Fouling-Proof Triple Stream 3D Flow Focusing Based Reactor: Design and Demonstration for Iron Oxide Nanoparticle Co-Precipitation Synthesis

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12 Abstract

13 The primary limitation of millifluidic reactors used for (nano)particle synthesis is fouling, which is inherent to small channel devices. This work presents an approach for fouling-free particle 14 15 production by utilising a novel millifluidic device to achieve a wall-free environment, where the 16 particles are formed. The design was based on CFD simulations and produced a 3-layer co-axial 17 flow in two sequential flow focusing junctions. The device enabled the introduction of a separating stream that prevented premature reaction to avoid fouling at the confluence point. The flow 18 19 focusing reactor was used for an iron oxide nanoparticle co-precipitation synthesis using 20 tetraethylammonium hydroxide (TEAOH). For this synthesis, it was used to initiate particle 21 formation, and was followed by a millifluidic capillary coil. Fouling resistance at the capillary coil 22 was increased by using excess TEAOH. At elevated temperature (60 °C) the produced nanoparticles were of superior quality compared to room temperature operation. 23

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25 Keywords: flow focusing, fouling, nanoparticles, CFD modelling

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1 1. Introduction

2 Micron and millimetre scale continuous flow devices have emerged as an attractive tool to tame 3 rapid nanoparticle forming processes by offering greatly improved heat and mass transfer rates compared to traditional batch synthesis, resulting in a rapidly achieved highly homogenous 4 5 reaction environment which is essential for obtaining a high quality product¹⁻⁴. Despite these 6 advantages, the widespread application of small (channel diameters < 1 mm) and compact flow 7 reactors for nanoparticle synthesis is challenged by their inherent difficulty in handling particles. 8 In the confined space of such reactors, solid material accumulation on the walls, known as fouling, 9 can lead to solid depositions comparable in size with the flow channel and thus it may disrupt the 10 flow pattern, reduce residence time and in the worst case, lead to clogging. In nanoparticle forming processes, the most common fouling mechanisms fall into the particulate fouling category as 11 described by Schoenitz et al⁵. Particles formed via crystalisation or precipitation reactions in the 12 13 proximity of the reactor walls can anchor on the walls where they may act as nucleation points forming larger aggregates that either detach, contaminating the product stream, or grow large 14 15 enough to clog the channel. Furthermore, free flowing particles may agglomerate into large solid structures, which may deviate from the fluid streamlines, such as in settling at the channel under 16 the effect of gravity or cause clogging via a bridging mechanism^{5,6}. Even if the operation of the 17 device is not drastically altered (e.g., in larger millifluidic devices), fouling still might pose a 18 19 significant problem, affecting the product quality due to secondary nucleation on the channel 20 walls, as has been reported for gold nanoparticle synthesis⁷, that affects the chemical species 21 balance or results in material loss.

22 Many efforts have been made to prevent fouling in small scale flow reactors. Manipulation of 23 hydrodynamics to prevent or reduce particle-wall contact has been demonstrated by using various specially designed reactors. Segmented flow systems^{8–10} utilise an immiscible carrier phase which 24 create a thin film between the reactive mixture and the walls, but usually require an additional 25 process step to separate the segmenting fluid from the particle dispersion^{11,12}. In single phase 26 27 systems one way to achieve fouling free nanoparticle synthesis is via free impinging jet reactors^{13,14} (IJR) which in addition to offering excellent mixing of reagents, completely remove 28 29 the need of channels and thus, the possibility of fouling. These characteristics make IJRs an 30 excellent solution for rapid, single stage particle forming processes but restrict their use when 31 multistep processes are considered. Using closed channel reactors for single phase synthesis, can be enabled by manipulating the surface properties of the channels^{15,16}, but such approaches 32 33 are typically restricted in simple geometries or involve complicated manufacturing steps, while

their effectiveness may diminish over time. Other strategies focus on controlling the particleparticle and particle-wall interactions from the fluid perspective. Tuning the surface chemistry of the particles by utilizing various ligands enhances colloidal stability of the particles and promotes repulsive particle-particle and particle-wall interactions^{17,18} slowing down the onset and growth of fouling. However, these processes typically take place after the initial particle formation and thus cannot prevent fouling during the critical nucleation stage. Repulsive interactions for some particle-wall systems are also expected when operating in basic pH values⁵.

8 Flow focusing devices prevent particle-wall interactions by hydrodynamic confinement of the reactive stream¹⁹⁻²¹. They are of particular interest for nanoparticle synthesis, as their single-9 phase operation avoids disadvantages of segmented flow, such as the interfacial adsorption of 10 particles²², does not require phase separation steps, and can be easily integrated into multistep 11 synthetic processes. In addition, by constraining the reaction near the channel centre where the 12 laminar flow velocity profile is nearly flat, the residence time distribution becomes narrower which 13 translates to narrower particle size distributions.^{21,23} Typically, flow focusing requires a core 14 stream to be engulfed by a sheath stream, either by using lateral channels as in microfluidic 15 chips^{24–26}, or with a co-axial capillary configuration^{27–29}. Increasing the sheath/core flowrate ratio 16 17 decreases the width of the core stream, effectively accelerating mixing by diffusion³⁰. Although flow focusing reactors have been used to produce a variety of polymeric^{25,26,31,32} and inorganic^{23,} 18 19 ^{27,33–36} materials, in most cases they are operated at sub-ml/min flowrates or low particle or reagent concentrations^{24,28,29}. In addition, there are reports of fouling at the reactor wall near the 20 confluence point (where the reactants initially meet)^{27,37,38} and for two dimensional focusing also 21 at the top and bottom channel walls²⁵ (both of which have been observed in preliminary 22 23 experiments, as presented in the SI, section 1). For rapid (nano)particle forming reactions this 24 poses a serious problem, and is only expected to worsen if higher particle concentrations are produced. An interesting (but not widely applied) solution is the introduction of a separating stream 25 26 between the reactants to prevent premature reaction, as has been demonstrated in a 2D flow focusing microfluidic device³⁹ and in a multilaminated annular microfluidic device³⁸. 27

