

1                   **Trigeminal microvascular decompression for short-lasting**  
2                   **unilateral neuralgiform headache attacks**

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21                  **Running Title:** Trigeminal microvascular decompression in SUNHA

## 1 Abstract

2 A significant proportion of patients with short-lasting unilateral neuralgiform headache attacks (SUNHA)  
3 are refractory to medical treatments. Neuroimaging studies have suggested a role for ipsilateral trigeminal  
4 neurovascular conflict with morphological changes in the pathophysiology of this disorder. We present  
5 the outcome of an uncontrolled open-label prospective single centre study conducted between 2012 and  
6 2020, to evaluate the efficacy and safety of trigeminal microvascular decompression in refractory chronic  
7 SUNHA with magnetic resonance imaging evidence of trigeminal neurovascular conflict ipsilateral to the  
8 pain side. Primary endpoint was the proportion of patients who achieved an “excellent response”,  
9 defined as 90-100% weekly reduction in attack frequency, or “good response”, defined as a reduction in  
10 weekly headache attack frequency between 75% and 89% at final follow-up, compared to baseline.  
11 These patients were defined as responders. The study group consisted of 47 patients of whom 31 had  
12 SUNCT and 16 had SUNA (25 females, mean age  $\pm$  SD 55.2 years  $\pm$  14.8). Participants failed to respond  
13 or tolerate a mean of 8.1 ( $\pm$ 2.7) preventive treatments pre-surgery. Magnetic resonance imaging of the  
14 trigeminal nerves (n=47 patients, n=50 symptomatic trigeminal nerves) demonstrated ipsilateral  
15 neurovascular conflict with morphological changes in 39/50 (78.0%) symptomatic nerves and without  
16 morphological changes in 11/50 (22.0%) symptomatic nerves. Post-operatively, 37/47 (78.7%) patients  
17 obtained either an excellent or a good response. Ten patients (21.3%, SUNCT=7 and SUNA=3) reported  
18 no post-operative improvement. The mean post-surgery follow-up was 57.4 $\pm$ 24.3 months (range 11-96  
19 months). At final follow-up, 31 patients (66.0%) were excellent/good responders. Six patients  
20 experienced a recurrence of headache symptoms. There was no statistically significant difference  
21 between SUNCT and SUNA in the response to surgery (p=0.463). Responders at the last follow-up were  
22 however more likely not to have interictal pain (77.42% vs 22.58%, p=0.021) and to show morphological  
23 changes on the magnetic resonance imaging (78.38% vs 21.62%, p=0.001). The latter outcome was  
24 confirmed in the Kaplan Meyer analysis, where patients with no morphological changes were more likely  
25 to relapse overtime compared to those with morphological changes (p=0.0001). All but one patient who  
26 obtained an excellent response without relapse, discontinued their preventive medications. Twenty-two  
27 post-surgery adverse events occurred in 18 patients (46.8%) but no mortality or severe neurological  
28 deficit was seen. Trigeminal microvascular decompression may be a safe and effective long-term  
29 treatment for short-lasting unilateral neuralgiform headache attacks patients with magnetic resonance  
30 evidence of neurovascular conflict with morphological changes.

1 **Keywords:** short-lasting unilateral neuralgiform headache attacks; SUNCT; SUNA; microvascular  
2 decompression; trigeminal neuralgia

3 **Abbreviations:** ICHD= International Classification of Headache Disorders; MRI= magnetic resonance  
4 imaging; NVC= neurovascular conflict; OR= odd ratio; REZ: root entry zone; SUNA= Short-lasting  
5 unilateral neuralgiform headache attacks with cranial autonomic symptoms; SUNCT= Short-lasting  
6 unilateral neuralgiform headache attacks with conjunctival injection and tearing; TACs= trigeminal  
7 autonomic cephalalgias

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ACCEPTED MANUSCRIPT

## 1 Introduction

2 Short-lasting unilateral neuralgiform headache attacks (SUNHA) is an umbrella term that encompasses  
3 short-lasting unilateral neuralgiform headache attacks with conjunctival injection and tearing (SUNCT)  
4 and short-lasting unilateral neuralgiform headache attacks with cranial autonomic features (SUNA).  
5 These rare primary headache disorders are grouped together under the trigeminal autonomic  
6 cephalalgias (TACs) given the presence of, often multiple, daily attacks of severe unilateral pain occurring  
7 in the trigeminal distribution and associated with cranial autonomic features.<sup>1</sup> However, the very high  
8 frequency of painful attacks, their very short duration, along with the neuralgiform quality of the pain, its  
9 triggerability by ipsilateral cutaneous or intraoral stimulations and the lack of circadian rhythmicity  
10 suggest an overlap with trigeminal neuralgia (TN).<sup>2</sup>

11 Functional imaging studies in SUNHA have shown involvement of the posterior hypothalamic region  
12 during attacks, similarly to the other TACs.<sup>3,4</sup> Moreover, similarly to TN, a recent large prospective  
13 cross-sectional magnetic resonance (MRI) study conducted in 159 patients with SUNCT and SUNA  
14 showed a significantly higher proportion of neurovascular contact with morphological changes on the  
15 symptomatic trigeminal nerves, compared with the asymptomatic nerves (61.4% versus 31.0%; Odds  
16 Ratio 4.16, 95% Confidence Interval 2.46-7.05;  $P < 0.0001$ ). The multivariate analysis of radiological  
17 predictors associated with the symptomatic side indicated that the presence of neurovascular contact  
18 with morphological changes was strongly associated with the side of the pain, suggesting that this  
19 finding may be a shared causative factor with TN.<sup>5</sup>

20 SUNHA almost invariably displays a chronic pattern either ab initio or following a short period during  
21 which the condition remits and relapses.<sup>6</sup> This means that a long-term preventive therapy is required for  
22 most patients. Up until recently, the preventive management of this condition was studied in small case  
23 series.<sup>7,8</sup> A recent large prospective open-label study conducted in 161 patients on the medical  
24 treatments of SUNCT/SUNA confirmed the efficacy of sodium channel blockers, also indicating a  
25 therapeutic overlap with TN.<sup>9</sup> Given the known tolerability issues of sodium channel blockers, especially  
26 at high doses often required to control SUNHA symptoms, an unknown though likely high proportion of  
27 patients become refractory to medical treatments, thereby justifying surgical approaches.

28 The surgical management of SUNHA has progressively moved away from destructive procedures  
29 targeting the trigeminal pathway,<sup>10</sup> to non-destructive invasive neuromodulation modalities, namely  
30 occipital nerve stimulation (ONS) and ventral tegmental area (VTA-DBS).<sup>11-13</sup> However, neuromodulation

1 treatments may take several weeks to months to exert their full benefits and may not lead to pain-  
2 freedom.<sup>14</sup> Furthermore hardware-related adverse events that occur in a variable proportion of cases,  
3 may lead to multiple surgical reinterventions.<sup>15</sup> This, along with the cost of the devices and the necessity  
4 of regular outpatients appointments, increase the overall treatment costs, restricting their use to a few  
5 highly specialised centres.

6 In view of the clinical similarities between SUNHA and TN as well as radiological evidence showing a  
7 high prevalence of trigeminal NVC in SUNHA, a few case reports and a small case series submitted  
8 SUNCT and SUNA patients to trigeminal MVD and reported positive outcomes.<sup>16-22</sup> Here, we analyse the  
9 safety and long term efficacy of trigeminal MVD in a large group of patients with chronic SUNHA  
10 refractory to medical management and with MRI evidence of trigeminal NVC.

