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**Time periods of altered risk for severe injection drug use-associated skin and soft-tissue infections: protocol for a self-controlled case series in New South Wales, Australia, 2001-2018**

PROTOCOL

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## 30 INTRODUCTION

31 Injecting-related bacterial and fungal infections (e.g., skin and soft-tissue infections,  
32 endocarditis, osteomyelitis, etc.) are common health problems among people who inject drugs,  
33 associated with pain, disability, and death. The incidence of these infections is rising in the  
34 UK,<sup>1,2</sup> Australia,<sup>3,4</sup> Canada,<sup>5-7</sup> and the USA.<sup>8-10</sup> Individual injecting practices (e.g. intramuscular  
35 or subcutaneous injecting, skin cleaning, handwashing, more frequent injecting) have been  
36 identified as risk factors for injecting-related infections.<sup>11</sup> Individual-level behavioural and  
37 educational interventions have been developed to promote safer injecting techniques,<sup>12-15</sup> but  
38 these show inconsistent efficacy and have not made an impact on population incidence. Better  
39 understanding of the social and environmental factors that shape individual injecting practices  
40 and risk for injecting-related infections is urgently needed.<sup>16,17</sup>

41  
42 Qualitative research has explored several social and structural factors contributing to risk for  
43 injecting-related infections through shaping individual injecting experiences and access to  
44 health care.<sup>16,17</sup> For example, people who are incarcerated often need to hide their injection  
45 drug use and reuse contaminated or blunted (dull) needles when they do not have access to  
46 harm reduction services like a needle and syringe program.<sup>18-20</sup> People without housing are less  
47 likely to have hygienic, well-lit, and safe spaces to prepare and inject their drugs using clean  
48 touch techniques, especially if they do not have access to a supervised consumption site.<sup>21-23</sup>  
49 Policing enforcement may lead people to rush their injection when injecting publicly, and inject  
50 in their muscle (a practice associated with increased risk of abscesses) to avoid being caught  
51 with drugs.<sup>24</sup> Many people who inject drugs delay or avoid accessing health care for superficial

52 infections, because of previous experiences of discrimination and untreated pain and  
53 withdrawal in health care settings.<sup>25</sup>

54

55 While these social determinants of injecting-related infections have been explored in interview-  
56 based and ethnographic qualitative work, quantitative research on how social and structural  
57 exposures contribute to risk for injecting-related infections has been limited. For example,  
58 several quantitative studies have simply described positive associations between injecting-  
59 related infections with recent incarceration<sup>18,26,27</sup> and with current homelessness.<sup>28</sup> One  
60 ecological study found no association between police raids and hospital admissions for injection  
61 drug use-associated endocarditis among the same neighborhoods during those time periods.<sup>29</sup>

62 These quantitative studies have not identified potential causal pathways or opportunities for  
63 risk-reduction interventions.

64

65 A potential value of quantitative studies would be to identify signals of specific time periods or  
66 transitions (e.g., immediately following release from incarceration) associated with increased  
67 risk for injecting-related infections. These findings could both explore the time-varying nature  
68 of social exposures (e.g. incarceration) that would require tailored responses (e.g. harm  
69 reduction programs within jails and prisons) and may reveal opportunities for “critical time  
70 interventions”<sup>30,31</sup> (i.e. time-specific interventions harm reduction, navigation, or liaison/linkage  
71 to care) at certain time points. This has been most robustly investigated in the relationship  
72 between release from incarceration and increased overdose risk,<sup>30,32</sup> but to our knowledge has  
73 not been explored in the context of risk for injecting-related infections.

