

# **VO<sub>2</sub>/ZnO bilayer films with enhanced thermochromic property and durability for smart windows**

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**Abstract:**

VO<sub>2</sub> films are widely considered as one of the most suitable material to act as smart windows. Although this system is able to function, the durability of the film has been an issue as the surface of the films may oxidize by converting V<sup>4+</sup> to V<sup>5+</sup>. To overcome this problem, attempt is made to coat the VO<sub>2</sub> film with ZnO, which can assist by creating a resistance layer to prevent further oxidation of VO<sub>2</sub>. Here, VO<sub>2</sub>/ZnO bilayer film was prepared by a facile method comprised of spin-coating and dip-coating process and shows excellent durability, and in particular. the solar modulation efficiency ( $\Delta T_{\text{sol}}$ ) maintaining ca 89.9% (from 17.8% to 16.0%) after 300 days in a humid environment, however, the  $\Delta T_{\text{sol}}$  of pure VO<sub>2</sub> film is decreased from 11.8% to 4.1%. Also, the VO<sub>2</sub>/ZnO bilayer exhibits an enhanced thermochromic property of visible transmittance ( $T_{\text{lum}}=55.7\pm 2.1\%$ ) and  $\Delta T_{\text{sol}}$  ( $17.1\pm 1.4\%$ ) which is 1.49 times higher than that of pure VO<sub>2</sub> film ( $\Delta T_{\text{sol}} = 11.5\pm 0.4\%$ ). The enhancement in the thermochromic performance and durability is probably attributed to the anti-reflection and protection of ZnO layer. Therefore, this work can provide an effective way to optimize thermochromic property for practical application of VO<sub>2</sub>-based smart windows.

**Keywords:** VO<sub>2</sub>/ZnO bilayer film, durability, thermochromic, smart windows

## 1. Introduction:

Recent years, energy crisis has been one of the greatest challenges faced by human society and therefore the research on energy saving has attracted more attention. It is reported that 40% of total energy consumption in the world comes from energy consumption in buildings [1], and the use of temperature controlling device in terms of cooling and heating accounts for 40-60% of a building's total energy consumption [2]. At the same time, the normal window also results in a large energy wastage due to its poor thermal insulation performance. To reduce the energy consumption, the smart window with good solar heat control ability in response to an external stimulus such as electricity [3-5], reactive gases [6], light [7,8] or heat [9-11] are attracting much interests. Thermochromic windows are one of the most typical system widely considered for solar energy regulation. Vanadium dioxide ( $\text{VO}_2$ ) is one of the ideal material for thermochromic windows, widely investigated, because it undergoes a reversible metal to insulator phase transition (MIT) at the critical temperature ( $T_c$ ) of 68 °C (bulk) accompanied by a dramatic change in the near-infrared (NIR) region which is transparent to NIR light below  $T_c$  while translucent to NIR light above  $T_c$  [12].

At present, most of the work on  $\text{VO}_2$ -based thermochromic film for smart windows have been focused on the improvement in its thermochromic performance such as increasing luminous transmittance ( $T_{\text{lum}}$ ) [11,13,14], enhancing solar regulation efficiency ( $\Delta T_{\text{sol}}$ ) [13-17] and reducing phase transition temperature ( $T_c$ ) [18-20]. Whilst these aspects are very important, at the same time the durability of  $\text{VO}_2$ -based smart window is less investigated as it is also a vital property for long term usage. As we know,  $\text{VO}_2$  particles in films will be naturally oxidized by the oxygen in air to form more stable  $\text{V}_2\text{O}_5$  on their surface [21-23], leading to the deterioration of MIT property [24,25]. In order to improve the durability of  $\text{VO}_2$ , core-shell structures have been investigated recently with  $\text{VO}_2$  cores surrounded by shells comprised of  $\text{SiO}_2$  [23,26,27],  $\text{TiO}_2$  [28],  $\text{AlO}_x$  [22],  $\text{ZnO}$  [29],  $\text{MgF}_2$  [30] serving as chemical protective barrier. Although this method can enhance the durability of  $\text{VO}_2$  obviously, it does not meet the needs for our daily life operations as their preparation process is very complicated. In addition, the oxidation process of  $\text{VO}_2$  in air, in these systems has not been addressed clearly. Therefore, it is of great importance to understand the role

of protective layer with VO<sub>2</sub> that may prevent oxidation in air and find a facile way to enhance the durability of VO<sub>2</sub> based films.

In this work, we report the preparation of a porous VO<sub>2</sub>/ZnO bilayer film (ZnO film used as buffer layer, anti-reflective layer and protection layer) by a facile method involving of spin-coating and dip-coating processes. The bilayer film prepared by these methods shows excellent durability that the  $\Delta T_{\text{sol}}$  keeps 89.9% (from 17.8% to 16.0%) after 300 days in a humid environment while  $\Delta T_{\text{sol}}$  of pure VO<sub>2</sub> film is obviously decreased from 11.8% to 4.1%. In addition, the surface compositions of VO<sub>2</sub> film and VO<sub>2</sub>/ZnO bilayer film after 300 days were characterized by XPS to investigate the oxidation process of VO<sub>2</sub>. The enhancement in the durability of VO<sub>2</sub>/ZnO bilayer film is probably attributed to the electron transfer from ZnO to VO<sub>2</sub>. In addition, the obtained VO<sub>2</sub>/ZnO bilayer film exhibited excellent thermochromic performance with  $\Delta T_{\text{sol}}$  of 17.1% and  $T_{\text{lum}}$  of 55.7%, which is better than the VO<sub>2</sub>-based film in most previous works.

## 2. Experimental Section

### 2.1. The preparation of VO<sub>2</sub> nanoparticles

All reagents were purchased from the Sinopharm Chemical Reagent Co., Ltd., and were used without further purification. According to our previous work [20,31], porous VO<sub>2</sub> nanocrystals were prepared by the following simple hydrothermal method with cotton as macro template. 0.4 g (0.0022 mol) of vanadium pentoxide (V<sub>2</sub>O<sub>5</sub>) and 0.832 g (0.0066 mol) of oxalic acid were dissolved in 30 mL of deionized water, and then 30 mL of ethylene glycol was added to increase the viscosity of the solution. As the cotton degrades the acidic solutions, 1 mL of ammonia was added to adjust the pH to *ca.* 7. The solution and 0.5 g of cotton were transferred to a 100 mL Teflon-lined stainless-steel autoclave. The autoclave was maintained at a temperature of 180 °C for 20 h and then cooled to room temperature naturally. The black product was separated and washed with water and ethanol, and then dried in air at 60 °C for 20 h. In order to remove the template to get porous powders, the product was heated in air at 400 °C for 1 h (ramp rate *ca.* 3 °C min<sup>-1</sup>). Finally, 0.2 g of the obtained mesoporous V<sub>2</sub>O<sub>5</sub> and 0.1 g of NH<sub>4</sub>HCO<sub>3</sub> were placed in a vacuum tube furnace for 450 °C for 30 min. Ammonia gas produced from the decomposition of NH<sub>4</sub>HCO<sub>3</sub> reduced V<sub>2</sub>O<sub>5</sub> to VO<sub>2</sub> and thus the porous VO<sub>2</sub> nanocrystals can be obtained. The

porous VO<sub>2</sub> structure was detailed characterized by SEM, TEM, HRTEM and BET methods in our previous works [20, 31]. In addition, the preparation process showed good repeatability. In this sense, the obtained porous VO<sub>2</sub> structure was not characterized by the above methods here.