This work demonstrates a novel triple stream 3D flow focusing millifluidic chip mixer design that introduces a separating stream between the reactants and provides a wall free environment for rapid nanoparticle-producing reactions, effectively eliminating the possibility of fouling. Expanding on existing on-chip flow-focussing devices^{25, 32, 36,40,41}, this device achieves 3D flow focusing in a single step (junction) and allows the addition of two or more subsequent sheath layers in the same chip, while operating at a wide Reynolds number window with an emphasis on ml/min scale flowrates, more relevant to high throughput production of materials. The overall device design is based on a simple cross shaped flow focusing chip geometry that is easy to manufacture rapidly and reproducibly with conventional automatable techniques⁴² such as computer aided milling, and only requires a two part assembly, overcoming multilayer fabrication challenges often preventing the application of complex on-chip devices. Reproducibility in manufacturing is also an advantage over co-axial capillary devices which produce similar flow patterns, especially when precise submm features are required.

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9 2. Materials and methods

10 2.1. CFD simulations

11 Computational fluid dynamic (CFD) simulations assisted the design of a millifluidic mixer geometry 12 that enables complete isolation (in 3D) of the reactive part of the flow (where particles form) from 13 the walls. All finite element method (FEM) simulations were setup using COMSOL Multiphysics 14 5.4 and solved on a DELL OptiPlex 7060 Windows operated PC with Intel Core i7-8700 CPU and 15 16 GB of RAM. Multiple simulations were performed, investigating the hydrodynamics of 3D flow 16 focusing for various geometries. Details on the simulations can be found in the SI, section 2.

17 **2.2. Chemicals and materials**

18 The iron precursor solution was prepared by dissolving FeCl₃·6H₂O and FeCl₂·4H₂O (both from 19 Sigma Aldrich) in deionised (DI) water to a total iron concentration of 0.1 M. The molar ratio was kept at [Fe³⁺]/[Fe²⁺] of 2, as it has been shown to produce higher purity magnetite (Fe₃O₄) 20 particles^{43,44}. The base solution was prepared by diluting a 20% tetraethylammonium hydroxide 21 22 (TEAOH) (Sigma Aldrich) solution to a concentration of 0.57 M. HCl stock solution of 1 M (Fisher 23 Scientific) was used for reactor cleaning. A commercial detergent solution (Fairy Ultra 1/20 in DI water) was used to facilitate bubble removal from the device channels in the priming step. 24 25 Methylene blue (Sigma Aldrich) dissolved in DI water (10 mg/ml) was used for visualisation of the 26 flow pattern in the reactor. 3 mm thickness poly-methyl methacrylate (PMMA) sheets were used 27 for reactor manufacturing as received from DirectPlastics. Araldite Yellow two component epoxy adhesive (Screwfix) was used for sealing the reactor connections. 28

29 **2.3. Reactor manufacturing**

A 3D model of the bottom half of the reactor was designed in Autodesk Inventor 2019 Academic
 edition. The model was translated in G-code using Inventor's CAM add-on and the pattern was

1 engraved on two symmetrical PMMA pieces with a Minitek Micromill 3 milling machine. After 1/16" 2 holes were drilled in one of the pieces to accommodate connections to the inlets, the pieces were 3 bonded with a thermally assisted solvent bonding process adapted from⁴⁵. PTFE tubing (ID = 1mm) was connected to the inlet holes via custom made push-fit connections. For the outlet 4 connection, a similar capillary was push-fitted into the outlet port, and a 2-component epoxy 5 6 adhesive was used to seal the gaps from the square to the cylindrical connection. For the 7 nanoparticle synthesis, the outlet capillary of the triple stream flow focusing chip-mixer was connected to a tightly coiled PTFE capillary (ID = 1 mm, length = 15.4 m, volume = 12.1 ml) which 8 9 provided sufficient residence time for the secondary reaction steps. In the operating regime of interest (Dean number of 3.1-10.6) the coil configuration of the capillary is expected to promote 10 secondary Dean flows that improve the residence time distribution (and thus the homogeneity of 11 the produced particles) by reducing the axial dispersion⁴⁶. Figure S5 in the SI shows the chip 12 device after bonding and the chip-coil assembly used in the high temperature synthesis. More 13 information on manufacturing can be found in the section 3 in the SI. 14

15 2.4. Reactor operation

The mixer was primed by flushing a surfactant solution through all inlets to remove air, followed 16 17 by flushing with adequate DI water to remove the surfactant. The reactants were fed into the mixer 18 with syringe pumps (neMESYS mid-pressure unit with custom SGE glass syringes, Cetoni) and 19 the inert separating stream was fed via a MilliGat LF piston pump (Global FIA). Total flowrate 20 varied from 0.4 to 4.3 ml/min for the experiments. All pumps were controlled via a custom built 21 LabView interface. For temperature control, the mixer and the coil reactor were submerged in a stirred water bath, with heat and magnetic stirring provided by a hot plate (IKAMAG HS7, IKA). A 22 23 temperature probe was connected to the hotplate for feedback control. The maximum operation 24 temperature was 60 °C. Temperature uniformity inside the water bath was verified by placing the temperature probe at different locations in the bath. 25

