# Multi-agent Robotic System (MARS) for UAV-UGV Path Planning and Automatic Sensory Data Collection in Cluttered Environments

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**ABSTRACT:** There has been growing interest in increasing the application of robotic 4 and automation technologies for building indoor inspection. However, the previous 5 research on indoor robotic applications was limited to a single type of unmanned 6 aerial/ground vehicle (UAV/UGV), each of which has certain limitations and 7 constraints. Besides, the robotic systems suffer from inefficient control within cluttered 8 9 indoor environments containing many obstacles. This paper presents a multi-agent robotic system (MARS) for automatic UAV-UGV path planning and indoor navigation 10 to automate sensory data collection. The proposed MARS consists of a new system 11 architecture that defines the attributes and data requirements for UAV and UGV indoor 12 path planning. To improve indoor navigation in cluttered environments, an enhanced 13 shunting short-term memory model is established to optimize the trajectory of 14 UAV/UGV for data collection. Assessment of indoor navigation is conducted with a 15 simulation-based approach and LiDAR SLAM. A mediating agent, which harnesses a 16 control algorithm and information exchange mechanism, is proposed to interoperate 17 18 UAV and UGV for automated data collection. The proposed new MARS is examined in experiments, in which a single UAV, dual UAVs, and combined UAV-UGV are 19 tested in a research laboratory. The result indicates that the MARS can support 20 automated path planning and indoor navigation for 2D imagery and 3D point cloud data 21 collection. 22

- 24 Keywords: Building Automation, Sensory data collection, Multi-agent system,
- 25 Robotics, Indoor inspection, Unmanned aerial/ground vehicle
- 26

#### 27 1. INTRODUCTION

Facilities management plays an important role in maintaining the functionality of 28 buildings [1, 2]. In practice, indoor inspection needs to be carried out regularly to avoid 29 late identification of building defects, resulting in potential safety issues and economic 30 loss [3]. Images and 3D point clouds are common data sources for indoor inspection. 31 However, traditional data collection for indoor inspection relies on human inspectors, 32 which is labor-intensive, time-demanding, and error-prone. Nowadays, automation and 33 robotic technologies have gained attention due to their potential to reduce the workforce 34 and time required to complete inspection tasks. Automation and robotic technologies 35 with advanced sensing devices were applied for detecting and monitoring occupancy 36 [4], floor cleaning [5, 6], fault detection and diagnosis [7], indoor air monitoring [8, 9], 37 facility inspection [3], and construction site data collection [10, 11]. Early applications 38 of robots focused on unmanned ground vehicles (UGV). The UGV-based approach 39 provides greater efficiency and flexibility than manual solutions; however, UGVs are 40 susceptible to obstacles in the inspection process [12]. Researchers have explored 41 building-related inspection using unmanned aerial vehicles (UAV) to address this 42 problem. Although UAVs are more agile and have better views than UGVs, they suffer 43 from smaller payloads and shorter operational time [13]. Recently, there have been 44 45 increasing amounts of studies on multi-robot systems, especially heterogeneous UAV-UGV systems, because the two types of robots can complement each other, improving 46 the overall performance of the inspection [14]. 47

Although multi-robot systems with UAV and/or UGV have been applied for 48 various inspection tasks, there are still some limitations. First, automatic UAV-UGV 49 systems are still lacking for indoor applications. In many studies, either UAV or UGV 50 is controlled manually to move along a predefined path [15-17], which is not flexible 51 enough for indoor navigation. It is necessary to develop new methods for UAV-UGV 52 systems to navigate efficiently and automatically [10]. Secondly, conventional UAV-53 UGV systems may not be directly applicable to indoor environments. UGV may 54 encounter obstacles, occlusions, or discrepant floor levels that prevent it from travelling 55 into specified areas. In this case, UAVs can fly over the obstacles and move into the 56 57 areas inaccessible by UGV. Thus, a collaboration between heterogeneous kinds of UGV and UAV devices can supplement each other for inspection in cluttered environments. 58 59 This is evident in some previous studies wherein the view of the UGV is confined due to obstacles, and the UGV cannot navigate efficiently, which impacts the accuracy of 60

collected data. UAV applications can assist the navigation of UGV by providing more 61 accurate geometric information of the surrounding environment [18-20]. Furthermore, 62 smaller-sized UAVs are often used indoors due to the confined space and safety 63 considerations. Due to the small payload of lightweight UAVs, the sensor is usually a 64 built-in camera, which can collect images and video clips. Using UAV alone might not 65 be sufficient to carry larger scanning and sensing devices for managing the 66 environmental data [10]. Developing a UAV-UGV system to leverage the strength of 67 68 different robots for automated data collection is necessary.

This study aims to develop a multi-agent robotic system (MARS) for automating 69 the collection of sensory data (such as images, video clips, and 3D point clouds) in 70 cluttered environments. Firstly, a new architecture of MARS is developed for 71 UAV/UGV indoor navigation and automated data collection. Following this, an 72 enhanced shunting short-term memory (SSTM) model is developed to optimize path 73 planning. Provided the optimized plan, UAV/UGV indoor navigation is assessed using 74 simulation and LiDAR-based approaches. A coordinating control algorithm is proposed 75 to promote the UAV-UGV coordination, including an information exchange 76 mechanism. Finally, simulation and field experiments are conducted to demonstrate the 77 feasibility and performance of the proposed MARS. 78

79 The main contributions of this paper are threefold. (1) A new system architecture of MARS is developed for automated sensory data collection in cluttered environments. 80 Based on defined attributes and data requirement, functional modules for UAV, UGV, 81 and mediating agent and their communication is constructed. UAV and UGV can 82 exchange information via mediating agents for indoor navigation and sensory data 83 collection (such as imagery data and point clouds) with this new system architecture. 84 (2) An enhanced SSTM model is formulated to optimize the navigation path of 85 UAV/UGV. This enhanced SSTM model can address multi-robot navigation issues by 86 importing an inhibitory term resulting from robots. The computational complexity of 87 this proposed method is not sensitive to the grid map size, so this method is 88 advantageous over other conventional methods, especially in the cases where a larger 89 grid size is used. (3) a coordinating control algorithm is proposed to enhance the 90 coordination between UAV and UGV. UGV can request UAV' s assistance by sending 91 messages to the mediating agent when it encounters obstacles based on the information 92 exchange mechanism defined by the coordinating control algorithm. After receiving the 93

commands from the mediating agent, UAV resolves the request by performing thecorresponding task.

The rest of the paper is structured as follows. Section 2 reviews previous studies. Section 3 explains the proposed MARS system architecture and algorithms for UAV/UGV path planning and visual data collection. Section 4 presents simulation and experiment for multi-robot control with a single UAV, dual UAVs, and a combined UAV-UGV. Section 5 concludes the whole paper and discusses future work.

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### **102 2. LITERATURE REVIEW**

#### **103 2.1. UGV for Indoor Applications**

Rea and Ottaviano [21] developed a robotic inspection system using a hybrid 104 structure of tracks and legs, where tracks were used to navigate, and legs were used to 105 overpass obstacles. Various sensors were installed on the robotic platform to collect 106 environmental data. The robotic platform was teleoperated by an inspector and provided 107 limited support to automated inspection. Mantha et al. [22] tried to collect ambient data 108 using a mobile ground robot that navigated based on fiducial markers. Compared with 109 conventional data collection methods based on fixed stationary sensor networks, their 110 approach is more effective and economical even though the system cannot actively 111 avoid obstacles. Kim et al. [23] presented a new approach based on Robot Operating 112 System (ROS) and Building Information Modeling (BIM), which assisted a robot in 113 planning construction wall painting tasks. The authors have used BIM information and 114 painting schedule to generate detailed elemental motions (e.g., grasping, moving, etc.) 115 for the robot, which were then converted into control commands. The commands were 116 finally sent to the robot through ROS to control the robot to perform the painting task. 117 Yan et al. [7, 24] leveraged real-time heating ventilation air-conditioning operational 118 data and generative adversarial network and practised automated fault detection and 119 diagnosis (FDD) with robotic platforms. The fully automated FDD approach 120 outperforms existing conventional heating ventilation air-conditioning FDD that uses 121 semi-automated or supervised learning. However, previous relevant studies are mostly 122 conducted in laboratory or simulation tests; a more practical approach verified in 123 physical environments is needed. Besides, UGVs are inefficient when deployed in 124 cluttered environments because UGVs (normally wheeled robots) can hardly access 125 areas containing many obstacles and barriers [11]. 126