74

75 Self-controlled study designs can be particularly useful for examining the effect of the timing of  
76 exposures. The self-controlled case series makes within-individual comparisons in the  
77 probability of an event occurring during different exposure periods. As such, self-controlled  
78 study designs inherently account for the effects of unmeasured confounding factors that do not  
79 vary over time. These methods are especially useful for studying exposures, such as  
80 incarceration or opioid agonist treatment (OAT) use, in which people who have these exposures  
81 likely differ from people who do not have these exposures in ways that are difficult to  
82 measure.<sup>33-38</sup> For example, a self-controlled study identified time periods of increased risk of  
83 non-fatal overdose on the day of admission to prison, within 4 weeks after release from prison,  
84 and within 2 weeks after hospital discharge.<sup>38</sup> The same study identified lower risk of non-fatal  
85 overdose during use of opioid agonist treatment (OAT).<sup>38</sup> A case-crossover study identified  
86 increased risk for fatal overdose in the days after hospital discharge compared to other times.<sup>37</sup>

87

88 The excess risk of overdose seen during these time periods has been attributed to several  
89 potential factors. These include return to use following periods of abstinence and associated  
90 loss of tolerance, and a reduced capacity to use drugs more safely due to disconnection from  
91 social networks, housing and income support, and harm reduction and treatment services.<sup>32,39</sup>  
92 Some of these (e.g. reduced capacity to use drugs safely due to social disconnection) could be  
93 relevant to injecting-related infections but others (e.g. loss of tolerance) would not necessarily  
94 be relevant. We are not aware of any existing studies using self-controlled designs to  
95 understand associations between timing of exposures and risk for injecting-related infections.

96

97 Using a self-controlled study design, the aim of this proposed study is to quantify the risks of  
98 injecting-related bacterial and fungal infections associated with initiation of, exposure to, and  
99 discontinuation of incarceration and OAT among a sample of people with opioid use disorder.

100

## 101 **METHODS**

102 This study will involve several self-controlled case series. This method includes only cases (i.e.,  
103 people who experienced the outcome of interest) and focuses on the timing of exposures in  
104 relation to the outcome.<sup>33,34,36,40</sup> Self-controlled study designs measure the effects of transient  
105 exposures; they were initially designed to understand the “triggering” effects of an exposure  
106 (e.g. MMR vaccination) on an outcome (e.g. aseptic meningitis) and now have been extended  
107 to time-varying exposures of longer duration.<sup>33,34,41</sup>

108

### 109 **Setting and data sources**

110 Data will come from the Opioid Agonist Treatment Safety (OATS) Study, which is an  
111 administrative data linkage cohort including every person in New South Wales, Australia, who  
112 accessed OAT (methadone or buprenorphine) for opioid use disorder from 2001 to 2018. OAT  
113 permit records are linked to vital statistics (mortality records), hospitalizations, emergency  
114 department visits, incarceration, and ambulatory mental health records databases. Every  
115 participant in the OATS Study has opioid use disorder and has accessed OAT at some point. The  
116 protocol and cohort profile for the OATS Study has been published.<sup>42,43</sup>

117

118 **Sample**

119 The sampling frame includes all OATS Study participants with linkage to hospital records. As  
120 self-controlled case series are a case-only study design, the analytic sample will include all OATS  
121 Study cohort participants who experienced at least one outcome of interest (i.e., hospitalization  
122 for injecting-related infection) after their first recorded use of OAT (which made them eligible  
123 for inclusion in the OATS Study).

124

125 **Outcomes**

126 Our primary outcome is hospital admission (unplanned, emergency) for skin and soft-tissue  
127 infection, defined using ICD-10 code groupings consistent with prior studies (See Table 1).<sup>4,44</sup>

Table 1. ICD-10 codes used to identify skin and soft-tissue infections.

Codes	Diagnosis
A48.0	Gas gangrene
L02.X	Cutaneous abscess, furnuncle and carbuncle
L03.X	Cellulitis
L08.8	Other specified local infections of skin and subcutaneous tissue
L08.9	Local infection of skin and subcutaneous tissue, unspecified
L97	Ulcer of lower limb, NEC
L98.4	Chronic ulcer of skin, NEC
L98.8	Other specified disorders of skin and subcutaneous tissue
L98.9	Disorder of skin and subcutaneous tissue, unspecified
M72.6	Necrotizing fasciitis
R02	Gangrene, NEC

NEC : Not elsewhere classified.

