteria are specifically mentioned as part of the bathing water profile. Currently, a 167 new Directive is under preparation, which will certainly follow the recommendations by the World 168 Health Organization (WHO) on cyanobacteria. These recommendations include on-site public 169 awareness on the risks in susceptible areas following on dedicated monitoring of cyanobacteria biovolume, chlorophyll-a, phycocyanin, water transparency or cyanotoxin concentration 36 . An 170 171 important example of supportive WHO guidelines are those for safety levels in drinking water and recreational waters that were recently published ²⁶. This document suggests several guideline values 172 for several cyanotoxins. These guidelines are based on animal studies, except for saxitoxins due to 173 the rapid onset of highly specific symptoms caused by the consumption of contaminated seafood. 174 The provisional lifetime drinking-water guideline values are of $1 \mu g L^{-1}$ for microcystin-LR, and 0.7 175 μ g.L⁻¹ for cylindrospermopsin, while the provisional short-term drinking-water guideline values are 176 of 12 μ g.L⁻¹ for microcystin-LR, 3 μ g.L⁻¹ for cylindrospermopsin, and 30 μ g.L⁻¹ for anatoxin-a and 177 an acute drinking-water guideline value is given also for saxitoxins (3 μ g.L⁻¹). The provisional 178 recreational water guideline values are of 24 μ g.L⁻¹ for microcystin-LR, 6 μ g.L⁻¹ for 179 cylindrospermopsin, and 60 μ g.L⁻¹ for anatoxin-a, while there is a recreational water guideline 180 value of 30 μ g.L⁻¹ for saxitoxins. Besides providing these guidelines, the WHO also suggests an 181 182 Alert Level Framework, with specific guidelines and steps for both drinking and recreational waters. As each level is met, the WHO suggests specific management measures ranging from the 183 triggering of closer surveillance to the use an alternative water supply or an effective water 184

185	treatment (in the case of drinking water), as well as bathing restriction (in the case of recreational
186	waters) ²⁶ . In parallel to the WHO protocol, several countries established their guidelines focusing
187	on cyanotoxin concentration reviewed by Chorus et al. ²⁶ . Recently, the European Union released a
188	new Directive on the quality of water for human consumption (EU Directive 2020/2184) that
189	should be transposed to the national legislation of the Member States by 12 January 2023, and
190	microcystin-LR was added to the list of compounds of mandatory monitoring by 12 January 2026
191	(Part B of Annex 1). The same guideline as used by WHO (1 μ g.L ⁻¹ for microcystin-LR) is
192	proposed as a safety benchmark, but this toxin only needs to be measured when there is a risk of
193	blooms occurrence in the water sources.

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195 4. Current management strategies targeting cyanoHABs

Risk management programmes are dependent on the political, social and economic context, as well as on the scientific data available in each region ³⁴. Despite the inexistence of a "rule of thumb" to deal with cyanobacteria, Alert Level frameworks are a common management instrument, applicable in drinking water facilities ³⁴ and water bodies used recreationally ^{e.g. 37}. Management can be done taking preventive measures, to reduce nutrient inputs in the water, or control measures, to remove cyanobacteria or their toxins from affected waters.

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203 4.1. Common preventive measures targeting cyanoHABs

The application of preventive measures targeting cyanoHABs requires the identification and limitation of human- or animal-driven contaminants' input into waterbodies. As management at the source level is expensive and time-consuming ³⁸ and given that natural feedback mechanisms in aquatic ecosystems stem from the benthic compartment ²⁵, there is a delay (that can be of several years) between implementation and the significant dropping of nutrient concentrations below levels expected to sustain an algal bloom ²⁵. Alternative prevention measures have been deemed effective in the short-term, which can be of physical, chemical or biological nature (Table 1) as follows.

The disruption of natural water stratification is meant to favour the access to light of non-buoyant 211 green algae and diatoms ³⁹. Bubble plume aerators and mechanical mixers are the most common 212 equipment for artificial de-stratification, its efficiency being dependent on the interplay of several 213 operational conditions ^{39,40}. Such systems were already used successfully to depress cyanobacteria. 214 favouring diatoms and chlorophytes in the Bleiloch Reservoir, Germany⁴¹, but validation is still 215 scarce to assume their broad efficiency ⁴² and evidence actually exists that the technique can 216 promote rather than control some cyanobacteria species ⁴³. Water-level fluctuations can be applied 217 218 to disrupt water stratification every few days for lake-restoration, by controlling the magnitude and timing of the discharge. However, they were not used so far to mitigate cyanobacterial blooms ⁴⁴, 219 220 being the removal of sediments to limit sediment release of nutrients to the water column very labour intensive, typically with short-term implications in water quality and questionable ecosystem 221 quality improvement ⁴⁵. Hypolimnetic oxygenation decreases the release of nutrients from the 222 sediments without disrupting the stratification of the water body, but requires deep understanding of 223 hydro- and nutrient dynamics ⁴⁰. Phosphorus (phosphate) precipitation ^{46–48} followed with treating 224 the sediment by capping with different agents ⁴⁰ can also apply to chemically prevent cyanoHABs, 225 while inefficacy has been noted in some systems ⁴⁵. 226

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228 4.2. Common control measures targeting cyanoHABs

229 Chemical control approaches can be very efficient in the rapid deterioration of existing cyanoHABs 230 ^{e.g. 49}, most commonly by the application of coagulants and algaecides (Table 1). Coagulants, such 231 as aluminium and ferric salts ⁵⁰ deposit cyanobacteria cells preventing access to light, the deposits 232 being then removed mechanically. However, they can in parallel disrupt cell walls and membranes, 233 with the consequent release of cyanotoxins, and residues can easily exceed water quality standards. 234 New coagulants are being developed with better performance regarding cell lysis ⁵¹ and improved **Table 1:** Non-exhaustive compilation of current and proposed methods to prevent and control cyanoHABs. Physical (Phys.), chemical (Chem.) and biological (Biol.) methods are covered and examples of entailed agents are given. The highlighted operational constraints (or requirements) to successfully apply each method, as well as advantageous or disadvantageous aspects (relative among different alternatives within each type of method), were interpreted from the literature cited through this work and are more limited for strategies that are still at very early development stages.

