| 1 | Current Principles in the Management of Drug-Resistant Epilepsy |
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23 Abstract:

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- 25 Drug-resistant epilepsy (DRE) is associated with poor health outcomes and increased economic
- 26 burden. In the last three decades, various new anti-seizure medications have been developed, but
- 27 the proportion of people with DRE remains relatively unchanged. Developing strategies to
- 28 address DRE is essential. Here, we define DRE and emphasize its relationship to the
- 29 conceptualization of epilepsy as a symptom complex, delineate clinical risk factors, and
- 30 characterize mechanisms based on current knowledge. We address the importance of ruling out
- 31 pseudo resistance and consider the impact of nonadherence on determining whether an individual
- 32 has DRE. We then review the principles of epilepsy drug therapy and briefly touch upon newly
- 33 approved and experimental anti-seizure medications.
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35 Key Points

- Epilepsy is a symptom-complex.
 - Numerous mechanisms exist for drug-resistant epilepsy.
- Novel therapeutics seek to mitigate drug resistance.
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43 **1. Introduction**

44 Epilepsy, a tendency to have unprovoked epileptic seizures, is a common neurological 45 condition with a point prevalence of around 6.3 per 1,000 persons.¹ Mostly, people with 46 epilepsy have good outcomes. Up to third, however, continue to have seizures despite treatment, 47 and they constitute the group with drug-resistant epilepsy (DRE) or chronic epilepsy. The 48 definition of DRE differs across studies, but the pooled prevalence of DRE among people with epilepsy is 25-36%, though the risk may be lower in the elderly.²⁻⁵ People with DRE have high 49 rates of psychiatric and somatic comorbidities and are at an increased risk of premature death, 50 injuries and poorer quality of life.^{6-9,10} DRE leads to increased visits to casualty, 51 52 hospitalizations, length of hospital stay, consultations, and medical expenditures.¹¹ Despite the 53 launch of several new anti-seizure medications (ASMs) over the past three decades, the rate of 54 DRE remains relatively unchanged. Accordingly, developing strategies to address DRE is 55 essential. Here, we provide a conceptual framework for DRE, characterize the underpinnings of 56 treatment, and provide an update on new therapies.

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2. Methods

59 This is a narrative review. PubMed MEDLINE and Google Scholar were searched in 60 January 2022 with keywords including "drug-resistant epilepsy", "drug resistant epilepsy", 61 "antiepileptic drugs", "anti-epileptic drugs", "anti-seizure medications", and "antiseizure 62 medications" to retrieve studies related to the mechanisms of DRE, measures to verify DRE, and 63 existing and emerging therapeutics for DRE. Studies providing primary data were aggregated in 64 a citation manager. Relevant review articles were browsed for additional studies that provided 65 information on the topic of interest.

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3. Defining Drug-Resistant Epilepsy

In 2010, the International League Against Epilepsy (ILAE) released a consensus definition of DRE.¹² The framework includes two "hierarchical levels". Level 1 is a general scheme to categorize response to a given intervention first based on whether the individual attains seizure freedom or experiences treatment failure and then on the occurrence of adverse effects. ^{12, 13} Appropriate application of this level assumes appropriateness and adequate application of the intervention.^{12, 14} Adequate/informative trials depend on the intervention,

duration of exposure, seizure occurrence and adverse effects during the trial period, whether dose 74 was optimized, and, if applicable, reasons for discontinuation.¹² Level 1 forms the foundation for 75 76 level 2, which defines DRE as a failure of appropriate trials of at least two well-tolerated, 77 appropriately selected, and properly used – whether in monotherapy or combination – ASM regimens to achieve sustained seizure freedom.^{12, 13} Well-tolerated implies freedom from 78 disabling side effects.¹⁴ Appropriately selected means that the seizure or epilepsy type is 79 responsive to the ASM.¹⁴ Properly used indicates that adequate doses must be used for 80 81 significant lengths of time before discontinuing the medication trial and that treatment failure must not solely result from a lack of adherence.¹⁴ The two ASM caveat in Level 2 arises from 82 83 suggestions that the likelihood of treatment success of subsequent regimens is reduced if complete seizure control is not attained with two ASMs.^{15, 16} Newer data suggests that although 84 85 epilepsy unsuccessfully controlled by the first ASM has a 1.73 times greater odds of not 86 responding to treatment for each ensuing medication regimen, 14% of the remaining population of individuals with DRE become seizure-free with the sixth ASM.¹⁷ Overall, both levels of the 87 consensus definition has a high degree of inter-rater reliability.¹⁸ Notably, the definition is 88 dynamic rather than static.^{12, 19} 89

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4. Epilepsy as a Symptom-Complex

Epilepsy is the tendency to have unprovoked seizures secondary to brain pathology or 92 system dysfunction.²⁰ The aetiology, demographics, clinical presentation, treatment strategies, 93 and prognosis of epilepsy vary considerably.²¹ Thus, epilepsy is more appropriately described as 94 95 a symptom-complex with multiple risk factors and a strong genetic predisposition rather than a disease with one expression and aetiology.^{22, 23} Epilepsy includes a spectrum of disorders, all of 96 97 which result in epileptic seizures with associated biological, psychological, and social conditions, resting on comorbidities.^{24, 25} Additionally, epilepsy comprises a portion of the functional 98 99 spectrum of brain conditions involving abnormal paroxysmal neuronal or glial functioning, 100 including neurologic and psychiatric disorders, all likely precipitated by a tendency toward paroxysmal activity.²¹ DRE forms part of the epilepsy continuum, with distinct clinical risk 101 102 factors and genetic predispositions.

