

Exome sequencing in bipolar disorder reveals *AKAP11* as a risk gene shared with schizophrenia:

Supplementary Materials

Duncan S Palmer^{1,2,*}, Daniel P Howrigan^{1,2}, Sinéad B Chapman², Rolf Adolfsson³, Nick Bass⁴, Douglas Blackwood⁵, Marco PM Boks⁶, Chia-Yen Chen^{7,1,2}, Claire Churchhouse^{1,8,2}, Aiden P Corvin⁹, Nicholas Craddock¹⁰, David Curtis^{11,12}, Arianna Di Florio¹³, Faith Dickerson¹⁴, Nelson B Freimer^{15,16}, Fernando S Goes¹⁷, Xiaoming Jia¹⁸, Ian Jones¹⁰, Lisa Jones¹⁹, Lina Jonsson^{20,21}, Rene S Kahn²², Mikael Landén^{20,23}, Adam Locke²⁴, Andrew McIntosh⁵, Andrew McQuillin⁴, Derek W Morris²⁵, Michael C O'Donovan²⁶, Roel A Ophoff^{16,27}, Michael J Owen²⁶, Nancy Pedersen²³, Danielle Posthuma²⁸, Andreas Reif²⁹, Neil Risch³⁰, Catherine Schaefer³¹, Laura Scott³², Tarjinder Singh^{1,2}, Jordan W Smoller^{33,34}, Matthew Solomonson⁸, David St. Clair³⁵, Eli A Stahl³⁶, Annabel Vreeker²⁷, James Walters²⁶, Weiqing Wang³⁶, Nicholas A Watts⁸, Robert Yolken³⁷, Peter Zandi¹⁷, Benjamin M Neale^{1,8,2,*}

*Corresponding authors, correspondence to: duncan.stuart.palmer@gmail.com and bmneale@broadinstitute.org.

1. Analytic and Translational Genetics Unit, Department of Medicine, Massachusetts General Hospital, Boston, Massachusetts, USA
2. Stanley Center for Psychiatric Research, Broad Institute of MIT and Harvard, Cambridge, Massachusetts, USA
3. Department of Clinical Sciences, Psychiatry, Umea University, Umea, Sweden
4. Division of Psychiatry, University College London, London, UK
5. University of Edinburgh, Edinburgh, UK
6. Department of Psychiatry, UMC Utrecht, Utrecht, The Netherlands
7. Biogen, Cambridge, Massachusetts, USA
8. Program in Medical and Population Genetics, Broad Institute of Harvard and MIT, Cambridge, Massachusetts, USA
9. Trinity College Dublin, Dublin, Ireland
10. National Centre for Mental Health, Division of Psychiatry and Clinical Neurosciences, Cardiff University, Cardiff, UK
11. UCL Genetics Institute, University College London, London, UK
12. Centre for Psychiatry, Queen Mary University of London, London, UK
13. Division of Psychological Medicine and Clinical Neurosciences, Cardiff University, Cardiff, UK
14. Sheppard Pratt, 6501 North Charles Street, Baltimore, Maryland, USA
15. Department of Psychiatry and Biobehavioral Science, Semel Institute for Neuroscience and Human Behavior, David Geffen School of Medicine, University of California, Los Angeles, Los Angeles, California, USA
16. Center for Neurobehavioral Genetics, Semel Institute for Neuroscience and Human Behavior, David Geffen School of Medicine, University of California, Los Angeles, Los Angeles, California, USA
17. Department of Psychiatry and Behavioral Sciences, Johns Hopkins School of Medicine, Baltimore, Maryland, USA
18. Weill Institute for Neurosciences, University of California, San Francisco, San Francisco, California, USA
19. Department of Psychological Medicine, University of Worcester, Worcester, UK
20. Department of Psychiatry and Neurochemistry, Institute of Neuroscience and Physiology, Sahlgrenska Academy, University of Gothenburg, Gothenburg, Sweden
21. Department of Pharmacology, Institute of Neuroscience and Physiology, Sahlgrenska Academy, University of Gothenburg, Gothenburg, Sweden
22. Division of Psychiatry, Icahn School of Medicine at Mount Sinai, New York, New York, USA
23. Department of Medical Epidemiology and Biostatistics, Karolinska Institutet, Stockholm, Sweden
24. Division of Genomics & Bioinformatics, Washington University School of Medicine, St. Louis, Michigan, USA
25. National University of Ireland, Galway, Ireland
26. MRC Centre for Neuropsychiatric Genetics and Genomics, Division of Psychological Medicine and Clinical Neurosciences, Cardiff University, Cardiff, UK
27. Department of Psychiatry, Erasmus Medical Center, Erasmus University, Rotterdam, The Netherlands
28. Vrije Universiteit, Amsterdam, The Netherlands
29. Department of Psychiatry, Psychosomatic Medicine and Psychiatry, University Hospital Frankfurt - Goethe University, Frankfurt, Germany
30. Institute for Human Genetics, University of California, San Francisco, San Francisco, California, USA
31. Division of Research, Kaiser Permanente Northern California, Oakland, California, USA
32. Biostatistics and Center for Statistical Genetics, University of Michigan, Ann Arbor, Michigan, USA
33. Psychiatric and Neurodevelopmental Genetics Unit, Massachusetts General Hospital, Boston, Massachusetts, USA
34. Department of Psychiatry, Harvard Medical School, Boston, Massachusetts, USA
35. Institute for Medical Sciences, University of Aberdeen, Aberdeen, UK
36. Division of Psychiatric Genomics, Icahn School of Medicine at Mount Sinai, New York, New York, USA
37. Stanley Division of Developmental Neurovirology, Johns Hopkins University, Baltimore, Maryland, USA

Sample collections

The BipEx cohort aggregates 13 separate sample collections, involving 21 primary investigators across 6 separate countries. We performed careful quality control steps to variants and samples, detailed in the Exome QC section. The aggregated dataset consists of 39,617 individuals, 16,486 of which have been diagnosed with bipolar disorder, and 17,213 with no known psychiatric diagnosis. Of the remaining individuals, 5,483 have a schizophrenia diagnosis (which we use as positive controls in the rare variant burden analyses), 87 have a separate psychiatric diagnosis, and 32 lack phenotypic information. Full details of PI sample contributions prior to curation of sequence data are provided in Table S1. Following curation, the case and control count is displayed in Table S4. Breakdown of bipolar cases with age of onset information for age of first impairment is provided in Table S6, and a breakdown of sample sizes with psychosis information is displayed in Table S7. Sample collection and phenotype tables are also available at <https://astheeggeggs.github.io/BipEx/qc.html>.

PI	Location	BD	BD1	BD2	BDNOS	SAD	SCZ	Other	Unknown	Controls	Total
Andreas Reif	Wurzburg, GER	7	216	159	15	0	0	0	14	414	825
Andrew McQuillin Hugh Gurling	London, UK	228	1,309	372	0	157	1,595	0	0	1,203	4,864
Robert Yolken Faith Dickerson	Baltimore, USA	8	117	9	5	0	0	8	0	126	273
Danielle Posthuma	Amsterdam, NED	0	0	0	0	0	0	0	1	948	949
David St Clair	Aberdeen, UK	0	0	0	0	0	564	0	1	331	896
Derek Morris Aiden Corvin	Dublin, IRE	0	180	0	0	11	29	3	0	9	232
Douglas Blackwood	Edinburgh, UK	401	368	114	2	6	304	0	0	64	1,259
Fernando Goes	Baltimore, USA	0	241	0	0	0	0	0	0	0	241
Jordan Smoller	Boston, USA	361	2,122	390	576	52	0	0	0	3,498	6,999
Michael O Donovan	Cardiff, UK	0	0	0	0	11	2,986	1	0	0	2,998
Michael Owen	Cardiff, UK	0	0	0	0	0	0	0	0	1,106	1,106
Mikael Landén	Stockholm, SWE	138	2,364	1,753	905	1	0	0	0	761	5,922
Nancy Pedersen	Stockholm, SWE	0	0	0	0	0	0	0	0	4,780	4,780
Nick Craddock Arianna Di Florio Ian Jones Lisa Jones James Walters	Cardiff, UK	85	1,518	772	67	57	4	17	0	0	2,520
Roel Ophoff	Utrecht, NED	1	1,032	169	10	21	1	58	16	663	1,971
Rolf Adolfsson	Umea, SWE	0	320	149	3	0	0	0	0	459	931
Willem Ouwehand	Cambridge, UK	0	0	0	0	0	0	0	0	2,851	2,851
Total		1,229	9,787	3,887	1,583	316	5,483	87	32	17,213	39,617

Table S1: Detailed summary of subtype sample contributions across PIs and geographies. BD=BD without a fine subclassification, BD1=bipolar I disorder, BD2=bipolar II disorder, BDNOS=bipolar disorder not otherwise specified, SAD=schizoaffective disorder, BD+SAD=bipolar disorder and schizoaffective disorder combined, SCZ=schizophrenia, other=other unspecified case, unknown=unknown case status.

Cohort descriptions and bipolar subtype definitions

Aberdeen,

UK

PI: David St Clair

All participants self-identified as born in the British Isles (95% in Scotland). All schizophrenia cases met the DSM-IV (1) and International Classification of Diseases 10th edition (ICD-10) (2) criteria for schizophrenia. Diagnosis was made by Operational Criteria Checklist (OPCRIT) (3, 4). All case participants were outpatients or stable in-patients. Detailed medical and psychiatric histories were collected. Controls were volunteers recruited through general practices in Scotland. Practice lists were screened for potentially suitable volunteers by age and sex and by exclusion of subjects with major mental illness or use of neuroleptic medication. Volunteers who replied to a written invitation were interviewed using a short questionnaire to exclude major mental illness in individuals themselves and first degree relatives. All cases and controls gave informed consent. The study was approved by both local and multiregional academic ethical committees.

Amsterdam, NED

PI: Danielle Posthuma

Controls taken from the NESCOG study, described previously (5). NESCOG contains both a general population and family-based sample of which closely related individuals were excluded. Data were collected on cognitive tasks, behavioral conditions, life events, personality and environmental factors. To correct for undiagnosed attention deficit hyperactivity disorder (ADHD) status, participants scoring over three standard deviations above the mean on the Conners' Adult ADHD Rating Scale (CAARS) (6), or the Attention Problems scale of the Young Adult Self Report (YASR) (7) were excluded. To correct for autism spectrum disorder (ASD) status, participants scoring over three standard deviations above the mean on the Autism Quotient (AQ) (8) were removed.

Baltimore,

USA

PIs: Faith Dickerson, Robert Yolken

Samples were collected as part of a larger study about infectious agents and immune factors in serious mental illness. Psychiatric participants were recruited at a large psychiatric health system and non-psychiatric controls from the same geographic region. The diagnosis of psychiatric and non-psychiatric participants was confirmed with a structured clinical interview (9, 10) based on DSM-IV (1). All participants provided written informed consent. The study was approved by the IRB of the institution where the study was performed and included a data sharing agreement.

PI: Fernando Goes

Cases represented independent probands from a European-American family sample that was collected at Johns Hopkins University from 1988-2010. Families had at least 2 additional relatives with a major mood disorder (defined as bipolar disorder type 1, bipolar type 2 or recurrent major depressive disorder). Diagnostic interviews were performed using the Schedule for Affective Disorders and Schizophrenia-Lifetime Version and the Diagnostic Instrument for Genetics Studies. All cases underwent best-estimate diagnostic procedures. Diagnoses were based on DSM-III and DSM-IV (1) criteria. Probands from this sample have been previously studied in family based linkage and exome studies (11, 12).

Boston,

USA

PI: Jordan Smoller

Cases and controls were collected as part of the International Cohort Collection for Bipolar Disorder (ICCBD), (13, 14). The Massachusetts General Hospital site of the ICCBD collected DNA from cases and controls by linking discarded blood samples to de-identified electronic health record (EHR) data. Cases and controls were identified by deriving EHR-based phenotyping algorithms applied to the Partners Healthcare Research Patient Data Registry (RPDR), described in detail previously (15). Bipolar subtypes were defined by Diagnostic and Statistical Manual of Mental Disorders-IV (DSM-IV) (1). Regular expression rules were used to extract mention by clinician in an inpatient or outpatient note or ICD-9/DSM-IV (1, 16, 17) code indicating Bipolar type. Full details of the algorithm are provided in (14).

Cambridge, UK

PI: Willem Ouwehand

Controls taken from the Wellcome Trust case control consortium (WTCCC) described elsewhere (18).

Cardiff,

UK

PIs: Nick Craddock, Arianna Di Florio, Ian Jones, Lisa Jones, James Walters

Cases were all over the age of 17 years, living in the UK and of European descent. Cases were recruited via systematic and not systematic methods as part of the Bipolar Disorder Research Network project (www.bdrn.org), provided written informed consent and were interviewed using a semi-structured diagnostic interview, the Schedules for Clinical Assessment in Neuropsychiatry. Based on the information gathered from the interview and case notes review, best-estimate lifetime diagnosis was made according to DSM-IV. Inter-rater reliability was formally assessed using 20 randomly selected cases (mean κ Statistic = 0.85). In the current study we included cases with a lifetime diagnosis of DSM-IV bipolar disorder or schizoaffective disorder, bipolar type. The BDRN study has UK National Health Service (NHS) Research Ethics Committee approval and local Research and Development approval in all participating NHS Trusts/Health Boards. All subjects gave written informed consent.

PIs: Michael O Donovan, Michael Owen

The schizophrenia case sample included European ancestry schizophrenia cases recruited in the British Isles and has been described previously (19). All cases gave written informed consent to. The study was approved by the Multicentre Research Ethics Committee in Wales and Local Research Ethics Committees from all participating sites. The control sample used the WTCCC sample described elsewhere, (18) but included similar numbers of individuals from the 1958 British Birth Cohort and a panel of consenting blood donors (UK Blood Service). Additional controls, held by Cardiff University, were recruited from the UK National Blood Transfusion Service. They were not specifically screened for psychiatric illness. All control samples were from participants who provided informed consent (20).

Dublin, IRE

PIs: Derek Morris, Aiden Corvin

Samples were collected as part of a larger study of the genetics of psychotic disorders in the Republic of Ireland, under protocols approved by the relevant IRBs and with written informed consent that permitted repository use. Cases were recruited from Hospitals and Community psychiatric facilities in Ireland by a psychiatrist or psychiatric nurse trained to use the SCID (21, 22). Diagnosis was based on the structured interview supplemented by case note review and collateral history where available. All diagnoses were reviewed by an independent reviewer. Controls were ascertained with informed consent from the Irish GeneBank and represented blood donors who met the same ethnicity criteria as cases. Controls were not specifically screened for psychiatric illness.