#### 128 **2.2. UAV for Data Collection**

To address the above issue, researchers have explored the application of UAVs in 129 data collection within cluttered environments. For instance, Bolourian and Hammad 130 [24] studied potential defect inspection for bridges using a UAV equipped with LiDAR. 131 The authors have improved the inspection efficiency by optimizing the UAV's flight 132 path, which was achieved by combining genetic algorithm and A\* algorithm. Song et 133 al. [25] proposed an automated approach for integrating LiDAR scanning and UAV. A 134 set of waypoints were generated using greedy algorithm based on an occupancy map 135 created using BIM data. The UAV moved to the waypoints for inspection using genetic 136 algorithm and A\* algorithm. Khosiawan and Nielsen [26] developed a UAV system 137 with a scheduler for indoor monitoring and inspection. With the map, UAV status, and 138 task information as the inputs, the scheduler can build an order of task execution for the 139 UAV to navigate and inspect the environment in a time-optimized and anti-collision 140 manner. Guerrero and Bestaoui [27] investigated structure inspection using UAVs, 141 considering the influence of wind and energy limitations. They employed the genetic 142 algorithm for path planning to minimize the time and energy required to complete the 143 inspection. González et al. [28] tried to perform contact inspection using a LiDAR-144 equipped UAV. To improve the inspection efficiency, the authors have developed an 145 146 iterative algorithm to plan the flight path of UAVs based on a voxel-based map. Likewise, Freimuth and Konig [29] performed construction inspections using UAV and 147 BIM information. In this study, the inspection planner selected the expected inspection 148 locations, followed by a UAV flying to the inspection locations using the A\* algorithm. 149 Although UAVs are more advantageous than UGVs in terms of agility and view, they 150 have limitations such as smaller payload, shorter operational time, and safety issues. 151

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#### 2.3. UAV-UGV Collaborative Data Collection

Nowadays, multi-robot systems, which combine the strength of UAVs and UGVs, 154 are gaining attention for data collection and other applications. Lakas et al. [30] 155 developed a unified UAV-UGV framework for collecting data in the disaster-rescue 156 scenario. In this system, UAV took ground images and created a map by recognizing 157 road and obstruction features in the image data. The UGV navigated within the indoor 158 environment based on the map information using the A\* algorithm while collecting 159 data for rescue tasks. Kim et al. [31] developed a UAV-UGV system for geometric data 160 collection and 3D visualization, in which a UAV was deployed to collect images of a 161

construction site to build its gradient-based map. The optimal stationary scanning 162 positions were calculated with the gradient-based map, followed by the UGV 163 navigating to these positions for collecting required data. Christie et al. [32] used a 164 UAV and a UGV to estimate and confirm the locations of radiation sources. In their 165 research, the UAV's task was to find the positions of radiation sources by flying over 166 the area. Based on the position information, the UGV equipped with LiDAR promptly 167 computed its movement path to determine the trajectory for radiation data collection. 168 Kim et al. [33] developed a UAV-assisted automated framework for data collection in 169 a cluttered environment. A UAV was first deployed to obtain an initial 3D map 170 containing preliminary geometry information about a cluttered site. This map was then 171 used to find the optimal scanning points by simulation. Finally, using the potential 172 vector field method, the UGV moved to these scanning points to collect data for 3D 173 mapping. Cantieri et al. [34] investigated power pylons using a cooperative UAV-UGV 174 system, in which UGV served as a carrier of UAV to save battery while the UAV was 175 used to perform the inspection tasks. The systems proposed in the previous relevant 176 studies applied to outdoor environments require more research efforts on indoor 177 applications. 178

In this sense, Michael et al. [15, 16] developed a UAV-UGV platform to 179 180 cooperatively map the interior of a damaged building in the event of an earthquake. Firstly, a UGV was teleoperated to navigate and map the multi-floor environment. The 181 operator controlled a UAV to perform the mapping when the UGV was inaccessible to 182 a specified area. Their method increases the mapping efficiency and eliminates the risk 183 of humans getting injured, but their system is still manually controlled. Mueggler et al. 184 [17] demonstrated the collaboration of UAV and UGV in an indoor disaster scenario. 185 A UAV took ground images at a predefined location based on a lawn-mower pattern, 186 covering all areas. These images were then processed to create a map for the navigation 187 of a UGV. The UGV navigated using the A\* algorithm to collect information for a 188 rescue mission. Although their system is effective and robust in a mock-up disaster 189 190 scenario, the authors did not consider common and practical obstacles for UAV navigation. Harik et al. [35] developed a decentralised interactive architecture for UAV-191 UGV cooperation. With a broader view, UAVs can guide UGV's movement by 192 scanning and providing images of the area around UGV. UGV navigated to the 193 waypoints predefined by a human operator for data collection and inspection tasks. 194 However, the drawback of their approach is that the system needs more human 195

intervention. Qin et al. [36] designed a novel integrated vehicular system using 196 collaborative UAVs and UGVs for exploration, mapping, and navigation in a GPS-197 denied environment. UGV performed a preliminary exploration using a view planning 198 algorithm and produced a coarse map used as a fundamental model and a navigation 199 reference for UAV. Then the UAV performed a fine complementary mapping using a 200 tilting 2D laser module. The system has better environment perception and exploration 201 efficiency, but its coordination scheme is not applicable for indoor data collection. 202 Asadi et al. [10] developed an integrated UAV-UGV system to collect data at 203 construction sites. By using a rapidly exploring random tree algorithm, a UGV 204 navigated within a construction site while collecting data at the lower level. A blimp 205 followed the UGV using a marker tracking technique while scanning the space at the 206 upper level out of the UGV's view. Their developed system is automated, which is more 207 efficient than the semi-automated ones, but their system is suitable for outdoor 208 209 environment or spacious indoor environment, and not applicable for confined and cluttered indoor spaces because their UGV is designed for outdoor application [11] and 210 their blimp is large. Besides, it does not consider obstacles for UAV navigation. 211

#### 212 **3. METHODOLOGY**

Fig. 1 shows a schematic diagram of the proposed MARS. It starts by establishing 213 (1) the system architecture, followed by (2) automated path planning and (3) indoor 214 navigation and control. In Step 1, the system architecture defines the necessary 215 attributes and data requirements for UAV/UGV and their connection with different 216 sensing devices. A grid map is constructed to represent indoor spaces' geometric and 217 obstacle features, then processed for path planning. In this study, path planning 218 harnesses an enhanced SSTM model to generate the optimal movement trajectories for 219 UAV and UGV in cluttered environments. Provided the optimized paths, Step 3 220 continues to verify the feasibility of the movement paths of UAV and UGV amid indoor 221 navigation. Simulation-based approach and 2D LiDAR SLAM are leveraged to test the 222 automated indoor navigation of UAV and UGV, respectively before real control is 223 deployed for inspection. To accommodate the dynamic interaction between UAV and 224 UGV, a mediating agent is developed and resolve the potential conflict and promote 225 coordination for sensory data collection. The mediating agent encompasses a control 226 algorithm and information exchange mechanism to interoperate UAV and UGV toward 227 automated sensory data collection. The data collected by UAV/UGV are directly 228 transmitted to and stored in the mediating agent for indoor applications. The 229 230 methodology details are presented in the following sub-sections.