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104 5. Clinical Risk Factors for Drug-Resistant Epilepsy

105 Risk factors for DRE have been identified. High seizure frequency around onset and the presence of a structural cause, are common predictors of DRE.^{15, 16} Individuals with febrile 106 seizures. multiple seizure types, symptomatic etiology, status epilepticus, and abnormal EEG 107 may be more predisposed to DRE.³ Predictors based on the age of onset of epilepsy have also 108 109 been identified. In children younger than one year, high seizure frequency before diagnosis or 110 treatment, abnormal neuroimaging; abnormal EEG; symptomatic epilepsy; mixed seizure types; history of status epilepticus; and intellectual disability predict poor prognosis.²⁶⁻²⁹ In adolescents. 111 focal epilepsy, developmental delay, or psychiatric disturbances predict DRE.³⁰ In adults, 112 113 symptomatic focal epilepsy mainly due to mesial temporal sclerosis, consciousness impairment 114 during seizures, multiple seizure types, tonic-akinetic seizures, and EEG abnormalities predict DRE.^{31, 32} Similarly, predictors have been identified in specific epilepsy subtypes. Focal seizures 115 116 with onset before age one year, infantile spasms, and infantile spasms incompletely responsive to 117 therapy are associated with a greater likelihood of drug resistance in people with tuberous sclerosis complex.³³ Children with juvenile myoclonic epilepsy with psychiatric symptoms or a 118 119 combination of seizure types are predisposed to drug resistance.³⁴ Predictive analytic tools have recently been utilized to identify people with DRE.^{35, 36} 120

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6. Mechanisms of Drug-Resistant Epilepsy

123 The mechanisms of drug resistance are most likely variable and multifactorial based on the aetiology and the site of ASM action.^{13, 37} Hypotheses regarding the mechanisms of DRE 124 125 may be categorized as disease-related, drug-related, or genetic, all of which may be connected.³⁸ 126 It has been suggested that at least the following four criteria must be satisfied for a drug-127 resistance mechanism to be accepted: the mechanism must be detectable in epileptogenic brain 128 tissue, have appropriate functionality, be active in drug resistance, and curb drug resistance when inhibited.³⁹ Much of the current thinking on these mechanisms is mainly based on circumstantial 129 clinical evidence with limited preclinical support³⁸ Assessing the underlying mechanisms of 130 131 DRE is essential to stratify people who may have a poor response to ASMs and develop new therapeutic approaches.⁴⁰⁻⁴² Commonly cited mechanisms are reviewed below. Multiple 132 133 mechanisms may act concurrently or interact on the level of the individual, complicating the development of methods to address drug resistance.^{38, 43} 134

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136 *6.1.Target Hypothesis*

137 ASMs must act at least one target molecule in the brain, including voltage-dependent ion channels, neurotransmitter receptors, and transporters or enzymes.⁴⁴ The target hypothesis asserts 138 139 that epilepsy-induced alterations in the structure or function of brain targets of ASMs reduce 140 treatment sensitivity.⁴⁵ This hypothesis arose from a study demonstrating that carbamazepine-141 induced use-dependent block of voltage-dependent Na+ channels of dentate granule cells was 142 lost in people with carbamazepine-resistant temporal lobe epilepsy (TLE) and a pilocarpine rat TLE model.⁴⁶ A follow-up study appeared to confirm these findings by determining that effects 143 144 of phenytoin on fast recovery from Na+ channel inactivation of hippocampal granule cells was 145 reduced in a pilocarpine rat model of TLE. At the same time, lamotrigine slowed the time course of recovery from fast inactivation with no difference between rats with and without epilepsv.⁴⁷ 146 147 Another study demonstrated that loss of sensitivity to carbamazepine and phenytoin was less 148 pronounced in hippocampal CA1 neurons than dentate granule neurons, indicating that target mechanisms are specific to the cell type and ASM.⁴⁸ Voltage-gated Na+ channels play an 149 150 essential role in the generation and propagation of action potentials. Alterations are either lossof-function or gain-of-function mutations related to channel inactivation.^{49, 50} Studies have 151 152 reported alternations in beta subunits of voltage-gated Na+ channels, resulting in protein 153 misfolding or abnormal channel expression, with slowly inactivating channels that increase Na+ 154 currents and promote reduced drug sensitivity.^{49, 51-54}