	Technique	Agents/Equipment	Operational constraints	Advantages	Disadvantages
			Prevention		
Phys.	Destratification	Bubble plume aerators Mechanical mixers	Depth; air flow rates or intensity/duration of treatment; degree of stratification	Do not use noxious chemicals	Results are dependent on the structure of the phytoplankton and even the cyanobacteria community
	Water level fluctuations	Outlets	Manipulation of high volumes of water	Successfully applied for lake restoration. Relevant nutrient decrease by dilution	Affects the whole hydrodynamics, and potentially the non-target biota. Not tested specifically for cyanobacteria.
	Sediment removal	Draglines; dry mechanical removal; hydraulic dredging	Very labour intensive and expensive	Limits sediment sourcing of nutrients	Lack of specificity; may severely affect the benthos
Chem.	Hypolimnetic oxygenation	Airlift pumps Side stream oxygenation Direct oxygen injection	Expensive. Deep knowledge of hydrodynamics, nutrient release and external loads	Improves quality of cool habitats; limits sediment sourcing of nutrients and noxious compounds	Maintenance of thermal stratification, potentially benefiting cyanobacteria.
	P precipitation coupled with sediment capping	Lime, CaCO ₃ , Ca ₂ O ₄ Si, CaCl ₂ Insoluble iron compounds, zeolites, bauxite, clay, calcite, Phoslock TM	Expensive Requires repeated treatment	Effectively reduces phosphate levels and prevents (re)mobilization to the water column	Potentially with severe effects on the benthic biota
			Control		
	Traditional coagulants	Aluminium salts Ferric salts	Expensive by the need of multiple treatment and removal of deposited residues.	Fast action and effectiveness	Inherent cell lysis. Low specificity - impairs non-target species. Polluting residues. Ti
	Innovative coagulants	Titanium xerogel		Improved efficacy and easier removal; targets also cyanotoxins	xerogels are more expensive and not comprehensively known yet
Chem.		Clays, Chitosan, Tannins	Expensive by the need of multiple treatment	Lower environmental toxicity and better biodegradability	Relatively new, thus with inconsistent evidence on efficiency
Chem.	Algaecides	Metallic salts (e.g. CuSO ₄) Photosensitizers (e.g. H ₂ O ₂ , phtalocyanines, TiO ₂) Triazine herbicides	Used in early bloom stages. Expensive due to the need of multiple treatment. Post-treatment isolation required	Fast action and effectiveness	Possible cell lysis. Low specificity - impairs non-target species.
	Biological chemicals	Polyphenols, nonanoic acids Sanguinarine	Dosing as plant extracts or as purified biochemicals	Less toxic in general and more easily biodegradable	Less efficient. Their modes of action are still not fully known.
Biol.	Biomanipulation	Increase grazing by decreasing zooplanktivory Macrophytes	Depends on the prevalence of edible cyanobacteria species Inefficient in eutrophic waters	Environmentally friendlier	Highly complex and with inconsistent efficiency evidence
	Biological control	Cyanophages Fungi (parasitism)		High cyanobacteria specificity	Easy development of resistances (cyanophages and fungi) or are unspecific.

	agents	Bacteria (Extracellular metabolites) Protozoans (predation)	-		Difficult to isolate and culture at large scale
Phys.	Biomass removal	Oil-spill skimmers Pumps	Application at late bloom stages (scum). Expensive.	Does not use noxious chemicals	Requires further investment to treat removed biomass.

environmental safety ^{52,53}, although with some recognised constraints. Synthetic algaecides ^{38,49,54}
are applied to cause cell lysis, being mostly used in early stages of a bloom to minimize toxin
release ⁴⁰. Their high and unspecific toxicity ranges are a problem ³⁸, and alternative promising biobased substances ⁵⁵ have been studied. Although bearing lower toxicity to other phytoplankton
groups and higher biodegradability, these substances are typically less effective and have higher
production costs.

7 Biological strategies emerged as alternatives to chemical dosage (Table 1). These are based 8 on biomanipulation techniques over the trophic structure of the system. Top-down strategies (e.g. 9 favouring grazing by reducing zooplanktivory) fail to control blooms of inedible species (e.g. filamentous morphotypes) ⁵⁶. Bottom-up control can be achieved e.g. by manipulating macrophyte 10 density to promote competitive nutrient removal from water 57 and to favour the release of 11 allelopathic compounds to cyanobacteria ⁵⁸. Other organisms like viruses (cyanophages), bacteria 12 (through photosynthetic inhibition promoted by extracellular lytic substances ⁶¹), parasitic fungi and 13 predatory protozoa, have been equated ⁵⁷, but also bearing significant shortcomings ^{61,62}. 14 15 Cyanophages are extremely difficult to isolate and/or culture, and cyanobacteria can easily develop resistance on the other hand ⁶¹ while there is generally a high specificity of the cyanophage to 16 particular cyanobacterial strains ⁶³. Parasitism of cyanobacteria by fungi is also possible, but control 17 strategies based on such a biotic interaction are very difficult to upscale ⁶². Also, although there are 18 reports on predation over cyanobacteria by several protozoans ⁶⁴, the suitability of such a strategy 19 can be questioned considering that many cyanobacterial species form colonies preventing that 20 protozoans graze them ⁵⁷. 21

Oil-spill skimmers and pumps were successfully applied for the physical control of cyanoHABs
 (Table 1), especially at scum stages, combined or not with coagulation ^{65,66}. A successful case
 where an oil-spill skimmer was used following coagulation with polyaluminium chloride was
 reported by Atkins et al. ⁶⁵, regarding a *Microcystis aeruginosa* bloom in the Swan River, Australia.
 A different system for physical control is the use of pumps to remove dense cyanobacterial blooms.

