Relationships between building attributes and COVID-19 infection in London

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1	Relationships between building attributes and COVID-19
2	infection in London
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10	Highlights:
11	• The uneven spatial distribution ranged from 1837.88 to 4391.79 cases per 10,000 people
12	COVID-19 infection rates were lower in higher building density areas, unexpectedly
13	Percentage of residents in flats contributed the most to infection rate, negatively
14	Abstract:
15	In the UK, all domestic COVID-19 restrictions have been removed since they were introduced in March
16	2020. After illustrating the spatial-temporal variations in COVID-19 infection rates across London, this
17	study then particularly aimed to examine the relationships of COVID-19 infection rates with building
18	attributes, including building density, type, age, and use, since previous studies have shown that the built
19	environment plays an important role in public health. Multisource data from national health services and
20	the London Geomni map were processed with GIS techniques and statistically analysed. From March
21	2020 to April 2022, the infection rate of COVID-19 in London was 3159.28 cases per 10,000 people. The
22	spatial distribution across London was uneven, with a range from 1837.88 to 4391.79 per 10,000 people.
23	During the whole COVID-19 control period, it was revealed that building attributes played a significant role
24	in COVID-19 infection. It was noted that higher building density areas had lower COVID-19 infection rates
25	in London. Moreover, a higher percentage of historic or flat buildings tended to lead to a decrease in
26	infection rates. The percentage of residential buildings had a positive relationship with the infection rate.
27	Variations in the infection rate were more sensitive to building type; in particular, the percentage of
28	residents living in flats contributed the most to variations in COVID-19 infection rates, with a value of 2.5%.
29	This study is expected to provide support for policy and practice towards pandemic-resilient architectural
30	design.

## 31 **1. Introduction**

32 The built environment and population health are intrinsically interlinked in different aspects (e.g., Aletta et 33 al., 2020; Alirol et al., 2011; Matthew & McDonald, 2006; Tong & Kang, 2021; Wang et al., 2022). 34 Historically, cities and buildings have been systematically transformed in response to health threats and 35 other kinds of sanitation issues. Epidemics such as the bubonic plague in the 18th century, cholera in the 36 19th century, and Spanish flu in the 20th century contributed to sanitary innovations and inspired the value 37 of built environment configurations as important mitigation and prevention strategies (Megahed & 38 Ghoneim, 2020). With rapid urbanisation, current estimates predict that by 2050, two-thirds of the world's 39 population will be living in urban areas (United Nations, 2014). As this occurs, the characteristics of the 40 built environment will play a more important role in promoting public health.

41 A number of studies have examined the relationship between the built environment and public health from 42 the perspective of infectious disease. For instance, at the urban level, Yashima and Sasaki (2014) 43 indicated that the spread of pandemics is related to the local population size and commuting network 44 structure. Urban green space has positive effects on human health promotion and disease prevention 45 (e.g., Lai et al., 2013; Maas et al., 2006). Moreover, by examining the emergence of past epidemics, Wang 46 et al. (2011) indicated that a city with a multicentric pattern (Shenzhen, China) had fewer cases of severe 47 acute respiratory syndrome (SARS) infection than Hong Kong, which is laid out in a monocentric pattern. 48 Xiao et al. (2014) found that the distribution of influenza H1N1 cases was related to population density 49 and the presence of nearest public places. The H1N1 pandemic was also strongly correlated with urban 50 transportation (Tang et al., 2010). In addition to urban morphology, building attributes, such as ventilation, 51 sanitation, and drainage systems, have been shown to have an impact on virus transmission in high-rise 52 dwellings (e.g., Gao et al., 2008; Lin et al., 2010; Mao & Gao, 2015). Outbreaks of infectious diseases 53 have been inevitable throughout human history. Previous studies have illustrated the importance of urban 54 resistance planning and design in mitigating the impact of disease on population health.

55 Coronavirus disease 2019 (COVID-19) was first identified in December 2019 and quickly started to affect 56 many regions of the world in the following months (Brown & Horton, 2020). The ongoing COVID-19 57 pandemic is a global threat to public health, with 519.11 million cases of COVID-19 diagnosed and 6.27 58 million deaths worldwide as of 16 May 2022 (World Health Organization, 2022). Key characteristics of the 59 urban built environment, such as urban morphologies and building attributes, have been documented to 60 have an impact on COVID-19 infection. For instance, at the urban level, city size is a key factor influencing 61 the transmission of viral disease in US cities, with COVID-19 spreading faster on average in larger cities 62 (Stier et al., 2020). AbouKorin et al. (2021) found that radial and grid cities were associated with higher 63 rates of COVID-19 infection than linear cities. Moreover, green space was found to reduce the impact of 64 COVID-19 transmission and lower COVID-19 mortality rates (Frank & Wali, 2021; Sallis et al., 2022). In 65 addition, there is evidence that socioeconomic factors, including income, unemployment rate, education 66 level, health status, race, and other characteristics, are contributors to COVID-19 infection (e.g., Almagro

