| 1  | Projection of lightning over South/South East Asia using CMIP5 models   |
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## 21 Abstract

Product of Bowen ratio with the sum of precipitation rate and evaporation rate has been used 22 as proxy to evaluate the seasonal and annual spatial distributions of lightning flash rate over 23 South/Southeast Asian region (60–120° E, 0–40° N) with 9 models from the Coupled Model 24 Inter-comparison Project-Phase 5 (CMIP5). The model-simulated mean LFR with each model 25 is positively correlated with the satellite-observed LFR on both seasonal and annual scales. 26 27 The satellite-observed LFR is correlated with the ensemble mean LFR of the models with a correlation coefficient of 0.93 over the region. The model-simulated LFR has also been used 28 for projection of lightning in the late twentyfirst century. Overall, the projected LFR over 29 whole study area shows a 6.75% increase during the (2079-2088) period in high radiative 30 forcing scenario (RCP8.5) as compared to the historic period of (1996–2005). Rise in LFR is 31 also identified using another projected period (2051-2060) and a lower radiative forcing 32 scenario condition (RCP4.5), though lesser in magnitude, as expected. For the projected 33 period (2051-60) in the RCP8.5 case, LFR over the domain shows an increase of 4.3%; 34 whereas for a lower future scenario condition (RCP4.5), it indicates a rise by 5.36% at the end 35 of the twenty-first century. Moreover, results indicate an increase in extreme events of severe 36 convective storms with intense lightning in mountainous dry regions at the end of the twenty-37 38 first century. It is suggested that the proxy used here is favourable for projection of LFR in this region and perhaps for the whole tropical area. 39

- 41 Keywords: Bowen ratio, CMIP5, Evaporation, Lightning flash density, Precipitation
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## 44 **1. Introduction**

Occurrence of lightning discharges in a thundercloud is closely related with its 45 microphysical and dynamical characteristics of thundercloud (e.g., Vonnegut 1963; Williams 46 et al. 1989, 1992; Zipser and Lutz 1994; Stolzenburg et al. 1998; Siingh et al. 2011; Cecil et 47 al. 2015) and the environmental properties (e.g., Orville et al. 2001; Williams et al. 2002; 48 Zipser et al. 2006; Stolz et al. 2015, 2017; Wu et al. 2016; Saha et al. 2017; Etten-Bohm et al. 49 50 2021) in which the cloud develops. In turn, as the cloud electrification develops, its electrical properties interact with the microphysical and dynamical characteristics through some 51 feedback processes (e.g., Vonnegut 1963; Kamra 1970, 1975, 1982a, b; Bala and Kamra 52 1991; Kamra et al. 1993). In addition, topography and land-use patterns of the region are well 53 known to influence the lightning activity (e.g., Ramesh Kumar and Kamra 2012, Oulkar et al. 54 2019; Kamra and Penki 2021). As a consequence, lightning activity shows large spatio-55 temporal variability on the global as well as regional scales. The continental area of 56 South/Southeast Asia hosts the World's highest mountain peak in the Himalayas and the 57 Tibetan plateau, the Thar desert and forested areas, experiences high rainfall in the monsoon 58 59 season and undergoes large variability in the meteorological/environmental conditions; high temperature and convective activity, in particular (Houze et al. 2007). Consequently, large 60 61 lightning variability is observed in this area and has been widely studied (e.g., Kandalgaonkar et al. 2005; Ranalkar and Chaudhari 2009; Dwivedi et al. 2014; Siingh et al. 2013, 2014, 62 2015; Saha et al. 2019; Kamra and Penki 2021; Qie et al. 2021). The area accounts for some 63 of the World's hotspots of lightning (Albrecht et al. 2016). 64

Prediction of lightning is important to avoid the risk to the life and property, and to assess its impact on upper tropospheric chemistry and forest fires (Krause et al. 2014). However, because of the complex nature of its occurrence and distribution in a region, as discussed above, weather and climate models have not been so far able to successfully simulate and

predict the lightning distribution in a region. Therefore, several attempts have been made to 69 parameterize or use proxies to predict and project the lightening activity using different 70 climate models (Tost et al. 2007; Finney et al. 2014; Clark et al. 2017). Recently, Romps et 71 al. (2018) used the proxy of product of convective available potential energy (CAPE) and 72 precipitation (P), suggested by Romps et al. (2014), to replicate and forecast lightning over 73 the Contiguous United States (CONUS). This proxy could explain 77% of the variance of 74 75 cloud-to-ground lightening flash rate over the CONUS during the 2011 calendar year. However, extending the analysis over a longer period of 2003-2016, Tippett et al. (2019) 76 77 showed that the proxy performs better on diurnal and monthly scales but not so on semiannual and annual scales. Moreover, the performance of the proxy is better only in cool 78 seasons and not so in warm season when most of the lightning occurs over CONUS. 79 80 Recognising the role of the sensible heat flux in modifying the buoyancy and lightning, Toumi and Qie (2004) argued that a product of Bowen ratio (BR) and precipitation (P) can 81 act as a proxy for lightning over the Tibetan Plateau and explain the spring anomaly and 82 annual variability of the lightening flash rate (LFR) in that region. 83