This system was used in 2007 in Taihu Lake, China, in a bloom that left more than 2 million people 1 with no potable water ⁶⁶. However, examples of this kind of physical removal as a cyanoHABs 2 control strategy are very scarce;. Although physical removal may overcome the problem of cell 3 4 lysis, this strategy does not seem to collect the preference of managing entities, possibly because of 5 added costs of operation, i.e. those related to biomass removal and transport for landfilling or wastewater treatment plants ⁶⁵. Given the advantages of physical removal over other control 6 7 treatments, which are inefficient regarding contamination with cyanotoxins and/or burden 8 ecosystems with hazardous chemicals, the search for solutions to improve the economic 9 sustainability of physical removal is a worthwhile research arena, potentially with important 10 impacts on the successful management of cyanoHABs under a circular economy rationale. In 11 practice, the economic income driven by the valorization of cyanobacteria biomass should easily 12 cover the costs of physical control methods relying on the removal of cyanoHABs and eliminating 13 or greatly reducing waste generation.

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15 5. Cyanobacterial biomass valorization

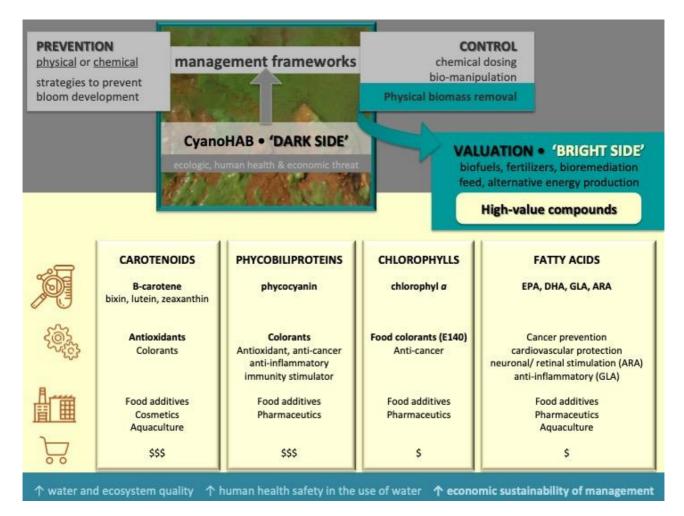
16 Climate change will increase the pre-existent risks and create new risks in several environmental 17 arenas, including those imposed by cyanoHABs. Thus, the adaptation or development of solutions contributing to reduce the risk vulnerability and exposure is needed 2 . The relevant drawbacks to 18 efficiently control cyanoHABs make it unable to guaranty the nullification of the threat posed by 19 20 cvanotoxins or withstand economic sustainability levels. However, the "dark side" of cvanobacteria 21 can be compensated by exploring their "bright side", integrating cyanoHABs management by physical removal with their biotechnological valorization. Firstly microalgae and, recently, 22 23 cyanobacteria have been considered amongst the most promising feedstock for biofuels and 24 biochemicals ⁶⁷. However, only 10% of the species were partially or totally characterized in this context and only a few are industrially cultured ⁶⁸, suggesting high losses regarding the exploitation 25 of their biotechnological potential. Besides, cyanobacteria are biochemically rich in antibacterial, 26

antifungal, antiviral, and antitumor compounds, some like polyunsaturated fatty acids and
 phycobiliproteins already displaying high commercial value ^{8,9}.

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4 5.1. High market value compounds produced by cyanobacteria

5 Elucidating on the broadness of application possibilities, Burja et al.⁶⁹ analysed 424 marine 6 cyanobacteria compounds and found that around 40% were lipopeptides, including compounds with cvtotoxic, antitumor, antiviral, antibiotic, antimalarial, and antimycotic activity, as well as multi-7 8 drug resistance reversers, antifeedants, herbicides and immunosuppressive agents. Figure 2 9 synthetises the most valuable cyanobacteria products, along with their bioactivity and application 10 ranges. Carotenoids are light harvesting pigments that are also protective for excessive solar radiation ⁷⁰. These are powerful antioxidants and can be used as colorants, applied as food 11 additives, in the cosmetics industry and in aquaculture ^{71,72}. Phycobiliproteins are water-soluble 12 fluorescent pigment-protein complexes acting as secondary light-harvesting components in the 13 photosynthesis ⁶. The primary application of these molecules is as natural dyes, although with 14 potential for the pharmaceutical sector ⁷³ considering their bioactivity ⁸. Chlorophylls, the primary 15 photosynthetic pigments, have been used as food colorants ⁷⁴ and more recently argued as cancer 16 preventive ⁷⁵. Although their potential, pigments exploitation is still in its infancy due to low 17 productivities and high recovery costs ⁷⁰. 18



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Figure 2. Infographic overview of the proposed strategy for an improvement of management frameworks targeting cyanoHABs, which are growing driven by climate change. The central pillar of the proposal is the valorization of cyanobacteria biomass following control by physical removal, especially regarding the efficient recovery of compounds, along with their bioactivity, application sector and market value being indicated. High-value compounds that were not yet recognised for market size/value estimation were not included and are rather discussed in the text. Icons were made by Eucalyp, Freepik or Kiranshastry from www.flaticon.com (accessed April 2020).

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10 Still, pigments are the cyanobacteria products with the highest valorization potential, particularly 11 carotenoids and phycobiliproteins, the market value depending on the location of production, the 12 current marketing situations, and the product purity ⁷⁰. According to authoritative platforms 13 (https://www.gminsights.com, assessed January 2021, for market size and projections), the