Edinburgh, UK

PI: Douglas Blackwood

This sample comprised Caucasian individuals contacted through the inpatient and outpatient services of hospitals in South East Scotland. A BD1 diagnosis was based on an interview with the patient using the SADS-L (23) supplemented by case note review and frequently by information from medical staff, relatives and caregivers. Final diagnoses, based on DSM-IV criteria were reached by consensus between two trained psychiatrists. Ethnically-matched controls from the same region were recruited through the South of Scotland Blood Transfusion Service. Controls were not directly screened to exclude those with a personal or family history of psychiatric illness. The study was approved by the Multi-Centre Research Ethics Committee for Scotland and patients gave written informed consent for the collection of DNA samples for use in genetic studies.

London, UK

PIs: Andrew McQuillin, Hugh Gurling

The UCL sample comprised Caucasian individuals who were ascertained and received clinical diagnoses of bipolar 1 disorder according to UK National Health Service (NHS) psychiatrists at interview using the categories of ICD10. In addition bipolar subjects were included only if both parents were of English, Irish, Welsh or Scottish descent and if three out of four grandparents were of the same descent. All volunteers read an information sheet approved by the Metropolitan Medical Research Ethics Committee who also approved the project for all NHS hospitals. Written informed consent was obtained from each volunteer. The UCL control subjects were recruited from London branches of the National Blood Service, from local NHS family doctor clinics and from university student volunteers. All control subjects were interviewed with the SADS-L (23) to exclude all psychiatric disorders.

Stockholm,

SWE

PI: Mikael Landén

SWEBIC (Swedish Bipolar Cohort Collection), SWE

Data in SWEBIC combines phenotypic data from three routes of collection:

1. The St. Göran Bipolar Project cohort (**SBP**).
2. SWEBIC samples recruited from the Swedish National quality assurance register for bipolar disorders (**BipolärR**).
3. SWEBIC samples recruited from the Swedish Hospital Discharge Register (**HDR**).

SBP: DSM-IV-criteria was evaluated by psychiatrists or residents in psychiatry using a Swedish version of the Affective Disorder Evaluation (ADE) employed in the Systematic Treatment Enhancement Program for Bipolar Disorder (STEP-BD) study (21) which includes the Structured Clinical Interview for DSM Disorders (SCID) (21, 22) module for affective disorders.

BipolärR: Diagnostic phenotyping was made by registering physicians in the QA-register according to DSM-IV criteria.

HDR: Bipolar disorder cases were selected based on a validated algorithm using ICD-codes with a positive predictive value of 0.92 (24) Bipolar subdiagnoses (BD1, BD2, BDNOS) were made by trained research nurses using a structured telephone interview.

PI: Nancy Pederson

Controls were sourced from the LifeGene Biorepository at the Karolinska Institute, described in detail previously (25).

Umea, SWE

PI: Rolf Adolfsson

Bipolar disorder outpatients at the Affective Unit at the Psychiatric Clinic at the University Hospital (Umeå, Sweden) were enrolled in this study between 1998 and 2007 (26). Patients were characterised clinically in a number of ways, including the MINI (27), the Family Interview for Genetic Studies (FIGS), the Diagnostic Interview for Genetic Studies (DIGS) (28), and the Schedules for Clinical Assessment in Neuropsychiatry (SCAN) (23). Final subtype diagnoses were evaluated in line with the DSM-IV-TR (29) and determined through consensus of two research psychiatrists. Controls in the data set were a randomly sampled subset of the 'Betula study' chosen to be representative of the population of the region.

Utrecht,**NED*****PI: Roel Ophoff***

The case sample consisted of inpatients and outpatients recruited through psychiatric hospitals and institutions throughout the Netherlands. Cases with DSM-IV bipolar disorder, determined after interview with the SCID-I (22) were included in the analysis. Controls were collected in parallel at different sites in the Netherlands and were volunteers with no psychiatric history after screening with the Mini-International Neuropsychiatric Interview (MINI) (30, 31). Ethical approval was provided by UCLA, the University Medical Center Utrecht, and local ethics committees and all participants gave written informed consent.

Würzburg, GER***PI: Andreas Reif***

Cases were recruited from consecutive admissions to psychiatric in-patient units at the University Hospital Würzburg. All cases received a lifetime diagnosis of BD according to the DSM-IV criteria using a consensus best-estimate procedure based on all available information, including semi-structured diagnostic interviews using the Association for Methodology and Documentation in Psychiatry (29, 32), medical records and the family history. In addition, the OPCRIT (3, 4) system was used for the detailed polydiagnostic documentation of symptoms. Control subjects were healthy participants who were recruited from the community of the same region as cases (33). They were of Caucasian descent and fluent in German. Exclusion criteria were manifest or lifetime DSM-IV axis I disorder, severe medical conditions, intake of psychoactive medication as well as alcohol abuse or abuse of illicit drugs. Absence of DSM-IV axis I disorder was ascertained using the German versions of the MINI (27). IQ was above 85 as ascertained by the German version of the Culture Fair Intelligence Test 2. Study protocols were reviewed and approved by the ethical committee of the Medical Faculty of the University of Würzburg. All subjects provided written informed consent.

Sequence data production

Exome Sequencing and Alignment

Exome sequencing was performed at the Broad Institute of Harvard and MIT from July 2017 to September 2018. Processing included sample QC using the picogreen assay to measure for sample volume, concentration and DNA yield. Sample library preparation was carried out using Illumina Nextera, followed by hybrid capture using Illumina rapid capture enrichment of a 37Mb target. Sequencing was performed on HiSeqX instruments to 150bp paired reads. Sample identification checking was carried out to confirm all samples. Sequencing was run until hybrid selection libraries met or exceeded 85% of targets at 20x, comparable to ~55x mean coverage. Data delivery per sample includes a demultiplexed, aggregated into a BAM file and processed through a pipeline based on the Picard suite of software tools. The BWA aligner mapped reads onto the human genome build 38 (GRCh38). Single nucleotide polymorphism and insertions/deletions were joint called across all samples using Genome Analysis Toolkit (GATK) (34) HaplotypeCaller package version 4.0.10 to produce a version 4.2 variant callset file (VCF). Variant call accuracy was estimated using the GATK Variant Quality Score Recalibration (VQSR) approach (35).

Exome Quality Control

Throughout, to perform quality control and a subset of the downstream analyses, we made use of Hail, an open-source, general-purpose, Python-based data analysis library with a particular focus on the analysis of large-scale genetic data (website: <https://www.hail.is>, GitHub: <https://github.com/hail-is/hail>). We made use of the general purpose hail framework to create our own methods, as well as take advantage of the functionality that has been rewritten to enable fast and scalable analysis of large exome and genome sequencing projects. Unless otherwise stated, all of the data curation and quality control steps were performed in hail 0.2.

Briefly, we perform a series of hard-filters on genotype and variant metrics (Table S2), followed by a collection of hard-filters on sample metrics (Table S3). We confirm genotype sex with reported sex, remove related individuals, and restrict analysis to samples of continental European ancestry where we have sufficient sample size and balanced case-control counts (Table S3). Finally we filter based on a second collection of sample and variant hard-filters (Tables S2-3).

Initial Hard Filters

A series of quality control (QC) steps were run to clean and curate the sequence data. We first apply a collection of genotype filters, removing genotypes according to the following criteria: If homozygous reference, remove if at least one of the following is true: phred-scaled genotype quality (GQ) < 20, depth (DP) < 10. If heterozygous, remove if at least one of the following is true: (reference allele depth + alternative allele depth) divided by total depth < 0.8, alternative allele depth divided by total depth < 0.2, reference genotype quality < 20, depth < 10. If homozygous alternate, remove if at least one of the following is true: alternative allele depth divided by total depth < 0.8, reference genotype quality < 20, depth < 10. We then apply a series of initial variant filtering steps: removing sites with more than 6 alleles, that fail variant quality score recalibration (VQSR) (34, 35), lie within a low complexity region (LCR) of the genome (36), or fall over 50 base pairs outside the ICE exome sequencing target intervals. In addition, we perform a series of empirically derived genotype call rate filters (set at 0.97) and remove sites that become invariant after applying this filter (Table S2). As an initial pass to remove low quality and contaminated samples, we filter out samples with call rate < 0.93, free-mix contamination > 0.02 (37), chimeric read percentage > 0.015, mean read depth < 30x or mean genotype quality < 55 (Table S3, Figure S1).

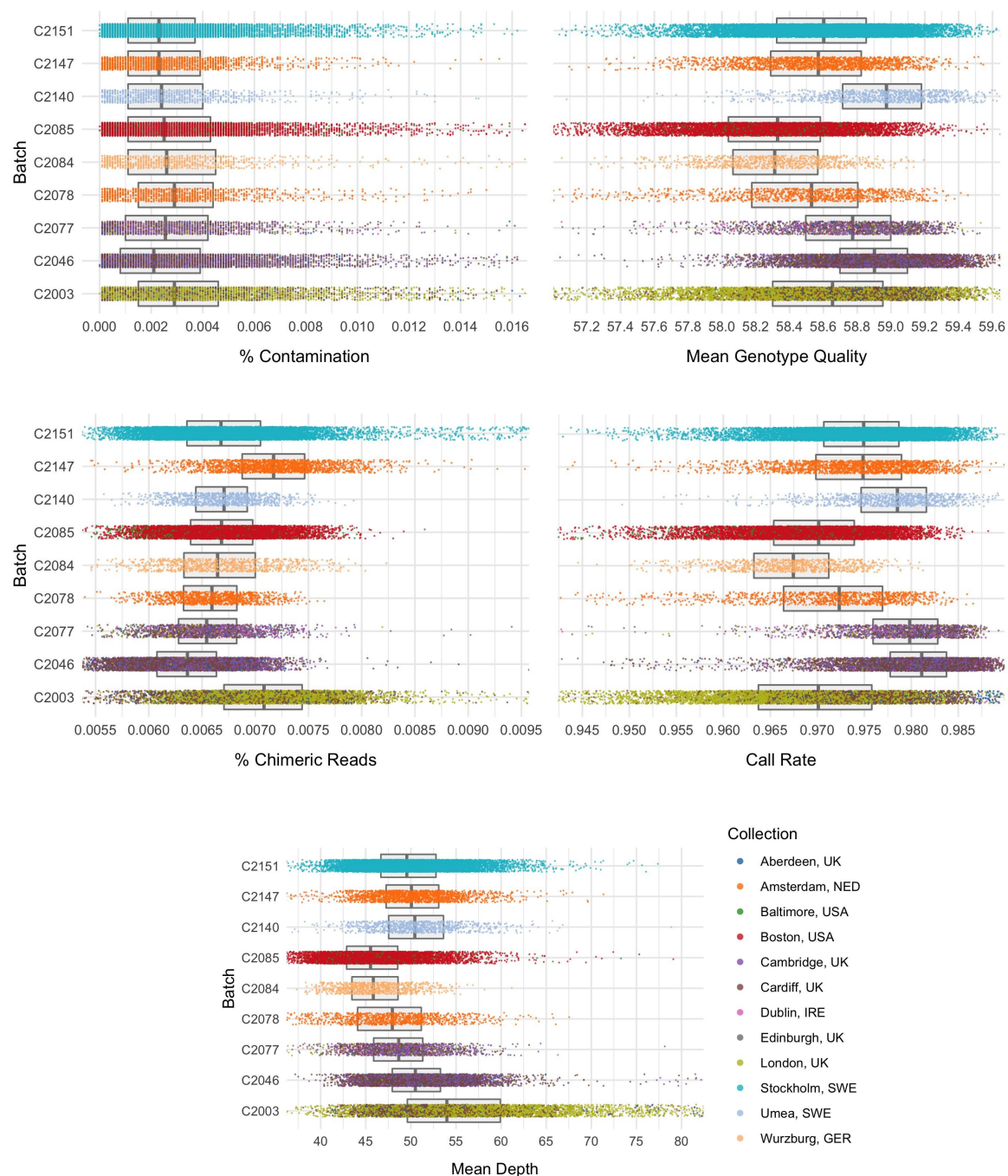


Figure S1: Distributions of variant metrics following restriction to variants passing VQSR, lying outside low-complexity regions and inside the padded (50bp) ICE target intervals, and prior to the initial hard sample filters (call rate > 0.93, FREEMIX contamination (%) < 0.02, percentage chimeras < 0.015, mean depth > 30, mean genotype quality > 55). In each plot, jittered scatters display the distribution for each sequencing batch, coloured according to sample collection. Boxplots behind the scatter display the median and interquartile range for each sequencing batch.

Sex Imputation and Relatedness

To confirm participant sex, filter out related samples, and calculate principal components, we extracted high quality common variants (allele frequency between 0.01 to 0.99 with high call rate (> 0.98)) and LD-prune to pseudo-independent SNPs using `--indep 50 5 2` in PLINK (38, 39). Using autosomal markers (49,366 SNPs), we determine relatedness within the sample, and iteratively prune out samples until no pair exhibited $\hat{\pi} > 0.2$ to ensure that first and second degree relatives are filtered out. When reported sex does not match genotyped sex, it may signal potential sample swaps in the data. Using the F -statistic for each sample using the subset of the non-pseudo autosomal region on chromosome X (1275 SNPs), we identify and remove samples where reported sex information is not confirmed in the sequence data (Figure S2).

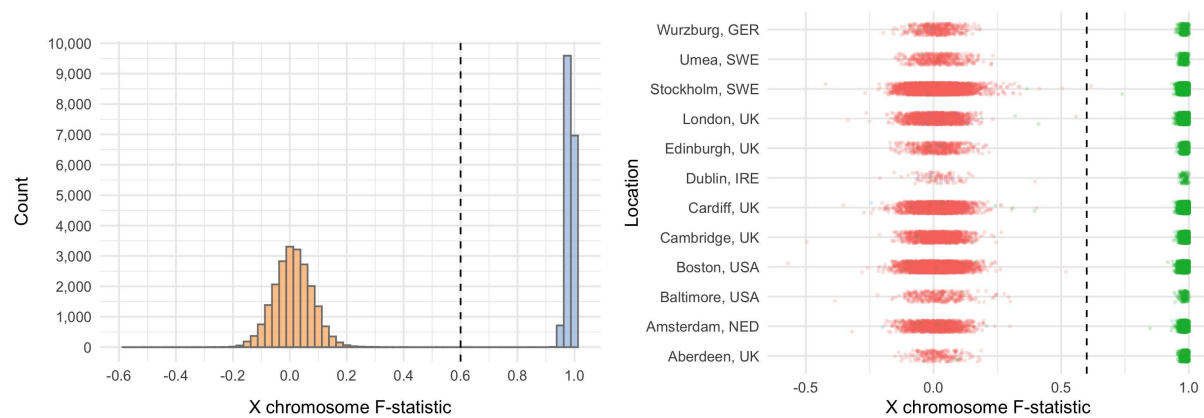


Figure S2: Histogram and scatterplots of X chromosome F -statistic by collection. Samples lying to the left and right of the dashed line were called as female and male respectively.