155 Other receptors, including voltage-gated Ca2+ channels, GABAA receptors, and 156 glutamate receptors, have been explored in cells and animal models. Voltage-gated Ca2+ channels have also been examined as a contributor to DRE through their role in the firing of 157 action potentials and role of Ca2+ as a secondary messenger.^{55, 56} Alterations in these channels 158 159 can increase activity and surface expression, precipitating hyperpolarized potential leading to drug resistance through recurrent seizures.⁵⁷⁻⁶⁰ Additionally, GABA_A receptors have been 160 161 implicated in DRE. These receptors are inhibitory in the adult brain but depolarize during the 162 neonatal period. In cases of traumatic brain injury, increased inhibition may cause neural 163 synchrony or aberrant network disinhibition, resulting in recurrent seizures and DRE.⁶¹⁻⁶⁵ 164 Similarly, alterations of GABA_A receptors, such as transpositioning of subunits, may result in the absence of ligand-binding sites for ASMs such as benzodiazepines.^{63, 66} Glutamate receptors 165 166 have also been explored. Glutamate receptors and GABA_A receptors act in opposition to each

other.⁶³ Glutamate N-methyl-D-aspartate (NMDA) receptor activation during seizures leads to
clathrin-mediated internalization of GABA_A receptors in a Ca2+-dependent manner, reducing
inhibitory neurotransmission and potentially preventing.^{63, 67-69} Lastly, antibodies to voltagegated K+ channels are present in 6% of people with long-standing epilepsy. Still, it is unclear
whether the antibodies are pathogenic or secondary to another pathological process.⁷⁰ At present,
the primary criticisms of this model are limited data and an inability to explain why people with
DRE do not respond to ASMs with different targets.^{38, 42, 71}

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6.2.Drug Transporter Hypothesis

176 Membrane efflux transporters may play a role in resistance by reducing the concentration 177 of ASMs at intended targets.⁷² The drug transporter hypothesis was proposed after findings that 178 P-glycoprotein and the gene encoding it, MDR1 / ABCB1, was elevated in capillary endothelium 179 and astrocytes from brain samples of people with intractable epilepsy undergoing surgery.⁷³ 180 Since then, the theory has expanded to include the multidrug-resistance associated protein 181 (MRP1/2), breast cancer resistance protein (BRCP) in addition to P-glycoprotein, all of which are known multidrug efflux transporters at the blood-brain barrier.^{63, 74-76} Polymorphisms of 182 MDR1 are the most reported genetic predictor of DRE.⁵ Others have found overexpression of 183 184 MDR1 and other multidrug resistance proteins in epileptogenic brain tissue of people with 185 DRE.⁴² Hippocampal sclerosis, cortical dysplasia, and dysembryoplastic neuroepithelial tumours show overexpression of ABCB1 and MRP1.⁷⁷ Functional in-vivo positron emission tomography 186 (PET) studies using $[^{11}C]$ verapamil, which acts as a substrate and inhibitor of P-glycoprotein, 187 188 suggested reduced uptake in people drug-resistant compared to healthy and seizure-free individuals.⁷⁸⁻⁸⁰ The only randomized controlled trial of verapamil in DRE showed no difference 189 in seizure reduction relative to placebo.⁸¹ Still, overexpression of multidrug efflux transporters in 190 191 astroglial end-feet may represent another barrier when the endothelial blood-brain barrier 192 function during transient, local disruption from seizures, decreasing the extracellular concentration of ASMs near the epileptogenic zone and triggering drug resistance.^{38, 82} Some 193 194 investigators have hypothesized that the expression of drug transporters such as P-glycoprotein may mark the presence of a site of drug resistance.⁸³ This hypothesis requires additional 195 investigation. 38 196

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198 6.3.Pharmacokinetic Hypothesis

199 The pharmacokinetic hypothesis postulates that overexpression of efflux transporters in 200 peripheral organs decreases ASM levels in people with DRE, reducing the amount available to cross the blood-brain barrier.⁸⁴ The initial formulation of the hypothesis was based on case 201 202 studies⁸⁴, and existing data do not support this hypothesis. Studies show persistently low ASM 203 levels in people with DRE, which may relate to drug-metabolizing enzymes rather than efflux 204 transporters given the cytochrome P450 enzymes occur in the blood-brain barrier in addition to the periphery.^{42, 85} Animal studies have found no difference in ASM plasma concentrations 205 206 between responders and nonresponders overall, though these experiments have used intraperitoneal rather than oral administration.^{38, 86} 207

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209 6.4.Intrinsic Severity Hypothesis

