

# A modified spectral-velocity-based earthquake intensity measure for super high-rise buildings

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## Abstract:

To provide an efficient and stable connection between seismic hazards and structural demands of super high-rise buildings, a modified spectral-velocity-based intensity measure (IM) is proposed in a general manner with the combination coefficients and an optimal number of modes estimated through the non-uniform flexural-shear coupled model (FSM-MS). With numerous FSM-MSs generated from statistical ranges of vibration periods, the optimal number of modes is regressed for near-field and far-field ground motions, respectively. Utilizing seven structural models with different heights and dynamic properties, the performance of selected IMs is systematically studied in two stages. The superiority of the proposed IM in stable and high efficiency is obviously observed through seven FSM-MSs, together with the other 19 IMs. Its sufficiency and scaling robustness are fully demonstrated by two significantly distinct super high-rise buildings. The proposed IM is promising as a specialized and desirable tool in the seismic performance evaluation of super high-rise buildings.

**Keywords:** Earthquake intensity measure; super high-rise building; spectral velocity; flexural-shear coupled model; inter-story drift ratio.

## 1. Introduction

In the framework of performance-based earthquake engineering, ground motion intensity measures (IMs) have played an important role in establishing the link between motion-related parameters and response-based demand measures (DMs) of structures. Slender super high-rise buildings, compared with others, are likely to exhibit more complicated dynamic properties and complex earthquake responses as the result of dual mass-stiffness non-uniformities (Lai et al. 2021). It is hard to maintain a high-level efficiency of the link between the motion-related parameters and structural DMs by those IMs developed

34 based on the background of structurally uniform low-rise and high-rise buildings. To reach a more  
35 credible seismic performance evaluation of those slender buildings, it is necessary to have an insight into  
36 the development of a more efficient, stable and generalized IM to cover a broader range of buildings.

37 The selection of an appropriate core element is the key part of constructing an IM. Compared with  
38 the amplitude and duration of ground motion, the spectral parameters that can be closely correlated with  
39 structural dynamic properties are more preferable. The spectral acceleration at the fundamental period  
40  $S_a(T_1)$ , which indicates a link with equivalent lateral force, has become one of the most popular core  
41 elements since the 1990s (Shome and Cornell 1999). However, some  $S_a(T_1)$ -based IMs were observed  
42 not always to be effective for long-period structures or near-field ground motions, exhibiting a high  
43 variability in the DMs with a given IM (Luco and Cornell 2007; Eads et al. 2015). Some research  
44 activities turned to using other spectral parameters as the core elements in the IMs applied to super high-  
45 rise buildings. Based on the case study on two super high-rise buildings, Zhang et al. (2018) found that  
46 the correlation coefficients between the spectral velocities at the fundamental periods  $S_v(T_1)$  and the  
47 maximum inter-story drift (ISD) ratios are much higher than those between the maximum ISD ratios and  
48  $S_a(T_1)$  and the spectral displacement at the fundamental period  $S_d(T_1)$ . Other studies (Ye et al. 2013; Lu  
49 et al. 2013; He and Lu 2019) observed a close relation between peak ground velocity (PGV) and the  
50 maximum ISD ratios of super high-rise buildings. As  $S_v(T_1)$  approaches PGV if  $T_1$  is extremely large  
51 (Chopra 2016),  $S_v(T_1)$  is one promising core element in the IMs for the DM of the maximum ISD ratio.

52 The potential of using spectral velocity as a core element in the IMs has been recognized by some  
53 researchers. As one of the spectral velocity-based IMs, the Housner intensity (HI) (Housner 1952) is  
54 defined as the integral of the pseudo-velocity spectrum in the range from 0.1 to 2.5 s. Within the same  
55 integral interval, Thun (1988) replaced the pseudo-velocity spectrum with the spectral velocity in the  
56 proposed velocity spectrum intensity (VSI). The investigation by Yakut and Yılmaz (2008) indicated a  
57 close relation of VSI with the maximum ISD ratio. VSI was also able to effectively predict the maximum  
58 ISD ratio and top displacement of tall chimneys (Qiu et al. 2020). To correlate VSI with structural  
59 dynamic properties, Avşar and Özdemir (2013) put forward a modified VSI (MVSI) for seismically  
60 isolated bridges where the integral interval is defined as 0.5 to 1.25 times the isolation period. Obviously,  
61 MVSI is applicable for those fundamental mode-controlled structures. To account for the high-mode  
62 effect typically existing in both high-rise and super high-rise buildings, Zhang et al. (2018) proposed a  
63 spectral velocity-based combination-type IM  $S_v^*$  where the spectral velocities at the required lower

64 vibration periods are included. The two case super high-rise buildings determine the number of required  
65 vibration modes and combination factors. The expression form and efficiency of  $S_v^*$  need to be identified  
66 further for more building cases.

67 The high-mode effect was also addressed in the IMs based on other spectral parameters, particularly  
68 spectral accelerations. In the proposed two multiple mode-based IMs, i.e.,  $IM_{12}$  and  $IM_{123}$ , for the sake  
69 of simplicity, Vamvatsikos and Cornell (2005) assigned identical exponents to all the spectral  
70 accelerations involved. Based on the previously proposed  $S_a(T_1)$ -based IM,  $I_{NP}$  (Bojórquez and Iervolino  
71 2011), Bojórquez et al. (2017) further developed the IM of  $IB_{sa}$  in which the ratio  $R$  of high-mode spectral  
72 acceleration to  $S_a(T_1)$  was introduced and the constant exponent of  $R$  was calibrated by two 2D frames.  
73 In the multiple spectral displacement-based IM proposed by Luco and Cornell (2007), i.e.,  $IM_{11\&2E}$ , the  
74 dynamic combination coefficients were adopted. They are determined by the modal participation factors  
75 at the floor where the maximum ISD ratio takes place. In the development of the multiple spectral  
76 acceleration-based IM, i.e.,  $S_{123}$ , by Su et al. (2017), the combination coefficients were determined as the  
77 modal mass participation factors in which the mode shapes and mass matrix of a structural finite element  
78 model are required. In addition to the combination coefficients, the determination of required (or optimal)  
79 vibration modes in these combination-type IMs is supposed to be case-independent as much as possible.  
80 Using the uniform flexural-shear coupled model (FSM-U) (Miranda and Taghavi 2005), Lu et al. (2013)  
81 established an approximate relation between the optimal vibration modes and the fundamental periods  
82 of six different structures in their proposed spectral acceleration-based IM of  $\bar{S}_a$ . For computational  
83 efficiency, the combination coefficients of the spectral accelerations involved and the shear-flexural  
84 stiffness ratios  $\alpha$  of the FSM-Us of all six structures were assigned with identical values. Zhang et al.  
85 (2018) further incorporated the merits of  $S_{123}$  and  $\bar{S}_a$  in their developed linear combination-type spectral  
86 acceleration-based IM of  $\bar{S}_a^*$  where the number of required vibration modes is determined by a couple  
87 of FSM-Us with different shear-flexural stiffness ratios  $\alpha$ . However, the typical feature of mass-stiffness  
88 non-uniformities existing in super high-rise buildings was not well reflected in all the IMs mentioned  
89 above. In this case, the performance of these IMs might be subject to an apparent fluctuation. More  
90 importantly, the calibration of related parameters and verification was still not comprehensive.

91 To respond to this concern in a more efficient, stable and general way, for super high-rise buildings,  
92 a modified multiple spectral velocity-based IM called  $\bar{S}_v^*$  based on its precedent version (Zhang et al.  
93 2018) was developed with the aid of the previously proposed non-uniform flexural-shear coupled model

94 (FSM-MS) (Lai et al. 2021). FSM-MS is employed to provide a quick estimate of the combination  
 95 coefficients of  $\bar{S}_v^*$  and also to act as an analytically efficient tool in the determination of the optimal  
 96 number of modes involved. The potential link between hysteretic energy dissipation and spectral velocity  
 97 is discussed to make the modified IM more physics-based. Seven structural models with different heights  
 98 covering a large range of vibration periods are included in developing the IM with FMS-MS. The  
 99 performance and applicability of the are is observed systematically in two stages with deliberately  
 100 selected sixty ground motions in the near-field and far-field groups. The preliminary investigation on the  
 101 efficiency of the proposed modified IM,  $\bar{S}_v^*$ , is carried out with seven FSM-MSs, together with other 19  
 102 IMs. The efficiency, sufficiency and scaling robustness of preliminarily qualified IMs are further  
 103 observed by the inelastic time history analyses of two super high-rise buildings with significantly  
 104 different heights and mass-stiffness non-uniformities.

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## 2. Modified spectral-velocity-based intensity measure

### 2.1 Intensity measure with spectral velocity

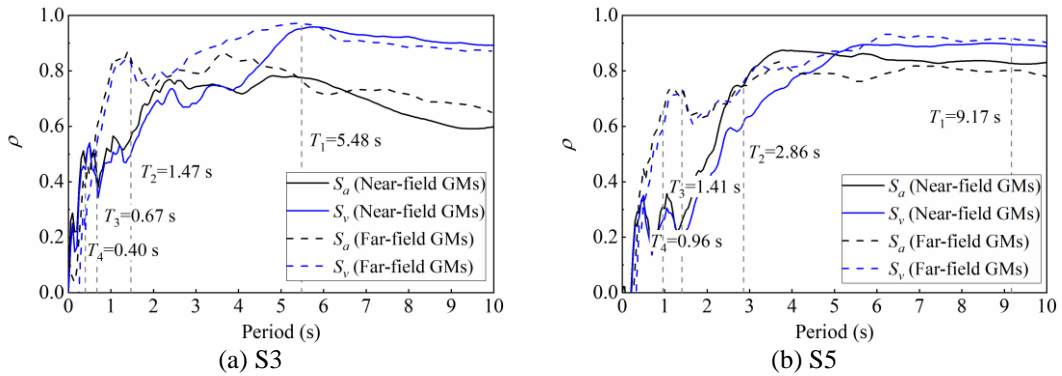
108 Compared with spectral displacement and spectral acceleration, the spectral velocity is a better “seed”  
 109 of combination-type IMs to correlate with the maximum ISD ratios of super high-rise buildings (Zhang  
 110 et al. 2018), and the relationship between the spectral velocity and ground motion intensity can be  
 111 illustrated in terms of the energy during vibration. For a single-degree-of-freedom system (SDOF), the  
 112 kinetic energy  $E_K$ , viscous damping energy  $E_C$ , absorbed energy  $E_A$  and input energy of ground motion  
 113  $E_I$  at the end of the ground motion can be obtained by the integral of the relative motion differential  
 114 equation with respect to the displacement response (Akiyama 1985). Housner (1956) defined the sum of  
 115  $E_K$  and  $E_A$  as the input energy attributable to damage  $E_D$ , and introduced the following equivalent velocity  
 116  $V_D$  of the  $E_D$ :

$$117 \quad V_D = \sqrt{\frac{2E_D}{m_{\text{SDOF}}}} = \sqrt{\frac{2(E_K + E_A)}{m_{\text{SDOF}}}} \quad (1)$$

118 where,  $m_{\text{SDOF}}$  is the mass of the SDOF.

119 Housner (1956) believed that a conservative design scheme could be reached by assuming the  
 120 spectral velocity to be the upper boundary of  $V_D$ . From the dynamic analysis of a nonlinear SDOF,  
 121 Akiyama (1985) found that the spectral velocity is close to  $V_D$  rather than its upper boundary, indicating  
 122 that  $E_D$  is approximately proportional to the square of the spectral velocity. That is, the spectral velocity  
 123 is closely related to damage-related hysteretic energy dissipation. Fig. 1 further shows the correlation

124 coefficients between the spectral velocities and spectral accelerations at the first four periods and the  
 125 maximum ISD ratios of 61-story (S3) and 118-story (S5) super high-rise buildings under the two groups  
 126 (i.e., near-field and far-field) of ground motions (see “Case study” section). Fig. 1 shows that the  
 127 differences in the correlation coefficients mainly lie in the spectral velocity and spectral acceleration at  
 128 the fundamental period of each building. Comparatively, the spectral velocities and spectral accelerations  
 129 at the third and fourth periods are very close to each other for both S3 and S5. Although the spectral  
 130 acceleration at the second period has a higher correlation coefficient than the spectral velocity at the  
 131 second period in S5, it is reasonable to infer that the spectral velocity has a higher potential to be the core  
 132 element of an IM with regard to super high-rise buildings. As indicated by Fig. 1, the spectral velocities  
 133 at the higher-order periods are also well correlated with the maximum ISD ratios, especially for far-field  
 134 ground motions. To reach a rational evaluation of the seismic performance of super high-rise buildings,  
 135 the high-mode effect needs to be well addressed.



**Fig. 1.** Correlation coefficients between maximum ISD ratios and spectral velocities and spectral accelerations

136 The conceptual rationality of the combination of multimode spectral velocities can be physically  
 137 addressed by modal pushover analysis (MPA). During MPA, due to the forced decoupling of modes, the  
 138  $E_D$  of a multi-degree-of-freedom system is equal to the sum of the  $E_{D,n}$  of the equivalent SDOF of each  
 139 mode with the assumption that mode shapes remain constant before and after the earthquake (Prasanth  
 140 et al. 2008). Although the estimation accuracy of  $E_D$  depends on the degree of the nonlinear behavior of  
 141 the structure, it is convincing that the  $E_D$  is still related to each  $E_{D,n}$ . Therefore, it is reasonable to  
 142 formulate the IM based on the spectral velocities of multiple modes.

143 Due to the inherent randomness of ground motions and the unpredictability of structural nonlinear  
 144 responses, it is impractical to theoretically construct an IM that can accurately predict the DM. In contrast,  
 145 starting from the statistical law obtained from extensive studies in the past is more feasible to form an

146 IM conforming with engineering experience. Here, the following log-linear model about DM and IM  
 147 (Shome and Cornell 1999) is adopted:

$$148 \quad \ln(DM) = \ln a + b \ln(IM) + \ln(\varepsilon|IM) \quad (2)$$

149 where,  $a$  and  $b$  are the regressed coefficients; and  $\varepsilon|IM$  is a random error.