## 11 **Methods**

12 This was a single-centre, non-randomised, prospective open-label study aiming to evaluate the efficacy  
13 of trigeminal MVD to medically intractable chronic SUNHA patients who had failed medical treatments  
14 and who showed ipsilateral trigeminal neurovascular conflict on MRI with dedicated trigeminal nerves  
15 sequences.<sup>9</sup>

### 16 *Standard Protocol Approvals, Registrations, and Patient Consents*

17 Ethics board approval for data collection and publication was granted by Northwick Park Hospital  
18 Research Ethics Committee, Hampstead, London, UK (REC: 11/LO/1709). Written consent form was  
19 obtained from each participant.

### 20 *Patient selection*

21 Patients were recruited by a specialized headache team at the National Hospital for Neurology and  
22 Neurosurgery between 2012 and 2020. Diagnoses were made according to the International  
23 Classification of Headache Disorders 3 beta version (ICHD-3 $\beta$ );<sup>23</sup> when subsequently applied, the  
24 diagnoses also fulfilled the ICHD-3 diagnostic criteria for chronic SUNCT or SUNA.<sup>1</sup> All patients had  
25 SUNCT or SUNA for at least two years and experienced highly disabling, medically refractory symptoms.  
26 There is no consensus on the definition of medically refractory SUNHA, hence the criteria proposed by  
27 Lambru and colleagues were adopted: patients who failed to respond or tolerate adequate trials of  
28 lamotrigine, topiramate, gabapentin or pregabalin and at least one of either carbamazepine or  
29 oxcarbazepine were considered refractory.<sup>11</sup>

1 All eligible patients required magnetic resonance imaging (MRI) evidence of ipsilateral trigeminal NVC  
2 and strictly unilateral side-locked headache attacks or bilateral NVC and unilateral side-alternating  
3 attacks. Consecutive patients with chronic refractory SUNHA and MRI evidence of NVC on MRI were  
4 included in the study. Otherwise, neuromodulation approaches namely occipital nerve stimulation  
5 (ONS), or central ventral tegmental area (VTA) deep brain stimulation (DBS) were considered.

### 6 *Outcome measures and follow-up*

7 Pre-and post-operative outcome data were collected in a predefined study questionnaire and recorded  
8 prospectively. These included frequency, severity, and duration of attacks, which were collected using a  
9 headache chart designed to capture the individual headache attacks; reduction/discontinuation of  
10 preventive medications and surgery-related adverse events. Headache frequency was defined as  
11 number of SUNHA attacks per day. Headache severity was measured on the verbal rating scale (VRS) for  
12 pain (0 being no pain and 10 being the worst pain imaginable). The Headache Impact Test Score (HIT- 6)  
13 was used to assess disability of headache symptoms. This score has been widely used in the assessment  
14 of primary headache disorders including TACs.<sup>11,24</sup>

15 The immediate postoperative relief of symptoms was graded as excellent, good, or poor during the first  
16 week after surgery. The primary outcome of this study was the proportion of patients who achieved an  
17 “Excellent response”, defined as 90-100% reduction in SUNCT or SUNA weekly attack frequency or a  
18 “Good response”, defined as a reduction in weekly headache attack frequency between 75% and 89% at  
19 final follow-up compared to baseline. “Poor response” was defined as a reduction of less than 75% in  
20 SUNCT or SUNA weekly attack frequency and “No response” was defined as a lack of any noticeable  
21 reduction in attack frequency compared to baseline.<sup>25</sup> Secondary, exploratory outcomes included:  
22 change in headache severity using VRS; change in headache attacks duration; change in headache load  
23 (HAL), a composite score defined as a  $\sum$  [severity (verbal rating scale)] x [duration (min)] of all attacks  
24 over a 2-week period.<sup>12</sup>

25 Patients were seen at 3-monthly intervals post-surgery over the first year, 6-monthly over the second year  
26 and once annually thereafter. Timing of additional appointments was dependent on clinical condition. The  
27 efficacy outcomes were assessed immediately after surgery and at the last study follow-up assessment in  
28 December 2020. Post-surgical complications were evaluated by the neurosurgical team acutely and by the  
29 neurology team during the study follow-up period.

## 1 *MRI protocol*

2 All SUNHA patients who attend our headache service, including those who were candidates for  
3 trigeminal MVD in this study, undergo MRI scans with high-resolution sequences of the trigeminal  
4 nerves. The MRI examinations are performed on a 1.5-Tesla GE Signa Excite (GE Medical Systems,  
5 Milwaukee), 1.5-Tesla Siemens Avanto or 3.0-Tesla Siemens Trio (Siemens, Erlangen) MRI scanner. The  
6 standard imaging protocol includes high spatial and nerve-cistern contrast resolution imaging  
7 acquisitions of the cisternal segments of the trigeminal nerves and vessels, with 3D Fast Imaging  
8 Employing Steady-State Acquisition (FIESTA; TE: 1.5ms, TR: 4.9ms, NEX: 4), 3D Constructive Interference  
9 in Steady State (CISS; TE: 5.3ms, TR: 10.6ms, Excitations: 1), or 3D Sampling Perfection with Application  
10 optimized Contrasts using different flip angle Evolution (SPACE; TE: 132ms, TR: 1000ms, Excitations: 2).  
11 Neurovascular contact is defined on the analysis of imaging by no perceptible cerebrospinal fluid (CSF)  
12 signal intervening the silhouette of the vascular structure (arterial or venous) and the cisternal segment  
13 of the trigeminal nerve.

14 The trigeminal nerve on the side of the pain was defined as the symptomatic nerve; the trigeminal nerve  
15 contralateral to the side of the pain was defined as the asymptomatic nerve. In patients with side  
16 alternating unilateral head pain, both trigeminal nerves were considered symptomatic.

17 In view of the ongoing debate about the definition and boundaries of the zone where peripheral  
18 myelination transitions to central myelination ('root entry zone' or 'transition zone'), sites of NVC on the  
19 trigeminal nerve were divided in three segments, namely proximal, middle and distal.<sup>26,27</sup> In addition to  
20 the presence or absence of contact and involvement of the REZ, we also assessed for the degree of  
21 neurovascular contact and type of vessel involved. The degree of contact was graded as: simple contact,  
22 distortion or atrophy. Distortion was defined as indentation or displacement of the trigeminal nerve at  
23 the site of the neurovascular contact. Atrophy was defined as reduced volume of the trigeminal nerve at  
24 the site of the neurovascular contact. As per recent guidelines of the European Academy of Neurology,  
25 the degree of NVC was classified as with (distortion, indentation, atrophy) or without (simple contact)  
26 morphological changes.<sup>28</sup> All MRI scans were reviewed by an expert neuroradiologist (ID) and  
27 neurosurgeons (LZ and NK) who performed the operation. Assessors were blind to the side of the pain.

## 28 *Surgical procedure*

29 A modified Jannetta procedure was used as follows: under anaesthesia, the subject was placed in the  
30 park-bench position with the neck flexed. The head was placed in Mayfield pins three-point fixation and

1 rotated slightly away from the affected side. A retro-sigmoid approach was utilized with a 6cm skin  
2 incision behind the mastoid and a small craniectomy, exposing the junction of the lateral and sigmoid  
3 sinus. The dura was opened in a T fashion and CSF released to relax the cerebellum. Under the operating  
4 microscope, arachnoid adhesions and bridging veins were divided to expose the trigeminal nerve. The  
5 arachnoid surrounding any conflicting artery was divided and the vessel mobilized away from the nerve.  
6 A Teflon wedge was used to prevent the vessel from returning to its original position and was held in  
7 place with a spot of fibrin glue.

## 8 *Statistics*

9 All statistical analyses were conducted with Stata (Version 11.2). In descriptive analysis, continuous  
10 variables were summarized using mean and standard deviation, or median and range, depending on  
11 data distribution. Categorical variables were using percentages. When appropriate comparative  
12 assessments between various subgroups were carried out using Chi-squared tests or Fisher's exact tests  
13 for categorical variables or independent t-test for numerical variables. No multiplicity adjustment was  
14 applied. Therefore, statistically significant p-values (p-value less than 0.05) should be interpreted with  
15 caution.

