

**Blood GFAP as an emerging biomarker in brain and spinal cord disorders**

Ahmed Abdelhak<sup>1,2</sup>, Matteo Foschi<sup>3,4</sup>, Samir Abu-Rumeileh<sup>2,5</sup>, John K. Yue<sup>6</sup>, Lucio D'Anna<sup>7,8</sup>, Andre Huss<sup>2</sup>, Patrick Oeckl<sup>2,9</sup>, Albert C. Ludolph<sup>2,9</sup>, Jens Kuhle<sup>10,11,12,13</sup>, Axel Petzold<sup>14,15</sup>, Geoffrey T. Manley<sup>6</sup>, Ari J. Green<sup>1</sup>, Markus Otto<sup>2,5</sup> and Hayrettin Tumani<sup>2,16,†</sup>

<sup>1</sup> Department of Neurology, University of California San Francisco (UCSF), San Francisco, CA, USA.

<sup>2</sup> Department of Neurology, University Hospital of Ulm, Ulm, Germany.

<sup>3</sup> Department of Neuroscience, Neurology Unit, S. Maria delle Croci Hospital – AUSL Romagna, ambito di Ravenna, Ravenna, Italy.

<sup>4</sup> Department of Medical and Surgical Sciences, Alma Mater Studiorum, University of Bologna, Bologna, Italy.

<sup>5</sup> Department of Neurology, Martin-Luther-University of Halle-Wittenberg, Halle (Saale), Germany

<sup>6</sup> Department of Neurosurgery, University of California San Francisco (UCSF), San Francisco, CA, USA.

<sup>7</sup> Department of Stroke and Neuroscience, Charing Cross Hospital, Imperial College London NHS Healthcare Trust, London, UK.

<sup>8</sup> Department of Brain Sciences, Imperial College London, London, UK.

<sup>9</sup> German Center for Neurodegenerative Diseases (DZNE e.V.) Ulm, Germany

<sup>10</sup> Multiple Sclerosis Centre, Neurologic Clinic and Policlinic, Departments of Head, Spine and Neuromedicine, University Hospital Basel and University of Basel, Basel, Switzerland

<sup>11</sup> Research Center for Clinical Neuroimmunology and Neuroscience (RC2NB), University Hospital and University of Basel, Basel, Switzerland.

<sup>12</sup> Departments of Biomedicine and Clinical Research, University Hospital Basel, University of Basel, Basel, Switzerland.

28 <sup>13</sup> Department of Clinical Research, University Hospital Basel, University of Basel, Basel,  
29 Switzerland.

30 <sup>14</sup> The National Hospital for Neurology and Neurosurgery, Queen Square, UCL Institute of  
31 Neurology, Moorfields Eye Hospital, London, UK.

32 <sup>15</sup> Neuro-ophthalmology Expert Center, Amsterdam UMC, Amsterdam, The Netherlands.

33 <sup>16</sup> Fachklinik für Neurologie Dietenbronn, Schwendi, Germany.

34 †**e-mail:** Hayrettin.tumani@uni-ulm.de

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### 36 **Abstract**

37 Blood-derived biomarkers for brain and spinal cord diseases are urgently needed. The  
38 introduction of highly sensitive immunoassays induced a rapid increase in the number of  
39 potential blood-derived biomarkers for diagnosis and monitoring of neurological disorders In  
40 2018, the FDA authorised a blood test for clinical use in the evaluation of mild traumatic  
41 brain injury (TBI). The test measures levels of the astrocytic intermediate filament glial  
42 fibrillary acidic protein (GFAP) and neuroaxonal marker ubiquitin carboxy-terminal  
43 hydrolase L1. In TBI, blood GFAP levels are correlated with clinical severity and extent of  
44 intracranial pathology. Evidence also indicates that blood GFAP levels hold the potential to  
45 reflect, and might enable prediction of, worsening of disability in individuals with  
46 progressive multiple sclerosis. A growing body of evidence suggests that blood GFAP levels  
47 can be used to detect even subtle injury to the CNS. Most importantly, the successful  
48 completion of the ongoing validation of point-of-care platforms for blood GFAP might  
49 ameliorate the decision algorithms for acute neurological diseases, such as TBI and stroke,  
50 with important economic implications. In this Review, we provide a systematic overview of  
51 the evidence regarding the utility of blood GFAP as a biomarker in neurological diseases. We  
52 propose a model for GFAP concentration dynamics in different conditions and discuss the  
53 limitations that hamper the widespread use of GFAP in the clinical setting. In our opinion, the

54 clinical use of blood GFAP measurements has the potential to contribute to accelerated  
55 diagnosis and improved prognostication, and represents an important step forward in the era  
56 of precision medicine.

