

# 1 A Fully-autonomous Framework of Unmanned 2 Surface Vehicles in Maritime Environments 3 using Gaussian Process Motion Planning

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Abstract

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Unmanned surface vehicles (USVs) are of increasing importance to a growing number of sectors in the maritime industry, including offshore exploration, marine transportation and defence operations. A major factor in the growth in use and deployment of USVs is the increased operational flexibility that is offered through use of optimised motion planners that generate optimised trajectories. Unlike path planning in terrestrial environments, planning in the maritime environment is more demanding as there is need to assure mitigating action is taken against the significant, random and often unpredictable environmental influences from winds and ocean currents. With the focus of these necessary requirements as the main basis of motivation, this paper proposes a novel motion planner, denoted as Gaussian process motion planning 2 star (GPMP2\*), extending the application scope of the fundamental Gaussian process-based (GP-based) motion planner, Gaussian process motion planning 2 (GPMP2), into complex maritime environments. An interpolation strategy based on Monte-Carlo stochasticity has been innovatively added to GPMP2\* to produce a new algorithm named GPMP2\* with Monte-Carlo stochasticity (MC-GPMP2\*), which can increase the diversity of the paths generated. In parallel with algorithm design, a Robotic Operating System (ROS) based fully-autonomous framework for an advanced USV, the Wave Adaptive Modular Vessel 20 (WAM-V 20), has been proposed. The practicability

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24 of the proposed motion planner as well as the fully-autonomous framework have been functionally  
25 validated in a simulated inspection missions for an offshore wind farm in ROS.

26 Index Terms

27 Unmanned surface vehicles, environment characteristics, GP-based path planning, interpo-  
28 lation strategy, Monte-Carlo stochasticity, fully-autonomous framework

29 I. Introduction

30 The planning of trajectories in complex maritime environments plays a critical role  
31 in developing autonomous maritime platforms such as USVs. The paths generated for  
32 operations in maritime environments should not only ensure the success of a mission but,  
33 wherever and whenever possible, actively try to minimise the energy consumption during a  
34 voyage. Even with growing recognition of the importance of motion planning algorithms for  
35 USVs, two major challenges have largely hindered their progress of development including:  
36 1) the majority of mainstream motion planning algorithms do not encompass proper  
37 consideration of the environmental impacts such as winds and surface currents and 2)  
38 among the minority of algorithms that do take these environmental characteristics into  
39 account, important metrics including the computation time and path quality are not up to  
40 that minimum standard of quality required for practical applications. These aforementioned  
41 challenges have been addressed to some extent in the past few years, but there is a need  
42 to further optimise the solutions.

43 Current existing mainstream motion planning algorithms can be divided into four cat-  
44 egories: 1) grid-based algorithms [1], [2], [3], 2) sampling-based algorithms [4], [5], [6], 3)  
45 potential field algorithms [7], [8] and 4) intelligent algorithms [9], [10], [11], variations of  
46 which have been applied across different robotic domains. All the aforementioned algorithms  
47 have been developed over many years and have made an incredible contribution to robotic  
48 motion planning problems. Nevertheless, these algorithms have some drawbacks and cannot  
49 fully meet the requirements for motion planning in practical application scenarios. Grid-  
50 based algorithms require a post-processing path smoothing procedure to satisfy the non-  
51 holonomic constraints of vehicles [12]. Sampling-based and intelligent algorithms might  
52 require an extremely long computation time to ensure convergence, otherwise the distance  
53 and smoothness of the paths can not be guaranteed [13], [14]. Potential field algorithms

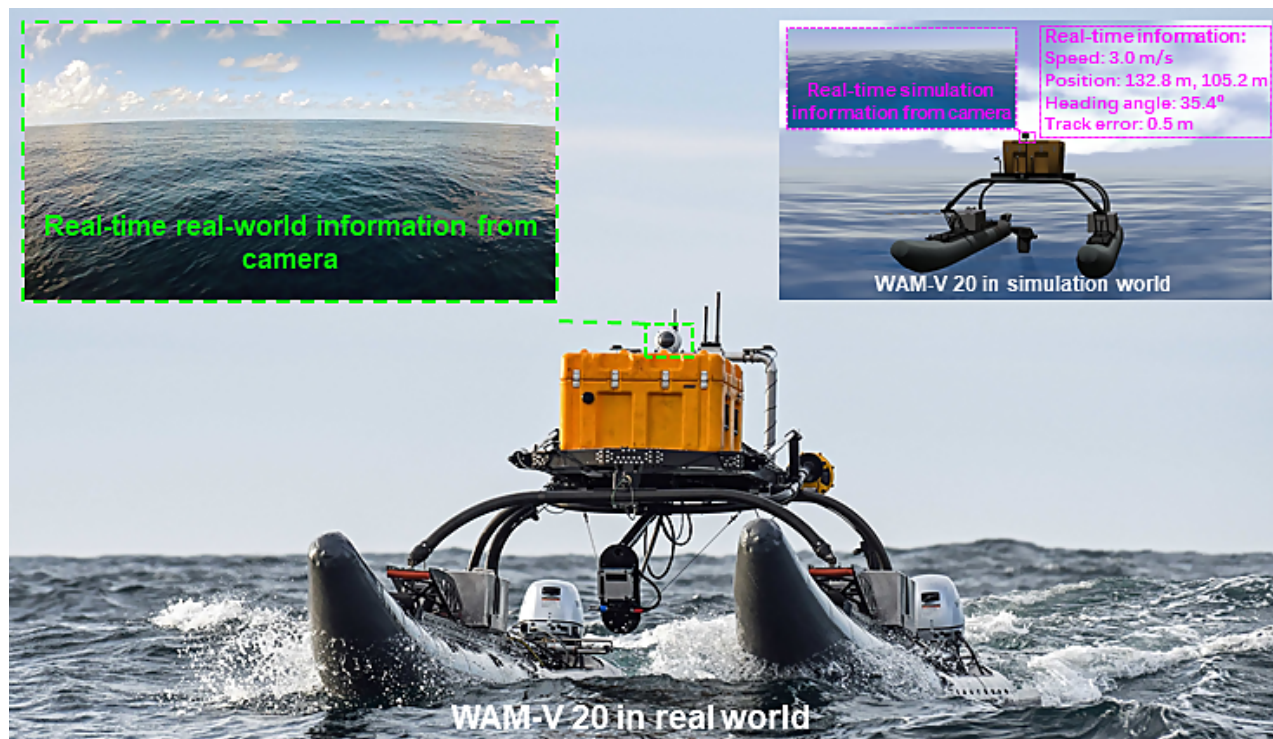


Fig. 1. A demonstration of WAM-V 20 USV in the real world and the virtual maritime scenario. The virtual maritime scenario is highly similar to the real world, where the real-time camera information, position, speed, heading angle and track error can be measured.

54 suffer from the limitations of local minima and require additional strategies to avoid this  
 55 issue [15]. Meanwhile, these motion planning algorithms are not designed for maritime  
 56 environments with time-varying ocean currents. Another perspective of categorising different  
 57 motion planning algorithms can be found in [16].

58 To address the problems in practical application scenarios, trajectory optimisation algo-  
 59 rithms have been proposed in recent years [17], [18], [19], [20], [21]. One of them is the  
 60 GP-based motion planning algorithm [17], [21] that represents trajectories as samples from  
 61 Gaussian processes in the continuous-time domain and optimises them via probabilistic  
 62 inference. This novel motion planning paradigm brings two significant benefits: 1) the  
 63 capability of smoothing the path in line with the planning process based on the specification  
 64 of the system's dynamic models and 2) the superiority in convergence speed through the  
 65 employment of a fast-updating inference tool such as a factor graph [22]. However, there  
 66 are still some constraints when it comes to implementing trajectory optimisation algorithms  
 67 in maritime environments, and the issues of integrating characteristics of maritime envi-

68 ronments such ocean currents and avoiding dense obstacles remains especially challenging.  
69 Another research bottleneck for USV development is the lack of high-fidelity environments.  
70 Fig. 1 compares a typical catamaran, the WAM-V 20 USV, in real world and virtual maritime  
71 scenarios. In this high-fidelity virtual maritime scenario, physical fidelity and visual realism  
72 with real-time execution requirements are well-balanced. In general, establishing practical  
73 experimental platforms would be expensive. By developing high-fidelity simulation environ-  
74 ments, validating the newly proposed motion planning, control and any other algorithms  
75 can be conducted in an efficient and low-cost manner.

76 In fact, simulations with a sufficient level of fidelity have been gradually adopted for  
77 USV platforms. Game engines such as Unity [23] and Unreal Engine [24] can present a  
78 vivid virtual world, which might be suitable simulation platforms for motion planning and  
79 control algorithms. However, most of them do not have a dedicated support for robotics and  
80 the hardware requirements for running these game engines are usually difficult to satisfy.  
81 In 2002, an open-source simulation platform designed for supporting various indoor and  
82 outdoor robotic applications was proposed, namely the Gazebo [25]. Specifically, it delivers  
83 the following benefits that made it become the most popular simulation platform among  
84 robotic researchers: 1) it supports the use of different physics engines to simulate collision,  
85 contact and reaction forces among rigid bodies, 2) its sensor libraries are progressive due  
86 to the open source facility and 3) it supports for robotics middle-ware based upon a well-  
87 developed messaging system.

88 Nevertheless, most of the simulators based on the fundamental structure of Gazebo are  
89 designed for terrestrial, aerial and space robots [26], [27], [28]. To address this deficiency and  
90 provide a standard simulator for the development and testing of algorithms for USVs, the  
91 Virtual RobotX simulator (VRX) was proposed in 2019. VRX is a Gazebo-based simulator  
92 capable of simulating the behaviour of USVs in complex maritime circumstances with waves  
93 and buoyancy conditions [29]. Also, a mainstream catamaran (WAM-V 20 USV) model is  
94 provided in VRX with an easy-to-access interface to any self-designed autopilot. There  
95 is, however, a lack of a fully-autonomous navigation system in VRX, especially a system  
96 integrating both motion planning capability and autopilot.

97 To bridge these research gaps, this paper has specifically focused on developing a new  
98 motion planning paradigm for USVs with the main contributions summarised as follows:

99 • A new GP-based motion planning algorithm, named as MC-GPMP2\*, has been de-

100 veloped by integrating a Monte-Carlo stochasticity to enable an improved collision  
 101 avoidance capability.

- 102 • A fully-autonomous framework for USVs has been designed for the VRX simulator.
- 103 • Enriched high-fidelity tests have been carried out in ROS to simulate offshore wind  
 104 farm operations using USVs, where the superiority of the proposed motion planning  
 105 algorithms is properly demonstrated.

106 The rest of the paper is organised as follows. Section 2 formulates the problem and dis-  
 107 cusses the mathematical model of the conventional GP-based motion planning algorithm in  
 108 various complex environments. Section 3 describes the Monte-Carlo sampling and introduces  
 109 it into our motion planning algorithm. Section 4 presents the modelling and control of the  
 110 WAM-V 20 USV in ROS. Section 5 demonstrates the proposed path planner’s simulation  
 111 results and then compares them with the results obtained from a series of mainstream motion  
 112 planning algorithms. Section 6 demonstrates the practical performance of the proposed path  
 113 planning algorithm and autopilot in ROS, followed by the conclusion and indications for  
 114 future work in Section 7.

## 115 II. GP-based Motion Planning in Various Complex Environments

116 This section explains the GP-based motion planning algorithms in general and proposes  
 117 a new method named the Gaussian process motion planner 2 star (GPMP2\*), which will  
 118 be developed and applied to motion planning for autonomous vehicles such as USVs and  
 119 unmanned underwater vehicles (UUVs).

### 120 A. Problem formulation as trajectory optimisation

121 GP-based motion planning algorithms can be applied to solve the problem of trajectory  
 122 optimisation, i.e. employing Gaussian Processes to optimise trajectories in an efficient  
 123 manner. Formally, the trajectory optimisation aims to determine the best trajectory from  
 124 all feasible trajectories while satisfying any user defined constraints and minimising any user  
 125 prioritised costs [30], [31], [19]. By considering a trajectory as a function of continuous time  
 126  $t$ , such an optimisation process can be formulated as the standard form of an optimisation  
 127 problem with continuous variables as:

$$\begin{aligned}
& \text{minimise} && F[\theta(t)] \\
& \text{subject to} && G_i[\theta(t)] \leq 0, \quad i = 1, \dots, m_{ieq} \\
& && H_i[\theta(t)] = 0, \quad i = 1, \dots, m_{eq}.
\end{aligned} \tag{1}$$

128 where  $\theta(t)$  is a continuous-time trajectory function mapping a specific moment  $t$  to a specific  
129 robot state  $\theta$ .  $F[\theta(t)]$  is an objective function to find the best trajectory by minimising the  
130 higher-order derivatives of robot states (such as velocity and acceleration) and collision  
131 costs.  $G_i[\theta(t)]$  is a task-dependent inequality constraint function and  $H_i[\theta(t)]$  is a task-  
132 dependent equality constraint function that contain the desired start and goal robot states  
133 with specified configurations.

134 As stated in [17], [32], by properly allocating the parameters of low-resolution states  
135 with relatively large sample interval  $\Delta t$  (defined as support states) and interpolating high-  
136 resolution states with relatively small sample interval  $\Delta \tau$  (defined as interpolated states), the  
137 computational cost of Gaussian Processes can be efficiently reduced and a continuous-time  
138 trajectory function represented by a Gaussian Process can be shown as:

$$\theta(t) \sim \mathcal{GP}(\mu(t), K(t, t')), \tag{2}$$

139 where  $\mu(t)$  is a vector-valued mean function and  $K(t, t')$  is a matrix-valued covariance  
140 function.

141 For the given optimization problem, the objective function is given as:

$$F[\theta(t)] = F_{\text{gp}}[\theta(t)] + \omega_1 F_{\text{obs}}[\theta(t)] + \omega_2 F_{\text{env}}[\theta(t)], \tag{3}$$

142 where  $F_{\text{gp}}[\theta(t)]$  is the GP prior cost,  $F_{\text{obs}}[\theta(t)]$  is the obstacle collision cost and  $F_{\text{env}}[\theta(t)]$  is  
143 the environment characteristic cost.  $\omega_1$  and  $\omega_2$  are the weight coefficients given to these costs.  
144 At this juncture we specifically highlight the inclusion of the environment cost ( $F_{\text{env}}[\theta(t)]$ ) as  
145 it is of particular importance when considering marine vehicles. For other types of vehicles,  
146 costs can be adjusted as required.

## 147 B. Motion planning as probabilistic inference

148 From another perspective, GP-based motion planning algorithms can also be viewed as  
149 probabilistic inference problems, where Bayesian inference is applied to find the optimal

150 trajectory. The detailed explanation of using Bayesian inference to solve the trajectory  
 151 optimisation problem in (1) can be found in [20]. In this subsection, we summarise the work  
 152 in [20] and extend it to the more general case that includes multiple planning constraints.