128

129 Prior research from our team has grouped together multiple types of injecting-related bacterial  
130 and fungal infections (including endocarditis, osteomyelitis, and septic arthritis) in addition to  
131 skin and soft-tissue infections, recognizing their shared pathophysiology.<sup>4,44</sup> These deeper  
132 infections are often caused by insufficiently treated skin and soft-tissue infections that progress

133 and become more severe until they enter the bloodstream; so, there is likely a more a variable  
134 and longer duration between the timing of the initial infection and the timing of the  
135 hospitalization with deeper infections compared to skin and soft-tissue infections.

136

137 The self-controlled case-series method requires recurrent outcome events to be independent.

138 Given that having had a previous injecting-related infection is associated with increased risk of

139 subsequent infections, recurrent infections are likely to be dependent. Therefore, we plan to

140 follow recommended practice and limit the analysis to the first hospitalization for injecting-

141 related skin and soft-tissue infections during the study period.<sup>35,45,46</sup>

142

### 143 **Exposures**

144 In separate models, we will examine time periods (known as “focal windows” in guidance

145 documents<sup>40</sup>) associated with initiation of, exposure to, and discharge from (a) incarceration

146 and (b) use of OAT (methadone or buprenorphine). These will be compared to unexposed time

147 periods (also known as “referent windows”<sup>40</sup>).

148

149 These exposures have been assessed in relation to risk of overdose in prior self-controlled

150 studies.<sup>37,38</sup> We plan to assess time periods of up to 2 weeks, while these prior studies

151 examining overdose risk included time periods as short as one day. Overdoses are immediate

152 events occurring over a timeline of minutes, so a risk period of one day may capture this

153 entirely. Given that acute injecting-related infections may take days (and occasionally weeks) to

154 progress in severity to the point of requiring hospitalization, we only consider risk periods in  
155 increments of two or more weeks.

156

157 We also added time periods preceding the exposure. If we observe an excess risk of injecting-  
158 related infections in the time period leading up to an exposure (e.g. incarceration), it may point  
159 to a third factor (e.g. life stressors associated with impoverishment or loss of housing) that are  
160 increasing risks for both the outcome and the exposure (e.g. infections and incarceration). This  
161 will also allow us to further explore the recent findings of Colledge-Frisby and colleagues that  
162 infection risk may be increased immediately before OAT initiation.<sup>4</sup> Similarly, if risk of  
163 hospitalization for injecting-related infections appears elevated immediately following  
164 incarceration or initiation of OAT, this may reflect a process of recognizing and facilitating  
165 treatment of pre-existing infections in these settings.

166

#### 167 *Primary exposure 1: Incarceration*

168 Depending on the incarceration setting, people may have less or more access to unregulated  
169 drugs while incarcerated. People who use drugs who are incarcerated are forced to use drugs in  
170 unconventional and hidden ways, exposing them to greater harms and risks related to drug  
171 use.<sup>47</sup> At the same time, incarceration leads to heavily restricted access to harm reduction  
172 services, including no access to needle and syringe distribution programmes and lack of  
173 education on safer injecting technique. For example, a study on hepatitis C risks in Australian  
174 prisons found that of 1,926 study participants with any history of injection drug use, 1,134  
175 (59%) reported injecting in prison.<sup>48</sup> Of the 797 who reported injecting in the previous month,



176 598 (75% of these) reported injecting at least once per week and 722 (91%) reported re-using  
177 injecting equipment after someone else had used it (a known risk factor for injecting-related  
178 infections). All Australian prisons in the study offered some harm reduction services, including  
179 OAT and access to an ammonium disinfectant to cleanse injecting equipment, but did not offer  
180 needle and syringe programmes.<sup>48-50</sup> The likelihood of injection during prison may vary  
181 depending on length of imprisonment and availability of OAT. Therefore, risks for injecting-  
182 related infections may be higher while incarcerated or soon after release. As described above,  
183 the time immediately following release from incarceration is associated with excess risks of  
184 overdoses, which has been attributed to return to use following periods of abstinence and  
185 associated loss of tolerance, and a reduced capacity to use drugs more safely due to  
186 disconnection from social networks, housing and income support, and harm reduction and  
187 treatment services.<sup>32,39</sup>