16 For the primary outcome of interest, Kaplan-Meier relapse free survival (RFS) curve were computed  
17 overall and according to diagnosis (SUNA and SUNCT), interictal pain (Yes/No), and MRI morphological  
18 changes (Yes/No) and were compared using log-rank tests.<sup>29</sup> Time was defined as the time elapsed  
19 between date of relapse or last follow-up and date of surgery. Patients who did not relapse or were lost  
20 to follow up were censored. Hazard ratios and corresponding 95% confidence intervals were derived  
21 using univariate Cox regression model. Relapse free rates were estimates using life table method.<sup>30</sup>

## 22 *Data availability*

23 The data that support the findings of this study are available from the corresponding author.

## 24 **Results**

### 25 *Patients baseline characteristics*

26 Forty-seven SUNCT and SUNA patients (31 SUNCT, 66.0%; 25 females; mean  $\pm$  SD age 55.2 years  $\pm$  14.8)  
27 underwent trigeminal MVD. Patient demographics and baseline headache characteristics are shown in  
28 Table 1. All but six patients (87.2%) reported at least one of the pain sites in the distribution of the  
29 ophthalmic division of the trigeminal nerve (V1). Most patients (89.4%) experienced spontaneous

1 attacks and attacks triggered by cutaneous and/or intraoral stimulation. Only one patient reported  
2 refractory periods following triggered attacks. Other primary headaches, namely chronic migraine (CM;  
3 n=9) and chronic cluster headache (CCH; n=8) were present in 17 patients.

4 All patients except for one were considered medically refractory.<sup>11</sup> This patient opted to undergo MVD  
5 after having failed to respond to two preventive treatments only because of the severe disability of their  
6 headache condition. The mean ( $\pm$ SD) number of medical treatments failed by our patient group at the  
7 time of the surgery was 8.1 ( $\pm$ 2.7). Intravenous (IV) lidocaine was tried by 22 patients and found  
8 effective in controlling the SUNCT/SUNA symptoms in 17 of them (77.3%), though efficacy was short-  
9 lasting. Two patients also had incomplete response to neuromodulation (ONS or VTA-DBS) at baseline.  
10 At the time of surgery all patients were taking preventive treatments. The mean ( $\pm$ SD) study cohort HIT-  
11 6 score at baseline was 69.6 ( $\pm$  6.2); the HIT-6 scores at baseline in 38 patients (80.9%) was classified  
12 within the category of severe disability (HIT-6  $\geq$  60).

13 Table 2 summarises the MRI finding pre-operatively. The neuroradiologist and neurosurgeons agreed on  
14 the MRI findings for all patients but one, where there was disagreement whether the vessel causing  
15 conflict was artery or vein. NVC ipsilateral to the pain side was found in all patients. Out of 47 patients,  
16 50 symptomatic trigeminal nerves were analysed (three patients had unilateral side alternating painful  
17 attacks). An arterial conflict either by the superior cerebellar artery (SCA) only (n=47) or by the anterior  
18 inferior cerebellar artery (AICA) only (n=2) or by a mixture of the two arteries (n=1) was found to conflict  
19 with all the symptomatic trigeminal nerves. Trigeminal neurovascular conflict with morphological  
20 changes was found in 78% (n=39/50) of the symptomatic nerves. In 20 of the 39 symptomatic nerves  
21 with NVC (51.3%), the morphological changes included nerve atrophy, which involved the proximal  
22 nerve segment in 18 cases and distal in two cases. NVC without morphological changes was present in  
23 22% (n=11/50) of the symptomatic nerves.

24 All patients underwent trigeminal MVD. Intraoperatively, the neuroimaging findings were confirmed. In  
25 the patient for whom there was lack of agreement between the neurosurgeon and neuroradiologist,  
26 both an artery and a vein were found intra-operatively to contact the trigeminal nerve. Figure 1  
27 illustrates an example of trigeminal NVC with morphological changes and intraoperative photographs  
28 pre- and post-MVD.

## 1 *Primary and secondary efficacy outcomes*

2 Post-operatively, 37 patients obtained an excellent or good response (78.7%); of these, 34 patients  
3 reported an excellent response (72.3%) and three patients reported a good response (6.4%). These three  
4 patients obtained respectively a mean headache attacks frequency reduction from 84 to 21/week, from  
5 42 to 7/week and from 91 to 14/week. Their mean attacks intensity was also reduced post-operatively  
6 from 9/10 to 6/10, from 10/10 to 8/10 and from 7/10 to 4/10 respectively. Ten patients (21.3%,  
7 SUNCT=7, SUNA=3) reported no post-operative improvement.

8 Most responders obtained an excellent or good improvement immediately post-operatively (n=35/37,  
9 94.6%). However, two patients reported either a slightly delayed or a gradual improvement of the  
10 headache symptoms. One SUNA patient began noticing a reduction in attacks frequency within two  
11 weeks post-operatively, which reached 80% reduction compared to baseline at month 3 and 90%  
12 attacks reduction from month six post-surgery onwards. The time to response for the second patient  
13 (SUNCT) was four weeks. At that time, he experienced a 70% attacks' reduction compared to baseline.  
14 He became pain-free three months later (month 4 post-surgery).

15 The mean post-surgery follow-up was  $57.4 \pm 24.3$  months (range 11-96 months). At final follow-up, 31  
16 patients (66.0%) remained excellent/good responders (Excellent responders=28; good responders=3).  
17 Six patients had a recurrence of SUNHA symptoms (SUNCT=3, SUNA=3) (Figure 2). Twenty-five of the 28  
18 excellent responders (89.3%) remained off any medications for the SUNHA at the final follow-up.

19 Recurrence was defined as meeting the criteria for "poor or no response" after an immediate/delayed  
20 excellent or good response post-MVD was achieved. The annual rate of recurrence of SUNHA after MVD  
21 was estimated by life-table analysis. The annual risk of recurrence at year 1 was 5.6%, at year 3 was  
22 12.3%, at year 4 it was 16.3% and at year 5 it was 23.4% (Figure 3). Post-operative MRI scans in those in  
23 whom the condition relapsed, confirmed satisfactory trigeminal decompression, hence a second  
24 operation was not offered. Interestingly, in three patients, the relapsed SUNHA symptoms were almost  
25 completely controlled after treating them with oral medications, respectively carbamazepine  
26 800mg/day, lamotrigine 100mg/day and lamotrigine 200mg/day, that were ineffective or marginally  
27 effective pre-MVD. Two patients were assessed in our multidisciplinary neuromodulation clinic and VTA-  
28 DBS was offered. One patient reported a 50% headache improvement after DBS and another patient did  
29 not find the treatment effective. One patient remained non responder to medical treatments after  
30 headache attacks recurrence.

1 The Kaplan-Meier analysis showed no statistically significant difference in relapse from treatment  
2 success overtime between SUNCT and SUNA (Figure 4a), and between patients with or without interictal  
3 pain (Figure 4b). However, patients with NVC without morphological changes were more likely to  
4 relapse compared to patients with NVC with morphological changes ( $p=0.0001$ ) (Figure 4c). Similarly,  
5 responders to trigeminal MVD at the last follow-up, were more likely not to have interictal pain  
6 ( $p=0.021$ ) and to show morphological changes in one or both nerves on the MRI ( $p=0.001$ ) (Table 3).