153 By exploiting the sparsity of the underlying problem, probabilistic inference, such as  
 154 Bayesian inference, is effective for solving optimisation problems and the optimisation  
 155 problem in (1) can be converted into the following Bayesian inference:

$$\theta^* = \arg \max_{\theta} p(\theta)l(\theta; e), \quad (4)$$

156 where  $p(\theta)$  represents the GP prior that encourages smoothness of trajectory and  $l(\theta; e)$   
 157 represents a likelihood. More specifically, the GP prior distribution is given in terms of the  
 158 mean  $\mu$  and covariance  $K$ :

$$p(\theta) \propto \exp \left\{ -\frac{1}{2} \|\theta - \mu\|_K^2 \right\}, \quad (5)$$

159 whereupon the GP prior cost in (3) is given as the negative natural logarithm of the prior  
 160 distribution:

$$F_{\text{gp}}[\theta(t)] = F_{\text{gp}}[\theta] = \frac{1}{2} \|\theta - \mu\|_K^2. \quad (6)$$

161 The likelihood in the above Bayesian inference can be viewed as a combination of different  
 162 categories of likelihoods such as the obstacle collision likelihood and the environment  
 163 characteristic likelihood, thereby it is named as the combined likelihood. Moreover, it is  
 164 worth noting that the distribution of the combined likelihood can be written into a product  
 165 of the distributions from all the subcategory likelihoods using the features of the exponential  
 166 distribution:

$$l(\theta; e) = \underbrace{\exp \left\{ -\frac{1}{2} \|g_1(\theta)\|_{\Sigma_{\text{obs}}}^2 \right\}}_{l(\theta; e_{\text{obs}})} \cdot \underbrace{\exp \left\{ -\frac{1}{2} \|g_2(\theta)\|_{\Sigma_{\text{env}}}^2 \right\}}_{l(\theta; e_{\text{env}})} \quad (7)$$

$$= \exp \left\{ -\frac{1}{2} \|g_1(\theta)\|_{\Sigma_{\text{obs}}}^2 - \frac{1}{2} \|g_2(\theta)\|_{\Sigma_{\text{env}}}^2 \right\} \quad (8)$$

167  $\Sigma_{\text{obs}}$  and  $\Sigma_{\text{env}}$  are the diagonal covariance matrices with regard to collision and environ-  
 168 mental characteristics:

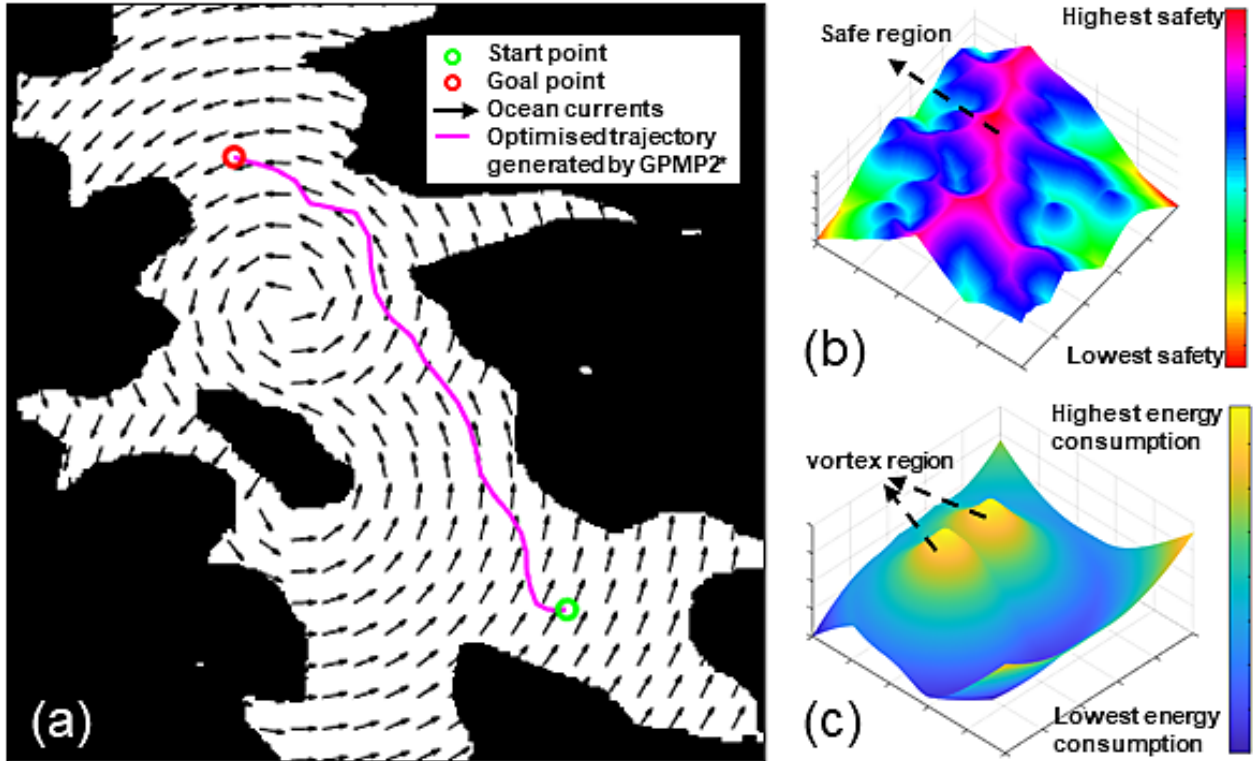


Fig. 2. An example of the proposed GPMP2\* motion planning algorithm: (a) demonstrates the optimised trajectory generated by GPMP2\*, (b) demonstrates the signed distance field generated by the obstacle collision likelihood function and (c) demonstrates the environment characteristic field generated by the environment characteristic likelihood function.

$$\Sigma_{\text{obs(env)}} = \text{diag}[\sigma_{\text{obs(env)}}], \quad (9)$$

169 where  $\sigma_{\text{obs}}$  and  $\sigma_{\text{env}}$  are the weighting coefficients with regard to collision and environment  
 170 characteristics.  $g_1(\theta)$  and  $g_2(\theta)$  are defined as a vector-valued obstacle cost function and a  
 171 vector-valued environment characteristic cost function. More specifically, the definition of  
 172  $g_1(\theta)$  is given as:

$$g_1(\theta_i) = [c(d(x(\theta_i), S_j))]_{1 \leq j \leq M}, \quad (10)$$

173 where  $c(\cdot) : \mathbb{R}^n \rightarrow \mathbb{R}$  is the workspace cost function that penalises the set of points  $B \subset \mathbb{R}^n$   
 174 on the robot body when they are in or around an obstacle,  $d(\cdot) : \mathbb{R}^n \rightarrow \mathbb{R}$  is the signed  
 175 distance function that calculates the signed distance of the point,  $x$  is the forward kinematics



176 function,  $S_j$  is the sphere on the robot model and  $M$  is the number of spheres that represents  
 177 the robot model. An example of a constructed signed distance field is graphically shown in  
 178 Fig. 2 (b), and the obstacle collision cost in (3) can be given as:

$$F_{\text{obs}}[\theta(t)] = \int_{t_0}^{t_N} \int_B c(x(\theta(t), u)) \|\dot{x}(\theta(t), u)\| du dt. \quad (11)$$

179 where  $u$  represents the known system control input. Also, the definition of  $g_2(\theta)$  is given  
 180 as:

$$g_2(\theta_i) = [e(x(\theta_i, S_j))]_{1 \leq j \leq M}, \quad (12)$$

181 where  $e(\cdot) : \mathbb{R}^n \rightarrow \mathbb{R}$  is the environment compensation function that integrates the relevant  
 182 environment characteristics such as surface wind and ocean currents on the set of points  
 183  $B \subset \mathbb{R}^n$  on the robot body.

184 The environment compensation function is defined as a metric calculated using an  
 185 anisotropic fast marching algorithm as stated in [33], [32]. Such a metric can measure the  
 186 energy consumption rate at each pixel so that trajectories can be generated to avoid high  
 187 energy consumption regions (the bright regions in Fig. 2 (c)). The environment information  
 188 is simulated as a vortex function in this work but any real-time statistical data can also  
 189 be extracted and used as stated in [13]. The environment characteristic cost in (3) can  
 190 therefore be given as:

$$F_{\text{env}}[\theta(t)] = \int_{t_0}^{t_N} \int_B e(x(\theta(t), u)) \|\dot{x}(\theta(t), u)\| du dt, \quad (13)$$

191 where  $u$  represents the known system control input.

192 Now we can rewrite the Bayesian inference in (4) into the following form on the basis of  
 193 the information provided by (5) and (8):

$$\theta^* = \arg \max_{\theta} p(\theta) l(\theta; e) \quad (14)$$

$$= \arg \max_{\theta} \{-\log(p(\theta) l(\theta; e))\} \quad (15)$$

$$= \arg \max_{\theta} \left\{ \frac{1}{2} \|\theta - \mu\|_K^2 + \frac{1}{2} \|g_1(\theta)\|_{\Sigma_{\text{obs}}}^2 + \frac{1}{2} \|g_2(\theta)\|_{\Sigma_{\text{env}}}^2 \right\}. \quad (16)$$

194 Similarly, we can rewrite the objective function in (3) into the following form on the basis  
 195 of the information provided by (6), (11) and (13):

$$\begin{aligned}
 F[\theta(t)] = & \frac{1}{2} \|\theta - \mu\|_K^2 + \lambda_1 \int_{t_0}^{t_N} \int_B c(x(\theta(t), u)) \|\dot{x}(\theta(t), u)\| du dt \\
 & + \lambda_2 \int_{t_0}^{t_N} \int_B e(x(\theta(t), u)) \|\dot{x}(\theta(t), u)\| du dt,
 \end{aligned} \tag{17}$$

196 where  $\lambda_1$  and  $\lambda_2$  correspond to  $\sigma_{\text{obs}}$  and  $\sigma_{\text{env}}$ , respectively.

197 A notable advantage of the proposed motion planning algorithm is that when multiple  
 198 environment constraints need to be considered simultaneously, these constraints can be  
 199 formulated as various subclass environment characteristic likelihoods. By taking advantage  
 200 of the features of exponential distributions, subclass likelihoods can be further integrated into  
 201 a superclass environment characteristic likelihood to enable a fast summation of constraints.  
 202 To gain a more intuitive understanding of the feasibility of the proposed motion planning  
 203 algorithm, Fig. 2 demonstrates an example of how the GP-based motion planner can be  
 204 used to avoid obstacles as well as vortexes. Also, the proposed method can be used in either  
 205 2-dimensional (2D) or 3-dimensional (3D) environments. Overall, any type of GP-based  
 206 motion planning method that incorporates the characteristics of the environment through  
 207 adding corresponding likelihood to probabilistic inference can be viewed as GPMP2\*.

### 208 III. GP-based Motion Planning with Incremental Optimisation Characteristics

209 This section provides detail of the proposed MC-GPMP2\* algorithm. The Monte-Carlo  
 210 sampling based, obstacle space estimation is first introduced and this is then followed by  
 211 the details of sampling point interpolation and incremental inference.

#### 212 A. Obstacle space estimation using Monte-Carlo sampling

213 Monte-Carlo sampling is a highly efficient statistical method to determine the approximate  
 214 solution of many quantitative numerical problems. It can reduce the computation time when  
 215 there is a relatively high complexity in sampling space [34], [35]. In this work, this sampling  
 216 method is used to estimate the ratio of the obstacle space to the entire sampling space,  
 217 especially when the obstacle space has a relatively irregular shape. Algorithm 1 demonstrates  
 218 the specific procedure of the estimation, where the random sample point is generated from  
 219 a continuous uniform distribution:

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**Algorithm 1: Obstacle Space Estimation using the Monte-Carlo Sampling**


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**(MC-EstimateObstacleSpace)**


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**Input:** 3-dimensional sampling space  $\mathcal{X}_{x,y,z}$  and the total number of samples  $N_{\text{spl}}$ 
**for**  $i = 1, 2, \dots, N_{\text{spl}}$  **do**

    Generate a random sample point inside the 3-dimensional sampling space  $X_{\text{rand}} \leftarrow$ 

        **Sample**( $\mathcal{X}_{x,y,z}$ );

        **if** (**CollisionFree**( $X_{\text{rand}}, \mathcal{X}_{x,y,z}$ ) == **TRUE**) **then**

            Accept the random sample point  $X_{\text{rand}}$  by increasing the accepted sample number

                 $N_{\text{ac}} = N_{\text{ac}} + 1$ 

        **end**

        **if** (**CollisionFree**( $X_{\text{rand}}, \mathcal{X}_{x,y,z}$ ) == **FALSE**) **then**

            Reject the random sample point  $X_{\text{rand}}$  by maintaining the previous accepted sample

                number  $N_{\text{ac}} = N_{\text{ac}}$ 

        **end**
**end**

    Compute the ratio of the obstacle space to the entire sampling space through  $P_{\text{obs}} = \frac{N_{\text{ac}}}{N_{\text{spl}}}$ 
**Output:** Obstacle space proportion  $P_{\text{obs}}$ 
**Notes:** The pixels inside the obstacle space are '1' and the pixels outside the obstacle space are '0'.

    **CollisionFree**( $X_{\text{rand}}, \mathcal{X}_{x,y,z}$ ) is a function to check whether the random generated node  $X_{\text{rand}}$  is inside the obstacle space or not.

---

$$(x, y, z) \sim \mathcal{U}(a, b), \quad (18)$$

220 where  $a = (a_1, a_2, a_3)^T$  is a vector-valued lower bound function representing the lower bounds  
221 of the 3-dimensional sampling space,  $b = (b_1, b_2, b_3)^T$  is a vector-valued upper bound function  
222 indicating the upper bounds of the sampling space. The probability density function of the  
223 continuous uniform distribution at any point  $(x, y, z) \in \mathbb{R}^3$  inside the sampling space can  
224 be given as:

$$f(\lceil x \rceil, \lceil y \rceil, \lceil z \rceil) = \frac{1}{\prod_{i=1}^3 (b_i - a_i)}, \quad (19)$$

225 where  $\lceil \cdot \rceil$  is the ceiling function used to round-up to the nearest integer and the volume of  
226 the entire sampling space can be represented by  $\prod_{i=1}^3 (b_i - a_i)$ .

227 In Algorithm 1, based on the law of large numbers [36], [37], [38], the accuracy of the  
228 estimation of obstacle space gradually increases as the number of samples increases. Its

229 convergence rate is  $\mathcal{O}(\frac{1}{\sqrt{N}})$ , which means that quadrupling the total number of samples  
 230 reduces the algorithm's error by half, regardless of the dimensions of the sampling space  
 231 [39]. Therefore, Algorithm 1 can provide a reasonably accurate result once the total number  
 232 of samples exceeds a specific threshold. When the total number of samples in Algorithm 1  
 233 equals the total number of pixels on the map, the sampling algorithm becomes a traversal  
 234 algorithm and generates a result with an accuracy that can be considered absolute.