210 The concept is that ASM resistance does not depend on specific pharmacoresistant factors but rather on neurobiological factors underlying severity. More difficult epilepsies are 211 more challenging to treat as a concept has been proposed.⁸⁷ The intrinsic severity theorizes that 212 213 greater disease strength, as represented primarily by high early seizure frequency, will likely translate to greater drug resistance.⁸⁸ Rodent models of basolateral amygdala stimulation have 214 215 indicated that epileptic rats responding to treatment exhibited low, uniform seizure frequency, 216 while many nonresponders had high seizure frequency. Some nonresponders showed low seizure frequencies, similar to responders.⁸⁹ Similarly, some high seizure frequency individuals may 217 become responders.⁹⁰ Accordingly, the main criticism of this model is that while high seizure 218 frequency is a strong predictor of pharmacoresistant, other predictors exist.³⁸ It was also 219 220 suggested that other measures of epilepsy severity, including the extent of structural lesions or behavioural phenotype, predicted ASM resistance.⁸⁸ Improved understanding of the 221 222 pathophysiology of epilepsy may allow improved forecasting of pharmacoresistant based on intrinsic severity.⁷² 223

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225 6.5.Neural Network Hypothesis

The neural network hypothesis indicates that constant excitatory stimulation during
 seizures leads to neurodegeneration, synaptic reorganization, necrosis, gliosis, and axonal
 sprouting, resulting in an abnormal network reducing ASM efficacy.⁹¹ Circumstantial clinical

evidence supporting this hypothesis is that malformations in cortical development are often 229 associated with drug resistance,^{92, 93} while surgical management of structural lesions can promote 230 seizure freedom. Approximately 60% of people with previously drug-resistant TLE are seizure-231 free with continued medical treatment following temporal lobe resection.⁹⁴ In rodent models, 232 233 >90% of nonresponders to ASMs had a significant loss of neurons in the CA1, CA3c/CA4, and 234 dentate hilus. In comparison,>90% responders did not differ in hippocampal morphology from 235 nonepileptic controls, demonstrating the role of functional alterations in hippocampal pyramidal neurons and the dentate gyrus secondary to hilar cell loss in pharmacoresistance.^{38, 95, 96} 236 237 Astrocytes have been implicated in pathological processes, including regulation of excitatory 238 synapses of abnormal networks and forming glial scars that prevent axon growth in damaged regions and blocks an ASM from reaching targets.^{63, 97, 98} A complicating factor to this 239 hypothesis is that neural network alterations do not always lead to DRE.⁴² Additionally, not all 240 241 drug-resistant people become responsive to ASMs following epilepsy surgery, though incomplete resection of affected tissue may partly explain this finding.^{42, 63, 99} Existing data 242 243 appear to support this hypothesis in defining the role of hippocampal sclerosis in 244 pharmacoresistant TLE.

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6.6.Gene Variant Hypothesis

247 The gene variant hypothesis suggests that an epileptic phenotype is generated by 248 mutations or polymorphisms in genes encoding enzymes, receptors, ion channels, and other components.¹⁰⁰ Studies examining CYP2C9 across ethnicities have shown that polymorphisms 249 may lower phenytoin metabolism and increase the risk of concentration-dependent toxicity.^{101, 102} 250 251 The metabolism of other ASMs, including valproic acid, carbamazepine, oxcarbazepine, and 252 lamotrigine, are affected by genetic variations in genes. These variations are responsible for 253 synthesising enzymes, ion channels, and receptors involved in maintaining normal brain electrical activity.^{38, 103, 104} Alterations in these genes may promote abnormal conductance and 254 ASM resistance, leading to DRE.³⁸ At present, despite myriad genome-wide association studies 255 256 and corresponding meta-analyses, there have been no generally accepted genetic associations for 257 drug resistance across epilepsies to support broad, syndrome-independent, genetically driven mechanisms of DRE.³⁸ Challenges to this hypothesis are weak evidence, small sample sizes, 258

differing clinical groups and definitions, examination of only a select number of mutations or
 polymorphisms, and methodological issues and inability to replicate existing studies.^{38, 100, 105}

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262 6.7.*Epigenetic Hypothesis*

263 The epigenome, the set of molecules regulating gene expression throughout the genome, has been implicated in DRE.³⁸ Investigating the epigenomic role in drug resistance is likely to be 264 265 challenging. An existing study has associated specific microRNAs with human TLE, but the 266 source tissue was resected from people with DRE, rendering it difficult to determine cause and effect.^{38, 106, 107} While much of the remaining evidence, arising from animal models, indicates 267 268 that manipulation of specific microRNAs may influence epilepsy, studies often have study 269 design shortcomings, and numerous knowledge gaps remain.^{38, 108-110} It is also largely unknown 270 whether this extends to humans and its role in pharmacoresistance.³⁸ A pilot study comparing 27 271 people with epilepsy with 20 age- and sex-matched controls has determined that microRNAs 142 and 224 are suitable for distinguishing drug-sensitive from drug-resistant TLE.¹¹¹ 272

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274 *6.8.Gut Microbiome Hypothesis*