150 For linear-elastic structures, as the input energy attributable to damage of each mode can be  
 151 decoupled, the effect of the spectral velocity of each mode on the DM is independent of each other.  
 152 Although this feature is not applicable to structures with strong nonlinear behaviors, for super high-rise  
 153 buildings with sufficient safety margins and mild nonlinear behaviors under maximum considered  
 154 earthquakes (MCE) (Lu et al. 2013), it can be assumed that the influences of spectral velocities on the  
 155 DM are independent of each other in the IM. To reflect this in Eq. (2), the following combination-type  
 156 IM with multiple spectral velocities is established by power functions:

$$157 \quad \bar{S}_v^* = \prod_{i=1}^n [S_v(T_i)]^{\gamma_i} \quad (3)$$

158 where,  $\gamma_i$  is the combination coefficient of the  $i^{\text{th}}$  spectral velocity; and  $n$  is the number of modes.

159 According to Eq. (2), the  $\gamma_i$  in Eq. (3) can be regarded as the weighting factor of each spectral  
 160 velocity to the DM, which is usually related to structural responses. In the modal decomposition method,  
 161 response of the  $i^{\text{th}}$  mode depends on the corresponding equivalent lateral force, i.e., the product of the  
 162 pseudo acceleration  $A(T_i)$  and the inertia force distribution of the  $i^{\text{th}}$  mode  $s_i$  (Chopra 2016). The former  
 163 describes the response over time and is reflected by the spectral velocity in Eq. (3). The ratio of the sum  
 164 of all elements in the latter to the total structural mass is the modal mass participation coefficient, usually  
 165 playing an important role in the determination of the number of modes in response history analysis  
 166 (GB50011 2014). Moreover, it presents the proportion of the equivalent earthquake force corresponding  
 167 to the  $i^{\text{th}}$  mode. In view of the above-mentioned physical background, and the consistency of the units  
 168 between  $\bar{S}_v^*$  and the combination coefficients, the normalized modal mass participation coefficients are  
 169 used as the combination coefficients  $\gamma_i$  ( $i=1, 2, \dots, n$ ), i.e.,

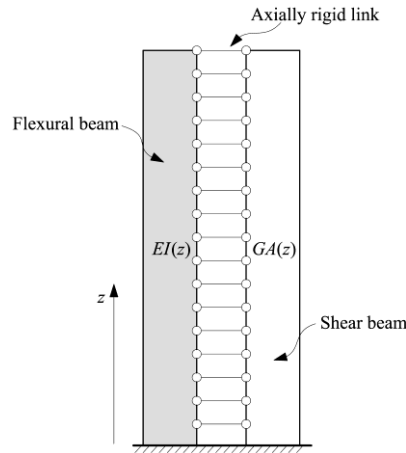
$$170 \quad \gamma_i = \psi_i / \sum_{i=1}^n \psi_i \quad (4)$$

171 As mentioned above, the modal mass participation coefficients used in previous IMs (Su et al. 2017;  
 172 Zhang et al. 2018) are determined based on the information of structural finite element models. Without  
 173 such detailed information of structural finite element models, the modal mass participation coefficients

174 cannot be obtained. However, with the common distribution of mass and stiffness along the height and  
 175 the clear influence mechanism of macroscopic structural parameters on mode shapes, it is possible to  
 176 obtain an analytical combination coefficient.

## 177 2.2 Analytical combination coefficient

178 The non-uniform flexural-shear coupled model (FSM-MS) in Fig. 2 simulates the flexural and shear  
 179 deformation of the whole structure via a flexural beam and a shear beam, respectively, with the dual non-  
 180 uniform distributions of mass and stiffness along the height (Lai et al. 2021). Compared with the previous  
 181 flexural-shear coupled models with uniform properties (Miranda and Taghavi 2005) or only considering  
 182 the non-uniform stiffness distribution (Alonso-Rodríguez and Miranda 2016), FSM-MS is more  
 183 appropriate for super high-rise buildings. Since the analytical dynamic properties of FSM-MS exist, the  
 184 combination coefficient can be expressed analytically.



**Fig. 2.** Flexural-shear coupled model with non-uniform mass and stiffness

185 Based on the statistical data of some as-built super high-rise buildings, the linear density of FSM-  
 186 MS is assumed to distribute linearly along the height, i.e.,  $\rho(x)=\rho_0[1-(1-\delta_\rho)x]$ , and the flexural stiffness  
 187 and shear stiffness can be approximately evaluated by  $EI(x)=EI_0[1-(1-\delta_\rho)x]^3$  and  $GA(x)=GA_0[1-(1-\delta_\rho)x]^2$ ,  
 188 where  $x$  is the normalized height, i.e.,  $x=0\sim 1$ ;  $\delta_\rho$  is the non-uniform coefficient of the linear density,  
 189 and  $\rho_0$ ,  $EI_0$  and  $GA_0$  are the linear density, flexural stiffness and shear stiffness at the base, respectively  
 190 (Lai et al. 2021). Based on these distribution functions and the balance of horizontal forces during free  
 191 vibration, the following differential equation about the mode shape can be established:

$$\begin{aligned}
 & (1-cx)^2 \frac{d^4\phi(x)}{dx^4} - 6c(1-cx) \frac{d^3\phi(x)}{dx^3} + [6c^2 + c\alpha^2 x - \alpha^2] \frac{d^2\phi(x)}{dx^2} \\
 & + 2\alpha^2 c \frac{d\phi(x)}{dx} - \frac{\rho_0 H^4}{EI_0} \omega^2 \phi(x) = 0
 \end{aligned} \tag{5}$$

193 where,  $H$  is the height of the structure;  $\omega$  and  $\phi(x)$  are the circular frequency and mode shape, respectively;  
 194  $c=1-\delta_p$ ; and  $\alpha$  is the shear-flexural stiffness ratio defined as (Miranda and Taghavi 2005):

$$195 \quad \alpha = H \sqrt{\frac{GA_0}{EI_0}} \quad (6)$$

196 The following modal shapes and vibration periods of FSM-MS can be analytically obtained by  
 197 solving Eq. (5) via factorization (Lai et al. 2021):

$$198 \quad T_i = 2\pi \sqrt{\frac{\rho_0 H^4}{\beta_i^2 (\beta_i^2 + \alpha^2) EI_0}} \quad (7)$$

$$199 \quad \phi_i(x) = D_{1,i} \frac{cJ_1 \left[ \frac{2\beta_i}{c} (1-cx)^{0.5} \right]}{2\beta_i (1-cx)^{0.5}} + D_{2,i} \frac{cY_1 \left[ \frac{2\beta_i}{c} (1-cx)^{0.5} \right]}{2\beta_i (1-cx)^{0.5}} \\ + D_{3,i} \frac{cI_1 \left[ \frac{2\sqrt{\beta_i^2 + \alpha^2}}{c} (1-cx)^{0.5} \right]}{2\sqrt{\beta_i^2 + \alpha^2} (1-cx)^{0.5}} + D_{4,i} \frac{cK_1 \left[ \frac{2\sqrt{\beta_i^2 + \alpha^2}}{c} (1-cx)^{0.5} \right]}{2\sqrt{\beta_i^2 + \alpha^2} (1-cx)^{0.5}} \quad (8)$$

200 where,  $D_{1,i} \sim D_{4,i}$  are undetermined coefficients; and  $\beta_i$  is the dynamic characteristic coefficient  
 201 corresponding to the  $i^{\text{th}}$  mode, which can be solved with the aid of the boundary condition.

202 Based on the mode shapes in Eq. (8) and the distribution function of the linear density, the  $i^{\text{th}}$  modal  
 203 mass participation coefficient  $\psi_i$  in Eq. (4) can be rewritten as follows:

$$204 \quad \psi_i = \frac{\left\{ \int_0^1 \phi_i(x) [1 - (1 - \delta_p)x] dx \right\}^2}{\int_0^1 \phi_i^2(x) [1 - (1 - \delta_p)x] dx \int_0^1 [1 - (1 - \delta_p)x] dx} \quad (9)$$

205 The dynamic properties of FSM-MS are controlled by the fundamental period  $T_1$ ,  $\alpha$  and  $\delta_p$ , of a  
 206 structure of concern, which can be efficiently calibrated by the procedure suggested by Lai et al. (2021).  
 207 According to the procedure,  $\delta_p$  is set as the ratio of the linear densities of the second story at the top and  
 208 the first story at the base, and  $\alpha$  is calibrated with the second-to-fundamental period ratio  $T_2/T_1$  and  $\delta_p$  as  
 209 follows,

$$210 \quad \alpha = \left[ \frac{(T_2/T_1 - \theta) \kappa^{1.954}}{\eta - (T_2/T_1 - \theta)} \right]^{1/1.954} \quad (10)$$

211 where  $\theta$ ,  $\eta$  and  $\kappa$  are the regression coefficients related to  $\delta_p$ , i.e.,  $\theta = (6.411 + 23.840\delta_p)^{-1/1.857}$ ,  $\eta = \{0.148/[1 -$   
 212  $0.261\exp(-1.5\delta_p)]\}$  and  $\kappa = \delta_p/(0.004 + 0.382\delta_p^{1.179})$ .

213 The modes  $\phi_i$  ( $i=1, 2, \dots, n$ ) in Eq. (8) are associated only with  $\alpha$  and  $\delta_p$  (Lai et al. 2021). They  
 214 become closely related to  $T_2/T_1$  and  $\delta_p$  by Eq. (10). As shown in Eqs. (4) and (9), the combination



215 coefficients,  $\gamma_i$  ( $i=1, 2, \dots, n$ ) are determined by  $\phi_i$  ( $i=1, 2, \dots, n$ ),  $\delta_p$  and  $n$ .  $\gamma_i$  ( $i=1, 2, \dots, n$ ) can be  
 216 calculated once  $T_2/T_1$ ,  $\delta_p$  and  $n$  are known. As indicated by Eq. (3), in addition to ground motions,  $\bar{S}_v^*$   
 217 depends on the first  $n$  vibration modes and corresponding combination coefficients  $\gamma_i$  ( $i=1, 2, \dots, n$ ). The  
 218 first  $n$  periods of FSM-MS can be evaluated by  $T_1$ ,  $\delta_p$  and  $\alpha$  (or  $T_2/T_1$ ) [see Eqs. (7) and (10)]. Thus,  $\bar{S}_v^*$   
 219 corresponding to a ground motion of concern can be obtained by  $T_1$ ,  $T_2/T_1$ ,  $\delta_p$  and  $n$ , without detailed  
 220 information of structural models.

### 221 3. Optimal number of modes

#### 222 3.1 Estimation procedure

223 To determine the optimal number of modes independent of specific structures, FSM-MS in Section  
 224 2.2 is adopted as the analysis model. With significant seismic fortification, the primary lateral force  
 225 resisting components of well-designed super high-rise buildings usually remain elastic or exhibit slight  
 226 yield under MCE instead of serious plastic behaviors (Lu et al. 2013). It is reasonable to believe that the  
 227 optimal number of modes estimated by the elastic and inelastic analysis might be slightly biased. Since  
 228 the elastic FSM-MS can rapidly estimate the maximum ISD ratio with high accuracy (Lai et al. 2021), it  
 229 is acceptable to use FSM-MS to efficiently determine the optimal number of modes, which is estimated  
 230 by the following Pearson correlation coefficient  $\rho$  between the natural logarithm of the maximum ISD  
 231 ratio and  $\bar{S}_v^*$ :

$$232 \quad \rho = \frac{m \sum_{j=1}^m x_j \cdot y_j - \sum_{j=1}^m x_j \cdot \sum_{j=1}^m y_j}{\sqrt{\left( m \sum_{j=1}^m x_j^2 - \left( \sum_{j=1}^m x_j \right)^2 \right) \cdot \left( m \sum_{j=1}^m y_j^2 - \left( \sum_{j=1}^m y_j \right)^2 \right)}} \quad (11)$$

233 where,  $m$  is the number of selected ground motion records;  $y_j$  is the natural logarithm of the maximum  
 234 ISD ratio under  $j^{\text{th}}$  record;  $x_j$  is the natural logarithm of the IM coresponding to the  $j^{\text{th}}$  record; and  $\rho$  is  
 235 usually distributed between -1 and 1, and the  $\rho$  approaching 1 denotes a better IM-DM positive correlation.

236 Based on the mode shape of FSM-MS in Eq. (8) and the modal decomposition method, the  
 237 maximum ISD ratio,  $IDR_{\max}$ , is given by (Chopra 2016):

$$238 \quad IDR_{\max} = \max_x \sum_{i=1}^n \Gamma_i D_i(t) \frac{d\phi_i(x)}{H dx} \quad (12)$$

239 where,  $\Gamma_i$  is the modal participation coefficient corresponding to the  $i^{\text{th}}$  mode; and  $D_i(t)$  is the  
 240 displacement of the equivalent SDOF with  $T_i$  in Eq. (7).