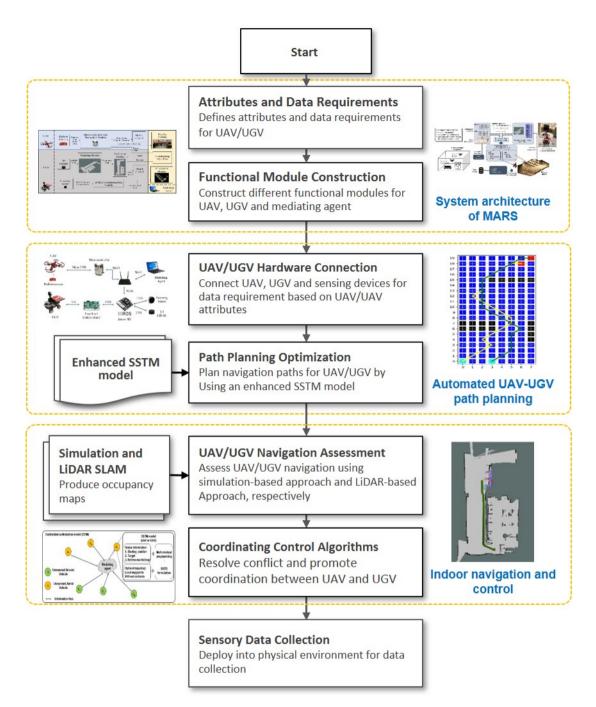




Fig. 1 Methodology framework of the proposed MARS

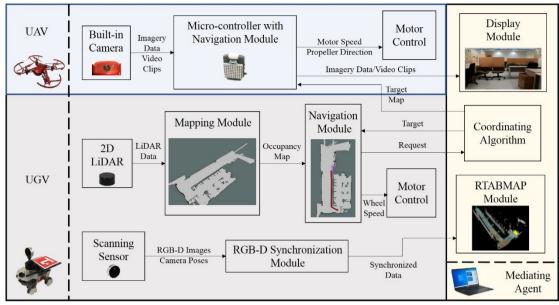
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## 234 3.1. System Architecture of MARS

Fig. 2 illustrates the system architecture of MARS, which consists of three parts, namely UAV, UGV, and mediating agents connected via Wi-Fi. For UAV, its built-in camera collects the imagery data and video clips sent via a micro-controller to the display module in the mediating agent. Here, the mediating agent refers to a computing device that receives messages and processes the collected sensory data from UAV/UGV. Since UAV is subjected to a smaller payload, LiDAR can be hardly applied for its indoor localization and navigation. As such, a navigation module is developed in the
micro-controller to compute and optimize the flight path of the UAV. Path planning
optimization is conducted by an enhanced SSTM model for indoor navigation. The
microcontroller then commands the UAV motor speed and propeller direction.

For UGV, there are two separate pipelines. In the first pipeline, UGV leverages a 245 2D LiDAR to scan and acquire 2D layout/geometry information of the surrounding 246 environment. The layout information is sent to a mapping module wherein a 2D 247 occupancy map (with a 5cm grid size) is generated. Provided the 2D occupancy map, 248 the navigation module leverages SSTM to compute and optimize the path of UGV and 249 then sends commands to control the UGV speed and movement. RGB-D images and 250 camera poses are collected in the second pipeline by a scanning sensor fed into the 251 RGB-D synchronization module (installed in a Jetson NX processor) to synchronize the 252 imagery data into a single message. The message is sent to an RTABMAP module in 253 the mediating agent to reconstruct the 3D point clouds of the indoor scene. 254

The mediating agent contains three modules: RTABMAP, coordinating algorithm, 255 and display modules. First, the display module displays the imagery data and video 256 streams from UAV. RTABMAP module is used to reconstruct the 3D point clouds 257 using the RGB-D information collected by UGV. The mediating agent harnesses a 258 coordinating algorithm to interoperate multiple UAV and UGV devices for data 259 collection to improve inspection efficiency. For example, when UGV encounters an 260 obstacle which prevents it from completing the data collection, its navigation module 261 can communicate with the mediating agent by exchanging the target information and 262 requesting the engagement of other devices in MARS (such as UAVs) for assistance. 263 Details of the UGV, UAV and mediating agents are discussed in the following 264 subsections. 265



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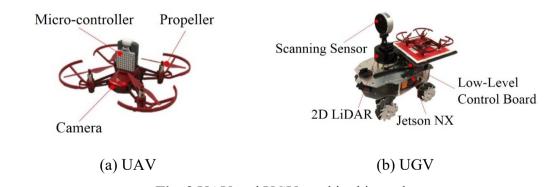
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Fig. 2 System architecture for the proposed MARS

## 270 3.2. Automated UAV-UGV Path Planning

271 3.2.1. UAV and UGV

Fig. 3 displays the UAV and UGV used in this study. As shown in Fig. 3 (a), the 272 UAV is a lightweight quadcopter including a built-in camera, four propellers, and an 273 open-source micro-controller which supports aerial imagery collection. Such a 274 lightweight quadcopter can reduce several safety issues and risks, such as flying into 275 people, furniture, ceilings, or other objects. The micro-controller embeds with a Wi-Fi 276 277 module that enables sending information remotely to the mediating agent. The camera can capture 5MP imagery data or live video clips sent to the mediating agent for storage. 278 279 The open-source micro-controller is responsible for computational tasks (such as path 280 planning) and supports the new application for algorithmic control of UAVs.



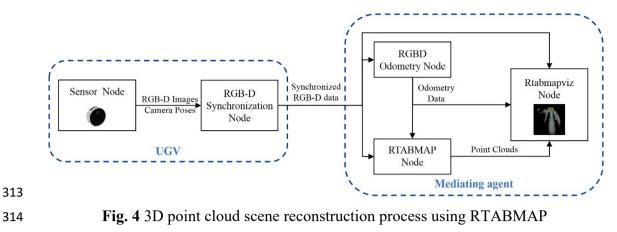
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Fig. 3 UAV and UGV used in this study

As shown in **Fig. 3 (b)**, the UGV is a wheeled mobile robot with a Jetson NX processor, a control board, and multiple sensing devices for data collection. The Jetson

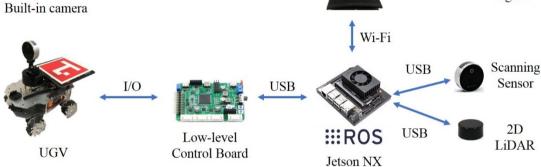
NX processor first executes the path planning optimization and determines the optimal 284 path, which is sent to the low-level control board to move the UGV around indoor 285 spaces. Sensing devices include a 2D LiDAR that acquires the space layout information 286 for 2D mapping and indoor navigation. In addition, a scanning sensor is used to collect 287 RGB-D images and camera poses for generating 3D point clouds and reconstructing 288 the 3D scene. Specifically, the Jetson NX processor is used to exchange RGB-D 289 information with the mediating agent (via Wi-Fi) for generating the 3D point clouds. 290 Fig. 4 illustrates the 3D point cloud reconstruction process using RTABMAP. 291 RTABMAP has been integrated into the Robot Operating System (ROS), where data 292 processing units are presented in the form of nodes. RTABMAP-based 3D 293 reconstruction involves five nodes: sensor, RGB-D synchronization, RGB-D odometry, 294 rtabmap, and rtabmapviz. The sensor node controls the scanning sensor to collect RGB-295 D images for the surrounding environment and camera poses, then synchronized in the 296 RGB-D synchronization node. Following this, the synchronized data is sent via Jetson 297 NX processor to the RGB-D odometry node (in the mediating agent), where odometry 298 data are derived by computing the transformation between two consecutive RGB-D 299 image pairs using the RANSAC approach. Then, the Rtabmap node takes RGB-D 300 images, camera poses, and odometry data to produce 3D point clouds using RTABMAP 301 302 with the aid of an incremental appearance-based loop closure detector. Finally, the 3D point clouds, RGB-D images, and odometry data are integrated into the rtabmapviz 303 node for 3D scene visualization. The main task of the UGV is to collect imagery data 304 and point clouds in most areas, because UGV can be equipped with more sensors and 305 works for a longer time. In addition, the UGV can serve as a carrier/platform for the 306 UAV. 307

Fig. 5 shows the connection between UAV, UGV, sensing devices, and the mediating agent in this study. UAV and UGV are connected to the mediating agent via Wi-Fi created by a router. The sensing devices, motors, and miscellaneous processors (i.e., micro-controller, low-level control board, and Jetson NX) are connected via USB and I/O cables.