Despite an impressive performance of the (CAPE× P) in CONUS (Romps et al. 2014) and 84  $(BR \times P)$  at the Tibetan Plateau (Toumi and Qie 2004), both proxies show a weak correlation 85 with LFR in the tropical land area of (0-40 °N, 60-120 °E) (South/Southeast Asia including 86 some part of South China) (Chandra et al. 2021; here in after referred as C21). Because of the 87 high temperatures prevailing in the tropics, the surface heat fluxes and evaporation rate are 88 89 large and considerably add to the moisture and convective instability of the atmosphere (Berg et al. 2013). Consequently, LFR in that area is likely to be largely affected by such factors. 90 Based on such arguments, C21 proposed that product of Bowen ratio and sum of precipitation 91 rate (Pr) and evaporation rate (Er) i.e. BR (Pr + Er), can serve as a proxy to study the 92 variability of LFR in this area. This proxy could explain 90% of the variance in LFR and had 93

94 the maximum correlation with LFR among the 9 proxies examined by C21. In this paper, we 95 use the proxy BR (Pr + Er) developed by C21 to test the performance of 9 CMIP5 climate 96 models and their ensemble mean in simulating the spatial distribution of LFR on the seasonal 97 and annual scales. These models incorporating this proxy have also been used for projection 98 of the LFR distribution and its change in this area by the end of 21<sup>st</sup> century.

## 99 2. Data and methodology

100 The lightning flash rate data used in this study are obtained from the space-borne optical sensors merged product of Lightning Imaging Sensor (LIS) and Optical Transient Detector 101 (OTD) mounted on the Tropical Rainfall Measuring Mission (TRMM) satellite. The daily 102 103 LIS/OTD data of Low Resolution Time Series (LRTS) of version 2.3 available in the grid resolution of  $2.5^{\circ} \times 2.5^{\circ}$ for of 1996-2005 the period were downloaded 104 (http://thunder.msfc.nasa.gov/data/data lis. tml) for the Southeast Asian region (0 °N-40 °N 105 and 60 °E-120 °E). Subsequently this data were rescaled to 0.5°×0.5° and used for spatial 106 distribution. Precipitation rate, evaporation rate, surface air temperature, sensible heat flux 107 and latent heat flux with grid resolution of  $0.5^{\circ} \times 0.5^{\circ}$ , were obtained from the improved 108 version of European Centre for Medium-Range Weather Forecasts (ECMWF), ERA5 109 reanalysis products (https://cds.climate.copernicus.eu). 110

Simulated precipitation rate ( $P_r$ ), air temperature (T), and evaporation rate ( $E_v$ ) are obtained from the Coupled Model Inter-comparison Project Phase 5 (CMIP5, <u>http://cmip-</u> <u>pcmdi.llnl.gov/cmip5/</u>) data archive. CMIP5 is a coordinated effort among international climate modelling groups to simulate past, present, and future climate to better understand the response of the climate system to human and natural perturbations to energy balance (Taylor et al. 2012). An earlier work (Jourdain et al. 2013) selected 9 specific CMIP5 models and showed spatial pattern of observed Indian Summer Monsoon (ISM) rainfall was captured reasonably well in those models. In the Indian context, other studies, however, did extensive
analysis focusing on 23 selected CMIP5 models for (Roy and Tedeschi 2016; Roy, Gagnon
and Siingh 2019; Roy, Tedeschi and Collins 2017, 2019; Roy 2017).

Those showed major findings remain the same if the ensemble mean of 23 models is used 121 instead of those specific 9 models, in particular. Hurwitz et al. (2014) selected a few from the 122 set of those particular nine models and added a few more to explore other regions of the globe 123 124 too and showed their main results were consistent in their chosen sets of models. In this work, a total of nine models are evaluated (CANESM2, CNRM-CM5, FGOALS-G2, GFDL-125 126 CM3, GFDL-ESM2M, GFDL-ESM2G, MIROC5, MIROC5-ESM-CHEM and MIROC5-ESM). Models are selected as per the availability of all required parameters alongside those 127 were previously chosen by other studies being better performing models, as discussed earlier 128 129 (Jourdain et al. 2013; Roy and Tedeschi 2016; Roy et al 2017; Roy, Gagnon and Siingh 2019; Roy 2017; Hurwitz et al. 2014). To understand future scenarios, we use data of CMIP5, 130 Representative Concentration Pathways 8.5 scenario (RCP 8.5) documented in Riahi et al. 131 (2011). Recent research also analysed those models in the Indian context using RCP8.5 132 scenarios (Roy, Tedeschi and Collins 2019, Roy 2018). In this study, the years 1996-2005 of 133 the CMIP5 "historical" experiment represent the current climate, while the years 2079-2088 134 of the "RCP8.5" experiment are used to represent the late 21st century climate. We consider 135 the 10 years data (1996-2005) for the historic period from models, therefore we choose all the 136 meteorological and LFR data of this period for the observational study. We mainly 137 considered the highest radiative forcing scenario RCP8.5, to capture maximum detectable 138 signals. The higher end of the future scenario (2079-2088) is chosen to identify larger 139 changes. However, results were also tested for another projected period (2051-60) and a 140 lower radiative forcing scenario condition, RCP 4.5. 141