Principal component based population inference

We then merged the remaining samples with the 1000 Genomes phase 3 dataset (40), and computed principal components (PCs) using the LD pruned autosomal variants (49,366 SNPs). To ensure adequate case-control matching, we removed samples outside of the continental European population (EUR) using a random forest classifier trained on the EUR subset of 1000 Genomes (Figure S3), retaining samples with probability > 0.95 of being European according to the classifier. Additionally, we removed Ashkenazi Jewish samples by running principal components analysis (PCA) on samples recruited in the United States and identifying a distinct Ashkenazi Jewish cluster. Using this labelling, we trained another random forest classifier and removed additional Ashkenazi Jewish samples from downstream analysis, again using a hard cutoff of probability > 0.95 of belonging to the main European cluster (Table S3).

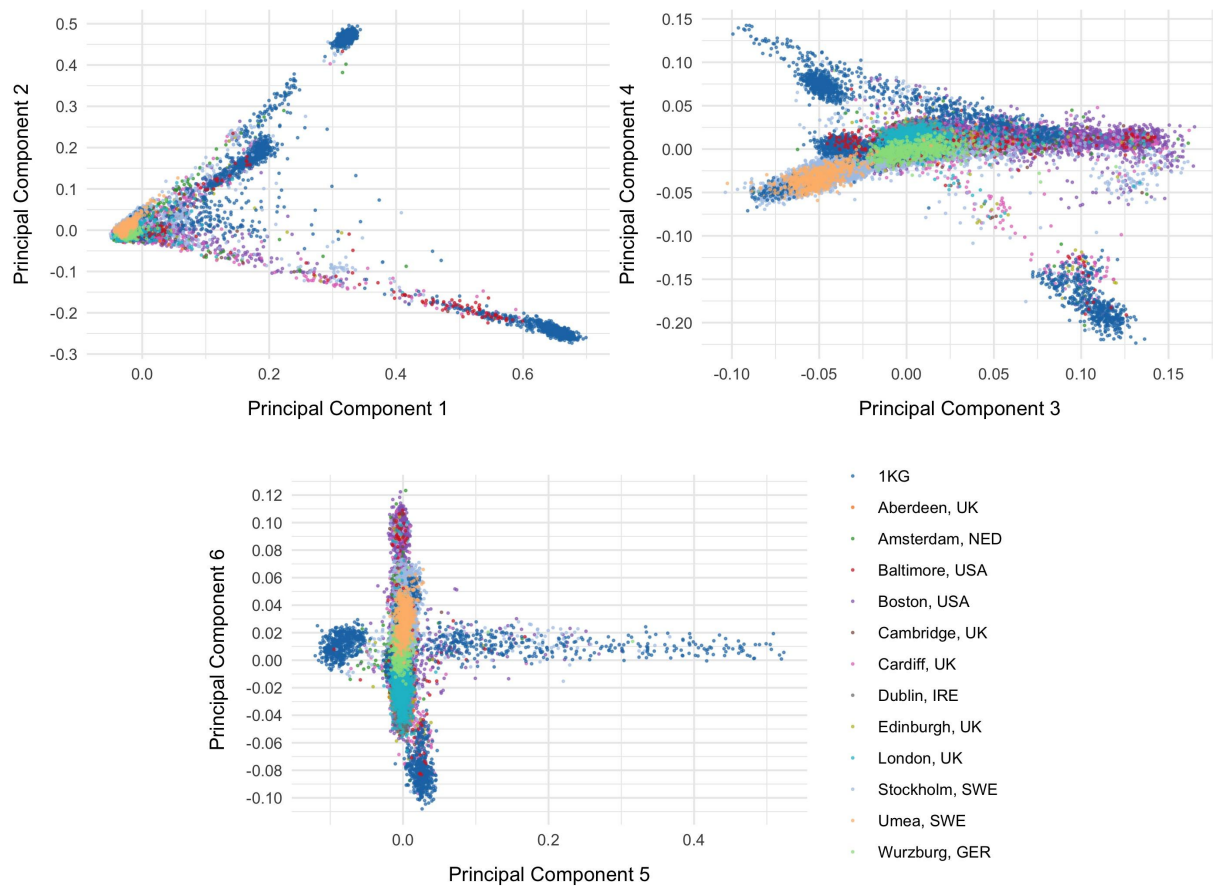


Figure S3: Scatterplots of principal components of BipEx samples together with 1000 Genomes samples. Points are coloured according to sample collection, with 1000 Genomes samples coloured in blue. 1000 Genomes super-populations labels were used to train a random forest classifier.

Final Hard Filters

For our second round of variant and sample filtering, we filter out variants based on call rate (BD call rate < 0.97 , control call rate < 0.97 , overall call rate < 0.97), difference in call rate between bipolar cases and controls (> 0.02), and remove variants not in Hardy-Weinberg equilibrium ($P < 10^{-6}$); Table S2. After restricting to these high quality variants (Figure S4), we perform a final set of sample filters to finalise the quality controlled data. We evaluate a collection of sample metrics and remove samples falling outside three standard deviations of the sequencing batch mean (Ti/Tv, Het/HomVar, Insertion/Deletion ratios) or cohort location (as defined by recruitment centre; n singletons) mean (Table S3, Figure S4). The resultant dataset consisting of 28,355 bipolar disorder cases and controls across 12 locations in Europe and the United States is summarised in Table S4. Following our QC pipeline, average heterozygote allele balance was 0.484, with 1.52% of samples lying below 0.3, and Ti/Tv became comparable between sequencing batches and sample collection. Further, average sample Ti/Tv within the targeted exome region was ~ 3.1 (rather than the 50bp padded), in line with expectation for populations of European ancestry (Figure S5).

Full details, code and all files required to run our pipeline are available at github.com/astheeggeggs/BipEx.

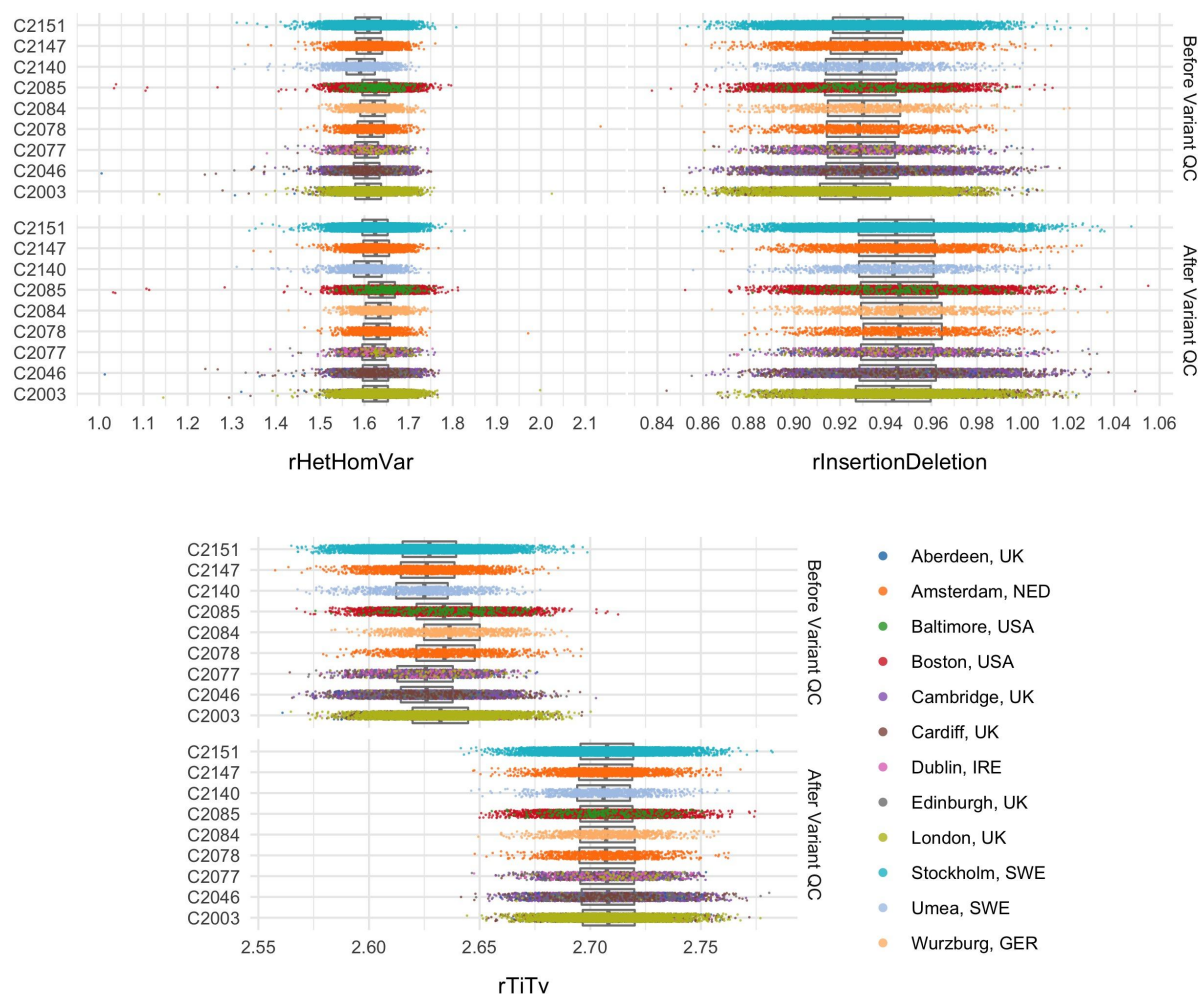


Figure S4: Distributions of variant metrics before and after the second set of empirically derived hard variant filters (BD call rate > 0.97, control call rate > 0.97, overall call rate > 0.97), difference in call rate between bipolar cases and controls (< 0.02), and remove variants not in Hardy-Weinberg equilibrium ($p > 10^{-6}$). In each plot, jittered scatters display the distribution for each sequencing batch, coloured according to sample collection. Boxplots behind the scatter display the median and interquartile range for each sequencing batch. Points shown are following variants hard-filters and prior to removal of variants with metrics outside 3 sds of the sequencing batch mean.

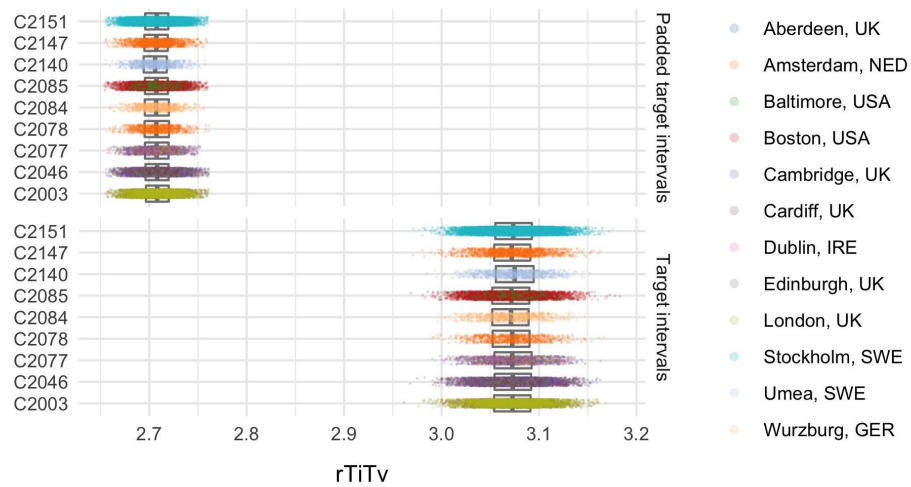


Figure S5: TiTv before and after further restriction to Target intervals with no padding. In each plot, jittered scatters display the distribution for each sequencing batch, coloured according to sample collection. Boxplots behind the scatter display the median and interquartile range for each sequencing batch.

Filter	Variants	%
Variants with < 7 alleles	37,344,246	100.0
Failing VQSR	100,742	0.3
In LCRs	1,215,218	3.3
Outside padded target interval	27,119,165	72.6
Invariant sites after initial variant and genotype filters	3,117,961	8.3
Invariant sites after sample filters	1,051,421	2.8
Overall variant call rate < 0.97	737,072	2.0
Overall variant case call rate < 0.97	716,709	1.9
Overall variant control call rate < 0.97	743,659	2.0
Difference between case and control variant call rate < 0.02	232,341	0.6
Variants failing HWE filter ($P < 10^{-6}$)	1,083,479	2.9
Variants remaining after all filters	5,104,759	13.7

Table S2: Summary of variant filters. Moving down through the rows of the table, we move through QC filters described in the methods section. Full details and code are provided at astheeggeggs.github.io/BipEx.

Filter	Samples	Bipolar cases	Controls	%
Initial samples in vcf	39,618	16,486	17,212	100.0
Unable to obtain both phenotype and sequence information	2	-	-	0.0
Unknown phenotype	32	-	-	0.1
Low coverage or high contamination	133	72	54	0.3
Sample call rate < 0.93	185	124	53	0.5
% FREEMIX contamination > 0.02	268	146	104	0.7
% chimeric reads > 0.015	152	49	100	0.4
Mean DP < 30	20	5	12	0.1
Mean GQ < 55	56	28	25	0.1
Samples with sex swap	238	147	52	0.6
Related samples for removal	1,716	792	688	4.3
PCA based filters	2,880	1,120	1,422	7.3
Within batch Ti/Tv ratio outside 3 standard deviations	100	50	42	0.3
Within batch Het/HomVar ratio outside 3 standard deviations	150	66	58	0.4
Within batch Insertion/Deletion ratio outside 3 standard deviations	93	31	48	0.2
Within location <i>n</i> singletons outside 3 standard deviations	443	151	236	1.1
Samples after final sample filters	33,527	13,933	14,422	84.6

Table S3: Summary of sample filters. Moving down through the rows of the table, we move through QC filters described in the methods section. Full details and code are provided at astheeggegs.github.io/BipEx.

