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## Anti-CCR9 Chimeric Antigen Receptor T cells for T Cell Acute Lymphoblastic Leukemia

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### Abstract:

T cell acute lymphoblastic leukemia (T-ALL) is an aggressive malignancy of immature T lymphocytes, associated with higher rates of induction failure in comparison to B-ALL. The potent immunotherapeutic approaches applied in B-ALL, which have revolutionized the treatment paradigm, have proven more challenging in T-ALL, largely due to a lack of target antigens expressed on malignant but not healthy T cells. Unlike B cell depletion, T cell aplasia is highly toxic. Here, we demonstrate that the chemokine receptor CCR9 is expressed in >70% of cases of T-ALL, including >85% of relapsed/ refractory disease, and only on a small fraction (<5%) of normal T cells. Using cell line models and patient-derived xenografts, we show chimeric antigen receptor (CAR)-T cells targeting CCR9 are resistant to fratricide and have potent anti-leukemic activity both *in vitro* and *in vivo*, even at low target antigen density. We propose anti-CCR9 CAR-T cells could be a highly effective treatment strategy for T-ALL, avoiding T cell aplasia and the need for genome engineering that complicate other approaches.

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**Author contributions and disclosures:** PM designed, led and performed the experiments, analysed the data, prepared the figures and wrote the manuscript. PAW led on hybridoma screening, antibody and CAR subcloning, and designed and performed *in vitro* and *in vivo* experiments. NCM, AB and DOC performed and analysed flow cytometry data on primary samples. TK performed ELISA, molecular cloning, and co-culture experiments. TL and TRD generated and maintained CCR9-negative and Fluc+ cell lines used in the experiments, and assisted in hybridoma screening. MP supported the experiments and wrote the manuscript. MRM conceived the study, obtained funding and wrote the manuscript.

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4

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29

30 **KEY POINTS:**

31

- 32 1. CCR9 is expressed on the majority of cases of T-ALL, but not on normal T cells or
- 33 other essential tissues
- 34 2. Anti-CCR9 CAR-T were highly potent against T-ALL *in vitro* and *in vivo*

35

36

37 **ABSTRACT:**

38

39 T cell acute lymphoblastic leukemia (T-ALL) is an aggressive malignancy of immature T  
40 lymphocytes, associated with higher rates of induction failure in comparison to B-ALL. The  
41 potent immunotherapeutic approaches applied in B-ALL, which have revolutionized the  
42 treatment paradigm, have proven more challenging in T-ALL, largely due to a lack of target  
43 antigens expressed on malignant but not healthy T cells. Unlike B cell depletion, T cell  
44 aplasia is highly toxic. Here, we demonstrate that the chemokine receptor CCR9 is  
45 expressed in >70% of cases of T-ALL, including >85% of relapsed/ refractory disease, and  
46 only on a small fraction (<5%) of normal T cells. Using cell line models and patient-derived  
47 xenografts, we show chimeric antigen receptor (CAR)-T cells targeting CCR9 are resistant to  
48 fratricide and have potent anti-leukemic activity both *in vitro* and *in vivo*, even at low target  
49 antigen density. We propose anti-CCR9 CAR-T cells could be a highly effective treatment  
50 strategy for T-ALL, avoiding T cell aplasia and the need for genome engineering that  
51 complicate other approaches.

52

53

## 54 INTRODUCTION

55

56 T-acute lymphoblastic leukemia (T-ALL) is an aggressive cancer arising from the malignant  
57 transformation of immature T cell precursors. It accounts for approximately 15% and 25% of  
58 cases of ALL in children and adults<sup>1</sup> respectively, and typically presents with leucocytosis or  
59 cytopenia(s), with frequent extra-medullary manifestations including central nervous system  
60 (CNS) infiltration and a mediastinal mass. Treatment is with multi-agent cytotoxic  
61 chemotherapy<sup>2</sup>. Historically, outcomes have been worse than for patients with B-ALL, but  
62 with contemporary, minimal residual disease (MRD)-directed approaches<sup>3</sup>, survival in  
63 children with B- and T-ALL is now similar, with cure rates of >90%<sup>4,5</sup>. In adults, long-term  
64 survival is much lower, approaching 50% in patients who can tolerate intensive  
65 chemotherapy<sup>1,6,7</sup>. However, just under half of patients relapse after or fail to respond to  
66 standard therapy. These patients have poor prognosis with a median OS of ~8 months<sup>8</sup>.  
67 New treatment options which impart meaningful survival benefits are lacking, with <50% of  
68 children and <10% of adults attaining sustained remissions<sup>9,10</sup>.

69 In relapsed/refractory (r/r) T-ALL, the standard approach to attaining remission is with  
70 intensive re-induction chemotherapy followed by allogeneic transplantation, with regimens  
71 typically associated with significant toxicity and high failure rates. Unlike B-ALL, where highly  
72 potent immunotherapies such as the bispecific T cell engager blinatumomab<sup>11-13</sup>, the  
73 antibody-drug conjugate inotuzumab ozogamicin<sup>14</sup>, and chimeric antigen receptor (CAR)-T  
74 cells<sup>15</sup> have revolutionized the treatment paradigm, no specific immunotherapies are  
75 available for T-ALL. Perhaps the most promising advance in r/r B-ALL is CAR-T cells, which  
76 lead to high rates of deep and sustained remissions, even in advanced and refractory  
77 disease<sup>15,16</sup>. Application of CAR-T therapy to patients with T-ALL is highly desirable.

78 Due to a lack of tumor-specific antigens, in B-ALL, CAR-T cells target pan-B cell antigens  
79 such as CD19 or CD22, leading to loss of normal B cells. However, targeting a pan-T cell  
80 antigen requires additional considerations. First, unlike B cell aplasia, which is well-tolerated,  
81 depletion of normal T cells may induce life-threatening immunodeficiency<sup>17</sup>. Secondly, CAR-  
82 T cells may target each other during manufacture and after administration<sup>18</sup>. This so-called  
83 'fratricide' precludes CAR-T targeting of pan-T cell antigens without the use of complex  
84 genome-editing or protein-retention techniques to prevent CAR-T cell expression of the  
85 cognate antigen.

86 To avoid these problems, identification of an antigen selectively expressed on T-ALL blasts,  
87 but not normal T cells or other essential cell types is critical. Here, we propose the

88 chemokine receptor CCR9 (C-C Motif Chemokine Receptor 9, or CD199) as such a target.  
89 CCR9 is a seven-pass transmembrane G-coupled receptor (GPCR) for the natural ligand  
90 CCL25<sup>19</sup> (Figure 1d), and in mice is expressed in gut intraepithelial  $\gamma\delta$  T-cells, but less than  
91 5% of normal circulating T cells and B cells<sup>20</sup>. We demonstrate CCR9 is expressed on a high  
92 proportion of cases of *r/r* T-ALL, but <5% of normal T cells. Further, we generate anti-CCR9  
93 CAR-T cells and show robust anti-tumor efficacy in multiple *in vitro* and *in vivo* models of T-  
94 ALL, without evidence of fratricide or lysis of normal T cells.

## 95 **METHODS**

96

### 97 ***Cell lines and maintenance***

98 HEK-293T cell line was cultured in IMDM (Lonza, Switzerland) and other cell lines were  
99 cultured in complete RPMI (Lonza, Switzerland), each supplemented with 10% FBS and 2  
100 mM GlutaMAX. All cell lines were routinely tested for mycoplasma using EZ-PCR  
101 Mycoplasma Detection Kit (Biological Industries) and the identity of T-ALL cell lines were  
102 verified by short tandem repeat analysis using the PowerPlex 1.2 system (Promega) in June  
103 2017. All cell lines were obtained from the Deutsche Sammlung von Mikroorganismen und  
104 Zellkulturen (DSMZ).

105

### 106 ***Generation of CCR9-KO cells by CRISPR/ Cas-9 Nicking Strategy***

107 CCR9 negative versions of P12-Ichikawa and PF382 T-ALL cell lines were generated using  
108 CRISPR/Cas9 genome engineering. Guide RNAs (gRNA) were designed targeting exon 4 of  
109 the CCR9 gene (<https://chopchop.cbu.uib.no/>), choosing the guides with the top quality and  
110 least off-target score; gRNA 1: TGGAAGACTACGTAACTTC; gRNA 2:  
111 GTACTGGCTCGTGTCATCG. The Alt-R CRISPR RNP system (IDT) was used as per  
112 manufacturer's instructions. Cells were electroporated using AMAXA Nucleofector (Lonza).  
113 Once confirmed by flow cytometry, knockout cells were validated at DNA level. DNA was  
114 extracted using Qiagen DNeasy kit followed by PCR amplification using specific primers  
115 (forward: 5'- CCCTTG CAGAGCCCTATTCC; reverse: 5'- ACCTTCAGGGTCAAGACAGC).