188

189 Proposed risk periods for incarceration exposure:

- 190 1. Weeks -4 and -3 (days -30 to -16 ) before incarceration
- 191 2. Weeks -2 and -1 (days -15 to -1) before incarceration
- 192 3. Weeks 1 and 2 (days 0 to 14) of incarceration
- 193 4. Weeks 3 and 4 (days 15 to 29) of incarceration
- 194 5. Remainder of time incarcerated (day 30 onward)
- 195 6. Weeks 1 and 2 (day 0 to 14) after release
- 196 7. Weeks 3 and 4 (day 15 to 29) after release
- 197 8. Remainder of time not incarcerated (day 30 onward)

198

199 *Primary exposure 2: Opioid agonist treatment (OAT)*

200 Opioid agonist treatment (OAT; e.g. methadone, buprenorphine) allows people with opioid use  
201 disorder to inject less frequently and in a more controlled manner, and facilitates regular health  
202 care contacts. It is well-established that current use of OAT is associated with significantly  
203 reduced risks of overdose.<sup>51,52</sup> Prior research from the OATS Study found use of OAT was  
204 associated with reduced incidence<sup>4</sup> and recurrence<sup>44</sup> of injecting-related infections but this has  
205 not been studied using a self-controlled study design. The time following OAT discontinuation  
206 has been associated with excess risks of death,<sup>53</sup> but this has not been previously studied in  
207 relation to injecting-related infections.

208

209 Consistent with prior OATS Study analyses, a new OAT episode will be defined as one starting  
210 more than six days after the end of a previous treatment episode.<sup>4,39,44,54–56</sup> The same definition  
211 will be used for defining the end of an OAT episode, interpreting the 6 days following the final  
212 day of the prescription exposed to OAT. This decision was originally based on consultation with  
213 clinicians and pharmacologists<sup>56</sup> and similar approaches (e.g., 3 to 6 days) have been used by  
214 other investigators outside the OATS Study.<sup>57,58</sup>

215

216 Proposed risk periods for OAT exposure:

- 217 1. Weeks -4 and -3 (days -30 to -16 ) before OAT initiation
- 218 2. Weeks -2 and -1 (days -15 to -1) before OAT initiation
- 219 3. Weeks 1 and 2 (days 0 to 14) on OAT

- 220 4. Weeks 3 and 4 (days 15 to 29) on OAT
- 221 5. Remainder of OAT treatment episode (day 30+)
- 222 6. Weeks 1 and 2 (day 0 to 14) after OAT discontinuation
- 223 7. Weeks 3 and 4 (day 15 to 29) after discontinuation
- 224 8. Remainder of time not using OAT (day 30+)

225

#### 226 *Covariates*

227 Covariates that do not vary by time will be adjusted for by the self-controlled study design. We  
228 will incorporate the following time-varying exposures into multivariable regression models,  
229 described below:

- 230 • Calendar year: This could act as a proxy for policy and risk environment changes affecting  
231 exposures (e.g. availability and eligibility of OAT; changes in policing enforcement and  
232 incarceration) and outcomes (e.g. changes in unregulated drug supply influencing risk for  
233 injecting-related infections).
- 234 • Age

235

#### 236 **Analysis**

237 We will calculate descriptive statistics for this case-only sample, including age at study entry,  
238 sex, and Aboriginal or Torres Strait Islander status.