1	carotenoids market size reached more than USD 200 million in 2015 and is likely to exceed USD
2	300 million by 2024, with lutein reaching USD 40 million in 2015. Lutein can be sold in capsules
3	of 18 mg (€ 27.78 for 30 capsules), to improve eye function and prevent macular degeneration
4	(www.nutribio.pt assessed at 24 March 2021). Phycocyanin worth over USD 18.5 million in 2018
5	and the industry expects a consumption higher than 200 tons by 2025. One of the products in the
6	market is Super Bluecell, from Vegafarma (€ 51.25 for 30 capsules). These capsules use
7	phycocyanin from Arthrospira sp. (previously classified as Spirulina sp.) and are claimed to be
8	relevant to prevent diseases and aging, protect the organism and prevent secondary effects of
9	chemotherapy or radiotherapy (www.nutribio.pt assessed at 24 March 2021). There is no specific
10	information regarding chlorophylls, but the natural food colorants market, where these pigments are
11	dominant, is projected to surpass USD 4.7 billion by 2024. The majority of chlorophyll that is sold
12	in the market is presented as a liquid and it is extracted from plants like alfafa. Liquid chlorophyll
13	can be dissolved in any drink, arguably to improve health by helping regenerating blood quality and
14	detoxifying. It can be sold by \in 15.95 for 100 ml (<u>www.amazon.de</u> assessed at 24 March 2021).
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1 Besides the above applications entailing an already established market, cyanobacteria are also valuable for their polysaccharides (extracellular polymeric substances). These compounds can 2 be used as bioflocculants by the water treatment industry ⁸³; emulsifiers, stabilizers or thickening 3 agents ⁸⁴ in the food industry; bioactive substances, e.g. sulphated polysaccharides that can inhibit 4 tumour invasion and metastasis ⁸⁵; and bioremediation agents due to their metal binding capacity ⁸⁶. 5 Cyanobacteria can also produce poly(hydroxyalkanoates)⁸⁷, defined as potential substitutes to 6 conventional plastic⁸⁸. These polyesters have similar applications as polypropylene, but are 7 8 biodegradable, and related manipulation technologies are already widespread at the industrial scale ⁸⁹. These compounds were already described in several cyanobacterial species ⁸⁷, and since they 9 10 have smaller nutritional needs when compared to heterotrophic bacteria, their use as industrial PHA producers is more appealing considering that the costs associated to the microbiological production 11 of the latter were a constraint ⁸⁹. Specific high-value metabolites have been extracted from 12 cyanobacteria, with the most remarkable cases being (i) cyanovirin-N (CV-N), a protein produced 13 14 by Nostoc ellipsosporum able to inactivate some primary strains of HIV-1; (ii) borophycin produced 15 by Nostoc species with cytotoxicity against human epidermoid carcinoma and human colorectal 16 adenocarcinoma cells; and (iii) cryptophycin, also isolated from Nostoc strains with fungicide activity and cytotoxic action against human tumour cells ⁶⁹. The production of secondary 17 metabolites is generally induced by stress conditions ⁹⁰, which can meet environmental challenges 18 19 such as increased salinity, drought or temperature consequent to global climate change trends.

Apart from extracted metabolites, whole cyanobacteria have been proven to be competent in many other fields. They are apparently good bioremediation agents for metal contamination ⁹¹, can be used successfully as fertilizers ⁹², as feed supplements in aquaculture or as hosts for the synthesis of nanoparticles ^{e.g. 93}. The third generation biofuels such as biohydrogen, biomethane, bioethanol and biodiesel, can be produced using cyanobacteria ⁶⁸ and their potential for integration in microbial fuel cells for electricity production has been investigated ⁹⁴. Although the actual value of these applications is still largely unquantified, the markets involved render a significant economic 1 potential, more even considering that cyanobacteria do not compete with plants for (scarce) fertile

- 2 soil.
- 3

6. Improving cyanobacteria exploitation: economic and sustainability aspects

5 The market of valuable products retrieved from cyanobacteria is increasing. This is being reflected 6 in the increased number of industries producing and exploring this biomass, as well as in research efforts (indicatively, a search in ISI[®] WoS by March 2021 using the terms 'cyanobacteria' and 7 8 'biotechnology' retrieved a total of 387 hits, 16% published from 2020 onwards for the Core Collection database, or a total of 2626 hits, 5% published from 2020 onwards when retrieving from 9 10 all databases) and in the high pace of discovery of novel applications, as highlighted previously. Some examples of companies spread around the world working in this market are Transalgae 11 (Israel), AlgaEnergy (Spain), Algae Systems (USA), Algenol (USA), IHI NeoG Algae (Japan), 12 Pond Tech (Canada), Necton (Portugal), Cyanotech (Hawaii), Taiwan Chlorella (China). 13 14 Multinational companies are also investing in this context, some heavily such as ExxonMobil in the field of fuel production (see the onset of collaborative efforts with research institutes in ^{e.g. 95} and in 15 16 the company's dedicated website).

The use of natural biomass, especially nuisance biomass, to retrieve benefits while concomitantly 17 18 offsetting environmental problems, is a smart innovation concept that allows building a win-win relationship between environmental and economic sustainability. These 'mutualistic' relationships 19 20 are a key solution especially in a future where global climate change is evident and nuisances such as cyanobacteria are expected to grow worldwide. Realistically, regardless how exciting are 21 22 academic exercises exploring the potential of natural products or supporting the need of improving 23 environmental management to protect ecosystems and ensure the quality of natural resources, 24 economic variables will always be of paramount importance in defining the success of any newly 25 proposed solution. In this field, strategies that allow an integration of cyanobacteria biomass 26 valorization in nuisance management frameworks towards environmental restoration and humanhealth protection (see Figure 2 for an overview of this suggested approach) are definitively worth of
further attention.

3 As previously detailed, control strategies based on the removal of cyanoHABs biomass are 4 currently not particularly suitable, and we believe that this can be because they are not sufficiently 5 explored. Our reasoning is essentially based on the valorization of removed biomass to cover the 6 costs of operation, and depending on the design of valorization routes, the income may additionally 7 compensate to a certain extent for the overall damage caused by the cyanoHABs (i.e. economic 8 costs of ecological and public health threats). This perspective is illustrated in Figure 2 as the 9 change in focusing the "bright side" of cyanobacteria instead of their "dark side". Such an add-on to 10 nuisance management frameworks was already suggested e.g. for the invasive macrofouling bivalve *Corbicula fluminea* by Rosa et al. ⁹⁶ and Domingues et al. ⁹⁷. An important remark stressed in these 11 12 works where profitable features of invasive species are exposed regards the need to absolutely prevent further dispersion, while applying the proposed strategies to avoid the scale-up of noxious 13 14 environmental and economic impacts. This principle should be extended to the valorization of 15 cyanoHABs. Our proposal stems from the idea that cyanoHABs have potential to be valued, but it 16 is strict in the sense that this should be done when blooms cannot be prevented and are already 17 established. Moreover, its most prominent perspective is the promotion of the economic 18 sustainability of blooms control and the concomitant stimulation of water quality improvement, which immediately down tones the relevance of business-based approaches requiring, for example, 19 especial and temporal stability of the biomass source; on the other hand, the framework is not 20 preventive of an association between environmental management entities interested mostly in the 21 control of the nuisance and business-driven sectors, depending on local regulation on the 22 23 exploitation of natural resources, the availability of the biomass and the relationships allowed between the sectors. 24