116

### 117 ***Flow cytometry and primary T-ALL samples***

118 Primary T-ALL tumor samples were obtained from the UK Cellbank collection or from local  
119 biobanks at Great Ormond Street Hospital (GOSH) or University College London Hospital  
120 (UCLH). The primary T-ALL samples used in primary *in vitro* killing experiments were all  
121 bone marrow samples collected at the time of initial diagnosis and were respectively derived  
122 from an adult male with high count T-ALL (genetics unknown), an adult female with high  
123 count T-ALL (genetics unknown) and a 14-year old male with STIL-deleted T-ALL.  
124 Quantification of CCR9 antigen density was undertaken using BD Quantibrite beads (BD  
125 Biosciences, NJ) according to manufacturer's instructions. Flow cytometry was performed on  
126 an BD Fortessa LSR II instrument. A list of antibodies is included in Supplementary Material.

127

128 ***Retroviral transduction of T cells***

129 CAR constructs were expressed in the SFG vector backbone. Viral supernatant was  
130 generated and PBMC transductions performed as previously described<sup>21,22</sup>.

131

132 ***FACS-based co-culture and cytotoxicity assays***

133 Target cells were pre-labelled with carboxyfluorescein succinimidyl ester (CFSE) and  
134 effector cells with CellTrace Violet (CTV; both Invitrogen, Carlsbad, CA). Co-cultures were  
135 performed with 50000 target cells/ well in a 96-well plate (25000 for primary samples).

136 After 48hrs (72hrs for primary tumor samples), the plate was spun and 100µl of supernatant  
137 was removed from the 1:8 E:T ratio wells for cytokine assays. Cytokines were measured by  
138 ELISA (Biolegend) according to manufacturer' instructions.

139 After staining with appropriate antibodies and fixable viability dye, cells were resuspended in  
140 100ul of 0.4% paraformaldehyde (PFA)/ PBS. Data acquisition was made on the BD  
141 Cytotflex instrument. Assays were performed in triplicate. To minimise the impact of  
142 alloreactivity, cytotoxicity for CAR-T cells was normalized to that for NT cells at the same E:T  
143 ratio.

144

145 ***T cell proliferation assay***

146 Effector NT or CAR-T cells were labelled with CFSE by incubating the cells for 5 minutes at  
147 a concentration of 1uM in complete media, before 2 x washes with complete media. A  
148 sample of cells was fixed in 0.4% PFA and kept at 4C, for later FACS. Cells were then  
149 plated at  $2.5 \times 10^5$ /ml, 100ul in wells of a 96-well plate (25000 cells/well), in triplicate.  
150 Irradiated (40Gy) target cells (MOLT4 or SupT1) were then added to the wells at a 1:2 ratio.  
151 After 7 days, effector cells were counted, and a sample taken for FACS. T cell proliferation  
152 was assessed by CFSE dilution compared to baseline sample and by fold-expansion.

153

154 ***Mouse models of T-ALL***

155 This work was performed under a UK Home Office–approved project license and was  
156 approved by the UCL Biological Services Ethical Review Committee. Female NSG mice  
157 aged 6-12 weeks were obtained from Charles River and assigned randomly to control and  
158 experimental groups.



159 The MOLT4-Fluc cells used in the assay were generated by lentiviral transduction of the  
160 parental cell line with a plasmid expressing luciferase. PDX samples were developed at the  
161 Institute of Child Health by Professor Owen Williams, and were derived from an 11-year old  
162 boy with hyperdiploid T-ALL (PDX 1078), a 5-year old boy with TLX3-rearranged T-ALL  
163 (PDX 1139), a 14-year old boy with STIL1-deleted T-ALL (PDX 782), a 1-year old girl with  
164 ATM-deleted T-ALL (PDX 682), a 10-year old boy with biallelic CDKN2A-deleted T-ALL  
165 (PDX 602), and an 8-year old boy with CDKN2a/ STIL1-deleted T-ALL (PDX 352).

166 Mice were intravenously injected with cell suspensions via the tail vein and tail vein bleeds of  
167 50ul were undertaken as indicated in the text. Blood, spleen and bone marrow was analyzed  
168 by FACS. Human T cells were identified as CD45brightCD3bright and T-ALL cells as  
169 CD45dimCD3dim/negative.

170 For experiments with a survival endpoint, mice were weighed at least twice weekly. Animals  
171 with >10% weight loss or those displaying evidence of graft-versus-host disease or disease  
172 progression, including hunched posture, poor coat condition, reduced mobility, piloerection or  
173 hindlimb paralysis, were killed. Bioluminescence imaging of mice was performed using the  
174 IVIS system (PerkinElmer, Buckinghamshire, UK). General anesthesia was induced and  
175 maintained using inhaled isoflurane. Following induction, intraperitoneal injection of luciferin  
176 (200ul via 27-gauge needle) was undertaken. After 2 min, mice were placed in the imaging  
177 chamber. Simultaneous optical and bioluminescence imaging was performed.

178

### 179 **Statistical analyses**

180 Unless otherwise noted, data are summarized as mean +/- standard deviation. Student's t-  
181 test was used to determine statistically significant differences between samples for normally  
182 distributed variables, and the Mann-Whitney U-test was used for nonparametrically  
183 distributed variables. Paired analyses were used when appropriate. When three or more  
184 groups were compared, one-way ANOVA with Dunnett's test for multiple comparisons with  
185  $\alpha = 0.05$  were used. For longitudinal outcomes, comparisons were made using 2-way  
186 ANOVA or a mixed effects model, with multiple comparisons between groups made by  
187 Sidak's test,  $\alpha = 0.05$ . Survival curves were generated using the Kaplan-Meier method.  
188 Graph generation and statistical analyses were performed using GraphPad Prism v9  
189 (GraphPad, La Jolla, CA).

## 190 RESULTS

191

### 192 ***CCR9 is highly expressed in T-ALL blasts with limited expression on normal immune*** 193 ***cells***

194 We sought to identify potential immunotherapy targets for T-ALL. We first reasoned that any  
195 tractable T-ALL target must be expressed in T-ALL cells, but not normal tissues. Thus, we  
196 analysed the collated gene expression profiles of 35 normal tissues (n=172 samples) as  
197 compared to MOLT-4 cells, a TAL1-positive T-ALL cell line included in the Protein Atlas  
198 Cancer compendium. Using subtractive transcriptomics, we identified 12 transcripts uniquely  
199 expressed in MOLT-4 cells but in no other normal tissue (Figure 1a)<sup>23</sup>. Of these, CCR9 was  
200 the most attractive, being predicted to reside on the cell surface and thus amenable to  
201 immunotherapy.

202 Further, analysis of the largest published pediatric T-ALL dataset shows CCR9 is expressed  
203 in 80% of T-ALL cases at the RNA-level at diagnosis, with notable expression in most  
204 HOXA-positive patients, half of whom have MLL gene rearrangements (Figure 1b,c). CCR9  
205 was expressed in 12 of 19 ETP T-ALL patients in this cohort, thus highlighting the potential  
206 of CCR9-directed therapy in the highest-risk patients. There was no significant difference in  
207 the mutation profile of CCR9 positive patients as compared to CCR9 negative patients, apart  
208 from a lower incidence of chromosome 6q deletions (p=0.002) and higher incidence of  
209 NOTCH1 mutations (p<0.0001; Supplementary Figure 1)<sup>24</sup>.

210 We examined CCR9 status of primary cases of T-ALL using flow cytometry. 74/102 cases  
211 (73%) expressed CCR9 (defined as expression on > 20% of blasts), with expression  
212 enriched in cases of relapsed/ refractory disease – 38/59 (65%) diagnostic v 11/13 (85%)  
213 relapsed v 26/30 (86%) primary refractory (Figure 1d, Supplementary Figure 2). The 20%  
214 threshold was selected to defined positivity, as blast expression of CCR9 was typically dim  
215 but homogenous by flow cytometry (Supplementary Figure 2). The median number of copies  
216 of CCR9 per cell was 1732 (1320 diagnostic v 1889 relapsed v 2175 refractory, Figure 1e).  
217 Expression was similar in pediatric (72% CCR9+) and adult cases (75% CCR9+). True  
218 biphenotypic expression with CCR9-positive and CCR9-negative blast populations was  
219 noted in only 3/102 cases (Supplementary Figure 2, highlighted in red). In diagnostic  
220 samples where full immunophenotyping was available, 6/32 cases were identified as ETP-  
221 ALL phenotype. Four of six (67%) ETP cases were CCR9+. In 3 cases where matched  
222 diagnostic and relapse samples were available, CCR9 expression was preserved or  
223 increased upon relapse.