241 Establishing FSM-MSs with reasonable parameters is the foundation of obtaining a credible optimal

242 number of modes. As shown in Section 2.2,  $T_1$ ,  $T_2/T_1$  and  $\delta_p$  are the dominant parameters of FSM-MS.  $\delta_p$   
 243 cannot be set as 0 and 1 because of the numerical instability in solving dynamic properties (Lai et al.  
 244 2021), the architectural requirements for the floor area at the top story, and the self-weight reduction  
 245 demand to ensure the ductility of vertical structural members. Since the  $\delta_p$  of a 600-m super high-rise  
 246 building is close to 0.26 (Lu et al. 2015), here the  $\delta_p$  is taken from 0.2 to 0.9.  $T_1$  is set from 1 s to 10 s to  
 247 include most buildings, even medium and high-rise buildings. The range of  $T_2/T_1$  corresponding to each  
 248  $T_1$  can be estimated by the following statistical relations among  $T_1$ ,  $H$ , and the range of  $T_2/T_1$  provided  
 249 by Lai et al. (2021) based on 124 high-rise structures with heights from 100 to 650 meters:

$$250 \quad T_1 = 0.1026H^{0.712} \quad (13)$$

$$251 \quad (T_2/T_1)_L = 0.123H^{0.120} \quad (T_2/T_1)_U = 0.179H^{0.120} \quad (14)$$

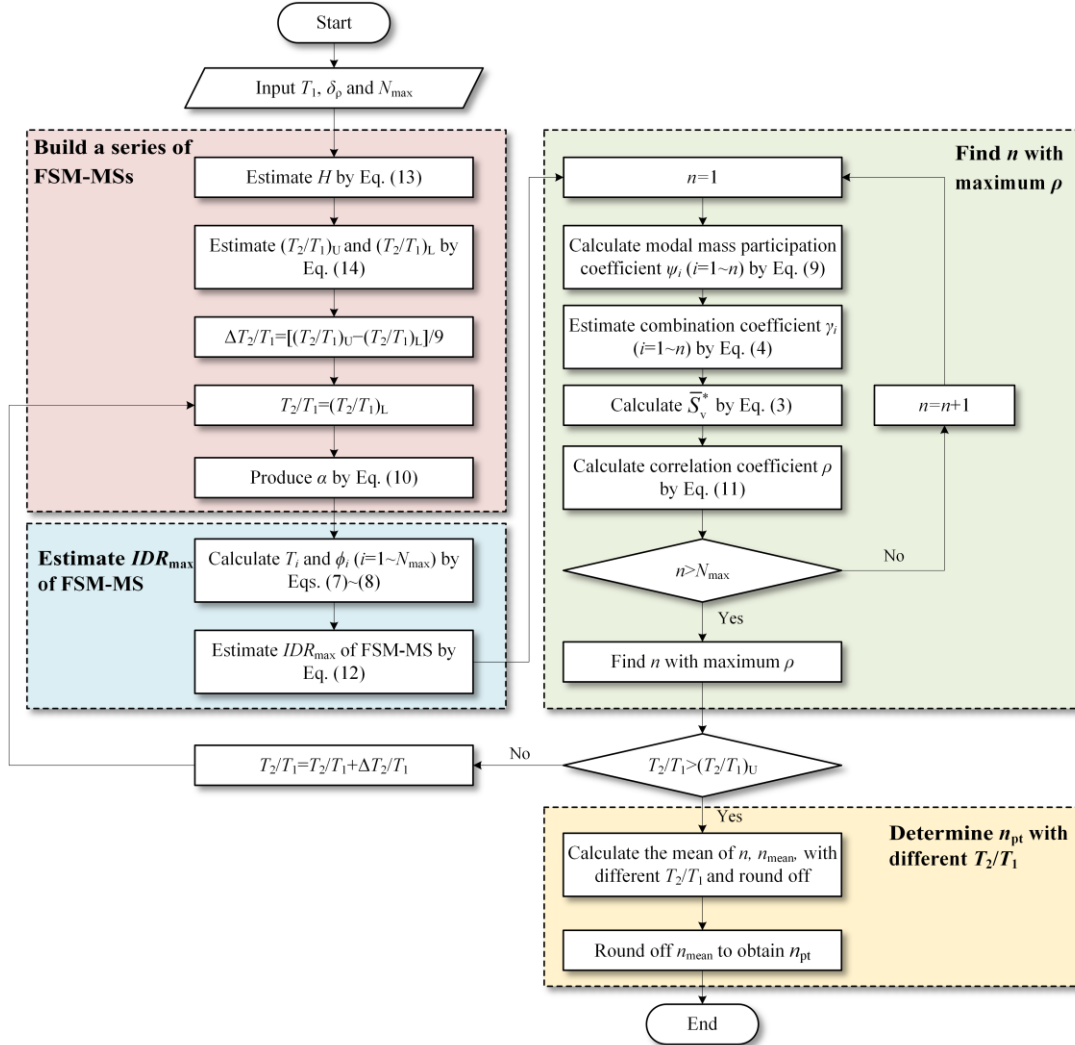
252 where,  $(T_2/T_1)_U$  and  $(T_2/T_1)_L$  are the upper and lower boundaries of  $T_2/T_1$ , respectively.

253 Utilizing Eqs. (13) and (14), Fig. 3 provides the procedure to estimate the optimal number of modes.  
 254 Among the dominant parameters of FSM-MS, except  $T_2/T_1$ ,  $T_1$  and  $\delta_p$  are chosen as the main variables  
 255 in the procedure because of the higher contribution of the fundamental mode to the structural response  
 256 and common application of  $T_1$  to evaluate the structural lateral stiffness. To cover most  $T_2/T_1$   
 257 corresponding to each  $T_1$ ,  $T_2/T_1$  takes 10 values with equal intervals in the range defined by Eq. (14). The  
 258 optimal number of modes in each group of  $T_1$  and  $\delta_p$  is taken as the average of those obtained by different  
 259  $T_2/T_1$  to consider each  $T_2/T_1$  equally. The maximum number of modes  $N_{\max}$  is taken as 8.

### 260 3.2. Ground motion selection

261 The optimal number of modes is estimated by the  $IDR_{\max}$  under different ground motions. The  
 262 criteria for selecting ground motions are as follows: 1) The primary vibration modes of super high-rise  
 263 buildings may not be excited, because the low-frequency noise of the ground motions may be eliminated  
 264 by accelerograph. To avoid this, the lowest frequency of the selected records should be lower than 0.1  
 265 Hz; 2) To induce as severe structural damage as possible, the evaluation of the IM's performance prefers  
 266 strong ground motions, thus the smallest moment magnitude and PGA of the selected ground motion are  
 267 set as 6 and 0.1 g; 3) Both far-field and near-field ground motions should be included for variability, and  
 268 the source-to-site distance distinguishing between near-field and far-field ground motions is set as 20 km  
 269 (Li and Xie 2007); 4) Featured with long-period velocity pulses mostly accredited to the forward  
 270 directivity and fling step effect, the pulse-like near-field ground motions are more likely to result in  
 271 devastating action on buildings with long fundamental periods (Kohrangi et al. 2019). Accordingly, in

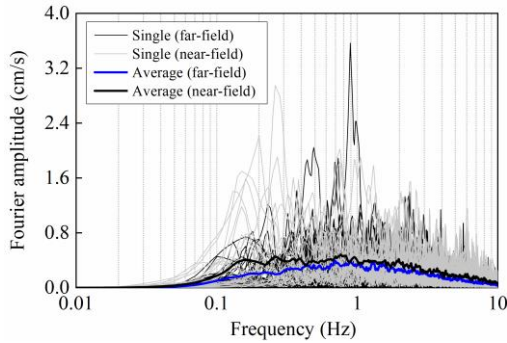
272 near-field ground motions, only those with velocity pulses are selected, which is accomplished by the  
 273 wavelet-analysis-based method proposed by Baker (2007).



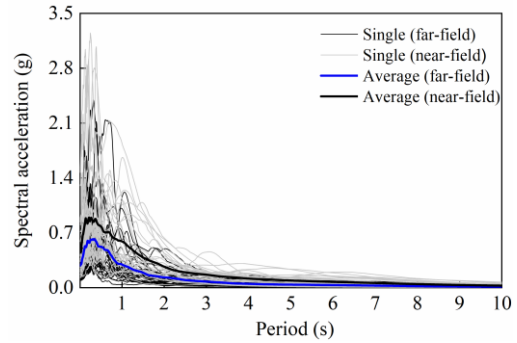
**Fig. 3.** Estimation procedure of optimal number of modes

274 Tables 1 and 2 list selected near-field and far-field ground motions from the NGA-West database of  
 275 Pacific Earthquake Engineering Research (PEER) (Ancheta et al. 2014) with 30 motions for each. For  
 276 far-field records, the PGA varies from 0.09 g to 0.69 g with an average of 0.28 g. For near-field records,  
 277 the PGA varies from 0.13 g to 1.49 g with an average of 0.44 g. It can be seen that the selected ground  
 278 motions are diverse in amplitude. To clearly observe the contents of different frequency components in  
 279 the selected records, Fig. 4 shows the corresponding Fourier amplitudes, where the horizontal coordinate  
 280 is the logarithm of the frequency to the base 10, and the PGAs of the selected records are set to 0.035 g  
 281 corresponding to the frequency-level earthquakes in areas with the 7-degree precautionary intensity  
 282 (GB50011 2014). It can be seen that the frequencies of selected records are mainly between 0.1 Hz and

283 5Hz with various frequency components. Moreover, compared with far-field records, the Fourier  
 284 amplitudes of near-field records between 0.1Hz and 0.4 Hz are significantly higher. Fig. 5 also provides  
 285 elastic acceleration response spectra of selected records with a damping ratio of 5%. It is obvious that  
 286 the near-field records produce a higher mean acceleration spectrum than far-field records. And the  
 287 spectral accelerations of multiple near-field records exceed the mean spectrum in the long-period range  
 288 due to the higher average PGA and more low-frequency components, which indicates that the selected  
 289 near-field records may cause greater damage to super high-rise buildings.



**Fig. 4.** Fourier amplitude of selected ground motions (PGA=0.035g)



**Fig. 5.** Acceleration response spectra of selected ground motions ( $\zeta=0.05$ )

### 290 3.3. Estimated results

291 Before providing the estimated optimal number of modes, the effect of the number of modes on the  
 292 correlation coefficient  $\rho$  of  $\bar{S}_v^*$  should be figured out first. Here, FSM-MS corresponding to a 600-  
 293 meter-high super high-rise building provided by Lai et al. (2021) is taken as an example to compare  $\rho$   
 294 with different numbers of modes as shown in Fig. 6. For FSM-MS,  $T_1=8.95$  s,  $\alpha=2.301$ , and  $\delta_p=0.26$ .  
 295 The damping ratio  $\zeta$  is 0.05. It is apparent that  $\rho$  increases first and then decreases with the number of  
 296 modes under both kinds of ground motions, and the optimal numbers of modes are both 3. As the  
 297 correlation between  $\bar{S}_v^*$  and  $IDR_{max}$  depends on the modal mass participation coefficient  $\psi_i$  and the  
 298 spectral velocity  $S_v(T_i)$  [see Eq. (3)], the values of  $\rho$  between the  $IDR_{max}$  and the  $S_v(T_i)$  of different modes  
 299 are shown in Fig. 7 to explain this phenomenon. It can be seen that the significant reduction of the  $\rho$   
 300 between the higher-order  $S_v(T_i)$  and  $IDR_{max}$  occurs, which might be attributed to the weak increase and  
 301 even reduction of  $IDR$  corresponding to the higher modes at the height where  $IDR_{max}$  is located. Because  
 302 of the lower correlation of the higher-order  $S_v(T_i)$ , the correlation of  $\bar{S}_v^*$  does not monotonically increase  
 303 with the order of mode.

304

**Table 1. Set of near-field ground motion records**

No.	Earthquake	Year	Station	File name	M	R (km)	PGA (g)	PGV (cm/s)	PGD (m)	Lowest frequency (Hz)
1	Northridge-01	1994	Rinaldi Receiving Sta	RSN1063_NORTHRR_RRS228	6.69	6.5	0.87	147.92	41.85	0.1
2	Northridge-01	1994	Sylmar - Olive View Med FF	RSN1086_NORTHRR_SYL360	6.69	5.3	0.84	129.31	32.11	0.1
3	Kocaeli_Turkey	1999	Arcelik	RSN1148_KOCAELI_ARE000	7.51	13.49	0.21	13.95	14.23	0.0875
4	Kocaeli_Turkey	1999	Gebze	RSN1161_KOCAELI_GBZ270	7.51	10.92	0.14	32.63	29.75	0.1
5	Kocaeli_Turkey	1999	Yarimca	RSN1176_KOCAELI_YPT060	7.51	4.83	0.23	69.68	62.29	0.0875
6	Chi-Chi_Taiwan	1999	CHY024	RSN1193_CHICHI_CHY024-E	7.62	9.62	0.28	51.11	53.73	0.025
7	Chi-Chi_Taiwan	1999	TCU060	RSN1499_CHICHI_TCU060-E	7.62	8.51	0.20	33.39	48.87	0.0375
8	Chi-Chi_Taiwan	1999	TCU103	RSN1530_CHICHI_TCU103-E	7.62	6.08	0.13	70.22	68.35	0.0625
9	Chi-Chi_Taiwan	1999	TCU122	RSN1546_CHICHI_TCU122-E	7.62	9.34	0.21	42.12	55.82	0.025
10	Duzce_Turkey	1999	Bolu	RSN1602_DUZCE_BOL090	7.14	12.04	0.81	65.85	13.09	0.0625
11	Imperial Valley-06	1979	Brawley Airport	RSN161_IMPVAL.L_H-BRA225	6.53	10.42	0.16	36.59	25.66	0.05375
12	Imperial Valley-06	1979	EC County Center FF	RSN170_IMPVAL.L_H-ECC002	6.53	7.31	0.21	38.42	16.98	0.075
13	Imperial Valley-06	1979	El Centro Array #10	RSN173_IMPVAL.L_H-E10050	6.53	8.6	0.17	50.66	35.38	0.075
14	Imperial Valley-06	1979	El Centro Array #3	RSN178_IMPVAL.L_H-E03140	6.53	12.85	0.27	47.95	20.73	0.0625
15	Loma Prieta	1989	Los Gatos - Lexington Dam	RSN3548_LOMAP_LEX090	6.93	5.02	0.41	95.73	30.27	0.1
16	Bam_Iran	2003	Bam	RSN4040_BAM_BAM-L	6.6	1.7	0.81	124.06	33.93	0.0625
17	L'Aquila_Italy	2009	L'Aquila - V. Aterno -F. Aterno	RSN4482_L-AQUILA_CU104XTE	6.3	6.55	0.40	32.00	5.27	0.0625
18	L'Aquila_Italy	2009	L'Aquila-Parking	RSN4483_L-AQUILA_AM043XTE	6.3	5.38	0.34	32.35	7.97	0.025
19	Chuetsu-oki_Japan	2007	Joetsu Kakizakiku Kakizaki	RSN4847_CHUETSU_65010EW	6.8	11.94	0.46	89.00	28.22	0.0875
20	Darfield_New Zealand	2010	DSLCL	RSN6897_DARFIELD_DSLCN63E	7	8.46	0.24	67.22	81.24	0.075
21	Darfield_New Zealand	2010	LINC	RSN6927_DARFIELD_LINC23E	7	7.11	0.46	108.69	66.65	0.075
22	Darfield_New Zealand	2010	Christchurch Resthaven	RSN6959_DARFIELD_REHSN02E	7	19.48	0.26	62.15	54.79	0.0625
23	Darfield_New Zealand	2010	TPLC	RSN6975_DARFIELD_TPLCS63W	7	6.11	0.21	45.78	40.91	0.0625
24	Loma Prieta	1989	Gilroy Array #2	RSN766_LOMAP_G02000	6.93	11.07	0.37	34.74	9.50	0.075
25	San Fernando	1971	Pacoima Dam(upper left abut)	RSN77_SFERN_PUL164	6.61	1.81	1.22	114.41	39.00	0.0875
26	Christchurch_New Zealand	2011	Christchurch Resthaven	RSN8123_CCHURCH_REHSS88E	6.2	5.13	0.72	86.53	26.97	0.1
27	El Mayor-Cuapah_Mexico	2010	El Centro Array #12	RSN8161_SIERRA.MEX_E12360	7.2	11.26	0.33	72.57	54.62	0.05
28	Cape Mendocino	1992	Cape Mendocino	RSN825_CAPEMEND_CPM000	7.01	6.96	1.49	122.27	32.60	0.015
29	Cape Mendocino	1992	Petrolia	RSN828_CAPEMEND_PET000	7.01	8.18	0.59	49.30	16.59	0.07
30	El Mayor-Cuapah_Mexico	2010	Westside Elementary School	RSN8606_SIERRA.MEX_CIWESHNN	7.2	11.44	0.26	55.20	46.849	0.05