## 57 **[H1] Introduction**

58 In 2018, the FDA authorised the use of a blood test for glial fibrillary acidic protein (GFAP)  
59 and ubiquitin carboxy-terminal hydrolase L1 (UCH-L1) in mild traumatic brain injury (TBI),  
60 crowning a long success story of CNS-driven blood biomarker development<sup>1-3</sup>. Initial efforts  
61 to identify fluid biomarkers for neurological diseases focused on the cerebrospinal fluid  
62 (CSF) as, compared with blood, CSF is closer to the brain extracellular space and contains  
63 higher concentrations of CNS-derived proteins<sup>4</sup>. The establishment of fourth-generation  
64 immune assays in the last decade<sup>3,5</sup> brought the possibility of quickly obtaining rapid and  
65 robust protein biomarker measurements from blood samples<sup>3</sup>, opening up new perspectives in  
66 the field of CNS-derived markers. For example, levels of classic CSF biomarkers of  
67 neuroaxonal damage, such as neurofilament light chain (NfL)<sup>5</sup>, phosphorylated-tau 217<sup>6</sup>, and  
68 UCH-L1<sup>7</sup> can now be readily quantified in blood, indicating that these markers hold potential  
69 for use in diagnosis and monitoring of disease activity, and as surrogate endpoints for  
70 treatment trials. The literature on the utility of blood GFAP as a biomarker is also growing,  
71 reinforcing the large body of published data on CSF GFAP<sup>3,8-14</sup>. The evaluation of blood  
72 levels of GFAP has the potential to enable the in vivo longitudinal evaluation of different  
73 aspects of the astrocytic response in several neurological disorders. Here, we provide an up-  
74 to-date review of the analytical aspects, current evidence, perspectives, and limitations of  
75 blood GFAP as a biomarker, with the purpose of outlining how to refine its application in the  
76 diagnosis and monitoring of neurological diseases.

## 77 **[H1] GFAP biology and analysis**

78 Astrocytes represent around 30%–40% of the cells in the CNS<sup>15</sup>, form an integral part of the  
79 blood–brain barrier (BBB) and establish numerous interactions with other cells in the nervous  
80 system, including neurons. Astrocytes are central to the normal function of synapses and  
81 contribute to axonal metabolic maintenance through the regulation of ion homeostasis<sup>16</sup> (Fig.  
82 1). GFAP is the signature intermediate filament of astrocytes<sup>17</sup>. GFAP is a type-III  
83 intermediate filament and human GFAP comprises 432 amino acids, which are encoded by a  
84 gene on chromosome 17q21.1–q25. The filament is expressed in mature astrocytes in the  
85 grey and white matter, the cerebellum, the subventricular and subgranular zone, and Mueller  
86 cells in the retina<sup>18</sup>. GFAP is also expressed in the periphery by Schwann cells, mature glial  
87 cells in the gut, hepatic stellate cells, and other non-neural cells<sup>18,19</sup>. To date, evidence  
88 indicates that ten splice-isoforms of GFAP —  $\alpha$ ,  $\beta$ ,  $\delta$ ,  $\zeta$ ,  $\kappa$ ,  $\Delta 135$ ,  $\Delta 164$ ,  $\Delta$ exon6 and  $\Delta$ exon7  
89 — are expressed in the nervous system<sup>20</sup>. The isoform that is most abundantly expressed and  
90 most often analysed in the literature is GFAP $\alpha$ <sup>21</sup>.

91 Several sensitive enzyme-linked immunosorbent assays (ELISA), electrochemiluminescence  
92 (ECL), and fluorescence-based methods for the detection of GFAP in body fluids (for  
93 example, CSF, vitreous fluid and amniotic fluid) are commercially available<sup>3,22</sup>. However,  
94 detection of GFAP in the blood has historically been a challenge, as the available ELISA tests  
95 cannot reliably detect such low concentrations of GFAP. When high levels of GFAP in the  
96 CSF are observed (for example, in individuals with TBI<sup>23</sup> or neuromyelitis optica  
97 exacerbation<sup>24</sup>), detection of GFAP in the blood is usually possible with ELISA<sup>3</sup>. The  
98 development of highly sensitive assays, such as single-molecule arrays (Simoa), has enabled  
99 the detection of GFAP in the blood of healthy individuals and individuals with different  
100 neurological diseases<sup>25</sup>. Furthermore, the detection of blood GFAP is now possible with a  
101 portable, point-of-care platform that can deliver results within 15 minutes<sup>26</sup>.