224 Next, to examine the potential for hematological toxicity when targeting CCR9, we examined  
225 CCR9 expression on peripheral blood cells isolated from healthy donors. We found low  
226 levels of expression, limited to 11% of B cells and less than 5% of CD3+ cells. CCR9 was  
227 not expressed on monocytes, granulocytes, NK cells or peripheral blood gamma-delta T  
228 cells (Figure 1f). The median copy number on both positive B and T cells was lower than  
229 that seen in primary T-ALL, at <500 copies/ cell. Expression of CCR9 on T cells was not  
230 clearly linked to CD4/CD8 identity, markers of differentiation (CD45RA/CCR7/CD95) (Figure  
231 1f), or activation (HLA-DR) and did not change on stimulation of T cells with CD3/ CD28  
232 antibodies (data not shown).

233 We also evaluated *CCR9* expression in thymic subsets and CD34+ marrow precursor cells  
234 from healthy donors, using qPCR. As previously described, no *CCR9* expression was seen  
235 in CD34 cells, with minimal expression in single-positive peripheral blood CD4 and CD8  
236 cells, confirming FACS data. *CCR9* was expressed at low levels (< 5% of GAPDH signal) in  
237 early thymic T cell precursors (DN1 – SP CD3-) with somewhat higher expression in DP  
238 CD3+ (22% GAPDH signal) and SP CD3+ CD4 (8% GAPDH signal) and CD8 (8% GAPDH  
239 signal) thymic cells (Supplementary Figure 3).

240

241

#### 242 ***Anti-CCR9 chimeric antigen receptor (CAR)-T cells are effective against T-ALL cell*** 243 ***lines in vitro***

244 We developed a novel binder against CCR9 by gene-gun vaccination of rats with a plasmid  
245 encoding human CCR9, followed by hybridoma generation from lymphoid tissue of  
246 seroconverted animals. A single CCR9-specific hybridoma clone (P4T1) was identified, from  
247 which we generated a single-chain variable fragment. We cloned anti-CCR9 scFv as a  
248 second-generation CAR, incorporating CD8 stalk/ transmembrane domain and 4-1BB-  
249 CD3zeta endodomain<sup>25</sup> (Figure 2a). This was encoded in a gamma-retroviral viral vector  
250 with RQR8 marker/ sort-suicide gene<sup>26</sup> and used to transduce primary human T cells. CAR  
251 was detected directly on the surface of transduced cells using an anti-Fab antibody (Figure  
252 2b). T cells transduced with anti-CCR9 CAR expanded similarly to those transduced with a  
253 control CAR targeting CD19, with no evidence of fratricide (Figure 2c). No CCR9+ cells were  
254 detected in the transduced cell product, suggesting ‘purging’ of CCR9+ T cell  
255 (Supplementary Figure 4a). Further, there were no differences in expression of markers of  
256 differentiation (CD45RA, CCR7), exhaustion (TIM-3, LAG-3, PD-1) or activation (CD71,  
257 HLA-DR, forward scatter) between CAR19 and CCR9 CAR-T cells following manufacture  
258 (Supplementary Figure 4b,c).

259 We co-cultured anti-CCR9 CAR-T cells or control CAR-T targeting CD19 for 48hrs with a  
260 panel of T-ALL cell lines, which express CCR9 at varying surface densities (Figure 2d). In  
261 order to confirm specific anti-CCR9 functions, we included CCR9-negative variants of P12-  
262 Ichikawa and PF382 cell lines (designated P12-KO and PF382-KO), generated using  
263 CRISPR-Cas9. We showed specific cytotoxicity of anti-CCR9 CAR-T against CCR9+ cell  
264 lines (Suppl Fig 5d, Fig 2e), including at low target density of approximately 400 molecules/  
265 cell (SupT1-CD19, Fig 2d). A small degree of non-specific kill (~10%) above CD19 CAR was  
266 seen against PF382-KO cell line but not against P12-KO cell line, perhaps due to slightly  
267 higher basal activation. We also examined cytokine secretion and showed that anti-CCR9  
268 CAR-T specifically secreted the pro-inflammatory cytokines interferon-gamma (IFN- $\gamma$ ) and  
269 interleukin-2 (IL-2) only in co-culture with CCR9+ cell lines (Figure 2f). Further, in 7-day  
270 CFSE dilution assays, anti-CCR9 CAR-T but not anti-CD19 CAR-T proliferated in response  
271 to CCR9+ target cells (Figure 2g,h,i), with > 10-fold expansion seen over this period (Figure  
272 2i).

273

#### 274 ***Anti-CCR9 CAR-T were effective against primary T-ALL blasts in vitro***

275 We isolated blasts from peripheral blood of 3 patients with newly diagnosed T-ALL and  
276 confirmed CCR9 expression (89% v 55% v 56% blasts CCR9+ respectively) and antigen  
277 density by flow cytometry (2478 v 952 v 545 molecules per cell respectively). We incubated  
278 blasts at a 1:1 ratio for 72 hrs with NT, CAR19 or CARCCR9 cells, generated from healthy  
279 donor T cells. In comparison with NT or CAR19 cells, CARCCR9 showed potent cytotoxicity  
280 and secretion of interferon-gamma (Figure 3a-d).

281

#### 282 ***Anti-CCR9 CAR-T were effective in murine cell-line and patient-derived xenograft*** 283 ***models of high-burden T-ALL***

284 To test the anti-tumor potency of anti-CCR9 CAR-T *in vivo*, we intravenously (IV) injected  
285 NSG mice with  $3 \times 10^6$  MOLT-4 cells, engineered to express firefly-luciferase (MOLT4-Fluc)  
286 (Figure 4a). Engraftment and exponentially increasing disease signal in marrow was  
287 confirmed by bioluminescence imaging (BLI) at D4 and 9 following injection (Figure 4b).  
288 Mice were treated on D9 (CAR D+0) with  $8 \times 10^5$  IV NT, CAR19 or CARCCR9 cells, and  
289 disease was tracked by BLI and clinical assessment. While untreated mice and those  
290 receiving NT or CAR19 cells experienced disease progression, rapid weight loss and death  
291 by CAR D+16, mice receiving CARCCR9 had disease regression, continued weight gain and  
292 prolonged survival beyond CAR D+80 (Figure 4c,d). Further, in order to confirm durable T

293 cell memory, mice were re-injected with  $1 \times 10^6$  MOLM4-Fluc on CAR D+40. In 3/4 (75%) of  
294 mice, no increasing signal was detected in marrow, suggesting continued anti-CCR9  
295 immunosurveillance (Figure 4c,d). The remaining mouse died of progressive CCR9+  
296 disease in the absence of detectable human T cells.

297 We also tested CARCCR9 in patient-derived xenograft (PDX) models of T-ALL (Figure 5a-  
298 h), with antigen densities of 1078 (Figure 5b) and 1139 (Figure 5i) molecules/ cell  
299 respectively. NSG mice were injected with  $1 \times 10^6$  primary blasts, then  $8 \times 10^5$  NT, CAR19 or  
300 CARCCR9 cells were administered IV on D+20 (CAR D+0) (Figure 5a,h). In PDX model  
301 1078 (Figure 5a,b), disease was slowly progressive, with NT or CAR19 recipients displayed  
302 increasing blast percentage in peripheral blood (Figure 5c). Leukemic death occurred in  
303 most animals by CAR D+120 (Figure 5d), in association with massive splenomegaly (Figure  
304 5e) and heavy infiltration of spleen (Figure 5f)/ marrow (Figure 5g) with T-ALL. Late tumor  
305 regression associated with development of xeno-GvHD was seen in one recipient of CAR19.  
306 By contrast, in recipients of CARCCR9, no tumor was detected in peripheral blood until the  
307 end of the study (Figure 5c), although low level infiltration of T-ALL blasts was seen at  
308 necropsy in both spleen and marrow in 1/4 animals (Figure 5f,g). Blasts were CCR9+ and no  
309 T cells were detected. PDX model 1139 was more aggressive (Figure 5h,i), with recipients  
310 of NT or CAR19 displayed increasing ALL burden in peripheral blood over time (Figure 5j),  
311 with eventual leukemic death and massive splenomegaly in all animals (Figure 5k,l). By  
312 contrast, all CARCCR9 recipients had undetectable leukemia and disease-free survival until  
313 CAR D+60, when mice were culled due to development of graft versus host disease (GvHD)  
314 in some animals (median survival NT 42 days, CAR19 42 days, CARCCR9 NR,  $p = 0.0032$ ,  
315 Figure 5k). At the time of cull, all CCR9 CAR recipients had normal-sized spleens, with no  
316 detectable leukemia either in spleen (Figure 5l, m) or marrow (Figure 5o). Instead, human T  
317 cell infiltration was seen (Figure 5n,o).