## **2.2. The preparation of ZnO film**

According to our previous work [32], the ZnO films were prepared on the glass surface by dip-coating method. 5.4876 g of zinc acetate dihydrate (Zn(CH<sub>3</sub>COO)<sub>2</sub>·2H<sub>2</sub>O) was dissolved in 50 mL of methanol. Then 1.5 mL of ethanolamine was added into the above solution and the solution was stirred for 24 h to get a transparent sol. The sol was used to prepare a film on the glass surface by dip-coating method with the dipping time of 3 min. The film was put on the hot plate at 350 °C for 60 min. After dip coating for three times, the film was heated in the furnace at 350 °C for 60 min to get transparent ZnO film.

## **2.3. The preparation of VO<sub>2</sub>/ZnO bilayer film**

0.5 g of VO<sub>2</sub> nanoparticles were dispersed in ethanol that contained 0.25 g of PVP by grinding for 8 h. This solution was then sonicated to ensure good mixing. After centrifugation at 8000 rpm, a suspension was formed. This suspension was then uniformly cast onto the above ZnO film by spin-coating at the speed of 500 rpm for 10 s and then 1000 rpm for 20 s. Finally, the ethanol was removed by drying in an oven at 80 °C for 1 min, yielding VO<sub>2</sub>/ZnO bilayer film.

## **2.4. Characterization**

The crystalline phase of the obtained film was determined by X-ray diffraction (XRD, D8Advance, CuK $\alpha$ ,  $\lambda = 0.154178$  nm produced under a 3 kW output power). The morphology and the thicknesses of films were examined by a field emission scanning electron microscopy (SEM, JSM-5610LV, Japan). The surface structure of the films was characterized by a Raman measurement (Raman, LABRAN HR Evolution, HORIBA). The surface compositions of the films were determined by X-ray photoelectron spectroscopy (XPS, ESCALAB 250Xi, Thermo Fisher). The thermochromic properties of the films from 300 to 2500 nm was measured with ultraviolet–visible–near-infrared spectrophotometer (UV–Vis–NIR, UV-3600) at the temperature of 20 °C and 90 °C, respectively.

The integrated luminous transmittance ( $T_{lum}$ , 380-780 nm) and solar transmittance ( $T_{sol}$ , 300–2500 nm) were obtained from the following equation.

$$T_{lum/sol} = \int \varphi_{lum/sol}(\lambda)T(\lambda)d\lambda / \int \varphi_{lum/sol}(\lambda)d\lambda$$

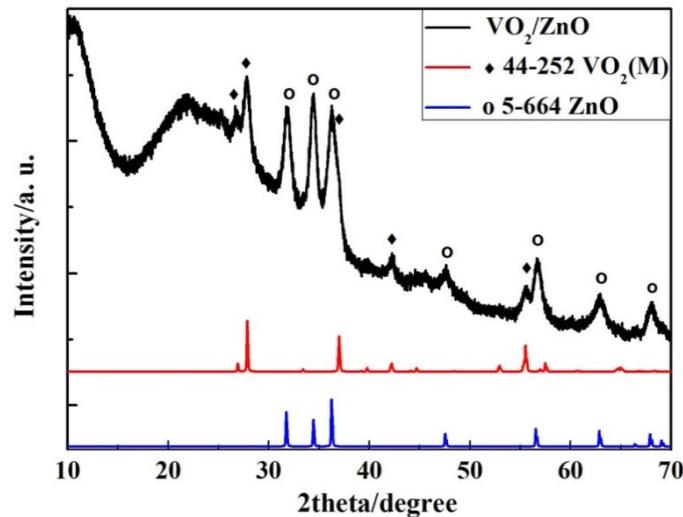
Where,  $T(\lambda)$  denotes film transmittance at a wavelength ( $\lambda$ ),  $\varphi_{lum}(\lambda)$  is the standard luminous efficiency function for the photopic vision of human eyes,  $\varphi_{sol}(\lambda)$  is the solar irradiance spectrum for air mass 1.5 corresponding to the sun standing  $37^\circ$  above the horizon [33,34].  $\Delta T_{sol}$  is attained from:

$$\Delta T_{sol} = T_{sol}(20^\circ\text{C}) - T_{sol}(90^\circ\text{C})$$

### 3. Results and discussion

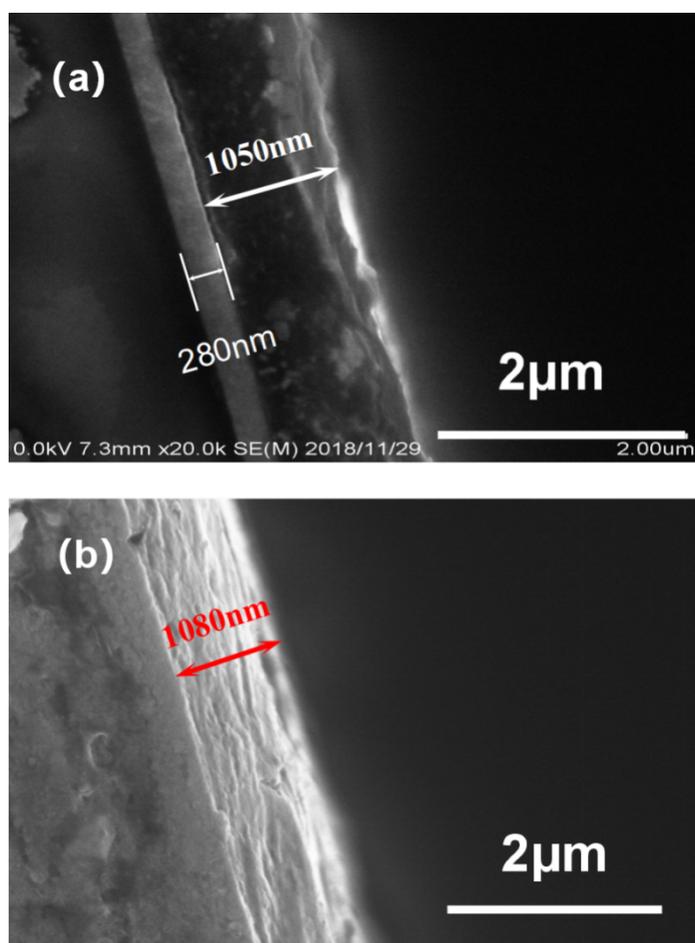
#### 3.1. The phase compositions of VO<sub>2</sub>/ZnO bilayer film

To confirm the phases presented in the obtained bilayer film, XRD characterization was carried out at room temperature and the result is shown in Fig. 1. It can be seen that some diffraction peaks belong to ZnO (JCPDS no.5-664) and the other diffraction peaks are indexed to M-phase VO<sub>2</sub> (JCPDS no. 44-252), indicating that the obtained film is composed of ZnO and VO<sub>2</sub>. Furthermore, there are no diffraction peaks which can be indexed to other compounds, implying that there are no other compounds in the bilayer film; the large amorphous background is from glass substrate.