235 In general, using GP-based motion planning in conjunction with Algorithm 1 to construct  
 236 a modified motion planning algorithm can offer two notable advantages:

- 237 • Shortening the execution time of GP-based motion planning, especially for high-  
 238 dimensional problems;
- 239 • Shortening the path length and improving the path quality by enhancing the diversity  
 240 of the generated trajectory.

## 241 B. Monte-Carlo based GP interpolation

242 As mentioned in Section. II, apart from the support states, a major benefit of using  
 243 GPs is the facility to query the planned state at any moment of interest. In addition,  
 244 according to [20], trajectories generated by a GP-based motion planner can be fine-tuned  
 245 by increasing the number of states. Therefore, to facilitate obstacle avoidance, in this paper,  
 246 it is proposed to interpolate additional states between two support states according to the  
 247 obstacle estimation of Monte-Carlo.

248 Similar to previous research [20], [19], [17], [21], a linear time-varying stochastic differential  
 249 equation (LTV-SDE) is adopted to represent the motion model as:

$$\dot{\theta}(t) = A(t)\theta(t) + u(t) + F(t)w(t). \quad (20)$$

250 where  $A(t)$  and  $F(t)$  are time-varying matrices of the system,  $u(t)$  is the control input and  
 251  $w(t)$  is the white process noise represented as:

$$w(t) \sim \mathcal{GP}(0, Q_c\delta(t, t')), \quad (21)$$

252 where  $Q_c$  is the power-spectral density matrix and  $\delta(t, t')$  is the Dirac delta function. Based  
 253 on (20), a queried/interpolated state  $\theta(\tau)$  at  $\tau \in [t_i, t_{i+1}]$  is a function only of its neighboring  
 254 state as (the detailed proof of this is presented in [20], [19], [17], [21]):

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**Algorithm 2: Building Factor Graph with the Monte-Carlo Stochasticity (MC-BuildFactorGraph)**

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Input: Total number of sub-searching regions  $N$ 

Add Prior Factor

for  $i = 1, 2, \dots, N$  do

| Add Obstacle Factor and Environment Factor

| Compute total number of sample points in the low-resolution region  $N_j$ :|  $P_{obs} \leftarrow \text{MC-EstimateObstacleSpace}$ |  $N_j = \lambda \cdot P_{obs}$ | for  $j = 1, 2, \dots, N_j$  do

| | Add GP Prior Factor, Interpolated Obstacle Factor and Interpolated Environment Factor

| end

end

Add Prior Factor

Output: Factor graph  $G_{mc}$ Notes:  $\lambda$  represents a self-defined scaling term.

---

$$\theta(\tau) = \tilde{\mu}(\tau) + \Lambda(\tau)(\theta_i - \tilde{\mu}_i) + \Psi(\tau)(\theta_{i+1} - \tilde{\mu}_{i+1}), \quad (22)$$

255 where

$$\begin{aligned} \Lambda(\tau) &= \Phi(\tau, t_i) - \Psi(\tau)\Phi(t_{i+1}, t_i), \\ \Psi(\tau) &= Q_{i,\tau}\Phi(t_{i+1}, \tau)^T Q_{i,i+1}^{-1} \quad , \end{aligned} \quad (23)$$

256 where  $\Phi(*, *)$  is the state transition matrix and  $Q_{a,b}$  is:

$$Q_{a,b} = \int_{t_a}^{t_b} \Phi(b, s)F(s)Q_cF(s)^T\Phi(b, s)^T ds. \quad (24)$$

257 In general, (22) can be used to interpolate a series of dense states to facilitate the  
 258 generation of collision-free trajectories while keeping a relatively small number of support  
 259 states to maintain low computational cost. As stated previously, the strategy of interpolating  
 260 dense states is lacking in the previous research [20], [19], [17], [21]. Therefore, it is proposed  
 261 that the number of interpolated states should be determined by the proportion of the  
 262 obstacle space relative to the whole region space ( $P_{obs}$ ), and such a proportion can be  
 263 quickly estimated by Monte-Carlo sampling as described in Algorithm 1. In addition, the  
 264 Monte-Carlo stochasticity adds a variation to the number of interpolated states ( $N_j =$   
 265  $\frac{t_{i+1}-t_i}{\tau} = \lambda \cdot P_{obs}$ ) to test the optimal number of interpolated states incrementally, where  $\lambda$

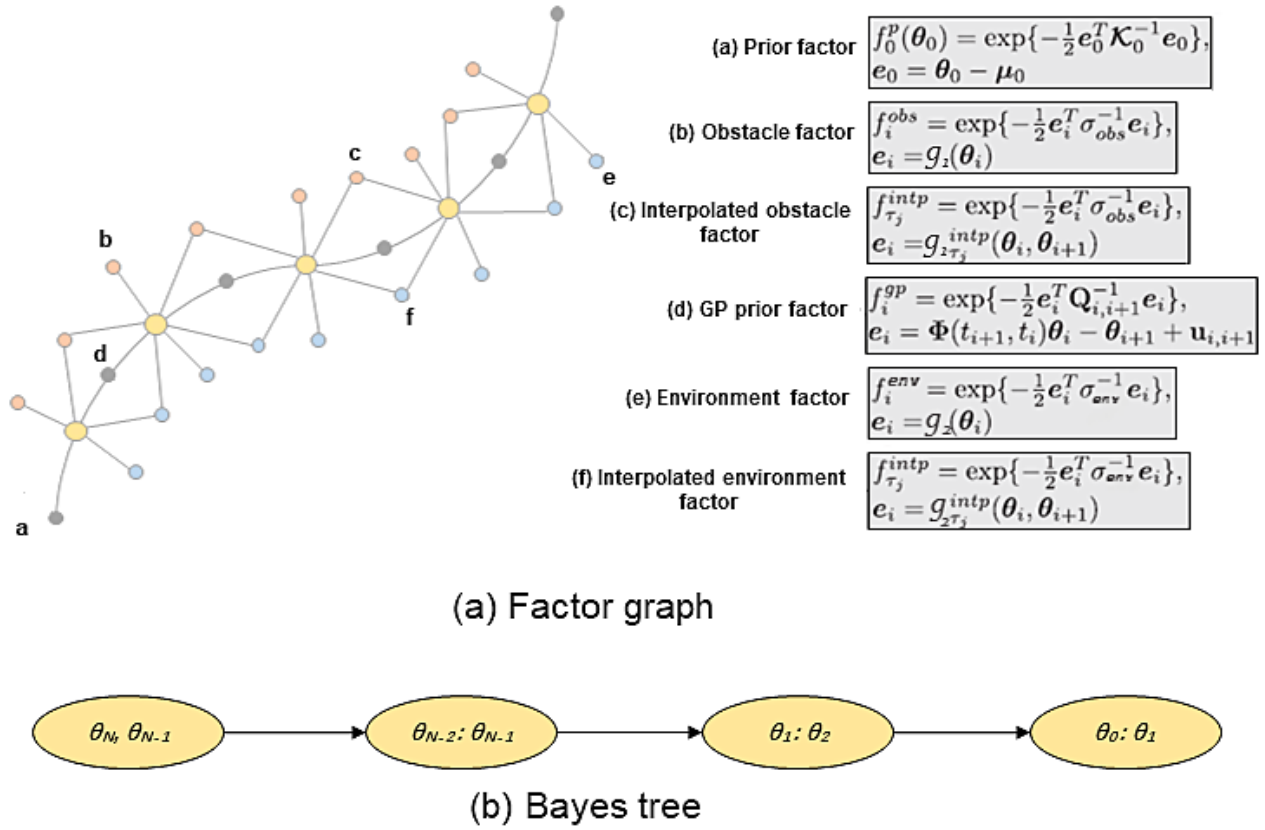


Fig. 3. A demonstration of the factor graph and Bayes tree in our problem: (a) illustrates the factor graph, containing six categories of factors including Prior factor, GP prior factor, Obstacle factor, Interpolated obstacle factor, Environment factor and Interpolated environment factor, (b) illustrates the Bayes tree, indicating the conditional dependencies between various states.

266 is a self-defined scaling term and  $P_{obs}$  is computed by Algorithm 1. More specifically,  $P_{obs}$   
 267 tends to increase as the volume of obstacles within a specific region increases, leading to  
 268 a growth in the number of interpolated states of this region  $N_j$ . Interpolated states with  
 269 relatively high densities can improve the performance of the motion planning algorithm on  
 270 avoiding obstacles as well as smoothen the generated trajectory.

### 271 C. Probabilistic inference using the factor graph

272 Given the Markovian structure of the trajectory enabled by the linear time-varying  
 273 stochastic differential equation (LVT-SDE) and the sparsity of the underlying problem,  
 274 the posterior distribution (or the optimised trajectory) can be converted into a factor graph  
 275 to perform inference incrementally. More specifically, the factor graph is a bipartite graph

276 that can express any inference in a more intuitive graphical manner. It is bipartite as there  
 277 are only two categories of nodes existing in the graph, i.e. variable nodes and factor nodes  
 278 [22]. The factorisation of the posterior in our problem is formulated as:

$$p(\theta|e) \propto \prod_{m=1}^M f_m(\Theta_m), \quad (25)$$

279 where  $f_m$  are factors on variable subset  $\Theta_m$ .

280 Then the factor graph can be converted into a Bayes tree based on the variable elimination  
 281 process [40], [41], [42], [43]. The Bayes tree in our problem, as converted by (25), is:

$$p(\Theta) = \prod_j p(\theta_j|S_j), \quad (26)$$

282 where  $\theta_j$  are the states and  $S_j$  denotes the separator for state  $\theta_j$  which is comprised of the  
 283 nodes in the intersection of the state  $\theta_j$  and its parent.

284 To gain a more intuitive understanding regarding the factor graph, a comprehensive  
 285 structure illustrating how the different factors are integrated as well as converted into a  
 286 Bayes tree for our problem is demonstrated in Fig. 3. Furthermore, the specific process of  
 287 building a factor graph with the Monte-Carlo stochasticity is detailed in Algorithm 2.

#### 288 D. Incremental optimising motion planning based on GPs

289 The Gaussian process motion planner 2 star with the Monte-Carlo stochasticity (MC-  
 290 GPMP2\*) is proposed in this subsection by integrating the aforementioned information. The  
 291 pseudo-code of the proposed motion planner is detailed in Algorithm 3 with key information  
 292 explained as:

- 293 • First, the start state  $\theta_0$ , goal state  $\theta_N$  and replanning iteration  $N_{\text{replan}}$  are required as  
 294 inputs.
- 295 • Next, the signed distance field is computed based on the obstacle cost function (as  
 296 described in (10)) and the environment characteristic field is computed based on  
 297 the environment characteristic cost function (as described in (12)), to construct the  
 298 combined likelihood (as described in (8)).
- 299 • A factor graph with Monte-Carlo stochasticity is built based on the MC-EstimateObstacleSpace  
 300 (Algorithm 1) and the MC-BuildFactorGraph (Algorithm 2) and then the optimal path  
 301  $\theta^*$  is inferred based on the Levenberg-Marquardt algorithm [44].

---

Algorithm 3: Gaussian Process Motion Planner 2 star with the Monte-Carlo Stochasticity (MC-GPMP2\*)

---

Input: Start state  $\theta_0$ , goal state  $\theta_N$  and replanning iteration  $N_{\text{replan}}$

Precompute Signed distance field ( $SDF$ ) and environment characteristic field ( $ECF$ )

for  $i = 1, 2, \dots, N_{\text{replan}}$  do

$G_{mc} \leftarrow \text{MC-BuildFactorGraph}$

$\theta^*(i) \leftarrow \text{LM}(\theta_0, \theta_N, G_{mc}, SDF, ECF)$

$\theta^* \leftarrow \theta^*(i)$

    if  $\{L[\theta^*(i-1)] \leq L[\theta^*(i)]\}$  or  $\{\text{CollisionFree}[\theta^*(i)] == \text{FALSE}\}$  then

$\theta^* = \theta^*(i-1)$

    end

end

Output: Optimal path  $\theta^*$

Notes:  $SDF$  is calculated by inputting the motion planning space into the workspace cost function.

$ECF$  is calculated by inputting the motion planning space into the environment compensation function.  $\text{LM}(\cdot)$  represents the Levenberg-Marquardt algorithm.  $L(\cdot)$  represents the function to measure the total length of the generated path.

---

- 302     • The previous step is repeated several times based on the number of replanning iterations  
303          $N_{\text{replan}}$  required to optimise the path  $\theta^*$ .

304     To better understand the functionality of the proposed motion planner, a comparison of  
305     the paths generated by MC-GPMP2\* and GPMP2 is presented in Fig. 4. MC-GPMP2\*  
306     generates relatively diversified paths when there are a relatively small number of sample  
307     points. Conversely, MC-GPMP2\* generates a path with a high level of similarity compared  
308     with GPMP2 when there is a relatively larger number of sample points.

#### 309                                   IV. WAM-V 20 USV modeling and control in ROS

310     In this section, detail will be provided regarding the proposed fully-autonomous navigation  
311     framework to navigate and control WAM-V 20 USVs in ROS. Overall, the proposed  
312     framework includes three major components: 1) motion planner, generating an optimised  
313     path according to obstacles and environment characteristics, 2) navigation refinement system  
314     to generate the USV heading angles needed to accurately track the paths and 3) autopilot  
315     adjusting the angle of deflection of rudders and the rotational speed of USVs to match the  
316     desired values.