In order to simulate the spatial distribution of LFR with different models, we use the 142 relation,  $LFR = K \times BR(Pr + Er)$  where LFR is the density of lightning flash rate in flashes 143  $km^{-2} day^{-1}$ ,  $P_r$  is the precipitation rate in kg m<sup>-2</sup> day<sup>-1</sup>,  $E_r$  is the evaporation rate in kg m<sup>-2</sup> day<sup>-1</sup> 144 <sup>1</sup>. Using the fact that 1 mm of precipitation equals 1 kg  $m^{-2}$  of liquid water, therefore, here 145 precipitation rate has been taken in kg m<sup>-2</sup> day<sup>-1</sup> instead of mm day<sup>-1</sup>. BR, the Bowen ratio 146 (ratio of sensible heat flux to latent heat flux), is a dimensionless quantity and its magnitude 147 148 describes the energy gained or lost from the earth surface to the atmosphere. K is the proportionality constant with units as number of flashes per kilogram of water. C21 evaluated 149 the value of K = 1.25, 0.1, 0.9 and 0.8 kg m<sup>-2</sup> day<sup>-1</sup> for the pre-monsoon, monsoon, post-150 monsoon and winter seasons respectively with an annual mean of  $K = 1.1 \text{ kg m}^{-2} \text{ day}^{-1}$ . 151

- 152 **3. Results and Discussion**
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**3.1. Seasonal variation of derived LFR** 

154 Spatial distributions of LFR evaluated from nine GCMs' outputs using our lightning 155 proxy for the historic period (1996-2005) in different seasons are presented in **Figure 1 (a-d)**. 156 Top panels show the observed LFR (left) and the ensemble mean of nine selected models 157 (right), rest other panels show the simulated LFR from the nine different models.

Observed LFRs during the pre-monsoon season (MAM) (Figure 1a) are intensified in 158 the north-eastern and north-western regions of India as well as in the Indo-Gangetic basin 159 along the foothills of the southern Himalayan foothills (SHF)s. It is also high in the maritime 160 continents (includes Indonesia, Philippines and Papua New Guinea) and mainland Southeast 161 Asia (the continental portion of Southeast Asia that includes Thailand, Cambodia, LAOS, 162 Vietnam). All those parts are captured well by models (Figure 1(a)). Maximum signature is 163 noticed around Gangetic West Bengal and Bangladesh. Those regions are highly affected by 164 Nor'westers during the pre-monsoon season (Tyagi et al. 2011, 2013; Dwivedi et al. 2014), 165 which bring a lot of moisture from the nearby ocean and form thunderclouds with lightning 166

flashes. Topography of the Himalayan mountain ranges restrain high surface temperature 167 interacting with the prevailing wind and moisture supply from the Bay of Bengal which is 168 favourable for the genesis of convection and formation of lightning along the southern 169 Himalayan foothills (SHF) (Houze et al. 2007; Qie et al. 2014; Wu et al. 2016). The moisture 170 over the Bay of Bengal is driven from northward to the eastern SHF by the southwesterly 171 wind during the pre-monsoon; hence, intense convective systems (ICSs) mainly occur over 172 the eastern SHF. In the onset of monsoon, maximum water vapor is transported 173 northwestward along the Himalayas and extending to the middle of the SHF due to 174 175 enhancement of oceanic southwesterly winds. Higher values of the observed LFR in the north-eastern part of the Himalayan regions are clearly visible in top left panel for ensemble 176 mean of all the models in Figure 1(a). Penki and Kamra (2013) also reported that the 177 northeast part of the Himalaya gets maximum LFR during the pre-monsoon season. The 178 model-simulated LFR using our proxy well captures its intensification in this region. C21 also 179 reported that spatial distribution of the observed LFR in the north-eastern part of the 180 Himalaya matches well with the spatial distribution of the proxy BR (Pr+Er). On the other 181 hand maritime continents and mainland Southeast Asia get higher concentration of flashes 182 during this season which is well captured in all models and clearly noticeable from the spatial 183 distribution of observed data. These maritime and mainland continents are noted as the 184 hotspots of South/Southeast Asia (Albrecht et al. 2016). The models such as CANESM2, 185 186 FGOALS-G2, GFDL series and MIROC5 show a good association with the observed spatial distribution of LFR (Figure 1(a)). Some of the models however overestimate signature in 187 regions of mainland Southeast Asia (GFDL models, MIROC5-ESM-CHEM and MIROC5-188 ESM) which are liable to give strong signature around that region in ensemble mean (Figure 189 1a, top right). 190