7 Table 4 summarises the changes in secondary outcomes, namely the mean headache severity, duration  
8 and in the headache load at the final follow-up post-MVD. There was a statistically significant reduction  
9 in all three outcomes. The HIT-6 score was reduced from  $69.6 (\pm 6.2)$  at baseline to  $50.7 (\pm 13.4)$  at the  
10 final follow-up. Furthermore, the percentage of patients with severe disability was reduced from 80.9%  
11 ( $n=38$ ) to 21.3% ( $n=10$ ), with most patients' final HIT-6 scores showing no headache-related impact to  
12 their quality of life.

### 13 *Bilateral microvascular decompression outcome*

14 Three patients had side alternating SUHNA attacks. One patient had bilateral trigeminal NVC with and  
15 without morphological changes. She underwent the first MVD, which controlled the left-sided attacks by  
16 90% and two years later she had a right-sided MVD, which controlled the right-sided attacks by 99%. No  
17 adverse events were reported. The second patient had side alternating headache attacks that were  
18 predominantly left-sided. Her MRI of the trigeminal nerves showed bilateral NVC, both with  
19 morphological changes. She underwent a left trigeminal MVD which led to an immediate reduction from  
20 a mean attack frequency of 91 to 14/week (85% improvement). Eleven months later she underwent a  
21 right-sided trigeminal MVD, which led to an immediate reduction of the right-sided attacks from a mean  
22 of 70 attacks to a mean of 21 attacks/week (70% improvement). The severity of her attacks was also  
23 reduced post-operatively from a mean of 7/10 to a mean of 4/10. The patient developed mild hearing  
24 loss after the second surgery. The third patient underwent left-sided MVD, which led to pain-freedom  
25 from the left sided attacks. Eighteen months later he underwent a right-sided MVD, which led to pain  
26 freedom from the right-sided attacks. After the second MVD, he experienced CSF leak which was  
27 successfully repaired.

### 28 *Surgical complications*

29 Twenty-two post-surgery adverse events occurred in 18 patients (46.8%). Four patients developed a CSF  
30 leak which was surgically repaired. Three patients developed mild to moderate neuropathic pain on the

1 wound site, which persisted at final follow-up. One patient developed transient facial numbness and five  
2 persistent (mild/moderate) facial numbness. One patient developed post-operative transient vertigo.  
3 One patient developed a new daily persistent headache. One patient developed lingual numbness and  
4 two patients developed mild hearing loss. One patient reported a worsening of a pre-existing bilateral  
5 tinnitus. Over half of patients (61.7%, n=29) experienced no complications post-surgery.

## 6 **Discussion**

7 SUNHA is a rare and diagnostically challenging condition, due to its clinically overlap with the TACs but  
8 also with TN.<sup>2</sup> SUNHA also poses significant treatment difficulties. A recent large prospective study shed  
9 some light upon the potentially effective medical preventive options for these conditions, outlining a  
10 treatment algorithm to support clinical practice.<sup>9</sup> However, despite advances in the medical  
11 management of SUNHA, in a significant proportion of patients the symptoms become medically-  
12 refractory over time, hence justifying the use of more invasive approaches. Open-label data on the use  
13 of ONS and VTA-DBS have yielded promising long-term results in refractory SUNHA.<sup>13,12</sup> However,  
14 neuromodulation is an expensive technology, which often does not provide complete headache relief and  
15 requires numerous postoperative visits for adjustment of the stimulation parameters.<sup>22</sup>

16 Previous case reports and small case series have suggested a beneficial effect of trigeminal MVD in  
17 SUNHA.<sup>16-22</sup> Our study provides the largest evaluation of long-term efficacy and safety of trigeminal MVD  
18 in chronic refractory SUNHA. The surgical procedure appears to be safe and effective for the  
19 management of patients in whom the symptoms are otherwise medically intractable and high-  
20 resolution MRI sequences of the posterior fossa shows evidence of a vascular conflict with the  
21 symptomatic trigeminal nerve. Symptomatic improvement was accompanied by significant  
22 improvement in headache disability.

23 Our results are similar to those in a small case series of nine SUNCT/SUNA patients treated with  
24 trigeminal MVD. Six out of the nine patients (67%) in that study became immediately symptoms-free  
25 after surgery and remained so for the follow-up duration (mean 22.2 months, range: 9-32 months).<sup>21</sup>  
26 The consistency of positive results in two series of patients coming from different centres suggest that  
27 this procedure may have an important role in the management of refractory forms of SUNCT and SUNA.  
28 Furthermore, our study suggested the absence of any significant differences in the surgical outcome  
29 between SUNCT and SUNA. Although the sample size of this study does not allow a statistically  
30 conclusive comparison between the two patients groups, these findings, along with the absence of

1 clinical and radiological differences demonstrated in recent studies, support the notion that SUNCT and  
2 SUNA may be different manifestations of the same clinical entity and that consideration may ultimately  
3 need to be given to abandoning their separation.<sup>5,6</sup>

4 In our cohort, most patients reported an immediate headache relief post-operatively. However, a  
5 progressive or slightly delayed response may seldom happen, suggesting a wait of up to four months  
6 before considering patients, non-responders. Trigeminal MVD led to improvements in frequency,  
7 severity and attack duration as derived from the reduction of the “headache load”. In fact, the most  
8 likely outcome in responders was complete pain relief. Pain freedom is a treatment outcome not  
9 normally explored in trials testing treatments for primary headache disorders.<sup>31</sup> This is because the lack  
10 of complete understanding of their pathophysiological mechanisms has prevented the development of  
11 treatments that can remove the offending mechanism. In TN, NVC with morphological changes on the  
12 symptomatic nerve root plays a central role in the pain mechanisms in the majority of patients<sup>32</sup> and  
13 removing the offending vessel surgically with trigeminal MVD leads to sustained long-term pain-  
14 freedom, making MVD the closest possible treatment to a “cure”, at least for the classical purely  
15 paroxysmal form.<sup>25</sup>

16 Some studies have shown that trigeminal nerve atrophy is more likely to be associated with better MVD  
17 outcomes.<sup>33</sup> However, in a small series of TN patients with atrophy of the distal trigeminal nerve, MVD  
18 outcomes appeared worse compared to the outcomes of MVD with atrophy of the proximal nerve  
19 segment.<sup>34</sup> In our series, nerve atrophy was associated with a positive MVD outcome in most cases, albeit  
20 that only two patients had distal trigeminal nerve atrophy.

21 MRI findings in SUNHA have also suggested that trigeminal NVC is involved in the aetiology  
22 of SUNHA<sup>5</sup> and the sustained outcome of trigeminal MVD demonstrated in this study may  
23 confirm the importance of trigeminal NVC in the pathophysiology of SUNHA at least for the  
24 majority of patients with NVC on MRI. This peripheral drive may be the predominant  
25 mechanism responsible for the neuralgiform type of pain, the very short duration and high  
26 frequency of attacks, the triggerability of the attacks and the refractory period, which are unique  
27 characteristics for these disorders amongst the TACs and constitute the core of the clinical  
28 overlap with TN. However central mechanisms are also likely to play a pivotal role in both  
29 SUNHA and TN. Functional neuroimaging studies suggest an important role for the  
30 hypothalamus in SUNHA.<sup>3,4</sup> Arguably hypothalamic networks may also be relevant in TN