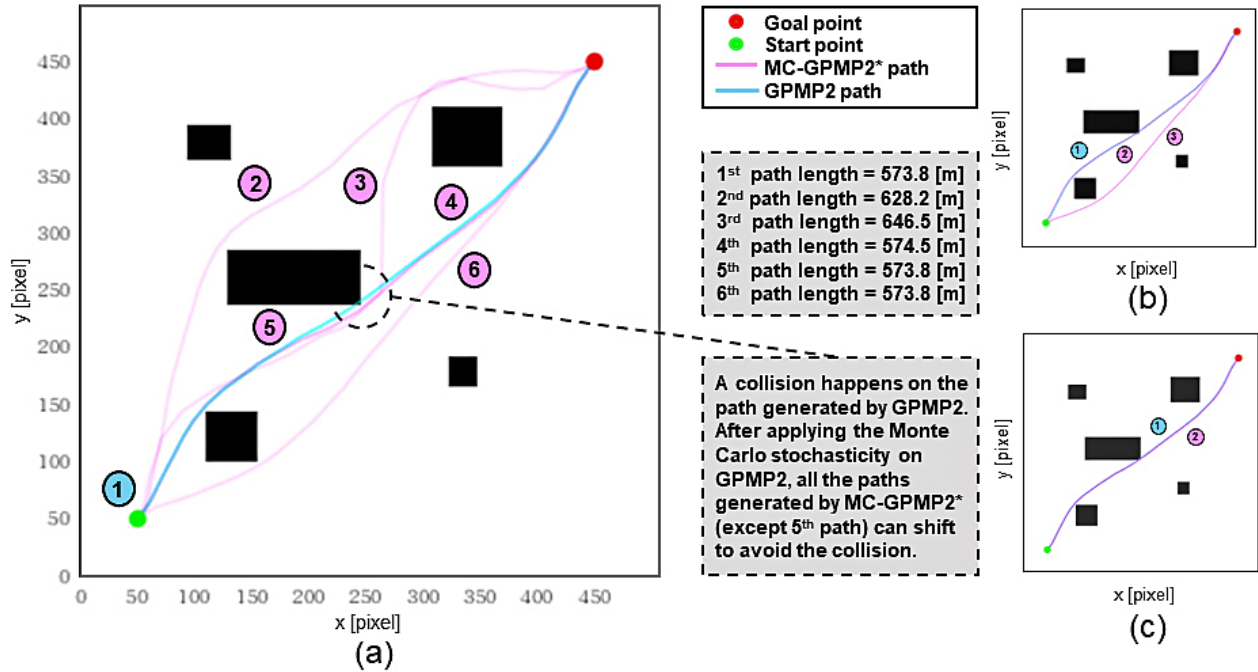


Fig. 4. A comparison of the paths generated by GPMP2 and MC-GPMP2\*. GPMP2 generates a single solution, while MC-GPMP2\* extends the form of this solution by adding randomness to the sampling process making the paths generated by MC-GPMP2\* diversified. This characteristic provides extra solutions to a specified motion planning problem, i.e. increasing the probability of approaching a better path. From (a) - (c), the number of sample points increases gradually and the diversity of the paths generated by MC-GPMP2\* decreases accordingly.

### 317 A. Mathematical modeling for WAM-V 20 USV

318 The specifications of the catamaran that will be used are listed in Table I. This catamaran  
 319 consists of a wave-adaptive structure and two air cushions with thrusters mounted at the  
 320 back end of each cushion. The thrusters rotate around the Z axis simultaneously to supply  
 321 different-oriented propulsion within the E-N plane as shown in Fig. 5.

322 The spatial position state of the catamaran  $\vec{X}$  is considered to be its 2D position  $(E, N)$ ,  
 323 heading angle  $\psi$ , sway velocity  $v$ , surge velocity  $u$ , yaw rate  $r$ , angle of deflection of rudders  
 324  $\delta_r$  and rotational speed of thrusters  $\omega_t$  as illustrated in Fig. 5. Hence the mathematical  
 325 model of the USV is expressed as:

$$\dot{\vec{X}} = \begin{bmatrix} \dot{E} \\ \dot{N} \\ \dot{\psi} \end{bmatrix} = \begin{bmatrix} u \cos \psi - v \sin \psi \\ u \sin \psi + v \cos \psi \\ r \end{bmatrix}, \quad (27)$$

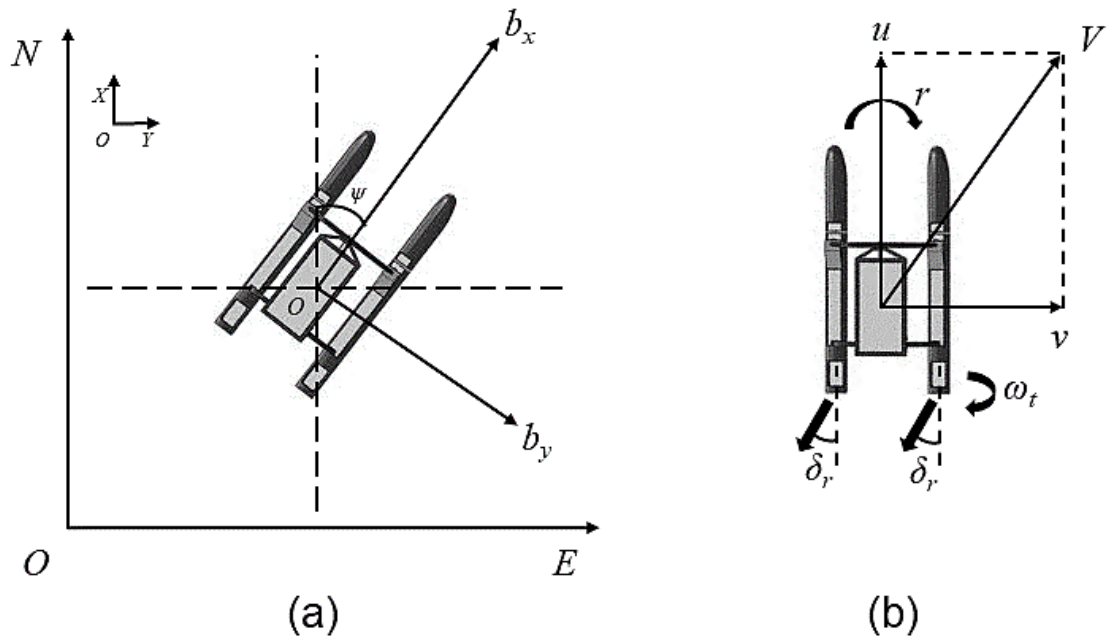


Fig. 5. Schematic depictions of the used catamaran: (a) shows the north-east-down reference frame  $N = \{E, N\}$  and the frame attached to the USV platform  $B = \{b_x, b_y\}$  and (b) shows the motion diagram of the USV, where  $r$  is Z-axis angular velocity (or the USV yaw rate),  $V$  is the net velocity of the USV,  $u$  is the component of the net velocity on due north (or the USV surge velocity),  $v$  is the component of the net velocity on due east (or the USV sway velocity),  $\delta_r$  is the angle of deflection of rudders and  $\omega_t$  is the rotational speed of the thrusters.

TABLE I  
WAM-V 20 USV specifications [45].

Vehicle Length	Vehicle Width	Vehicle Weight	Maximum Speed
6 [m]	3 [m]	320 [kg]	10 [m/s]

326 where

$$\begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} E_v \sin \psi + N_v \cos \psi \\ E_v \cos \psi + N_v \sin \psi \end{bmatrix}, \quad (28)$$

327 where  $E_v$  is the velocity component of  $V$  along due east and  $N_v$  is the velocity component  
328 of  $V$  along due north.

### 329 B. Navigation refinement system

330 The navigation refinement system can provide a timely adjustments for the USV while  
331 tracking the desired path based upon the Light-of-sight (LOS) algorithm [46]. The system

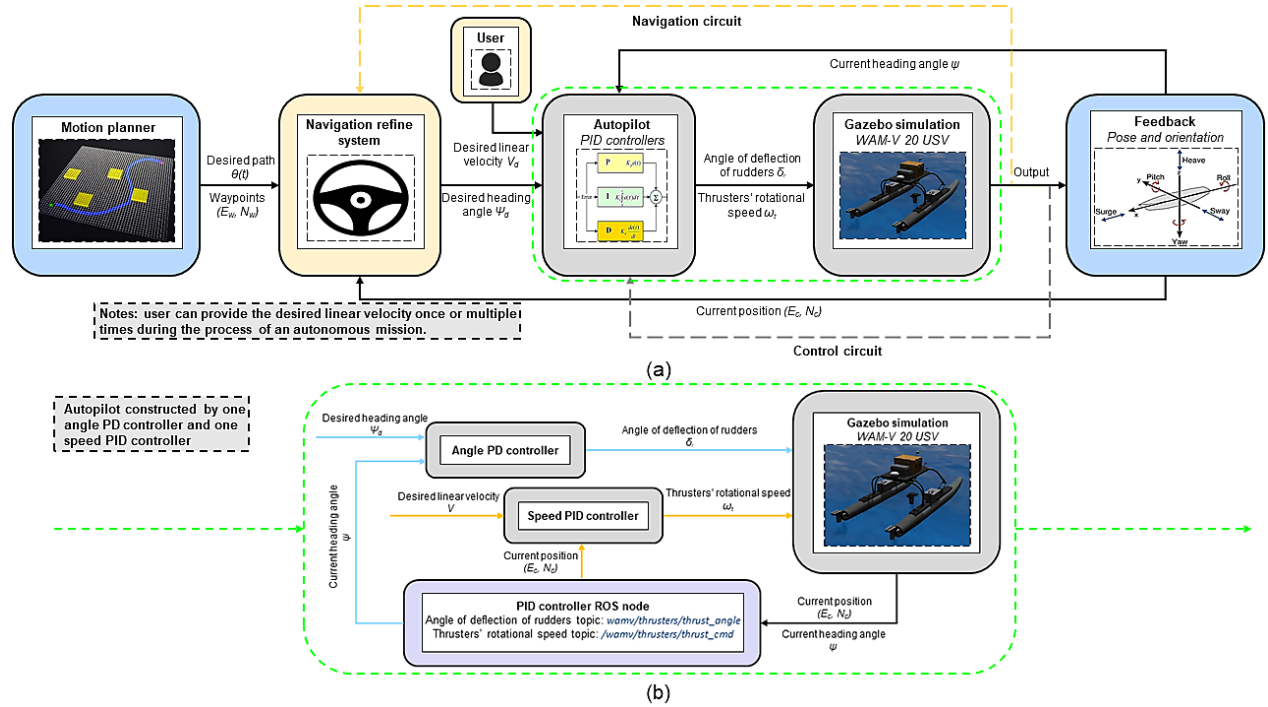


Fig. 6. (a) Overall structure of the proposed fully-autonomous USV framework (b) Detailed structure of the two controllers used in the proposed autopilot. The operator needs only to specify the target goal point and the catamaran's linear speed before the framework starts. This framework then generates a collision-free and smooth path between the current position of the catamaran and any goal point present in the Gazebo environment and makes the catamaran follow this generated path automatically without requiring any operator interaction.

332 uses the position of the next waypoint and the current position of the USV to determine  
 333 the required update to the heading angle of the USV. Given the path generated by the  
 334 motion planner as:

$$\theta(t) = [(E_{w_1}, N_{w_1}), \dots, (E_{w_n}, N_{w_n})], \quad (29)$$

335 where  $(E_{w_1}, N_{w_1})$  is the first waypoint on the desired path,  $(E_{w_i}, N_{w_i})$  is the  $i$ th waypoint  
 336 on the desired path,  $(E_{w_n}, N_{w_n})$  is the last waypoint on the desired path and the path  
 337 generated by the motion planner  $\theta(t)$  is a function of time. Hence at a certain moment  $t$ ,  
 338 the position of the next desired waypoint  $(E_w, N_w)$  can be found.

339 The reference frame in the Gazebo virtual world is expressed as  $G = \{X, Y\}$ . As can  
 340 be seen in Fig. 5, the direction of the X axis in G coincides with the direction of N axis  
 341 in N and the direction of the Y axis in G coincides with the direction of E axis in N.  
 342 Furthermore, the rotational angle in N belongs to  $(0, 2\pi]$  and the rotational angle in G

343 belongs to  $(-\pi, \pi]$ . The rotational angles in the G and N reference frames need to be made  
 344 uniform prior to obtaining the current position of the USV in the Gazebo virtual world. By  
 345 inputting the position of the next waypoint and the current position of the USV into the  
 346 navigation refinement system, the next desired heading angle of the USV can be obtained.

### 347 C. Autopilot

348 To track the desired path accurately and smoothly, it is necessary to build a high-  
 349 performance control mechanism to minimise the deviation between the planned path and  
 350 the actual path. Based on the mechanical structure of the selected USV, two separate  
 351 controllers need to be designed as: 1) an angle controller responsible for adjusting the angle  
 352 of deflection of rudders (or the USV's yaw speed) and 2) a speed controller responsible for  
 353 adjusting the rotational speed of thrusters (or the USV's linear speed within E-N plane). The  
 354 overall structure of the proposed fully-autonomous USV navigation control system is detailed  
 355 in Fig. 6 (a), while the communicating and interfacing arrangement of the controllers used  
 356 in the proposed autopilot is detailed in Fig. 6 (b). Proportional–integral–derivative (PID)  
 357 control is used for designing the two controllers as it has been widely adopted in previous  
 358 practical USV applications [47], [48], [49]. Other types of controllers, such as back-stepping  
 359 [50], [51], [52] and finite-time path-following [53], can be modified to be used as long as  
 360 correct ROS messages are communicated. More details regarding the fine-tuned autopilot

361 can be found in the open source library at: <https://github.com/jiaweimeng/wam-v-autopilot>  
 362 1) Angle PD controller: It is a PD controller with tuned parameters ( $P = 1.5$  and  $D =$   
 363  $12.5$ ). This PD controller is used to adjust the USV's rudder angle to match the desired  
 364 rudder angle according to the waypoints on the desired path. Compared with the standard  
 365 PID controller, we excluded the integration term as we discovered no explicit steady-state  
 366 error between the current and the desired rudder angles after turning.

367 To follow an arbitrary smooth path, the desired rudder angle is one of the controller  
 368 inputs used to calculate the orientation error:

$$e_{\Delta\psi} = \psi - \psi_d \quad (30)$$

369 where  $\psi$  is the USV's current rudder angle,  $\psi_d$  is the desired rudder angle and the ranges  
 370 of  $\psi$  and  $\psi_d$  are  $(-\pi, \pi]$ .

371 Based on a real-time acquired orientation error, an angle PD controller can then be  
 372 constructed in the continuous-time domain:

$$\delta_r = k_p [e_{\Delta\psi_t}] + k_d \left[ \frac{d(e_{\Delta\psi_t})}{dt} \right], \quad (31)$$

373 where  $k_p$  and  $k_d$  are the PD gains,  $\delta_r$  is the angle of deflection,  $[e_{\Delta\psi_t}]$  is the proportional  
 374 error and  $[\frac{d(e_{\Delta\psi_t})}{dt}]$  is the differential error.

375 Due to the entire fully-autonomous USV system is built in discrete-time domain, it can  
 376 then be expressed as:

$$\delta_r = k_p [e_{\Delta\psi_i}] + k_d [(e_{\Delta\psi_i} - e_{\Delta\psi_{i-1}})], \quad (32)$$

377 where  $k_p$  and  $k_d$  are the PD gains,  $\delta_r$  is the angle of deflection,  $[e_{\Delta\psi_i}]$  and  $[(e_{\Delta\psi_i} - e_{\Delta\psi_{i-1}})]$   
 378 are the corresponding proportional error and differential error in the discrete-time domain,  
 379 respectively.

380 2) Speed PID controller: It is a PID controller with tuned parameters ( $P = 2.5$ ,  $I =$   
 381  $0.05$  and  $D = 1.7$ ). This PID controller is used to adjust the thrusters' rotational speed,  
 382 hence to match the actual linear velocity of the USV with the desired value according to  
 383 the user's requirement.

384 To maintain the actual velocity of the USV just at the level of the desired linear velocity  
 385 or the user-specified velocity, the actual velocity of the USV is one of the controller inputs  
 386 used to calculate the velocity error:

$$e_{\Delta V} = V - V_d \quad (33)$$

387 where  $V$  is the actual linear velocity of the USV,  $V_d$  is the desired linear velocity and the  
 388 ranges of them will be described in Section. VI.