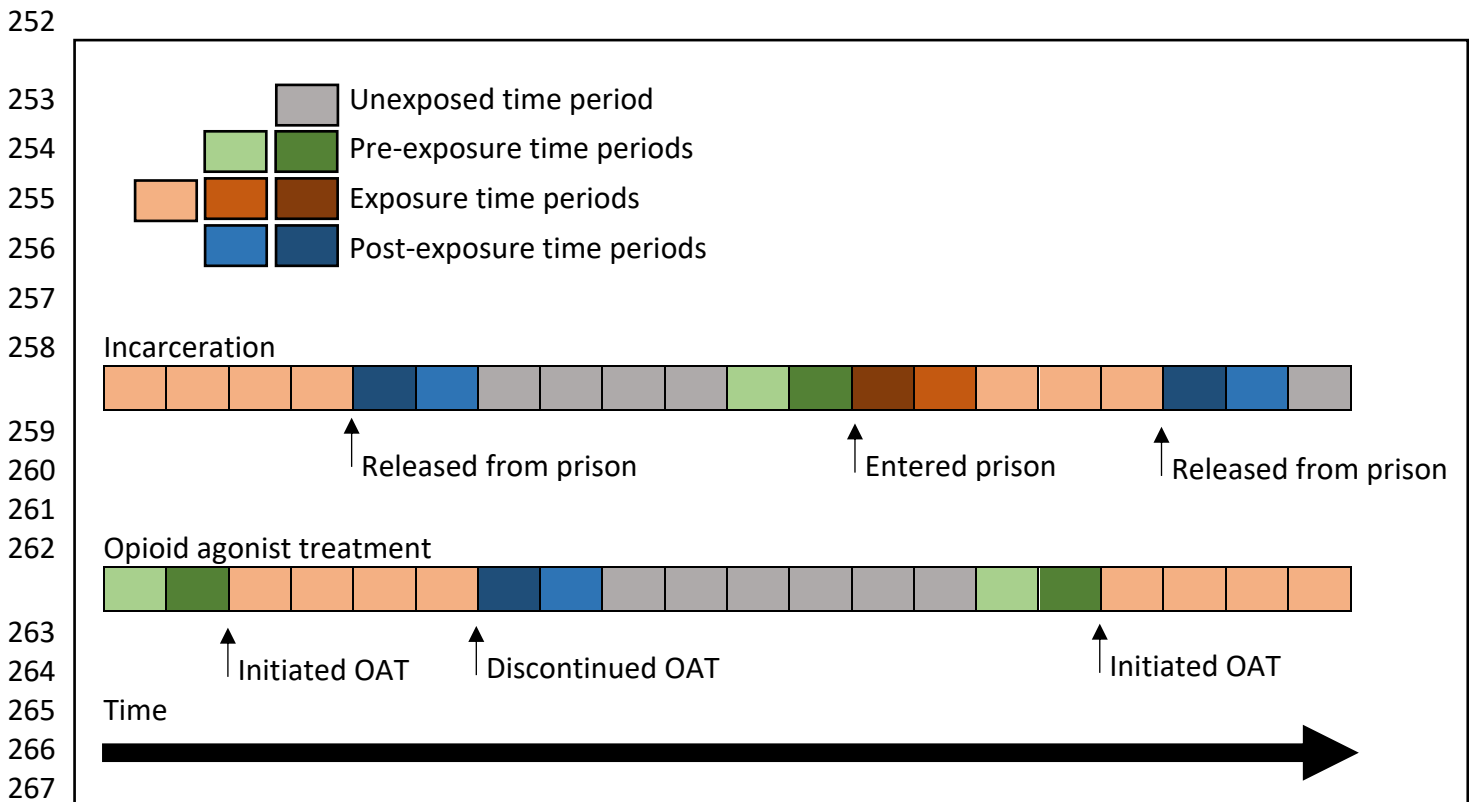
239

240 We will then calculate incidence rate ratios (IRRs) of each outcome using conditional Poisson  
241 models, comparing the incidence of hospitalizations for skin and soft-tissue infections during

242 defined exposure periods to the unexposed period. Only individuals who change exposure  
 243 status during follow-up will contribute to these IRR estimates. However, all other individuals  
 244 contributed indirectly to the multivariable models through the estimates of the other  
 245 covariates.

246

247 See Figure 1, below for schematic illustrating separate analyses for one individual who has  
 248 experienced each exposure at least once. Note that some of the exposure periods can occur  
 249 simultaneously (e.g. initiation of OAT in the days following release from incarceration). Our  
 250 primary analysis will consider each of these potential exposures in separate models without any  
 251 interactions.