67 & Orane-Hutchinson, 2020; Drefahl et al., 2020; Lei et al., 2020; Khunti et al., 2020; Pareek et al., 2020). 68 Raifman and Raifman (2020) indicated that income was strongly related to virus exposure in the United 69 States. Mollalo et al. (2020) also suggested a significant correlation between income and COVID-19 70 incidence rates. Meanwhile, they concluded that COVID-19 infection was related to outdoor environmental 71 factors, including road density, particulate matter 2.5, air quality index, temperature, and precipitation. At 72 the building level, Kwok et al. (2021) found that building density has a substantial effect on COVID-19 73 infection by examining COVID-19 in Hong Kong. They found that building height can lead to an increased 74 risk of COVID-19 infection. The indoor environment, including occupants, ventilation, and indoor air quality, 75 was also related to the spread of COVID-19 (e.g., Dietz, 2020; Eykelbosh, 2020). However, research on 76 the role of building attributes, such as the building type and use, in COVID-19 infection is still lacking.

In England, the virus began circulating in early 2020. To mitigate its impact, the UK government passed the Health Protection (Coronavirus, Restrictions) (England) Regulations 2020, which were implemented at 1:00 pm on 26 March 2020 (Public Health England, 2020). Subsequently, with the new variants of COVID-19, lockdown measures have been changed accordingly. On 24 February 2022, all domestic COVID-19 restrictions were lifted in England under the government's announced "living with COVID" plan. This offers a good opportunity to analyse COVID-19 infection during the whole control period, although there is still a large population infected with COVID-19.

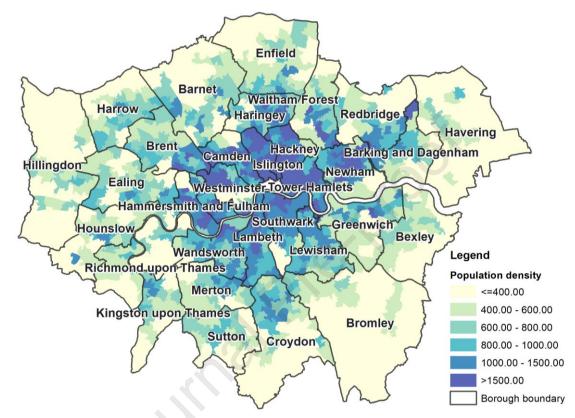
84 Therefore, by first examining the spatial-temporal distribution of COVID-19 infection from 14 March 2020 85 to 22 April 2022, this study then particularly aimed to investigate the relationships between COVID-19 86 infection and building attributes, including building density, type, age, and use. To address this issue, 87 building geometry data and infection case data from the governmental open data platform were processed 88 with geographic information system (GIS) techniques. Multivariate linear regression was used to model 89 COVID-19 infection rates and building attributes, simultaneously adjusting for socioeconomic factors. It is 90 expected that the results can inform architecture design and urban planning to build a healthy and resilient 91 city able to withstand future pandemics.

#### 92 2. Methods

## 93 2.1. Case study site

94 Greater London has a population of approximately 8.9 million and a population density of 64.16 95 people/hectare (Figure 1). There are 982 Middle Layer Super Output Areas (MSOAs), a geographical 96 hierarchy designed to improve the reporting of small area statistics in England and Wales (NHS Data 97 Model and Dictionary, 2022). There are several reasons that make London well suited for a case study. 98 First, the COVID-19 infection, socioeconomic factor, and building attribute datasets have the same data 99 collection methods and have available information from across London. There is a wide variation in 100 building attributes, and infection rates vary considerably across London. Moreover, as mentioned above, 101 lockdown measures have been in place in London since March 2020, and all COVID-19 restrictions have

102 now ended. This marks a new phase in the COVID-19 pandemic. It is therefore an opportune time to 103 investigate the role of the built environment in COVID-19 infection. Moreover, public health policy 104 responses to the COVID-19 pandemic are consistent across London. Therefore, this study focused on 105 London and selected 981 MSOAs for analysis (excluding the City of London because data were not 106 available).



107 108

Figure 1 The distribution of population density (people per square kilometre) in London.