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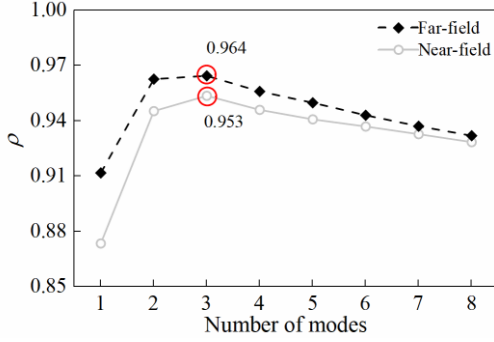
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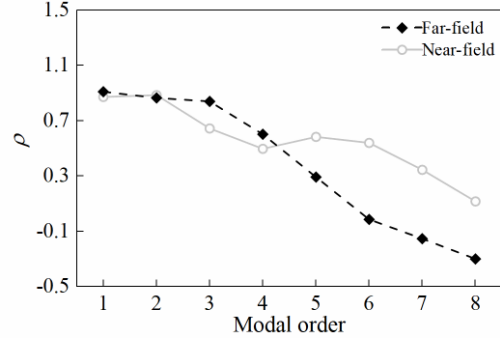
**Table 2. Set of far-field ground motion records**

No.	Earthquake	Year	Station	File name	M	R (km)	PGA (g)	PGV (cm/s)	PGD (m)	Lowest frequency (Hz)
1	Kobe_Japan	1995	Abeno	RSN1100_KOBE_ABN090	6.9	24.85	0.23	24.76	9.86	0.025
2	Kobe_Japan	1995	Tadoka	RSN1118_KOBE_TDO000	6.9	31.69	0.30	24.48	7.58	0.0375
3	Gulf of Aqaba	1995	Eilat	RSN1144_AQABA_EIL-EW	7.2	44.1	0.09	13.78	6.52	0.0625
4	Kocaeli_Turkey	1999	Atakoy	RSN1149_KOCAELI_ATK000	7.51	58.28	0.10	18.84	26.03	0.0375
5	Kocaeli_Turkey	1999	Fatih	RSN1160_KOCAELI_FAT000	7.51	55.48	0.19	19.16	22.71	0.025
6	Kocaeli_Turkey	1999	Zeytinburnu	RSN1177_KOCAELI_ZYT000	7.51	53.88	0.12	15.29	17.95	0.075
7	Chi-Chi_Taiwan	1999	CHY008	RSN1183_CHICHI_CHY008-N	7.62	40.43	0.12	23.36	14.03	0.0375
8	Chi-Chi_Taiwan	1999	CHY087	RSN1235_CHICHI_CHY087-E	7.62	28.91	0.14	10.23	7.67	0.0375
9	Chi-Chi_Taiwan	1999	TCU045	RSN1485_CHICHI_TCU045-E	7.62	26.00	0.47	50.06	39.28	0.05
10	Chi-Chi_Taiwan	1999	TCU095	RSN1524_CHICHI_TCU095-N	7.62	45.18	0.70	49.12	25.57	0.05
11	St Elias_Alaska	1979	Icy Bay	RSN1628_STELIAS_059V2180	7.54	26.46	0.18	34.86	11.75	0.1
12	Imperial Valley-06	1979	Calipatria Fire Station	RSN163_IMPVAL.L_H_H-CAL225	6.53	24.6	0.13	15.59	13.25	0.0875
13	Imperial Valley-06	1979	Delta	RSN169_IMPVAL.L_H_H-DLT262	6.53	22.03	0.24	26.31	14.69	0.0875
14	Imperial Valley-06	1979	Niland Fire Station	RSN186_IMPVAL.L_H_H-NIL090	6.53	36.92	0.11	12.20	8.01	0.075
15	Coalinga-01	1983	Cantua Creek School	RSN322_COALINGA.H_H-CAK360	6.39	24.02	0.29	26.24	10.47	0.1
16	Borrego Mtn	1968	El Centro Array #9	RSN36_BORREGO_A-ELC180	6.63	45.66	0.13	26.69	14.57	0.1
17	Tottori_Japan	2000	OKY005	RSN3908_TOTTORI_OKY005EW	6.61	28.82	0.34	19.78	6.42	0.0625
18	Tottori_Japan	2000	SMN003	RSN3935_TOTTORI_SMN003NS	6.61	25.53	0.51	19.34	10.98	0.05
19	Niigata_Japan	2004	NIG023	RSN4213_NIIGATA_NIG023NS	6.63	25.82	0.40	24.81	6.98	0.075
20	Chuetsu-oki_Japan	2007	Joetsu Uragawaraku Kamabucchi	RSN4842_CHUETSU_65005EW	6.8	22.74	0.56	28.54	8.50	0.075
21	Chuetsu-oki_Japan	2007	Kashiwazaki City Takayanagicho	RSN4873_CHUETSU_65056EW	6.8	20.03	0.36	22.50	7.21	0.075
22	Chuetsu-oki_Japan	2007	Oguni Nagaoka	RSN4874_CHUETSU_65057EW	6.8	20.00	0.63	79.09	20.53	0.1
23	San Fernando	1971	LA-Hollywood Stor FF	RSN68_SFERN_PEL090	6.61	22.77	0.22	21.707	15.91	0.1
24	Superstition Hills-02	1987	Imperial Valley Wildlife Liquefaction Array	RSN729_SUPER.B_B-IVW090	6.54	23.85	0.18	31.65	22.26	0.1
25	Loma Prieta	1989	APEEL 2 - Redwood City	RSN732_LOMAP_A02043	6.93	43.23	0.27	53.63	13.31	0.075
26	Loma Prieta	1989	Hollister - South & Pine	RSN776_LOMAP_HSP000	6.93	27.93	0.37	62.97	32.29	0.0875
27	Loma Prieta	1989	Hollister Differential Array	RSN778_LOMAP_HDA165	6.93	24.82	0.27	44.22	19.69	0.0875
28	Loma Prieta	1989	Oakland-Outer Harbor Wharf	RSN783_LOMAP_OHW000	6.93	74.26	0.29	41.82	9.62	0.1
29	Landers	1992	North Palm Springs	RSN882_LANDERS_FHS000	7.28	26.84	0.14	11.15	4.63	0.05
30	Landers	1992	Yermo Fire Station	RSN900_LANDERS_YER270	7.28	23.62	0.24	51.10	41.69	0.07

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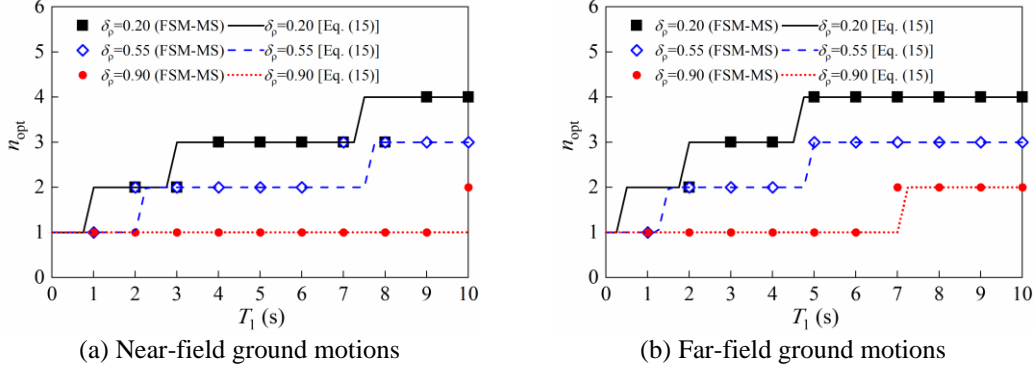


**Fig. 6.** Correlation coefficient of proposed IM with different numbers of modes ( $T_1=8.95s$ ,  $\alpha=2.301$ ,  $\delta_p=0.26$ ,  $\zeta=0.05$ )



**Fig. 7.** Correlation coefficient of spectral velocity corresponding to different modes ( $T_1=8.95s$ ,  $\alpha=2.301$ ,  $\delta_p=0.26$ ,  $\zeta=0.05$ )

310 Following the procedure in Fig. 3, Fig. 8 shows the optimal number of modes  $n_{opt}$  with different  $T_1$   
311 and  $\delta_p$  under near-field and far-field records. It can be seen that, for both kinds of records, with constant  
312  $T_1$ ,  $n_{opt}$  increases with smaller  $\delta_p$ , which might be attributed to the increasing contribution of higher modes  
313 to structural responses. This phenomenon indicates that for structures with long fundamental periods, the  
314 influence of non-uniform distributions of mass and stiffness on the optimal modal number is non-  
315 negligible. In addition,  $n_{opt}$  rises with longer  $T_1$  at a gradually decreasing speed. A possible explanation  
316 for this is that although the influence of higher modes is more significant to structures with long  
317 fundamental periods, the higher the mode, the weaker its influence compared with the accumulative  
318 influence of the lower modes. By comparing Fig. 8(a) and (b), it can be found that the optimal number  
319 of modes under near-field records is less than that under far-field records. According to the Fourier  
320 amplitude in Fig. 4, this difference can be explained by the higher contribution of the lower modes to the  
321 structural response under near-field records, where the proportion of low-frequency components is  
322 relatively higher. Another interesting finding is that when  $\delta_p=0.9$  and  $T_1=7\sim 9$  s,  $n_{opt}=1$  under near-field  
323 records. In fact, based on Eq. (13), the height of the super high-rise buildings with  $T_1=7\sim 9$  s is about 370-  
324 540 m, and the corresponding  $\delta_p$  is hardly greater than 0.9. This result is likely due to the following two  
325 reasons: 1) The number of existing super high-rise buildings with  $T_1>7$  s is relatively small, and  
326 consequently the  $T_2/T_1$  range in Eq. (14) may be greatly affected by the  $T_2/T_1$  of structures with short and  
327 medium  $T_1$ . 2) Eq. (14) is obtained by logarithmic linear regression. The predicted value with the best  
328 accuracy is usually close to the mean of the variable, i.e.,  $H$ . The closer to the boundary of the variable,  
329 the lower the prediction accuracy (Montgomery et al. 2012).



**Fig. 8.** Optimal number of modes of proposed IM with different  $T_1$  and  $\delta_p$

330 To quickly estimate  $n_{opt}$ , the relationship between  $n_{opt}$ ,  $T_1$  and  $\delta_p$  under near-field and far-field  
 331 records is fitted by the least-squares method. The maximum value of the regressed formula is set to 4 in  
 332 light of the maximum  $n_{opt}$  according to Fig. 8. To reflect the gradually decreasing growth rate of  $n_{opt}$  with  
 333  $T_1$ , the following regressed formula is constructed by the exponential function:

$$334 \quad n_{opt} = \text{INT}[4 - 3\delta_p^\zeta T_1] \quad (15)$$

335 where, INT means rounding off;  $\zeta$  is the coefficient obtained by the least-squares method, which is 0.151  
 336 and 0.242 for near-field and far-field ground motions, respectively; and the coefficients of determination  
 337  $R^2$  in the regression are 0.878 and 0.916 for near-field and far-field ground motions, respectively.

338 Note that  $\zeta$  in Eq. (15) might be subject to some fluctuations for different ground motions. As the  
 339 two groups of the ground motions (see Tables 1 and 2) deliberately selected have exhibited a high  
 340 variability in both the amplitudes and frequency components, the obtained value of  $\zeta$  from these ground  
 341 motions can be considered to be representative. Moreover, plenty of FSM-MSs used in the determination  
 342 of the optimal number of modes cover a large range of building heights and dynamic properties. It could  
 343 be believed that the dependency of Eq. (15) on FSM-MS with specific parameters is not obvious. With  
 344 the combination coefficients in Eq. (4), the modal mass participation coefficient in Eq. (9) and the optimal  
 345 number of modes in Eq. (15), the proposed IM  $\bar{S}_v^*$  can be conveniently controlled by  $T_1$ ,  $T_2/T_1$  and  $\delta_p$ .  
 346 Compared with its previous version, i.e.,  $\bar{S}_a^*$  (Zhang et al. 2018),  $\bar{S}_v^*$  can comprehensively address the  
 347 effects of the mass-stiffness non-uniformities as well as the overall lateral stiffness and shear-flexure  
 348 stiffness ratio. The adaptive combination coefficients and the optimal number of modes in  $\bar{S}_v^*$  make it  
 349 applicable for more general purposes. Thus, the versatility and stability of  $\bar{S}_v^*$  in predicting the  
 350 response-based DMs of buildings with various heights and structural systems is physically guaranteed.