268 **Figure 1. Time periods of potentially altered risk for outcomes in the self-controlled case**  
 269 **series.** Each horizontal bar represents a single study participant, which each shaded block

270 representing a different risk time period. Figure adapted from Keen et al.<sup>38</sup> OAT: Opioid agonist  
 271 treatment.  
 272

273

274 **POTENTIAL RESULTS**

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Table 1. Shell table showing potential presentation of sample characteristics

Variable	Level	Value
Age at study entry	Median (IQR)	
Sex	N (%) female	
Aboriginal or Torres Strait Islander	N (%)	
Ever incarcerated	Yes, N(%)	
	No	
Ever on OAT	Yes, N(%)	
	No	

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Table 2. Shell table showing potential presentation of association between time periods and the incidence of hospitalizations for injecting-related bacterial or fungal infections.

Exposure category	N (%)	IRR (95% CI)	Adjusted IRR (95% CI)
<b>Incarceration</b>			
Time out of incarceration	N (%)	1 (ref)	1 (ref)
Weeks 4-3 before incarceration	N (%)	IRR (95% CI)	aIRR (95% CI)
Weeks 2-1 before incarceration	...	...	...
Weeks 1-2 of incarceration	...	...	...
Weeks 3-4 of incarceration			
During remainder of incarceration	...	...	...
Weeks 1-2 post-release	...	...	...
Weeks 3-4 post-release	...	...	...
<b>Opioid agonist treatment</b>			
Time out of OAT	N (%)	1 (ref)	1 (ref)
Weeks 3-4 before OAT	N (%)	IRR (95% CI)	aIRR (95% CI)
Weeks 1-2 before OAT	...	...	...
Weeks 1-2 after OAT initiation	...	...	...
Weeks 3-4 after OAT initiation	...	...	...
Remainder of time on OAT	...	...	...
Weeks 1-2 after OAT discontinuation	...	...	...
Weeks 3-4 after OAT discontinuation	...	...	...

281 **LIMITATIONS**

282 **1. The self-controlled case series design does not produce estimates of absolute risk,**  
283 **only estimates of relative risk.** As this study design involves a case-only analytic sample,  
284 it cannot estimate the absolute risk of injecting-related infections in the population.<sup>34</sup>

285 However, the estimates of relative risk in self-controlled study designs are applicable to  
286 the wider population from which the sample was drawn.<sup>34,41</sup>

287 **2. Some time-varying confounding will not be measurable.** The self-controlled case series  
288 design eliminates time-fixed confounders (since individuals serve as their own control),  
289 and we will account for measurable time-varying exposures like age and calendar year in  
290 regression models. However, some exposures that are not observable in this  
291 administrative data, including individual injecting behaviours, housing, income supports,  
292 and access to harm reduction services, may be important contributors to infection that  
293 vary over time. Some of these may act as unmeasured, time-varying confounders, e.g. if  
294 periods of extreme life stressors (e.g. loss of housing) lead to both increased risk of our  
295 main exposure (e.g. incarceration) and study outcome (i.e., injecting-related infections).  
296 We have included pre-exposure risk periods (e.g. 1-2 and 3-4 weeks prior to  
297 incarceration) as one way to identify potential time-varying confounding.

298 **3. The onset duration of injecting-related infections might vary from days to weeks**  
299 **between an initial abscess and hospitalization, so timing of “trigger” effects might**  
300 **differ from observations window.** To account for this we have designed the risk periods  
301 to comprise weeks instead of 1-2 days, but this could bias effect estimates towards the  
302 null, especially for acute risk periods (e.g. immediately after prison release).

303 4. **This analysis excludes people who were never on OAT.** Every participant in the OATS  
304 Study (from which our sample was derived) has used OAT for opioid use disorder at  
305 some point. Effect estimates (in this case, IRRs) from self-controlled case series only  
306 include people with varying exposure status, so for the OAT exposure analysis people  
307 who never accessed OAT would be excluded anyway. For the incarceration exposure  
308 analysis this could introduce some selection bias.

309 5. **Linkage to hospitalisations outside of New South Wales are not available.**

310

#### 311 **ETHICS AND APPROVALS**

312 Approval for the OATS Study is provided by New South Wales Population & Health Services  
313 Research Ethics Committee (2018/HRE0205), the NSW Corrective Services Ethics Committee  
314 and the Aboriginal Health and Medical Research Council Ethics Committee (1400/18).

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