26 **2.5. Iron Oxide Nanoparticle synthesis**

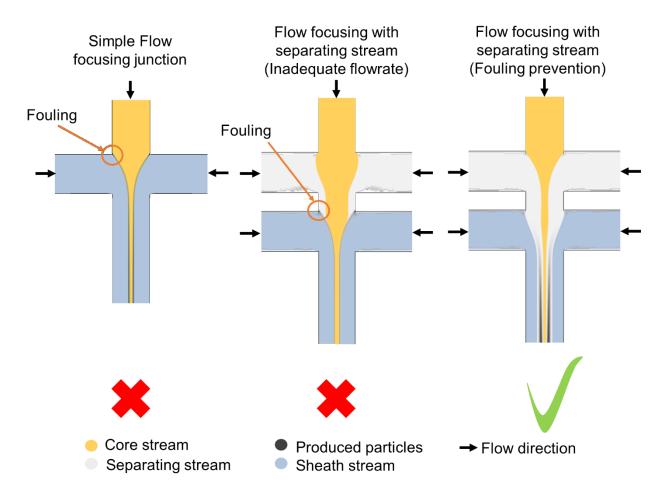
Iron Oxide nanoparticles (IONPs) were produced via co-precipitation of iron chlorides in basic pH induced by the TEAOH solution. In the flow synthesis all samples were collected 5.6-16.6 min (depending on the flowrates) after the first particles were observed at the outlet. The waiting time corresponded to 2x the residence time, allowing the system to reach a steady state. Since both reagent streams were aqueous, DI water was used as a separating stream. Fouling was monitored by focusing a USB microscope (VEHO Discovery 400) directly on the confluence point. When nanoparticles were prepared in batch, the iron precursor solution was added into the base solution as rapidly as possible via a pipette under constant stirring. Batches were loaded in rapidly stirred (700 rpm) flat bottom glass vials and never exceeded 5 ml in total volume to minimize inconsistencies in mixing conditions.

2.6. Nanoparticle characterisation

The nanoparticles were characterised with dynamic light scattering (DLS) (Delsa Max Pro. Beckman Coulter) immediately after collection unless stated otherwise. For DLS, measurements were performed with 1/20 dilution of collected samples to avoid multiparticle scattering. Particle size and particle size distribution were obtained with image analysis (ImageJ) using data from a transmission electron microscope (TEM) at 120 kV acceleration voltage (JEOL 1200 EX). For TEM analysis, the samples were magnetically decanted (assisted by adding a small amount of NaCl (~10 mg/mL)) and washed with DI water before being re-dispersed in DI water via ultrasonication (5-20 min depending on the sample) and pipetted on a carbon coated copper grid (400 µm lattice). For X-ray diffraction (XRD) analysis particles washed, magnetically decanted and dried under air. The XRD pattern was acquired with an X-ray diffractometer (PanAlytical X'Pert Pro, Malvern) with Co K α radiation ($\lambda = 1.789$ Å).

2 3. Results and discussion

3 3.1. Millifluidic triple stream 3D flow focusing mixer design and characterisation



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Figure 1: Illustration of fouling in flow focusing junctions during precipitation reaction of the core and the sheath stream. Left) potential fouling position in a simple flow focusing junction, middle) potential fouling positions in a triple stream flow focusing junction when operated with inadequate separating stream, right) fouling prevention in a triple flow focusing junction with adequate separation of the reactant streams.

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The concept behind the fouling free operation of the triple stream 3D flow focusing mixer is illustrated in Figure 1. As opposed to the conventional 2 stream flow focusing design where the reaction starts immediately at the confluence point leading to fouling on the wall where the reactants meet (Figure 1, left), in the Triple Stream Flow Focusing Reactor the reactants have to diffuse through a separating stream to react. Considering that the core stream reagents start

diffusing into the separating stream as soon as the streams come in contact, the separating 1 2 stream has to provide an adequate diffusion barrier to prevent the reactants from the core and 3 sheath stream to meet at the wall. If the core stream reactant diffuses into the separating stream and reaches the wall, the reaction occurs at the edge of the junction where the sheath and the 4 separating stream come in contact (Figure 1, middle), as if no separating stream existed. 5 Increasing the flowrate of the separation stream effectively increases its width^{30,39} providing a 6 7 larger diffusion barrier and shortening the separating and core stream contact time between the 8 two flow focusing junctions, thus effectively preventing fouling (Figure 1, right).

9 The aim is for the separating stream to shield the walls against fouling, with minimal interference

10 in the reaction. However, having a diffusion barrier between the reactants results in delayed

11 mixing and amplification of concentration gradients, inherent of a laminar flow mixer, which result

12 in an inhomogeneous reaction environment that could potentially affect the product quality. While

13 this issue is to a large extent compensated by the small channel diameters of the millifluidic device

14 (especially after the mixing junctions), minimizing the amount of the separating stream remains

15 an important consideration to further reduce any negative impact and prevent overdilution of the

16 final product.

17 The minimum separating stream flowrate for fouling prevention depends on the transport 18 properties of the core stream reactant molecules, the relative flowrates of the core and separating 19 stream and the stream contact time between the two flow focusing junctions. One effective way 20 to minimize the separating stream flowrate is by decreasing the distance between the junctions 21 which is more effectively achieved by using a custom chip geometry. The separating stream flowrate can be further reduced by manipulating its own physiochemical properties, such as using 22 23 a more viscous fluid which would act as a more effective diffusion barrier (e.g. using a glycerolwater mixture as separating stream in a system with aqueous reactants). 24

It is important to note that while Figure 1 presents a planar 2D geometry, both flow focusing junctions have to isolate the core flow from all directions (like a co-axial flow), so that particles produced do not contact the top and bottom wall of the flow channel, as this would result in a thin line of fouling on them (see Figure S2 in SI).