275 The gut microbiome has recently attracted interest for its potential role in epilepsy. Gut 276 dysbiosis is associated with inflammation, blood-brain barrier disruption, and altered neuromodulatory activity.^{112, 113} The gut microbial community of people with DRE seems 277 278 substantially dissimilar from those with drug-sensitive epilepsy, with an abnormal increase in the 279 abundance of rare flora. In contrast, gut microbiome composition was similar between people with drug-sensitive epilepsy and controls.¹¹⁴ People with DRE have a relative abundance of 280 *Firmicutes (Negaticutes)* and deficiency of *Bacteroides finegoldii* and *Ruminococcus* g2.¹¹⁵ The 281 282 Bacteroides/Firmicutes ratio has been postulated as an essential determinant, such that ciprofloxacin therapy may increase the ratio and decreases seizure frequency.¹¹⁶ A pilot study 283 284 has demonstrated the efficacy of probiotics in reducing seizure frequency and improving quality of life.¹¹⁷ Further investigation is necessary into the role of the gut microbiome in DRE. 285

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287 6.9.*Neuroinflammation*

Neuroinflammation has been implicated in DRE through three primary mechanisms. The
first is the destruction of tight contacts between endothelial cells, induction of abnormal

angiogenesis, and oxidative stress, promoting seizure.^{118, 119} Similarly, artificially induced 290 291 dysfunction of the blood-brain barrier leads to developing epileptic foci in previously healthy brains.¹¹⁸ Inflammatory activity of astrocytes may compromise the integrity of the blood-brain 292 barrier, creating a cycle that promotes seizure recurrence and cell loss.¹¹⁹ Neuroinflammatory 293 294 processes may promote generation of aberrant connections between neurons, catalyzing defective or hyperexcitable neural networks.¹¹⁹ Accumulation of serum albumin, which is not 295 296 usually present, further promotes seizures. A study examining acute rat entorhinal cortex-297 hippocampal slices demonstrated that phenytoin and carbamazepine do not suppress seizure-like events precipitated by 4-aminopyridine when albumin perfuses tissue.¹²⁰ Presumably, this results 298 from ASM binding to albumin.¹¹⁹ Release of inflammatory mediators and glutamate by 299 300 astrocytes and neurons due to brain injury or recurrent seizures may increase multidrug 301 transporters. This would include P-glycoprotein and its pro-inflammatory mediators in the bloodbrain barrier, leading to pharmacoresistance, much like the transporter hypothesis.^{38, 119, 121} For 302 303 example, cyclooxygenase-2 (COX-2) and interleukin-1B (IL-1B) upregulate P-glycoprotein production.³⁸ Voltage-dependent ion channels may undergo post-translational modification by 304 inflammatory mediators, reducing sensitivity to ASMs.^{119, 122} Additional work is needed to 305 306 delineate the role of neuroinflammation in DRE in full.

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7. Verifying Drug Resistance

309 In determining whether an individual has DRE, it is crucial to verify that the medication310 and administration specifics are appropriate and rule out treatment nonadherence.

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312 *7.1.Pseudoresistance*

Pseudoresistance is a concern in the management of epilepsy. The diagnosis must be accurate for the individual. Second, medication must be reviewed.¹⁴ If ASMs are unsuitably for their specific epilepsy type, doses are too small, the interval between doses is too long, or the ASM has not been used for a sufficient time. The person may not have pharmacoresistance to a given ASM.¹⁴ Adjustments to the medication regimen should be accordingly made.¹⁴ Additionally, inquiries into factors affecting ASM metabolisms, such as alcohol and drug abuse, must be made.¹⁴

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321 7.2.Adherence

Compliance is a one-way relationship with a medical provider, in which the clinician dictates the medication regimen, and the recipient is expected to comply. In contrast, adherence involves a therapeutic rapport between the clinician and the individual.¹²³ The terms are often used interchangeably, but adherence is a more valuable conceptualization. The prevalence of significant medication nonadherence in people with epilepsy varies between 26% and 79%.¹²⁴ Table 1 provides a summary of risk factors for poor adherence.¹²⁵⁻¹³³ Nonadherence increases seizure risk and may lead to pseudo-refractory epilepsy.¹³⁴

329 Direct means for assessing adherence include plasma or serum ASM levels, saliva concentration, or detection on human hair.¹³⁵ Evaluation of serum or plasma ASM levels, done at 330 least twice, is the most commonly used measure.¹³⁵ A drop in medication level of a certain 331 percentage, dependent on the ASM, represents nonadherence.¹³⁵ Evaluating serum levels is 332 333 often variable based on individual-specific factors, including age, food intake, drug interactions, and ASM used.¹³⁶ While measurement effectively assesses intake in low adherence situations; it 334 335 may not be accurate enough to optimize treatment, particularly monotherapy.¹³⁷ Additionally, 336 saliva sampling yields similar results to serum or plasma monitoring. It has the advantage of 337 being the least painful method and is useful for pediatric or geriatric patients with poor venous calibre.¹³⁸⁻¹⁴¹ Saliva sampling is limited by the need to reference saliva concentrations to 338 339 baseline saliva production for the individual and variability in serum to saliva ASM ratios.^{135, 138} 340 Similarly, saliva sampling may be arduous in settings with large throughput because its greatest efficacy arises from reference to the individual.¹³⁵ Hair sampling is an alternative. Some believe 341 342 that the utilization of hair samples is not sufficient for determining ASM concentrations. In 343 contrast, others have found similar sensitivity to blood plasma results or greater accuracy than blood plasma or self-report methods.¹⁴²⁻¹⁴⁴ Hair levels of ASMs may not always correspond to 344 clinical outcomes.145 345