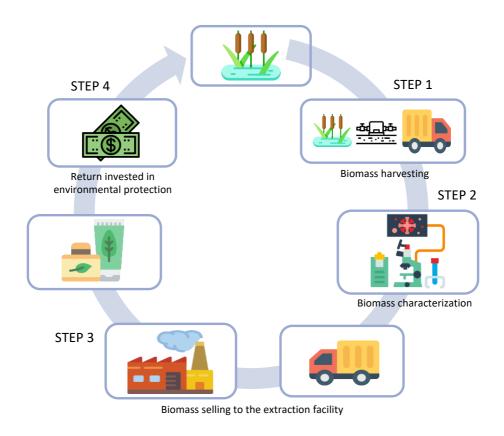
Ideally, a process where multiple valuable products can be retrieved from the same biomass, assuitable in biorefinery processes, should be considered since this allows to magnify the incomes

^{68,98}. As suggested by Chisti ⁹⁸ for microalgae, cyanobacteria biomass can be used to concomitantly 1 produce biodiesel, animal feed and biogas, the costs of feedstock production being reduced by 2 3 natural availability, which contributes further to the efficiency of the valorization routes. Less 4 positive aspects of the valorization of cyanoHABs within a biorefinery framework are two-fold. On 5 the one hand, blooms are seasonal in temperate areas (note that the magnitude of this problem severely decreases in tropical and sub-tropical areas), but climate change (mostly rising 6 7 temperatures and altered hydrologic patterns) is changing blooms occurrence $^{3-5}$, which translates in 8 the extension of the periods through each year when this biomass is available. Indeed, related changes in the phytoplankton community composition have been observed ⁵, as well as the 9 10 geographical expansion of cyanobacteria³. Still, although cheap, cyanoHABs biomass is spatially 11 and temporally variable, so as it is its quality and, consequently, the yields regarding different 12 valuable products. A way to overcome both shortcomings is the establishment of culturing facilities that can be activated when natural feedstocks cannot be collected. The culturing of 13 14 cyanobacteria/microalgae at the industrial scale is viable (see the example of Solazyme, Inc., San 15 Francisco), and has potential for improvement, for example, through genetic engineering of the cultured strains ⁶⁷ or by the implementation of integrated culturing systems that allow reducing the 16 17 costs associated to the creation of culturing facilities. These systems can use wastewater treatment 18 facilities, rich in nutrients, to produce algal biomass while retrieve part of the organic load from waters. Systems like this, transform organic waste in algal biomass, closing the cycle - see e.g. Rose 19 et al. ⁹⁹ or Nagy et al. ¹⁰⁰ for the context, structure and possibilities of integrated algal ponding 20 systems. These systems not only contribute generally to a sustainable development but also to 21 22 improve the sanitation conditions, allowing waste recovery, recycle and reuse, enabling societies to 23 live from its nature income rather than consuming its capital ⁹⁹.

24

25 6.1. Rendering the concept concrete from collection to exploitation – a reasoned exercise

1 Realistically, economic dynamics cannot completely dissociate from environmental protection, and 2 this in an important trigger to develop sustainable solutions for environmental problems. This concept of blooms valorisation does not entail a traditional or even a straightforward approach, but 3 4 it has the potential to effectively build a bridge to bring economical profit to the side of ecosystems 5 conservation, hence favouring environmental protection and restoration. In the present section, we 6 propose a structured theoretical framework to build this bridge, rendering the overall approach 7 economically and environmentally productive, and thus sustainable. This framework is proposed 8 and inspired by the circular economy philosophy, being composed of four sequential, internally 9 flexible steps (Figure 3): step 1 would comprise collection, transport and eventual storage of the 10 blooms intended for valorization; step 2 would include biomass characterization; step 3 would comprise the selling of the biomass to extraction facilities; and step 4 would entail the application 11 12 of the potential profit or Return (R) retrieved from the selling of the biomass.



13

Figure 3. Schematic illustration of the proposed concept of integrating the biotechnological
exploitation ('bright side') of cyanobacteria natural blooms to improve the efficiency of

1 management frameworks, as inspired by the circular economy philosophy. The scheme includes

2 four sequential, internally flexible steps. Icons from www.flaticon.com (accessed January 2021).

3

The sequence towards the sustainable valorization of cyanobacterial blooms obviously starts with 4 5 the identification of an affected waterbody. Cyanobacterial biomass can be extracted (i.e. removed) 6 by suction of water with circulator pumps coupled with sieves so that retained cyanobacteria can be 7 easily collected into a container in a truck (Step 1). Systems for extraction of blooms and 8 concentration of the biomass are increasingly available, as illustrated by e.g. patent CN101602533B or the work of companies like AECOM¹⁰¹. The cells retained in the sieves cab be scraped into an 9 appropriate container for transport. The type of pump or oil-spill skimmer used for collecting the 10 11 cyanobacteria should be carefully optimised as it constitutes an important part, if not the most significant part of the costs associated to the proposed valorization strategy. Furthermore, 12 depending on the stage of the bloom being collected, sieving optimisation can be required. At an 13 advanced stage (scum or nearly-scum phase), cyanobacteria accumulate mostly in the surface, and 14 pumping only from surface water layers prevents the capture of non-target organisms. When this is 15 16 not the case, the sieving system requires optimisation, for example by assembling sequences of sieves of decreasing mesh size. While cyanobacteria should be retained by the finer sieve(s), the 17 accumulated biomass being easily scrapped into the transport container, non-target organisms 18 retained in larger upstream sieves can be easily returned to the ecosystem by back flushing. The 19 cyanobacteria biomass is then transported into a processing facility where it is first stored. The 20 21 storage process (e.g. refrigerated chamber) prevents the degradation of the biomass and the production of bad odours, until further biomass characterization, *i.e.* toxin analysis (Step 2). 22