3.2. Effect of channel height ratio on the flow focusing pattern via CFD simulations

30 Producing a 3D focusing flow pattern to prevent fouling on the top and bottom of the channel, with

- an easy to manufacture planar chip device presents a challenge by itself. The 3D effect was
- produced by tuning the height of the core (H_C), sheath (H_{Sh}) and main (H_M) flow channels (Figure

1 2 left) and CFD simulations were used to identify the optimal ratios of these channel heights. The 2 simulated domain comprised of the flow focusing junction of the mixer and the channel leading to the outlet. Simple cross-shaped flow focusing junction geometries were used to establish the 3 channel height ratio that results in 3D flow focusing patterns (Figure 2 left). The geometric 4 parameters of the various flow focusing junctions are summarized in Table 1. In a later stage, a 5 6 geometry with an additional lateral channel was used to simulate the separating stream. All 3D 7 domains exhibit double planar symmetry and thus only a quarter of each was included in the 8 model, significantly saving computational time.

Since the flow focusing behavior was expected to depend mostly on the parabolic velocity profile of the lateral sheath stream and the core/sheath flowrate ratio, simulations for every geometry were run for the same sheath stream Reynolds number (calculated as described in the SI, section 2.1) and for a constant core/sheath flowrate ratio $Q_C/Q_{Sh}= 1/10$. The chosen flowrate ratio aids to help visualization. A range of Reynolds numbers between 1 and 50 was examined, as it encompasses the inertial laminar flow regime which is of interest in this work.

Table 1: Summary of geometric parameters of the 3D flow focusing junction used in the simulations (see Fig 2)

Geometry	W	H _M	H _{Sh}	H _C
	(mm)	(mm)	(mm)	(mm)
A	1	1.5	1.5	1
В	1	2	2	1
С	1	3	3	1
D	1	2	3	1
E	1	1	3	1
F	1	1	2	1

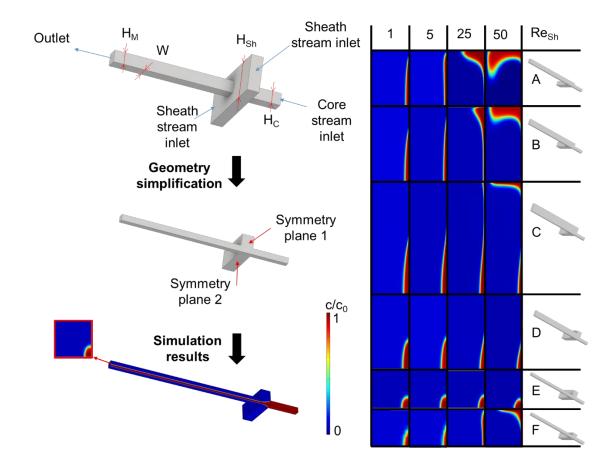


Figure 2: Summary of CFD simulations. (Left) Simulation domain simplification and simulation results presentation. A non-diffusive tracer enters the system at concentration $c/c_0 = 1$ (depicted with red colour) at the core inlet and follows the streamlines. The concentration map obtained at the outlet is representative of the flow pattern. (Right) Concentration map obtained at the outlet of the simulated domain for different geometries and for sheath stream Reynolds number (Re_{Sh}) 1-50.

The resulting flow patterns for all the tested geometries are represented in Figure 2 (Right) as 8 concentration maps of a non-diffusive tracer entering via the core inlet. The maximum 9 10 concentration of the tracer is depicted with a red colour and zero concentration with a blue colour. Intermediate concentration values seen around the patterns as yellow/green, are a result of 11 12 numerical diffusion. With the chosen meshing strategy, numerical diffusion does not significantly 13 affect the obtained concentration maps (refer to SI, section 2). While all the tested geometries 14 provide a 3D focusing effect of the core stream for low Reynolds numbers, as the flowrate 15 increases so does the irregularity of the flow pattern. The "butterfly shaped" concentration maps obtained for high Reynolds numbers can be attributed to the parabolic velocity profile of the lateral 16

1 streams, which is characteristic of the laminar flow. Higher velocity fluid in the middle of the lateral 2 channel pushes the fluid of the core stream towards the top and bottom channels where the 3 velocity of the lateral stream is lower. Similar flow patterns have been observed for simple cross shaped flow focusing geometries and verified via confocal microscopy^{39,47}. Increasing the H_{Sh}/H_C 4 ratio while keeping $H_{Sh} = H_M$, as in geometries A, B and C, reduces the amount of core stream 5 pushed towards the walls at high Re, as the velocity gradient of the sheath stream at the 6 7 confluence point with the core stream becomes smaller. The flow pattern is drastically altered by reducing the H_{M}/H_{Sh} ratio, as in geometries D and E. The additional confinement forces the sheath 8 9 fluid to move towards the core fluid from every direction, leading to flow patterns resembling those 10 obtained by co-axial geometries. However, this effect still depends on the H_{Sh}/H_{C} ratio, as shown in the pattern obtained for geometry F, which indicates that adjusting both H_{Sh}/H_C and H_M/H_{Sh} 11 12 channel height ratios is equally important to achieve the desired flow pattern for the operational range of flowrates. 13

14 **3.3.** Triple stream 3D flow focusing mixer design and flow pattern evaluation

The final design of the flow focusing reactor was based on the simulation results. Geometry E 15 16 was chosen as the most appropriate to produce the desired flow pattern. In addition to the uniform 17 sheathing of the core stream in almost the whole Reynolds range investigated, the fact that $H_M =$ 18 $H_{\rm C}$ makes it easier to implement multiple consecutive flow focusing junctions to accommodate the 19 separating stream without the need of further adjusting the geometry of each junction. In addition 20 to the simulation results, the design was also subject to constraints related to the choice of milling 21 as the manufacturing process. This defined the minimum channel width of 0.6 mm that was used, and the distance between the two flow focusing junctions which was set as 0.3 mm to allow 22 23 enough material between the lateral channels for the bonding process. The fluid domain for the 24 final design and a schematic of how this 3D domain was translated into a planar chip geometry 25 are presented in Figure 3.