**Fig. 1.** XRD patterns of the obtained bilayer film.

Fig. 2a shows the representative cross-section SEM image for the bilayer film, where a bilayer structure can be clearly observed. The thickness of top VO<sub>2</sub> layer is estimated to be 1150 nm. The bottom layer with a thickness of 280 nm is ZnO layer. On the top of the VO<sub>2</sub> layer, there is another thin layer which color is similar to that of ZnO layer. The thin film exhibited dozens of nanometers in the thickness but is not uniform like the ZnO layer. Because SEM images were taken after the prepared film was kept for several days, some ZnO nanocrystals were formed on the top layer after the film preparation processes. The cross-section SEM image for VO<sub>2</sub> film is presented in Fig. 2b. It can be seen that the film thickness is about 1080 nm, which is close to the thickness of VO<sub>2</sub> layer in bilayer film. In addition, the ZnO film is uniform and compact, but the VO<sub>2</sub> layer is uneven. This is because that VO<sub>2</sub> layer is composed of VO<sub>2</sub> particles and PVP.



**Fig. 2.** Cross-section SEM images of (a) VO<sub>2</sub>/ZnO bilayer film and (b) VO<sub>2</sub> film.

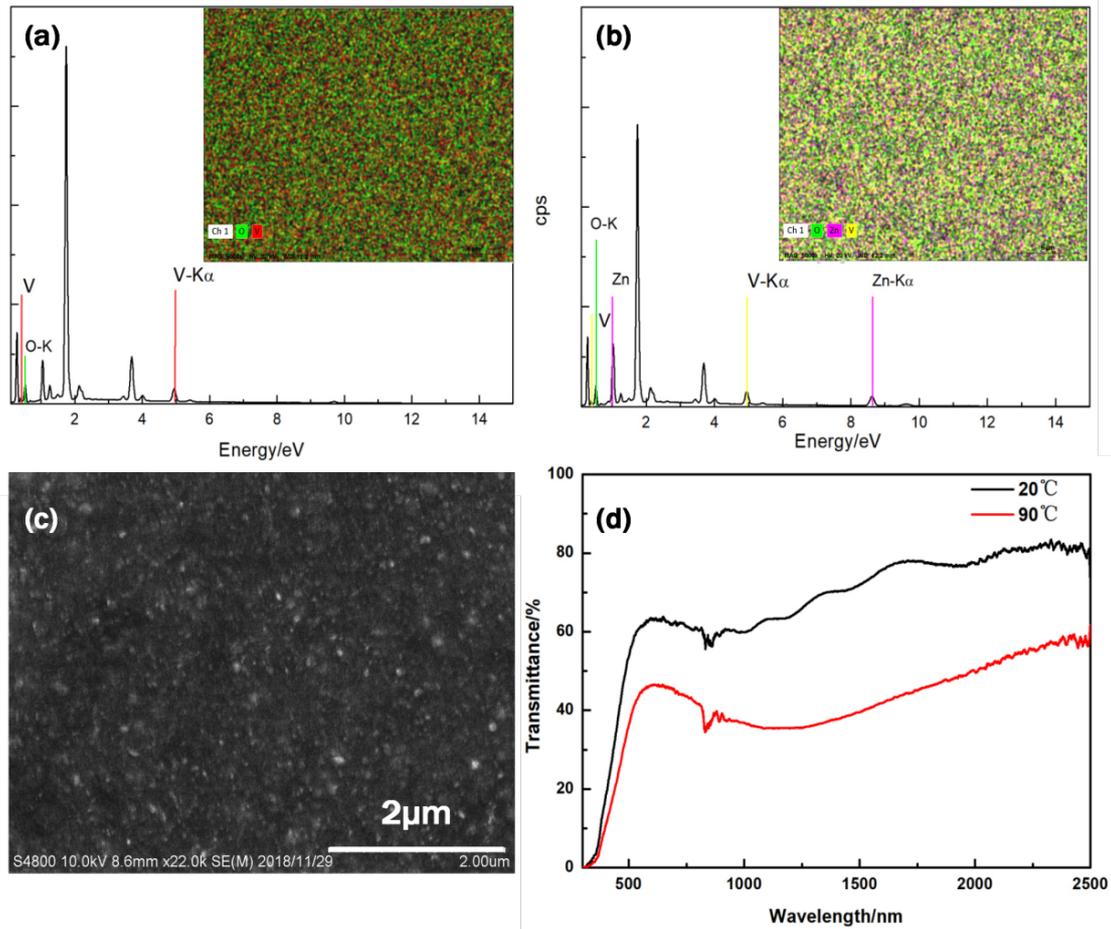
To determine the elemental composition of films, EDS measurements were employed and the results are shown in Fig. 3a, b. It can be seen that V, O and Zn elements are uniformly dispersed in

the bilayer film and similarly the V and O elements are also distributed evenly in the VO<sub>2</sub> film. The SEM image for the surface morphology of the bilayer film is presented in Fig. 3c, the white dots are likely VO<sub>2</sub> grains. Fig. 3d presents the solar transmittances of the VO<sub>2</sub>/ZnO bilayer film at different testing temperatures. Obviously, the VO<sub>2</sub>/ZnO bilayer film exhibits high luminous transmittance ( $T_{lum}$ ) of 59.9% at 20 °C and a large difference in the solar transmittance ( $\Delta T_{sol}$ ) of 19.6% between 20 °C and 90 °C. Such thermochromic properties are more excellent than that of most previous works [21-23,27,29,31,33-35], and detailed performance are summarized in Table 1.