# 109 2.2. Data sources and indicators

This study involved COVID-19 infection, building attribute, and socioeconomic factor datasets in London. 110 COVID-19 infection data were obtained from the UK Coronavirus Dashboard, which was developed by 111 the UK Health Security Agency (2022). The dashboard is a timely and authoritative summary of key 112 113 information about the COVID-19 pandemic and includes levels of infection cases, testing, deaths, and 114 vaccination data. The dashboard supports researchers in reusing data by accessing results in machinereadable files and via an application programming interface (UK Health Security Agency, 2022). The 115 116 number of COVID-19 infection cases was obtained for the rolling 7-day period from 14 March 2020 to 22 117 April 2022, which covers the start and end of COVID-19 restrictions in London. COVID-19 infection data 118 were available for different geographical areas, such as nations, English regions, local authorities, and 119 MSOAs. Among these, infection cases in MSOAs were the most readily available at the local level. The 120 infection rate was calculated by the number of people infected with COVID-19 per 10,000 people.

121 Based on previous studies, COVID-19 infection rates are potentially related to several built environment

122 indicators, including dwelling type, physical morphology (density and height), and land use. Given the 123 availability of data, the 19 building indicators obtained were categorised into building density, type, age, 124 and use, which are important building attributes. Building density, type, and age were obtained from the 125 UKBuildings dataset in 2021, a national database of building features developed and maintained by 126 Geomni that provides detailed information about individual buildings across the UK. Building use was 127 recategorised according to building use values from the original UKBuildings dataset (Table S1 in 128 Supplementary File). Defence, storage, utility, and unclassified buildings were not included in the analysis 129 due to limited data and missing information. UKBuildings is a spatial dataset that records the location and 130 footprints of buildings with several attributes that describe building features. Then, the datasets were 131 processed in ArcGIS 10.4 to calculate the values of the indicators of buildings at the MSOA level with the help of the spatial analysis, attribute link, and spatial statistics modules. In addition, building types were 132 133 sourced from the UK Census and summarised to the MSOA level. The building indicators are described in Table 1, and the corresponding calculation method is also illustrated. 134

135 Table 1 Indicators for building attributes and descriptions.

Category	Indicators	Descriptions			
Duilding density	Floor area ratio	The ratio of the building's total floor area to the area of the MSOA			
Building density	Building base density	The base area of the building to the area of th MSOA			
	Detached house	The percentage of residents living in detached houses in a particular MSOA			
Building type	Terraced house	The percentage of residents living in terraced houses in a particular MSOA			
	Flat	The percentage of residents living in flats in a particular MSOA			
	Historic building	The percentage of historic buildings			
	Interwar building	The percentage of interwar buildings			
<b>Ruilding</b> ago	Postwar building	The percentage of postwar buildings			
Building age	Sixties-seventies era	The percentage of sixties-seventies era			
	building	buildings			
	Modern building	The percentage of modern buildings			
	Community building	The percentage of community buildings			
	Commercial building	The percentage of commercial buildings			
	Industry building	The percentage of industrial buildings			
	Office building	The percentage of office buildings			
Building use	Recreation and leisure building	The percentage of recreation and leisure buildings			
	Retail building	The percentage of retail buildings			
	Residential building	The percentage of residential buildings			
	Transport building	The percentage of transport buildings			
	Agricultural building	The percentage of agricultural buildings			

136 COVID-19 infection is also affected by socioeconomic factors, such as demographic, environmental, 137 social, and transportation factors, in urban contexts (e.g., AbouKorin et al., 2021; Baena-Díez et al., 2020; 138 Sharifi & Khavarian-Garmsir, 2020). Therefore, socioeconomic factors were included as control variables 139 in this study. Based on previous studies, population density, median age, income, ethnicity, employment, 140 students, education, health, crime, local services, living environment, and transportation mode were 141 considered and included. Considering the elimination of multicollinearity between the control variables 142 and the balance of model performance and the number of variables entered, three indicators were finally 143 extracted: population density, deprivation index, and the percentage of people who commuted by public 144 transport. In particular, the deprivation index encompasses a wide range of individual living conditions and 145 generally represents the socioeconomic status of an area (Ministry of Housing, Communities and Local 146 Government, 2019). The socioeconomic factor dataset was obtained from the Office of National Statistics 147 (Ministry of Housing, Communities and Local Government, 2019; Park, 2021). In addition, in London, the 148 vaccination rate was strongly related to the deprivation index. The deprivation index, which has been 149 included in the models as a key control variable, can present the level of vaccination rate across different 150 areas in London. Therefore, the vaccination rate was not entered directly, which was also due to multicollinearity issues. 151

#### 152 2.3. Statistical analysis

153 Multivariate linear regression, which is one of the most widely used techniques in built environment 154 research, was chosen to model COVID-19 infection rates and building attributes (e.g., French et al., 2014; 155 Ma & Dill, 2015; Moghadam et al., 2018). Simultaneously, socioeconomic factors were adopted as control 156 variables. In this study, COVID-19 infection rates, building attributes, and socioeconomic factors were 157 continuous variables, which is the essential assumption of the multivariate linear regression model. From 158 the scatter plots, linear relationships between the dependent variable and each independent variable were 159 generally observed. However, combined with casewise diagnostics, 12 cases were identified as outliers 160 and eliminated as they were distant from other cases. The results for multicollinearity, independent errors, 161 homoscedasticity, and normally distributed residual checks are presented in Section 3.2.2.