Final sample counts for analysis

Following data curation and quality control, the resultant composition of the samples by collection and bipolar subtype is summarised in Table S4.

Location	BD	BD1	BD2	BDNOS	SAD	BD total	Controls	BD total and controls
Aberdeen, UK	0	0	0	0	0	0	322	322
Amsterdam, NED	1	951	155	9	19	1,116	1,359	2,475
Baltimore, USA	3	254	6	4	0	267	41	308
Boston, USA	248	1,503	279	404	31	2,434	2,544	4,978
Cambridge, UK	0	0	0	0	0	0	2,656	2,656
Cardiff, UK	64	1,301	681	62	65	2,108	1,006	3,114
Dublin, IRE	0	150	0	0	11	150	7	157
Edinburgh, UK	298	317	94	2	6	711	58	769
London, UK	212	1,169	350	0	144	1,731	1,082	2,813
Stockholm, SWE	128	2,095	1,595	791	1	4,609	4,530	9,139
Umea, SWE	0	297	141	3	0	441	426	867
Wurzburg, GER	7	201	145	13	0	366	391	757
Total	961	8,238	3,446	1,288	277	13,933	14,422	28,355

Table S4: Detailed summary of subtype sample contributions across locations following variant and sample QC. BD=BD without a fine subclassification, BD1=bipolar I disorder, BD2=bipolar II disorder, BDNOS=bipolar disorder not otherwise specified, SAD=schizoaffective disorder, BD total = BD+BD1+BD2_BDNOS, BD total and controls=BD total+controls (excluding SAD).

Variant annotation

We use the Ensembl Variant Effect Predictor (VEP) (41) version 95 with the loftee plugin to annotate variants against GRCh38 using hail, including SIFT (42) and Polyphen2 scores (43), according to the GENCODE v19 reference. The configuration file available in google cloud: <gs://hail-us-vep/vep95-GRCh38-loftee-gcloud.json>. In addition, we annotate with version 2.1.1 gnomAD site annotations (44) and MPC scores (45) after lifting the genome coordinates over to GRCh38. MPC is an aggregate score which uses ExAC to identify sub-genic regions that are depleted of missense variation in combination with existing metrics to create a composite predictor. Finally, we annotate with Combined Annotation Dependent Depletion (CADD) version 1.4 (45, 46), and annotate constraint using the gnomAD loss of function (LOF) metrics table from release 2.1.1 (44). We then process the VEP annotated consequences, and define variant specific consequences and gene annotations as the most severe consequence of a canonical transcript on which that variant lies. We then assign variants (where possible) to four distinct consequence classes: protein truncating variant (PTV), missense, synonymous, and non-coding as defined in Table S5. We then subdivide missense variants into ‘damaging missense’ if both the polyphen prediction is ‘probably damaging’ and the SIFT prediction is ‘deleterious’, and ‘other missense’ otherwise.

Consequence class	VEP consequences
PTV	Transcript ablation, splice acceptor variant, splice donor variant, stop gained, frameshift variant.
Missense	Stop lost, start lost, transcript amplification, inframe insertion, inframe deletion, missense variant, protein altering variant, splice region variant.
Synonymous	Incomplete terminal codon variant, stop retained variant, synonymous variant.
Non-coding	Coding sequence variant, mature miRNA variant, 5' UTR variant, 3' UTR variant, non-coding transcript exon variant, intron variant, NMD transcript variant, non-coding transcript variant, upstream gene variant, downstream gene variant , TFBS ablation, TFBS amplification, TF binding site variant, regulatory region ablation, regulatory region amplification, feature elongation, regulatory region variant, feature truncation, intergenic variant.

Table S5: Consequence classes defined based VEP annotation.

Exome-wide burden analyses

We ran a series of logistic regressions to test for an association between putatively damaging rare variation and case status, and linear regressions to test for an association between case status and excess burden of damaging variation. Note that both tests will result in near identical *P*-values; the motivation here is to ascertain two effect size parameters of rare-variant burden.

We then sought to hone in on more recent mutations by restricting to rare variation not present in the non-neurological portion of the gnomAD database, and perform the same collection of association tests. Furthermore, we leveraged evolutionary constraint models to enrich for deleterious variation by testing for enrichment of missense variation with MPC score ≥ 2 (representing the top ~3.9% pathogenicity of missense variation (45), and restricting our PTV enrichment tests within genes most likely to be loss-of-function intolerant ($pLI \geq 0.9$).

Throughout, we test for a signal of enrichment of synonymous, and other-missense as a negative control to confirm that our burden model was well calibrated. For each collection of regressions, we include sex, ten PCs and overall burden of $MAC \leq 5$ variants in the dataset following the imposed restrictions (e.g. not in gnomAD non-neurological). In each case, regressions were robust to incorporation of the overall burden covariate: the overall observed patterns did not change if we controlled for overall coding burden or did not control for overall burden.

To ensure that the results in the full dataset were not driven by artefacts introduced by jointly analysing multiple cohorts or residual population structure, we also ran burden tests within each location and meta-analysed these results. We observed consistent results across the cohorts, and found that estimated odds ratios and excess burden between the joint analysis and meta-analysis were roughly equivalent.

Schizophrenia as a positive control for damaging rare burden analysis

In the case of schizophrenia, multiple studies have shown enrichment of rare damaging coding variation in cases over controls (47, 48). As a positive control, we considered the subset of schizophrenia cases in the BipEx cohort and tested for enrichment of putatively damaging variation in these loss of function intolerant ($pLI > 0.9$) genes and replicated this result ($OR = 1.28$, $P = 1.9 \times 10^{-10}$).

Age of onset definitions

Three definitions for age of onset were available for subsets of the data and considered for analysis: age at first symptoms, age at first diagnosis, and age at first impairment. In each case, two distinct age encodings were used:

1. < 18; 18-40; 40+.
2. < 12; 12-24; 24+.

Cardiff, UK

Age at first symptoms: SCAN (3) interview and case records; age of first clinically significant symptoms due to affective/psychotic illness was used to define encodings 1 and 2.

Age at first impairment: SCAN (3) interview and case records; age of first clinically significant impairment due to affective/psychotic illness was used to define encodings 1 and 2.

Boston, USA

Age of diagnosis: A regular expression algorithm extracting mention by clinician in an inpatient or outpatient note (14, 49). Age of onset must be explicitly mentioned by a physician in a clinical note. Results were used to define encoding 1.

London, UK

Age of first impairment. OPCRIT (3, 4) question 4 of the DPIM BPAD questionnaire (github.com/astheeggegg/BipEx/DPIM_BPAD.docx): age of onset, defined as the earliest age at which medical advice was sought for psychiatric reasons or at which symptoms began to cause subjective distress or impair functioning, provided to the nearest year. Age was used to define encodings 1 and 2.

Stockholm, SWE

SWEBIC (Swedish Bipolar Cohort Collection), SWE SBP

Age at first symptoms: Age at first sign of psychiatric disorder as recorded in the ADE

Age at first diagnosis: Age at first contact with healthcare professionals for mental health issues as recorded in ADE.

Bipolär:

Age at first symptoms: Age at first signs of mental health problems or psychiatric disorder as recorded in the QA-register stratified by < 8 years of age, 8-11 yrs, 12-17 yrs, 18-24 yrs, > 24 years of age;

Age at first diagnosis: Question at telephone interview: "How old were you at your first contact with health care professionals due to mental health issues / a psychiatric disorder?"

HDR:

Age at first symptoms: Age at first signs of mental health problems / psychiatric disorder as recorded in the telephone interview stratified by < 8 years of age, 8-11 yrs, 12-17 yrs, 18-24 yrs, > 24 years of age.

Age at first diagnosis: Question at telephone interview: "How old were you at your first contact with health care professionals due to mental health issues / a psychiatric disorder?"

Age at first diagnosis exists as actual age but was divided according to encodings 1 and 2.
Age at first symptoms is provided according to encoding 2.

Data on age of first impairment was not collected in the Swedish cohort collection. However, a subset of Swedish data (The St. Göran Project, **SBP**) contains information on, 'age at first health care contact for any psychiatric problem', which herein was considered to indicate age of first impairment, and was divided according to encodings 1 and 2.

Testing for relationship between age of onset and rare variant burden

To test for an association between age of onset (see ‘age of onset definitions’ for full details) and burden of rare damaging variation, we first restricted our attention to the class of variation with the strongest signal for excess in cases over controls: PTVs. We considered only ‘age at first impairment’ (Table S6) as this was the definition with the largest amount of available data: 3,677 in both encoding 1 and 2. Using these two encodings, we further split the age of first impairment categories into five discrete bins: < 12, 12-18, 18-24, 24-40 and > 40. We tested all 10 possible ‘younger bin’ vs ‘older bin’ pairs across this partition to check for differences in MAC ≤ 5 PTV burden, MAC ≤ 5 not in gnomAD PTV burden, and MAC ≤ 5 not in gnomAD PTV in $pLI \geq 0.9$ burden, using Kolmogorov-Smirnov tests. We also used Fisher’s exact tests to test for an association between carrier status for the damaging rare PTV categories between the ‘younger’ and ‘older’ bins.

Location	Age First Impairment <12	Age First Impairment 12-24	Age First Impairment >24	Total	Age First Impairment <18	Age First Impairment 18-40	Age First Impairment >40	Total
Cardiff, UK	80	824	404	1,308	469	782	57	1,308
London, UK	78	978	752	1,808	446	1,188	174	1,808
Stockholm, SWE	26	256	279	561	135	355	71	561
Total	184	2,058	1,435	3,677	1,050	2,325	302	3,677

Table S6: Age of onset ‘age of first impairment’ data. We have data split according to two encodings as described in ‘age on onset definitions’ in three of the BipEx cohorts.

Psychosis definitions

Psychosis was defined by a lifetime history of hallucinations or delusions. Presence of psychosis was evaluated differently across cohorts based on available data.

Boston, USA

Validated Natural language processing based algorithm run on clinical notes (14, 49).

Cardiff, UK

SCAN interview (3) and case records. Definite evidence of lifetime presence of psychotic symptoms and lifetime presence of individual OPCRIT (3, 4) psychotic symptoms.

London, UK

OPCRIT (3, 4) interview: lifetime presence of psychotic symptoms as defined by questions 52, 54, 55, 57-77 of the OPCRIT checklist detailed in the DNA polymorphisms in mental illness (DPIM) bipolar affective disorder (BPAD) questionnaire (github.com/astheeggeggs/BipEx/DPIM_BPAD.docx).

Stockholm, SWE

SWEBIC (Swedish Bipolar Cohort Collection), SWE

SBP: ADE question: any psychotic disorder?

Bipolär and HDR: During a structured telephone interview that research nurses conducted, “have you ever lost touch with reality (i.e. have heard or seen things that others have not seen) or experienced things that you later realized were not real?” was asked. Patients were defined as having psychosis if the answer to this question was clear-cut ‘yes’, and not having psychosis if doubtful.

Before restricting to samples with high quality sequence data

Location	Psychosis					No psychosis					BD total
	Bipolar Disorder				SAD	Bipolar Disorder				SAD	
	BD	BD1	BD2	BDNOS		BD	BD1	BD2	BDNOS		
Boston, USA	13	438	82	100	34	6	105	27	34	0	805
Cardiff, UK	40	994	74	6	54	12	247	488	30	0	1,891
London, UK	12	869	86	0	128	6	343	226	0	9	1,542
Stockholm, SWE	0	1,349	497	315	0	0	742	1,142	510	0	4,555
Wurzburg, GER	0	47	11	1	0	7	169	148	14	0	397
Total	65	3,697	750	422	216	31	1,606	2,031	588	9	9,190

Following restriction to samples with high quality sequence data

Location	Psychosis					No psychosis					BD total
	Bipolar Disorder					Bipolar Disorder					
	BD	BD1	BD2	BDNOS	SAD	BD	BD1	BD2	BDNOS	SAD	
Boston, USA	9	303	54	66	22	6	74	19	23	0	554
Cardiff, UK	29	842	65	6	51	11	216	438	28	0	1,635
London, UK	11	770	79	0	118	6	317	219	0	9	1,402
Stockholm, SWE	0	1,193	453	279	0	0	659	1,034	442	0	4,060
Wurzburg, GER	0	44	10	1	0	7	157	135	12	0	366
Total	49	3,152	661	352	191	30	1,423	1,845	505	9	8,017

Table S7: Breakdown of psychosis diagnosis information across BipEx cohorts available in the phenotype data, and following destruction to the analysis ready dataset. BD=BD without a fine subclassification, BD1=bipolar I disorder, BD2=bipolar II disorder, BDNOS=bipolar disorder not otherwise specified, SAD=schizoaffective disorder, BD+SAD=bipolar disorder and schizoaffective disorder combined, SCZ=schizophrenia, other=other unspecified case, unknown=unknown case status.

Gene-set variant burden testing

For each gene-set, we tested for ultra-rare variant enrichment of the following classes of variation:

- PTV
- Damaging missense
- Other missense
- Synonymous

To do this, for each gene-set of interest, we regressed case status on ultra-rare (MAC ≤ 5 , not in non-neurological portion of gnomAD) burden of each variant class in that gene-set using logistic regression. We included the following covariates as possible confounders in each regression analysis:

- Ultra-rare coding burden in the gene set (the sum of ultra-rare burden of PTVs, damaging missense, other missense, and synonymous variants in the gene-set)
- Sex
- PCs 1-10

The resulting logistic regression performed for each (gene-set, variant class) pair is then:

$$case\ status \sim burden_{g,c} + burden_{g,coding} + sex + PC1 + PC2 + \dots + PC10,$$

where $burden_{g,c}$ is the count of ultra-rare variants of variant class c in gene-set g for the sample, and $burden_{g,coding}$ is the total number of ultra-rare coding (any variant annotated as either PTV, damaging missense, other missense, or synonymous) variants in the gene-set for the sample.

For example, consider PTVs in calcium channel genes. For each sample, we count the number of ultra-rare PTVs that individual harbors within the calcium channel genes, and define this quantity as their ‘ultra-rare PTV burden’ ($burden_{Ca\ channels,PTV}$).