318 Finally, given the high potency of CARCCR9 thus far demonstrated, we sought to investigate  
319 performance *in vivo* against low density targets. Thus, PDX with antigen densities of 782,  
320 682, 602 and 352 CCR9/ cell respectively were engrafted in NSG mice as before, with IV  
321 administration of  $8 \times 10^5$  CAR19 or CARCCR9 cells on D+20 (Figure 6a). Even at these low  
322 densities, tumour clearance and long term survival until CAR D+60 was seen in all recipients  
323 of CARCCR9, other than those engrafted with the lowest density PDX (352/cell) (Figure 6b-  
324 e). All surviving mice were culled at D60 due to development of GvHD in the majority of  
325 animals. Notably, even in PDX 352, which was the most aggressive model tested, initial  
326 disease control was seen in 4/5 recipients of CARCCR9, followed by rapid relapse in all  
327 mice. This was associated with a survival benefit for CARCCR9 recipients (Figure 6e).



## 329 DISCUSSION

330

331 In this study, we have demonstrated that CCR9 is a viable immunotherapy target for T-ALL,  
332 expressed in the majority of patients with T-ALL, but on <5% of peripheral blood T cells.  
333 Anti-CCR9 CAR-T cells were not prone to fratricide, specifically lyzed T-ALL cell lines and  
334 primary tumors *in vitro* and were highly potent in multiple *in vivo* models of T-ALL.  
335 Importantly, most patients with ETP-ALL, a group with a particularly high risk of induction  
336 failure, and >85% of patients with *r/r* T-ALL expressed CCR9, suggesting CCR9 CAR-T cell  
337 therapy could be a valuable approach in those patients most at need of novel treatment  
338 approaches.

339 Currently, treatment options in *r/r* T-ALL are limited, and there is no standard of care. Most  
340 patients receive combination salvage chemotherapy, typically including a purine analogue  
341 such as nelarabine. However, salvage regimens are associated with considerable morbidity  
342 and mortality, and remission rates of <40%<sup>27</sup>. For the minority of patients who enter  
343 remission, allogeneic hematopoietic stem cell transplant (allo-HSCT) is often considered as  
344 a curative option. However, allo-HSCT is only suitable for younger, medically fit patients and  
345 is associated with a mortality of up to 20%<sup>28</sup>.

346 Few investigational approaches to T-ALL are available. The anti-CD38 mAb daratumumab is  
347 being tested in a clinical study in children with *r/r* T-ALL [NCT03384654], but clinical data to  
348 date is limited. A single case report of off-label use in MRD-positive T-ALL showed 2  
349 patients attained MRD-negative remission, sustained to 10 months with continuous  
350 treatment<sup>29</sup>, but no long-term data is currently available. Although CD38 is expressed by  
351 >70% of T-ALL cases<sup>30</sup>, it is not an ideal immunotherapy target since it is also expressed by  
352 activated T cells, haematopoietic stem cells (HSCs) and monocytes<sup>31</sup>.

353 Given the success of CAR-T in B-ALL, there is great interest in development of CAR-T for T-  
354 ALL. Multiple CAR-T targets have been proposed, most of which are pan-T cell antigens  
355 (CD3<sup>32</sup>, CD4<sup>33</sup>, CD5<sup>34</sup>, CD7<sup>18,35</sup>) or are expressed on activated T cells (CD38<sup>36</sup>). We  
356 previously described targeting of TRBC1 or TRBC2 alleles at the TCR beta constant region,  
357 an approach which may spare a substantial proportion of normal T cells<sup>21</sup>. However, this  
358 strategy is better suited to mature T cell malignancies, since surface expression of the T cell  
359 receptor is limited to ~15-20% of T-ALL<sup>1</sup>. CD1a is a potential target absent from normal T  
360 cells<sup>37</sup>, but expression defines cortical T-ALL, which constitutes a good prognostic group.  
361 Hence, it is infrequently expressed in *r/r* disease (~10% of *r/r* T-ALL cases)<sup>30</sup>.

362 The most clinically advanced CAR-T targets for T-ALL are CD7 and CD5. CD7 is expressed  
363 on >90% of r/r T-ALL, but also on both natural killer cells and normal (and CAR) T cells. To  
364 prevent CAR-T fratricide, strategies to knock-out CD7 by genome editing or protein retention  
365 are therefore needed, introducing complexity to manufacture<sup>18,35</sup>. An initial study has been  
366 published. This used allogeneic donor-derived CAR-T cells, limiting use to patients pre/post  
367 allo-HSCT<sup>38</sup>. Of 20 reported patients, 18 (90%) attained CR at 30 days. 7/19 responders  
368 (37%) then received allo-HSCT. Nine of twelve patients not transplanted remained in  
369 remission with median F/U of 6.3 months. Depletion of normal T and NK cells was  
370 observed, and some patients experienced opportunistic infections/ viral reactivations.  
371 Unexpectedly, most patients had recovery of CD7-negative peripheral T and NK cells,  
372 although in markedly reduced numbers.

373 Another clinically-tested target is CD5, expressed on >70% of r/r T-ALL but also on all  
374 normal T cells. Preclinical data demonstrated that anti-CD5 CAR-T cells showed relative  
375 sparing of normal T cells, so knock-out of CD5 might not be needed<sup>34</sup>. However, early  
376 clinical data showed no responses in patients with T-ALL<sup>39</sup>, perhaps due to reported  
377 exhaustion from chronic self-stimulation<sup>34</sup>.

378 Here, we have identified CCR9 as another potential CAR target in T-ALL, which is not  
379 expressed on most normal T cells: thus, T cell fratricide and T cell aplasia are unlikely to be  
380 risks of this strategy. However, other potential on-target off-tumor risks must be considered.  
381 In mature tissues CCR9 is expressed mainly on gut-resident immune cells, including gut-  
382 homing CD4+ and CD8+ T cells<sup>40</sup>, gamma-delta T cells<sup>41</sup>, plasmacytoid dendritic cells  
383 (pDCs)<sup>42</sup>, IgA plasmablasts and plasma cells<sup>43</sup>, and intraepithelial lymphocytes (IELs)<sup>44</sup>. It is  
384 important to note that CCR9 is not, however, expressed on gut epithelium. Its only known  
385 ligand is CCL25, which is constitutively expressed in thymic and intestinal epithelial cells,  
386 and is overexpressed in the intestine during gut inflammation and autoimmunity<sup>20</sup>.  
387 CCL25/CCR9 interactions play a role in infiltration of effector T cells to the small intestinal  
388 mucosa, and are also involved in thymic T cell migration and maturation<sup>45</sup>, with maximal  
389 CCR9 expression found on double-positive thymocytes<sup>46</sup>.

390 Human and murine CCR9 share similar function, expression patterns and 86% sequence  
391 homology, and so evidence from murine models may be instructive. *Ccr9* *-/-* mice display  
392 normal T cell development and, although diminished numbers of gamma-delta IELs are  
393 present in the small intestine, this observation is not associated with any adverse  
394 phenotype<sup>19,41</sup>, probably due to some functional redundancy with other receptors including  
395 CD103 and *Ccr77*<sup>45</sup>. Some clinical data also suggests CCR9 may be safely targeted in  
396 humans. The CCL25/ CCR9 axis has been implicated in the pathology of inflammatory



397 bowel disease<sup>47,48</sup>, leading to clinical trials of a small-molecule inhibitor of CCR9 in Crohn's  
398 disease. Although these trials did not demonstrate efficacy, anti-CCR9 therapy was not  
399 associated with significant toxicity, in the gut or elsewhere<sup>48,49</sup>. Indeed, gut-resident T cells  
400 are also CD7+, and no early gut toxicity has been seen in initial studies of anti-CD7 CAR-T,  
401 despite presumed targeting of these cells<sup>38</sup>. Further, although CCR9-directed  
402 immunotherapies may lead to thymic ablation, a recent meta-analysis of children who have  
403 undergone total thymectomy during cardiothoracic procedures has shown no evidence of  
404 clinical immunocompromise, increased risk of cancer or autoimmunity<sup>50</sup>.