Indirect means for assessing adherence include self-report measures, pill counts,
appointment attendance, medication refills, and seizure frequency.¹³⁵ Self-report measures are
low cost, noninvasive, and easily adaptable but vary in development, validation, and
implementation.¹³⁵ Few measures have been validated specifically for medication adherence in
epilepsy.¹³⁵ The QOLIE-AD-48, designed to measure health-related quality of life for
adolescents with epilepsy, is sometimes used.¹⁴⁶ The Medication Adherence Report Scale has

been applied to people with epilepsy.¹⁴⁷ The Morisky scale, initially developed for hypertension, 352 has been validated for adherence in epilepsy.^{148, 149} An array of scales to determine ASM 353 354 adherence and analyze the ability of people with epilepsy to manage their conditions in other areas has also been developed.¹⁵⁰⁻¹⁵³ Other general questionnaires may include questions on 355 356 adherence. Given that self-report measures are inherently subjective, individual misperception or social desirability bias may lead to over-reporting of adherence.^{154, 155} Pill counts are 357 358 noninvasive and easily tampered with.¹³⁵ Event recorders can be integrated into pill bottles to 359 measure the number of bottle openings. They are more accurate than pill counts or serum 360 concentrations, but they may overestimate adherence given a bottle opening does not mean that the ASM has been taken.^{135, 156} Appointment attendance is easily determined from records and 361 362 may indicate general adherence to treatment but does not necessarily translate to medication adherence over time.¹³⁵ Medication refills are useful in managed care settings and may correlate 363 with ASM blood levels but do not account for online pharmacies.^{135, 157, 158} Measuring seizure 364 365 frequency over time is rarely used because seizure frequency may not correlate with medication intake.¹³⁵ Importantly, none of the indirect methods provide proof that ASMs are taken.¹³⁵ 366

367 Strategies for assessing adherence are unstandardized, and utilizing a singular direct or 368 indirect measure alone is insufficient. One study found that a combined approach using the Morisky scale and pill count was more effective than using either tool alone.¹⁵⁹ Better systems 369 370 to assess adherence are needed. A composite system consisting of direct and indirect measures 371 for compliance is optimal. One suggestion is to develop a validated clinical prediction rule 372 incorporating blood or plasma concentrations of ASMs, the Dilorio or Morisky scale, and 373 appointment attendance. Ouerving challenges may uncover issues affecting adherence. Strategies 374 must be developed to increase ASM adherence. Educational measures have shown reasonable success but should be used in a structured manner.^{160, 161} Educational interviews with a 375 pharmacist may be instrumental in improving adherence.¹⁶² Behavioral interventions, such as 376 377 intensive reminders and implementation involving an "if, then" plan, enhanced adherence to a greater degree.¹⁶⁰ A study combining oral education, written materials, and monthly calls with a 378 379 pharmacist with a modified medication schedule showed that adding the medication schedule, a behavioural intervention, did not improve adherence.¹⁶³ Combining motivational interviewing 380 381 with a calendar to self-monitor adherence and measures to involve family members improved medication adherence.¹⁴⁷ Comprehensive interventions may incorporate multimodal education 382

via oral communication, videos, written materials; motivational interviewing; a medication
schedule; and close follow-up from a pharmacist and seek to engage families or caregivers.

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386 *7.3.Socioeconomic Considerations*

387 Healthcare usage and expenditures are high in people with DRE, such that the total cost of treating per person is \$138,600 per year compared to \$4,272 for effectively treated people.^{164,} 388 ¹⁶⁵ Nonadherence rates may be as high as 55% in adults with epilepsy despite positive beliefs 389 regarding the efficacy of ASMs, indicating that socioeconomic factors play a role.^{166, 167} Per-390 391 capita income, ASM availability, and costs are associated with nonadherence, as are lower educational status and unemployment.^{124, 131, 166, 168} Related to socioeconomic status, knowledge 392 393 regarding the benefits of ASMs is essential. Better informed individuals have increased rates of ASM adherence than those who are poorly informed.¹⁶⁹ Health literacy is a protective factor 394 395 against nonadherence by improving the perception of the need to intake ASMs.¹⁶⁶ Importantly, 396 measures to improve adherence should incorporate socioeconomic considerations in addition to 397 standard demographic, epilepsy status, and clinical variables.

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8. Principles of Epilepsy Treatment

400 Epilepsy treatment focuses on maximizing quality of life and function while minimizing 401 the potential adverse effects of seizures and treatments. The core principles of epilepsy treatment 402 have been unchanged over the last three decades. It is essential to emphasize the need to tailor 403 these principles to people with DRE.