We included overall ultra-rare coding burden in the gene-set ($burden_{g,coding}$) as a covariate as it ensured that any signal was significant above overall rare coding differences between cases and controls in the analysed gene set. All cohorts were analysed together.

Following the observation of enrichment of brain expressed genes in the initial gene-set analysis, we sought to refine the signal. In the collection of GTEx tissue specific gene-sets defined by (50), a subset of 13 are brain regions (italicised in Table S8). We tested for enrichment of MAC ≤ 5

PTVs in these GTEx gene-sets defined as having the strongest tissue specific expression using logistic regression, again controlling for 10 PCs, sex and $MAC \leq 5$ coding burden.

Gene-lists were arrived at in the following manner, summarised in Figure 1 of Finucane *et al.* (50):

For each gene and tissue, construct a design matrix X , where rows are samples taken from either the tissue of interest, or outside the larger tissue category. For example, in the case of any of the brain regions, expression data for the gene under all other brain regions are excluded from rows of X . As a concrete example, consider Hippocampus and some gene g . The first column of X is set to '1' for each hippocampus sample, and '-1' for non-brain samples. Other columns are covariates: age and sex. Y is the expression of gene g in the tissue. The model $Y \sim X$ is then fit using ordinary least squares and a t -statistic evaluated for the first term:

$$t = \frac{(X^T X)^{-1} X^T Y[0]}{\sqrt{MSE \cdot (X^T X)^{-1}[0,0]}},$$

where MSE is the mean squared error of the fitted model:

$$MSE = \frac{1}{N} (Y - X(X^T X)^{-1} X^T Y)^T (Y - X(X^T X)^{-1} X^T Y),$$

where N is the number of rows of X . This then provides a t -statistic for each gene in the current tissue of interest. Finally, the top 10% of genes in these lists was defined as the tissue-specific gene-set for each of the tissues.

The 43 tested genesets are available for download at https://data.broadinstitute.org/alkesgroup/LDSCORE/LDSC_SEG_ldscores/. Results are displayed in Figure 2A.

Tissue	Tissue category	Broad tissue category	Number of samples
Bladder	Bladder	Other	11
Kidney Cortex	Kidney	Other	32
Minor Salivary Gland	Salivary Gland	Other	57
<i>Brain Substantia nigra</i>	<i>Brain</i>	CNS	63
<i>Brain Spinal cord (cervical c-1)</i>	<i>Brain</i>	CNS	70
<i>Brain Amygdala</i>	<i>Brain</i>	CNS	72
<i>Brain Anterior cingulate cortex (BA24)</i>	<i>Brain</i>	CNS	84
Small Intestine Terminal Ileum	Small Intestine	Digestive	88
<i>Brain Hippocampus</i>	<i>Brain</i>	CNS	94
<i>Brain Hypothalamus</i>	<i>Brain</i>	CNS	96
<i>Brain Putamen (basal ganglia)</i>	<i>Brain</i>	CNS	97
Pituitary	Pituitary	Endocrine	103
Spleen	Spleen	Blood/Immune	104
<i>Brain Cerebellar Hemisphere</i>	<i>Brain</i>	CNS	105
<i>Brain Frontal Cortex (BA9)</i>	<i>Brain</i>	CNS	108
<i>Brain Nucleus accumbens (basal ganglia)</i>	<i>Brain</i>	CNS	113
<i>Brain Cortex</i>	<i>Brain</i>	CNS	114
<i>Brain Caudate (basal ganglia)</i>	<i>Brain</i>	CNS	115
Liver	Liver	Liver	119
<i>Brain Cerebellum</i>	<i>Brain</i>	CNS	125
Artery Coronary	Blood Vessel	Cardiovascular	133
Adrenal Gland	Adrenal Gland	Endocrine	145
Colon Sigmoid	Colon	Digestive	149
Esophagus Gastroesophageal Junction	Esophagus	Digestive	153
Pancreas	Pancreas	Other	171
Stomach	Stomach	Digestive	193
Heart Atrial Appendage	Heart	Cardiovascular	194
Colon Transverse	Colon	Digestive	196
Breast Mammary Tissue	Breast	Other	214
Heart Left Ventricle	Heart	Cardiovascular	218
Artery Aorta	Blood Vessel	Cardiovascular	224
Adipose Visceral (Omentum)	Adipose Tissue	Adipose	227
Esophagus Muscularis	Esophagus	Digestive	247
Skin Not Sun Exposed (Suprapubic)	Skin	Other	250
Esophagus Mucosa	Esophagus	Digestive	286
Nerve Tibial	Nerve	Other	304
Lung	Lung	Other	319
Thyroid	Thyroid	Endocrine	322
Artery Tibial	Blood Vessel	Cardiovascular	332

Adipose Subcutaneous	Adipose Tissue	Adipose	350
Skin Sun Exposed (Lower leg)	Skin	Other	357
Whole Blood	Blood	Blood/Immune	393
Muscle Skeletal	Muscle	Musculoskeletal/connective	430

Table S8: GTEx tissue information for analysed (50) GTEx gene-sets. The 43 tested genesets are available at data.broadinstitute.org/alkesgroup/LDSCORE/LDSC_SEG_ldscores/.

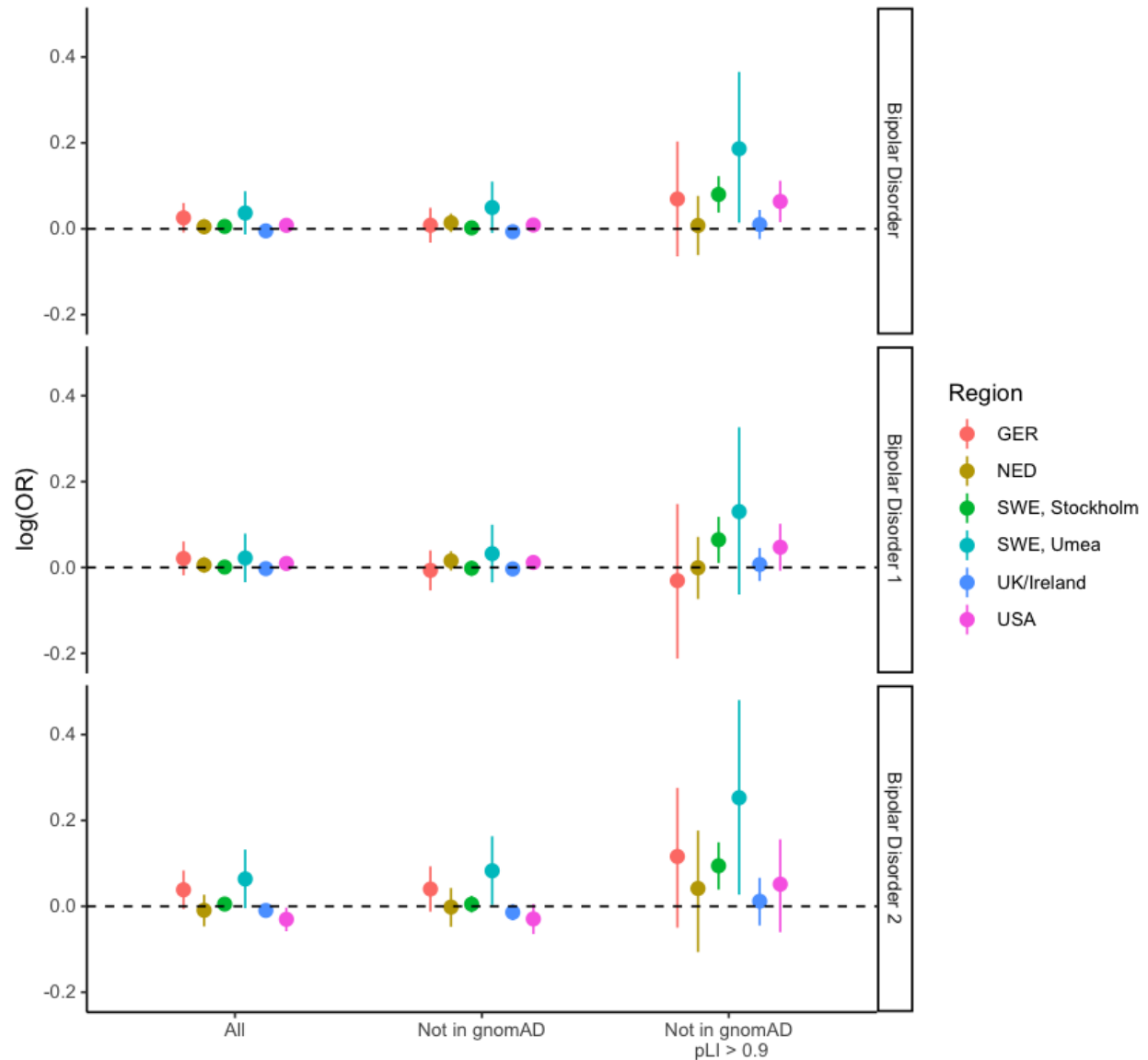


Figure S6: Case-control enrichment of PTVs, split by case status and consequence category. We display case-control enrichment of PTVs in increasingly *a priori* damaging variant subsets, split by region, using logistic regression. Consequence categories are stratified by rarity: the rarity increases from PTVs with $MAC \leq 5$ (All), to PTVs with $MAC \leq 5$ in a $pLI \geq 0.9$ gene and not in the non-neurological portion of gnomAD (not in gnomAD $pLI \geq 0.9$), according to the x-axis labelling. Bars represent the 95% confidence intervals on the estimate of the enrichment labelled on the x-axis respectively in the region coloured according to the legend. Regressions are run as described in supplementary materials: exome-wide burden analyses, and include sex, 10 PCs and total $MAC \leq 5$ coding burden as covariates.

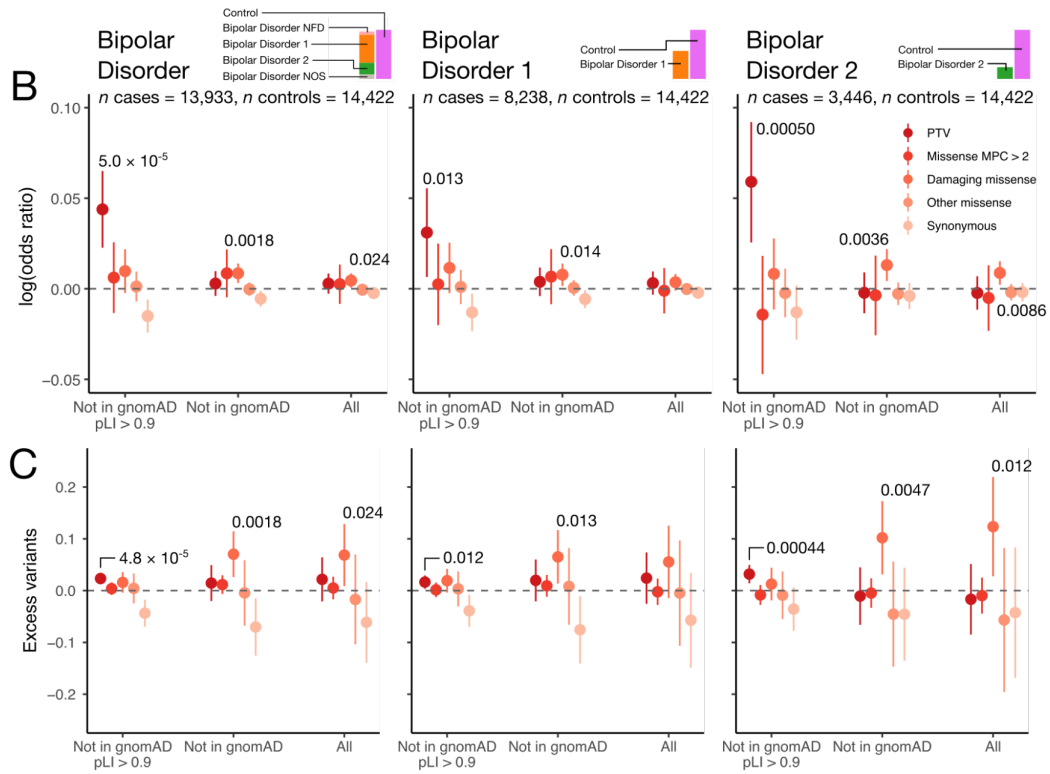
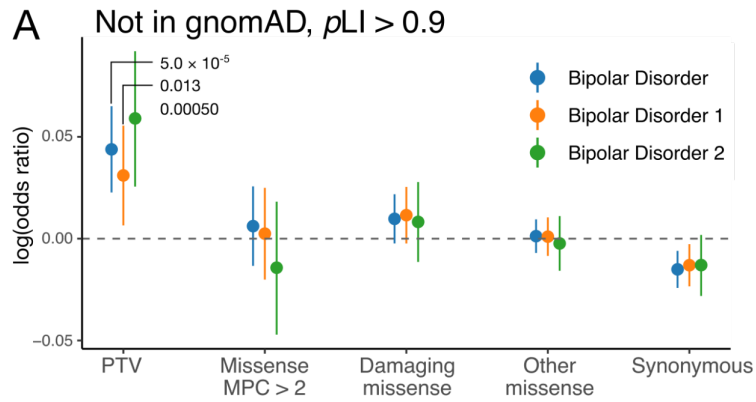


Figure S7: Case-control enrichment of ultra-rare variants, split by case status and consequence category. Panel A displays enrichment in cases over controls in case subsets, according to the legend. In panels B and C, we display case-control enrichment and excess case rare variant burden increasingly *a priori* damaging variant subsets using logistic and linear regression respectively. Consequence categories are stratified by rarity: moving from left to right the putatively damaging nature of the variants reduces from dark red to pink according to the legend, and the rarity reduces from a variant with $MAC \leq 5$ in a $pLI \geq 0.9$ gene and not in the non-neurological portion of gnomAD (Not in gnomAD $pLI \geq 0.9$), to a variant with $MAC \leq 5$ (All) according to the x-axis labelling. Bars in panels B and C represent the 95% confidence intervals on the logistic and linear regression estimate of the enrichment of the class of variation labelled on the x-axis respectively. Regressions are run as described in supplementary materials: exome-wide burden analyses, and include sex, 10 PCs and total $MAC \leq 5$ coding burden as covariates. Nominally significant enrichments or excess variants in cases are labelled with the associated P -value.

