

**[Article Full Title]**

*In Regard to Shortall et al*

**[Short Running Title]**

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**[Conflict of Interest Statement for All Authors]**

*Conflict of Interest:*

*Martin A Ebert: None*

*Marco Marcello: None*

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1 Shortall et al [1] have emphasised the need for statistical rigour in the development of voxel-level  
2 outcomes analyses and implied that three relevant published studies from Marcello et al [2-4] have  
3 not appropriately managed the multiple comparisons problem. “Statistical rigour” should be relative  
4 to an investigation’s objective. The exploratory studies of Marcello et al were undertaken with an  
5 awareness of the issues associated with the development of models spanning  $\sim 10^6$  voxel-level  
6 features over cohorts with narrow variations in irradiation technique. An inflation in Type-I error had  
7 to be balanced against the chance of ignoring a potentially hypothesis-generating discovery. To  
8 achieve this balance, Marcello et al utilised several complementary methods:  
9

- 10 1. Examining the distribution of the maximum test statistic (normalized dose difference) across  
11 the full distribution over permutations of the considered event labels, applying the  
12 methodology as reported in Chen et al [5] to generate adjusted p-values. Unfortunately,  
13 Shortall et al have incorrectly reported that Marcello et al used per-voxel permutation  
14 testing based explicitly on mean dose difference.  
15
- 16 2. Independent voxel-level Cox proportional hazards modelling presented as hazard ratios with  
17 unadjusted p-values. Given the ambiguity regarding the number and variety of such  
18 exploratory analyses and difficulty in estimating the familywise error rate [6], the  
19 presentation of p-values at all is questionable. Marcello et al preferred to present hazard  
20 ratios and unadjusted p-values, with full associated disclosure. We draw attention to related  
21 studies that have singled out individual voxels as model variables with a priori interest, such  
22 as that by Witte et al [7]. Marcello have presented models for all such voxels and have  
23 highlighted the inevitability of false-positive results.  
24
- 25 3. Assessment with multiple independent measurements. A much less elaborate contrivance  
26 can demonstrate the impact of multiple comparisons than the study of Bennet et al [8] – one  
27 needs to just randomly allocate event labels in their own dataset, or to just apply statistical  
28 reasoning. By assessing three cohorts, representing diversity in technique, populations and  
29 investigator teams, Marcello et al have assessed the preservation of associations across  
30 independent measurements.  
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36 The least absolute shrinkage and selection operator (LASSO) method was applied to reduce the  
37 number of features in multivariate models. We agree with Shortall et al that implying this as a  
38 method to account for multiple comparisons may have been misleading. We also agree that the  
39 resulting isolation of scattered voxels was not particularly helpful in this analysis, and highlight that  
40 alternative methods that accommodate spatial correlation are available, as recently summarised in  
41 Ebert et al [9].  
42

43 Shortall et al also suggest that the structure-based Dice index could have been used to assess  
44 regional spatial mismatch in Marcello et al’s method. However, to avoid observer bias and  
45 pathological assumptions, Marcello et al deliberately took an anatomy/structure-agnostic approach.  
46 Marcello et al chose to assess robustness by comparing results in a template geometry that showed  
47 the largest difference relative to the selected common reference, with both identified via similarity-  
48 clustering [10]. Without objective ground-truth information and potentially systematic uncertainty  
49 introduced via a single template, we do not see justification for simply “blurring the dose  
50 distribution” (Shortall et al).  
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55 Shortall et al identify that significant model variables aside from dose had not been stated: these  
56 were included in the appendices to the three publications of Marcello et al., and included in models  
57 as described in the papers.  
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We are very enthusiastic to see this field progress and the diligence of Shortall et al is welcome. We hope though that their ambitions to be rigid and prescriptive will not stifle exploration in this area, and not impede fortuitous discovery at a time when the field is still developing.

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