162 In this study, the COVID-19 infection rates were modelled as a function of building attributes and 163 socioeconomic factors by using a multivariate linear regression framework. The statistical model was 164 given as

165

$$Y_k = \beta_0 + \beta_1 * \text{building}_k + \gamma_i * \sum_{i=1}^m s_{ik}$$
(1)

where  $Y_k$  is the observed COVID-19 infection rate (the number of COVID-19 infection cases per 10,000 people) in MSOA *k*; *building<sub>k</sub>* is the indicator of building attributes in MSOA *k*; and *s<sub>jk</sub>* is the control variable (m = 3, three socioeconomic factors were retained). Moreover, the multivariate regression analysis was subsequently conducted in Statistical Package for the Social Sciences 28.0 (IBM Corp, 2015). Due to the high correlation between indicators of building attributes, multiple building attributes were tested in separate regression models.

## 172 **3. Results**

## 173 **3.1. Spatial and temporal distribution characteristics of COVID-19 infection rate**

From 14 March 2020 to 16 April 2022, there were 2,822,986 COVID-19 infections, with an infection rate of 3159.28 cases per 10,000 people in London. The spatial distribution of the COVID-19 infection rate in

- London is shown in Figure 2. COVID-19 infection rates were not evenly distributed, with an infection rate
   range (namely, the difference between the lowest and highest) of 2553.91 cases per 10,000 people and
- 178 a standard deviation of 342.41. The highest COVID-19 infection rate was observed in Acre Lane in
- Lambeth Borough, with a value of 4391.79 per 10,000 people. In contrast, the lowest rate of infection was
- 180 observed in Knightsbridge, Belgravia and Hyde Park in Westminster, at 1837.88 per 10,000 people.

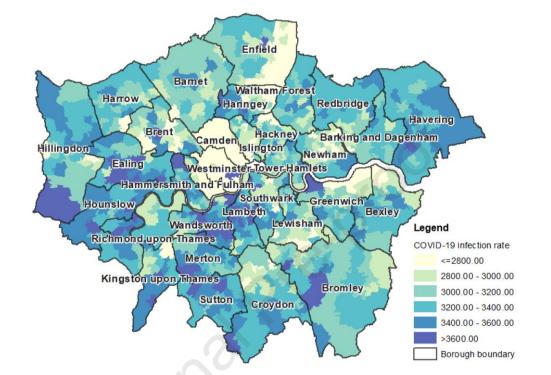


Figure 2. Spatial distribution of COVID-19 infection rates (the number of people infected with COVID-19
 per 10,000 people) across London.

181

In terms of the temporal distribution of COVID-19 infection rates from 2020 to 2022 (Figure 3), the infection 184 185 rates were not constantly increasing. Instead, two peaks were observed. The first peak occurred in the 186 rolling 7-day period ending on 2 January 2021, when the Alpha variant was identified and swept rapidly 187 across the UK (Grint et al., 2021; Ladhani et al., 2021). The rate was 106.33 cases per 10,000 people. 188 The second peak was observed on 25 December 2021, with an infection rate of 208.02, when Omicron 189 was identified and replaced Delta as the predominant variant (Paton et al., 2022). It can be seen that the 190 rates of COVID-19 infection were increased across all the boroughs in London after the Omicron was 191 identified. Moreover, a less obvious peak occurred in July 2021, when the Delta variant became the 192 dominant variant and swept the UK (Torjesen, 2021). The trends in the infection rates over time were 193 similar across London.

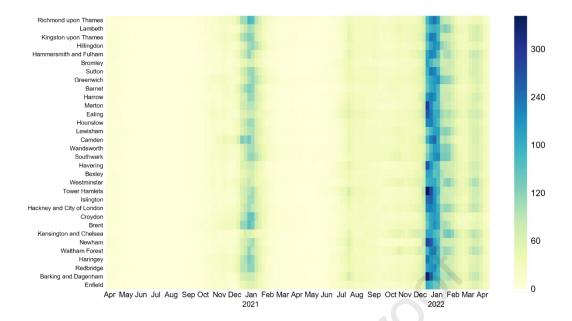


Figure 3. Temporal distribution of weekly COVID-19 infection rates (the number of people infected with
 COVID-19 per 10,000 people).