#### 351 4. Case study

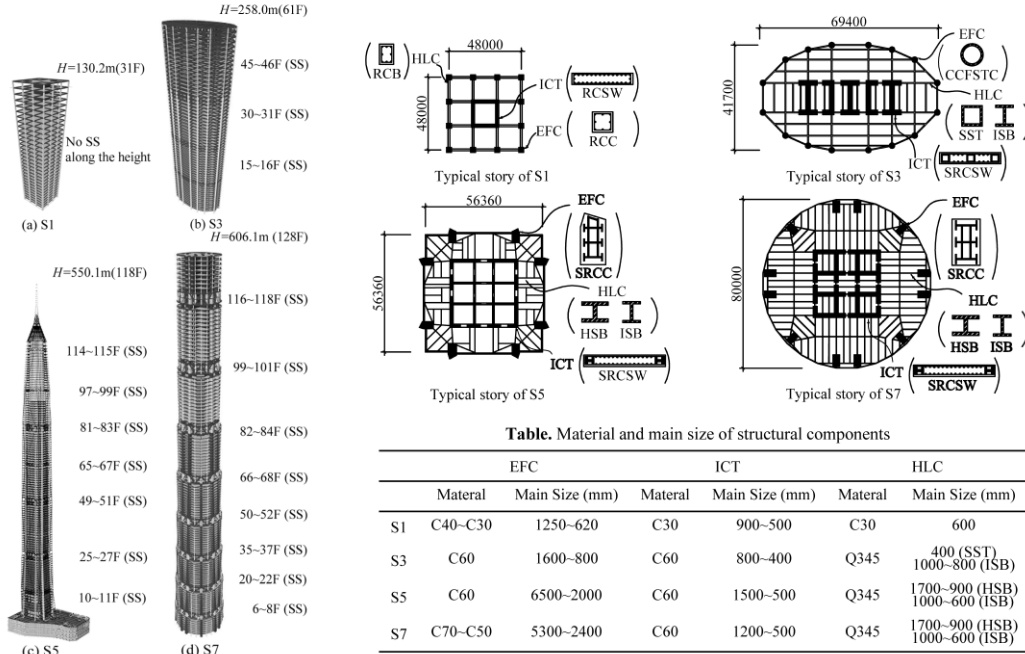


352 It is computationally inefficient and practically infeasible to minimize the case dependency of the  
353 performance evaluation of the proposed IM via inelastic time history analyses (THAs) on a large number  
354 of elaborately-designed structural finite element models. To reach the same goal without the time-  
355 consuming inelastic THAs, the performance evaluation of the proposed IM is carried out in two stages.  
356 In the first stage, the selected 20 IMs (including the proposed IM) are preliminarily evaluated based on  
357 the ISD responses of seven elastic models predicted using FSM-MS. In the second stage, several IMs  
358 that passed the preliminary evaluation are comprehensively evaluated further by the inelastic THAs of  
359 two super high-rise buildings.

#### 360 *4.1 Basic information of model*

361 Fig. 9 shows the basic information of the four super high-rise structures with different heights and  
362 non-uniformities of mass and stiffness. S1, S3, S5 and S7 have square, approximately oval, square and  
363 circular structural layouts, respectively. Comparatively, S5 and S7 exhibit obvious gradually tapered  
364 configurations along the height. The story heights of the standard floors of the four structures are 4.2 m,  
365 4.2 m, 4.5 m and 4.5 m, respectively. Unlike S1, which adopts the pure frame-core tube structure, three,  
366 seven and eight strengthened stories with outriggers and belt trusses are placed in S3, S5 and S7,  
367 respectively. S5 even has mega bracings. Reinforced concrete beams (RCBs), columns (RCCs) and shear  
368 walls (RCSWs) are used in S1. S3 adopts circular concrete-filled steel tube columns (CCFSTCs) and  
369 steel-reinforced concrete shear walls (SRCSWs), which are connected with ordinary I-shaped steel beams  
370 (ISBs) or outriggers assembled by square steel tubes (SSTs). In S5 and S7, SRCSWs, steel-reinforced  
371 concrete columns (SRCCs) and H-shaped steel bracings (HSBs) are the main structural components.  
372 According to the Chinese seismic design code (GB50011 2014), the design peak ground accelerations  
373 (PGAs) of S1, S3, S5 and S7 are  $0.98 \text{ m/s}^2$ ,  $1.96 \text{ m/s}^2$ ,  $0.98 \text{ m/s}^2$  and  $0.98 \text{ m/s}^2$ . And the characteristic  
374 periods of sites are 0.35 s, 0.55 s, 0.35 s and 0.90 s, respectively. Except for S1 with a damping ratio of  
375 0.05, the damping ratios of the others are 0.04. All the beams and columns of the four finite element  
376 models are simulated by the displacement-based fiber beam-column element (Spacone 1996), where the  
377 behaviours of the steel and concrete are simulated by the isotropic strain hardening model (Filippou et  
378 al. 1983) and the linear tension softening model (Mohd-Hisham 1994), respectively. The shear walls and  
379 floors are simulated by an improved multi-layered shell element considering large deformations (He and  
380 Sun 2018). To reduce the number of degrees of freedom, all the floors are assumed to be in-plane rigid,  
381 and the story-height multi-layered shell elements are used. The values of  $T_1$  of S1, S3, S5 and S7 are 3.48

382 s, 5.48 s, 9.17 s and 8.95 s, while the values of  $T_2/T_1$  are 0.221, 0.269, 0.312 and 0.318, respectively. The  
 383 values of  $\delta_p$  of S1, S3, S5 and S7 are 0.75, 0.77, 0.53 and 0.26, respectively. More detailed design  
 384 information can be found elsewhere (Fu et al. 2015; Lu et al. 2015; Lai et al. 2021).



**Fig. 9.** Basic information of S1, S3, S5 and S7

385 To increase the diversity of the newly added FSM-MSs, the three new FSM-MSs, namely S2, S4,  
 386 and S6, are established according to the average  $T_1$ ,  $T_2/T_1$  and  $\delta_p$  of S1 and S3, S3 and S5, and S5 and S7,  
 387 respectively. Without corresponding finite element models, the heights and total mass of the added FSM-  
 388 MSs are set as the mean value of their parent structures. Table 3 presents the parameters of the FSM-MSs  
 389 of S1~S7. It can be seen that, from S1 to S7, the values of  $T_1$  and  $\delta_p$  gradually increase in general. The  $\alpha$   
 390 of FSM-MSs are calibrated by  $T_2/T_1$ ,  $\delta_p$  and Eq. (10). With the linear distribution function, the linear  
 391 density at the base  $\rho_0$  can be estimated by the total mass. After calculating the dynamic characteristic  
 392 parameter  $\beta_1$  by boundary conditions,  $EI_0$  are determined by Eq. (7), and the corresponding  $GA_0$  is  
 393 obtained by Eq. (6).

394 **Table 3.** Parameters of FSM-MSs corresponding to S1~S7

Parameters	S1	S2	S3	S4	S5	S6	S7
Total weight ( $\times 10^5$ kN)	2.81	10.70	18.59	47.75	77.00	73.85	70.70
$\rho_0$ ( $\times 10^5$ kg/m)	2.53	6.39	8.65	14.62	15.60	18.62	18.40
$\rho(x)$ ( $\times 10^5$ kg/m)	1.89~2.53	4.25~5.59	6.66~8.65	9.50~14.62	8.21~15.60	7.45~18.62	4.79~18.40
$\delta_p$	0.75	0.76	0.77	0.65	0.53	0.40	0.26
$H$ (m)	130.20	194.10	258.00	404.05	550.10	578.10	606.10
$EI_0$ ( $\times 10^{10}$ kN·m <sup>2</sup> )	1.01	4.98	10.26	49.12	102.29	199.81	334.68

$GA_0 (\times 10^6 \text{kN})$	1.49	7.65	14.77	37.32	49.29	57.83	48.24
$\alpha$	1.58	2.41	3.10	3.52	3.82	3.11	2.30

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Table 4 provides the first five periods and modal mass participation coefficients of the finite element models and corresponding FSM-MSs. Except for  $T_4$  and  $T_5$  of S5 and S7, the estimated vibration periods of FSM-MSs remain high accuracy. This discrepancy might be attributed to the more severe sensitivity of higher modes to the equipment of strengthened stories and more outriggers. The influence of the relative errors of  $T_4$  and  $T_5$  on  $\bar{S}_v^*$  should be slight because of the lower  $\gamma_i$  of higher modes. For the modal mass participation coefficient, except for the  $\psi_2$  of S5 and S7, the errors of other  $\psi_i$  are not obvious in other cases. Based on the definition of  $\psi_i$  in Eq. (9), the accumulative deviation of the mode shape along the height might be the reason for the discrepancy. As a highly abstract simplified analytical model, the accuracy of the vibration periods and modal mass participation coefficients estimated by FSM-MS is acceptable.

**Table 4.** The first five periods and modal mass participation coefficients of finite element models and corresponding FSM-MSs

Model		$T_1(\text{s})$	$\gamma_1$	$T_2(\text{s})$	$\gamma_2$	$T_3(\text{s})$	$\gamma_3$	$T_4(\text{s})$	$\gamma_4$	$T_5(\text{s})$	$\gamma_5$
S1	FEM	3.48	0.61	0.77	0.19	0.32	0.08	0.18	0.04	0.12	0.02
	FSM-MS	3.48	0.59	0.77	0.17	0.30	0.07	0.16	0.04	0.10	0.02
S2	FSM-MS	5.48	0.63	1.47	0.15	0.63	0.07	0.34	0.04	0.21	0.02
	FEM	5.48	0.63	1.47	0.17	0.67	0.07	0.40	0.04	0.24	0.02
S3	FSM-MS	5.48	0.63	1.47	0.15	0.63	0.07	0.34	0.04	0.21	0.02
	FEM	7.33	0.61	2.13	0.15	0.95	0.07	0.52	0.34	0.33	0.02
S4	FSM-MS	9.17	0.56	2.86	0.24	1.41	0.07	0.96	0.04	0.65	0.03
	FEM	9.17	0.59	2.86	0.15	1.32	0.07	0.74	0.04	0.47	0.03
S5	FSM-MS	9.07	0.54	2.85	0.16	1.30	0.08	0.72	0.05	0.45	0.03
	FEM	8.95	0.49	2.85	0.27	1.42	0.10	0.91	0.04	0.65	0.02
S7	FSM-MS	8.95	0.47	2.85	0.18	1.29	0.09	0.71	0.05	0.45	0.03
	FEM										

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#### 4.2. Preliminary comparison of multiple intensity measures

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Efficiency, sufficiency and scaling robustness are common criteria for evaluating the performance of IMs. The preliminary comparison focuses on the efficiency, because with enough sufficiency and scaling robustness, the more effective IM is preferred to predict the DM with high accuracy, which is crucial to the ground motion selection and reduction of the number of records required to obtain a reliable estimate of seismic responses. The IMs with high efficiency but inadequate sufficiency and scaling robustness can be identified through further comparison based on detailed finite element models.

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To make a comparison with the proposed IM, some IMs are also selected in this study as shown in Table 5. In addition to PGA, PGV and  $S_a(T_1)$ , which are widely applied in some national design codes due to their convenience and simplicity, twelve IMs based on multiple spectral accelerations and four IMs

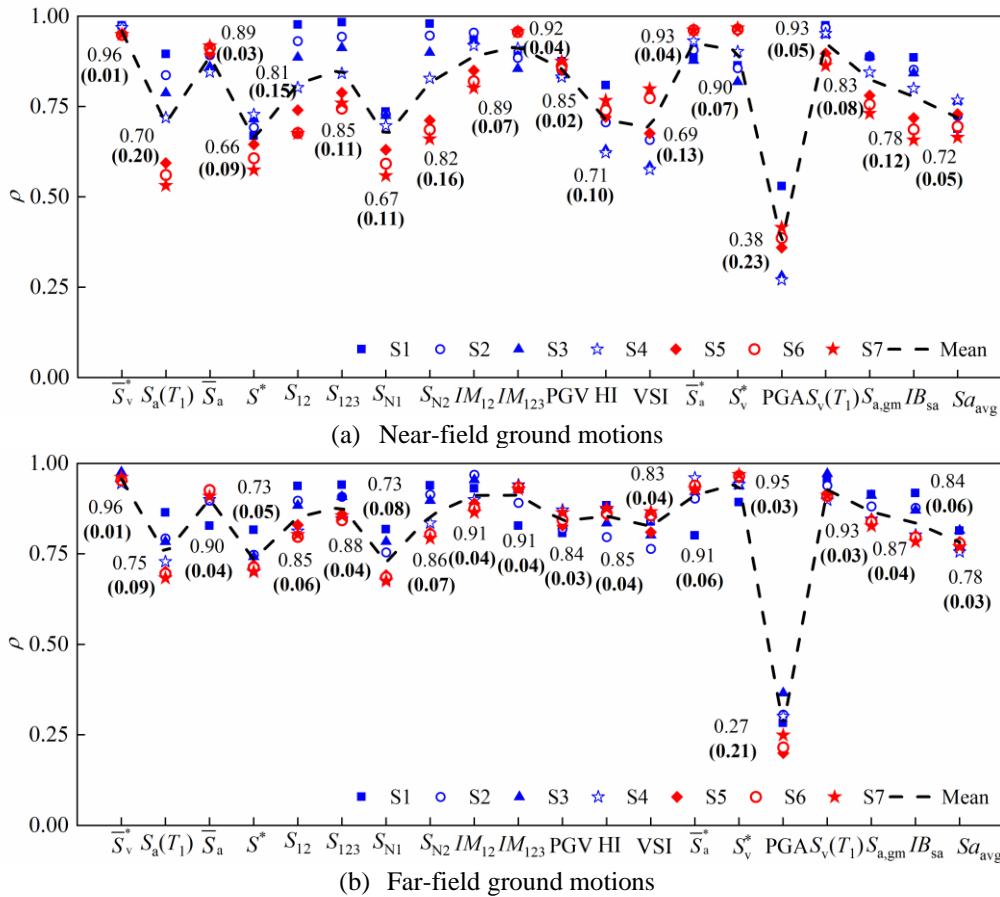
**Table 5.** Ground motion intensity measures in preliminary comparison