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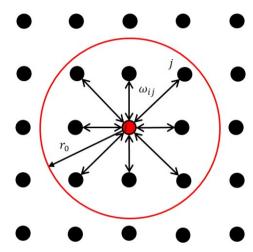
Fig. 5 Connection between UGV, UAV and sensing devices

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#### 3.2.2. Path Planning Optimization 319

To enhance the efficiency of data collection in cluttered environments, efficient 320 indoor navigation is necessary. Therefore, indoor path planning optimization is a central 321 task. This paper develops an enhanced SSTM model to generate the optimal paths for 322 323 UAV and UGV movement without colliding with obstacles and other devices that work in the same environment [37]. The original SSTM model is inspired by Hodgkin and 324 325 Huxley's study on the dynamics of voltage across the membrane [38], Grossberg's shunting model [39], and the neural network dynamics model for path planning 326 327 proposed by Glasius et al. [40]. The application of the SSTM model is built on the construction of neural network architecture, as shown in Fig. 6. The whole neural 328 329 network represents a finite-dimensional (F-D) configuration space  $\Theta$  of a robot. For

example,  $\Theta$  can refer to a 2-D Cartesian workspace for a point robot that moves in a 2-D space. For a point robot that navigates in a 3-D space,  $\Theta$  stands for the 3-D Cartesian workspace. The location of one neuron in the network, denoted by a vector  $p_i \epsilon R^F$ , is one element in  $\Theta$ . Each neuron can interact with its neighboring neurons locally. The range in which this interaction can occur is called the neurons' receptive field in the neurophysiology [41]. Since it was first proposed, the SSTM model has been applied to various path planning research [42-44].



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Fig. 6 Schematic diagram of the neural network in SSTM

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According to [45], the dynamics of  $i^{th}$  neuron are modeled mathematically by a shunting equation, as shown in Eq. (1) below:

$$\frac{dx_i}{dt} = -Ax_i + (B - x_i) \left( [I_i]^+ + \sum_{j=1}^k \omega_{ij} [x_j]^+ \right) - (D + x_i) [I_i]^-$$
(1)

wherein  $x_i$  denotes the neural activity of  $i^{th}$  neuron;  $x_j$  denotes the neural activity of the neighboring neurons of  $i^{th}$  neuron; k denotes the number of the neighboring neurons; A, B and -D are the passive decay rate, upper and lower bounds of the neural activity, respectively. A, B and D are all positive constants.  $\omega_{ij}$  denotes the connection weight between  $i^{th}$  and  $j^{th}$  neurons;  $[I_i]^+ + \sum_{j=1}^k \omega_{ij} [x_j]^+$  and  $[I_i]^$ are the excitatory and inhibitory inputs for  $i^{th}$  neuron.  $\omega_{ij}$  is defined as follows:

$$\omega_{ij} = f(|d_{ij}|) \tag{2}$$

wherein  $d_{ij}$  represents the Euclidean distance between  $i^{th}$  and  $j^{th}$  neurons and is calculated as  $p_i - p_j$ . Considering that  $i^{th}$  neuron can only be influenced by its neighboring neurons within the receptive field, function f(a) has the property of decreasing monotonically and can be defined as follows.

$$f(a) = \begin{cases} \frac{\mu}{a}, & \text{if } 0 < a < r_0 \\ 0, & \text{if } a \ge r_0 \end{cases}$$
(3)

wherein  $r_0$  refers to the distance of the receptive field, which has a positive value and  $\mu$  is a positive constant according to the specific cases.

The excitatory input results from the target and lateral connections among neurons, while the inhibitory input results from the obstacles only. Functions  $[a]^+$  and  $[a]^$ can be defined as follows:

$$\begin{cases} [a]^{-} = max\{-a, 0\} \\ [a]^{+} = max\{a, 0\} \end{cases}$$
(4)

359  $I_i$  is defined as shown in Eq. (5):

$$I_{i} = \begin{cases} E, & \text{if it is a target} \\ -E, & \text{if it is an obstacle} \\ 0, & & \text{otherwise} \end{cases}$$
(5)

wherein *E* is a very large positive constant,  $E \gg B$ . Based on the SSTM model defined in Eq. (1), the positive neural activity can propagate over the whole neural network through local interaction. The negative neural activity stays locally at the neurons representing obstacles. By such a definition, a robot is globally attracted to the target, while the obstacles have a local effect to expel the robot [46].

The dynamic activity landscape of the neural network then produces a navigation path for the robot according to the deepest gradient ascent. Assuming the location of the neuron in which the robot lies currently as  $p_c$ , the location of the neuron that the robot would move toward can be derived as follows:

$$p_{next} \leftarrow x_{p_{next}} = \max_{j=1,2,\dots,k} \{x_j\}$$
(6)

wherein  $p_{next}$  denotes the next position (neuron) where a robot chooses to move toward;  $x_{p_{next}}$  denotes the neural activity of the next position (neuron);  $x_j$  denotes the neural activity of the neighboring neurons of the current position (neuron); k is the number of the neighboring neurons. Based on Eq. (6), the robot keeps moving from the current position to the next position until it reaches the target.

However, the original SSTM model cannot ensure collaborative motion for multiple robots and prevent one robot from running into other devices, especially in cluttered environments. This issue is illustrated in in **Fig. 7 (a)**, where Robots 1 and 2 are present in red and blue neurons respectively. Assuming that the three yellow neurons between Robots 1 and 2 are closer to the target, the neural activity for these yellow neurons would be relatively larger according to the formulation of the original SSTM. In such a case, Robots 1 and 2 have large possibilities to simultaneously move to the same yellow neuron, resulting in collision. To deal with this issue, our enhanced SSTM introduces an inhibitory term  $\sum_{j=1}^{m} \widetilde{\omega}_{ij}C_j$  to cater for the impact from multiple devices, as follows.

$$\frac{dx_{i}}{dt} = -Ax_{i} + (B - x_{i})\left([I_{i}]^{+} + \sum_{j=1}^{k} \omega_{ij}[x_{j}]^{+}\right) - (D + x_{i})\left([I_{i}]^{-} + \sum_{j=1}^{m} \widetilde{\omega}_{ij}C_{j}\right)$$
(7)

wherein  $x_j$  denotes the neural activity of the neighboring neurons of  $i^{th}$  neuron; mis the number of the neighboring neurons representing robots (which is called robot neuron in this paper);  $C_j$  denotes the negative impact rate by  $j^{th}$  robot neuron, which is a negative constant;  $\tilde{\omega}_{ij}$  denotes the connection weight between  $i^{th}$  neuron and  $j^{th}$  robot neuron.  $\tilde{\omega}_{ij}$  and  $I_i$  are defined as shown in Eqs. (8) and (9) below:

$$\widetilde{\omega}_{ij} = \beta \omega \tag{8}$$

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$$I_{i} = \begin{cases} E, & \text{if it is a target} \\ -E, & \text{if it is an obstacle} \\ C, & \text{if it is a robot} \\ 0, & \text{otherwise} \end{cases}$$
(9)

in which  $\beta$  is a positive constant,  $\beta \in [0,1]$ . *E* and *C* are very large positive constants,  $E \gg B$  and  $C \gg B$ . The neural activity of each neuron is updated according to Eq. (10) by the first-order approximation equation of Taylor's theorem:

$$x_i(t + \Delta t) = x_i(t) + \frac{dx_i(t)}{dt} \cdot \Delta t$$
(10)

in which  $x_i(t)$  is the neural activity of  $i^{th}$  neuron at time t;  $\Delta t$  is the interval between two consecutive updates.