1 pathophysiology, though supportive evidence is still lacking mainly due to dearth of appropriate  
2 functional neuroimaging studies in this disorder. Nonetheless, one of the cornerstone clinical  
3 characteristics of the TACs by which these disorders are purported to differ from TN, is the  
4 association between head pain and ipsilateral cranial autonomic signs and symptoms, a hallmark  
5 of hypothalamic dysregulation. However, several studies have reported that TN purely  
6 paroxysmal or with concomitant persistent pain can be associated with cranial autonomic  
7 features, suggesting that there may be an overlap of the central pain mechanisms in these  
8 conditions.<sup>35-38</sup> Ultimately, SUNCT, SUNA and TN may share a unified pathophysiological  
9 model characterized by different degrees of interaction between peripheral and central  
10 mechanisms, namely unilateral focal demyelination of the trigeminal sensory root and ipsilateral  
11 trigemino-hypothalamic dysfunction. This interaction may be responsible for the phenotypical  
12 differences and response to treatments of these conditions. Its noteworthy that three patients in  
13 this series had unilateral side-alternating SUNHA attacks. Unilateral side-alternating attacks in  
14 SUNHA occur more frequently than in TN (12-13.5% vs 1.7-5%).<sup>6,8,39</sup> However patients with  
15 either conditions seem to benefit from bilateral trigeminal MVD as per our data in SUNHA and  
16 larger TN series.<sup>25</sup> These clinical and therapeutic similarities may suggest the relevance not only  
17 of unilateral but also of bilateral peripheral and perhaps central mechanisms. Indeed, bilateral  
18 hypothalamic activation during SUNCT attacks in functional MRI studies has been reported,<sup>4</sup>  
19 supporting the link between pathophysiological mechanisms involved and pain laterality.  
20 The importance of central pain mechanisms in SUNHA may be reflected by the proportion of patients in  
21 our study that did not respond to the treatment or relapsed overtime (34%). The relapse free survival  
22 rate analysis demonstrated a pain recurrence in 5.6% of patients within the first two years post-MVD,  
23 which increased to 23.4% at year 5, though no relapses occurred from year 5 to the last follow-up.  
24 Although our sample was too small to compare the relapse rate to the pivotal TN MVD study,<sup>25</sup> it seems  
25 that the risk of relapse may be higher in SUNHA than in TN up to five years post-operatively, before it  
26 subsequently settles in both conditions.

27 The higher relapse rate in SUNHA compared to TN could be secondary to the persistence of central  
28 hypothalamic impaired pathways in some patients, which may cause an abnormal reactivation of the  
29 trigemino-autonomic circuits even in the absence of a peripheral drive.

30

1 Amongst factors predictive of poor response or relapse, the presence of interictal continuous pain has  
2 emerged in our analysis as a potential negative prognostic factor. The presence of interictal pain in  
3 between the painful paroxysms is a well-known TN clinical characteristic occurring in 49% of TN  
4 patients<sup>40</sup> and associated with poor response to medical and surgical treatments.<sup>41</sup> The relevance of the  
5 presence of interictal pain is reflected in the classification, where TN with interictal pain constitutes a  
6 defined sub-type of TN with treatments implications.<sup>1</sup> Furthermore, a recent study reported an  
7 association between interictal continuous facial pain in TN and trigeminal nerve root atrophy. This  
8 finding may suggest that axonal loss in denervated atrophic nerves may at least partly explain the poor  
9 outcome of MVD in this group of TN patients.<sup>42</sup> SUNHA with interictal pain (48%) is as frequent as TN  
10 with interictal pain (49%).<sup>6,40</sup> Similarly to TN, this study suggests that SUNHA with interictal pain patients  
11 may respond less well to trigeminal MVD compared to the form without interictal pain. However, a  
12 significant association of interictal pain and nerve atrophy on MRIs was not found in our series, though a  
13 volumetric trigeminal nerve root analysis was not conducted in our study. Should this treatment  
14 response difference be confirmed in future studies, it may justify sub-classifying SUNHA in two forms:  
15 purely paroxysmal and with concomitant constant facial pain.

16 Trigeminal NVC with the symptomatic nerve in TN and SUNHA is a common finding. However only NVC  
17 with morphological changes are involved in the aetiology of these conditions.<sup>5,32</sup> It is therefore plausible  
18 to assume that the lower percentage of responders and higher rate of relapse to MVD over time  
19 observed in SUNHA patients with NVC without morphological changes, may be explained by the lack of  
20 pathophysiological relevance of NVC in these patients, highlighting the importance of patients selection  
21 and of obtaining good quality trigeminal nerves images when planning surgery. On the other hand, a  
22 significant minority of our long-term responders had simple contacts on the symptomatic trigeminal  
23 nerves. Post-MVD data in TN also suggest that patients with simple contacts on MRIs can achieve and  
24 maintain excellent long-term post-operative outcomes.<sup>25</sup>

25 Our earlier study demonstrated that presence of neurovascular contact with morphological changes was  
26 strongly associated with the side of the SUNHA pain thereby suggesting a central role for this in the  
27 aetiology of SUNCT and SUNA.<sup>5</sup> The favourable outcome of trigeminal MVD demonstrated in this study  
28 further supports the importance of neurovascular conflict with morphological changes in the aetiology  
29 of SUNHA. Focal demyelination of the trigeminal sensory root caused by vascular compression may  
30 participate in SUNHA pain mechanisms. Similarly to TN, vascular compression generates spontaneous  
31 ectopic impulses, ephaptic cross talking activities between fibers mediating light touching (A- $\beta$ ) and

1 nociceptive fibers (A- $\delta$ ) and abnormal activation of wide dynamic range neurons,<sup>43</sup> which may explain  
2 the origin of symptoms that differentiate SUNHA phenotype from the other TACs, namely very short-  
3 lasting spontaneous stabbing pain episodes, the pain triggered by innocuous stimulation of the  
4 symptomatic trigeminal territories and the refractory period between triggered attacks.<sup>2</sup>

5 Post-operative side effects of MVD in our series were higher compared to the TN literature.<sup>44</sup> However,  
6 serious and persistent side effects were rare. It is possible that the higher rate of side effects including  
7 the mild and transient ones was a result of the careful and systematic post-operative assessment that  
8 these patients underwent as part of the study.

9 The main limitation of this study is the lack of a control arm. Although there is undoubtedly a placebo  
10 effect for surgical headache treatments, it is unlikely that our findings can be explained by this alone.  
11 Furthermore, ethical issues have so far prevented the design of sham surgery in trigeminal MVD  
12 literature.

13 In conclusion, trigeminal MVD is the closest treatment to a symptomatic “cure” that can be offered for  
14 chronic refractory SUNHA. The treatment is effective in most patients with sustained effects over time  
15 and low relapse rate. It may be possible that patients with interictal pain and without MRI findings of  
16 morphological changes response less well to MVD.

17 We therefore propose that all SUNHA patients undergo MR imaging of the prepontine cistern to rule out  
18 pathological processes in the region as well as to examine for neurovascular conflict. Based on our data,  
19 trigeminal MVD may be offered as a first procedure to those patients with neurovascular conflict who  
20 remain symptomatic or suffer from significant side-effects despite optimal medical management.

21 Patients with morphological changes may experience a better outcome, though their absence does not  
22 rule out the possibility of symptoms improvement. As with every neurosurgical procedure, MVD carries  
23 risks. Nevertheless, in experienced centers, the risk of serious harm is low. Neuromodulation may be  
24 reserved for patients without MRI evidence of trigeminal neurovascular conflict or for those with  
25 conflict who have not responded to MVD or in whom this approach is contraindicated.

## 26 **Acknowledgment**

27 We would like to thank our Headache Specialist nurses for their involvement with the patients.  
28 We also thank the patients and their families for their help with this project.

29

## 1 **Funding**

2 No targeted funding reported.

3 LZ and the Functional Neurosurgery Unit are supported by the National Institute for Health Research,  
4 University College London Hospitals, Biomedical Research Centre.

5 ID is supported by the National Institute for Health Research, University College London Hospitals,  
6 Biomedical Research Centre.