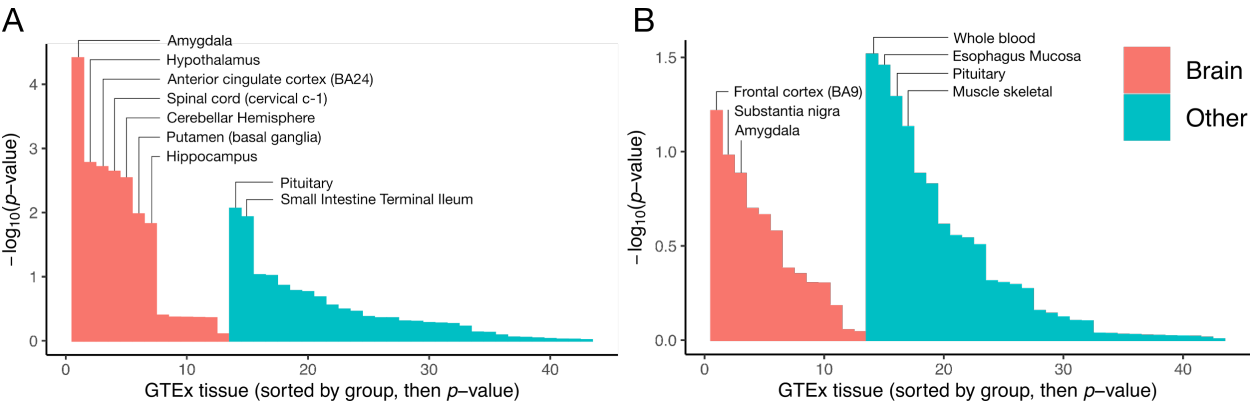
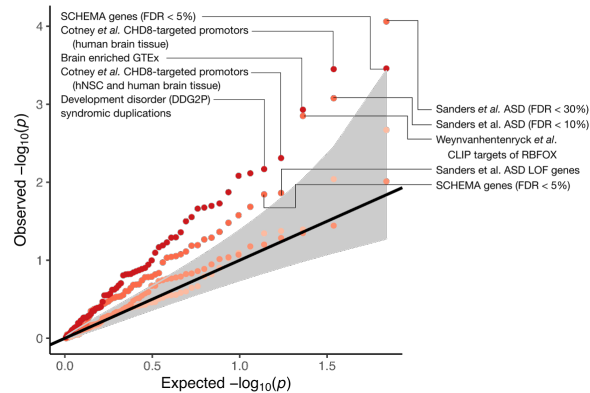


Figure S8: Enrichment of ultra-rare PTVs in BD1 and BD2 cases over controls in tissue-specific expression genesets. Gene-sets are defined in (50) in detail. Bars are ordered first by whether they are a brain-tissue, and then by P -value. A. displays the results for BD1, B. displays the results for BD2.

Bipolar Disorder 1



Bipolar Disorder 2

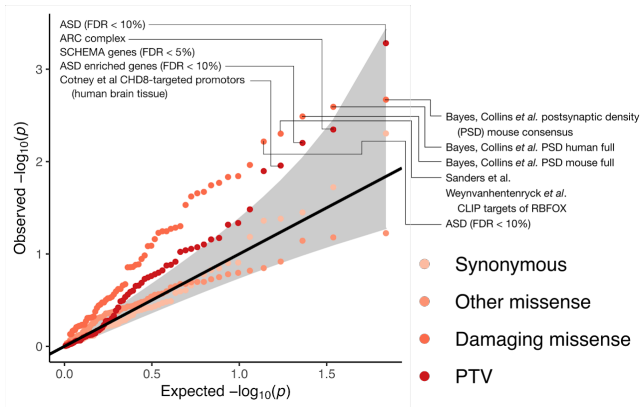


Figure S9: Enrichment of ultra-rare variants in targeted 68 gene-sets taken from the literature. The left plot shows enrichment in BD1, the right plot shows enrichment in BD2. Top PTV and damaging missense gene-sets are labelled. Classes of variants tested in each gene-set are coloured according to the legend.

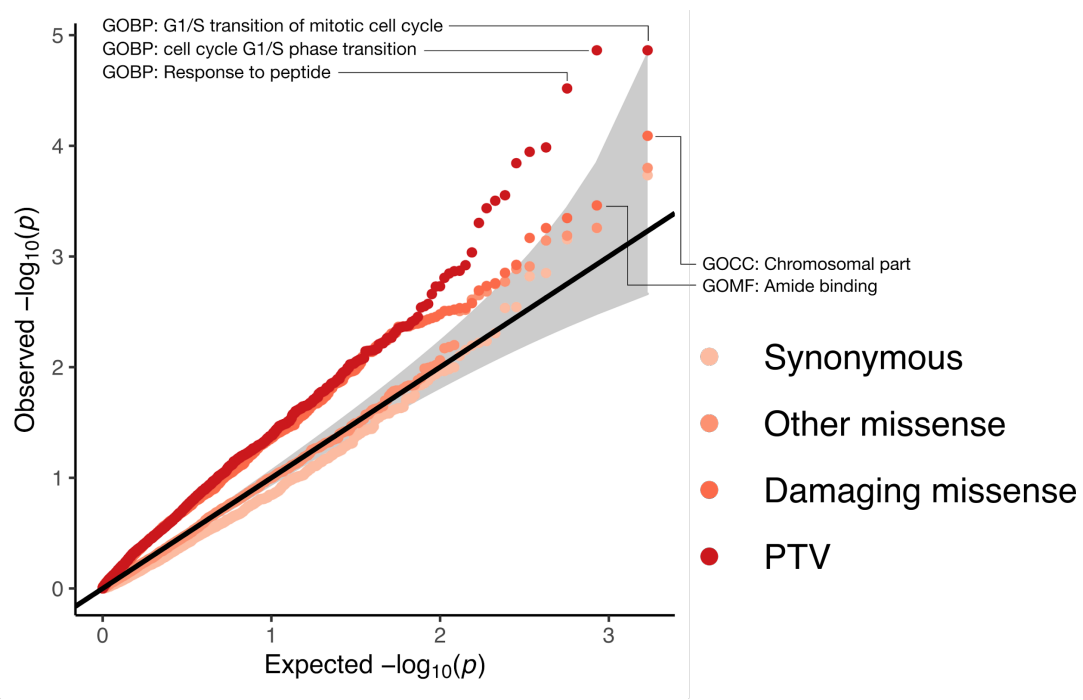


Figure S10: QQ plot of P -values testing for enrichment of ultra-rare variants in 1,697 gene-sets taken from derived from large pathway databases including Gene Ontology (GO), REACTOME and KEGG). Top PTV and damaging missense gene-sets are labelled. Classes of variants tested in each gene-set are coloured according to the legend.

Gene-based analysis approach

In order to increase power for gene discovery, we filter down to variants not present in the non-neurological portion of the gnomAD dataset (44), and we further enriched for pathogenic variants by restricting our analysis to variants with $MAC \leq 5$. We then examine case-control enrichment of PTVs (transcript ablation, splice acceptor variant, splice donor variant, stop gained and frameshift variant; Table S5) or damaging missense variants (missense variants (Table S5) annotated as ‘probably damaging’ in PolyPhen and ‘deleterious’ in SIFT). We further restricted our analysis to the coding exons within the target intervals of the illumina capture, to reduce potential for artefacts which could potentially be induced due to differential coverage across batches in any padded target interval, using synonymous, and other missense ultra-rare variants in each gene as the negative control (Figure S11-12).

Throughout, we use Fisher’s exact tests in each gene. We considered a Cochran–Mantel–Haenszel (CMH) test, using the strata defined by broad geographic location. We use a permutation approach to determine the null distribution of test statistics throughout our gene based analysis, and evaluate QQ plots of synonymous and other-missense ultra-rare variants to ensure that tests are well-calibrated (Figure S11-12). We used Fisher’s exact tests in our primary analysis, as tests showed the strongest power and also had well calibrated QQ plots across annotation categories (Figure S11-15). To determine Q-values we apply the Benjamini and Hochberg adjustment (51) to Fisher’s exact test *P*-values for genes with at least 10 ultra-rare PTVs across cases and controls. We exclude genes with less than 10 ultra-rare PTVs in the BipEx dataset to guard against incorrect *P*-value adjustment using the Benjamini and Hochberg procedure. Conservative Q-values occur when applying the Benjamini and Hochberg correction to discrete test statistics with low counts, due to the null distribution of *P*-values not following a uniform distribution under the null.

CMH and Fisher’s exact test for gene based tests

We tested for an excess of ultra-rare variation ($MAC \leq 5$ and not present in the non-neurological portion of the gnomAD dataset) in each gene using both Fisher’s exact and Cochran-Mantel-Haenszel (CMH) tests for each phenotype. Given that we did not observe excess burden in missense variants with high MPC (>3 or >2) in bipolar cases over controls exome wide (in contrast to schizophrenia; (52)), we did not test a weighted summation of counts across consequence categories. For each gene, each sample was assessed for carrier status for each of the following consequence classes: synonymous, other missense, damaging missense, and PTV (Table S5);

individuals harbouring at least one copy in the consequence class under analysis were counted as carriers. These counts were then taken through to define 2×2 and $2 \times 2 \times 6$ contingency tables for Fisher's exact and CMH tests respectively, using location as strata, see below. To ensure that our tests were well calibrated, we randomly permuted case labels (within stratum for CMH) for each gene and reran the test 20 times across all genes and keep track of the summation of the ordered vectors of P -values up to that permutation, before taking an average at the last permutation. This vector of length $|n \text{ genes}|$ then defines our expected distribution of P -values. Fisher's exact test P -values and odds-ratio for carrier status are displayed in the gene results tables on the browser: bipex.broadinstitute.org.

Location stratum	Cohort
UK/Ireland	Aberdeen, UK Cambridge, UK Cardiff, UK Dublin, IRE Edinburgh, UK London, UK
Germany	Wurzburg, GER
USA	Baltimore, USA Boston, USA
Netherlands	Amsterdam, NED
Sweden, Stockholm	Stockholm, SWE
Sweden, Umea	Umea, SWE

Robustness of gene-based analysis

To ensure that our tests were robust, we performed a series of checks to see if the Fisher's exact (Figures S11-12), and Cochran-Mantel-Haenszel (CMH) test results showed an elevated false positive rate. In both tests, we observed the expected null P -value distribution in the collection of gene-based tests when analysing synonymous and 'other-missense' variants with $MAC \leq 5$ not in gnomAD non-neurological. To further test calibration of the test statistic, we filtered to genes where we are well powered to detect differences between BD cases and controls. We examined case-control enrichment of synonymous ultra-rare variants in genes with an allele count of > 20 and > 50 and compared observed P -value to the uniform expectation (Figure S15). In each, we did not observe inflation of the test statistic.

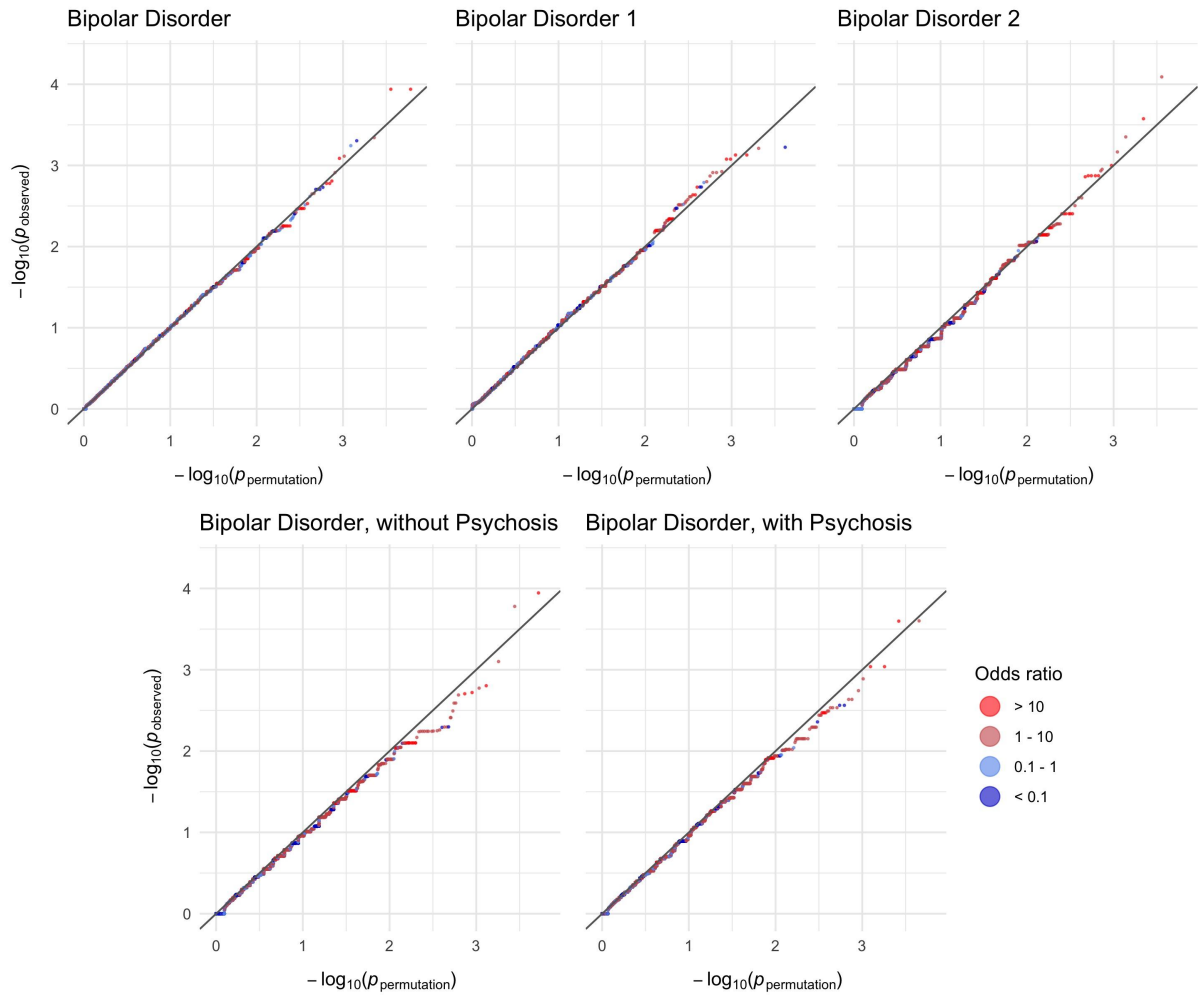


Figure S11: MAC ≤ 5 not in gnomAD non-neurological synonymous variants in 13,933 cases and 14,422 controls: QQ plots. Observed $-\log_{10} P$ -values are plotted against permutation P -values according to the procedure described in the methods; gene-based analysis. Points are coloured according to the discrete scale displayed in the legend.