405 Whether CCR9 has a role in the pathophysiology of T-ALL or simply reflects expression by  
406 the underlying normal thymocyte counterparts of T-ALL blasts is unknown. CCR9 is a  
407 downstream target of NOTCH1<sup>51</sup>, which is physiologically expressed in normal thymocytes  
408 but affected by oncogenic activating mutations in ~60% of cases of T-ALL<sup>52</sup>, potentially  
409 explaining the higher rate of *NOTCH1* mutations identified in the CCR9+ cohort (Suppl Fig  
410 1). In our dataset, CCR9 expression was enriched in patients with *r/r* disease, suggesting  
411 CCR9 may identify patients with worse prognosis. Previous reports described that CCR9  
412 expression in T-ALL may confer a proliferative phenotype<sup>51</sup> and resistance to apoptosis<sup>53</sup>.  
413 Further, some T-ALL cell lines or primary blasts can signal through CCR9, demonstrating  
414 CCL25-mediated chemotaxis. However, no clear evidence demonstrates the CCL25/ CCR9  
415 axis is required for disease initiation or progression<sup>51,54</sup>. Indeed, in our study CRISPR/Cas9-  
416 mediated knockout of CCR9 from P12-Ichikawa and PF382 cell lines did not reduce blast  
417 survival or growth, indicating CCR9 signalling is not likely a requirement for survival *in vitro*.  
418 Of note, no CCR9 loss was seen in the cell line or PDX models used in this study, despite  
419 prolonged selection pressure, suggesting that neither *de-novo* antigen downregulation nor  
420 selection of a pre-existing CCR9-negative clone occurred. This is important as in B-ALL  
421 patients treated with anti-CD19 CAR-T<sup>55</sup>, CD19 downregulation is an important cause of  
422 relapse. Ultimately, clinical testing will be required to determine if CCR9 antigen loss is seen  
423 in T-ALL patients treated with CARCCR9.

424 In our analysis, we found relatively low expression of CCR9 on primary T-ALL compared to  
425 that reported for other CAR targets in ALL. CCR9 median surface density was 1732  
426 molecules/ cell on T-ALL blasts, compared to ~10000 for CD19 and ~14000 for CD22 on B-  
427 ALL<sup>56</sup>. Antigen-low escape was recently seen in a trial of anti-CD19/22 CAR-T in B-ALL and  
428 diffuse large B cell lymphoma (DLBCL): in this study, 'low' density was defined as <3000  
429 molecules/cell<sup>57</sup>. Despite low target density, we found anti-CCR9 CAR-T cells displayed  
430 potent cytokine secretion and cytotoxicity against both cell line and primary T-ALL targets.  
431 Indeed, in co-culture with the SupT1-CD19 cell line, which natively expresses CCR9 at only  
432 ~450 molecules/ cell, and transgenically expresses CD19 at 80 000 molecules/cell,

433 production of interferon-gamma and IL-2 was similar between anti-CCR9 and anti-CD19  
434 CAR-T cells. In addition, no evidence of antigen-low escape was seen in multiple PDX  
435 models with very low CCR9 density, including one model extending >100 days. Indeed, only  
436 in a highly aggressive PDX model with extremely low antigen density (352/ cell) was initial  
437 clearance followed by tumor escape seen. Precedent for targeting of a low-density molecule  
438 comes from the clinical success of CAR-T targeting B cell maturation antigen (BCMA) in  
439 myeloma<sup>58,59</sup>, which is expressed at a median density of only 1061 molecules/ cell<sup>60</sup>.

440 In conclusion, we have demonstrated that CCR9 is a viable potential CAR-T target for r/r T-  
441 ALL. Clinical exploration of anti-CCR9 CAR-T for T-ALL is warranted, and a phase 1 clinical  
442 trial of anti-CCR9 CAR-T is planned. CAR-T cells against CCR9 and other targets may  
443 potentially bring the potent therapeutic potential of cellular immunotherapy to this neglected  
444 disease area.

445

446

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463

## 464 **CONTRIBUTIONS**

465 PM designed, led and performed the *in vitro* and *in vivo* experiments, analysed the data,  
466 prepared the figures and wrote the manuscript. PAW led on hybridoma screening, antibody  
467 and CAR subcloning, and designed and performed *in vitro* and *in vivo* experiments. NCM,  
468 AB and DOC sourced T-ALL samples and performed and analysed flow cytometry data on  
469 primary samples. SD and MH assisted with *in vitro/ in vivo* data collection. TK performed  
470 ELISA, molecular cloning, and co-culture experiments. TL and TRD generated and  
471 maintained CCR9-negative and Fluc+ cell lines used in the experiments, and assisted in  
472 hybridoma screening. S Rahman and RP assessed *CCR9* expression on thymic subsets by  
473 qPCR. DM, SR and TC supplied sorted thymic subset cells. GG supplied primary T-ALL  
474 samples. OW provided T-ALL PDX samples. LL provided support for the experiments and  
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476 experiments and wrote the manuscript. MRM conceived the study, obtained funding and  
477 wrote the manuscript.

478

479

480 **CONFLICTS OF INTEREST**

481 PMM and LL own stock and received research funding from Autolus Ltd. MAP is employed  
482 by and owns stock in Autolus Ltd. No other authors declare conflicts of interest.

483

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653

654 **FIGURE LEGENDS**

655

656 **Figure 1: CCR9 is expressed on T-ALL blasts with limited expression on normal**  
 657 **peripheral blood cells** (a) Heatmap showing genes that are solely expressed in MOLT-4 T-  
 658 ALL cells (red) as compared to 35 normal tissue (blue; n=172 samples) using subtractive  
 659 transcriptomics from data from the Protein Atlas<sup>23</sup> (b,c) CCR9 gene expression as

660 determined by RNA-seq from pediatric T-ALL patients from St Jude's Hospital, Memphis<sup>24</sup>.  
661 Pie chart (b) shows distribution of patients considered CCR9 positive (FPKM >2.0), while  
662 scatter chart (c) shows CCR9 expression according to genetic subgroup (UNK=unknown).  
663 (d) Expression of CCR9 on 102 cases of primary T-ALL, proportion of positive blasts (e)  
664 CCR9 antigen density of positive samples, antibodies bound per cell.

665

666 **Figure 2: Anti-CCR9 CAR has potent cytotoxicity against T-ALL cell lines *in vitro*** (a)  
667 Structure and vector design of anti-CCR9 and control anti-CD19 CAR used in the study,  
668 utilising 'Campana' architecture with RQR8 marker/ sort-suicide gene (b) Expression of anti-  
669 CCR9 CAR on the surface of transduced T cells, detected by anti-murine Fab. (c) Fold  
670 expansion of non-transduced (NT), CAR19 or CARCCR9 cells 5 days following transduction  
671 (d) Antigen density of CD19 and CCR9 on cell lines used in study (e) Cytotoxicity of CAR19  
672 v CARCCR9 against primary T-ALL cell lines, data normalised to NT condition, 48hr co-  
673 culture, data shown at 1:8 E:T ratio (f) Secretion of interferon gamma (left) and IL-2 (right) in  
674 48hr co-culture, 1:8 E:T ratio as in (e). (g) Example flow plot of CFSE dilution on T cells after  
675 7 day incubation with irradiated MOLT-4 cells at 1:2 ratio (h) Quantification of T cell CFSE  
676 dilution, 3 donors (i) Fold expansion of T cells after 7-day co-culture with irradiated SupT1  
677 cells at 1:2 ratio, 3 donors \* p,0.05, \*\*p <0.01, \*\*\*p<0.001, \*\*\*\*p<0.0001

678

679 **Figure 3: Anti-CCR9 CAR has potent cytotoxicity against primary T-ALL blasts *in***  
680 ***vitro***. NT, CAR19 or CARCCR9 cells from healthy donor T cells were incubated for 72hrs, at  
681 1:1 ratio with T-ALL blasts obtained from 3 separate patients (a,c,d) Flow cytometry of  
682 sample CCR9 density (left), quantification of remaining blasts (middle) and interferon  
683 gamma secretion (right) in patient 1-3 respectively (b) Example flow cytometry gating from  
684 patient 1 at end of co-culture

685

686 **Figure 4: Anti-CCR9 CAR has potent anti-tumor activity in a MOLT4 xenograft model**  
687 **of T-ALL** (a) Schematic of murine MOLT4 model (b) Bioluminescence signal in mice in  
688 study (c) Mass of mice in study, expressed as percentage of starting mass. (d) Survival of  
689 mice in study. n= 4/group. Experiment performed twice, data shown from representative  
690 experiment.