The southwest monsoon or Asian monsoon season (JJAS) plays a crucial role in the 191 occurrence of deep convection over some parts of the south/southeast Asian region (Qie et al. 192 2014). In the monsoon season, the north-western part of India and north Pakistan especially 193 Himalayan region has the maximum LFR as compared to the other parts of the study region 194 (Figure 1b). The southern slopes of the Himalayan range have highly intensified LFR 195 (Ramesh Kumar and Kamra 2012), since this region holds intense convection due to 196 197 establishment of water vapour transport passage from the Bay of Bengal along the Himalayan foothills to the west. After the Indian summer monsoon establishes, the southwesterly wind 198 199 over the ocean is strongest, and the warm and moist air flowing from the Arabian Sea arrives and brings moisture at the western end of the transport route along the SHF. It encounters the warm 200 and dry air from Afghan high lands at mid-tropospheric level and the moist air from the Bay 201 202 of Bengal. This, along with orography and surface heating of the region, results in convective instability and formation of most of the ICSs in monsoon being concentrated at the concave 203 indentation of the westernmost SHF (Liu et al. 2020). Wu et al. (2013, 2016) investigated that 204 the occurrences of ICS along the SHF mainly occurs during March-October, and their 205 maximum monthly frequency appears in May, while the deep convective systems over the 206 Northwestern Himalaya occur in July. Moreover, severe convection tends to occur in regions 207 with a sharp moisture gradient at the lower atmosphere. That region of strongest signature is 208 also well captured by the model-derived LFR and comparable with the observed LFR as 209 210 shown in the top left panel of Figure 1 (b). CANESM2, GFDL-CM3, GFDL-ESM2G and GFDL-ESM2M models shows better agreement with observation. Models of MIROC5 series 211 though capture some regional features well but overestimate in few locations. 212

The post-monsoon (ON) season is influenced by the northwest monsoon; moreover, the wind pattern is different from that of the monsoon season. The convective activity is less as compared to the pre-monsoon and monsoon seasons. Inspite of some divergence, all the nine

models and their ensemble roughly capture the observed LFR spatial pattern (Figure 1(c)). 216 The models CANESM2, FGOALS-G2 and MIROC5 are performing better over others. 217 Observed strong signature around Maritime continent is however missing in all models and 218 hence missed in ensemble mean too. Similar to the post-monsoon season, lightning activity in 219 the winter season in this region is weak as shown in Figure 1(d). This season does not show 220 much of lightning due to calm, dry and cold weather and the consequent low convective 221 222 activity. The maritime islands such as Indonesia and Sumatra are affected most in the observed LFR. The signature in foothills of Himalayas is captured well by all models using 223 224 our proxy; however, signature in maritime continent seems weak.

Thus, our analyses of spatial distribution pattern of LFR using derived proxy of C21 225 indicate that the models are usually capturing seasonal variations reasonably well. Focusing 226 on scaling of LFR shows that maximum signature is noticed during pre-monsoon (upper 227 bound (0.132) followed by monsoon (0.12), post-monsoon (0.06) and winter (0.05)228 respectively. Foothills of the Himalayas are seen prone to thunderstorm activity in all seasons. 229 In the Indian context, Northwest India is experiencing maximum LFR in monsoon and post-230 monsoon, while Gangetic west Bengal and Bangladesh during pre-monsoon. All these 231 seasonal variations in spatial patterns are well-captured by individual models and their 232 ensemble using the proxy of C21. 233

# 234 **3.2. Annual variation of derived LFR**

Figure 2 shows the annual mean of the LFR spatial distributions simulated by the 9 different models and their ensemble mean along with the annual mean of the observed LFR. The annual mean of the LFR simulated from all the nine GCMs' matches well with the annual mean of the observed LFR in the regions of intense lightning in the Himalayan regions and its foothills, the continental parts of south-east Asia and maritime islands. LFR over Gangetic West Bengal is also well captured by the annual mean from all models. These regions have already been earmarked as the lightning hotspots of the World (Albrecht et al.2016).

Figure 3 shows a scatter plot of the monthly averaged values of LFR from the ensemble 243 mean of GCMs' and the observed LFR over the whole study region for the period of 1996-244 2005. A strong positive correlation coefficient (R = 0.93) has been found between the 245 monthly-averaged observed and simulated LFR for the period of 1996-2005. The correlation 246 247 coefficients between the observed LFR and the simulated LFR from all the different models on seasonal and annual scale for the historic period (1996-2005) have been determined by 248 249 Pearson's correlation method and are given in Table 1. The correlations determined for the CANESM2, FGOALS-G2, GFDL series and MIROC5 models are strong. Last two models 250 from MIROC5 series in Table 1, correlate moderately in some seasons with the observed 251 LFR, and these two models also overestimate. LFR over Tibetan plateau region as discussed 252 in Section 3.1. The simulated LFR using this proxy and the observed LFR shows very good 253 correlations during all the four seasons as well as on the annual scale over the study region. 254

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## **3.3. Future projection of LFR**