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405 8.1.Individual Perspectives

Seizure freedom is often the primary goal of treatments. Still, individuals may emphasise
other aspects of daily functioning such as sensorimotor function, cognitive status,
neuropsychological functioning, sleep, and lifestyle factors.¹⁷⁰ People often value independence

408 neuropsychological functioning, sleep, and lifestyle factors.¹⁷⁰ People often value independence
409 and living without fear or anxiety.¹⁷⁰ An approach to the care of a person with epilepsy involves
410 maximizing the opportunity for seizure freedom while centring individuals' perspectives.

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412 8.2.Informational Needs

413 Another principle is satisfying the informational needs of people with epilepsy and their 414 caregivers. Core informational needs are general information, ASMs, seizure triggers, first aid 415 guidelines, lifestyle, possible psychosocial consequences, and women-specific issues such as contraception and pregnancy.¹⁷¹ Proper communication strategies, including simplifying 416 417 language, avoiding jargon, emphasizing important information, incorporating baseline health 418 literacy and needs into explanations, assessing understanding, and filling knowledge gaps or correcting misconceptions are essential.^{172, 173} Multimodal educational interventions may be 419 particularly useful.^{172, 173} 420

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422 *8.3.Treatment*

423 One principle is selecting an appropriate treatment. First and foremost, the diagnosis must be firmly established before beginning treatment.¹⁷⁴ Once the type of epilepsy has been 424 425 determined, ASMs are selected based on efficacy, then tolerability, drug interaction profile, and ease of use.^{174, 175} ASM choice should be tailored to the individual including age, sex, and 426 427 learning disability; epilepsy syndrome; seizure types; lifestyle issues; and cotreatments based on the best available evidence.^{171, 174} Awareness of the pharmacological profile of the ASM may 428 optimize benefit while minimizing adverse events.¹⁷⁰ The ASM may be replaced with another 429 430 ASM if the individual is unresponsive or experiences bothersome adverse effects. People who do 431 not respond adequately to monotherapy should be managed with appropriately selected combination therapy.¹⁷¹ Those with focal epilepsy who do not achieve adequate seizure control 432 433 with ASMs, particularly those with lesions with concordant clinical features, may be referred for 434 evaluation for surgery. If this is not appropriate, neuromodulatory approaches such as vagal nerve stimulation, responsive neurostimulation, or deep brain stimulation may be considered.^{171,} 435 ¹⁷⁶⁻¹⁷⁹ The diagnosis, knowledge regarding epilepsy and the treatment, and treatment adherence 436 437 should be reviewed prior to changing or escalating treatment.

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439 *8.4.Active Follow-up*

An additional principle is an effective follow-up. Individual management and education
is a longitudinal process.¹⁸⁰ People should be reviewed at least yearly.¹⁷¹ At each review,
pharmacological aspects, including evaluation of the effectiveness of ASMs and adverse effects,
effect of comorbidities, and use of cotreatments such as anticoagulants or oral contraceptives

pills, should be addressed. Non-pharmacological aspects, such as general safety advice, driving
 regulations, reasonable expectations and limitations, and practical and social support sources,
 should also be addressed.¹⁷¹

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9. Newly Approved Anti-seizure Medications

Investigation regarding other ASMs that may decrease rates of DRE is underway. These ASMs may promote seizure freedom through mechanisms or formulations that are different than those of the standard ASMs. Only one ASM (cenobamate) has been approved in the last five years, along with three orphan drugs that have shown efficacy for specific epilepsy syndromes.

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454 9.1.*Cenobomate*

455 Cenobomate, a novel tetrazole alkyl carbamate derivative, was approved by the United States Food and Drug Administration for focal epilepsy in adults in November 2019.¹⁸¹ The 456 457 decision was based on two randomized controlled trials and a large multicenter, open-label, safety study.¹⁸²⁻¹⁸⁴ Cenobamate resulted in significant reductions in seizure frequency across all 458 459 focal seizure types. There were also higher seizure freedom rates than those randomized to receive a placebo.¹⁸²⁻¹⁸⁴ A subsequent meta-analysis reaffirmed the significant improvements in 460 461 seizure freedom rate with adjunctive cenobamate, as well as a higher rate of adverse events, compared with placebo.¹⁸⁵ A pooled analysis of the two randomized trials and safety study found 462 463 cenobamate retention rates of 80% at one year and 72% at two years, with adverse events as the most common reasons for discontinuation.¹⁸⁶ This ASM appears to block persistent Na+ currents 464 and enhance GABA-mediated inhibition of positive allosteric modulation of the GABA^A 465 receptor by acting at a non-benzodiazepine-sensitive binding site.^{187, 188} Cenobamate may serve 466 467 as an alternative to other ASMs to reduce DRE rates.