389 Nevertheless, the actual linear velocity of the USV cannot be obtained straightforwardly  
 390 from the Gazebo simulation environment. Thus we need to measure it through the following  
 391 equation:

$$V = \frac{\sqrt{(N_c - N_p)^2 + (E_c - E_p)^2}}{\Delta T}, \quad (34)$$

392 where  $(E_c, N_c)$  and  $(E_p, N_p)$  are the current position and the previous position of the USV  
 393 obtained straightforwardly from the Gazebo simulation environment between one system  
 394 interval period  $\Delta T$ , respectively.

TABLE II

Specification of the parameters used in the motion planning algorithms.

Map [pixel]	GP-based Motion Planning					A*	RRT*
	$\epsilon$	$\sigma_{\text{obs}}$	$\sigma_e$	$T_{\text{max}}$	$N$	$l$	$l$
500x500	20	0.05	0.005	2.0	5	10.0	10.0
1000x1000	20	0.05	0.005	4.0	10	10.0	10.0
2000x2000	20	0.05	0.005	8.0	20	10.0	10.0

Notes: These parameters are empirically determined as values provide a good trade-off between collision avoidance and energy consumption reduction.

395 Based on a real-time acquired velocity error, a speed PID controller can then be con-  
 396 structed in the continuous-time domain:

$$\omega_t = k_p [e_{\Delta V_t}] + k_i \left[ \int_0^{t_c} (e_{\Delta V_t}) dt \right] + k_d \left[ \frac{d(e_{\Delta V_t})}{dt} \right], \quad (35)$$

397 where  $k_p$ ,  $k_i$  and  $k_d$  are the PID gains,  $\omega_t$  is the thrusters' rotational speed of the USV,  
 398  $[e_{\Delta V_t}]$  is the proportional error,  $[\int_0^{T_c} (e_{\Delta V_t}) dt]$  is the integral error,  $[\frac{d(e_{\Delta V_t})}{dt}]$  is the differential  
 399 error and  $t_c$  is the present moment.

400 Due to the entire fully-autonomous USV system is built based on the discrete-time  
 401 domain, it can then be expressed as:

$$\omega_t = k_p [e_{\Delta V_i}] + k_i \left[ \sum_{n=0}^{T_i} e_{\Delta V_i} \right] + k_d [(e_{\Delta V_i} - e_{\Delta V_{i-1}})], \quad (36)$$

402 where  $k_p$ ,  $k_i$  and  $k_d$  are the PID gains,  $\omega_t$  is the thrusters' rotational speed of the USV,  
 403  $[e_{\Delta \psi_i}]$ ,  $[\sum_{n=0}^{T_i} e_{\Delta V_i}]$  and  $[(e_{\Delta \psi_i} - e_{\Delta \psi_{i-1}})]$  are the corresponding proportional error, integral  
 404 error and differential error in the discrete-time domain, respectively.

## 405 V. Simulations and discussions

406 This section demonstrates the performance of the proposed motion planning algorithm  
 407 on the basis of comparisons against three simulation benchmarks.

### 408 A. Simulation details

409 Three simulation benchmarks have been conducted to evaluate the proposed MC-GPMP2\*.  
 410 First, the incremental optimisation process of the proposed method was subjected to

TABLE III  
Specification of the used hardware platform.

Name of the Device	Description	Quantity
Processor	2.6-GHz Intel Core i7-6700HQ	8
RAM	8 GB	1

411 qualitative tests. Then the proposed method was quantitatively compared with other state-  
 412 of-the-art motion planning algorithms including GPMP2 [20], A\* (or A star) [2], RRT\* (or  
 413 rapidly-exploring random tree star) [5] and AFM (or anisotropic fast marching method)  
 414 [54] in different environments both with and without environment characteristics (ocean  
 415 currents). In all the simulations, GP-based methods were always initialised with a constant-  
 416 velocity straight-line trajectory. Table II details the specifications of the parameters used  
 417 in the motion planning algorithms. The specific parameters of GP-based motion planning,  
 418 A\* and RRT\* in the following simulations in various resolutions are clarified. In Table II,  
 419  $\epsilon$  indicates the safety distance [pixel],  $\sigma_{\text{obs}}$  indicates the obstacle cost weight,  $\sigma_e$  indicates  
 420 the energy cost weight,  $T_{\text{max}}$  indicates the total sampling time [s],  $N$  indicates the low-  
 421 resolution region number in Algorithm 2 and  $l$  indicates the step size [pixel]. In the following  
 422 simulations, one pixel in the map equals one meter in the corresponding motion planning  
 423 problem. Table III is a specification of the hardware platform used.

#### 424 B. System dynamics model

425 Applying a constant-velocity motion model minimises acceleration along the trajectory,  
 426 thus reducing energy consumption and increasing the smoothness of the generated path.  
 427 The system dynamics of the robot platform is represented with the double integrator linear  
 428 system with additional white noise on acceleration. The trajectory is then generated by  
 429 (20) with the following specific parameters:

$$A = \begin{bmatrix} 0 & I \\ 0 & 0 \end{bmatrix}, x(t) = \begin{bmatrix} r(t) \\ v(t) \end{bmatrix}, F(t) = \begin{bmatrix} 0 \\ I \end{bmatrix}, u(t) = 0, \quad (37)$$

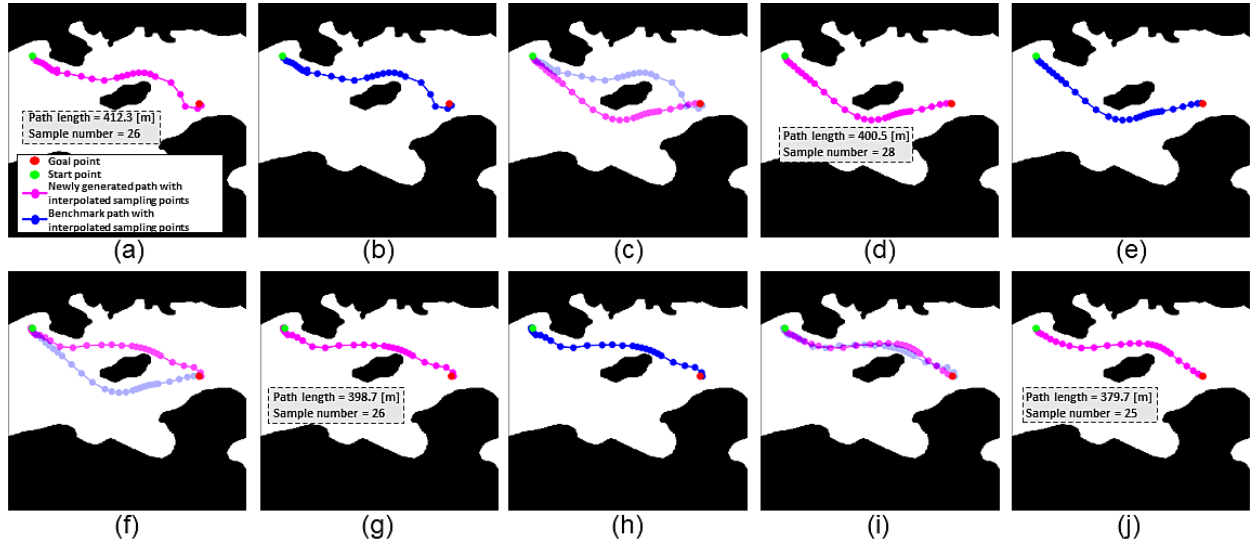


Fig. 7. A demonstration of the incremental optimisation process of MC-GPMP2\* in replanning problems: (a) a new path is generated, (b) this newly generated path turns into a benchmark path, (c) this benchmark path is compared with another newly generated path and the latter will be accepted if 1) it is shorter than the former and 2) it does not collide with any obstacle and (d) the newly generated path is accepted. Similar to (a) - (d), (d) - (g) and (g) - (j) repeat the process to achieve incremental optimisation in replanning problems. Overall, the path length generated by MC-GPMP2\* decreases from 412.3 [m] to 379.7 [m] within 5 replanning iterations.

430 where  $r = (x, y)^T$  is the position vector,  $v = (v_x, v_y)$  is the velocity vector and given

431  $\Delta t_i = t_{i+1} - t_i$ ,

$$\Phi(t, s) = \begin{bmatrix} I & (t-s)I \\ 0 & I \end{bmatrix}, Q_{i,i+1} = \begin{bmatrix} \frac{1}{3}\Delta t_i^3 Q_C & \frac{1}{2}\Delta t_i^2 Q_C \\ \frac{1}{2}\Delta t_i^2 Q_C & \Delta t_i Q_C \end{bmatrix}, \quad (38)$$

432 This prior is centred around a zero-acceleration trajectory (or a straight-line segment) [20].

433 During the optimisation process, the cost function can make the trajectory deviate from

434 the straight-line segment to construct an optimised trajectory.

### 435 C. Incremental optimisation process of the proposed method

436 In this subsection, we demonstrate the incremental optimisation process of the proposed

437 GPMP2\* when trajectory replanning is taking place in a coastal region. We explicitly

438 reveal how the Monte-Carlo sampling can adaptively vary the number of sampling points

439 to generate an optimised trajectory. As shown in Fig. 7, by having 5 support states, a new

440 path with 26 sampling points is generated as shown in Fig. 7 (a) with the path length

441 being 412.3 [m]. The number of sampling points between each support state are 7, 4, 3, 8



442 and 4, respectively. By using this path as a benchmark (Fig. 7 (b)), a new path with 28  
443 sampling points is generated as shown in Fig. 7 (c) with the length being 400.5 [m] and  
444 the sampling points between each support state being 7, 4, 3, 10 and 4, respectively. A  
445 comparison between the benchmark path and this new path is then conducted. The new  
446 path will be accepted if 1) it is shorter than the benchmark path and 2) it does not intersect  
447 with any obstacle. Such iterative comparisons will continue until no more new paths are  
448 generated and an optimal trajectory can then be selected, which in this case is that shown  
449 in Fig. 7 (j).

450 To summarise, GP-based motion planning generates a trajectory from a stochastic process,  
451 where the pattern of the trajectory is determined by the sampling points. Within the  
452 conventional GP-based motion planning, such as GPMP2, although an option to adjust  
453 the number of sample points is provided, there is a lack of strategy to achieve the optimal  
454 number of sample points, forcing most GP-based motion planning algorithms to require  
455 manual tuning of the number of sample points. Monte Carlo stochasticity can be added  
456 to GP-based motion planning algorithms to achieve an adaptive tuning process by doing  
457 the following strategy: within a region with a small number of support states, more states  
458 can be interpolated based upon the number of obstacles, i.e. a larger number of sample  
459 points would need to be interpolated to deal with a number of densely packed obstacles  
460 while reducing the number of points for less densely packed obstacles. By following such a  
461 strategy, sampling points can be adjusted and interpolated more effectively and efficiently.

#### 462 D. Benchmark without environment characteristics

463 In this subsection, we conduct a comparative study showing the improvement of MC-  
464 GPMP2\* against the mainstream motion planning algorithms such as GPMP2, A\* and  
465 RRT\*. Various simulation environments are adopted including: 1) a no-obstacle environment,  
466 2) a single-obstacle environment, 3) a multi-obstacle environment, 4) a narrow-passage  
467 environment and 5) a coastal environment without any environment characteristics. Note  
468 that within the MC-GPMP2\*, a relatively large number of sampling points is used to  
469 guarantee the generation of optimised trajectories.

470 The simulation results are shown in Fig. 8 (a) - (e). Note that only the results from the 500  
471 \* 500 pixel maps are illustrated as different resolutions mainly affects the computation time  
472 rather than the generated trajectories. A quantitative assessment of different algorithms is

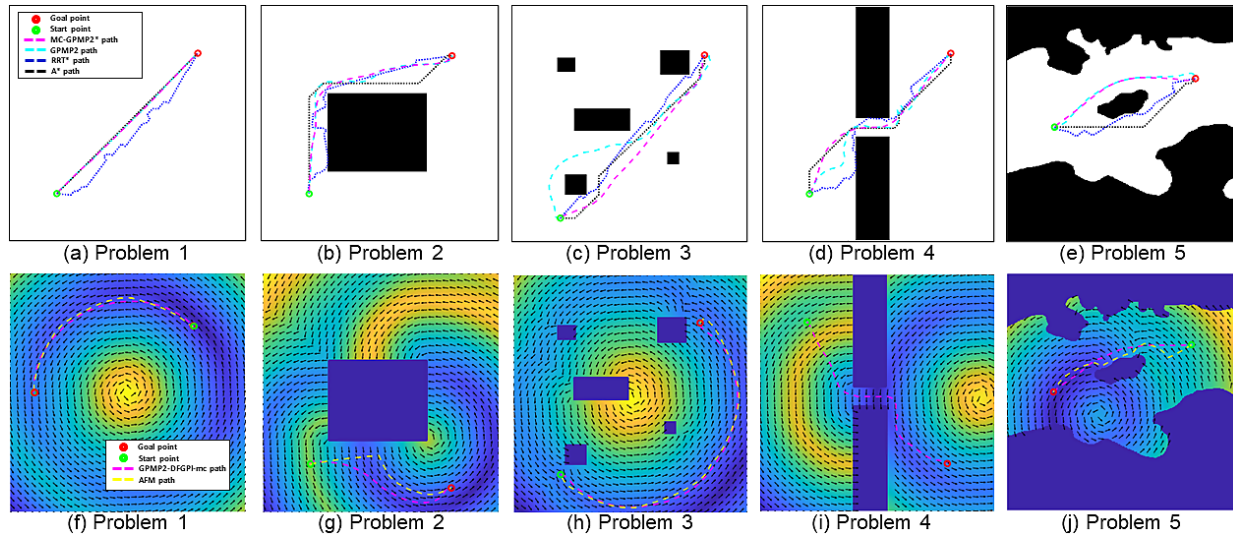


Fig. 8. Comparisons of the paths generated by various motion planning algorithms in different scenarios with and without environment characteristics from  $500 * 500$  pixel maps: (a) and (f) demonstrate non-obstacle scenario (problem 1), (b) and (g) demonstrate single-obstacle scenario (problem 2), (c) and (h) demonstrate multi-obstacle scenario (problem 3), (d) and (i) demonstrate narrow-passage scenario (problem 4), (e) and (j) demonstrate coastal scenario (problem 5). Furthermore, (a) - (e) have no ocean currents but (f) - (j) have ocean currents.

473 shown in Table IV, where main evaluation metrics such as execution time and path length  
 474 are compared.