## 7 **Competing interests**

8 GL has received speaker honoraria, funding for travel and has received honoraria for  
9 participation in advisory boards sponsored by Allergan, Novartis, TEVA, Eli Lilly and  
10 Lundbeck. He has received speaker honoraria, funding for travel from electroCore, Nevro Corp.  
11 and Autonomic Technologies. SL has received speaker honoraria and has received honoraria for  
12 participation in advisory boards sponsored by Allergan, Novartis, TEVA and Eli Lilly. AL, SC,  
13 ID, KR and NK have nothing to declare. LZ has received speaker honoraria and consulting fees  
14 from Medtronic and Boston Scientific. MSM reports grants, personal fees and honorarium for  
15 serving on advisory board from Allergan, Novartis, Eli Lilly, TEVA, Abbott, Medtronic,  
16 electroCore and Salvia, outside the submitted work; in addition, Dr. Matharu has a patent  
17 WO2018051103A1 issued.

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- 20

1 **Figure legends:**

2 **Figure 1 High resolution magnetic resonance imaging of the cerebellopontine angle and intraoperative**  
3 **views of a trigeminal neurovascular conflict treated with microvascular decompression. A.** Axial and  
4 Coronal 3T MRI 0.5mm volumetric SPACE sequence: detail of left cerebellopontine angle. **B.** Images  
5 reproduced from A with trigeminal nerve (V) highlighted in yellow, branches of superior cerebellar  
6 artery (SCA) in red and cisternal veins in blue. The atrophic trigeminal nerve is distorted laterally and  
7 inferiorly by a loop of the SCA. **C, D and E:** Intraoperative photographs (labelled in bottom panels) during  
8 left microvascular decompression. **C:** neurovascular conflict between the left SCA and V, confirming the  
9 above MR findings. **D:** The SCA is mobilised towards the tentorium (Tent) and held in place with a Teflon  
10 patch (Tef). **E:** The Teflon patch is secured with fibrin glue (Fib). VIII: eighth cranial nerve; R: retractor on  
11 cerebellum.

12 **Figure 2 Kaplan–Meier analysis of success of microvascular decompression for Short-lasting**  
13 **neuralgiform headache attacks.**

14 **Figure 3 Recurrence of SUNHA in patients with postoperative relief after**  
15 **microvascular decompression.** SUNHA: short-lasting unilateral neuralgiform headache attacks.

16 **Figure 4 Kaplan–Meier analysis of difference in success of microvascular decompression for (A) SUNCT**  
17 **vs SUNA; (B) SUNHA with and without interictal pain; (C) SUNHA with and without morphological**  
18 **changes.**

19  
20

1 **Table 1 Descriptive summaries of demographic and clinical data (n=47)**

|  |                         |
|--|-------------------------|
| Age, years   | 55.2 ± 14.8 [22–85]     |
| Sex  |                         |
| Female   | 25 (53.2%)              |
| Male   | 22 (46.8%)              |
| Diagnoses  |                         |
| Chronic SUNCT  | 31 (66.0%)              |
| Chronic SUNA   | 16 (34.0%)              |
| Duration of chronic pattern at the time of MVD / years | 9.4 (±4.5) [5–25]       |
| Headache laterality                                    |                         |
| Right  | 31 (66.0%)              |
| Left   | 13 (27.6%)              |
| Side alternating                                       | 3 (6.4%)                |
| Headache distribution                                  |                         |
| V1   | 11 (23.4%)              |
| V2   | 3 (6.4%)                |
| V1-V2  | 22 (46.8%)              |
| V2-V3  | 3 (6.4%)                |
| V1-C2  | 2 (4.3%)                |
| V1-V2-V3   | 4 (8.5%)                |
| V1-V2-C2   | 2 (4.3%)                |
| Mean number of daily attacks                           | 123.8 (± 609) [4–3600]  |
| Mean attack severity (0–10)                            | 8.8 (±1.4) [4–10]       |
| Mean attack duration (seconds)                         | 160.4 (±518.8) [1–3600] |
| Spontaneous and/or triggered attacks                   |                         |
| Spontaneous and triggered                              | 42 (89.4%)              |
| Spontaneous only                                       | 2 (4.3%)                |
| Triggered only   | 3 (6.4%)                |
| Refractory period                                      |                         |
| No   | 44 (93.6%)              |
| Yes  | 1 (2.1%)                |
| Not applicable   | 2 (4.3%)                |
| Interictal pain  |                         |
| No   | 31 (66.0%)              |
| Yes  | 16 (34.0%)              |
| Co-existent headache types                             |                         |
| Chronic migraine                                       | 9 (19.1%)               |
| Cluster headache                                       | 8 (17.0%)               |

2 Values are presented as mean (±SD) [range] or n (%). V1: Cutaneous territory innervated by the first division of the trigeminal nerve; V2:  
3 second division of the trigeminal nerve; V3: third division of the trigeminal nerve; C2: second cervical root  
4  
5

1 **Table 2 Descriptive summary of MRI characteristics of trigeminal neurovascular conflicts**

|   | Symptomatic nerve (n=50)<br>N (%) | Asymptomatic nerve (n=44)<br>N (%) |
|---|-----------------------------------|------------------------------------|
| <b>Degree of arterial conflict</b>                      |                                   |                                    |
| With morphological changes                              | 39 (78.0%)                        | 6 (13.6%)                          |
| Proximal nerve segment                                  | 30 (60.0%)                        | 4 (9.1%)                           |
| Without morphological changes                           | 11 (22.0%)                        | 10 (22.7%)                         |
| Proximal nerve segment                                  | 5 (10.0%)                         | 5 (11.4%)                          |
| Arterial conflict only                                  | 36 (78%)                          | 10 (22.7%)                         |
| Mixed arterial and venous conflict (artery $\geq$ vein) | 12 (24.0%)                        | 2 (4.5%)                           |
| Mixed arterial and venous conflict (vein $>$ artery)    | 2 (4.0%)                          | 0 (0%)                             |
| Total   | 50 (100%)                         | 12 (27.3%)                         |
| <b>Degree of venous conflict</b>                        |                                   |                                    |
| With morphological changes                              | 5 (10.0%)                         | 1 (2.3%)                           |
| Proximal nerve segment                                  | 4 (8.0%)                          | 0 (0%)                             |
| Without morphological changes                           | 9 (18.0%)                         | 14 (31.8%)                         |
| Proximal nerve segment                                  | 7 (14.0%)                         | 4 (9.1%)                           |
| Venous conflict only                                    | 0 (0%)                            | 11 (25.0%)                         |
| Mixed arterial and venous conflict (vein $>$ artery)    | 2 (4.0%)                          | 0 (0%)                             |
| Mixed arterial and venous conflict (artery $\geq$ vein) | 12 (24.0%)                        | 2 (4.5%)                           |
| Total   | 14 (28.0%)                        | 13 (29.5%)                         |

2 N= number; REZ: root entry zone

3

1 **Table 3 Preoperative clinical and MRI differences between responders and non-responders (n = 47)**

|  | <b>Responders<br/>N (%)</b>           | <b>Non-responders<br/>N (%)</b> | <b>Total<br/>N (%)</b> |
|--|---------------------------------------|---------------------------------|------------------------|
| SUNCT  | 21 (67.7%)                            | 10 (32.3%)                      | 31 (66.0%)             |
| SUNA   | 10 (62.5%)                            | 6 (37.5%)                       | 16 (34.0%)             |
| Δ proportion of responders (95% CI); p-value | 5.24% (-23.6% to -34.1%); p = 0.719   |                                 |                        |
| Female                                       | 10 (60.0%)                            | 15 (40.0%)                      | 25 (53.2%)             |
| Male   | 16 (72.7%)                            | 6 (27.3%)                       | 22 (46.8%)             |
| Δ proportion of responders (95% CI); p-value | -12.73% (-39.5% to 14.01%); p = 0.358 |                                 |                        |
| Interictal pain                              | 7 (43.8%)                             | 9 (56.2%)                       | 16 (34.0%)             |
| No interictal pain                           | 24 (77.4%)                            | 7 (22.6%)                       | 31 (66.0%)             |
| Δ proportion of responders (95% CI); p-value | 3.36% (-5.3% to 62.1%); p = 0.021     |                                 |                        |
| MRI morphological changes                    | 31 (79.5%)                            | 8 (20.5%)                       | 39 (78.0%)             |
| No MRI morphological changes                 | 3 (27.3%)                             | 8 (72.7%)                       | 11 (22.0%)             |
| Δ proportion of responders (95% CI); p-value | 5.84% (-86.5% to -30.3%); p = 0.001   |                                 |                        |

2  
3 CI: confidence interval; Δ : difference; N: number; SUNCT: short-lasting unilateral neuralgiform headache attacks with conjunctival injection and  
4 tearing; SUNA: short-lasting unilateral neuralgiform headache attacks with cranial autonomic features.