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469 9.2. Fenfluramine

Fenfluramine (3-trifluoromethyl-*N*-ethylamphetamine) was initially launched as an
appetite suppressant. Fenfluramine is a serotonin-releasing agent, and its major active metabolite,
norfenfluramine, binds to and activates 5-hydroxytryptamine receptors 2B and 2C with high
affinity and 2A with moderate affinity.¹⁸⁹ Two randomized controlled trials have have shown
that fenfluramine decreased convulsive seizures in children with Dravet syndrome as add-on

therapy relative to placebo and was well-tolerated.^{190, 191} One of these studies found improved 475 quality of life in children on fenfluramine relative to placebo.¹⁹¹ A small pilot study in children 476 477 with Lennox-Gastaut syndrome also showed >50% reduction in convulsive seizures in the majority of participants.¹⁹² Across these studies, most adverse effects were minor, but hospital 478 admission due to status epilepticus was the most common serious adverse event.¹⁹⁰⁻¹⁹² Large 479 480 multi-centre international studies are pending. If results are favorable, fenfluramine may be 481 utilized for people with Dravet syndrome or Lennox-Gastaut syndrome, two conditions with high 482 levels of DRE.

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484 9.3.<u>Cannabidiol (Epidiolex ®)</u>

485 Epidiolex ®, a cannabinoid containing greater than 99% cannabidiol (CBD) and less than 486 0.1% of Δ 9-THC, is the first cannabinoid medication approved by the Food and Drug 487 Administration for Dravet syndrome and Lennox-Gastaut syndrome.¹⁹³ The first open-label 488 study of CBD focused on 162 individuals aged 1-30 years with childhood-onset epilepsy treated with CBD over 12 weeks at 11 US centres.¹⁹⁴ Oral CBD lead to a mean reduction of monthly 489 490 motor seizures at 36.5% and nearly 50% reduction in median monthly convulsive and total seizures at 12 weeks, with similar improvements during the 96-week follow-up period.¹⁹⁴ 491 492 Additionally, four large randomized controlled trials in people with Dravet syndrome and 493 Lennox-Gastaut syndrome have provided further evidence regarding the efficacy of Epidiolex, 494 demonstrating significant reductions in total seizures, monthly convulsive seizure frequency, and monthly drop seizure frequency relative to controls.¹⁹⁵⁻¹⁹⁸ Somnolence, decreased appetite, 495 diarrhea, prexia, fatigue, and vomiting were the most common adverse effects.¹⁹⁵⁻¹⁹⁸ The 496 497 differences in drop seizure reduction between CBD and placebo became significant at day 6 for people with Lennox-Gastaut syndrome and day 12 for people with Dravet syndrome.^{199, 200} Most 498 adverse events resolved within the 14-week study period.^{199, 200} An additional study indicated 499 500 that greater anti-seizure effects were obtained when CBD was combined with clobazam in people with Lennox-Gastaut syndrome.²⁰¹ Studies investigating the efficacy of Epidiolex ® for 501 502 focal epilepsy are underway. If optimistic, these studies may indicate a role for Epidiolex ® in 503 minimizing DRE for people with focal epilepsy.

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505 *9.4.<u>Everolimus</u>*

506 Everolimus is an inhibitor of the protein kinase mammalian target of rapamycin (mTOR) and is used for intractable seizures in people with tuberous sclerosis complex.²⁰² The first open-507 508 label phase I/II clinical trial reported reductions in seizure frequency \geq 50% in 80% of people with TSC, and seizures were reduced in 85% of people with a median reduction of 73%.²⁰³ 509 Quality of life and parent-reported behaviour improved.²⁰³ A long-term follow-up study reported 510 13 of 14 participants had > reductions in seizure frequency at 48 months.²⁰⁴ All participants 511 reported an adverse event, of which 94% were mild or moderate.²⁰⁴ Improvements in quality of 512 life and parent-reported behaviour were not statistically significant.²⁰⁴ A phase 3 randomized, 513 514 double-blinded, placebo-controlled trial reported reductions in seizure frequency of 39.6% in the 515 high-exposure everolimus group and 29.3% in the low-exposure group relative to 14.9% to 516 placebo, while serious adverse events occurred in 14% of the low-exposure and high-exposure groups.²⁰⁵ The utility of everolimus for tuberous sclerosis is dubious, as at least half of people 517 518 with tuberous sclerosis complex do not show clinically meaningful decreases in seizure frequency.²⁰⁶ Additional studies examining the role of everolimus in decreasing the prevalence 519 520 DRE among people with tuberous sclerosis are necessary.

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523 10. Conclusion

524 DRE is a challenging subset of the spectrum of epilepsies. Clinical factors predictive of 525 DRE have been identified, but mechanisms of DRE require further investigation. Appropriately 526 managing people with DRE requires utilizing the principles of epilepsy treatment as a foundation 527 and considering the impact of pseudoresistance and nonadherence, along with corresponding 528 socioeconomic considerations. Cenobamate holds promise for DRE, but new ASMs are still 529 needed to conquer DRE fully.

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- 550 manuscript and agree to be accountable for the work.
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- 552
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