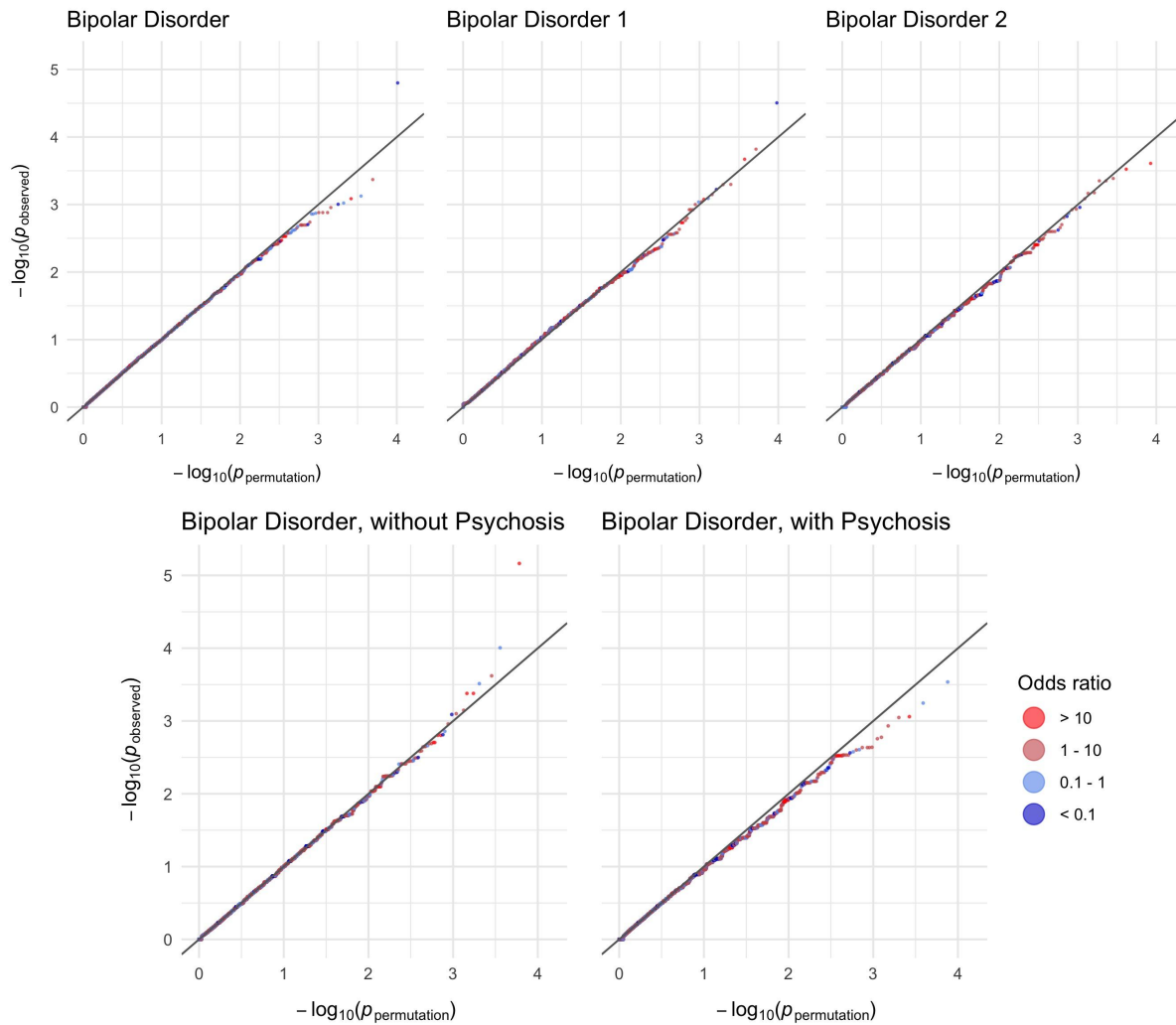


Figure S12: MAC ≤ 5 not in gnomAD non-neurological other missense variants in 13,933 cases and 14,422 controls: QQ plots. Observed $-\log_{10} P$ -values are plotted against permutation P -values according to the procedure described in the methods; gene-based analysis. Points are coloured according to the discrete scale displayed in the legend.

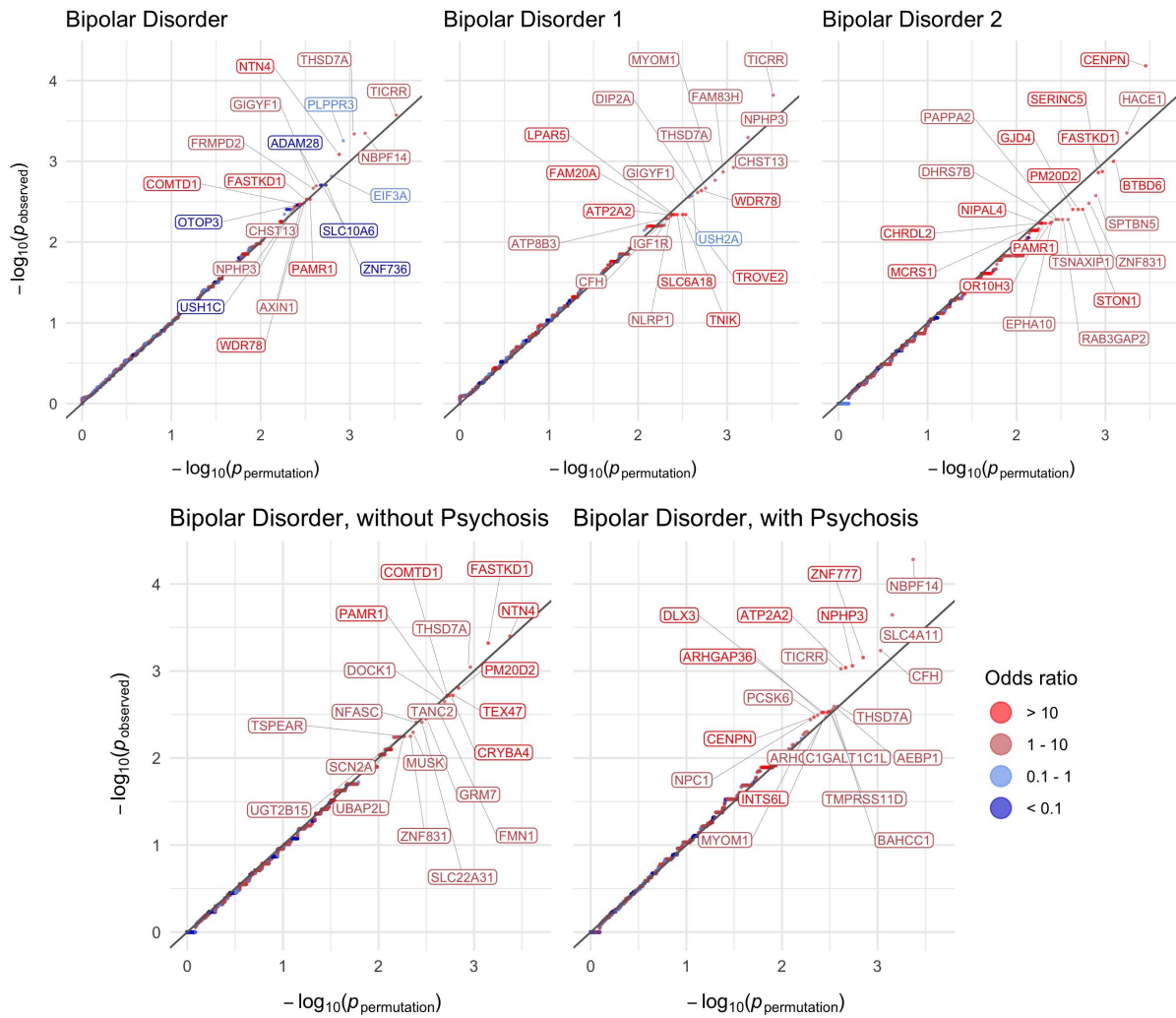


Figure S13: MAC ≤ 5 not in gnomAD non-neurological damaging missense variants in 13,933 cases and 14,422 controls: QQ plots. Observed $-\log_{10} P$ -values are plotted against permutation P -values according to the procedure described in the methods; gene-based analysis. Points are coloured according to the discrete scale displayed in the legend. In each panel, the gene symbols of the top 20 genes by P -value are labelled.

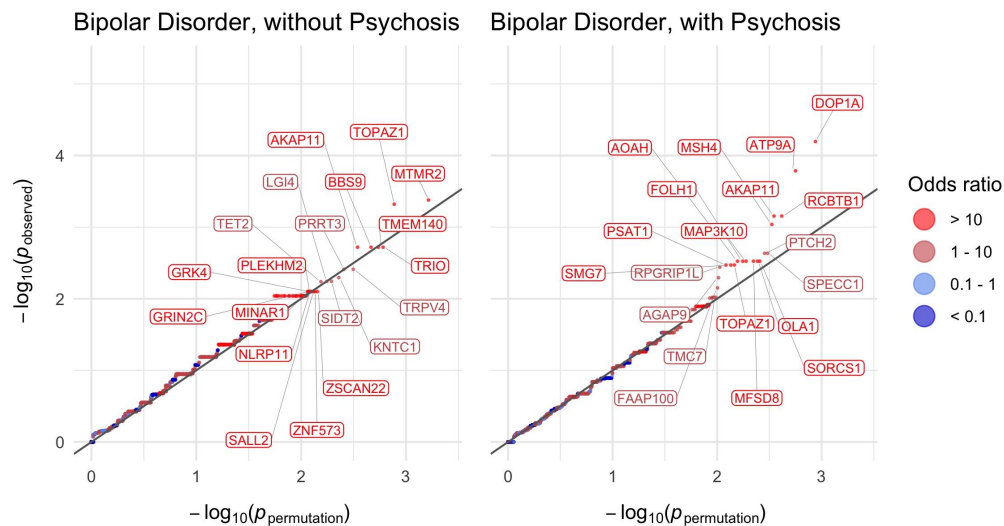


Figure S14: MAC ≤ 5 not in gnomAD non-neurological PTVs in 13,933 cases and 14,422 controls: QQ plots. Observed $-\log_{10} P$ -values are plotted against permutation P -values according to the procedure described in the methods; gene-based analysis. Points are coloured according to the discrete scale displayed in the legend. In each panel, the gene symbols of the top 20 genes by P -value are labelled.

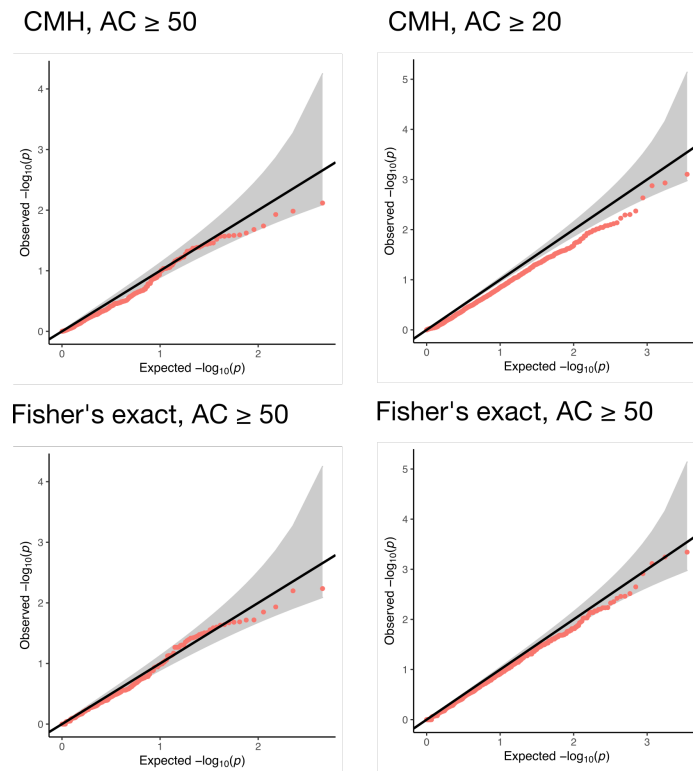


Figure S15: MAC ≤ 5 not in gnomAD non-neurological synonymous variants in 13,933 cases and 14,422 controls: QQ plots for BD in genes with ultra-rare synonymous counts about 20 and 50 across BD cases and controls. Observed $-\log_{10} P$ -values are plotted against expected P -values using a uniform distribution. The first and second rows show P -values obtained via a CMH and Fisher's exact test respectively. The first and second columns restrict to genes with at least 20 and at least 50 individuals across cases and controls harbouring an ultra-rare PTV respectively.

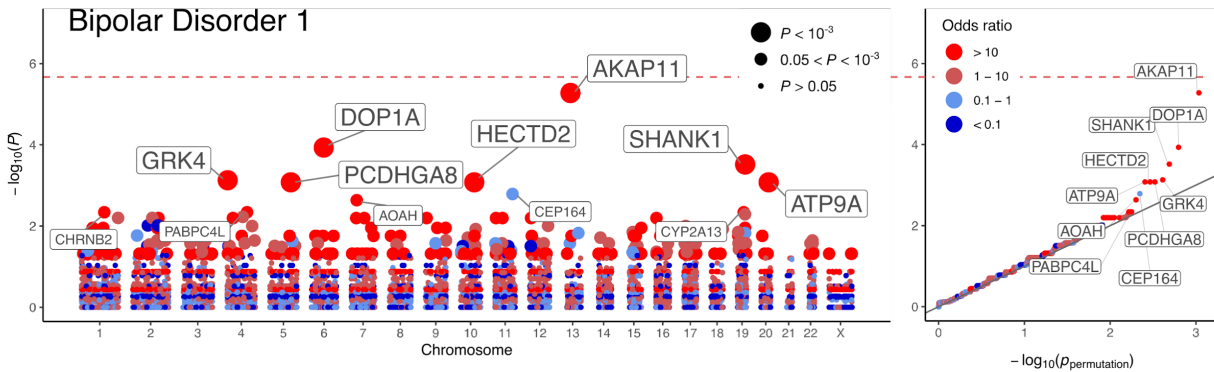


Figure S16: Results of the analysis of ultra-rare PTVs in BD1: 8,238 cases and 14,422 controls. Gene based Manhattan and associated QQ plot for BD1. $-\log_{10} P$ -values obtained via Fisher's exact tests are plotted against genetic position for each of the analysed genes. In the QQ plots, observed $-\log_{10} P$ -values are plotted against permutation P -values according to the procedure described in the supplementary materials: gene-based analysis approach. Points are coloured according to the discrete scale displayed in the legend. In the Manhattan plot and QQ plot, the gene symbols of top genes by P -value are labelled. Points in the Manhattan plot are sized according to P -value as displayed in the legend.