691

692 **Figure 5: Anti-CCR9 CAR has potent anti-leukemic activity in patient-derived**  
693 **xenograft models of T-ALL** (a) Flow diagram of PDX model 1, n = 4/group (b) Flow  
694 cytometry of CCR9 expression in blasts of PDX model 1 (c) Serial bleeds of mice, % tumor  
695 of total CD45+ cells (d) Survival of mice in PDX model 1 (e) Spleen mass at necropsy in  
696 PDX model 1 (f) Tumor in spleen, % of total CD45+ cells (g) Tumor in marrow, % of total  
697 CD45+ cells (h) Flow diagram of PDX model 2, n = 5 (NT), 3 (CAR19) and 5 (CARCCR9) (i)  
698 Flow cytometry of CCR9 expression in blasts of PDX model 2 (j) Serial bleeds of mice in  
699 PDX model 2, % tumor of total CD45+ cells (k) Survival of mice in PDX model 2 (l) Spleen  
700 mass at necropsy in PDX model 2 (m) Tumor in spleen in PDX model 2, % of total CD45+  
701 cells (n) T cells in spleen in PDX model 2, % of total CD45+ cells (o) T cells and tumor in  
702 marrow of CARCCR9 recipients in PDX model 2, % of total CD45+ cells

703

704 **Figure 6: Anti-CCR9 CAR has potent anti-leukemic activity in patient-derived**  
705 **xenograft models of T-ALL with low CCR9 antigen density** (a) Flow diagram of low  
706 density PDX models (b-e) PDX models 3-7. CCR9 antigen density in PDX blasts before  
707 injection, molecules per cell (left), leukemic burden in peripheral blood in PDX models  
708 (centre) and survival curves of animals in PDX models (right). n = 5/ group in all models.

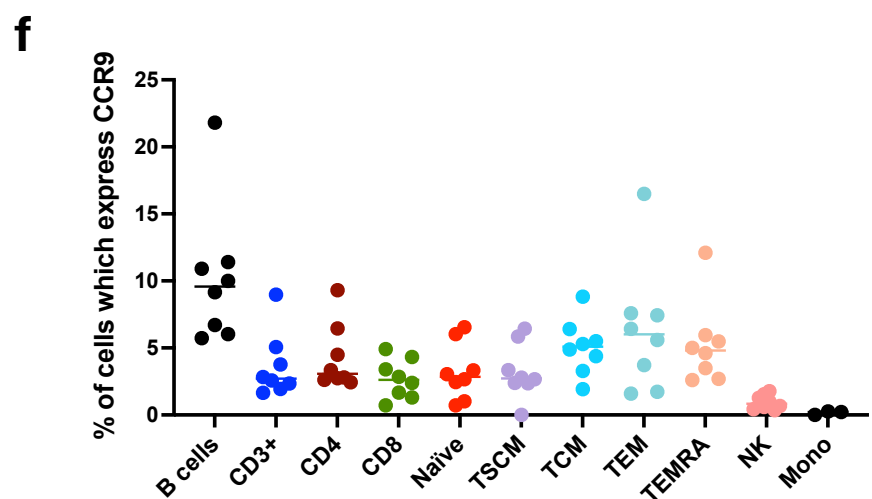
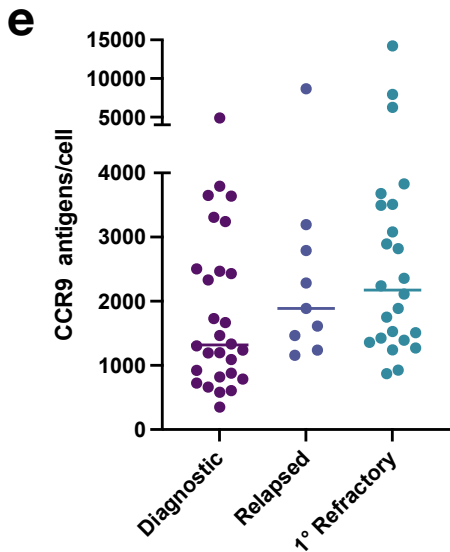
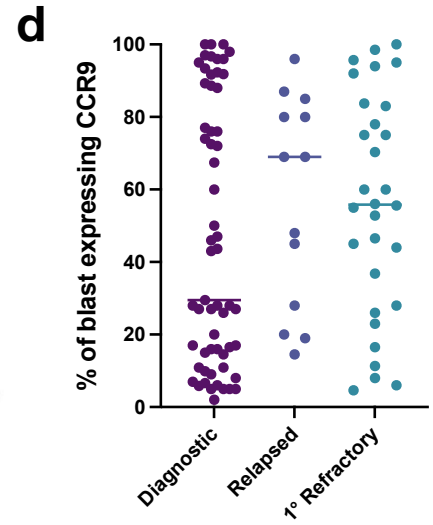
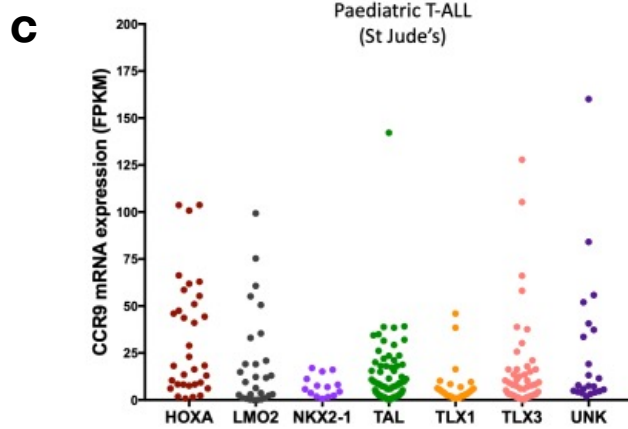
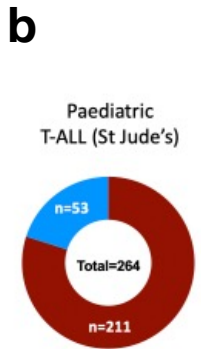
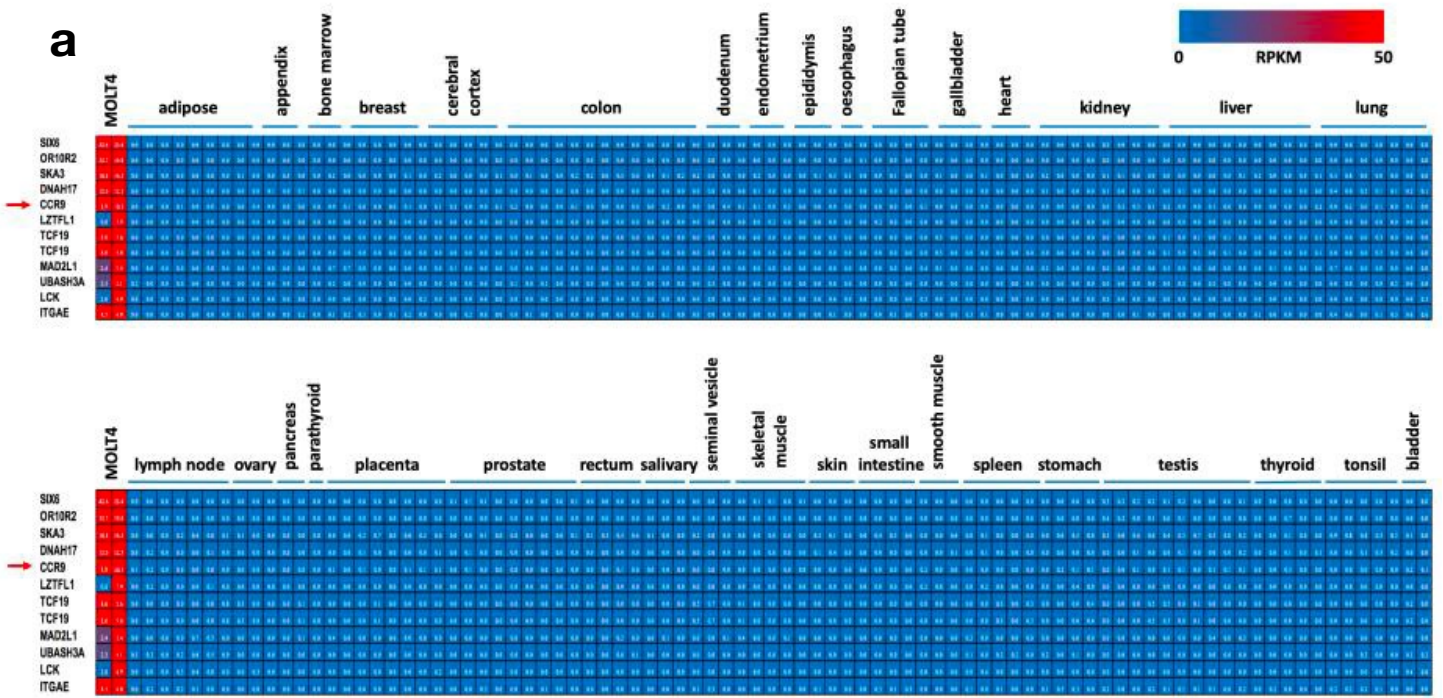
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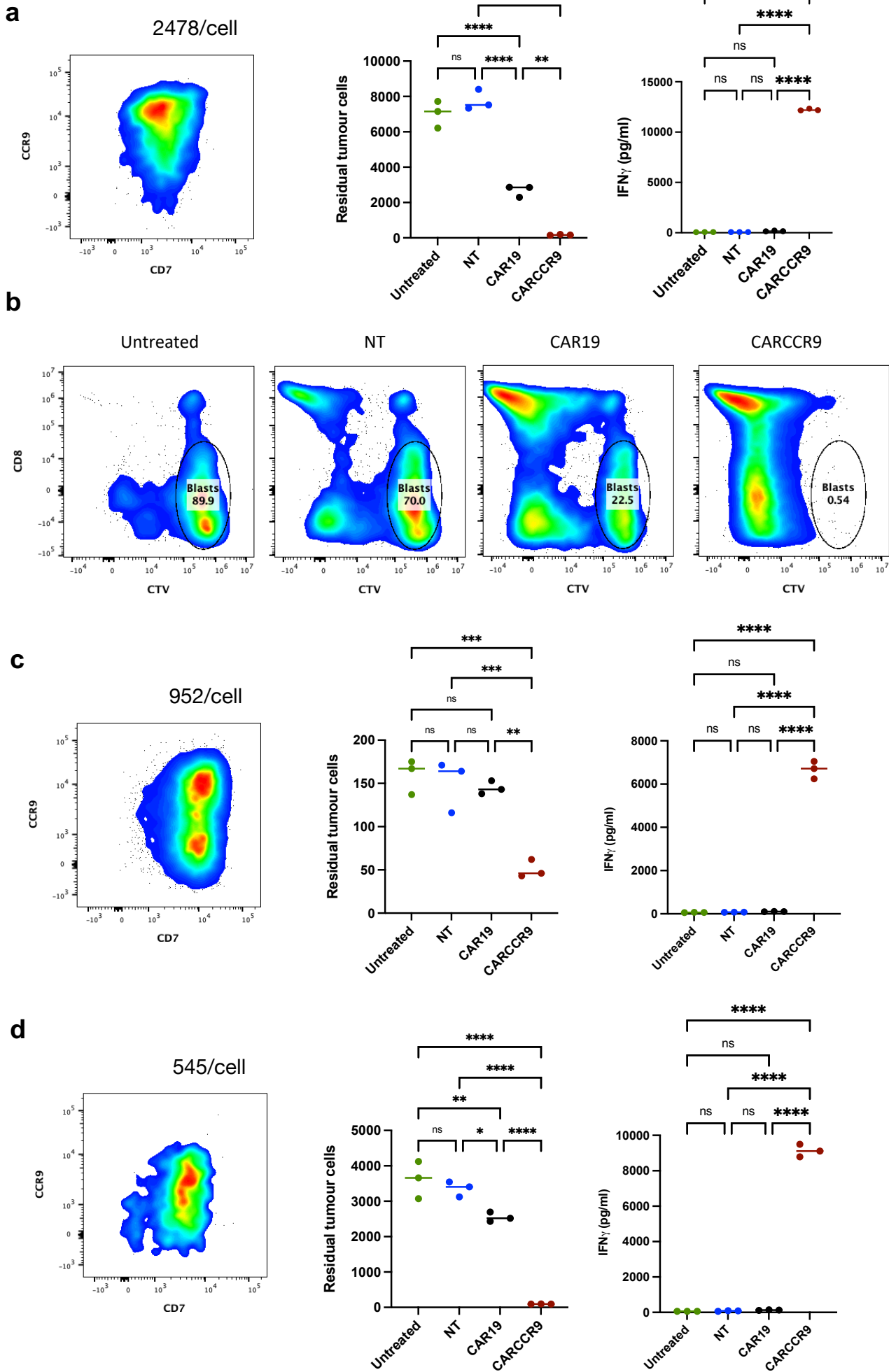
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# FIGURE 1