**Table 1** Comparison between this work with some recently reported VO<sub>2</sub> based films

| VO <sub>2</sub> films   | $T_{lum}$ (%) | $\Delta T_{sol}$ (%) | Ref.      |
|---|---------------|----------------------|-----------|
| VO <sub>2</sub> /ZnO bilayer film   | 59.9          | 19.6                 | This work |
| ZnO/VO <sub>2</sub> nanofilm  | 50.3          | 12.2                 | 21        |
| VO <sub>2</sub> /Al-O core-shell structure  | -             | 9.62                 | 22        |
| VO <sub>2</sub> @SiO <sub>2</sub> /PU composite film                                  | 55.3          | 7.5                  | 23        |
| VO <sub>2</sub> @SiO <sub>2</sub> composite film                                      | 71.02         | 14.3                 | 27        |
| VO <sub>2</sub> @ZnO based film   | 51.0          | 19.1                 | 29        |
| Mesoporous VO <sub>2</sub> based film   | 56.0          | 12.9                 | 31        |
| Double side VO <sub>2</sub> film  | 68.2          | 11.7                 | 33        |
| 3D ordered macroporous VO <sub>2</sub> films  | 71.7          | 4.7                  | 34        |
| V <sub>1-x</sub> W <sub>x</sub> O <sub>2</sub> /WO <sub>3</sub> composite based films | 60.8          | 9.7                  | 35        |

“-” denotes not mentioned



**Fig. 3.** EDS spectra of (a) VO<sub>2</sub> film and (b)VO<sub>2</sub>/ZnO bilayer film, the inset is element mapping of the films, (c) the SEM image of VO<sub>2</sub>/ZnO bilayer film, and (d) transmittance spectra in the range from 300 nm to 2500 nm for the VO<sub>2</sub>/ZnO film at 20 °C and 90 °C respectively.

### 3.2. Optical properties of VO<sub>2</sub>/ZnO bilayer film

To determine the repeatability and reproducibility of preparing VO<sub>2</sub>/ZnO bilayer film, VO<sub>2</sub> film samples were prepared again, three more times, using identical procedures. The calculated optical performance ( $T_{lum}$  and  $\Delta T_{sol}$ ) is summarized in Table 2. It can be seen that the luminous transmittance of bilayer film is not as good as that of VO<sub>2</sub> films, ranging from 52.0% to 58.0%, and the average luminous transmittance is 55.7% (20 °C), while the average luminous transmittance of VO<sub>2</sub> film is 59.2%. Nevertheless, the solar modulation efficiency is improved and the determined average solar modulation efficiency is 17.1% which indicates that  $\Delta T_{sol}$  of bilayer films was improved by 49.0% compared with that of VO<sub>2</sub> film ( $\Delta T_{sol}$ =11.5%). The results suggest that the bilayer film exhibits enhanced solar modulation efficiency with remaining high visible

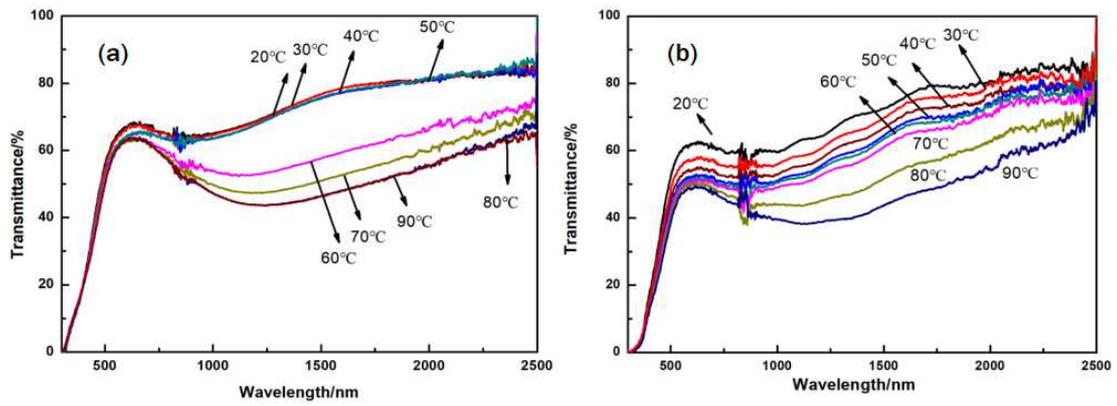
transmittance.

**Table 2** Optical properties of different VO<sub>2</sub>/ZnO bilayer films and VO<sub>2</sub> films prepared by the same method.

| sample                               | $T_{lum}$ (%)                  |                                | $T_{sol}$ (%)                  |                                | $\Delta T_{sol}$ (%)           |
|--------------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|
|                                      | 20 °C                          | 90 °C                          | 20 °C                          | 90 °C                          |                                |
| bilayer film S1                      | 58.7                           | 45.6                           | 56.7                           | 40.7                           | 16.0                           |
| bilayer film S2                      | 56.1                           | 48.3                           | 57.5                           | 41.7                           | 15.8                           |
| bilayer film S3                      | 52.1                           | 41.9                           | 52.4                           | 35.0                           | 17.4                           |
| bilayer film S4                      | 55.9                           | 39.5                           | 53.7                           | 34.4                           | 19.3                           |
| <b>average<math>\pm\sigma</math></b> | <b>55.7<math>\pm</math>2.1</b> | <b>43.8<math>\pm</math>3.4</b> | <b>55.1<math>\pm</math>2.1</b> | <b>38.0<math>\pm</math>3.3</b> | <b>17.1<math>\pm</math>1.4</b> |
| VO <sub>2</sub> film S1              | 60.4                           | 55.2                           | 58.4                           | 46.6                           | 11.8                           |
| VO <sub>2</sub> film S2              | 55.9                           | 54.5                           | 57.9                           | 46.2                           | 11.7                           |
| VO <sub>2</sub> film S3              | 61.3                           | 59.6                           | 62.8                           | 51.9                           | 10.9                           |
| <b>average<math>\pm\sigma</math></b> | <b>59.2<math>\pm</math>2.4</b> | <b>56.4<math>\pm</math>2.3</b> | <b>59.7<math>\pm</math>2.2</b> | <b>48.2<math>\pm</math>2.6</b> | <b>11.5<math>\pm</math>0.4</b> |

In order to further investigate the thermochromic property of VO<sub>2</sub>/ZnO bilayer film, the transmittance spectra were obtained at different testing temperatures from 20 °C to 90 °C (interval was 10 °C) for VO<sub>2</sub> film and VO<sub>2</sub>/ZnO bilayer film and shown in Fig. 4. It can be seen in Fig. 4a that the near-infrared transmittance of porous VO<sub>2</sub> films (>780 nm) remained almost unchanged from 20 °C to 50 °C (> 780 nm) but decreased significantly when the temperature was increased further up to 60 °C. This was probably due to the MIT transition of VO<sub>2</sub> at 68 °C. In contrast, Fig. 4b shows the near-infrared transmittance of VO<sub>2</sub>/ZnO bilayer film (>780 nm) which decrease gradually from 20 °C to 90 °C, there is no abrupt change that seen in mono layer VO<sub>2</sub> films observed for the bilayer film. In addition, the difference at the visible light region of bilayer film is much larger than VO<sub>2</sub> film, which indicates that the structure of bilayer film may have a certain ability to regulate the visible transmittance at different temperatures. The specific optical performance data is shown in Table 3. It can be seen that  $\Delta T_{sol}$  of VO<sub>2</sub> film is only 1.4% at 50 °C, which indicates that there are nearly no differences in the visible and near-infrared light region at low temperatures (<50 °C). But  $\Delta T_{sol}$  of the bilayer film is 8.4% at 50 °C, which indicates that