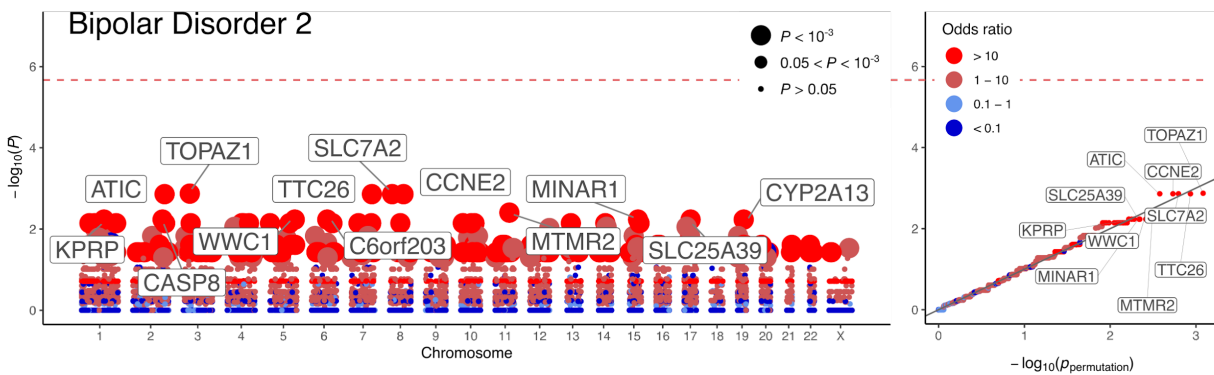


Figure S17: Results of the analysis of ultra-rare PTVs in BD2: 3,446 cases and 14,422 controls. Gene based Manhattan and associated QQ plot for BD1. $-\log_{10} P$ -values obtained via Fisher's exact tests are plotted against genetic position for each of the analysed genes. In the QQ plots, observed $-\log_{10} P$ -values are plotted against permutation P -values according to the procedure described in the supplementary materials: gene-based analysis approach. Points are coloured according to the discrete scale displayed in the legend. In the Manhattan plot and QQ plot, the gene symbols of top genes by P -value are labelled. Points in the Manhattan plot are sized according to P -value as displayed in the legend.

Combining SCHEMA and BipEx data in meta-analysis

To examine the extent of shared ultra-rare PTV signal between BD and SCZ we ran separate Fisher and CMH tests for BipEx and SCHEMA separately and meta-analysed the results using

weighted Z-scores, weighing by effective sample sizes. Fisher's exact and CMH two-sided P -values were halved and converted to signed Z-scores using the OR to define the sign. Weighted Z-score were then evaluated:

$$Z = \frac{\sum_{i=1}^m w_i Z_i}{\sqrt{\sum_{i=1}^m w_i^2}},$$

where $w_i = \sqrt{N_{eff,i}}$, $N_{eff,i} = 4Np_{case,i}(1 - p_{case,i})$, and $p_{case,i}$ is the case proportion in the i^{th} cohort. Associated P -values were then evaluated. As the UK and Ireland controls were present as controls for the SCHEMA study, these controls were excluded from the analysis.

Gene	<i>P</i> -value
AKAP11	1.15×10^{-5}
DOP1A	2.22×10^{-4}
SHANK1	8.19×10^{-4}
TOPAZ1	1.56×10^{-3}
ATP9A	1.66×10^{-3}
WWP1	6.52×10^{-3}
HECTD2	6.91×10^{-3}
PSAP	1.41×10^{-2}
RAP1GDS1	1.41×10^{-2}
USP24	1.41×10^{-2}
SPHKAP	1.57×10^{-2}
CACNA1B	1.93×10^{-2}
ANKFY1	1.95×10^{-2}
SCN3A	1.95×10^{-2}
SMG7	1.95×10^{-2}
DNAJC14	2.86×10^{-2}
EXOC3	2.86×10^{-2}
PHIP	2.86×10^{-2}
SBNO1	3.14×10^{-2}
ZFYVE9	3.14×10^{-2}

Table S9: Top 20 genes with $pLI \geq 0.9$ as measured by gene-based test *P*-value.

Gene	BD (BipEx)				SCZ (SCHEMA)				Combined	
	Case count BD/BD1/BD2 BD <i>n</i> = 13,933 BD1 <i>n</i> = 8,238 BD2 <i>n</i> = 3,446	Control count <i>n</i> = 14,422	<i>P</i> -value	OR	Case count <i>n</i> = 24,248	Control count <i>n</i> = 91,960	<i>P</i> -value	OR	OR	Meta <i>P</i> -value
<i>AKAP11</i>	16/12/2	0	1.15×10^{-5}	∞	17	13	2.02×10^{-5}	5.60	7.06	2.83×10^{-9}
<i>DOP1A</i>	15/11/2	1	2.22×10^{-4}	15.54	19	43	1.47×10^{-1}	1.59	2.11	1.44×10^{-4}
<i>PCDHGA8</i>	11/7/1	0	4.02×10^{-4}	∞	6	44	2.19×10^{-1}	0.54	0.99	3.38×10^{-3}
<i>SHANK1</i>	10/8/1	0	8.19×10^{-4}	∞	4	4	4.43×10^{-1}	2.90	6.99	9.71×10^{-3}
<i>TOPAZ1</i>	12/6/5	1	1.56×10^{-3}	12.43	2	3	6.67×10^{-1}	0.93	3.93	2.51×10^{-3}
<i>ATP9A</i>	9/7/2	0	1.66×10^{-3}	∞	15	11	6.96×10^{-4}	4.08	5.46	5.36×10^{-6}
<i>FREM2</i>	4/3/1	19	2.67×10^{-3}	0.22	22	92	5.48×10^{-1}	0.83	0.65	3.80×10^{-2}
<i>CHD1L</i>	11/6/2	1	2.95×10^{-3}	11.39	16	73	5.99×10^{-1}	0.82	1.01	4.57×10^{-2}
<i>CHRNA2</i>	11/7/1	1	2.95×10^{-3}	11.39	2	17	5.54×10^{-1}	0.52	1.88	3.04×10^{-2}
<i>CYP2A13</i>	11/7/4	1	2.95×10^{-3}	11.39	13	28	6.30×10^{-1}	1.29	2.27	4.61×10^{-2}

Table S10: BipEx and SCHEMA case-control counts of the top ten most significant genes in the BipEx BD main gene-based analysis. Case and control columns denote the count of ultra-rare PTVs in the gene in the respective dataset. *P*-values are determined using Fisher's exact and CMH tests for BipEx and SCHEMA (supplementary materials: gene-based analysis approach) respectively, and meta-analysed weighting by effective sample size. BipEx: BD case count 13,933, control count 14,422. SCHEMA: schizophrenia case count 24,248, control count 91,960. The SCHEMA OR is the estimated OR averaged over strata, whereas the combined OR is the simple OR calculated by combining the BipEx and SCHEMA cases and controls.

Lithium response

Stockholm, SWE

SWEBIC (Swedish Bipolar Cohort Collection), SWE

SBP: Not available.

BipoläR and HDR: During a structured telephone interview that research nurses conducted, patients who had been on lithium for at least 12 months were asked the following question: "What do you think of the effect (of lithium)? Do not consider side effects." Patients were partitioned according to the following response options.

- 0: Non-responder 'None or very doubtful effect'.
- 1: Partial-responder 'Doubtless effect of treatment but additional temporary or continuous treatment needed'.
- 2: Good-responder 'Complete response, recovered'.

Cardiff, UK

- 0. No evidence of response.
- 1. Subjective good response - upon interview, patients reported that lithium helped stabilise their moods.
- 2. Objective evidence for beneficial response, i.e., clear reduction in number and/or severity of episodes following introduction of lithium prophylaxis. (Can only be rated if at least 3 episodes of illness have occurred before lithium prophylaxis and lithium response has been observed for at least 3 years).
- 3. Objective evidence for excellent response to lithium prophylaxis, i.e., frequency of episodes reduced to < 10% of frequency after lithium prophylaxis and/or 2 or more episodes of illness occurring within weeks of cessation of lithium. (Can only be rated if at least 3 episodes of illness have occurred before lithium prophylaxis and lithium response has been observed for at least 5 years).

External validation with the BSC exome data

To externally check our gene-based PTV results, we obtained PTV counts from the Bipolar sequencing consortium (BSC) (<http://metamoodics.org/bsc/consortium/>). Specifically, rare variant counts within the top ten genes defined by *P*-value in the Fisher's exact tests of enrichment of ultra-rare PTVs in the data were provided by the BSC. To harmonise the BSC data with BipEx, we used annotation definitions defined in Table S5. We then generate $MAC \leq 5$ counts for each gene in the BSC data. Full details of the exome or whole genome sequencing platform for each cohort is summarised in Table S11. The addition of the BSC data set has some limitations. Primarily, frameshift indels were not called for a subset of the cohorts, reducing power to detect an association. Among the BSC cohorts that called indels, only the Rarebliss dataset provided indel calls. Furthermore, library preparation, sequencing platform, and variant calling differed across the BSC cohorts.

Study	Ethnicity	Sequencing platform	Library Preparation	Variant calling	BD	Controls	Total
BRIDGES	US-Caucasian	HiSeq 2500 (WGS)	-	GotCloud analysis pipeline (41, 53)	1,712	1,844	3,556
RareBLISS	US-Caucasian	HiSeq 2000/2500	Nimblegen SeqCap EZ Exome	GATK (34)	961	1,039	2,000
Sweden	Swedish-Caucasian	HiSeq 2000/2500	Agilent SureSelect Human All Exon v2	GATK (34)	831	1,956	2,787
KPNC-EUR	US-Caucasian	HiSeq 2000/2500	Nimblegen SeqCap EZ Exome	GATK (34)	192	192	384
KPNC-AFR	US-African American	HiSeq 2000/2500	Nimblegen SeqCap EZ Exome	GATK (34)	96	95	191
KPNC-LAT	US-Latino	HiSeq 2000/2500	Nimblegen SeqCap EZ Exome	GATK (34)	98	100	198

KPNC-EAS	US-East Asian	HiSeq 2000/2500	Nimblegen SeqCap EZ Exome	GATK (34)	97	96	193
Total					3,987	5,322	9,309

Table S11: Summary of BSC sample data.

Gene	BipEx					BSC	
	Case count BD/BD1/BD2 BD <i>n</i> = 13,933 BD1 <i>n</i> = 8,238 BD2 <i>n</i> = 3,446	Control count <i>n</i> =14,422	<i>P</i> -value	<i>Q</i> -value	OR	Case count <i>n</i> =3,987	Control count <i>n</i> =5,322
AKAP11	16/12/2	0	1.15×10^{-5}	2.02×10^{-2}	∞	1	0
DOP1A	15/11/2	1	2.22×10^{-4}	1.95×10^{-2}	15.54	0	1
PCDHGA8	11/7/1	0	4.02×10^{-4}	2.36×10^{-1}	∞	3	6
SHANK1	10/8/1	0	8.19×10^{-4}	3.60×10^{-1}	∞	1	0
TOPAZ1	12/6/5	1	1.56×10^{-3}	5.48×10^{-1}	12.43	1	0
ATP9A	9/7/2	0	1.66×10^{-3}	-	∞	2	1
FREM2	4/3/1	19	2.67×10^{-3}	5.77×10^{-1}	0.22	3	3
CHD1L	11/6/2	1	2.95×10^{-3}	5.77×10^{-1}	11.39	0	0
CHRNA2	11/7/1	1	2.95×10^{-3}	5.77×10^{-1}	11.39	0	0
CYP2A13	11/7/4	1	2.95×10^{-3}	6.68×10^{-1}	11.39	0	0

Table S12: BipEx and BSC case-control counts of the top ten most significant genes in the BipEx BD primary gene-based analysis. Case and control columns denote the count of ultra-rare PTVs in the gene of interest with $MAC \leq 5$ in the respective dataset.

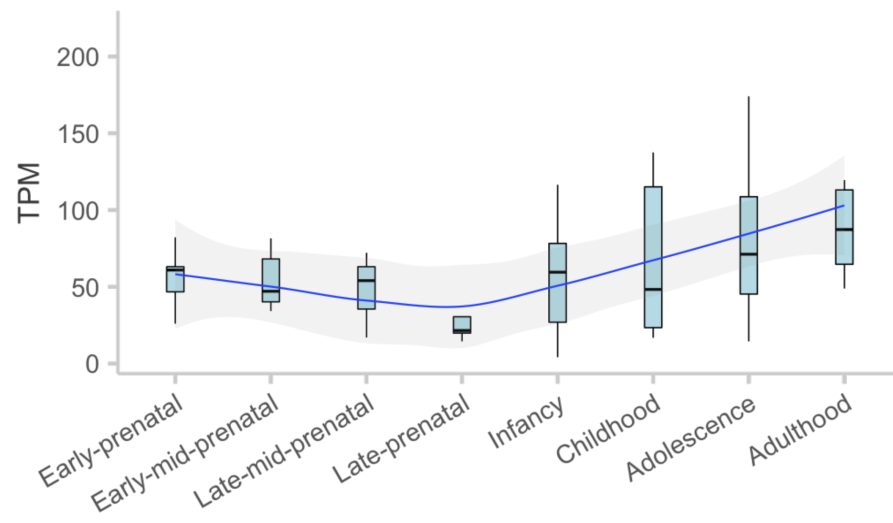


Figure S18: Temporal expression of AKAP11 in the human brain. Expression in four prenatal and four postnatal periods derived from whole-brain tissue in BrainSpan are displayed. The expression values plotted are in transcript-per-million (TPM). In each boxplot, the blue box encloses the interquartile range, with a horizontal line denoting the median. Best fit lines and confidence-intervals across the x-axis are overlaid.

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