In view of the large changes in the meteorological and environmental conditions 256 projected in the global warming scenario by the end of the 21<sup>st</sup> century, a corresponding 257 change in the lightning distribution on regional and global scales is expected. Projecting such 258 change is of importance not only for our understanding of the change, but also for the future 259 260 planning. We have used our data to simulate LFR for the late 21<sup>st</sup> century over this region as compared to the historic period (1996-2005). In the future scenario of LFR for late 21st 261 century (2079-2088), the top two panels in Figure 4 show the annual ensemble mean of 262 derived LFR (left) and the difference in LFR between the historic period and the annual 263 simulated mean of the models at the end of the 21<sup>st</sup> century assuming the Representative 264 Concentrations Pathway-8.5 (RCP-8.5) scenario (right). Other nine panels in Figure 4 show 265

the annual mean of derived LFR from the individual model for the future period. Most of the 266 models also project that the major hotspots of LFR in the Himalayan region and foothills of 267 Himalaya appear as well in the future as in the historic period. The top right panel of Figure 4 268 shows that the north-western part of Himalayan region is likely to undergo the maximum 269 enhancement of LFR as compared to other parts of the study region which suggests that, this 270 part of the study region need be of highest concern in future period. The continental parts of 271 272 the Southeast Asia, the Tibetan plateau and some parts of southern India are also likely to experience some enhancement in lightning activity. However, some regions, little south of the 273 274 Himalayan foothills may experience a little reduction in lightning activity. Maritime continent also project a decrease in LFR from the historic period on annual scale. 275

Figure 5 shows the spatial distributions of LFR projected by ensemble means (left 276 column) and the difference in LFR in RCP8.5 from the historical period (right column) in 277 different seasons. It brings out dramatic differences in the projected LFR in different regions 278 among different seasons. In the pre-monsoon season, LFR is projected to greatly increase in 279 the northeast India and the southern continental parts of the south-east Asia. On the other 280 hand, LFR is projected to undergo a general decrease but is marked with small areas of 281 intense increase over the northwest India. This trend in LFR in the northwest area is projected 282 more emphatically, both in magnitude and the covered area, in the monsoon season and will 283 be discussed in more detail in the next paragraph. No appreciable change is projected in 284 Himalayan foothills, northeast India and southern parts of the Indo-China peninsula. Some 285 parts of the southern India and continental south-east Asia are projected to have enhanced 286 LFR and a mild decrease is projected in the southern India in the monsoon season. Other 287 noticeable features are a projected increase in LFR above the northwest of India in the 288 monsoon season and an appreciable decrease in LFR over the Tibetan plateau in the winter 289 290 season.

The observed and ensemble values of LFR in Figure 1 and 2 show reasonably good 291 agreement on seasonal and annual scales and their monthly-averaged values are correlated 292 with a correlation coefficient of 0.93 (Figure 3) in historic period (1996-2005). An 293 examination of the annual and seasonal spatial distributions of LFR in Figures 4 and 5 leads 294 to an important conclusion that if the ensemble LFR is low, the projected LFR in late 21st 295 century is expected to decrease or not undergo any significant change. However, if the 296 ensemble LFR is high, the projected LFR will increase in late 21st century. This amounts to 297 supporting the prediction of an increase in the extreme events, severe convective storms in 298 299 this case, in the global warming scenario (Trapp et al. 2007a, b; Diffenbaugh et al. 2013; Seeley and Romps 2015). Intensive increase in LFR in small areas in the pre-monsoon, 300 monsoon and post-monsoon seasons in the northwest India and some other places indicate 301 302 formation of severe convective storms producing intense lightning. Existence of meteorological conditions and complex topography of the north-western region of India is 303 suitable for development of severe convective storms even in the historic period of 1996-2005 304 (Liu et al. 2020). Formation of thunderstorms in this area is reported to occur at sharp 305 moisture gradients and along the lid of edge created by warm dry air above moist air at lower 306 levels (Wu et al. 2016; Liu et al. 2020). The change in frequency and severity of such storms 307 under different meteorological, environmental and topographical conditions during 21st 308 century in global warming scenario has already been projected at some places (e.g., Price and 309 310 Rind 1994; Trapp et al. 2007a, b; Kunkel et al. 2013; Seeley and Romps 2015).

The above discussion on projection of LFR by the end of 21<sup>st</sup> century in different seasons in this area leads us to conclude that LFR is likely to increase in moist regions, such as northeast India and southern Indo-China peninsula in the pre-monsoon season. However, in dry regions such as over complex topography of northwest India. LFR is projected to decrease but develop some isolated small areas of intense lightning in the pre-monsoon and post-monsoon seasons; with increasing trend in spatial extent of such isolated areas in the monsoon season. Moreover, over Tibetan plateau, LFR is projected to decrease in its northern part in winter and increase in southern part in hot season of pre-monsoon. However, overall increase in LFR by the end of 21<sup>st</sup> century in the whole study area on annual scale is projected to be 6.75% of the historic period (**Table 2**). Models such as CNRM-CM5 and GFDL models capture the variation of LFR well for the future scenario.