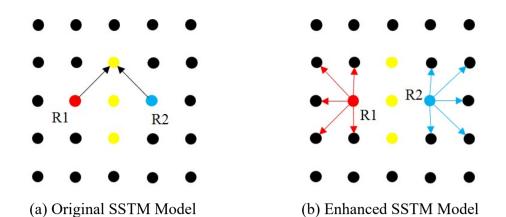


Fig. 7 Illustration of robotic motions before and after using the enhanced SSTM

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As shown in **Fig. 7 (b)**, based on the enhanced SSTM, Robot 1 and Robot 2 have 397 negative impacts on the yellow neurons, which reduces their neural activities. This 398 indicates that Robot 1 would have a higher possibility or priority to move to the other 399 five neighboring neurons (highlighted by red arrows) rather than the yellow neurons. 400 Similarly, Robot 2 has less possibility for moving into the yellow neurons, which is 401 402 illustrated by blue arrows. As a result, the potential collision between multiple devices can be resolved.

The enhanced SSTM model addresses local connections between the neighboring 404 405 neurons, so that the computational complexity and time depend linearly on the neural network size. As such, the enhanced SSTM does not require a computationally 406 407 demanding learning process in practices. It can be more conveniently leveraged to promptly optimize the path planning and control the UGV/UAV for data collection in 408 cluttered environments. In addition, our enhanced SSTM can demonstrate better 409 performance over conventional methods, because it is less sensitive to the grid map size. 410 Specifically, a finer grid is often used to generate more accurate paths in cluttered 411 412 environments, indicating that the grid map size can be larger. In this study, only 2-D Cartesian workspace is considered, and one neuron in the neural network corresponds 413 to one position on 2D planar space. The grid map is designed based on 2D planar space 414 so that the neural network size is equal to the grid map size. As a result, the 415 computational time depends on the grid map size, which can be represented by O(n)416 and n is the grid map size. Increases in the grid map size does not substantially 417 increase the computation time, which is the strength of the SSTM for indoor path 418 planning and navigation. Furthermore, the optimized path based on the enhanced SSTM 419 represents the global optimum, which is another advantage as compared with Dijkstra 420

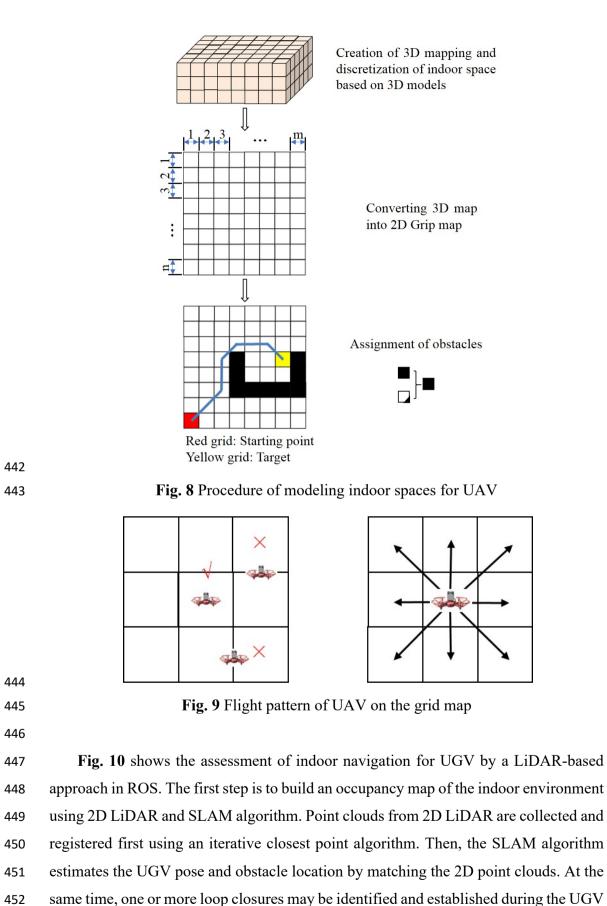
421 and A\* Algorithms that may be constrained in local optimum.

422

## 423 **3.3. Indoor Navigation and Control**

## 424 3.3.1. UAV/UGV Indoor Navigation

Provided the path planning, this section continues to explain the UAV-UGV 425 navigation and control. For UAV, the indoor space needs to be modeled as a grid map 426 for assessing the feasibility of the optimized flight path. Fig. 8 shows the procedure of 427 modeling the indoor space. Firstly, 3D mapping is conducted based on the configuration 428 of the indoor space. Secondly, the 3D mapping is converted into a 2D grid map 429 assuming that UAV is operated at the same height level within the indoor space. Finally, 430 an occupancy map can be built by assigning obstacle features to the 2D grid map. To 431 achieve better obstacle avoidance, this study defines the size of a grid to be larger than 432 that of UAV. In the 2D occupancy map, an occupied grid highlighted in black represents 433 an obstacle. Fig. 8 demonstrates how to decide an occupied grid. A grid that is occupied 434 partially or entirely by an obstacle is treated as a fully occupied grid to facilitate the 435 computation. Regarding the flight pattern of UAV on the grid map, UAV can move 436 only from the center of one grid to the center of the adjacent grid (see Fig. 9). UAV is 437 not allowed to stop on the edge or boundary of any grid cells. Besides, it can only move 438 439 in eight directions, namely up, down, left, right, up-right, up-left, down-right, and down-left. By running a UAV simulation based on the optimized path from the 440 enhanced SSTM, the feasibility of the flight trajectory can be verified. 441



453 movement. The SLAM algorithm utilizes the loop closure information to update the

454 occupancy map (with 5cm grid size). Provided the occupancy map, the enhanced SSTM

455 computes the optimal movement path for UGV. Adaptive Monte Carlo localization
456 algorithm is used to generate the positioning information for UGV. Based on the
457 movement path and positioning information, UGV can navigate from the starting point
458 to the target.

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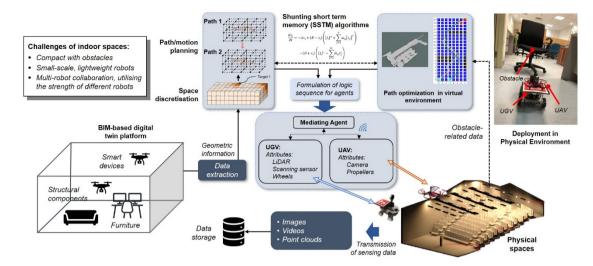
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Fig. 10 UGV navigation with 2D LiDAR SLAM

462

463 3.3.2. Coordinating Control Algorithms

After the optimized path for UAV/UGV are tested and verified, the logical 464 routines for UAV and UGV can be formulated to support automated control. As shown 465 in Fig. 11, to accommodate the dynamic interaction and conflicts between UAV and 466 UGV, the mediating agent harnesses a coordinating control algorithm to resolve the 467 potential conflict and promote coordination between UAV and UGV for sensory data 468 collection. The core of the mediating agent lies in the efficient control algorithm that is 469 constructed to define the information exchange mechanism including message type, 470 sender, receiver, timestamp to guarantee the seamless data exchange amongst UAV and 471 UGV. As such, it interacts with UAV/UGV to obtain necessary information such as 472 indoor scenes, obstacles, and data collection tasks. With the provided information, the 473 mediating agent intends to coordinate UAV and UGV iteratively by generating a set of 474 logical sequences and decision routines. Such a logical sequence can be executed for 475 controlling the UAV and UGV in the physical environment. 476



- 477
- 478 479

Fig. 11 Coordinating control and information exchange for UAV and UGV

The mediating agent communicates with UAV/UGV by employing the SOCKET 480 interface which contains two types of communication protocols, i.e., Transmission 481 Control Protocol (TCP) and User Datagram Protocol (UDP). TCP is more reliable and 482 accurate in term of data transmission, so it is used to send control-related information 483 484 or receive the information from UAV/UGV. UDP requires less time to process packets, and makes more efficient use of bandwidth, thereby it is used to receive sensing data 485 such as imagery data and video clips. Such a configuration can achieve the best trade-486 off between the efficiency and reliability of data transfer. 487