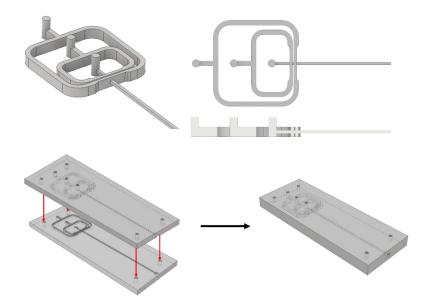


Figure 3: Millifluidic triple stream 3D flow focusing mixer design based on geometry E of Fig. 2.
(Top) Complete flow domain based on simulation results including a 3D isometric view, a top view
and a cross-sectional side view. (Bottom) Schematic of patterns engraved in two PMMA layers
bonded together to form a closed channel device with three inlets on the top and an outlet in the
direction of the flow channel.

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8 The hydrodynamic behavior of the manufactured mixer is presented in Figure 4. Blue dye was 9 introduced in the separating stream as a simple means of visualizing all 3 layers. DI water was 10 introduced in the core and outer sheath stream. With this setup the separating stream appears as a blue annulus that engulfs the core stream and is surrounded by the outer sheath stream. 11 Flow focusing was observed both at the chip mixer (Figure 4 A) and at the outlet capillary 12 connected to it (Figure 4 B), indicating that the flow pattern was mostly undisturbed after exiting 13 14 the mixer if the capillary was straight. The flow pattern in the capillary was also observed from the side (Figure 4 C), proving that 3D flow focusing has been achieved successfully. The height of 15 the focused stream (Figure 4 C) is slightly higher than its width (Figure 4 B) indicating an oval 16 cross section of the focused stream which agrees with the corresponding simulation results for 17 the device that also produces a similarly shaped flow pattern (Figure 4 D and E). The conditions 18 19 depicted in Figure 4 are similar to those used in the IONP synthesis.

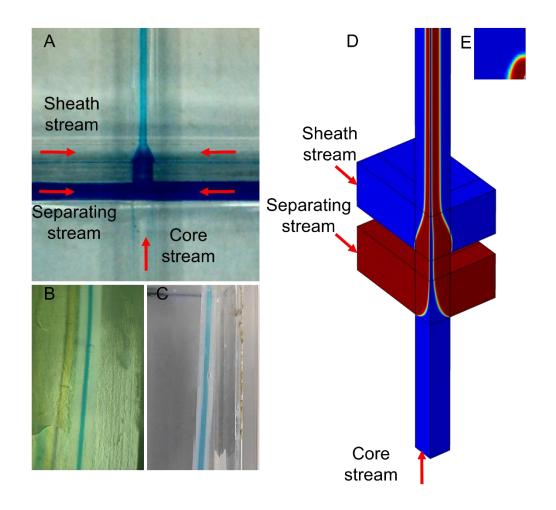


Figure 4: Visualisation of the separating stream: A) Demonstration of 3D flow focusing in the triple stream 3D flow focusing mixer, B) top view of the capillary after the mixer, C) side view of the capillary after the mixer, D) isometric view of a simulated device (same dimensions with the real device) with a non diffusive tracer (red colour) introduced in the separating stream, for the same flow conditions as the real device. The concentration map represents the flow pattern in the simulated device while the red arrows indicate the inlets of the simulated geometry. E) cross section at the outlet of the simulated domain. The flow conditions depicted are similar to those used in the nanoparticle synthesis. Core stream: 0.1 ml/min, separating stream: 0.3 ml/min, sheath stream: 1 ml/min.

3.4. Non-fouling millifluidic Iron oxide nanoparticle synthesis

2 **3.4.1.** Translation from batch to a continuous millifluidic process

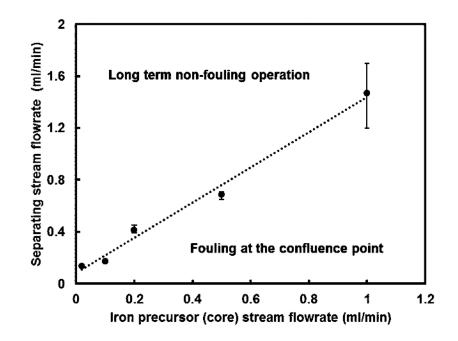
3 The flow focusing reactor was used for IONP synthesis via co-precipitation of iron precursor by TEAOH. In preliminary batch experiments, various stages of the synthesis were observed over 4 time: particles formed immediately after the mixing of the reactants and agglomerated within few 5 seconds into flake-like structures. These large agglomerates remained visible over a ~20 min 6 7 period, while breaking under the effect of TEA⁺ before finally forming a colloidal solution of 8 nanoparticles. The same process stages were observed in a typical millifluidic synthesis along 9 the reactor. The initial mixing took place in the designed chip mixer, where the iron precursor was 10 introduced in the core stream, the TEAOH solution in the outer sheath, and both reactants were separated by an inert DI water stream. The initial particle formation took place in the chip mixer 11 12 shortly after the two reactants met by diffusing through the separating stream. The particles 13 remained in the separating stream where they had initially formed, flowing out of the mixer chip. 14 Agglomeration started in the capillary connected to the chip and was observed as breaking of the 15 flow focusing pattern into segments that formed the flake structures which deagglomerated while 16 traveling along the capillary coil, forming a colloidal solution that was collected at the reactor outlet. Videos of the various stages of the millifluidic synthesis can be found in the SI, section 4. 17