## 197 **3.2. Multivariate linear regression analysis results**

198 When only socioeconomic factors (i.e., control variables including population density, deprivation index, 199 and the percentage of people commuting by public transport) were entered into the multivariate linear 200 regression, the model was significant (F-statistic value less than 0.001), meaning that the independent 201 variables (i.e., control variables) influenced the dependent variable (COVID-19 infection rates). 202 Accordingly, an R square of 0.199 indicated that the control variables could explain 19.9% of the variance 203 in COVID-19 infection rates. Moreover, each building attribute indicator was added into the regression 204 model one-by-one, and the results are presented below. Overall, during the whole process of the COVID-205 19 control period, building attributes explained the variation in COVID-19 infection rates across London to 206 a different extent.

207 3.2.1. Effect of building attributes

194

208 The results of the statistical model for building density and COVID-19 infection rates are presented in 209 Table 2, which shows the estimated association between the COVID-19 infection rate and the two key 210 building density indicators on a regression coefficient scale. After adjusting for multiple socioeconomic 211 factors, the COVID-19 infection rate was negatively related to the floor area ratio, with the COVID-19 infection rate tending to decrease by 134.59 cases per 10,000 people as the floor area ratio increased by 212 213 one unit. The floor area ratio and socioeconomic variables explained 21.5% of the variations in London 214 COVID-19 infection rates. The floor area ratio explained an additional 1.6% of the variations. No significant 215 relationship was found between building base density and the COVID-19 infection rate.

Table 2. Regression coefficients and 95% confidence intervals for the COVID-19 infection rate associated
with building density.

Indicators	Regression	95% cor intervals for		Significance	Cumulative	Additional	
Indicators	coefficients	Lower bound	Upper bound	level	R square	R square	
Floor area ratio	-134.59	-192.96	-76.22	<0.001**	0.215	0.016	
Building base density	1.55	-4.72	7.82	0.629	0.199	0.000	

218 \* Regression is significant at the 0.05 level.

219 \*\* Regression is significant at the 0.01 level (Following notes are same).

220 In terms of building type, the regression analysis results of the COVID-19 infection rate are shown in Table 221 3. After adjusting for socioeconomic factors, all building type indicators were significantly related to 222 COVID-19. Whole houses (detached houses and terraced houses) had positive relationships with COVID-223 19 infection rates. The percentage of residents living in detached houses was estimated to contribute to 224 1.81 additional cases of COVID-19 per 10,000 people at the 0.019 significance level, whereas the 225 percentage of residents in terraced houses contributed to 2.54 additional infection cases per 10,000 226 people at the 0.001 significance level. In terms of flats, with an increasing percentage of residents living 227 in flats, there was a decrease in the COVID-19 infection rate. An extra one percent increase in residents 228 living in flats was likely to decrease the COVID-19 infection rate by 3.07, which was higher than that for 229 the other types of buildings. The flat variable explained an additional 2.3% of the variations in COVID-19 230 infection rates.

Table 3. Regression coefficients and 95% confidence intervals for the COVID-19 infection rate associated
 with building type.

Indicators	Regression		nfidence · coefficients	Significance	Cumulative	Additional	
mulcators	coefficients	Lower bound	Upper bound	level	R square	R square	
Detached house	1.81	0.30	3.32	0.019*	0.203	0.004	
Terraced house	2.54	1.29	3.78	<0.001**	0.212	0.013	
Flat	-3.07	-4.20	-1.93	<0.001**	0.222	0.023	

233 In terms of building age, Table 4 shows the association between the age of buildings and COVID-19 234 infection rates. In the multivariate models, the only variables associated with COVID-19 infection rates 235 that remained significant were historic and interwar buildings. An additional percentage increase for historic buildings was related to 2.75 fewer cases of COVID-19 infection per 10,000 people, explaining 236 237 2.1% of the variance in the COVID-19 infection rate. The percentage of interwar buildings was positively 238 related to COVID-19 infection rates, with an increase of one percent of interwar buildings leading to 239 another 1.76 infections per 10,000 people. In terms of the percentage of postwar, sixties-seventies era, 240 and modern buildings, no significant relationship with the COVID-19 infection rate was found.

Table 4. Regression coefficients and 95% confidence intervals for the COVID-19 infection rate associatedwith building age.

Indicators Regression 95% confidence	Significance	Cumulative R	Additional R
coefficients coefficients	level	square	square

		Lower bound	Upper bound			
Historic building	-2.75	-3.80	-1.70	<0.001**	0.220	0.021
Interwar building	1.76	0.26	3.25	0.021*	0.203	0.004
Postwar building	2.91	-0.51	6.23	0.095	0.201	0.002
Sixties- seventies era building	-1.32	-3.95	1.31	0.324	0.200	0.001
Modern building	1.07	-1.47	3.61	0.407	0.199	0.000