A generic form of the message is constructed as *messageType* (sender & receiver) 488 and messageContents, where messgeType and messageContents are specific to the stage 489 of the coordination process. The sender and receiver correspond to the index of the 490 agent that sends and receives the message, respectively. Fig. 12 (a) demonstrates the 491 information exchange mechanism in the control algorithm. In general, UAV and UGV 492 perform different tasks because of their distinct characteristics. The mediating agent 493 serves as the central authority to coordinate UAV and UGV iteratively to perform 494 complicated tasks. Fig. 12 (b) shows information exchange process in the proposed 495 MARS. The information exchange includes five major steps. 496

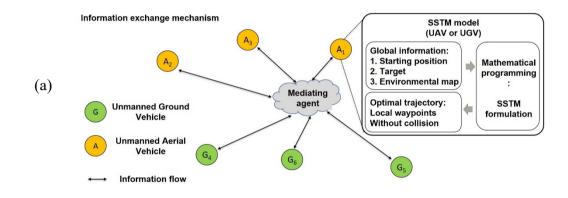
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i. First, mediating agent receives affair (Q) from a UGV. In this context, the UGV is the message sender and initiates the resolution process by sending the affair message (such as facing an obstacle) to the mediating agent.

500 ii. Upon receiving the affair message, the mediating agent starts announcing 501 the affair (Q) and seeking other devices such as UAVs for assistance and

502		resolution of the affair encountered by UGV.
503	iii.	UAVs send messages regarding their current positions $(P_i)$ to the mediating
504		agent. According to the affair $(Q)$ and the current positions of UAVs $(P_i)$ ,
505		the mediating agent weighs their availabilities and eligibilities $(W_i)$ .
506	iv.	The mediating agent prioritizes UAVs based on the nature of the affair and
507		position information. Afterwards, the mediating agent announces an award
508		message to the selected UAV with the highest priority.
509	v.	The selected UAV helps resolve the affair (e.g., replace UGV to continue
510		the inspection task). On receiving the award message, the selected UAV
511		continues to complete its current inspection task. Upon the completion of
512		the current inspection, UAV then re-optimizes its path planning to assist the
513		UGV for inspection. The coordination terminates when the selected UAV
514		finishes the new task.
515		



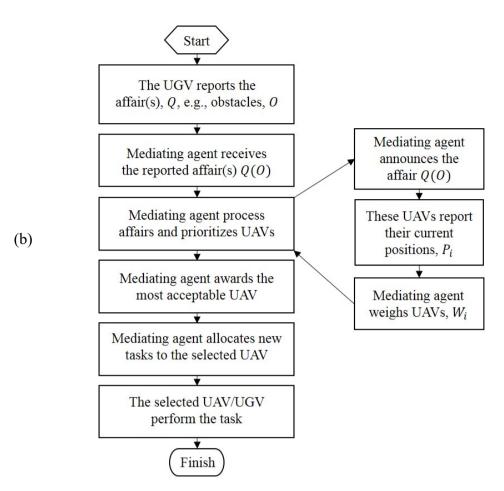


Fig. 12 Information exchange mechanism for the coordination algorithms

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Fig. 13 shows the pseudo-code that explains the logical sequence for path planning 518 of a single UAV taking account of the information exchange with a mediating agent. 519 The algorithm requires a starting point, a target position, and an initial number of time 520 steps for calculating the initial neural activity. To begin with, the neural network is 521 constructed and initialized according to the grid map of an indoor space, in which the 522 neural activity of the target neuron is assigned as one, whereas other neurons are set as 523 zero. Before the UAV flies, the neural activities are promptly updated to generate a 524 larger gradient for neurons near the starting point. This is because neurons near the 525 starting point are usually far from the target and have neural activities of zero. Therefore 526 their neural activities require multiple updates to generate a larger gradient for the UAV 527 to determine its movement. A larger gradient of neural activities helps UAVs to 528 navigate more easily. With a grid map represented by different neural activities, UAV 529 starts to move around the indoor space to collect the imagery data. 530

The UAV progressively checks the position of the current neuron, and if its current
neuron reaches the target, the inspection task is completed. Otherwise, UAV attempts

to find and move to the next neuron with the largest neural activity by exploring and comparing the value of its current neuron with neighboring neurons. After UAV arrives its next neuron, the neural network is updated. This process iterates until the UAV arrives at the target. During its flying process, the built-in camera of UAV records the condition of surrounding facilities and indoor spaces by taking images or video clips, which are sent to the mediating agent through UDP.

When it receives an affair message from the mediating agent, the UAV reports its 539 540 current position  $(P_i)$ . Based on the current grid map  $(G_E)$  and target position  $P_t =$  $(x_t, y_t)$ , the mediating agent weighs the current position of UAV  $(P_i)$  and its eligibilities 541  $(\omega_i)$ , which are used to assign the new inspection task. After UAV completes the current 542 inspection, the UAV can leverage the new grid map  $(G_E')$  and target  $P_t'$  to generate 543 the new waypoints (using enhanced SSTM) and compute the corresponding neural 544 network for navigation. The control algorithm for dual UAVs is similar to that of a 545 single UAV, except that there are two starting points, two targets, and two neural 546 547 networks. Updates of neural activities are performed according to the enhanced SSTM model in this paper to avoid potential collision in cluttered environments. Fig. 14 548 549 describes the pseudo-code for dual UAVs, which explains its procedures explicitly.

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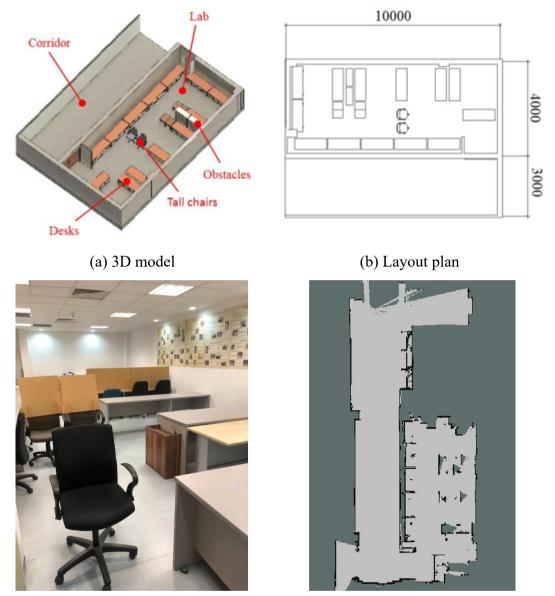
Algorithm 1 Pseudo-code for Path Planning of UAV			
1	This algorithm aims for path planning of a single UAV		
2	Procedure:		
3	<b>Input:</b> Starting position $(x_s, y_s)$		
4	<b>Input:</b> Target: $(x_t, y_t)$		
5	<b>Set:</b> The initial number of time steps each of which is time interval $\Delta t$		
6	Initialize: Neural network		
7	repeat		
8	for Each time step do		
9	Update the neural activities of the neural network		
10	end for		
11	until it reaches the predefined initial number		
12	UAV starts to work from the starting position		
13	for UAV do		
14	Obtain its current neuron		
15	if its current neuron is NOT the target then		
16	Compare the neural activities of its neighboring neurons		
17	Find the neuron with the largest neural activity		
18	Move to that neuron while taking videos of the environment		
19	Update the neural activities of the neural network		
20	end if		
21	if receiving affair(s) message $Q$ from the mediating agent then		
22	Report the updated position $(x_i, y_i)$		
	24		

23	end if
24	if receiving an award announcement from the mediating agent then
25	
26	Start to perform the task allocated by the mediating agent
27	else
28	Stores the task allocated by the mediating agent
29	end if
30	
551	Fig. 13 Proposed SSTM algorithm for path planning of UAV considering the
552	information exchange with the mediating agent
553	
	gorithm 2 Pseudo-code for Dual UAVs Path Planning
1	This algorithm aims to coordinate two UAVs in a sense that they can navigate
C	without colliding with obstacles and the other UAV <b>Procedure:</b>
2 3	
	<b>Input:</b> Starting position $(x_{s1}, y_{s1}), (x_{s2}, y_{s2})$
4 5	<b>Input:</b> Target: $(x_{t1}, y_{t1}), (x_{t2}, y_{t2})$
5	Set: The initial number of time steps each of which is time interval $\Delta t$ Initialize: Neural network
0 7	for Each UAV do
8	
8	repeat for Each time step do
10	1
10	1
11	
12	1
13	
15	
16	
17	
18	8
19	
20	8
21	Update the neural activities of the neural network
22	
23	end for
554	Fig. 14 Proposed SSTM algorithm considering the coordination of dual UAVs
555	

## 556 **4. EXPERIMENT**

## 557 4.1. Experimental Environment

To elaborate the proposed MARS, field experiments are conducted on the construction technology laboratory at National University of Singapore. **Fig. 15** shows the 3D model, layout plan, picture, and occupancy map of the laboratory. Three different scenarios are tested separately, which are single UAV, dual UAVs, andcombined UAV-UGV for data collection.