VO<sub>2</sub>/ZnO bilayer film showed a certain phase transition at this temperature. This may be due to the surface defects of ZnO films, such as oxygen vacancy with a shallow energy level which can be excited at low temperature (confirmed by the film resistance-temperature curve in Fig. S1, in which ZnO film showed decreased resistance with increasing the temperature and that is probably due to the increased electrons concentration in the ZnO caused by the thermal excitation of surface defects.) [36], leading to an increase in the electrons in the film and thus a decrease in the solar transmittance of the film. Meanwhile the phase transition process of VO<sub>2</sub> onset at lower temperatures. In addition,  $\Delta T_{\text{sol}}$  of the bilayer film between 20 °C and 90 °C is 16.0%, while that of VO<sub>2</sub> film is 11.3%, which show the enhancement of  $\Delta T_{\text{sol}}$  for bilayer film.



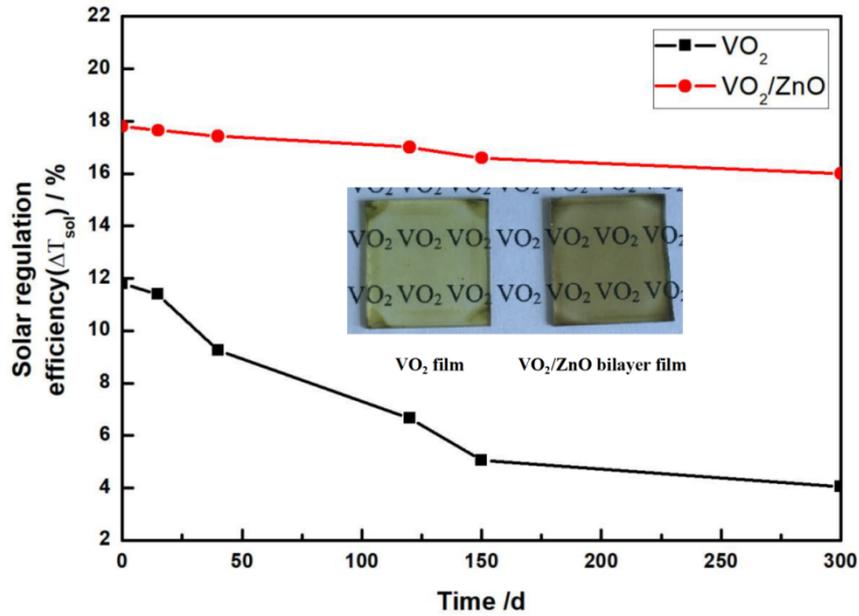
**Fig. 4.** Transmittance spectra in the range from 300 nm to 2500 nm for (a) VO<sub>2</sub> film and (b) VO<sub>2</sub>/ZnO bilayer film at 20 °C and 90 °C.

**Table 3** Optical properties of VO<sub>2</sub>/ZnO bilayer film and VO<sub>2</sub> film at different temperatures from 20 °C to 90 °C.

| Temperature (°C) | VO <sub>2</sub> /ZnO bilayer film |                             | VO <sub>2</sub> film |                             |
|------------------|-----------------------------------|-----------------------------|----------------------|-----------------------------|
|                  | $T_{\text{lum}}$ (%)              | $\Delta T_{\text{sol}}$ (%) | $T_{\text{lum}}$ (%) | $\Delta T_{\text{sol}}$ (%) |
| 20               | 58.7                              | -                           | 63.1                 | -                           |
| 30               | 54.4                              | 3.5                         | 62.2                 | 0.7                         |
| 40               | 51.4                              | 6.2                         | 60.4                 | 1.6                         |
| 50               | 49.4                              | 8.4                         | 60.6                 | 1.4                         |
| 60               | 48.4                              | 9.4                         | 59.0                 | 7.5                         |
| 70               | 47.8                              | 10.4                        | 58.4                 | 9.7                         |
| 80               | 46.8                              | 13.8                        | 58.6                 | 11.0                        |