Reference	Intensity measure	Note
GB50011 (2014)	PGA	
JBSL (2005)	PGV	
Shome and Cornell (1999)	$S_a(T_1)$	
Cordova et al. (2001)	$S^* = [S_a(T_1)]^{1/2} [S_a(2T_1)]^{1/2}$	
Lu et al. (2013)	$\bar{S}_a = \left[ \prod_{i=1}^n S_a(T_i) \right]^{1/n}$	The number of modes $n$ is linearly dependent on $T_1$ , and it is 3 for S1~S3, 4 for S4, and 5 for S5~S7.
Zhang et al. (2018)	$\bar{S}_a^* = \sum_{i=1}^n \psi_i S_a(T_i)$	With the suggested piecewise linear relation between $n$ and $T_1$ , $n$ is 3 for S1~S7. $\psi_i$ is the $i^{\text{th}}$ modal mass participation coefficient.
Su et al. (2017)	$S_{12} = [S_a(T_1)]^{\psi_1/(\psi_1+\psi_2)} [S_a(T_2)]^{\psi_2/(\psi_1+\psi_2)}$ $S_{123} = [S_a(T_1)]^{\psi_1/(\psi_1+\psi_2+\psi_3)} [S_a(T_2)]^{\psi_2/(\psi_1+\psi_2+\psi_3)} [S_a(T_3)]^{\psi_3/(\psi_1+\psi_2+\psi_3)}$	$\psi_i$ is the $i^{\text{th}}$ modal mass participation coefficient.
Lin et al. (2010)	$S_{N1} = [S_a(T_1)]^{1/2} [S_a(1.5T_1)]^{1/2}$ $S_{N2} = [S_a(T_1)]^{3/4} [S_a(T_2)]^{1/4}$	$\psi_i$ is the $i^{\text{th}}$ modal mass participation coefficient.
Vamvatsikos and Cornell (2005)	$M_{12} = [S_a(\tau_{g1}, 5\%)]^{1/2} [S_a(\tau_{g2}, 5\%)]^{1/2}$ $IM_{123} = [S_a(T_1, 5\%)]^{1/3} [S_a(T_2, 5\%)]^{1/3} [S_a(T_3, 5\%)]^{1/3}$	For high-rise structures, $\tau_{g1} = T_1$ and $\tau_{g2} = T_2$
Kazantzi and Vamvatsikos (2015)	$S_{a, \text{gm}}(T_j) = \left[ \prod_{j=1}^5 S_a(T_{S,j}) \right]^{1/5}$	$T_{S,j}$ is the $j^{\text{th}}$ selected period, and $T_{S,1} \sim T_{S,5}$ are $T_2$ , $\min[(T_1+T_2)/2, 1.5T_2]$ , $T_1$ , $1.5T_1$ and $2T_1$ , respectively.
Zhang et al. (2018)	$S_v^* = [S_v(T_1)]^{0.5} [S_v(T_2)]^{0.5}$	
Housner (1952)	$HI = \int_{0.1}^{2.5} S_{v,p}(T) dT$	$S_{v,p}(T)$ is pseudo-spectral velocity at period $T$ .
Thun et al. (1988)	$VSI = \int_{0.1}^{2.5} S_v(T) dT$	
Bojórquez et al. (2017)	$IB_{sa} = S_a(T_1)^{0.4} S_a(T_2)^{0.2} \left[ \prod_{i=1}^N S_a(T_{1,i}) \right]^{2/(5N)}$	$T_{1,j}$ is the $j^{\text{th}}$ period during $T_1$ and $2T_1$ with the same interval, i.e. 0.01; $N$ is the number of spectral accelerations.
Eads et al. (2015)	$S_{a, \text{avg}} = \left[ \prod_{i=1}^N S_a(T_{K,i}) \right]^{1/N}$	$T_{K,j}$ is the $j^{\text{th}}$ period during $0.2T_1$ and $3T_1$ with the same interval, i.e. 0.01; $N$ is the number of spectral accelerations.
Other	$S_v(T_1)$	

420 related to the spectral velocity are selected with the consideration of the fact that  $\bar{S}_v^*$  essentially consists  
421 of spectral velocities of multiple modes. In these IMs,  $I_{Np}$ ,  $S_{avg}$ ,  $S^*$  and  $S_{N1}$  consider the elongation of  $T_1$ .  
422  $S_{12}$ ,  $S_{N2}$ ,  $IM_{12}$ ,  $S_{123}$  and  $IM_{123}$  have similar expressions, but different exponents and the numbers of modes.  
423 In these IMs,  $S^*$ ,  $S_{N1}$  and  $S_{a,gm}$  can account for the elongation of  $T_1$ .  $S_{12}$ ,  $S_{N2}$ ,  $IM_{12}$ ,  $S_{123}$  and  $IM_{123}$  have  
424 similar mathematical expressions, but with different exponents and the different numbers of modes  
425 involved. Both  $IB_{sa}$  and  $S_{avg}$  can reflect the shape of the acceleration response spectrum in terms of its  
426 geometric means. The spectral accelerations at the first two vibration periods are included in  $IB_{sa}$  which  
427 has a narrower period range. For  $\bar{S}_v^*$ , according to Eq. (15), for S1~S7, the optimal numbers of modes  
428 included in S1~S7 turn out to be 1, 2, 2, 2, 3, 3, 4 accordingly for the group of the near-field ground  
429 motions, and 2, 2, 2, 3, 3, 4, 4 for the far-field ground motions, accordingly.

430 Fig. 10 shows the correlation coefficients between the IMs and elastic  $IDR_{max}$  obtained by FSM-  
431 MSs corresponding to S1~S7. For the sake of discussion, the means (denoted by the dashed blue line)  
432 and coefficients of variation (COVs) (see the numbers in parentheses) of the correlation coefficients are  
433 used. The correlation coefficients of  $\bar{S}_v^*$  are found to have the highest mean of 0.96 and the lowest COV  
434 of 0.01 among 20 IMs, in either ground motion group, indicating a desirably stable and effective  
435 correlation with the elastic maximum ISD ratios,  $IDR_{max}$ . Another spectral velocity-based  $S_v^*$  has a  
436 similar efficiency to  $\bar{S}_v^*$  in the case of the far-field ground motions. However, for the near-field ground  
437 motions, its efficiency is obviously affected, exhibiting a decrease in the mean from 0.95 to 0.90 and an  
438 increase in the COV from 0.03 to 0.07. Compared with  $\bar{S}_v^*$ , the relatively inferior performance of  $S_v^*$   
439 can be roughly attributed to the identical combination coefficients used for different modes. For  $S_v(T_1)$ ,  
440 the means of the correlation coefficients are close to those of  $\bar{S}_v^*$ , and the COVs exhibit a slight  
441 fluctuation in different groups of ground motions. As a result of not considering the high-mode effect,  
442 for S7 with the smaller value of  $\delta_p$ ,  $S_v(T_1)$  only has a correlation coefficient of 0.86 in the near-field  
443 ground motions. Although  $\bar{S}_a^*$  indicates the close mean (0.93) and COV (0.04) of the correlation  
444 coefficients to those of  $\bar{S}_v^*$  in the case of the near-field ground motions, its efficiency and stability are  
445 reduced when the far-field ground motions are considered. The efficiency and stability of  $IM_{123}$  and  $\bar{S}_a^*$   
446 are basically acceptable, showing slightly lower means and slightly higher COVs compared with those  
447 of the proposed IM  $\bar{S}_v^*$ . The performance of the rest of IMs is comparatively inferior to the above-  
448 mentioned IMs, from the aspect of the mean and COV of the correlation coefficients. PGA has the poorest

449 performance among 20 IMs. PGV performs very well in the case of the near-field ground motion but not  
 450 desirably in the far-field ground motions. Those spectral acceleration-based IMs with large weighting  
 451 coefficients of  $S_a(T_1)$ , e.g.  $S_{12}$ ,  $S_{123}$  and  $S_{N2}$ , are observed to fail to display a stable correlation with the  
 452 elastic  $IDR_{max}$ . This can be explained by the increase in the fundamental period and mass-stiffness non-  
 453 uniformities, especially in the case of the near-field ground motions. Those IMs considering period  
 454 elongation effect or spectral shape, e.g.,  $S^*$ ,  $IB_{sa}$ ,  $S_{N1}$ ,  $S_{a,gm}$  and  $S_{avg}$ , do not possess higher efficiency than  
 455 those considering multiple vibration modes. It can be believed from the discussion above that, from the  
 456 statistical aspect, the proposed IM  $\bar{S}_v^*$  has the highest and most stable correlation with the elastic  $IDR_{max}$   
 457 among the 20 IMs.



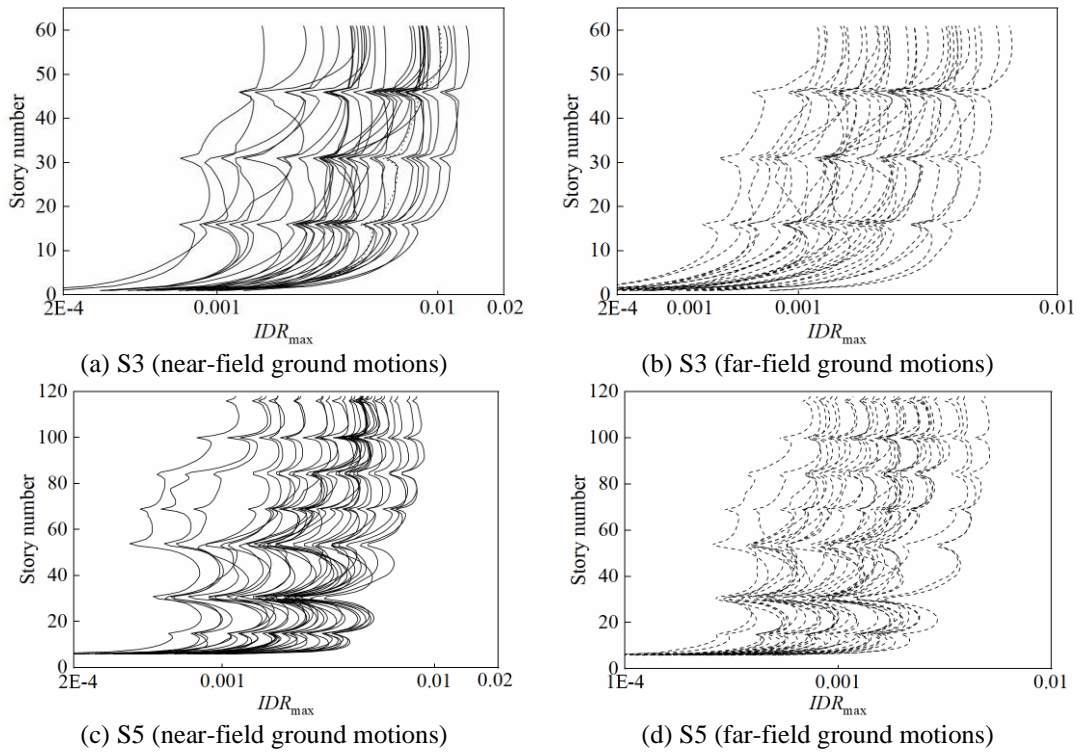
**Fig. 10.** Correlation coefficients between 20 IMs and elastic  $IDR_{max}$  of S1~S7

458 4.3. Further comparison of shortlisted intensity measures

459 4.3.1 Efficiency

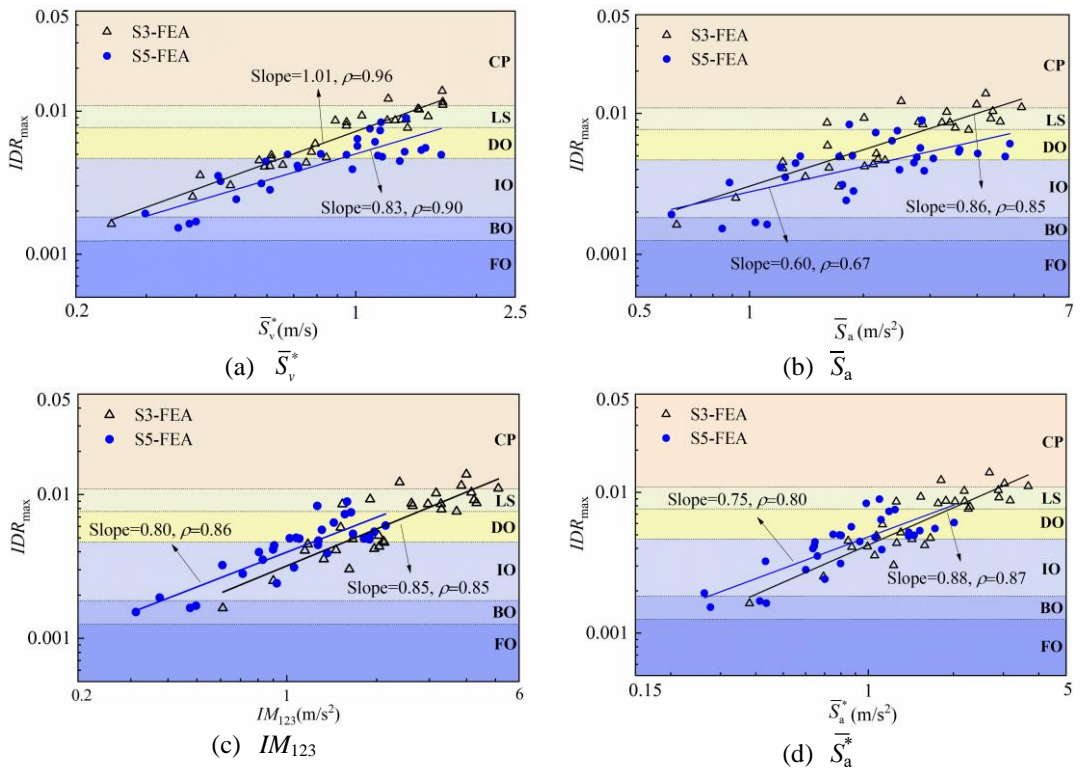
460 As obvious differences in the structural height and the non-uniformities of mass and stiffness  
 461 between S3 and S5 can be observed, a further comparison of the shortlisted IMs is performed based on  
 462 their finite element models. For the sake of discussion, only those having the means of the correlation

463 coefficients of all the ground motions (for both the near-field and far-field) not less than 0.90, i.e.,  $S_v(T_1)$ ,  
464  $IM_{123}$ ,  $\bar{S}_a$ ,  $\bar{S}_a^*$ ,  $S_v^*$  and  $\bar{S}_v^*$ , are selected to further evaluate their performance on the two super high-rise  
465 buildings, i.e., S3 and S5 which have obvious differences in the height and mass-stiffness non-  
466 uniformities, via the inelastic THAs with the ground motions. Fig. 11 shows the envelope curves of the  
467 inter-story drift ratios of S3 and S5 along the height under records from Tables 1 and 2. In consideration  
468 of the close relationship between the efficiency of the IM and the degree of structural damage, the  
469 following six seismic performance levels proposed by Li et al. (2021) for the frame-core tube structure  
470 are adopted to reveal the degree of structural damage of S3 and S5 under the selected records: full  
471 occupancy (FO), basic occupancy (BO), immediate occupancy (IO), delayed occupancy (DO), life safety  
472 (LS) and collapse prevention (CP). And the corresponding  $IDR_{max}$  at the boundaries are 1/755, 1/515,  
473 1/215, 1/130 and 1/95. A higher seismic performance level indicates severe structural damage. Figs. 12  
474 and 13 show in more detail about the relation between the six preliminarily selected IMs and the values  
475 of  $IDR_{max}$  of S3 and S5 under the near-field and far-field ground motions, respectively, along with the six  
476 performance levels. With the classified six performance levels, the distributions of  $IDR_{max}$  in different  
477 damage states using different IMs under the two groups of the ground motions can be clearly observed,  
478 as well as the slopes and correlation coefficients mentioned above. In the group of the near-field ground  
479 motions (see Fig. 12), 15 ground motions cause S3 to experience damages at the LS and CP levels while  
480 S5 experiences damages at the DO and IO levels excited with most of the near-field ground motions. S3  
481 exhibits a larger possibility of experiencing more severe damage in the case of near-field ground motions.  
482 For the far-field ground motions, none of them can cause S3 and S5 to experience severe damage (e.g.  
483 LS or CP level). Figs. 12 and 13 also indicate that, for the ground motions in either near-field group or  
484 far-field group, the response data points of S3 tend to be located more closely around the regressive lines,  
485 resulting in the higher coefficients of S3 than those of S5. This may arise from more strengthened floors  
486 and more prominent mass-stiffness non-uniformities in S5. Its comparatively complex seismic response  
487 cannot be predicted with a desirable accuracy by a single IM.