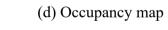




Fig. 15 Overview of the Construction Technology Laboratory

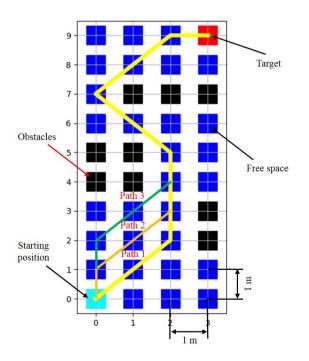
564

## 565 4.2. Indoor Navigation for Single UAV

The UAV used in the experiment is DJI Robomaster TT. The overall size of the grip map for UAV is four meters (width) and ten meters (length). The size of each grid is set as one meter. Such a grid map reduces the computational time required for simulation while achieving satisfactory accuracy of path planning. The neural network is constructed as a  $4 \times 10$  grid, where the neural activities for all the grids are assigned as zero except that the target is set as one. Parameters of the enhanced SSTM model are

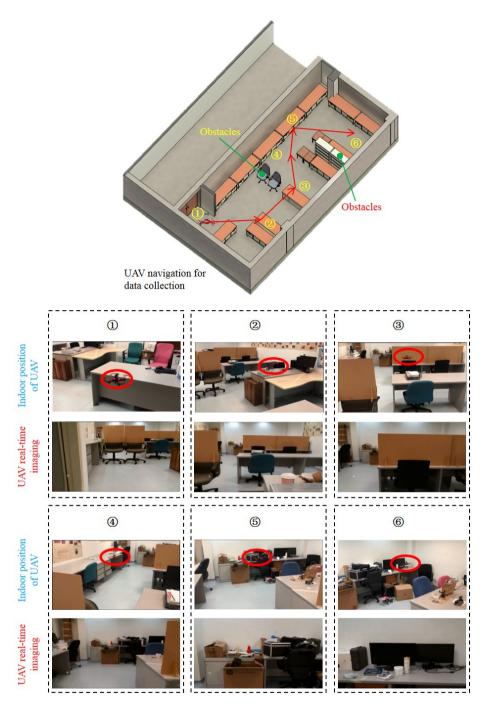
defined for path planning optimization (i.e., A = 20, B = 1, D = 1;  $\mu = 0.7$ ,  $\beta = 1$ 572 for lateral connection; E = 50 for external inputs; C = 20 for added inhibitory term; 573 and  $\Delta t = 0.01$  for the interval of updating). The simulation result is shown in Fig. 16. 574 The blue grids are free spaces where the UAV can move freely. The dark, light blue 575 and red grids represent obstacles, starting position, and target, respectively. There are 576 three alternative shortest paths from the starting position to the target without collision, 577 which are denoted as Paths 1, 2, and 3 in Fig. 16. While all the three paths are the 578 optimum, Path 1 is selected as the navigation trajectory for the UAV to move to the 579 580 target.

To demonstrate the feasibility of indoor navigation, a field experiment is conducted. 581 Fig. 17 shows the flight trajectory of UAV (highlighted by a red polyline in the 3D 582 model) and the real-time imagery data and video clips collected by the UAV. The UAV 583 automatically flies from the starting position to the target and avoid all the obstacles in 584 compliant with the optimized Path 1 (in Fig. 16), which is derived from the enhanced 585 SSTM. The results indicate that the proposed MARS can satisfactorily generate an 586 optimal flight path for UAV to move and collect imagery data within a cluttered 587 588 environment which contains many obstacles.



590 591

Fig. 16 Path planning for a single UAV



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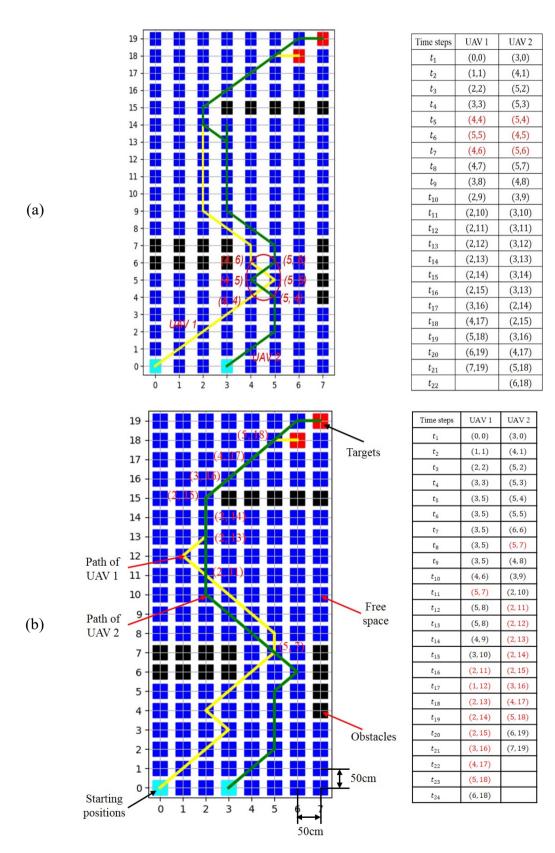
Fig. 17 Indoor navigation and data collection of a single UAV

594

## 595 **4.3 Indoor Navigation for Dual UAVs**

In the second experiment, dual UAVs are tested on an 8×20 grid map and the grid size are 50×50 cm. Based on the created grid map, the neural network is constructed with the same configuration containing 8×20 neurons. The parameters of the enhanced SSTM are defined to support path planning of dual UAVs (i.e., A = 50, B = 1, D =1;  $\mu = 0.7$ ,  $\beta = 1$  for lateral connection; E = 100 for external inputs; C = 20 for added inhibitory term; and  $\Delta t = 0.01$  for interval of updating). To verify that our enhanced SSTM has advantages for multi-robot path planning, another simulation using the original SSTM model is carried out. **Fig. 18 (a)** and **Fig. 18 (b)** show the path planning results using original and enhanced SSTM models, respectively.