23 Step 2 is a critical step because the presence of toxins will determine the fate of the biomass. Safety

24 measures are important when handling potentially toxic cyanobacteria. Protective equipment range

25 from standard laboratory coats, gloves and safety glasses to breathing masks if there is a risk of

26 inhalation ²⁶, although this equipment does not largely differs from basic safety equipment

1 recommended for handling natural samples from any aquatic ecosystem that is not comprehensively known by operators. Naturally, the products extracted from a natural bloom may not be the same as 2 3 the ones extracted from pure cultures of cyanobacteria. Compounds with high purity requirements 4 such as those intended for food or pharmaceutical applications cannot be the primary targets of 5 blooms collected *in natura*. However, there are suitable and economically attractive applications for 6 such a raw biomass, including biofuels, fertilizers, but also the extraction of less purity-demanding 7 compounds and even toxins. If toxins are present, the biomass should be treated carefully, and its 8 destination could be the extraction of the toxins itself or the production of biofuels. If the biomass is potentially toxin-free, other uses can be equated (see section 5 for an overview) complying with the 9 10 applicable regulation within each envisaged market. Therefore, toxin screening is critical, and can be made through several approaches. Molecular approaches based on the identification of genes 11 12 encoding for cyanobacterial toxins can play an important role as they are simple, rapid, cost effective, sensitive and specific, allowing the simultaneous analysis of several target gene products 13 ¹⁰². The presence of these genes can be easily assessed by PCR (Polymerase Chain Reaction) using 14 primers targeting regions of the operons involved in the synthesis of microcystins ¹⁰³, 15 cylindrospermopsin¹⁰⁴, saxitoxins¹⁰⁵, anatoxins¹⁰⁶, and nodularins¹⁰⁷. However, the presence of 16 target genes does not necessarily mean that they are transcribed and that transcripts are actually 17 18 expressed for the actual production of the toxins by the cells. Still, this screening stage is relevant: if samples do not bear genes responsible for toxins production, then there is no need to invest in 19 20 further stages (some more expensive) to confirm the presence of toxins in the sample; depending on the target genes present, then a selection of downstream methods for toxin quantification can be 21 made, with the logical cost efficiency gains. In this context, methods like the Enzyme Linked 22 23 Immune Substrate Assays (ELISA) provide the concentration of a particular toxin in a tested 24 sample. This is the most common biochemical technique for cyanotoxins screening and it is based on the coating of well plates or test tubes with toxin antibodies ⁴⁰. It is a relatively inexpensive, 25 simple, fast, sensitive, specific and easy technique ³⁴. However, it also presents some limitations, as 26

1 it does not distinguish toxin variants. Although this can be a limitation in some studies, in stage 2 of the proposed framework, a precise analysis of the toxins variants is generally unnecessary as the 2 purpose of this biomass characterization stage is to support the decision on directing the raw 3 4 biomass for different extraction companies, who then must design further assessment schemes 5 depending on the application intended for the extracted product and also the extraction and purification processes that will be adopted. Other options for the general characterization of the raw 6 7 biomass may include analytical methods such as High Performance Liquid Chromatography (HPLC) e.g. 108, Mass Spectrometry (MS) e.g. 109, or even, although less used, the Nuclear Magnetic 8 Resonance (NMR)¹¹⁰. A overview on the methods and recommendations can be found in the latest 9 10 WHO guidelines ²⁶, in Chapter 14. The safety limits that should withstand for cyanotoxins in the collected biomass can be generally interpreted from those suggested by WHO for recreational 11 water. Still, the requirements of each application should tune the definition of each specific set of 12 limits, accounting to the planned processing stages since some of the steps involved (e.g. heat 13 14 treatment, pH, use of specific solvents) can possibly inactivate some cyanotoxins or even allow 15 successful separation of the toxins while extracting the product of interest.

16 Once the biomass is characterized, the basic conditions are set for selling it to the most suitable company. A myriad of companies is available (see section 6) and, according to their industrial 17 18 processes, biomass will be processed and transformed to different products that can then enter in the value chain (Step 3). Finally, considering environmental ethic principles ruling worldwide, it is 19 20 straightforward that a step 4 is in place to ensure compensatory measures. The most logical proposal is that the profit/return retrieved from the valorization of natural blooms (generally 21 22 regarding the selling of the biomass to exploitation companies; see below) is re-invested in 23 environmental protection in general or in control by physical removal of blooms (eventually 24 synchronized with step 1 of the valorization framework) more specifically, picturing the closing of 25 the cycle, as illustrated in Figure 3.

26 In terms of framework management, the most efficient system would be to address the collection,

1 transportation, storage and toxin analysis to local/regional entities with responsibilities towards 2 environmental management of waterbodies (e.g. municipalities, public/non-profit water treatment 3 companies/organizations, environmental management entities). This straightforwardly allows the 4 use of the profit/return retrieved from biomass selling to exploitation companies either to 5 compensate for the expenses in the physical removal of the blooms or to broadly apply in 6 ecosystem protection and restoration measures. Assuming that such a straightforward approach is not universally realistic, a system of fares can be established in benefit of standard management 7 8 entities in each country/region, so that private companies profiting from each of the steps of the framework can support environmental protection following the users-pay principle ¹¹¹. A final note 9 10 is worth making on the need to tightly regulate the actions under the scope of valorization 11 frameworks. In the case of our bloom valorization framework, regulation is critical to assure that 12 the bloom is not assumed as a normal profitable asset, because this is not primarily a business 13 model. Instead, the idea is restricted to the stimulation for an effective control of existing blooms 14 when preventive management was not effective. Although we obviously do not have real data to 15 evaluate the economic viability of this approach, its preliminary assessment before any future implementation is critical (section 6.1.1). This preliminary assessment was made without a specific 16 assignment of the return to each stakeholder involved. This was done for simplification at such an 17 18 early stage of the idea, but also because the structure of the consortia involved in its future implementation are very much dependent on the scale (national, regional or local), the overall 19 governance structure regarding environmental management of natural resources at each country or 20 21 region, and the managerial resources available, including personnel, infrastructure and budget. For example, depending on the context, dedicated financial support from governmental sources can 22 assist the control by removal of cyanobacteria blooms in emergent situations (as reported e.g. for 23 Lake Taihu, China ⁶⁶. In such a case, this funding adds to the return that can be obtained through the 24 valorization, reinforcing the stimulation towards effective environmental management of blooms in 25 26 particular and/or environmental protection and restoration actions in general since the scope for