475 From Fig. 8 (a) - (e), MC-GPMP2\* illustrates a distinct advantage regarding the average  
 476 path length and path smoothness compared with GPMP2, A\* and RRT\*. In no-obstacle  
 477 environments (problem 1), MC-GPMP2\*, GPMP2 and A\* each generate a straight-line  
 478 path that connects the start point and goal point simply by the shortest distance. However,  
 479 RRT\* generates a winding path with the longest path length and lowest path smoothness.  
 480 In single-obstacle environments (problem 2), the paths generated by MC-GPMP2\* and  
 481 GPMP2 are of relatively short length and relatively high smoothness. Compared with the  
 482 GPMP2 path, the MC-GPMP2\* path shows further improvement in both the path length  
 483 and smoothness. This is a benefit of the proposed interpolation strategy. On the other hand,  
 484 both the paths generated by A\* and RRT\* are as smooth and might not be smooth enough  
 485 to satisfy the system dynamics model of the USV. In multi-obstacle environments (problem  
 486 3), MC-GPMP2\* and GPMP2 paths demonstrate better path smoothness based upon a  
 487 comparison with the A\* and RRT\* paths. However, the GPMP2 path tends to avoid the

TABLE IV

A comparison of MC-GPMP2\*, GPMP2, A\* and RRT\* on average execution time ( $T$ ) and path length ( $L$ ) in 15 path planning problems without ocean currents. The improvement on average execution time ( $T_I$ ) with the Monte Carlo stochasticity was also measured in each path planning problem. The experiment on each path planning problem was tested 5 times to calculate the average value.

Map [pixel]	Problem	MC-GPMP2*			GPMP2		A*		RRT*	
		$T$ [ms]	$L$ [m]	$T_I$ [ms]	$T$ [ms]	$L$ [m]	$T$ [ms]	$L$ [m]	$T$ [ms]	$L$ [m]
500*500	1	202.1	500.8	58.0	283.3	500.8	1847.1	494.9	3261.4	562.1
	2	154.3	607.2	39.9	208.5	618.5	21804.8	617.9	4185.8	656.5
	3	175.7	521.9	49.6	236.5	532.7	15204.6	529.8	3723.1	577.5
	4	208.8	480.2	67.8	292.3	491.2	13430.5	476.9	3633.3	610.2
	5	187.5	234.6	17.3	224.5	245.1	6834.5	283.1	2595.8	322.5
1000*1000	1	214.6	992.1	69.2	306.3	992.1	4307.4	989.9	7343.5	1126.4
	2	207.5	1245.5	49.3	277.9	1267.1	-	-	10388.7	1360.2
	3	214.9	1104.7	57.4	286.3	1119.3	-	-	6736.4	1137.1
	4	230.5	1107.2	80.5	339.2	1120.1	-	-	8366.2	1406.3
	5	233.8	546.8	31.1	287.3	562.7	17434.5	549.7	4823.3	562.6
2000*2000	1	363.1	1981.5	82.6	471.1	1981.5	5748.3	1979.9	15216.2	2275.5
	2	340.9	2432.9	61.3	427.2	2499.6	-	-	22966.2	2665.7
	3	369.8	2201.5	79.6	463.9	2222.7	-	-	19636.8	2273.7
	4	358.5	2028.8	105.8	497.9	2045.5	-	-	18707.3	2383.2
	5	406.6	1178.7	51.6	491.5	1195.3	-	-	11049.9	1452.2

Notes: "-" means the motion planning algorithm is not applicable in this map as its execution time is more than 30 [s], which is meaningless in practical situations. The proposed method is marked in light green. The shortest execution time ( $T$ ) and the shortest path length ( $L$ ) in each problem are marked in light blue. Meanwhile, the improvement on average execution time ( $T_I$ ) with Monte-Carlo stochasticity in each problem is marked in light yellow. Without Monte-Carlo stochasticity, MC-GPMP2\* uses a traversal algorithm to estimate the obstacle space. In this benchmark, all the motion planning algorithms only run once, which means the replanning processes of them are excluded. For instance, the re-wiring process of the tree branches of RRT\* will be terminated once a feasible path has been found.

488 first obstacle sweeping out around the left hand side, leading to a significant increase in the  
489 path length. With the proposed interpolation strategy, MC-GPMP2\* generates an option  
490 that would avoid the first obstacle from the bottom side and this results in a decrease on the  
491 path length. Similar to multi-obstacle environments (problem 3), MC-GPMP2\* produces  
492 a path option which presents a further improvement on length and smoothness compared  
493 with the GPMP2 path in narrow-passage environments (problem 4). This is because most of  
494 the sampling points of MC-GPMP2\* were sampled around the narrow passage to improve

TABLE V

A comparison of MC-GPMP2\* and AFM on average energy consumption rate ( $P$ ), execution time ( $T$ ) and path length ( $L$ ) in 15 path planning problems with ocean currents. The improvement on average execution time ( $T_I$ ) with the Monte Carlo stochasticity was also measured in each path planning problem. The experiment on each path planning problem was tested 5 times to calculate the average value.

Map [pixel]	Problem	MC-GPMP2*				AFM		
		$P$ [%]	$T$ [ms]	$L$ [m]	$T_I$ [ms]	$P$ [%]	$T$ [ms]	$L$ [m]
500*500	1	11.8	684.2	327.2	59.4	10.5	909.6	344.6
	2	4.2	608.0	154.5	47.3	2.1	943.6	167.4
	3	15.8	682.5	463.6	77.6	4.9	815.7	505.3
	4	2.0	646.8	168.6	115.8	-	-	-
	5	5.3	609.2	236.7	51.2	2.7	715.6	258.8
1000*1000	1	12.1	2401.5	658.9	103.1	10.5	2559.8	684.8
	2	4.2	2195.1	309.1	107.4	2.0	2306.5	336.5
	3	14.1	2514.7	946.9	143.6	4.9	2731.2	1014.6
	4	2.0	2181.4	355.6	197.0	-	-	-
	5	5.0	2112.3	480.8	67.4	2.7	2265.3	517.2
2000*2000	1	11.6	10821.3	1306.8	166.9	10.3	11193.4	1364.3
	2	2.2	9263.9	407.9	208.2	1.0	9536.5	442.3
	3	14.9	11504.6	1821.8	222.8	4.9	11576.5	2027.8
	4	2.1	9724.3	730.3	252.5	-	-	-
	5	5.3	9006.2	954.9	155.4	2.7	9245.6	1034.0

Notes: "-" means the motion planning algorithm is not applicable in this map as its execution time is more than 30 [s], which is meaningless in practical situations. The energy consumption rate ( $P$ ) caused by ocean currents is computed based upon the metric proposed in AFM [33] as explained in (12). The proposed method is marked in light green. The shortest execution time ( $T$ ) and the shortest path length ( $L$ ) in each problem are marked in light blue. Meanwhile, the improvement on average execution time ( $T_I$ ) with Monte-Carlo stochasticity in each problem is marked in light yellow. Without Monte-Carlo stochasticity, MC-GPMP2\* uses traversal algorithm to estimate obstacle space. The replanning process of MC-GPMP2\* is excluded in this benchmark.

495 the option for success of the mission and shorten the length of the path apart from the  
 496 narrow passage itself. In coastal environments, MC-GPMP2\* demonstrates the highest path  
 497 smoothness and the best obstacle avoidance performance as would be expected.

498 From Table IV, MC-GPMP2\* demonstrates an obvious benefit on average execution time  
 499 and path length over GPMP2, A\* and RRT\* in maps across a range of resolutions. In  
 500 most of the large-scale motion planning problems with 1000 \* 1000 pixel and 2000 \* 2000  
 501 pixel maps, A\* failed to deliver a feasible solution. This is because the motion planning

502 strategy of A\* led to a significant increase in complexity in large-scale motion planning  
503 problems. Although RRT\* could consistently deliver a feasible solution in all the motion  
504 planning problems, the average execution time, path length and path smoothness were  
505 not satisfactory as the randomness of its sampling points is too high in the configuration  
506 space. Compared with GPMP2\*, the interpolation strategy of MC-GPMP2\* led to a notable  
507 improvement in average execution time and path length simultaneously.

508 To summarise, MC-GPMP2\* can generate a path within the shortest execution time,  
509 with highest smoothness and near-optimal path length in almost all the cases and achieve  
510 better performance with respect to obstacle avoidance compared with other mainstream  
511 motion planning algorithms including GPMP2, A\* and RRT\*.

#### 512 E. Benchmark with environment characteristic

513 In this subsection, we conduct another comparative study showing the improvement  
514 of MC-GPMP2\* over AFM in the same simulation environments with a supplementary  
515 environment characteristic resulting from an ocean current field. The ocean current field is  
516 generated by the energy consumption metric proposed in AFM [33].

517 Simulation results related to this benchmark are illustrated in Fig. 8 (f) - (j). Similar  
518 to the previous benchmark, only the results from the 500 \* 500 pixel maps are shown.  
519 The quantitative assessment of MC-GPMP2\* and AFM is shown in Table V, where main  
520 evaluation metrics such as energy consumption rate, execution time and path length are  
521 compared.

522 From Fig. 8 (f) - (j), MC-GPMP2\* has an obvious advantage regarding the average exe-  
523 cution time and path length compared with AFM. Comparatively, AFM has a considerable  
524 advantage regarding its average energy consumption rate as it continuously tracks the ocean  
525 currents. Nevertheless, this could lead to AFM falling into a local minimum when an obstacle  
526 is blocking the continuous ocean currents, such as the motion planning problems in narrow-  
527 passage environments (problem 4). MC-GPMP2\* generates a path under the interaction  
528 of two different fields, namely the signed distance field and the energy consumption field.  
529 To be more precise, the signed distance field and the energy consumption field can be  
530 obtained by inputting the map in the signed distance function in (10) and the metric that  
531 can measure the energy consumption rate at each pixel in (12), respectively. Moreover,  
532 the energy consumption field can prevent the occurrence of local minima when avoiding

533 obstacles in the signed distance field. In other words, once MC-GPMP2\* has fallen into a  
534 local minimum in the signed distance field, the energy consumption field would take it out  
535 of that local minimum.

536 From Table V, the proposed method demonstrates a notable advantage on average  
537 execution time and path length over another mainstream method (AFM) in different-  
538 resolution maps. For both methods, the energy consumption field is computed based upon  
539 the energy consumption metric stated in (12). The energy consumption field is more likely  
540 to be historical data recorded by relevant meteorological institutions. As a result, the  
541 computation time for generating the simulated energy consumption field can be saved  
542 when applying the proposed method in practical cases. This would lead to a remarkable  
543 reduction in the execution time as the proportion of the time cost on generating the energy  
544 consumption field exceeds 96 [%] in the 2000 \* 2000 pixel maps.

545 To summarise, MC-GPMP2\* can consider various environment characteristics during the  
546 motion planning process. The path generated by MC-GPMP2\* would fit these characteristics  
547 as much as possible. Compared with other mainstream motion planning algorithms such as  
548 AFM, when the path planning has to adjust for the influence of ocean currents, MC-GPMP2  
549 can generate a path with the shortest execution time, highest smoothness, near-optimal path  
550 length and a better performance on obstacle avoidance.

551 In both the benchmark tests with and without environment characteristics: the Monte-  
552 Carlo sampling algorithm can converge much earlier than the traversal algorithm, thereby  
553 reducing the time cost of a motion planner with sample points. In these benchmark tests,  
554 we only demonstrate the improvement of average execution time in 2D motion planning  
555 problems. But in high-dimensional motion planning problems, such as the motion planning  
556 problems for multiple degrees of freedom robotic arms, the Monte-Carlo sampling holds the  
557 potential to reduce a significant time cost since its convergence rate is independent of the  
558 dimension of the configuration space. Hence it solves the problem of dimensional explosion  
559 in GP-based motion planning algorithms to some extent.

560

## VI. Implementation in ROS

561 This section demonstrates the performance of the proposed autonomous navigation system  
562 for WAM-V 20 USVs. Two different motion planning algorithms, i.e. RRT\* and the proposed

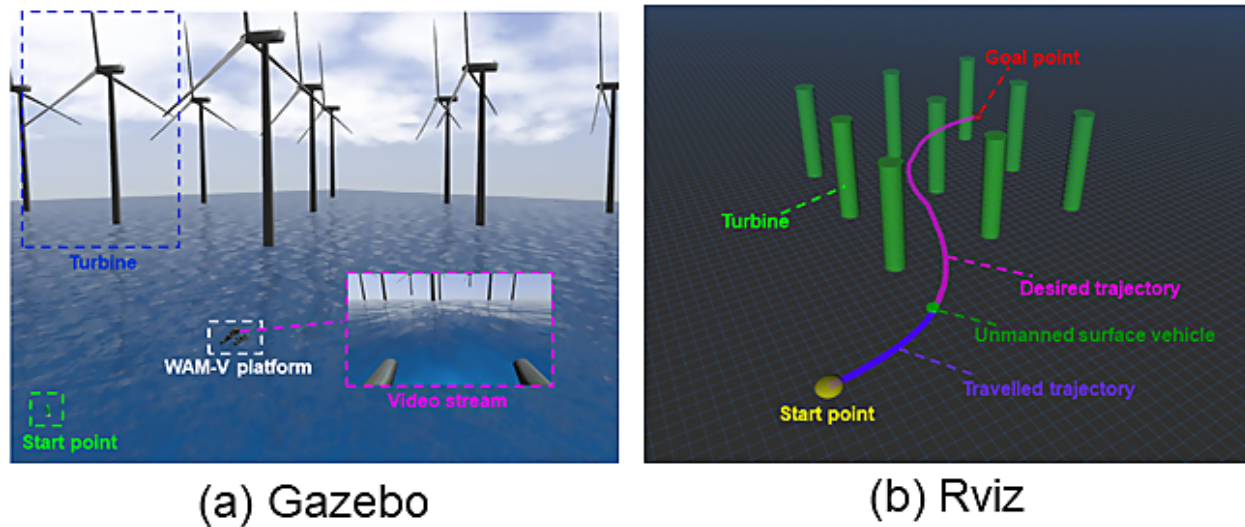


Fig. 9. ROS simulation environment: (a) demonstrates the Gazebo virtual world, where the green dash line block represents the start point, the white dash line block represents the selected platform, the blue dash line block represents the wind turbine (obstacle) and the purple dash line block represents the captured video information from the camera mounted at the front end of the platform and (b) demonstrates the corresponding motion planning problem solved by MC-GPMP2\* in Rviz, where the start point is represented in yellow, the goal point is represented in red, the obstacles are represented in green, the desired path is represented in purple and the travelled path is presented in dark blue. In the Gazebo virtual world, wind and wave fields can be adjusted by changing the corresponding parameters to create a realistic simulation environment.

563 MC-GPMP2\*, are implemented and compared. An offshore wind farm inspection mission  
 564 is simulated in ROS to show the practicability of the proposed work.