As shown in Fig. 18 (a), the two UAVs may collide during  $t_5$ - $t_7$  when UAV1 flies 605 from (4,4) to (5,5) and UAV2 flies from (5,4) to (4,5). Since the UAVs fly at 606 the same height level, they have a high possibility to collide with each other. The same 607 problem occurs when UAV1 flies from (5,5) to (4,6) while UAV2 flies from 608 (4,5) to (5,6). The results indicate that the original SSTM model cannot guarantee a 609 safe indoor path planning and navigation for multiple devices in cluttered environments. 610 611 Fig. 18 (b) shows the results generated from the enhanced SSTM. UAV1 moves from its starting position (0,0) to the target (6,18), following its optimized trajectory 612 highlighted in yellow polyline. UAV2 starts from (3,0) and follows an alternative 613 trajectory (green polyline) to the target (7, 19). Both UAVs do not run into obstacles 614 in the laboratory. In the 2D grid map, their flight paths are overlapped at coordinates 615 (5,7), (2,11), (2,13), (2,14), (2,15), (3,16), (4,17), and (5,18). However, 616 these do not imply any collisions because UAV1 and UAV2 arrive on these positions 617 at different time steps, as shown in the table of Fig. 18 (b). The comparative analysis 618 also proves that our proposed mathematical formulation to SSTM model is necessary 619 and useful to cater for multi-robot indoor navigation. 620



**Fig. 18** Path planning for dual UAVs using (a) original and (b) enhanced SSTM

623

Field experiment is conducted to illustrate the feasibility of UAVs indoor
 navigation, Fig. 19 shows the flight trajectories of two UAVs (highlighted by red and 30

926 yellow polylines in the 3D model) and the real-time imagery data and video clips 927 collected by the UAVs. The UAVs fly from the starting positions to the corresponding 928 targets in compliance with the optimized Paths (in **Fig. 18**) without colliding with any 929 obstacles. The results indicate that the proposed MARS can simultaneously generate 930 two optimal flight paths for collecting UAV imagery data in cluttered environments. 931

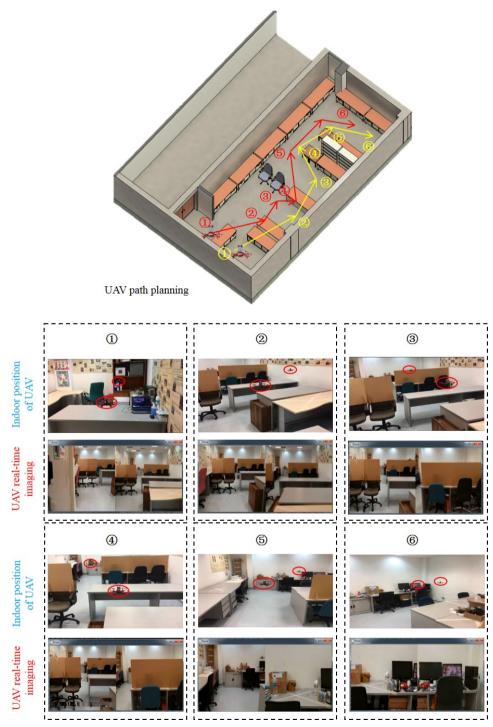




Fig. 19 Indoor navigation and data collection of dual UAVs

#### 634 **4.4 Indoor Navigation for Combined UAV-UGV**

The third experiment tests the application of the proposed MARS for controlling 635 UAV and UGV. In this experiment, the UGV is equipped with a 2D LiDAR, a scanning 636 sensor and a platform to carry the UAV. Path planning optimization is performed using 637 enhanced SSTM. The UGV first moves from its starting position on the corridor into 638 the research laboratory. In this process, the UGV takes RGB-D images and generates 639 3D point clouds using RTABMAP. Fig. 20 shows the real-time 3D reconstructed scene 640 of the corridor and a portion of the laboratory when UGV moves along its optimized 641 path and collects imagery data. To test multi-robot collaboration, a chair is placed at 642 the laboratory entrance, which prevents the UGV from entering the room (see position 643 3 in Fig. 21). In such a situation, the UGV communicates with the mediating agent and 644 reports an affair requesting the UAV to continue scanning within the laboratory. The 645 mediating agent sends the current grid map  $(G_E)$  and target position  $P_t = (x_t, y_t)$  to 646 the UAV to conduct path planning optimization, which supports the UAV to 647 automatically flies within the laboratory for collecting image data. Fig. 21 shows the 648 UAV's flight trajectory (highlighted by a red polyline), and the real-time imagery data 649 and video clips collected by the UAV. The above process is automatic without human 650 intervention. The experimental result shows that the proposed new MARS can 651 potentially support coordination between UAV-UGV toward more automated sensory 652 data collection. Besides, different forms of information such as images and 3D point 653 654 clouds can be collected using MARS.

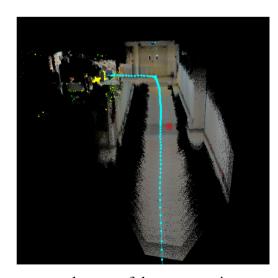
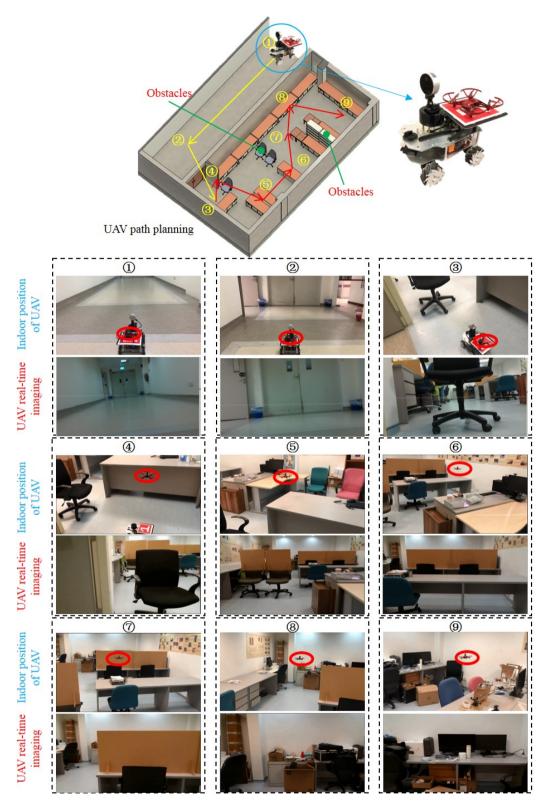




Fig. 20 3D reconstructed scene of the construction research laboratory



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## Fig. 21 Indoor navigation and data collection using combined UAV-UGV

## 661 5. CONCLUSIONS

662 This paper presents a new MARS to automate indoor sensory data collection in 663 cluttered environments. The proposed MARS consists of a new system architecture

which defines the attributes and data requirements to support UAV-UGV path planning 664 and indoor navigation. The connection between UAV, UGV, sensing devices, and 665 mediating agents is established. An enhanced SSTM model is proposed to optimize 666 UAV-UGV path planning toward more efficient data collection. The feasibility of path 667 planning for UAV and UGV is verified using simulation and LiDAR-based approaches, 668 respectively. A coordinating control algorithm, including an information exchange 669 mechanism, is developed to resolve the potential conflict and promote coordination 670 between UAV and UGV for automated data collection. Finally, three field experiments 671 are conducted to verify and demonstrate the performance of the proposed MARS. The 672 experiment results show that imagery data and 3D point clouds can be collected using 673 the proposed MARS, which is one of the advantages compared to just using UAV/UGV. 674

This study provides new insights into automated sensory data collection in 675 cluttered environments. Firstly, it is possible to construct a UAV-UGV system for 676 automatic data collection in a cluttered indoor environment. Secondly, multiple types 677 of sensory data can be collected using a UAV-UGV system, which is beneficial for 678 facility management. Thirdly, a UAV-UGV system can process the collected data in 679 real-time using a low computational complex platform, which is helpful for real-time 680 facility inspection. The present study is one of the early attempts to introduce MARS 681 682 into indoor navigation of UAV/UGV for automated data collection, but it shows the potential for revolutionizing data collection and indoor inspection. 683

However, this study has certain limitations. The positioning of UAVs relies on the 684 onboard visual positioning system, which is less accurate. In addition, 2D navigation 685 with the assumption of a fixed UAV flying height is considered in indoor navigation. 686 Future work for this study shall include integrating advanced localization techniques, 687 such as visual SLAM, into the MARS for more accurate indoor localization. 688 Furthermore, different kinds of robotic devices equipped with various sensors will be 689 leveraged in the future. Algorithms dealing with 3D cooperative navigation shall be 690 developed to use different robots for indoor inspection. 691

692

#### 693 ACKNOWLEDGEMENTS

This research was funded by NUS Start-up Grant (No. R-296-000-233-133). Any opinions and findings are those of the authors and do not necessarily reflect the views of the grantor.

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