322 Changes in precipitation, evaporation and atmospheric temperature projected for the end of 21<sup>st</sup> century from the historic period widely differ from model to model (Table 2). 323 324 However, ensemble mean of all the GCMs considered in this study, project that the precipitation, evaporation and temperature will increase with a mean increase of 325 approximately 11%, 10% and 3.5% respectively by the end of 21<sup>st</sup> century over whole study 326 region, (Table 2). Therefore, in a global warming scenario, the precipitation is expected to 327 increase by ~3.5 % per °C and evaporation to increase by ~3 % per °C, over South/Southeast 328 Asian region. However, the global precipitation has been expected to increase by  $\sim 2$  % per 329 Kelvin in future scenario (Lambert and Web 2008; Jeevanjee and Romps 2018). Using the 330 method of percentage change between the years 1996-2005 and 2079-2088, ensemble mean 331 of all GCMs predict that the annual mean LFR is expected to increase in South/Southeast 332 Asia by 6.75%. However, past estimates for the future changes in LFR in the global warming 333 scenario vary over a wide range and indicate both an increase or decrease in lightning activity 334 (e.g., Williams et al. 1992; Price and Rind 1994; Tost et al. 2007; Clark et al. 2017; Finney et 335 al. 2018). Romps et al. (2014) also estimated the annual mean of cloud-to-ground lightning 336 strikes for the years of 2079-2088 of the RCP8.5 experiment over CONUS region increase 337 upto  $\sim 12\%$  per °C of mean global temperature. 338

To check whether LRF shows a continuous increasing trend or not, we also considered another 10-year projected period (2051-60) in between (Table 3a), instead of the

earlier projected period 2079-2088, as shown in Table 2. In terms of annual mean LFR, the
ensemble mean of all GCMs over the same Asian domain indicates an increase by 4.3%,
which is lower than the previous estimate (6.75%). Like Table2, MIROC5 and MIROC-ESM
are shown giving low estimates compared to rest other models. Projected changes in
precipitation, evaporation and temperature also suggest an increase for all models (Table 3a).

To further check how different future scenarios affect results, we analyzed the RCP4.5 scenario too (Table 3b). Like Table 2, projected period of 2079-2088 (with respect to the historic period 1996-2005) is considered. Ensemble mean of all GCMs again indicates a rise in LFR and estimates an increase by 5.36% (lower than RCP8.5 scenario). In terms of individual models, here also MIROC5 is identified as poor performing model.

#### 351 4. Summary

A newly defined proxy for LFR is tested for CMIP5 models in historic period and used 352 for prediction in future scenarios. Based on the 10 years of historic period analysis (1996-353 2005) from nine GCMs model data, the seasonal and annual variation of LFR is derived from 354 the defined proxy and compared with the observed LFR from LIS/OTD. Models are shown 355 356 well capturing the spatial pattern of LFR distribution in South/Southeast Asian region using this new proxy. Monthly mean simulated from ensemble of all models is correlated with the 357 monthly mean of observed LFR with a correlation coefficient of 0.93. Overall, using RCP8.5 358 359 scenario, the GCMs predict a 6.75% increase in the LFR in the South/Southeast Asia over the end of 21st century. The rise in LFR is also identified using another projected period and a 360 different future scenario condition. Considering a different 10-year projected period (2051-361 362 60) for the RCP 8.5 scenario, the ensemble mean of all GCMs over the same Asian domain indicates an increase in the LFR by 4.3%, which is, as expected, lower than the previous 363 estimate (6.75%). The projected change in the LFR, using another future scenario condition 364

(RCP 4.5), also estimates an increase at the end of the 21<sup>st</sup> century, which is by 5.36% (lower
than the RCP 8.5 scenario, as expected). Moreover, extreme events of severe convective
storms with intense lightning in mountainous dry regions may increase in global warming
scenario.

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# 379 Authors' contributions

380 SC, DS and AKK are responsible for inception and, execution of project and 381 preparation of the draft of the manuscript. SC and PK analyzed and simulate the CMIP5 data 382 and prepared the final figures. IR contributes towards the CMIP5 model for the projection of 383 LFR. SC, DS and JV contributed towards the analysis and interpretation of the study. AKK 384 prepared final draft of manuscript. All authors contributed to the discussion of the results.

# 385 Declaration of competing interests

386 The authors declare that they have no competing interests.

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Legends Figure 1(a) Spatial distribution of lightning flash rate (LFR) (flashes km<sup>-2</sup> day<sup>-1</sup>) for pre-monsoon (MAM) (March, April, May) season over the south/southeast Asia using observed data from Lightning Imaging Sensor (LIS)/ Optical Transient Detector (OTD) mounted on the Tropical Rainfall Measuring Mission (TRMM) satellite (top left), model ensemble data (top right) and nine GCMs, CMIP5 model ensemble data (bottom three rows). Figure 1(b) Same as Figure 1a, but for monsoon (JJAS) (June, July, August, September) 

**Figure 1(c)** Same as Figure 1a, but for post-monsoon (ON) (October-November) season.

season.