243 Table 5 shows the results of each multivariate model for COVID-19 infection rates and building use. The 244 percentages of community, commercial, and industrial buildings was not significantly related to COVID-245 19 infections. The percentages of office, recreation and leisure, and retail buildings were negatively 246 correlated with COVID-19 infection rates, with each type of building use explaining 0.6% of the variance 247 in the rates after adjustment for socioeconomic factors. Specifically, an increase in the percentages of 248 office and retail buildings was estimated to reduce COVID-19 infection rates by 3.61 and 3.31, respectively. 249 The percentage of recreation and leisure buildings had a slightly greater influence on the magnitude of 250 increase in COVID-19 infection rates than office and retail buildings. Each unit increase in the percentage 251 of recreation and leisure buildings tended to contribute to a 6.44% decrease in infection rates. A positive 252 relationship was observed for residential buildings, whereby COVID-19 infection rates tended to increase 253 by 1.73 per 10,000 people as the percentage of residential buildings increased. The percentage of 254 residential buildings explained an additional 0.9% of the variance in COVID-19 infection rates, with a 255 slightly higher contribution than other building use types. Finally, no significant relationship was observed 256 for transport or agricultural buildings.

Table 5. Regression coefficients and 95% confidence intervals for the COVID-19 infection rate associated
with building use.

Indicators	Regression coefficients	95% cor interva coeffic Lower bound	als for	Significance level	Cumulative R square	Additional R square
Community building	-0.98	-3.72	1.76	0.482	0.199	0.000
Commercial building	0.68	-1.54	2.91	0.546	0.199	0.000
Industry building Office building	-1.68 -3.61	-5.92 -6.17	-2.57 -1.04	0.438 0.006**	0.199 0.205	0.000 0.006
Recreation and leisure building	-6.44	-11.09	-1.80	0.007**	0.205	0.006
Retail building	-3.31	-5.67	-9.40	0.006**	0.205	0.006
Residential building	1.73	0.69	2.76	<0.001**	0.208	0.009
Transport building	-2.23	-7.49	3.03	0.405	0.199	0.000
Agricultural building	2.52	-16.18	21.22	0.792	0.199	0.000

#### 259 **3.2.2. Control variables and assumption checks**

As mentioned above, socioeconomic factors were considered control variables, and the model was significant. Moreover, as expected, all socioeconomic variables were relatively consistent across all regression models in terms of the magnitude and significance level of the coefficients. Socioeconomic factors explained 19.9% of the variance in COVID-19 infection rates: population density and deprivation index were negatively related to COVID-19 infection rates at the 0.001 significance level, whereas the percentage of people commuting by public transport had a positive relationship with COVID-19 infection rates at a significance level of 0.001.

267 When modelling the relationship between COVID-19 infection rates and building attributes, the 268 assumptions of the multivariate linear regression model were also checked, including multicollinearity, 269 independent errors, homoscedasticity, and normally distributed residuals. Multicollinearity, one of the 270 essential hypotheses for multivariate regression analysis, occurs when the independent variables in a 271 regression model are highly correlated. Multicollinearity can lead to modelling problems, such as a reverse 272 sign or wider confidence intervals of the regression coefficients, which could cause misleading 273 interpretations of modelling results (Gregorich et al., 2021). To detect multicollinearity, variance inflation 274 factors (VIFs) were used and analysed to check for any multicollinearity issues in the multivariate 275 regression models. For continuous variables, a VIF greater than 10 indicates that the independent 276 variables are highly correlated (AbouKorin et al., 2021). In this study, the VIFs for all variables in the 277 multivariate regression models were less than 2. Therefore, there was no multicollinearity issue. Moreover, 278 in terms of independent errors, the Durbin-Watson statistic showed that the values of the residuals were 279 slightly positively autocorrelated. However, the Durbin-Watson statistic value (approximately 1.2) fell in 280 the range of 1 to 3, which was acceptable. Values below 1 and above 3 can cause concern and may 281 invalidate the analysis. Furthermore, in terms of homoscedasticity and residual distribution, scatter plots 282 of standardised residuals vs. standardised predicted values showed no obvious signs of funnelling, and 283 the P-P plot for all models in this study showed that residuals were normally distributed (University College 284 London, 2022).

#### 285 4. Discussion

## **4.1. The effect of building attributes on COVID-19 infection rates**

London faced a profound public health crisis with a rate of 3159.28 COVID-19 infections per 10,000 people. However, the infection rate in London was lower than the average value in England at 3,299.12 per 10,000 people and ranked second to last across regions in England (UK Health Security Agency, 2022). Meanwhile, the distribution of infection rates was spatially and temporally uneven. The tendency over time, as expected, corresponded to the outbreaks of new COVID-19 variants. In this study, building attributes were examined via statistical analysis. In general, several building attribute indicators were related to the COVID-19 infection rate.