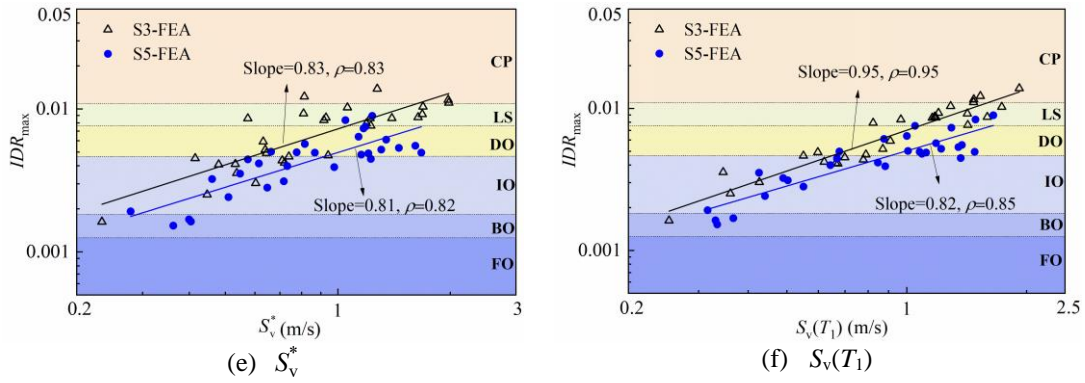


**Fig. 11.** Envelope curves of inter-story drift ratios of finite element

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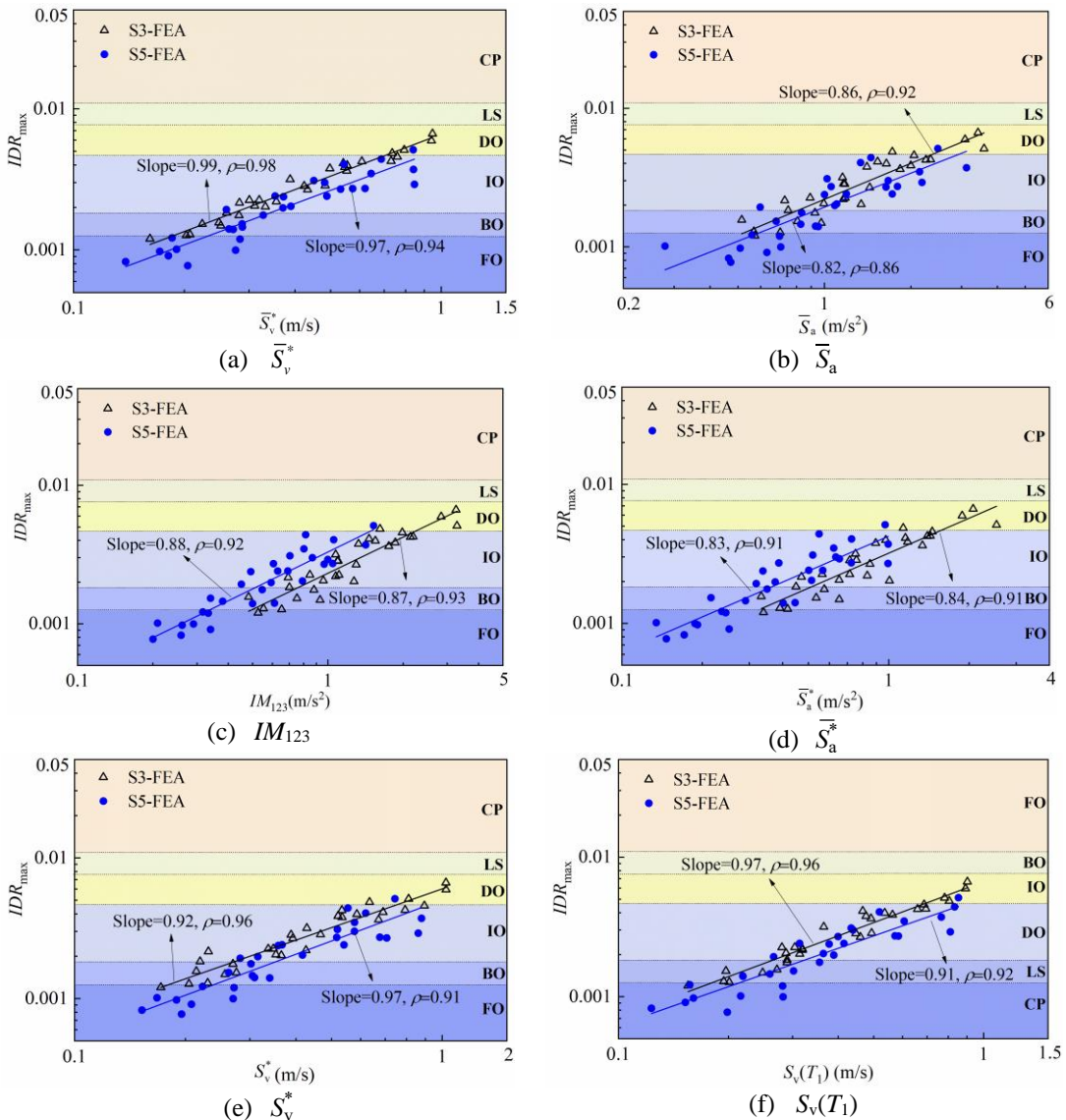






**Fig. 12.** Log-linear regressions of maximum IDR ratios and six IMs of S3 and S5 (Near-field ground motions)

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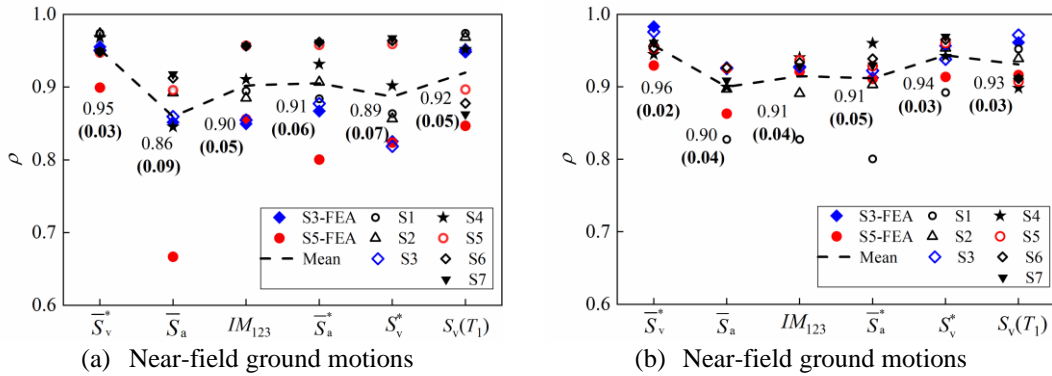


**Fig. 13.** Log-linear regressions of maximum IDR ratios and six IMs of S3 and S5 (Far-field ground motions)

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491 To reach an overall evaluation of the performance of the six preliminarily selected IMs, in addition  
 492 to the correlation coefficients of S3 and S5 determined based on the inelastic THAs (see the solid red

493 circles and solid blue diamonds), the correlation coefficients of S1~S7 shown in Fig. 10 are also included  
 494 in Fig. 14. Even for the case of the inelastic  $IDR_{max}$  of S3 and S5,  $\bar{S}_v^*$  still exhibits the highest  
 495 correlation, with all the correlation coefficients not less than 0.90, among the six IMs for either group of  
 496 the ground motions, although the differences are not so significant for some IMs. The correlation  
 497 coefficients of  $S_v^*$  and  $S_v(T_1)$  based on the inelastic  $IDR_{max}$  of S3 and S5 are close to those of  $\bar{S}_v^*$  under  
 498 the far-field ground motions. However, in the case of the near-field ground motions, the coefficients of  
 499 S5 are reduced up to 0.82 and 0.85, respectively. The optimal numbers of modes included in  $\bar{S}_v^*$  and  
 500  $S_v^*$  are the same. The higher correlation of  $\bar{S}_v^*$  explains the rationality of the combination coefficients  
 501 adopted. The spectral acceleration-based  $IM_{123}$  and  $\bar{S}_a^*$  can have correlation coefficients above 0.90 in  
 502 the far-field ground motion case, but the coefficients become 0.85 and 0.86 in the group of the near-field  
 503 ground motions. The correlation coefficients of  $\bar{S}_a$  and  $\bar{S}_a^*$  of S5 are below 0.80.

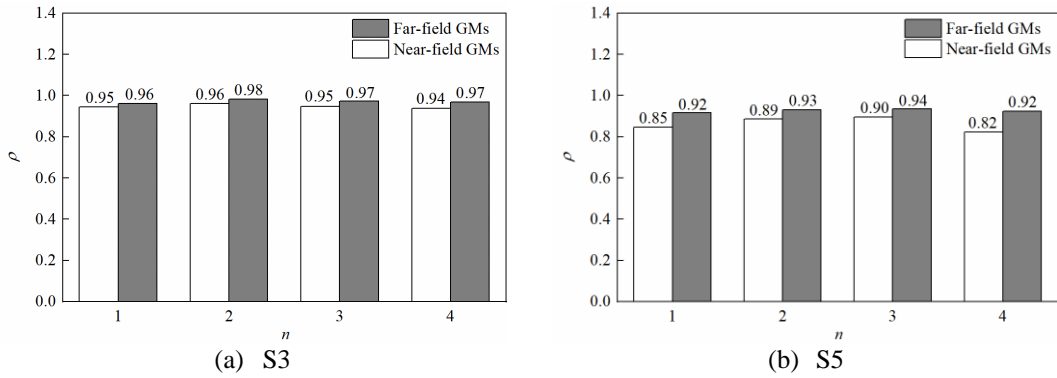


**Fig. 14.** Correlation coefficients between preliminarily selected six IMs and  $IDR_{max}$  of S1~S7

504 With the correlation coefficients of S1~S7 shown in Fig. 10 added, the means of the correlation  
 505 coefficients of  $\bar{S}_v^*$  under the near-field and far-field ground motions are 0.95 and 0.96, respectively,  
 506 which are also higher than those of others. Although the means and coefficients of variation (COVs) of  
 507  $S_v^*$  and  $S_v(T_1)$  under the far-field ground motions are close to those of  $\bar{S}_v^*$ , the COVs of the two IMs  
 508 increase to 0.07 and 0.05 and the corresponding means become 0.89 and 0.92 under the near-field ground  
 509 motions, respectively. Whether from the aspect of the mean or the COV of the correlation coefficients,  
 510  $\bar{S}_v^*$  exhibited desirably stable and efficient performance in either group of the ground motions. The  
 511 means and COVs of the correlation coefficients of  $\bar{S}_a^*$  in the two groups of the ground motions are  
 512 basically unchanged. However, in some cases, its correlation coefficients approach 0.80. The COV of the  
 513 correlation coefficients of  $\bar{S}_a$  increases considerably from 0.04 to 0.09 as the far-field ground motion

514 group is switched to the near-field ground motion group. Moreover, the mean of the correlation  
 515 coefficients of  $\bar{S}_a$  is also the lowest among the six IMs in either ground motion group. It can be  
 516 concluded, with some confidence, from the observations in Figs. 10 and 14 that, for either group of the  
 517 ground motions,  $\bar{S}_v^*$  has exhibited a desirable level of stability and efficiency with  $IDR_{\max}$  of structures  
 518 having a broad range of periods and heights.

519 To verify the accuracy of the estimated optimal number of modes  $n_{\text{opt}}$  in Eq. (15), Fig. 15 provides  
 520 the correlation coefficients between the  $\bar{S}_v^*$  with different numbers of modes and  $IDR_{\max}$  of S3 and S5  
 521 obtained by finite element models. Based on Eq. (15), the  $n_{\text{opt}}$  of S3 is equal to 2 under both kinds of  
 522 ground motions, while the  $n_{\text{opt}}$  of S5 is equal to 3 under both kinds of ground motions. It can be seen that  
 523 the optimal number of modes obtained by the finite element model is the same as the estimated  $n_{\text{opt}}$ ,  
 524 which indicates that the accuracy of Eq. (15) is acceptable.