### 3.3. The stability of porous VO<sub>2</sub>/ZnO bilayer films

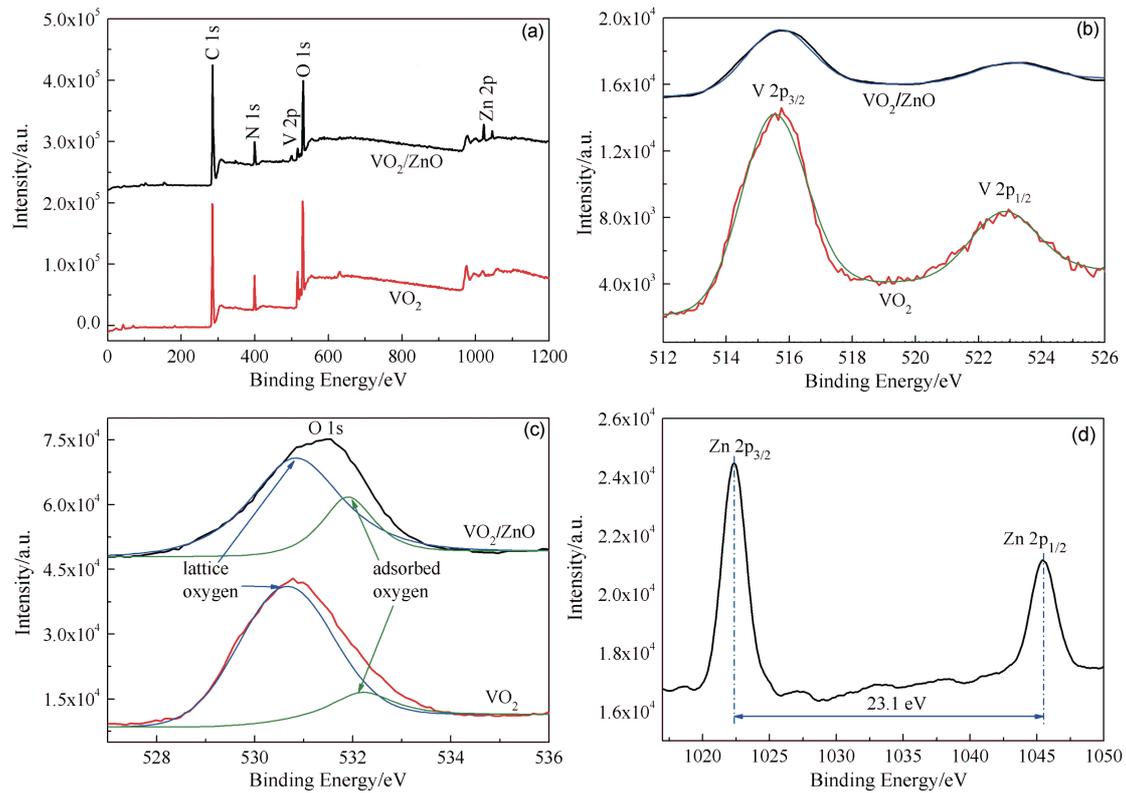
Durability is another important performance of VO<sub>2</sub> film. ZnO can be used as protective layer to prevent the VO<sub>2</sub> from being oxidized to V<sub>2</sub>O<sub>5</sub> [21], which can improve the durability of VO<sub>2</sub> film. Herein, VO<sub>2</sub> film and VO<sub>2</sub>/ZnO bilayer film were put at ambient temperature and their solar transmittance was measured at 20 °C and 90 °C respectively after 15 days, 40 days, 120 days, 150 days and 300 days. Fig. 5 shows the solar modulation efficiency of VO<sub>2</sub> film and VO<sub>2</sub>/ZnO bilayer film dependent on the time. It can be seen that  $\Delta T_{\text{sol}}$  is only 4.1% for VO<sub>2</sub> film after 300 days, indicating a poor stability. This is ascribed to that most VO<sub>2</sub> particles in the film may have been oxidized, which will be confirmed by XPS results later. The solar modulation efficiency remains only 34.3% compared to that of pristine film. However, VO<sub>2</sub>/ZnO bilayer film showed almost unchanged  $\Delta T_{\text{sol}}$  of 16.0% after 300 days, suggesting that the bilayer film can prevent the oxidization of VO<sub>2</sub> into V<sub>2</sub>O<sub>5</sub> and thus  $\Delta T_{\text{sol}}$  remains 89.9% refer to that of pristine bilayer film. It can also be seen clearly in the inset that the color of VO<sub>2</sub> film is light yellow but the bilayer film is still brown, which indicates an excellent stability of VO<sub>2</sub>/ZnO bilayer film. To be noted that there are oxygen vacancies in the ZnO film surface which has been confirmed by the temperature dependent resistance result shown in Figure S1, as the resistance decrease with increasing temperature. And this phenomenon could be assigned to the oxygen vacancies with shallow energy level which would be excited by thermal stimulating. The resistance was decreased due to the increased concentration of electrons caused by the thermal excitation of surface defects [36]. And the contact between ZnO layer and VO<sub>2</sub> layer would make the electrons transfer through the interface, leading to the increase of electrons in the VO<sub>2</sub> layer. The transfer electrons not only drive the protons into VO<sub>2</sub> but also enhance the formation energy for oxygen vacancy which is positive charged, thereby delay the oxidization of VO<sub>2</sub> [37-39].



**Fig.5.**  $\Delta T_{\text{sol}}$  of VO<sub>2</sub> film and VO<sub>2</sub>/ZnO bilayer film after 0, 15, 40, 120, 150 and 300 days (the inset shows the photograph of both film (2.5\*2.5 cm) after 300 days).

In order to account for the stability of VO<sub>2</sub>/ZnO bilayer film, XPS characterization was carried out. Fig. 6 shows the XPS spectra of VO<sub>2</sub> film and VO<sub>2</sub>/ZnO bilayer film. The XPS full spectra of both films are shown in Fig. 6a. The signal of C 1s and N 1s peaks come from the PVP in the films. It can be seen that there are Zn, V and O elements in the bilayer film, while V and O elements in the VO<sub>2</sub> film. The Zn core level binding energy was split into Zn 2p<sub>3/2</sub> (1021.5 eV) and Zn 2p<sub>1/2</sub> (1044.6 eV) peaks in Fig. 6d, indicating that there are Zn<sup>2+</sup> in the bilayer film. The peak of Zn 2p at 1022.5 eV, which corresponding to Zn<sup>2+</sup> in ZnO [36], indicating that Zn<sup>2+</sup> exists on the surface of the bilayer film and taking the previous XRD, SEM results into account, the Zn<sup>2+</sup> may come from the topside uneven ZnO layer because the XPS characterization could only perform into 10 nm deep from the surface. Fig. 6b presents the peaks of V 2p<sub>3/2</sub> and V 2p<sub>1/2</sub> at 515.6 eV and 523 eV, respectively, which are consistent with the peak values of V<sup>4+</sup> in M-VO<sub>2</sub> as reported in previous works [20,31]. This indicates that the existence of pure M-VO<sub>2</sub> in both bilayer film and VO<sub>2</sub> film. The relative intensity of V 2p peaks are reduced in the bilayer films compared with that in VO<sub>2</sub> film, which is attributed to ZnO that as protective layer with significant peak intensity presented in the film. The peak of O 1s in the bilayer film is shown in Fig. 6c and could be divided into two peaks (530.9 eV and 532.0 eV). The former is assigned to the lattice oxygen from ZnO and VO<sub>2</sub> while the latter belongs to the adsorbed oxygen species on the surface of the top ZnO layer