High building density was negatively associated with the COVID-19 infection rate. This finding was 294 295 somewhat counterintuitive since crowded living conditions should accelerate the spread of COVID-19 due 296 to frequent face-to-face interaction. However, existing studies show that evidence for the relationship 297 between density and COVID-19 was contradictory and inconclusive. Pafka (2020) stated that although 298 physical distancing is the most common measure to contain the spread of the virus, this does not mean 299 that higher density areas necessarily have more COVID-19 cases and lower density areas are more 300 resilient to the pandemic. Boterman (2020) did not find a significant relationship between density and the rate of COVID-19 infection in the Netherlands. Similarly, in an investigation of over 900 US metropolitan 301 302 counties, Hamidi et al. (2020) found that density was not linked to rates of COVID-19 infection. Surprisingly, 303 COVID-19 death rates are significantly lower in high-density counties. The reason for this is difficult to 304 explain. However, as indicated by previous research, in addition to better accessibility to health care 305 facilities, dense areas may be better environments for taming and enforcing strict measures and easier 306 management of social distancing interventions (Hamidi et al., 2020). Moreover, in dense buildings, the 307 coverage of high-speed internet and home delivery services is highly available; hence, residents can 308 conveniently stay at home and avoid unnecessary contact with others (Fang & Wahba, 2020).

309 Subsequent analysis of building age and type supported this finding for building density and COVID-19 310 infection: detached/terraced houses or historic buildings, which are generally found in low-density areas, 311 tended to increase the COVID-19 infection rate, whereas flats (typically found in areas exhibiting high 312 density) had a negative relationship with the infection rate. A possible explanation for this result is social 313 factors, such as age and families with students/pupils. Previous studies have found that age impacts the 314 infection rate and that families with students/pupils were also affected by COVID-19 (Ehlert, 2021; 315 Emeruwa et al., 2020; Lei, 2020). However, these indicators were considered as control variables; hence, 316 the results might not be caused by the factors of age and families with students/pupils. Furthermore, 317 household size is also an important socioeconomic factor, and previous studies have indicated a 318 significant association between large households and COVID-19 infection (Ehlert, 2021; Emeruwa et al., 319 2020). Therefore, to test this, more analyses were conducted. Household size was added to the 320 multivariate linear regression model in an attempt to explain the results. It is found that household size 321 was not significantly related to the COVID-19 infection rate and the R square was almost the same as that 322 in the model without household size with the value of 0.223. Therefore, household size did not explain the 323 reduction effect of the percentage of flats on COVID-19 infection rates in this study. Another possible 324 reason is that this result could be related to the structure of flats in London, a great number of which have 325 exterior stairs and corridors connecting flat entrances, which largely avoid face-to-face interaction. 326 Moreover, these flats have separate and well-constructed natural ventilation systems. Such a layout 327 makes the infection rate in flats not as high as expected. If the flats have individual mechanical ventilation 328 systems, the infection rate would be as low as that of the flats with natural ventilation. However, flats with 329 individual mechanical ventilation systems are rather limited in London. It is expected that these factors 330 can be considered in resistance building design to mitigate the impact of pandemics. Overall, among

building attributes, building type explained more of the COVID-19 infection rates; in particular, the
 percentage of flats contributed the most to variations, with a value of 2.5%.

In terms of building use, in public buildings, such as those for offices, leisure, and retail, the COVID-19 infection rate tended to be lower, whereas residential buildings were likely to have higher infection rates. These relationships may be explained by "stay at home" lockdown measures, which were recommended for residents. Residents were not allowed to leave their homes or go to public buildings, which were closed during the unexpected period under the strictest restrictions (Public Health England, 2020). Hence, public buildings had a relatively low COVID-19 infection rate, whereas residential buildings had a relatively high rate.

## 340 4.2. Implications

341 Building attributes played an important role in the spread of COVID-19. The COVID-19 infection rates 342 varied according to building density, type, age, and use. These findings can inform architectural design 343 from the perspective of pandemic-resilient buildings. For instance, designers can pay more attention to 344 improving the performance of interwar buildings. In addition to general maintenance, the indoor 345 environment and sanitation should also be improved to prevent disease transmission. Moreover, despite 346 the lockdown measures being lifted, the events of the COVID-19 pandemic did change people's daily lives 347 and views on working from home, which is likely to become an increasingly common practice in the future. 348 Combined with the positive relationship between residential buildings and the COVID-19 infection rate, 349 emphasis should be placed on the performance of residential buildings, such as improving ventilation 350 conditions, which has been shown to have an impact on virus transmission (e.g., Bhagat et al., 2020; Li 351 et al., 2021; Xu et al., 2020). A good living environment can also benefit the mental health of residents 352 during the lockdown period. The built environment is important to mitigate the impact of disease on 353 population health and societal development. Architectural design, as a nonpharmaceutical intervention, 354 plays an essential role in preventing pandemics and eliminating virus transmission.