8 **Table 4 Secondary efficacy and headache-related disability outcomes post-MVD (n = 47)**

|                         | <b>Pre-MVD</b>           | <b>Post-MVD (last F/U)</b> | <b>p-value</b> |
|-------------------------|--------------------------|----------------------------|----------------|
| Mean severity (VRS)     | 8.9 (±1.44) [4–10]       | 7.9 (±2.3) [4–10]          | p = 0.030      |
| Mean duration (seconds) | 160.7 (±523.93) [1–3600] | 43.75 (±62.17) [1–250]     | p = 0.034      |
| Mean headache load      | 530.0 (±934.58) [4–3750] | 58.3 (±210.22) [1–962]     | p = 0.001      |
| Mean HIT-6 score        | 69.6 (± 6.2) [57–78]     | 50.7 (±13.4) [36–78]       | p = 0.0001     |

9 Values are presented as mean (±SD) [range]. F/U: follow-up; HIT-6: headache impact test-6; MVD: microvascular decompression; SD: standard  
10 deviation; VRS: verbal rating scale

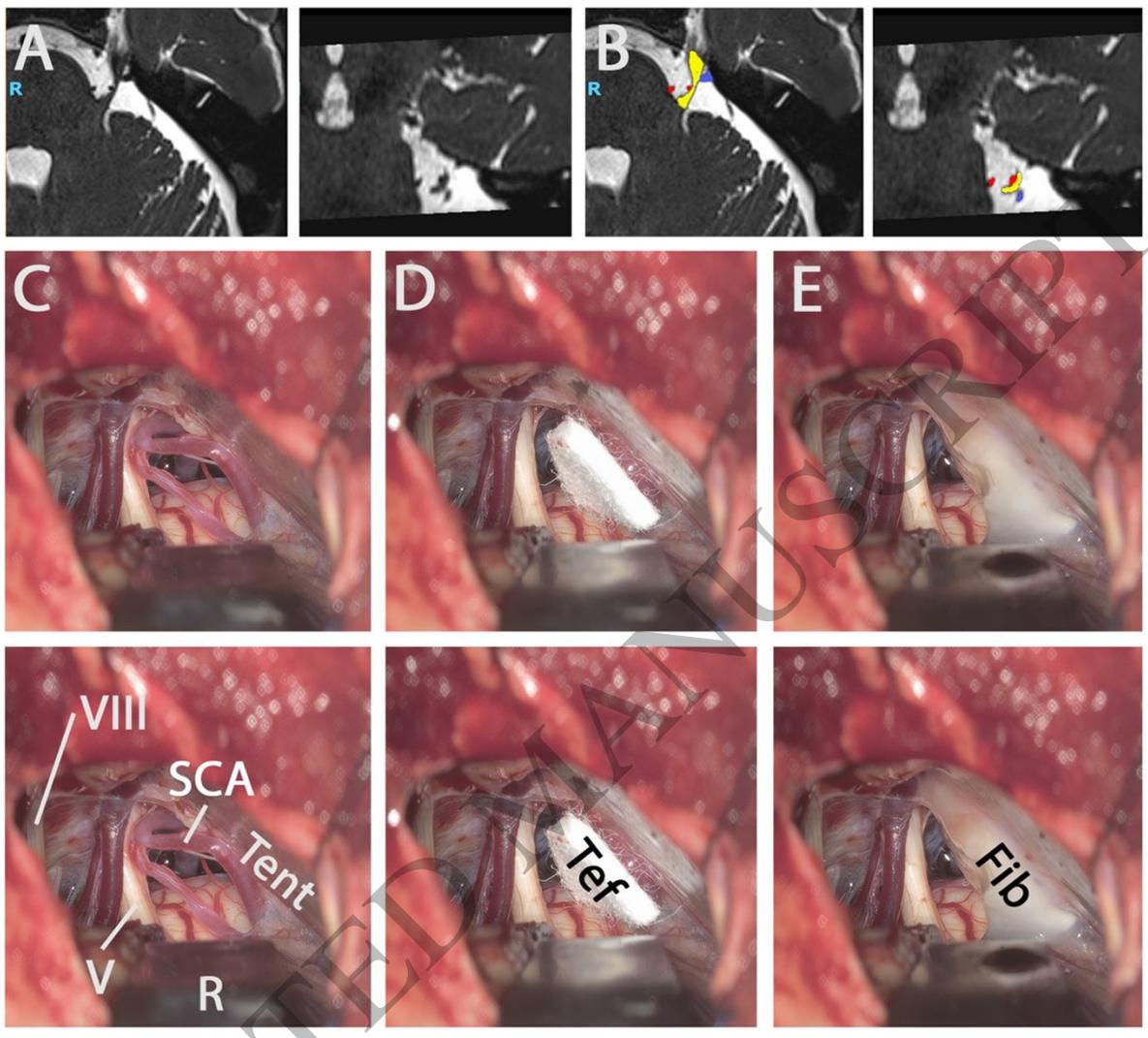


Figure 1  
160x144 mm (9.1 x DPI)

1  
2  
3  
4

Kaplan-Meier estimate of relapse following surgery  
Among patients who had immediate response following surgery

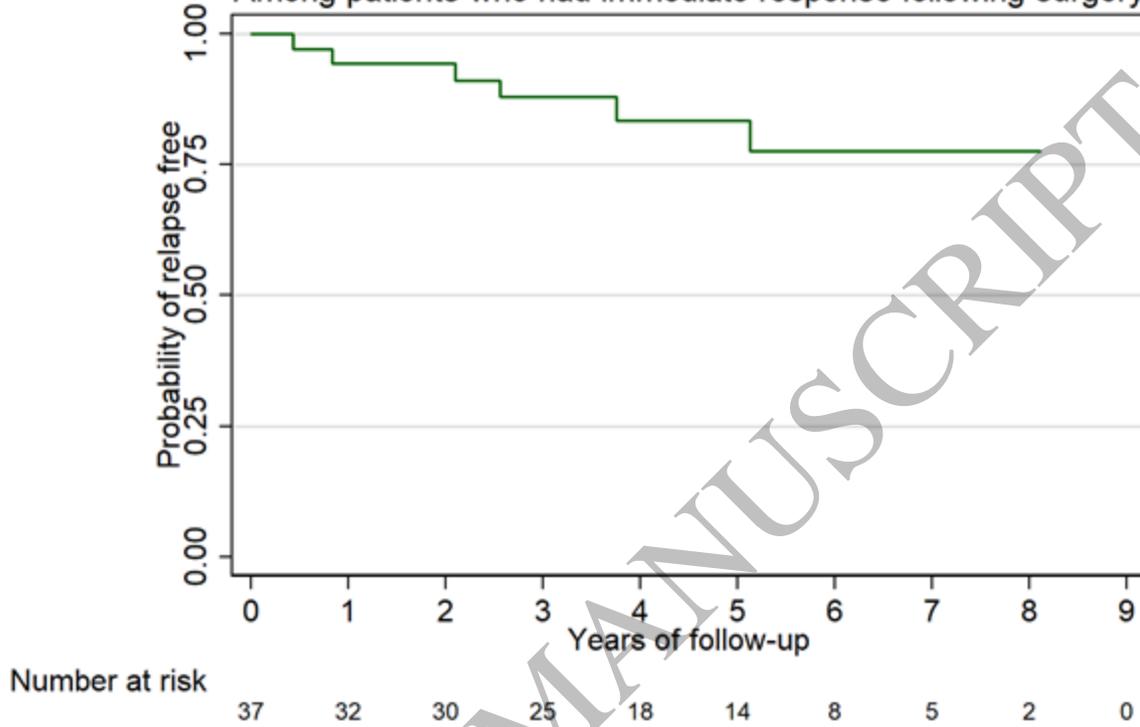


Figure 2  
159x116 mm (9.1 x DPI)