- budget enlargement necessarily increases. It is worth mentioning in addition the currently
 unaccounted benefits that can be gained regarding local and regional development through smart
 specialization as allowed by the biotechnology-based valorization approach suggested.
- 4

5 6.1.1. Economic viability of the proposed concept

6 The potential market of removing cyanobacteria from contaminated waters and commercially 7 exploring the biomass was economically analysed, based on the creation different scenarios. 8 Briefly, when an economic analysis is under development, three areas need to be fulfilled to 9 complete the evaluation. It is required (i) to set up a target output or production scenarios, then (ii) 10 to determine the sequence of unit operations/steps and their respective parameters and conditions, 11 and finally, (iii) to collect the economic datasets to populate the model. Following this rationale, 12 some attempts have been approached by some of us aiming to develop the best approach to evaluate the use of biotechnological processes on the valorization of different biomass sources ^{112,113}. 13 14 Specifically, in the recent work by Martins and collaborators ¹¹², the valorization of a marine raw 15 material (red macroalga, Gracilaria gracilis) through the exploitation of the economic and 16 commercial potential of extracted and purified phycobiliproteins was successfully addressed. Using a similar approach, the parameters of potential profit or Return (R) were defined and modelled 17 18 herein. Briefly, this model/equation generates an R value that can be a positive or negative number, 19 which represents the potential profit that can be generated from a product by considering its 20 production, potential price, and production costs. The simplified version for the Return equation (Eq. 1) used herein relates the Return to the concentration of cyanobacteria in the contaminated 21 22 water (mass per unit of volume) (C_{cyano}), the potential selling price for this biomass (price per unit of mass) (\$cyano), the associated administrative (\$admin) and process (\$proc) costs for harvesting the 23 24 cyanobacteria (cost per unit of volume).

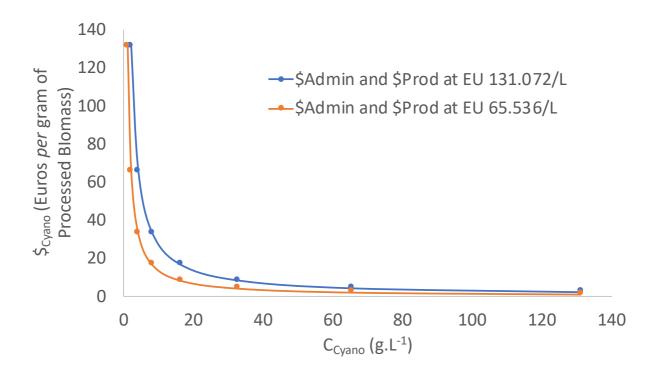
25
$$R = (C_{Cyano} \times \$_{Cyano} - \$_{Admin}) - \$_{Proc}$$

Eq.1

1 As previously mentioned, different scenarios were created to provide a more complete evaluation. 2 For a more comprehensive analysis, a large range of values for each variable was defined. It was 3 decided to test for each of them the range from 0.001, then by increasing by a factor of 2 up to 4 reaching the first value above of 100 (this limit was decided as it is possible to analyse any number 5 of desired scenarios, but a limit must be established). These values were applied for each variable, 6 and the units can be expressed in any currency, mass, and volume unit, as long as they are consistent throughout the equation. In the case of the example presented here the units were either 7 in g.L⁻¹, Euro (EU).g⁻¹, or EU.L⁻¹. In total, 18 values for 4 variables provided a collection of 8 9 104,976 combinations. The complete collection of results for the Return calculation can be found in 10 the Supplementary Material. Within this range of values for R obtained by the 104,976 11 combinations the potential real (in practice) value can be found.

For this work, it was decided to use the value of EU 103 per 21,000 L (EU 0.0086 per 1 L) as a 12 benchmark for the costs of harvesting cyanobacterial biomass from a contaminated waterbody (i.e. 13 14 process costs, \$proc.). This is a median value charged by some Fire Departments in Portugal to fill 15 private pools, following pumping from a natural reservoir and transporting 21,000 L of water. We 16 argue that the costs of the Step 1, regarding the collection, transport and possibly short-term storage 17 of the biomass (Figure 3) should not be much higher/different that this reference value, considering 18 our reasoning that public services with own resources should be involved at this stage (see section 19 6.1). Notwithstanding this, most of the scenarios created use a cost higher than this (> EU 0.008 per 20 1 L), which will make this study a more robust tool to predict the potential real-life costs and Return. 21

Since the Return indicates the potential profit, it should have a positive value. In order to enhance the impact of the potential Return, it was decided to present the results for the worst scenario calculated. This scenario was achieved by using the maximum value (EU 131.072.L⁻¹) for the variables Admin and Proc. In this scenario, the values for C_{cyano} and cyano that provided the least positive R value were selected and presented in Figure 4. To preserve a positive value, the 1 combinations of C_{Cyano} and \$_{Cyano} will need to be maintained at the upper right area of Figure 4. In terms of values, this means that a C_{Cyano} of 131.072 g.L⁻¹ can have a \$_{Cyano} of EU 2.048.g⁻¹. The 2 same relationship applies for the opposite, i.e. a value of c_{yano} of EU 132.072.g⁻¹ can accommodate 3 4 a low cyanobacterial concentration in water of 2.048 g.L⁻¹. It is critical to understand that these 5 values can be read as high, but they are under the assumption of the worst scenario for \$Admin and 6 \$Prod. If these two variable (\$Admin and \$Prod) values decrease, then the curve in Figure 4 will shift 7 towards the lower left, allowing room for different combinations of C_{Cyano} and \$_{Cyano}, particularly 8 combinations with less favourable values.



9

Figure 4. Threshold for a positive Return (R) for a A_{Admin} and P_{Prod} at EU 65.536 per liter and EU 131.072 *per* liter. Combinations of C_{Cyano} and C_{Cyano} for a positive R need to be to the upper right of the curves.