3.4.2. Non-fouling operation of the triple stream 3D flow focusing mixer

19 The chip device presented in sections 3.1-3.3 controls the initial mixing of the reactants and 20 prevents wall particle contact which could lead to fouling at the confluence point and disrupt the process. The first step for employing the flow focusing device for nanoparticle production was to 21 22 identify the minimum flowrate of separating stream for non-fouling operation. The minimum 23 separating stream flowrate was identified experimentally, by observing the flow focusing junction 24 with the microscope to seek out any occurrence of fouling (see SI, section 5), for a range of core 25 stream (iron precursor) flowrates between 0.02 ml/min (minimum pulsation free flowrate achieved 26 with the syringe pump) and 1 ml/min. It was found that for the flowrate range examined, the 27 required separating stream flowrate rises proportionally to the iron precursor flowrate indicating 28 that a specific ratio is required which is suitable to the system properties (fluid transport properties 29 and geometry). However, it is speculated that for very low total flowrates (core stream and 30 separating stream), fouling will occur for any ratio as radial diffusion becomes dominant over axial convection. The operation range of the triple stream 3D flow focusing device could be extended 31 to lower flowrates by using a different fluid as separating stream or reducing the distance between 32

the two flow focusing junctions with more advanced manufacturing methods. Figure 5 shows the
operation range where the device was used for iron oxide nanoparticle production without fouling.
It was found that the flowrate of the sheath stream containing the base had negligible effect on

4 the curve in Figure 5 when tested for the flow rates of interest (0.1 - 2 ml/min of sheath stream).



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Figure 5: Operation map for non-fouling operation of the triple stream 3D flow focusing mixer for
room temperature production of IONPs. Above the curve the device can operate without fouling
for long periods of time, while below fouling was observed at the confluence point.

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Appealing as a room temperature synthesis may be, literature suggests that increasing the 10 temperature is expected to produce significantly better results in terms of particle quality due to 11 faster formation of magnetite as the dominant phase^{17,48}. However, an additional effect of 12 13 increased temperature is the acceleration of mass transfer by increasing the diffusion coefficients of solute species. This effect holds true for all types of mixers at the lowest scale of 14 homogenization (micromixing) where diffusion is the dominant mechanism, and is even more 15 16 important in laminar flow mixers, such as the one investigated in this work, where diffusion is the 17 only mixing mechanism⁴⁹. Thus, for non-fouling operation, it is expected that an increased amount 18 of separating stream would be required to counteract the enhanced diffusion of iron ions towards 19 the wall. It was found that for 0.1 mL/min of iron precursor solution, a rise of temperature to 60 °C

increased the demand for separating stream from 0.18 mL/min to slightly less than 0.6 mL/min,
verifying the assumption.

3 3.4.3. Assessment of the triple stream 3D flow focusing reactor system behavior and 4 particle quality

While the initial precipitation handled by the triple stream flow focusing mixer is the most fouling-5 6 prone stage of the IONP synthesis, one cannot overlook the importance of what happens 7 downstream the chip mixer. During the agglomeration stage that takes place downstream of the 8 chip, fouling could still occur. Preliminary experiments with 1:1 flowrate ratio for the iron precursor 9 and base streams (the direct adaptation of the batch synthesis in terms of reactant volumes) 10 showed that a portion of the agglomerates was attaching on the wall in the capillary section after 11 the mixer and thus, a way to prevent fouling after the chip was still required. Based on preliminary 12 batch experiments showing that deagglomeration is greatly accelerated (the flake-like 13 agglomerates disappeared within few minutes) by increasing the base: iron precursor volume 14 ratio, the reactant flowrate ratio in the millifluidic synthesis was adapted accordingly. In addition, 15 in the laminar flow environment of the mixer increasing the ratio of the sheath (base) to core (iron 16 precursor) streams was expected to further accelerate mixing by reducing the core diffusion 17 distance and further confine particles forming in the core stream. To evaluate the effect of the 18 adjusted millifluidic synthesis on the overall hydrodynamic behavior and particle quality, the 19 reactor was operated with different concentrations of the base stream and varying flowrate ratios. Table 2 summarizes the experiments performed for this purpose. In all cases, the conditions were 20 21 chosen to avoid fouling in the triple stream flow focusing mixer section, as discussed in section 3.4.2. 22

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Table 2: Summary of the operating conditions used for the IONP synthesis experiments using the triple stream 3D flow focusing reactor. $Q_{TEAOH}/Q_{Fe}x_{+}$ = Base : iron precursor flowrate ratio, $Q_{sep}/Q_{Fe}x_{+}$ = separating stream: iron precursor flowrate ratio, [*TEAOH*] = base stream concentration. The iron precursor flowrate was $Q_{Fe}x_{+}$ = 0.1 ml/min with a total iron concentration of 0.1 M. The residence time was based on the capillary coil volume (the volume of the flow focusing mixer was negligible) and the total flowrate.