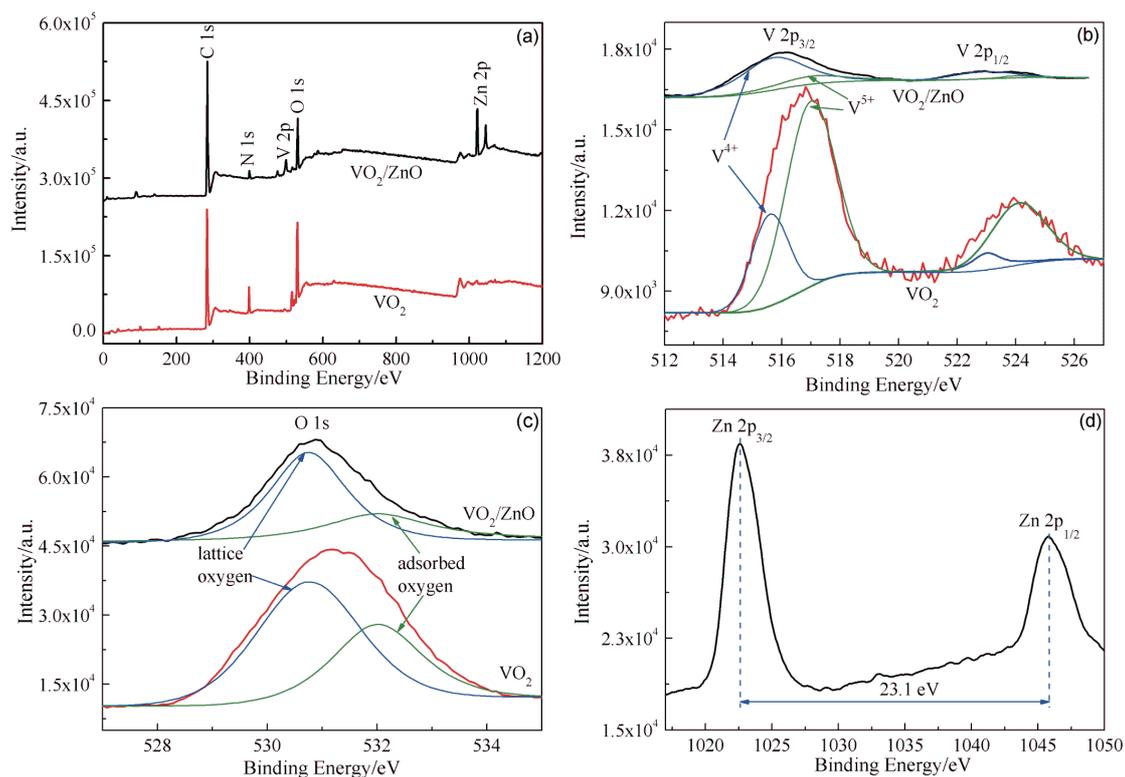
[21,31,36]. The O 1s peak in VO<sub>2</sub> film can also be divided into two peaks (530.7 eV and 532.3 eV). The peak at 530.7 eV is probably attributed to the lattice oxygen while the other is assigned to adsorbed oxygen on the surface of VO<sub>2</sub> layer. It can be seen that the content of adsorbed oxygen in VO<sub>2</sub> film is lower than that in the bilayer film. This is probably because the top ZnO layer in the bilayer can adsorb more oxygen species.



**Fig. 6.** XPS spectra of (a) survey spectra, (b) V 2p, (c) O 1s from VO<sub>2</sub>/ZnO bilayer film and VO<sub>2</sub> film, (d) Zn 2p from VO<sub>2</sub>/ZnO bilayer film.

After 300 days, VO<sub>2</sub> thin film and VO<sub>2</sub>/ZnO bilayer film were characterized by XPS again and the results are shown in Fig. 7. Fig. 7a shows full spectra of VO<sub>2</sub> thin film and bilayer film. It can be seen that there are C, N, O, V and Zn elements in the bilayer film while C, N, O and V elements in VO<sub>2</sub> film. Except that C and N elements come from the PVP in the films, the bilayer film is still composed of V, Zn and O elements while VO<sub>2</sub> film only includes V and O elements. In Fig. 7b, the peak of V 2p<sub>3/2</sub> in bilayer film was divided into two peaks located at 515.8 eV and 517.0 eV respectively which are corresponding to V<sup>4+</sup> and V<sup>5+</sup> [20,31]. The molar ratio of V<sup>4+</sup> to V<sup>5+</sup> is 4:1, which is caused by the slow oxidation of VO<sub>2</sub> after long-time exposure to ambient temperature. The content of V<sub>2</sub>O<sub>5</sub> is only less than 20%, which indicates the main component of

bilayer film is still M-VO<sub>2</sub>. For VO<sub>2</sub> film, the V 2p<sub>3/2</sub> peak was also separated into V<sup>4+</sup> and V<sup>5+</sup>, it can be seen that the content of V<sup>5+</sup> is higher and the molar ratio of V<sup>4+</sup> to V<sup>5+</sup> is 1:3, which indicates the most of VO<sub>2</sub> has been oxidized to V<sub>2</sub>O<sub>5</sub> due to the poor durability of VO<sub>2</sub> film and leading to the poor solar modulation efficiency. It can be clearly seen that V 2p<sub>5/2</sub> peaks of VO<sub>2</sub> film and VO<sub>2</sub>/ZnO bilayer film after 300 days exhibited a large change and can be divided into two peaks as shown in Fig. S2. Fig. 7c shows high resolution XPS spectra of O 1s, the peak of O 1s was separated into two peaks: ~530.8 eV and ~532.1 eV. The former belongs to lattice oxygen and the latter is attributed to the adsorbed oxygen as discussed before, which indicates the adsorbed oxygen may still be present in both films. Less adsorbed oxygen existed in the bilayer film than that in VO<sub>2</sub> film, indicating a better durability of the bilayer film. In addition, the content of adsorbed oxygen in the bilayer film decreased while that in the VO<sub>2</sub> film increased significantly after 300 days. Apparently the concentration of adsorbed oxygen varied with the ambient condition and film components. In this work, the durability was not estimated in the constant condition. Thus the results in Figure 6c and 7c obtained from the pristine bilayer film and bilayer film that was preserved for 300 days must be tested under different ambient condition, which was convinced that the concentration of adsorbed oxygen would be affected by the ambient condition especially for temperature. Besides, the densification and aging of PVP in the film surface would also affect the oxygen adsorption process. In this sense, the reason for the variation of concentration of adsorbed oxygen may be assigned to joint factors. Fig. 7d shows the high resolution spectrum of Zn element in the bilayer film. It can be seen that the difference of Zn 2p<sub>3/2</sub> and Zn 2p<sub>1/2</sub> core level binding energy is 23.1 eV, which matches well with the value for Zn<sup>2+</sup> in ZnO, which indicates that ZnO remains unchanged (Fig. S3). The results confirm that after putting at ambient temperature for 300 days, the bilayer film is composed of most VO<sub>2</sub>, less V<sub>2</sub>O<sub>5</sub> and ZnO, while the VO<sub>2</sub> film includes most V<sub>2</sub>O<sub>5</sub> and less VO<sub>2</sub>. In this sense, the bilayer film exhibited good stability as well as excellent thermochromic performance.



**Fig.7.** XPS spectra of (a) survey spectra, (b) V 2p, (c) O 1s in VO<sub>2</sub>/ZnO bilayer film and VO<sub>2</sub> film after 300 days, (d) Zn 2p in VO<sub>2</sub>/ZnO bilayer film after 300 days.

#### 4. Conclusions

In this work, cotton was used as template to synthesize mesoporous VO<sub>2</sub> nanoparticles by hydrothermal method and subsequent thermal treatment, and then VO<sub>2</sub>/ZnO bilayer film was prepared by sol-gel method. The obtained bilayer film exhibited excellent thermochromic properties than pure VO<sub>2</sub> film: high visible transmittance of 55.9%, and high solar modulation efficiency of 19.3%. In addition, this bilayer film also exhibited good durability and its solar modulation efficiency are maintained over 16.0% after exposing to ambient conditions for 300 days. This is probably attributed to the presence of protective ZnO layer in the film. In summary, this work can provide a new method to prepare a VO<sub>2</sub>-based multilayer film with high thermochromic properties and good durability, which may promote the practical application of VO<sub>2</sub> based film in smart windows.