13

14 To further emphasize the applicability of the framework proposed herein, the economic analysis 15 exercise can be continued by considering a specific extractable compound of interest. In fact, the 16 biomass collected from natural blooms can be explored for different bioproducts that can be used 17 for different applications in different markets (depending on their toxin content), and this can be incorporated in Eq. 1 as long as sufficient data are available, which is not so common currently.
Still, as an example for which own information is available, we are considering phycocyanin as a
model product ¹¹⁴, and the Return analysis was added with two terms (Eq. 2) to account (i) for the
potential income of selling phycocyanin (C_{Phyco} x \$_{Phyco}); and (ii) for the production cost of this
pigmented product (\$_{Phyco Proc})

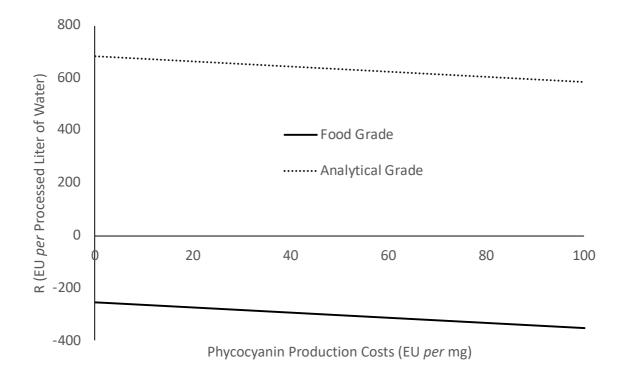
6

7
$$R = (C_{Cyano} \times \$_{Cyano} - \$_{Admin}) - \$_{Proc} + (C_{Phyco} \times \$_{Phyco} - \$_{Phyco Proc})$$
Eq.2

8

To populate the Eq. 2 and analyse the impact of including the profit and cost of phycocyanin 9 10 production, benchmark values were established for the variables in order to decrease the number of 11 calculated critical scenarios. Representing the worst-case scenario regarding the variables in Eq. 1, C_{Cvano} and \$_{Cvano} were fixed at the lowest level possible (0.001 grams of biomass per liter of water 12 13 and EU 0.001 per gram of biomass, respectively), while \$Admin and \$Proc were fixed at the highest 14 value analysed before (EU 131.072 per liter of processed water for both). For the new variables 15 present only in Eq. 2, C_{Phyco} was fixed at a reported value of 63 mg of Phycocyanin per g of fresh biomass ¹¹⁴, while \$_{Phyco} was allowed to vary within the range comprising food- and analytical-16 grade prices (EU 0.13 and EU 15 per mg of phycocyanin)^{114,115}. The last variable is the production 17 18 cost of obtaining phycocyanin (\$Phyco Proc). Its value can change dramatically depending on the process used. In this way, and following the literature ¹¹², a convenient range of five values (EU 19 0.01, EU 0.1, EU 1, EU 10 and EU 100 per g of biomass) was tested. The required phycocyanin 20 21 units conversion from EU per gram of biomass to EU per litre of processed water was made based on previous studies (100 grams *per* litre ¹¹⁴). Thus, and to emphasize the application of the proposed 22 framework, the value used here was decreased to 1 gram *per* litre to show results under a restricted 23 situation. Figure 5 shows the two extremes of the scenarios calculated, evidencing R for 24 phycocyanin obtained at food grade or at analytical grade. The R for any other purity grade in 25 between will be located in between. It is worth remarking that the rest of the variables were fixed at 26

- 1 the most conservative value (worst-case scenario). In practice, it is expected that these values can be
- 2 optimised, which will translate into an even higher potential Return.



3

Figure 5. Calculated Return (R) after the inclusion of phycocyanin production. Values for fixed
variables are: \$Admin = EU 131.072 per L, \$Proc = EU 131.072 per L, C_{Cyano} = EU 0.001 g per L,
\$Cyano = EU 0.001 per L, C_{Phyco} = 63 mg per g, \$Phyco = EU 0.13 and EU 15 per mg (for food and
analytical grade, respectively). Results show limits for the potential R that can be obtained
depending on the final purity, selling price and concentration of phycocyanin generated.

- 9
- 10

11 Conclusion

12 Climate change is increasing the frequency, duration and intensity of CyanoHABs, imposing 13 growing impairment of the water supply quality, fisheries and recreational resources. Herein, a 14 critical review on the nuisance potential of cyanoHABs ('dark side') and related management 15 practices was followed by the conceptual proposal of integrating their biotechnological exploitation 16 ('bright side') as a new axis to improve the efficiency of management frameworks. The basic

1 protocol within this approach would be to value the cyanoHABs biomass physically removed from affected waterbodies rather than landfilling or directing it to (expensive) treatment, which is a 2 3 traditional control strategy. Since cyanobacteria are rich in bioactive compounds of high commercial potential, they may be recognised as valuable alternative feedstocks for strategic 4 5 sectors, under a multi-product scenario. We demonstrate that the economic income of such 6 approach can cover the costs of control actions over existent cyanoHABs, maintaining the direct 7 ecological, economic (in touristic areas), and human health safety benefits, but it can also be 8 profitable. This was evidenced both at the level of the biomass delivery to production units and 9 considering the full exploitation cycle using the valuation of phycocyanin as an example. Although 10 the economic potential and viability of the overall concept explored in this work is already promising, there is further scope for growth if biorefinery frameworks for multi-product 11 valorization from the same biomass are integrated ¹¹⁶. As a novel proposal for the sustainable 12 control of cyanobacteria blooms, this work is a stepping stone rather than a final draft of a new 13 framework. The present article demonstrates the suitability of the framework, but several aspects 14 15 certainly need further development stages, both from the operational and the regulatory viewpoints. 16 For example, the design of an efficient pumping system that allow the collection of the cyanobacterial biomass while causing minimum disturbance in the biotic communities of the 17 18 affected waterbody is a primary aspect deserving attention, although most of the current strategies applied to the control of cyanobacteria blooms also have these kind of drawbacks. 19

- 20
- 21

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