**Figure 1(d)** Same as Figure 1a, but for winter (DJF) (December-January-February) season.

**Figure 2.** Same as Figure 1, but for annual mean.

- Figure 3.Scatter plot between the monthly-averaged LFR from observed data and ensemble
   mean of GCMs', CMIP5 model data for the historic period 1995-2006over the
   south/southeast Asia.
- Figure 4. Spatial distribution of lightning flash rate (LFR) (flashes km<sup>-2</sup> day<sup>-1</sup>) of annual
  mean, using nine different CMIP5 model data for the future period 2079-2088 over
  the south/southeast Asia. The top left panel of the figure is model ensemble and the
  top right panel of the figure is for the (RCP– historic) data.
- Figure 5. Spatial distribution of lightning flash rate (LFR) (flashes km<sup>-2</sup> day<sup>-1</sup>) of (a) premonsoon, (b) monsoon, (c) post-monsoon and (d) winter season, using nine different
  CMIP5 model data for the future period 2079-2088 over the south/southeast Asia. The
  left column of the figure is for the model ensemble and right column for the ( RCP –
  historic) data.

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#### (a) Pre-monsoon (MAM)



Figure 1(a). Spatial distribution of lightning flash rate (LFR) (flashes km<sup>-2</sup> day<sup>-1</sup>) for premonsoon (MAM) (March, April, May) season over the south/southeast Asia using observed data from Lightning Imaging Sensor (LIS)/ Optical Transient Detector (OTD) mounted on the Tropical Rainfall Measuring Mission (TRMM) satellite (top left), model ensemble data (top right) and nine GCMs, CMIP5 model data (bottom three rows).







**Figure 1(b)**. Same as Fig 1a, but for monsoon (JJAS) (June, July, August, September) season

#### (c) Post-monsoon (ON)





## (d) Winter(DJF)







**Figure 2**. Same as Figure 1, but for annual mean.





Figure 3. Scatter plot between the monthly-averaged LFR from observed data and ensemble
mean of GCMs', CMIP5 model data for the historic period 1995-2006 over the
south/southeast Asia.



**Figure 4.** Spatial distribution of lightning flash rate (LFR) (flashes km<sup>-2</sup> day<sup>-1</sup>) **of annual mean,** using nine different CMIP5 model data for the future period 2079-2088 over the south/southeast Asia. The top left panel of the figure is model ensemble and the top right panel of the figure is for the (RCP8.5 – historic) data.

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Figure 5. Spatial distribution of lightning flash rate (LFR) (flashes km<sup>-2</sup> day<sup>-1</sup>) of (a) premonsoon, (b) monsoon, (c) post-monsoon and (d) winter season, using nine different CMIP5
model data for the future period 2079-2088 over the south/southeast Asia. The left column of
the figure is for the model ensemble and right column for the (RCP8.5 – historic) data.

Table 1: Correlation Coefficients (CC) between the observed Lightning Flash Rate (LFR)
and proxy (I), and observed LFR and various models (II) derived during pre-monsoon,
monsoon, post-monsoon, winter season and annual mean for the historic period, 19962005.

|                    |       |         |      |       | C.      | С.     |      |      |      |      |
|--------------------|-------|---------|------|-------|---------|--------|------|------|------|------|
|                    | Pre-n | ionsoon | Mor  | isoon | Post-me | onsoon | Win  | ter  | Ann  | ual  |
| GCMs               | Ι     | II      | Ι    | II    | Ι       | II     | Ι    | II   | Ι    | Π    |
| CANESM2            | 0.82  | 0.81    | 0.77 | 0.70  | 0.71    | 0.93   | 0.81 | 0.93 | 0.86 | 0.94 |
| CNRM-CM5           | 0.83  | 0.78    | 0.62 | 0.68  | 0.77    | 0.73   | 0.55 | 0.82 | 0.80 | 0.83 |
| FGOALS-G2          | 0.77  | 0.87    | 0.78 | 0.72  | 0.79    | 0.71   | 0.56 | 0.79 | 0.84 | 0.92 |
| GFDL-CM3           | 0.86  | 0.75    | 0.70 | 0.79  | 0.77    | 0.78   | 0.65 | 0.93 | 0.70 | 0.93 |
| GFDL-ESM2G         | 0.80  | 0.74    | 0.77 | 0.80  | 0.79    | 0.89   | 0.76 | 0.95 | 0.71 | 0.94 |
| GFDL-ESM2M         | 0.70  | 0.81    | 0.78 | 0.79  | 0.75    | 0.89   | 0.71 | 0.96 | 0.74 | 0.94 |
| MIROC5             | 0.87  | 0.86    | 0.53 | 0.70  | 0.76    | 0.88   | 0.70 | 0.72 | 0.81 | 0.77 |
| MIROC-ESM-<br>CHEM | 0.82  | 0.81    | 0.55 | 0.78  | 0.62    | 0.66   | 0.65 | 0.62 | 0.75 | 0.72 |
| MIROC-ESM          | 0.81  | 0.82    | 0.34 | 0.75  | 0.58    | 0.51   | 0.68 | 0.59 | 0.75 | 0.77 |

....