Experiment	Q_{TEAOH}	Q_{sep}	[TEAOH]	Т	Residence	Fouling after
/Sample	$Q_{Fe^{x+}}$	$Q_{Fe^{x+}}$	(M)	(°C)	time (min)	the mixer chip
1	10	2	0.570	20	9.30	None
2	10	4	0.570	20	8.06	None
3	10	7	0.570	20	6.72	None
4	10	2	0.114	20	9.30	Minor
5	10	4	0.114	20	8.06	Minor
6	10	7	0.114	20	6.72	Minor
7	10	2	0.057	20	9.30	Minor
8	10	4	0.057	20	8.06	Minor
9	10	7	0.057	20	6.72	Minor
10	20	2	0.570	20	5.26	None
11	40	2	0.570	20	2.81	None
12	1	2	0.570	20	30.24	Major
13	1	6	0.570	60	15.12	Major
14	10	6	0.570	60	7.11	None
15	20	6	0.570	60	4.48	None

7

8 System wide non-fouling behavior was obtained in all cases with the maximum flowrate and 9 maximum concentration of base (experiments 1-3,10,11,14,15) due to the accelerated 10 redispersion of agglomerated NPs under the effect of TEA⁺. For $Q_{sep}/Q_{Fe^{x+}} = 2$, and [TEAOH] = 0.57 M, the reactor was operated for 4 consecutive 1.5 h runs, 11 stopping only to refill the syringe pumps, without cleaning in between and without signs of fouling 12 appearing at any part of the mixer or the coupled capillary coil. For lower base concentrations as 13 in experiments 4.5 and 6 a thin line of particle deposition emerged at the capillary after the mixer 14 becoming clearly visible after 10 min of operation. In experiments 7, 8 and 9, the result was similar, 15

1 but deposition happened shortly after the experiment started. In experiments 10 and 11 which 2 aimed to improve the mixing by increasing the sheath:core flowrate ratio, no particle flakes were 3 observed and no fouling seemed to occur along the reactor. However, in the collected samples only a non-magnetic pale yellow sediment was present. The yellow sediment likely consisted of 4 iron hydroxides or oxyhydroxides, produced as a result of the initial nucleation taking place at a 5 very high pH value⁵⁰. At 60 °C, the hydrodynamic behavior was similar to what was observed at 6 7 room temperature. Equal reactant flowrates in experiment 13 led to fouling downstream the mixer, 8 while in experiment 14 the reactor was operated without any signs of fouling for several 1.5 h 9 windows. Finally, experiment 15 also led to non-fouling operation with magnetically separable 10 product. In that case however the supernatant was not clear, possibly indicating incomplete 11 reaction due to short residence time.

12 In all cases where fouling was observed, it occurred in the short capillary section connecting the

chip mixer with the capillary coil. No fouling was observed in the capillary coil, which indicates the onset of particle stabilization that prevents attractive interactions between the wall and the particles happens at the beginning of the coil section. In addition, secondary Dean flows induced by the coil, potentially promote the dispersion of the flake like agglomerates and prevent their sedimentation, thus aiding deagglomeration. Photos of fouling occurrence at the capillary after the flow focusing mixer section can be found in the SI section 6.

19 The particle quality was evaluated via XRD and TEM. For all room temperature experiments, 20 although XRD confirmed the presence of magnetite, TEM analysis revealed that a secondary 21 solid phase was also produced, which appeared as a lighter colored matrix that engulfed the primary 8 nm particles (Figure 6a). The secondary phase likely consisted of iron 22 23 hydroxides/oxyhydroxides that have been reported to arise in laminar flow conditions⁵¹. In highly 24 basic conditions (such as in cases 1-3) the secondary phase possibly evolves into the yellow sediments observed within 48 h after sample collection, leaving a dark brown dispersion of 25 26 magnetite/maghemite nanoparticles in the supernatant. At 60 °C, only magnetite/maghemite 27 nanoparticles were produced (shown in Figure 6b for case 14), as verified by XRD, which can be attributed to elevated temperature simultaneously accelerating mixing via diffusion and promoting 28 evolution of intermediate species to magnetite⁴⁸, demonstrating the superiority of the elevated 29 30 temperature synthesis of IONPs.

31

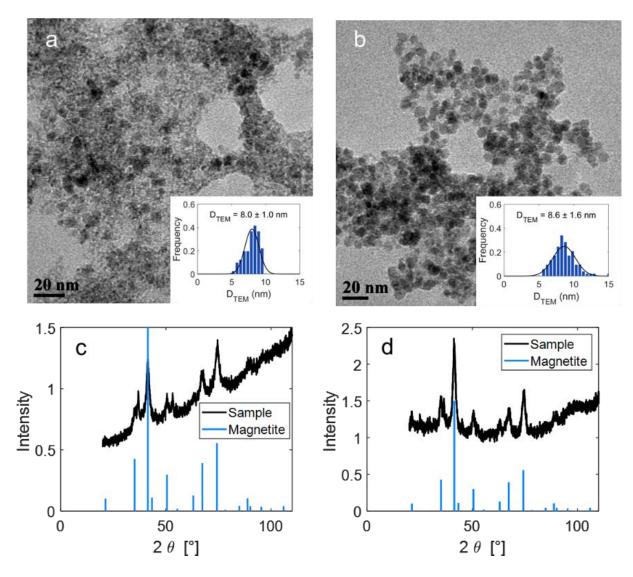
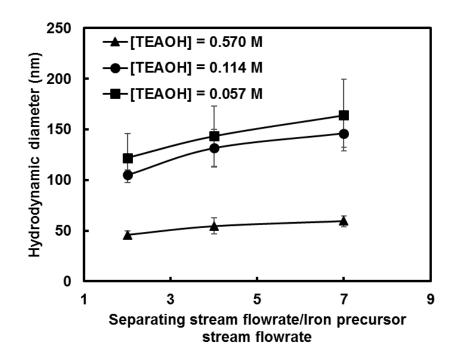


Figure 6: Characterisation of IONPs produced with the triple stream 3D flow focusing reactor. a)
TEM image of sample 1, b) TEM image of sample 14. Both TEM images contain histograms with
the particle size distribution. c) XRD spectrum of sample 1, d) XRD spectrum of sample 14. For
experimental conditions see Table 2.