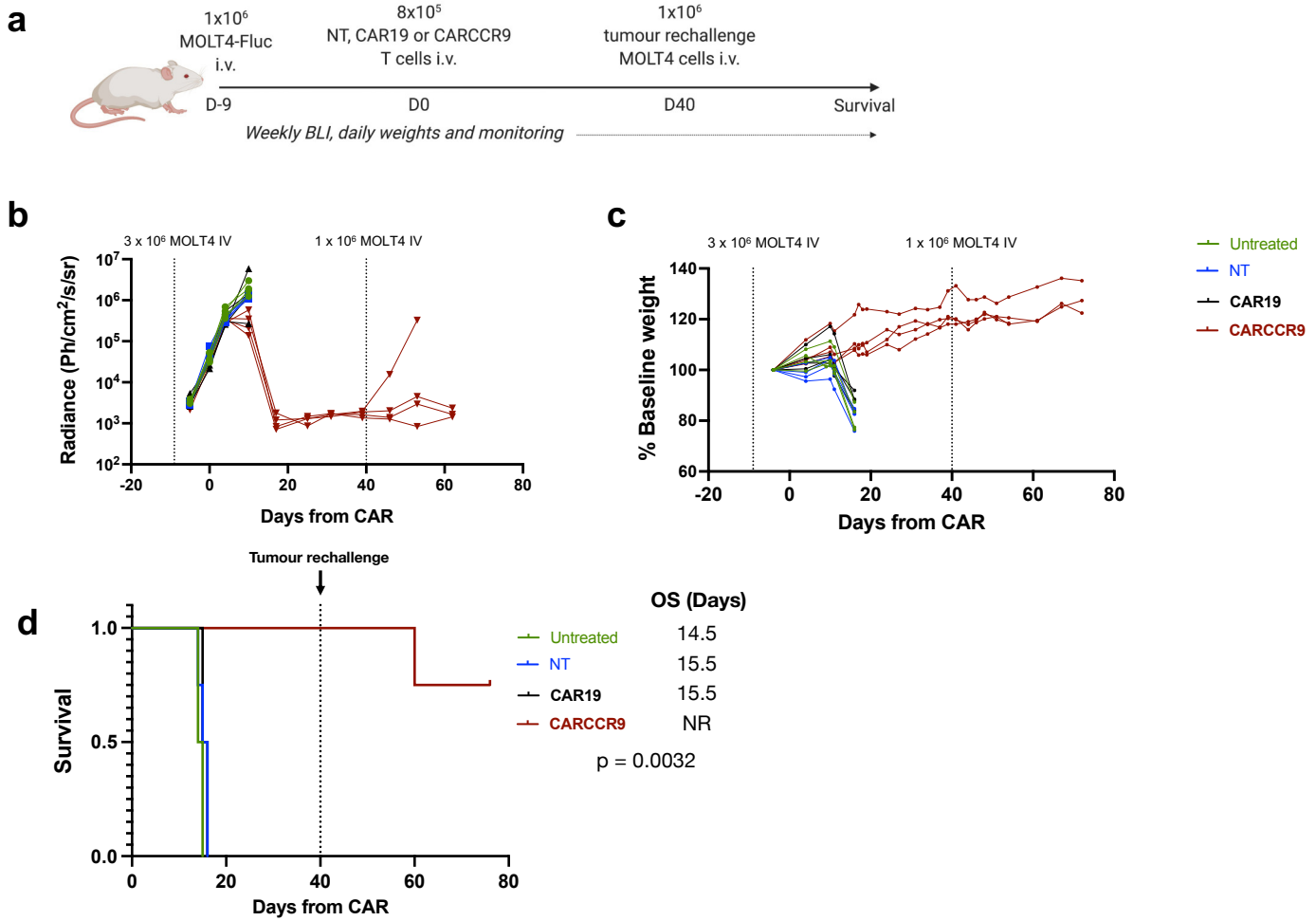




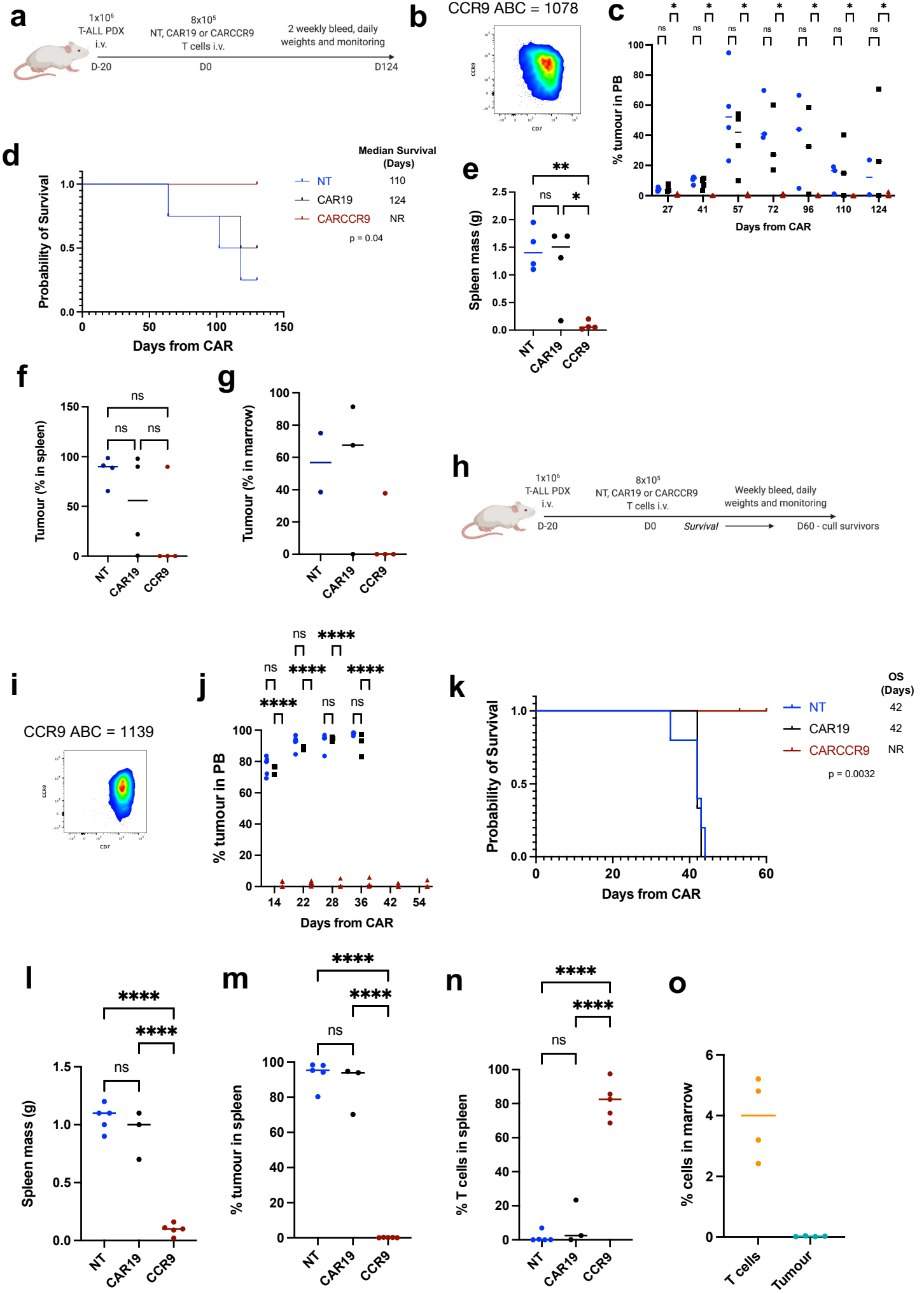
# FIGURE 3



# FIGURE 4



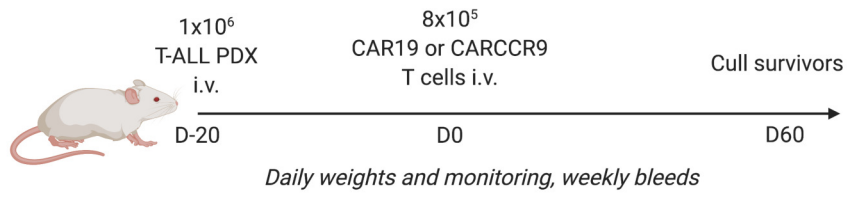
# FIGURE 5





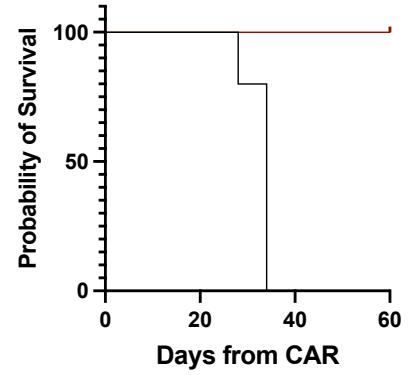
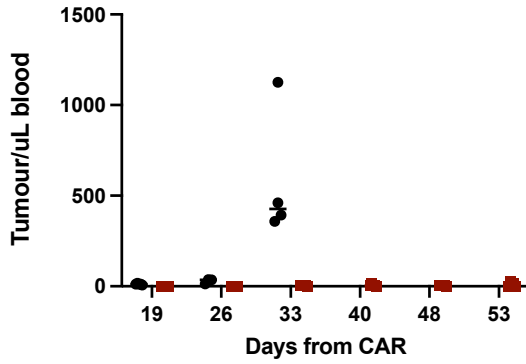
# FIGURE 6

**a**



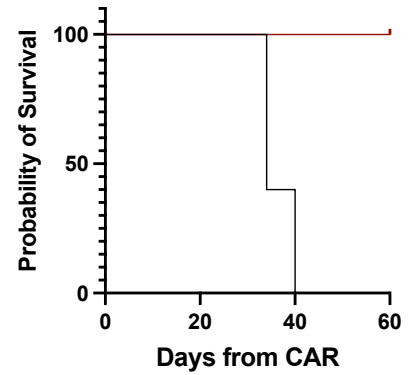
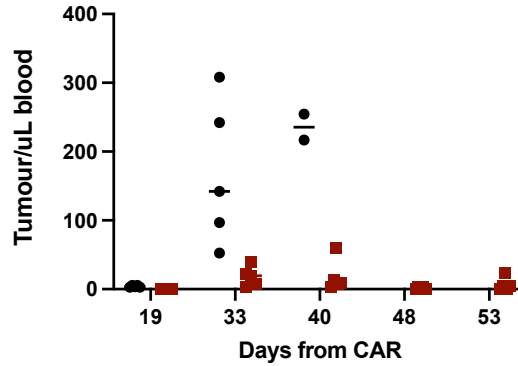
**b**

782/cell



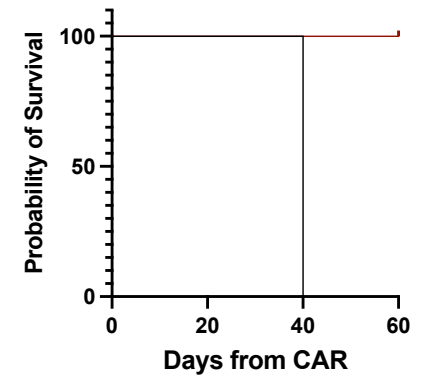
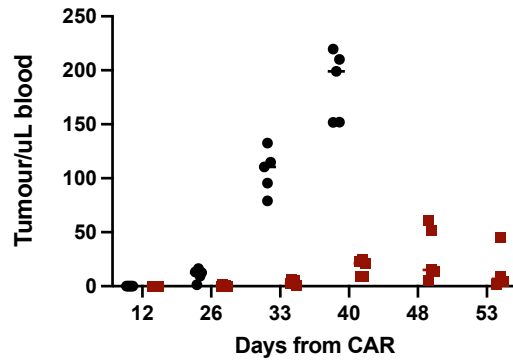
**c**

682/cell



**d**

602/cell



**e**

352/cell