**4.3. Limitations and future research** 

356 This study suggests a number of limitations and possibilities for future research. The first aspect to 357 consider is related to the COVID-19 dataset. The COVID-19 dataset obtained from UK open data was 358 limited by testing capacity and willingness to test. Although these public data were widely used in a number 359 of previous studies (e.g., Anderson et al., 2020; Ghosh et al., 2020), it would be better to have more 360 information on the actual number of COVID-19 infection cases. Second, drawing from the statistical 361 models, the Durbin-Watson statistic values for the built regression models were approximately 1.2, indicating that independent variables (i.e., COVID-19 infection rates) were slightly positively 362 363 autocorrelated. In this study, this meant that a spatial correlation (i.e., the neighbourhood effects) existed 364 between buildings for COVID-19 infections. Although Durbin-Watson values in the range of 1-3 are 365 acceptable, it would be interesting to investigate the effects of spatial relationships among buildings in

366 future studies. The indicators for the spatial relationship among buildings, such as the distance between 367 buildings, could be included. Third, UK have experienced different lockdown periods, such as first national 368 lockdown, minimal lockdown restrictions, reimposing restrictions, second national lockdown, and other 369 lockdown periods (UK Parliament, 2021). Even though this study focused on the whole period from March 370 2020 to April 2022, it is worth investigating the impact of different periods. However, the delayed nature of 371 policy implementation and the complexity of human behaviour in response to policy make it difficult to 372 clearly divide the different time periods. As an attempt, this study conducted an additional analysis for the 373 relatively strict lockdown period (from March 2020 to March 2021) and leaving lockdown period (from 374 March 2021 to February 2022) (Tables S2 and S3 in Supplementary File). It is found that during strict lockdown period, the results were similar to the analysis for the whole period, i.e. the regression 375 376 coefficients have almost the same sign and similar magnitude. During leaving lockdown period, the results 377 seemed to be somewhat different: some coefficients became not significant and the coefficient values 378 became lower. Therefore, the results might be different, due to division of the time period. Therefore, the 379 precision of the time period division may lead to different results. In future investigations, based on more 380 standard and detailed division of time period criteria, the effects of different lockdown periods could be 381 studied in more depth. Fourth, in this study, the control variables (i.e., socioeconomic factors) were 382 significantly related to the COVID-19 infection rate. From this perspective, it would also be useful to 383 consider other cities where the socioeconomic conditions (e.g., ethnicity) are different. Finally, the variation 384 of COVID-19 is always mutating and more strains may emerge in the future. The transmission 385 characteristics of each strain are different. Therefore, it would be interesting to investigate the impact of 386 different variations. For instance, omicron, one of the most important variations, differs from other 387 variations due to its highly contagious. Omicron is still popular and there are also new strains. In the future, 388 a further study with more focus on Omicron is therefore suggested if the data of Omicron infection rate is 389 available.

#### 390 **5.** Conclusions

Based on multisource data, GIS techniques, and statistical analysis, this study is the first to illustrate the spatial-temporal distribution of COVID-19 infection rates, particularly regarding the relationship between COVID-19 infection rates and building attributes. From March 2020 to April 2022, the infection rate of COVID-19 in London was 3159.28 cases per 10,000 people, which was lower than the average in England. The tendency over time corresponded to the outbreaks of new COVID-19 variants.. Moreover, the spatial distribution of infection rates across London was uneven, with a range from 1837.88 to 4391.79 per 10,000 people.

These results revealed that throughout the control period of COVID-19, building attributes played a significant role in COVID-19 infection. In general, a number of building attribute indicators contributed to variations in the COVID-19 infection rate. Areas with higher building density were more likely to have a lower infection rate in London. Meanwhile, the higher percentage of historic or flat buildings tended to lead

402 to a decrease in infection rates. In terms of building use, the rate of COVID-19 infection tended to be lower 403 in public buildings and higher in residential buildings. The variations in COVID-19 infection rates were 404 more sensitive to building type. In particular, the percentage of residents living in flats explained an 405 additional 2.5% of the COVID-19 infection rate variations and contributed the most among all the building 406 attributes.

In addition, as previous studies have indicated, it is expected that the spread of COVID-19 would be related to control variables, i.e., socioeconomic factors. In checking the assumptions of the model, the spatial relationship among buildings (e.g., the distance between buildings and degree of building enclosure) had an effect on COVID-19 infection rates, for which further research could be carried out.

Despite the removal of COVID-19 restrictions, the dramatic events of 2020 did change people's daily lives and raised their awareness of future crises and upcoming pandemics. Working from home is likely to become an increasingly common practice in the future. Buildings, especially low-density residential buildings, will then be an even more crucial living environment in terms of disease prevention and mental health promotion. This study is expected to be useful for policy and practice in pandemic-resilient architectural design.

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# **Declaration of interests**

☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Journal Prevention