2

8 IONPs produced with the flow focusing reactor that were magnetically decanted and redispersed 9 in water shortly after collection, exhibited excellent colloidal stability for days afterwards without 10 any additives (with the exception of experiments 10-13). DLS analysis for samples 1-9 directly 11 after collection showed that the hydrodynamic diameters of the particles were in the range 40-12 170 nm, indicating that each particle is a stabilized nanosied agglomerate of smaller particles. 13 While no clear relationship was found between reactor hydrodynamics and the final colloidal

1 stability, DLS analysis of the particle dispersions obtained from experiments 1-9 directly after 2 collection was used as means of assessing the particle stabilisation with the assumption that 3 faster or more effective stabilization would break-up the initially formed agglomerates more efficiently leading to smaller particles. The following trends were observed: in experiments 1-3, 4 the hydrodynamic diameter increased with increasing separating stream flowrate, indicating that 5 6 the stabilisation of the particles is dependent on the initial mixing conditions, with slower mixing 7 allowing larger agglomerates to form before stabilisation starts. A similar trend was seen for 8 experiments 4-9, but cannot be considered as conclusive since the size slightly decreased over 9 the course of the following 30 min, indicating that particle stabilisation was not complete inside 10 the reactor. Further investigating cases 4-6, it was found that after the sample was collected, 11 further mixing (e.g., by collecting the sample in a stirred vessel) had little effect on the evolution 12 of deagglomeration. The second trend observed for experiments 1-9 is that for a given residence time inside the reactor, higher base (and thus TEA⁺) concentration, gives smaller particles by 13 14 accelerating the initial deagglomeration step.



15

Figure 7: DLS analysis of samples 1-9, showing aggregate size variation with separating stream flowrate/iron precursor stream flowrate ratio and TEAOH concentration.

18

19 4. Conclusions

1 This work presented the design of a millifluidic reactor that was able to continuously produce 2 magnetite/maghemite nanoparticles via rapid co-precipitation for long time time periods, utilising 3 a combination of multiple flow focusing and fast particle deagglomeration by taking advantage of the stabilizing effect of excess TEAOH, to simultaneously prevent two different fouling 4 mechanisms in the reactor system. A novel millifluidic mixer was designed to prevent fouling by 5 confining the reactive flow via 3D flow focusing, and by introducing a separating stream to prevent 6 7 premature reaction and fouling at the mixing point where the initial nucleation step takes place. 8 Informed by CFD simulations, the classic cross-shaped flow focusing junction was adapted to 9 enable a 3D flow focusing pattern similar to co-axial configurations for a wide sheath stream 10 Reynolds number window within the laminar flow regime, by identifying the optimal height ratios 11 of the core stream, lateral and main (outlet) channels. Especially important was the reduction of 12 the main channel height compared to the lateral one, as it introduced an additional confinement 13 effect, critical for achieving a coaxial-like flow pattern in a planar device. The chosen 3D flow 14 focusing geometry allowed the incorporation of two sequential 3D cross shaped junctions in a 15 very small footprint (with a distance of 300 μ m between them) that facilitated the use of a separating stream to prevent particle formation from happening at the confluence point of the 16 reactant streams, which otherwise took place due to rapid precipitation of particles. 17

Fouling prevention downstream the triple stream 3D flow focusing mixer was also addressed, 18 19 since it is not always practical to maintain a straight flow focusing channel that prevents particle 20 wall interactions, especially when long residence times or heating is a requirement for the 21 reactions taking place. Thus, the IONP co-precipitation reaction used for demonstrating the 22 effectiveness of the mixer was optimised, so as to avoid fouling throughout the following 23 deagglomeration/stabilization step that took place in a millifluidic capillary coil following the flow 24 focusing mixer. Fouling throughout the coil was prevented using concentrated TEAOH, which 25 accelerated the stabilisation of produced particles and prevented their sedimentation. At room temperature, the high pH value (due to the concentrated base) gave rise to nonmagnetic by-26 27 products which required an additional aging step to separate the magnetic IONP colloidal solution. 28 At 60 °C magnetic particles were obtained without need for byproduct separation and were of 29 superior quality. The importance of the designed triple stream 3D flow focusing device should not be overshadowed by the fast stabilization described above, since fouling at the mixer did occur 30 31 even at high base concentrations, because of the difference between the initial precipitation and 32 the stabilization kinetics.

1 The reported approach may be of more general appeal in particle synthesis. The designed mixer, 2 offering fouling prevention due to hydrodynamics can potentially find use in other solid producing 3 systems that suffer from fouling. In addition, since the design can operate in a wide range of 4 Reynolds numbers in the laminar flow regime, it can enable the use of sub-100 μ m-scale mixers 5 based on the same principles that would allow even higher mass transfer rates when these are 6 required. Finally, the ease and reproducibility of manufacturing may further enhance the 7 applicability of the design.

8

9 Supplementary material

The following are available: 1. Preliminary experiments showing fouling in simple flow focusing configurations. 2. Simulation details of 3D flow focusing geometry. 3. Reactor manufacturing details. 4. Videos of nanoparticle synthesis in the 3D flow focusing reactor assembly. 5. Fouling in the triple stream 3D flow focusing mixer. 6. Fouling after the triple stream 3D flow focusing mixer.

15

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