**Fig. 15.** Correlation coefficients between proposed IM with different number of modes and  $IDR_{\max}$  calculated by finite element models

#### 525 4.3.2 Sufficiency

526 A sufficient IM is defined as one that renders DMs conditionally independent of other characteristics  
 527 of ground motions (Luco and Cornell 2007). As the deviations introduced by the magnitude  $M$  and the  
 528 source-to-site distance  $R$  to the DM have been widely concerned (Dávalos and Miranda 2019), the  
 529 sufficiency of the shortlisted IMs regarding  $M$  and  $R$  is studied here. In fact, it is quite challenging to  
 530 prove the sufficiency of an IM in an absolute manner (Ebrahimian and Jalayer 2021). A commonly-  
 531 used approach to evaluate the sufficiency is to calculate the p-value obtained by the standard linear  
 532 regression of the residual term  $\ln(\varepsilon|IM)$  in Eq. (2), i.e., the difference between the calculated and predicted  
 533 DM, as a function of the ground motion parameters of interest (Khosravikia and Clayton 2020). With a  
 534 certain significance level (usually 5%), the p-value is applied primarily to judge whether the null  
 535 hypothesis (i.e., the slope of the linear regression between  $\ln(\varepsilon|IM)$  and the ground motion parameters of

536 concern) can be rejected or not. If the p-value is less than 0.05, the null hypothesis is rejected. That is, an  
537 IM of concern is not sufficient. For other cases, the null hypothesis cannot be rejected. However, it is still  
538 unable to prove that an IM of concern is sufficient. For this purpose, previous studies put forward some  
539 evaluation indexes based on the variation in the probability density functions of a DM of concern before  
540 and after adding other ground motion parameters (Dhulipala et al. 2018; Yan et al. 2022). However, the  
541 threshold values used to judge whether an IM of concern is sufficient or not are still controversial. It is  
542 comparatively more practical and appropriate to select the most sufficient IM among the candidate Ims,  
543 by directly comparing their relative sufficiency. Thus, in addition to the p-value of the proposed IM, the  
544 relative sufficiency measure (RSM) proposed by Jalayer et al. (2012), which is based on the theory of  
545 relative entropy and has been used in some studies (Du et al. 2019; Minas and Galasso 2019), is calculated  
546 for other five Ims with respect to the proposed IM. By replacing the expectation with an average over a  
547 suite of ground motions, Ebrahimian et al. (2015) proposed the following approximate RSM of the  
548 intensity measure  $IM_2$  relative to  $IM_1$ :

$$549 \quad I(DM | IM_2 | IM_1) \approx \frac{1}{m} \sum_{j=1}^m \log_2 \frac{\beta_{DM|IM_1} \Phi \left[ \frac{\ln DM_j - \ln(aIM_{2j}^b)}{\beta_{DM|IM_2}} \right]}{\beta_{DM|IM_2} \Phi \left[ \frac{\ln DM_j - \ln(aIM_{1j}^b)}{\beta_{DM|IM_1}} \right]} \quad (16)$$

550 where,  $\Phi(\cdot)$  is the standard Gaussian probability density function;  $a$  and  $b$  are regressed coefficients in  
551 Eq. (2);  $\beta_{DM|IM_1}$  is the standard derivation obtained by the log-linear regression between  $DM$  and  $IM_1$ ;  
552 if the RSM is positive, it means that on average,  $IM_2$  provides more more sufficient than  $IM_1$  for  
553 predicting the DM, and if the RSM is zero, it indicates that the two Ims are equally sufficient.

554 Figs. 16 and 17 present the linear regression of  $\ln(\varepsilon|IM)$  on  $\ln \otimes$  and  $M$ , respectively. It can be seen  
555 that all the p-values are greater than 0.05, indicating that the null hypothesis of the sufficiency of the  
556 proposed IM cannot be rejected. Fig. 18 further presents the RSMs of the other five Ims with respect to  
557 the proposed IM. Among the six Ims, the proposed IM is observed to be most sufficient for predicting  
558 the response of S3 and S5.

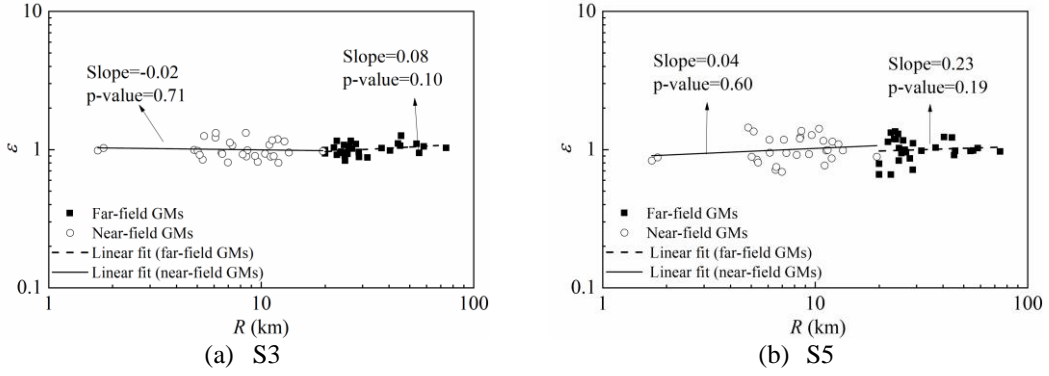


Fig. 16. Linear regression of the  $\ln(\varepsilon|IM)$  on  $\ln(R)$  by proposed IM

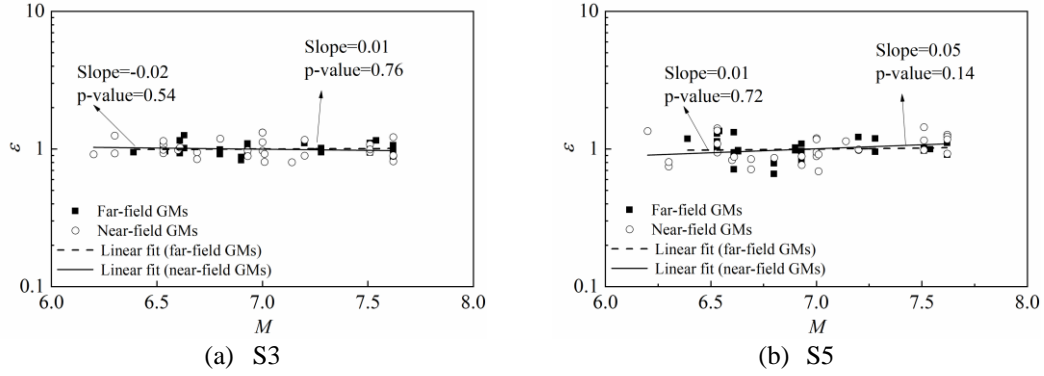


Fig. 17. Linear regression of the  $\ln(\varepsilon|IM)$  on  $M$  by proposed IM

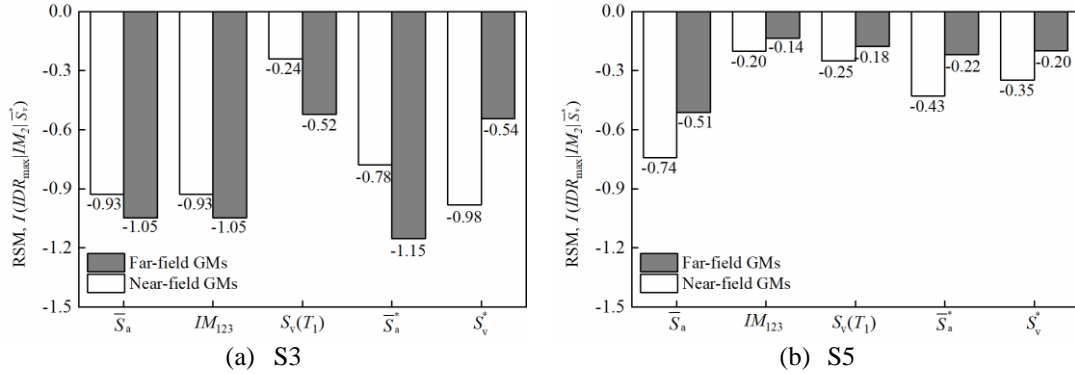


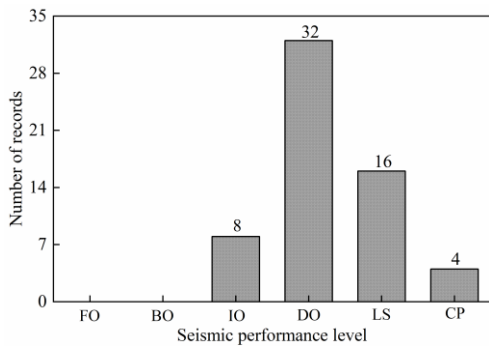
Fig. 18. Relative sufficiency measure of other shortlisted IMs with respect to proposed IM

### 559 4.3.3 Scaling robustness

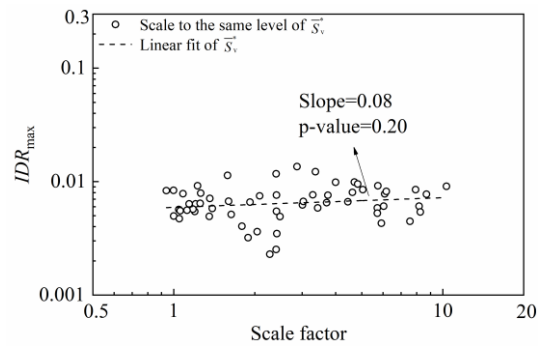
560 The scaling robustness can be interpreted as a feature of generating unbiased structural responses  
 561 toward the scaling of ground motion records (Tothong and Luco 2007). Similar to sufficiency, scaling  
 562 robustness can be evaluated in terms of the slope and p-values obtained by the standard logarithmic linear  
 563 regression of the  $IDR_{max}$  with respect to the scaling factor. The scaling robustness of an IM can be  
 564 declared when the estimated slope is close to zero. A p-value lower than 0.05 indicates that the slope is  
 565 significantly different from zero, which means the IM is not robust with respect to scaling. To make more  
 566 analysis into the severe nonlinear stage, each record is scaled according to the maximum  $\bar{S}_v^*$  of the 60  
 567 ground motion records in Tables 1 and 2, which is 3.288 m/s. The corresponding record is the first near-

568 field record in Table 1, and its PGA is 0.874 g.

569 Due to the heavy computational burden associated with the nonlinear time-history analysis of super  
 570 high-rise buildings, only S5 is adopted to evaluate the scaling robustness of  $\bar{S}_v^*$ . To reveal the levels of  
 571 structural damage under scaled records, the number of scaled records corresponding to each seismic  
 572 performance level of S5 is presented in Fig. 19. Compared with Figs. 12 and 13, the numbers of records  
 573 corresponding to the severe damage level increase obviously under the scaled records, which means the  
 574 purpose of the scaling is achieved. The logarithmic linear regression between the scaling factor and  
 575 corresponding  $IDR_{max}$  is shown in Fig. 20. It can be seen that the scaling factor is relatively evenly  
 576 distributed between 1 and 10, indicating the obvious difference between the  $\bar{S}_v^*$  of the selected ground  
 577 motions and further proving the diversity of these ground motions. The slope of the logarithmic  
 578 regression is less than 0.1 and the p-value is greater than 0.05, implying the scaling robustness of  $\bar{S}_v^*$ .



**Fig. 19.** Number of scaled ground motions corresponding to different seismic performance levels of S5



**Fig. 20.** Log-linear regression between the scaling factor and corresponding  $IDR_{max}$  of S5

## 579 5. Conclusion

- 580 1) The physical background of spectral velocity used as the core element of the proposed IM  $\bar{S}_v^*$  with  
 581 a specific concern of super high-rise buildings has been systematically justified. In addition to its  
 582 potentially close link with equivalent velocity and damage-related hysteretic energy dissipation, the  
 583 spectral velocities at the first several periods, especially that at the fundamental period, are observed,  
 584 via the case study of S3 and S5, to exhibit more desirable correlation with the maximum inter-story  
 585 drift (ISD) ratios than corresponding spectral accelerations. The exponential function-based  
 586 combination pattern of  $\bar{S}_v^*$  can independently reflect the influence of each spectral velocity on the  
 587 structural demand measure (DM) in logarithmic form.
- 588 2) The combination coefficients and optimal number of modes involved in  $\bar{S}_v^*$  are adaptive and case-

589 independent. These parameters can be quickly determined by the fundamental period  $T_1$ , the second-  
590 to-fundamental period ratio  $T_2/T_1$  and non-uniform coefficient of linear density  $\delta_\rho$ , which all can be  
591 estimated even during the preliminary design stage of buildings without detailed structural design  
592 information. The determination of the optimal number of modes can reflect the higher contribution  
593 proportion of the lower modes to the maximum ISD ratios of super high-rise buildings under the  
594 near-field ground motions, compared with the far-field ground motions. When all the combination  
595 coefficients are equally taken as 0.5 and the optimal number of modes is taken as 2,  $\bar{S}_v^*$  can be  
596 converted into its precedent version  $S_v^*$ .

597 3) The two-stage performance evaluation strategy developed herein can reduce the case dependency to  
598 the largest extent of the justification of efficiency. With the use of the flexure-shear coupled models  
599 (FSM-MS) in the first stage, the elastic ISD ratios of structural finite element models and virtual  
600 FSM-MSs that cover the most range of building heights and vibration periods can be efficiently  
601 obtained. Some preliminarily unqualified IMs can be excluded in this stage. The inelastic time  
602 history analyses performed in the second stage on two super high-rise buildings can reach a more  
603 comprehensive evaluation for those preliminarily qualified IMs. By using the two-stage performance  
604 evaluation strategy, the stability of IMs involved can be identified as well as the efficiency.

605 4) The case study using the two-stage performance evaluation strategy indicates that the proposed IM  
606  $\bar{S}_v^*$  has exhibited the superior stability and efficiency among 20 IMs, for either group of the ground  
607 motions. Compared with  $S_v^*$ ,  $\bar{S}_v^*$  is more capable of describing the contribution of the spectral  
608 velocity at the second period to the efficiency. The spectral acceleration-based IMs with power  
609 functions, i.e.,  $S_a(T_1)$ -based  $S_{12}$ ,  $S_{123}$ ,  $S_{N2}$ , and those addressing the period elongation effect or spectral  
610 shape, i.e.,  $S^*$ ,  $IB_{sa}$ ,  $S_{N1}$ ,  $S_{a,gm}$  and  $S_{avg}$ , fail to display high efficiency in the correlation with maximum  
611 ISD ratios. They are believed to be inappropriate for super high-rise buildings. The sufficiency and  
612 scaling robustness of  $\bar{S}_v^*$  is also observed to be desirable among the six shortlisted IMs.

613 5) Although the case study shows that the proposed IM  $\bar{S}_v^*$  is highly correlated with the maximum  
614 ISD ratios of super high-rise buildings, its correlation with other structural demand measures (DMs),  
615 e.g., the maximum floor acceleration, is unknown. In addition, the correlation of  $\bar{S}_v^*$  with the severe  
616 damage or even collapse response that super high-rise building structures may experience under  
617 strong ground motions also needs to be further investigated.

618

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