1  
2  
3  
4

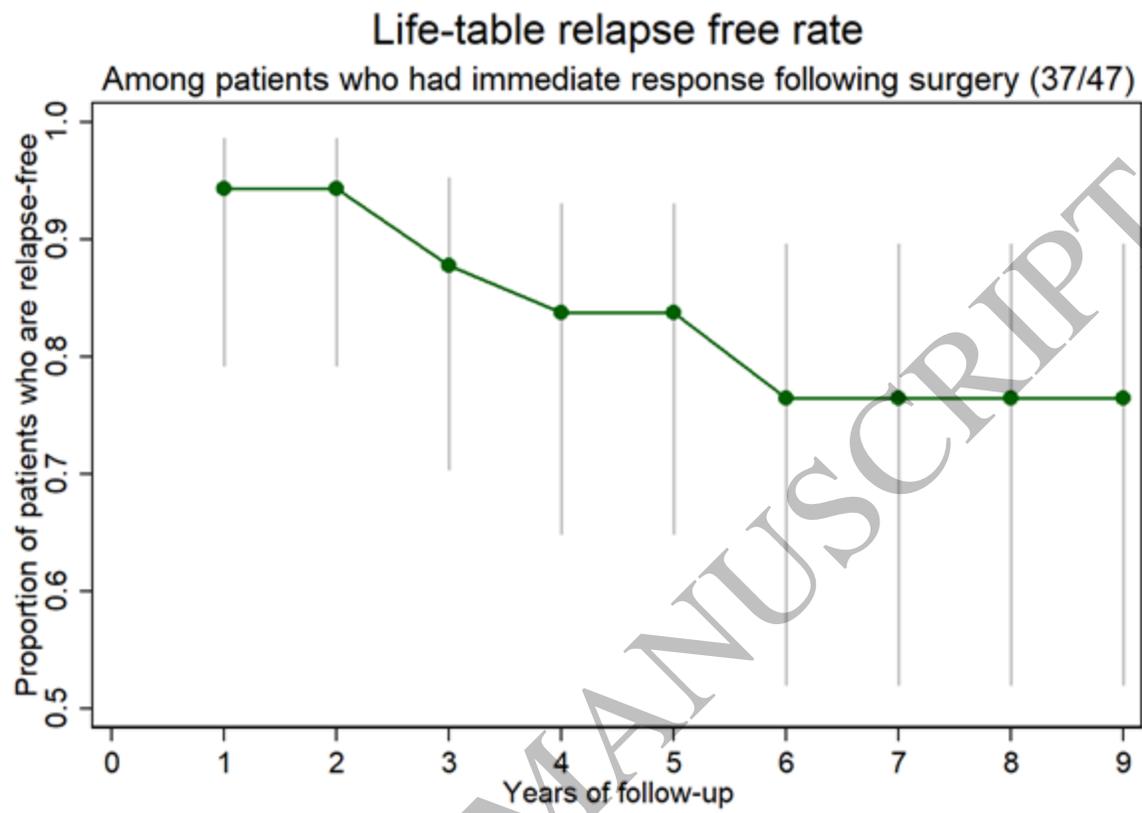
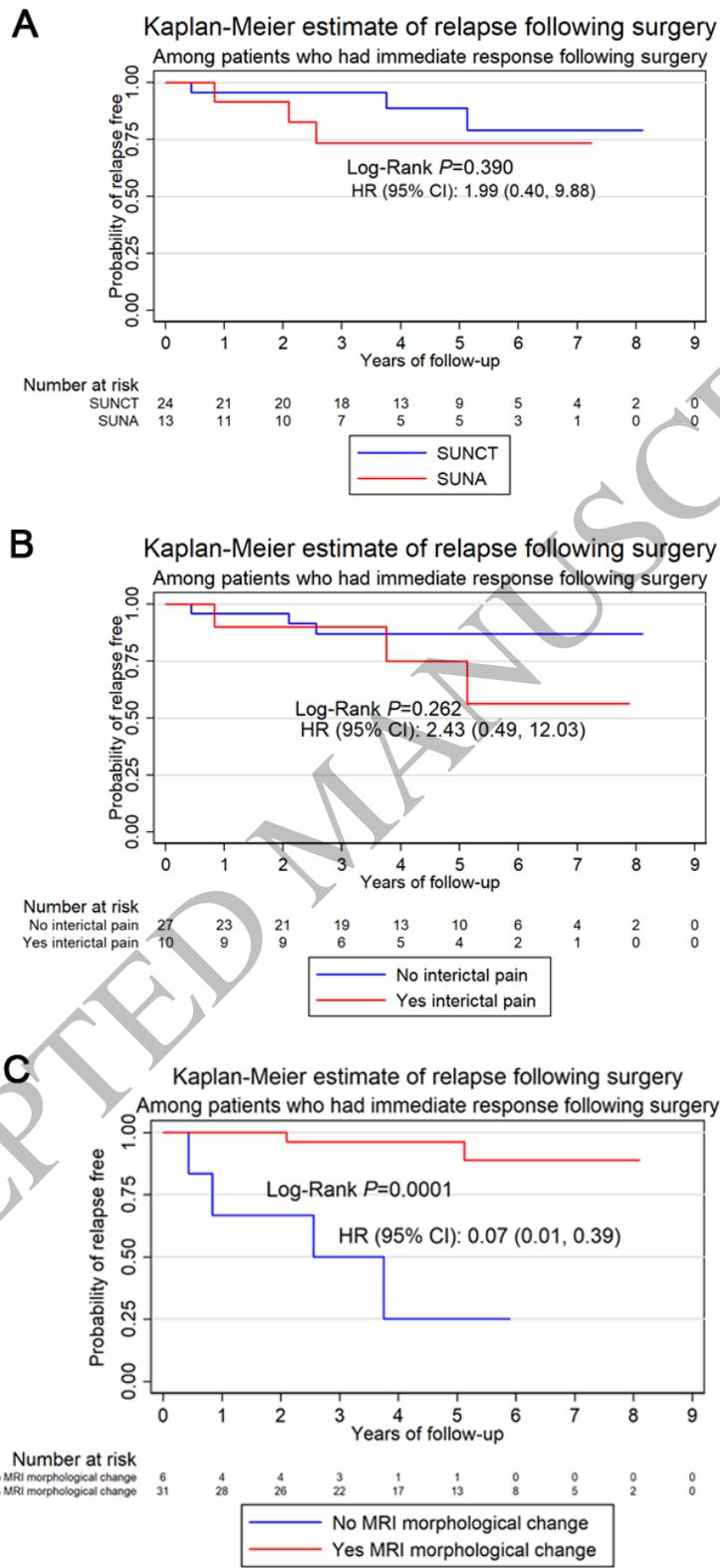


Figure 3  
159x116 mm (9.1 x DPI)

1  
2  
3  
4

ACCEPTED MANUSCRIPT



1  
2  
3

**Figure 4**  
114x229 mm (9.1 x DPI)