#### 565 A. Simulation details

566 The detailed information of the environment used in the ROS simulation is detailed in  
 567 Fig. 9, where (a) shows the offshore wind farm in Gazebo with the inclusion of a series  
 568 of physical properties such as sunlight, wind, ocean currents, gravity and buoyancy, (b)  
 569 provides a simulation overview of the configuration space of the corresponding motion  
 570 planning problem in Rviz. A green buoy and a red buoy are placed inside the simulation  
 571 environment to indicate the start point and the goal point for the route proposed for  
 572 the WAM-V 20 USV to navigate. The platform was equipped with a camera to better  
 573 observe the surrounding environment and record videos. The footage from the camera  
 574 was streamed to and displayed on the Rviz interface through the WAM-V Camera node  
 575 (/wam-v/sensors/cameras/front-camera/image-raw). The virtual onboard camera gives this

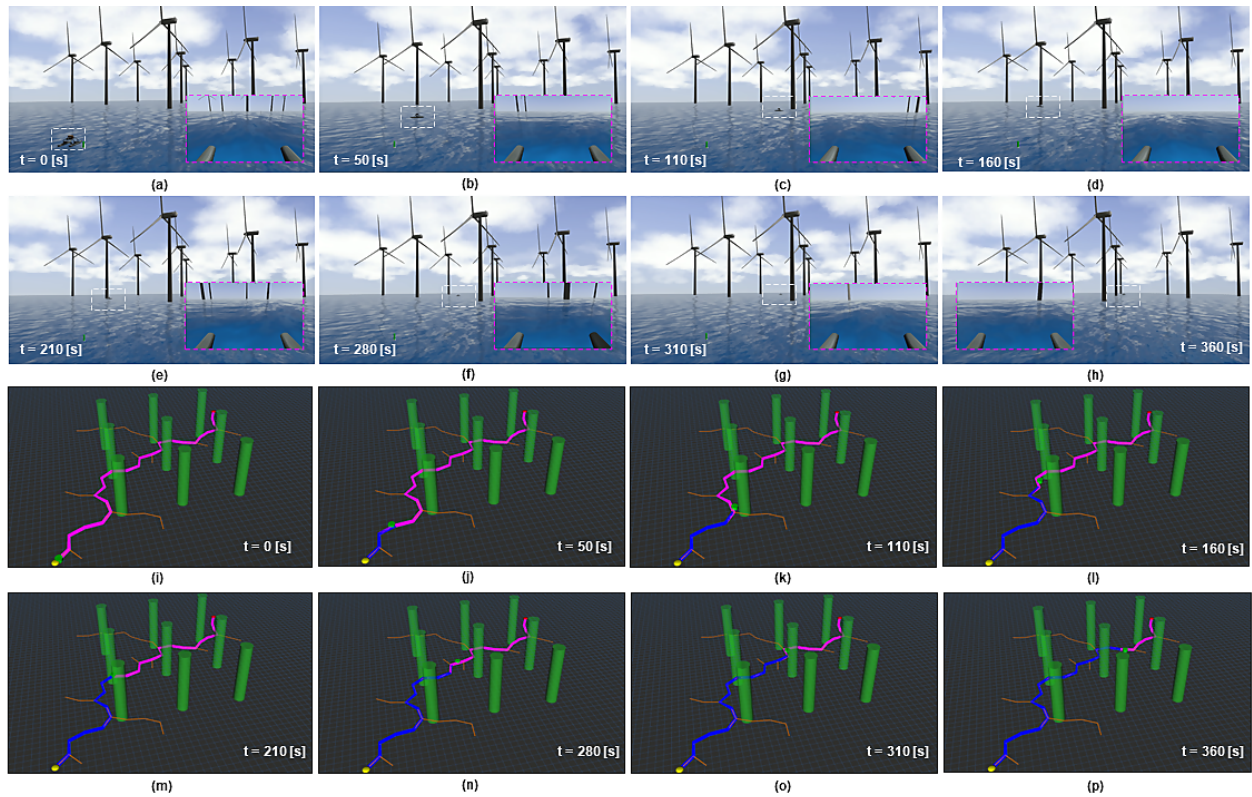


Fig. 10. The storyboards of the inspection mission in an offshore wind power generation scenario based on a path generated by RRT\*: From (a) to (h), the images demonstrate the location of the platform and the video stream from the camera mounted at the front end of the platform when the time equals 0 [s], 50 [s], 110 [s], 160 [s], 210 [s], 280 [s], 310 [s] and 360 [s], respectively. From (i) to (h), the images demonstrate the corresponding motion planning problem in Rviz when the time equals 0 [s], 50 [s], 110 [s], 160 [s], 210 [s], 280 [s], 310 [s] and 360 [s], respectively.

576 work the potential to combine with previous research done by our research group on using  
 577 onboard cameras for object detection and segmentation in maritime environments [55], [56].

578 During the inspection mission in Gazebo, the USV transited through the wind turbine  
 579 area to drive away any fish boats entering this area to reduce risk of collision and damage  
 580 to the wind turbines. Figs. 10 and 12 demonstrate the storyboards of the inspection mission  
 581 from both the first-person and third-person perspectives in the Gazebo as well as the motion  
 582 planning problem solved by the corresponding motion planning algorithms in Rviz.

583 In the ROS simulation, the inspection mission is designed based on the following steps:

- 584 • Simulation information is inputted as: 1) a Green Buoy Model State node (/gazebo/model-  
 585 states/green\_buoy) reads the location of the green buoy which represents the start  
 586 point, 2) a Red Buoy Model State node (/gazebo/model-states/red\_buoy) reads the



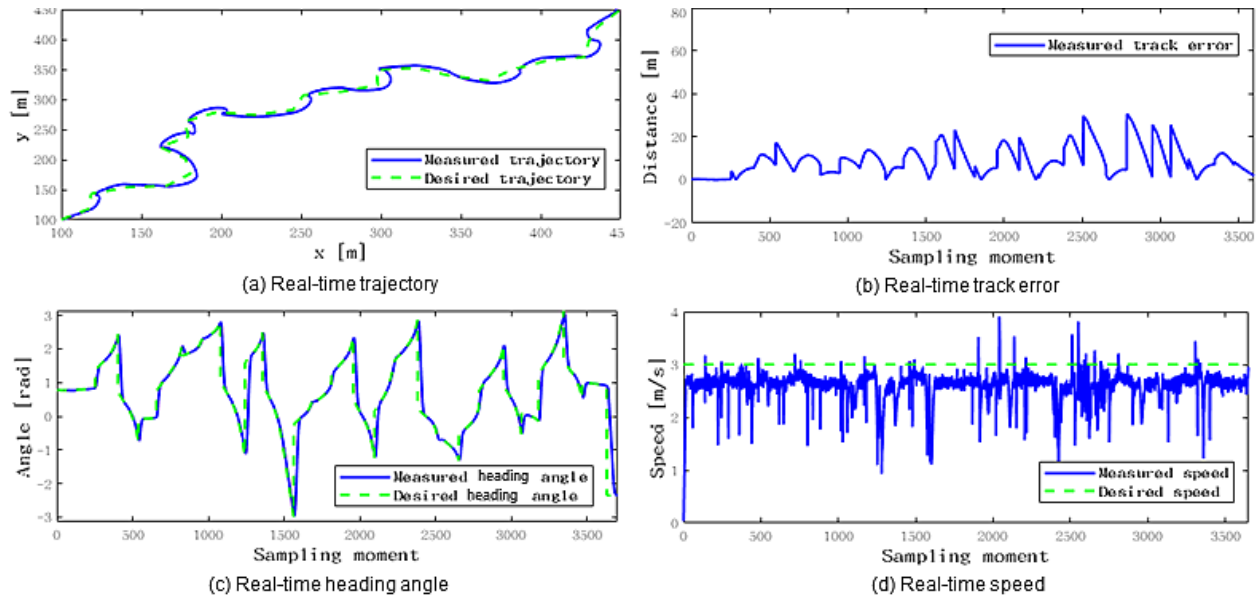


Fig. 11. Performance analysis of the inspection mission in an offshore wind power generation scenario based on a path generated by RRT\*: (a) compares the desired and measured trajectories, (b) demonstrates the real-time track error, (c) compares the desired and measured heading angles and (d) compares the desired and measured speeds.

587 location of the red buoy which represents the goal point, 3) a WAM-V Model State  
 588 node (/gazebo/model-states/wam-v) reads the current pose of the WAM-V 20 USV,  
 589 4) the Wind Turbines Model State node (/gazebo/model-states/turbines) reads the  
 590 locations of the wind turbines, 5) the Ocean Currents State node (/gazebo/model-  
 591 states/ocean-currents) reads the information regarding the ocean currents and 6) a  
 592 WAM-V Thrusters State node (/wam-v/thrusters) reads the angle of deflection of  
 593 rudders  $\delta_r$  and thrusters' rotational speed  $\omega_t$  in the Gazebo.

- 594 • This information is then transmitted and used to generate start point, goal point and  
 595 obstacles in the Rviz. The motion planning algorithm then generates a desired path  
 596  $\theta(t)$  with a series of waypoints  $(E_w, N_w)$  based on the information in Rviz.
- 597 • The waypoints  $(E_w, N_w)$  are transmitted to Gazebo and the platform begins tracking  
 598 the planned path  $\theta(t)$  according to the desired heading  $\psi_d$  and the desired linear velocity  
 599  $V_d$ .
- 600 • The autopilot calculates and regulates the angle of deflection of rudders  $\delta_r$  and thrusters'  
 601 rotational speed  $\omega_t$  of the platform in Gazebo in real-time according to the desired  
 602 heading angle  $\psi_d$  and linear velocity  $V_d$ . The autopilot makes the platform fulfill the

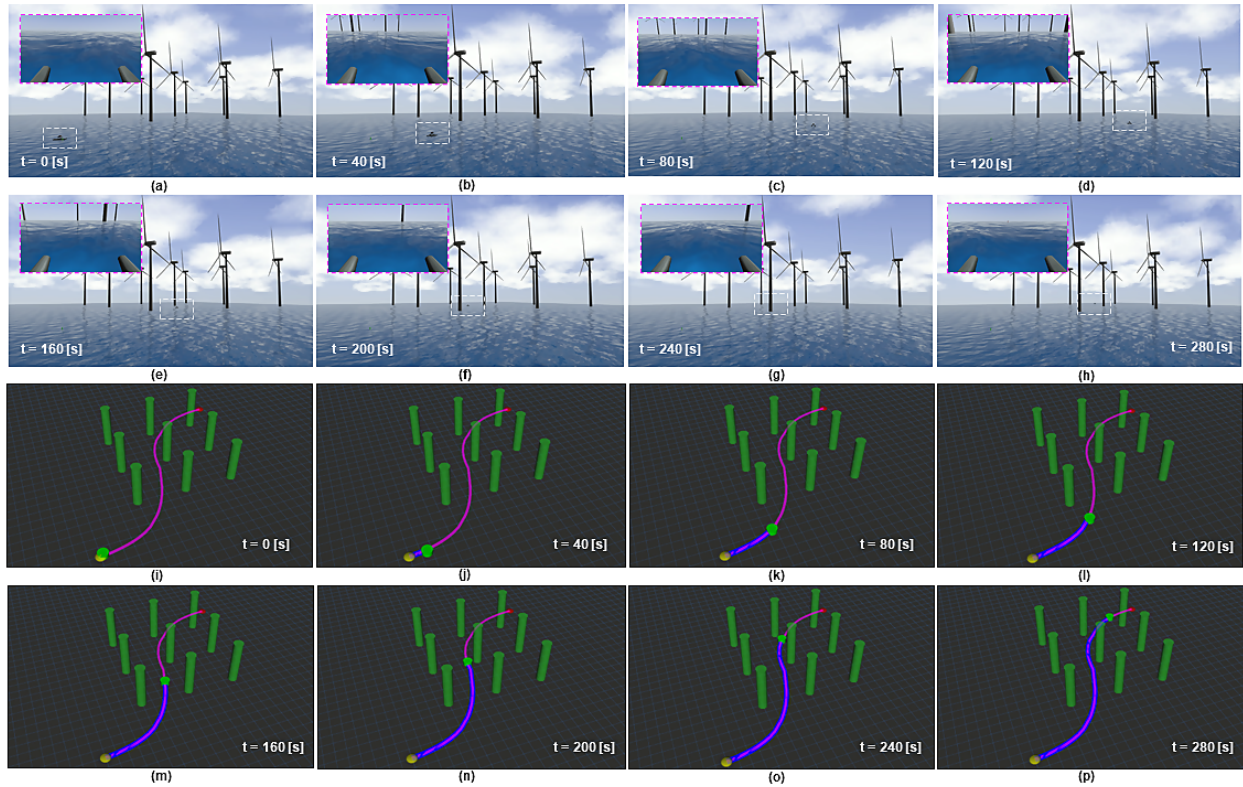


Fig. 12. The storyboards of the inspection mission in an offshore wind power generation scenario based on a path generated by MC-GPMP2\*: From (a) to (h), the images demonstrate the location of the platform and the video stream from the camera mounted at the front end of the platform when the time equals 0 [s], 40 [s], 80 [s], 120 [s], 160 [s] and 200 [s], 240 [s] and 280 [s], respectively. From (i) to (p), the images demonstrate the corresponding motion planning problem in Rviz when the time equals 0 [s], 40 [s], 80 [s], 120 [s], 160 [s], 200 [s], 240 [s] and 280 [s], respectively.

603 motion constraint such as the pose and orientation of the desired path  $\theta(t)$ . It is worth  
 604 noting that due to vehicle inertia, the USV would keep moving forward after reaching  
 605 the target waypoint  $(E_{w_i}, N_{w_i})$ . In order to minimise the effects of inertia, the platform  
 606 is considered to have reached the target waypoint  $(E_{w_i}, N_{w_i})$  once it is inside a certain  
 607 range (7 [m] in this case) of the waypoint.

- 608 • The platform enters the standby mode once it reaches the last target waypoint  $(E_{w_n}, N_{w_n})$   
 609 of the desired path  $\theta(t)$ .