Table 2: Percent changes in LFR, precipitation (Pr), evaporation (Ev) and air temperature (T)
 projected for 2079-2088 as compared to the historic period 1996-2005 by various
 GCMs in RCP8.5 scenario.

| 697 |                |          |                           |                                      |        |
|-----|----------------|----------|---------------------------|--------------------------------------|--------|
|     | GCMs           | ∆LFR (%) | $\Delta \mathbf{P_r}$ (%) | $\Delta \mathbf{E}_{\mathbf{v}}$ (%) | ΔT(°C) |
| 698 | CAN-ESM2       | 2.21     | 14.57                     | 4.72                                 | 4.68   |
|     | FGOALS G 2     | 22.02    | 8.97                      | 10.26                                | 1.93   |
| 699 | CNRM_CM5       | 4.42     | 10.30                     | 12.10                                | 2.47   |
| 700 | GFDL_CM3       | 15.95    | 19.31                     | 18.52                                | 4.30   |
| /00 | GFDL_ESM 2G    | 6.25     | 5.36                      | 5.95                                 | 3.49   |
| 701 | GFDL_ESM 2M    | 10.01    | 6.13                      | 3.76                                 | 3.28   |
|     | MIROC5         | -1.62    | 18.31                     | 13.57                                | 3.37   |
| 702 | MIROC_ESM_CHEM | 3.18     | 9.42                      | 12.75                                | 4.41   |
| 700 | MIROC_ESM      | -1.64    | 7.90                      | 10.38                                | 3.99   |
| /03 | Mean           | 6.75     | 11.14                     | 10.23                                | 3.54   |

**Table 3a:** Same as Table 2, but for the projected period (2051-60) instead of 2079-2088.

| 727 | GCMs              | $\Delta LFR$ (%) | $\Delta \mathbf{P}_{\mathbf{r}}$ (%) | ΔE <sub>v</sub> (%) |
|-----|-------------------|------------------|--------------------------------------|---------------------|
|     | CAN-ESM2          | 3.08             | 2.81                                 | 5.02                |
| 728 | FGOALS G 2        | 10.41            | 6.32                                 | 1.74                |
| 729 | CNRM_CM5          | 5.50             | 5.15                                 | 4.53                |
| 720 | GFDL_CM3          | 7.02             | 8.97                                 | 8.87                |
| /30 | GFDL_ESM 2G       | 1.79             | 1.92                                 | 1.85                |
| 731 | GFDL_ESM 2M       | 4.04             | 3.55                                 | 2.14                |
| 732 | MIROC ESM CHEM    | -2.05            | 11.39                                | 4.97                |
| 700 | MIROC ESM_CHEM    | 9.20             | 4.71                                 | 4.30                |
| /33 | Mikoe_ESM<br>Mean | 4.30             | 5.60                                 | 4.29                |
| 734 |                   |                  |                                      |                     |
| 735 |                   |                  |                                      |                     |
| 736 |                   |                  |                                      |                     |
| 737 |                   |                  |                                      |                     |
| 738 |                   |                  |                                      |                     |
| 739 |                   |                  |                                      |                     |
| 740 |                   |                  |                                      |                     |
| 741 |                   |                  |                                      |                     |
| 742 |                   |                  |                                      |                     |
| 742 |                   |                  |                                      |                     |
| 745 |                   |                  |                                      |                     |
| /44 |                   |                  |                                      |                     |
| 745 |                   |                  |                                      |                     |
| 746 |                   |                  |                                      |                     |
| 747 |                   |                  |                                      |                     |
| 748 |                   |                  |                                      |                     |
| 749 |                   |                  |                                      |                     |
| 750 |                   |                  |                                      |                     |
| 751 |                   |                  |                                      |                     |
| 752 |                   |                  |                                      |                     |
| 753 |                   |                  |                                      |                     |
| 754 |                   |                  |                                      |                     |
| /54 |                   |                  |                                      |                     |
| 755 |                   |                  |                                      |                     |
|     |                   |                  |                                      |                     |

| /60         | GCMs           | $\Delta LFR(\%)$ | $\Delta \mathbf{P_r}$ (%) | $\Delta \mathbf{E}_{\mathbf{v}}$ (%) |
|-------------|----------------|------------------|---------------------------|--------------------------------------|
| 761         | CAN-ESM2       | 8.08             | 4.63                      | 7.19                                 |
| 760         | FGOALS G 2     | 14.87            | 7.96                      | 3.77                                 |
| /02         | CNRM_CM5       | 1.96             | 7.86                      | 3.45                                 |
| 763         | GFDL_CM3       | -                | -                         | -                                    |
| 764         | GFDL_ESM 2G    | 4.01             | 2.14                      | 2.27                                 |
|             | GFDL_ESM 2M    | 6.36             | 5.51                      | 2.34                                 |
| '65         | MIROC5         | -0.85            | 17.41                     | 10.09                                |
| 66          | MIROC_ESM_CHEM | 4.93             | 8.09                      | 7.02                                 |
|             | MIROC_ESM      | 3.48             | 5.97                      | 6.60                                 |
| 67          | Mean           | 5.36             | 7.32                      | 5.34                                 |
| <b>'</b> 68 |                |                  |                           |                                      |

**Table-3b:** Percent changes in LFR, precipitation ( $P_r$ ) and evaporation ( $E_v$ ) projected for2079-2088 as compared to the historic period 1996-2005 by various GCMs\*, in the758RCP4.5 scenario.

\*Model GFDL\_CM3 does not have all required parameters in RCP4.5 scenario and hence
omitted.