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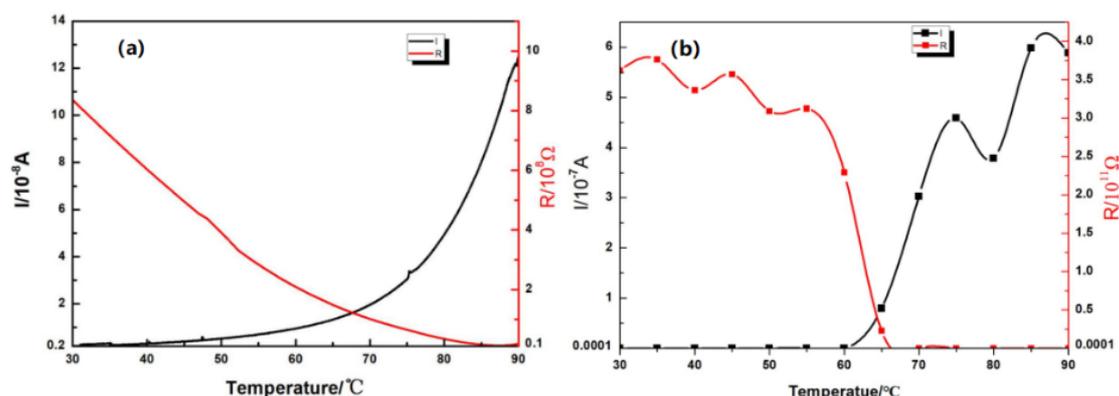
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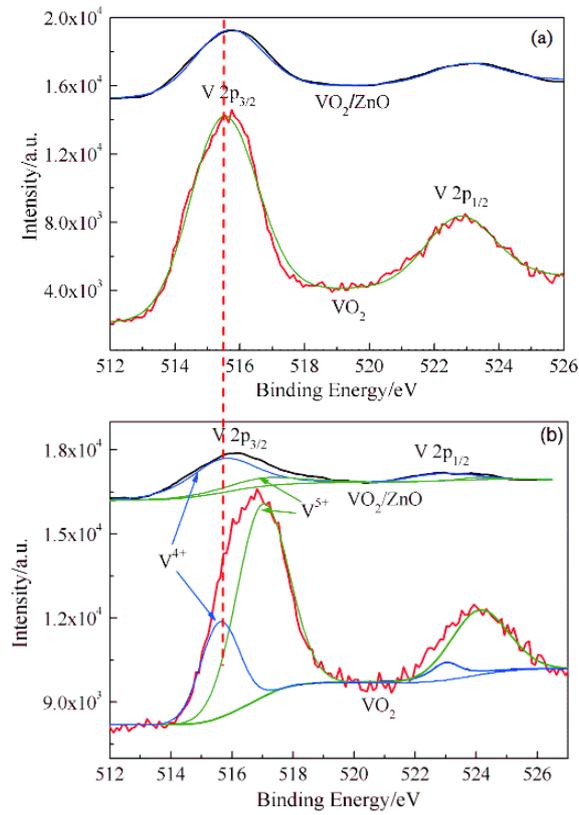
## Supporting information



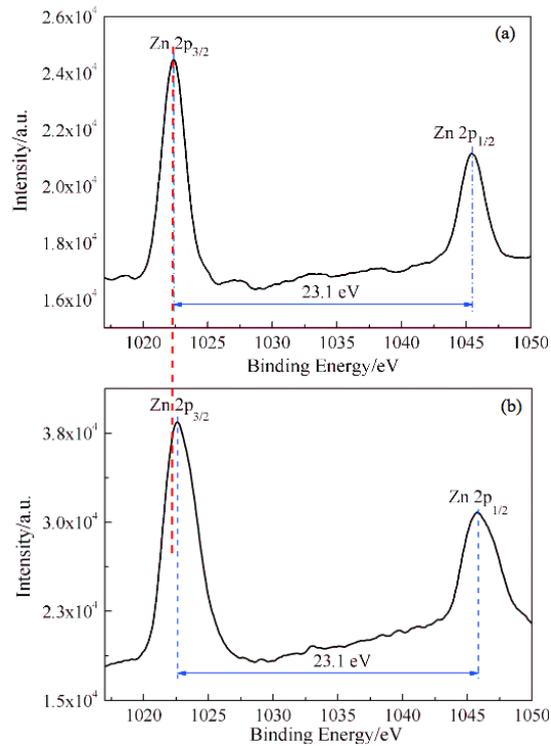
**Fig. S1.** Current and Resistance changes of ZnO film and VO<sub>2</sub>/ZnO bilayer film at different temperatures from 30 °C to 90 °C.

Fig. S1 shows the I-T and R-T curves of VO<sub>2</sub>/ZnO bilayer film and ZnO film. In the test, the voltage applied on both ends of the film being kept constant and the temperature is raised slowly and the results are shown in Fig. S1. Fig. S1a shows the current (I) and resistance (R) of the ZnO film with increasing temperature. It can be seen that the current of the ZnO thin film increases with increasing temperature, while the resistance decreases gradually. It was reported that most of ZnO films prepared in the laboratory are n-type semiconductors with donor defects [S1], and the oxygen vacancies are present as a major component. It was reported that the oxygen vacancies are positively correlated with the content of adsorbed oxygen [S2]. With increasing temperature, the electrons bound by donor defects will be excited into the conduction band, resulting in an increase in the concentration of electrons in ZnO layer. Therefore, the film current increases and resistance decreases with increase in temperature. Fig. S1b shows the electrical characteristics of VO<sub>2</sub>/ZnO bilayer film. It can be seen that the current intensity of bilayer film exhibits a sharp rise near 60 °C, and the resistance begin to decline rapidly at 55 °C, which implies the insulator-metal phase transition of bilayer film occurred at ca 55 °C to 60 °C, which is lower than that of pure VO<sub>2</sub> film. Combining with XPS results in Fig. 6c, it is found that, from O 1s spectrum, the O-H content is higher, which means more oxygen vacancies in ZnO layer, with the increase of temperature, more electrons in the donor level of ZnO defect are excited into the conduction band, thus increasing the electrons concentration in the conduction band, and some electrons are transferred to VO<sub>2</sub> film, which increased electron density of VO<sub>2</sub> thin film which would affect the phase transition process

of VO<sub>2</sub> significantly [S3], resulting in a decline in the solar transmittance of the bilayer film.



**Fig. S2.** XPS spectra of V 2p of VO<sub>2</sub> film and VO<sub>2</sub>/ZnO bilayer film: (a)pristine, (b) after 300 days.



**Fig.S3.** XPS spectra of Zn 2p of VO<sub>2</sub>/ZnO bilayer films: (a) pristine, (b) after 300 days.

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