## 610 B. Performance analysis

611 Performance analysis of the proposed autonomous navigation systems using different  
 612 motion planning algorithms is detailed in Figs. 11 and 13. In general, motion planning

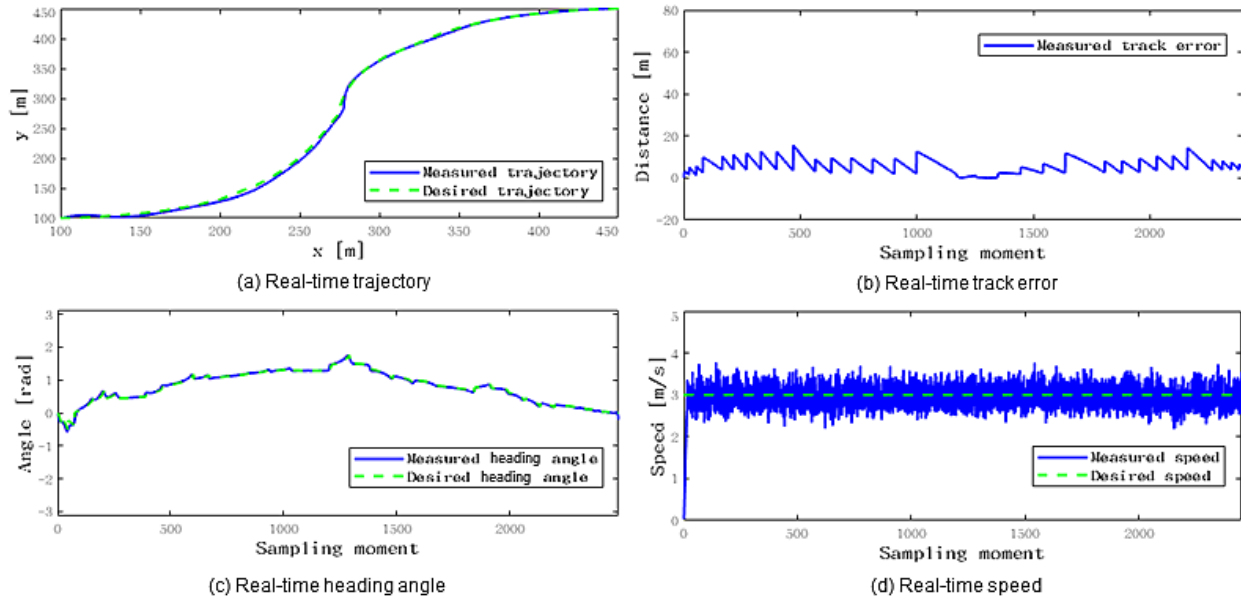


Fig. 13. Performance analysis of the inspection mission in an offshore wind power generation scenario based on a path generated by MC-GPMP2\*: (a) compares the desired and measured trajectories, (b) demonstrates the real-time track error, (c) compares the desired and measured heading angles and (d) compares the desired and measured speeds.

613 algorithms (such as RRT\* and MC-GPMP2\*) can be integrated into the proposed navigation  
 614 system, within which a good trajectory tracking performance is achieved. Noticeably, as  
 615 shown in Fig. 10 and Fig. 12, MC-GPMP2\* generates much smoother path than RRT\*,  
 616 which leads to reduced tracking error as shown in Figs. 11 (b) and 13 (b).

617 Improved path smoothness can also lead to less severe control inputs and potentially  
 618 improve the stability of the USV. For example, by comparing the heading angles and  
 619 speeds in Figs. 11 (c), (d) and Figs. 13 (c) and (d), a more gradual variation, especially  
 620 in heading angle, is experienced by following the trajectory provided by MC-GPMP2\* as  
 621 opposed to the dramatic change between positive and negative maximum values for RRT\*  
 622 trajectories. Such a benefit makes the proposed MC-GPMP\* a more viable solution for  
 623 USVs, especially when operating in constrained areas requiring refined motion planning  
 624 capability.

625

## VII. Conclusion and future work

626

This paper introduced an improved version of the conventional GP-based motion planning  
 627 algorithm (GPMP2) by further discussing the form of the likelihood function in probabilistic

628 inference. The improved version GPMP2\* extends the application scope of GPMP2 from  
629 environments with only obstacles into complex environments with a variety of environ-  
630 ment characteristics. Further, a novel fast GP interpolation strategy with Monte-Carlo  
631 stochasticity has been added into GPMP2\*, constructing another improved version named  
632 MC-GPMP2\*. MC-GPMP2\* can enhance the diversity in the generated path while reducing  
633 the time cost of manually tuning sampling points. Then a fully-autonomous framework has  
634 been proposed for a mainstream catamaran (WAM-V 20 USV). This framework contains  
635 an interface for any motion planner and an efficient, open-source autopilot. In four different  
636 simulations, we first demonstrated the path diversity of MC-GPMP2\* and its incremen-  
637 tal optimisation process in replanning problems. The performance of MC-GPMP2\* was  
638 then compared with other mainstream motion planning algorithms such as GPMP2, A\*  
639 and RRT\* across a range of environments with obstacles. MC-GPMP2\* generated paths  
640 with the shortest execution time, highest path smoothness and shortest path lengths in  
641 almost all cases. A competitive study was then conducted between MC-GPMP2\* and a  
642 mainstream motion planning algorithm in environments with ocean currents (AFM). The  
643 results demonstrated that MC-GPMP2\* delivers a better performance compared with AFM  
644 in execution time, path length and path smoothness in all the cases. Finally, we compared  
645 the performances of MC-GPMP2\* and RRT\* in an inspection mission based on WAM-V  
646 20 USV and the proposed framework in a high-fidelity virtual world. The results further  
647 reinforced the remarkable performance of MC-GPMP2\* in practical autonomous missions  
648 as well as reflected the accuracy and effectiveness of the proposed USV navigation and  
649 control framework.

650 In terms of future work, proposed areas of focus are: 1) validating the improvement of  
651 MC-GPMP2\* over other mainstream algorithms in high-dimensional environments, such as  
652 the motion planning circumstances of UUVs or robotic arms, 2) enriching the autopilot  
653 repository by adding other mainstream controllers such as back-stepping and finite-time  
654 path following, 3) automatically tuning the weight coefficients  $\omega_1$  and  $\omega_2$  in the objective  
655 function in (3) by using learning-based algorithms, 4) developing another motion planner  
656 that can use multiple USVs simultaneously to inspect the offshore wind power generation  
657 scenario and 5) using a digital twin for the navigation of USV so that simulation and real  
658 environment both can be benchmarked against each other.

659

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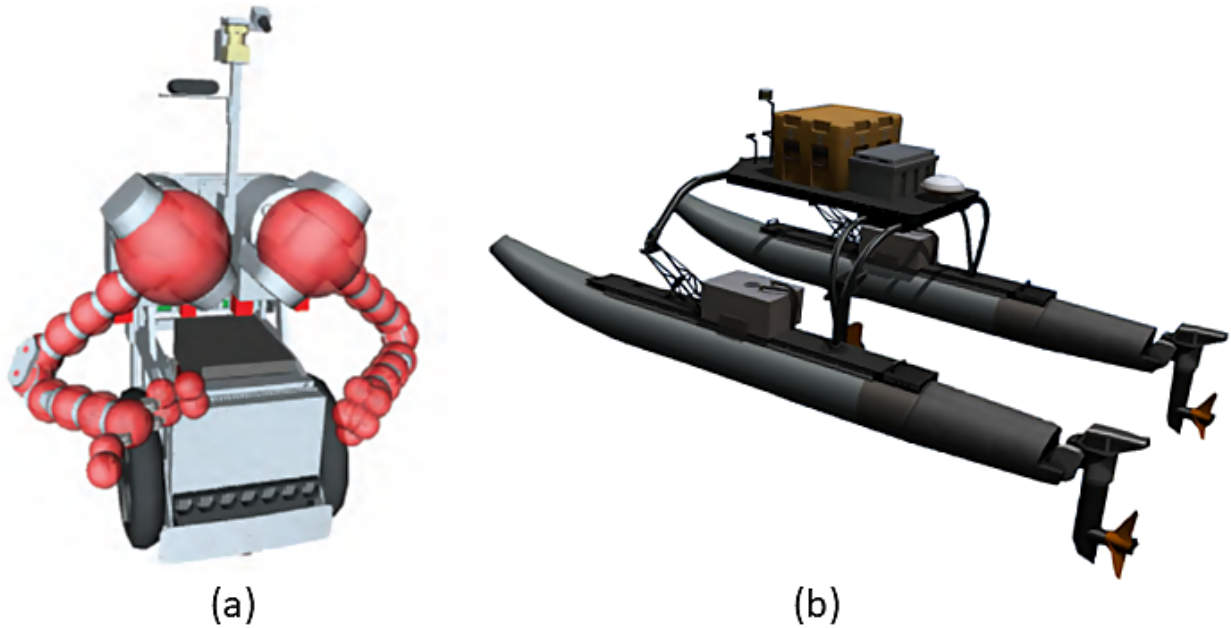


Fig. 14. A demonstration of two different robot platforms in our problem: (a) illustrates the Herb robot [57] and (b) illustrates the WAM-V 20 USV [29].

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## Appendix A

### Modeling the robots and obstacles in MC-GPMP2\*

Fig. 14 provides a more intuitive perspective about the representation of different robot models in (10). To make the optimisation problem tractable, we simply view: 1) the robot model of the robotic arm as a series of spheres over the links and 2) the robot model of the catamaran as a rigid body. Consequently, (10) can be simplified as follows when we apply the catamaran as the robot platform in our motion planning problem:

$$g_1(\theta_i) = [c(d(\theta_i))], \quad (39)$$



where  $c(\cdot) : \mathbb{R}^n \rightarrow \mathbb{R}$  is the workspace cost function that penalises the set of points  $B \subset \mathbb{R}^n$  on the robot body when they are in or around an obstacle and  $d(\cdot) : \mathbb{R}^n \rightarrow \mathbb{R}$  is the signed distance function that calculates the signed distance of the point. Further, the signed distance function  $d(\cdot)$  is defined by the following equations:

$$d(\cdot) = D(\cdot) - \overline{D}(\cdot), \quad (40)$$

790 where  $D(\cdot) : \mathbb{R}^n \rightarrow \mathbb{R}$  is the Euclidean distance transforms function and is also named as  
 791 distance field.  $\overline{D}(\cdot) : \mathbb{R}^n \rightarrow \mathbb{R}$  is the complement of distance field  $D(\cdot)$ . Based upon the  
 792 definition in (40),  $d(\cdot)$  allows us to easily distinguish if a point is inside or outside of the  
 793 obstacles. More specifically, the signed distance function: 1) generates a positive result if  
 794 the point is located inside the obstacles, 2) equals to zero if the point is located on the  
 795 boundaries of the obstacles and 3) generates a negative result if the point is located outside  
 796 the obstacles.

## 797 Appendix B

### 798 Density of interpolated states in GPMP2

799 Based upon the information in Section. III-B in our previous research [32], we know that  
 800 GPMP2 only interested in the collision-free event ( $l(\theta; c_i = 0)$ ). This indicates that the  
 801 waypoints generated by GPMP2 are always located outside the obstacle areas to obey this  
 802 rule. Whereas, the density of the interpolated states can influence the length of the line  
 803 segment between two neighbour waypoints. In general, a longer line segment can increase  
 804 the possibility of overlapping with obstacles as demonstrated in Fig. 15. To address this  
 805 problem, we propose MC-GPMP2\* to increase the diversity of the generated paths as well as  
 806 select an appropriate number of interpolated states to ensure all the line segments between  
 807 the neighbour waypoints do not overlap with any obstacle.

## 808 Appendix C

### 809 USV dynamic model in ROS environment

810 As mentioned earlier in the introduction part of this article, we propose a fully-autonomous  
 811 framework for USVs based upon the VRX simulator that is originally designed in [29]. In  
 812 this simulator, Fossen's six degrees of freedom robot-like vectorial model for marine craft  
 813 [58], [59], [60] has been applied in Gazebo to express the dynamic model of the USV:

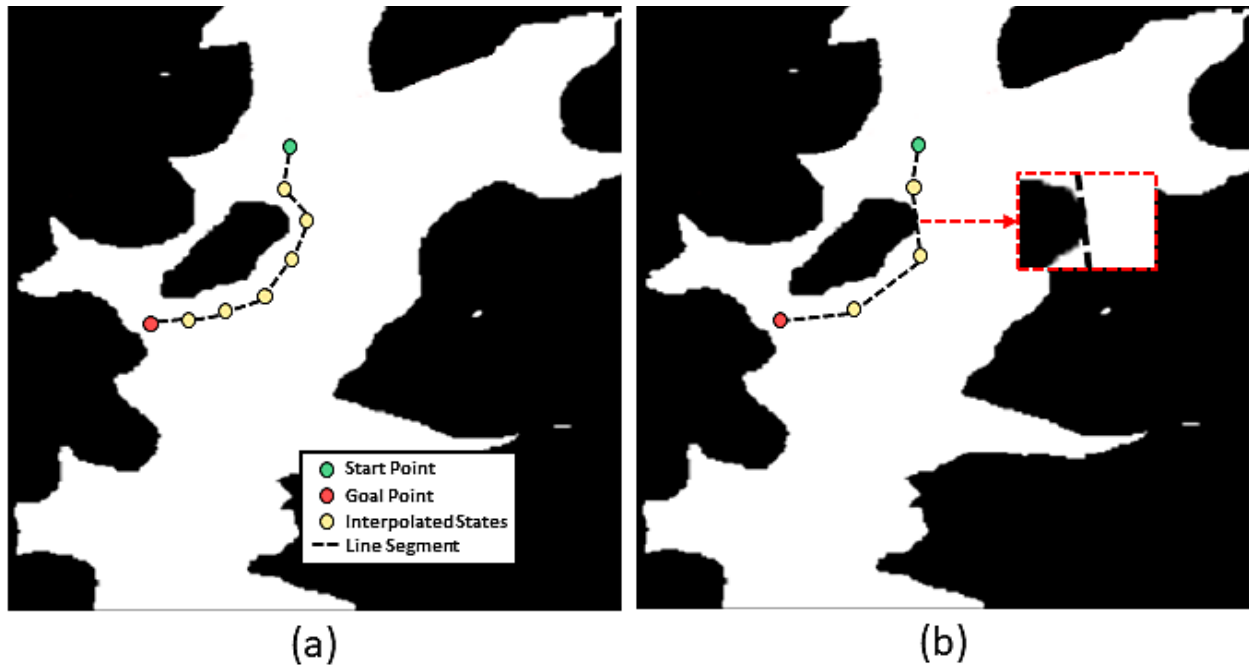


Fig. 15. The effect of the density of interpolated states in GPMP2: (a) illustrates the trajectory generated with relatively high density on the interpolated states and (b) illustrates the trajectory generated with relatively low density on the interpolated states. In (b), the line segment between the second and third waypoints overlaps with the obstacle at the centre (a zoom-in view is provided in the red square region).

$$\underbrace{M_{RB}\dot{v} + C_{RB}(v)v}_{\text{rigid body forces}} + \underbrace{M_A\dot{v}_r + C_A(v_r)v_r + D(v_r)v_r}_{\text{hydrodynamic forces}} \quad (41)$$

$$+ \underbrace{g(\eta)}_{\text{hydrostatic forces}} \quad (42)$$

$$= \tau_{\text{propulsion}} + \tau_{\text{wind}} + \tau_{\text{waves}}, \quad (43)$$

814 where

$$\eta = [x, y, z, \phi, \theta, \psi]^T \quad (44)$$

$$v = [u, v, \omega, p, q, r]^T, \quad (45)$$

815 are position and velocity vectors respectively for surge, sway, heave, roll, pitch and yaw.  
 816 To be more specific, the total velocity ( $v$ ) is the sum of an irrational water current velocity  
 817 ( $v_c$ ) and the vessel velocity relative to the fluid ( $v_r$ ). The forces and moments due to

818 propulsion (or the control input), wind and waves are represented as  $\tau_{\text{propulsion}}$ ,  $\tau_{\text{wind}}$  and  
819  $\tau_{\text{waves}}$ . Generally, the hydrodynamic forces, hydrostatic and wave forces, wind forces and  
820 propulsion forces function on the USV simultaneously in Gazebo [61].