102 The mechanisms underlying drainage of GFAP and its breakdown products into the blood  
103 under pathological conditions seem to be complex and are a matter of continuing debate.  
104 Evidence indicates that drainage is likely to result from a combination of bulk flow into the  
105 blood via arachnoid villi, flow along the glymphatic system and the cervical lymph nodes,  
106 and continuous bidirectional fluid exchange at the barriers of the CNS (that is, the BBB and  
107 blood–CSF barrier)<sup>27-29</sup>. According to the available data, GFAP is stable in the blood (for at  
108 least five freeze–thaw cycles)<sup>30</sup>; however, a thorough characterisation of pre-analytical  
109 confounders and an aggregation-related ‘hook effect’ [G] remains to be completed. The hook  
110 effect is partially caused by the formation of protein aggregates that contribute to the  
111 extraordinary long-term stability of GFAP. These aggregates can last for millennia at ambient  
112 temperature, as exemplified by the Heslington brain<sup>31</sup>. The formation of pathological GFAP  
113 aggregates in vivo can accompany lethal neurological disorders such as Alexander disease<sup>2</sup>.

#### 114 **[H1] Acute CNS injury**

#### 115 ***[H2] Traumatic brain injury***

116 TBI is a common cause of disability worldwide, mostly among young adults<sup>32</sup>. The current  
117 standard of care requires the prompt evaluation of TBI severity; however, this evaluation  
118 relies on physical (for example, the Glasgow Coma Scale (GCS)) and radiological (head CT)  
119 tools that have several limitations. For example, GCS scores cannot be used to assess severity  
120 of TBI in patients who are sedated for intubation. Moreover, head CT, which has long been  
121 the clinical standard for the radiographic detection of TBI in the emergency department, can  
122 result in unnecessary exposure to ionising radiation if used indiscriminately, especially in  
123 young individuals with mild TBI (mTBI)<sup>33</sup>. These limitations have led to the investigation of  
124 a range of astroglial and neuronal biomarkers, including S100-beta calcium-binding protein  
125 (S100B), GFAP and UCH-L1, with the aim of improving the accuracy of TBI diagnosis and  
126 the associated decision-making process<sup>34</sup>.

127 [H3] Diagnosis

128 The results of key studies of blood GFAP levels in TBI are summarised in Table 1. In a study  
129 of 584 participants with mild-to-moderate TBI, elevated levels of serum GFAP were detected  
130 within 1 hour of injury, compared with participants with non-TBI general trauma. GFAP  
131 levels in the group of participants with TBI peaked at 20 hours post-injury, and finally  
132 declined slowly until 72 hours post-injury<sup>35</sup>. Bazarian et al. performed a large multicentre  
133 observational study of more than 1,900 participants with mild-to-moderate TBI (the ALERT-  
134 TBI study), and found that a pre-specified cut-off value of 22 pg/mL for serum GFAP, in  
135 addition to serum UCH-L1 levels above 327 pg/ml, was able to predict the presence of  
136 intracranial injuries on head CT with an area under the receiving operator characteristic curve  
137 (AUC) of 0.98<sup>36</sup>. This finding contributed to the FDA authorization to market the first blood-  
138 based biomarker for the prevention of unnecessary CT radiation in individuals with suspected  
139 TBI in 2018<sup>1</sup>. In a more recent study, serum GFAP levels were used to discriminate  
140 participants with mTBI from age-matched participants without intracranial traumatic  
141 pathology (AUC= 0.69). This study used a higher GFAP cut-off value (0.23 ng/mL) than the  
142 study by Bazarian et al.<sup>36</sup> and involved concomitant assessment of serum S100B (AUC=  
143 0.84) and neurogranin (AUC= 0.77)<sup>37</sup>. Another study compared the ability of serum and  
144 plasma GFAP to discriminate between participants with mTBI with and without acute head  
145 CT abnormalities<sup>38</sup>. The AUC values were similar for serum (AUC = 0.81) and plasma (AUC  
146 = 0.79) GFAP although neither plasma nor serum levels were able to adequately predict 1-  
147 week functional outcomes.

148 Some studies have found that, in head-to-head comparisons with other serum biomarkers (for  
149 example, UCH-L1, S100B and NfL), GFAP is the best marker for discriminating individuals  
150 with TBI and head CT abnormalities from individuals with TBI and normal head CT  
151 scans<sup>35,39-41</sup>. For example, the large (more than 2,800 participants) prospective multicentre  
152 Collaborative European NeuroTrauma Effectiveness Research (CENTRE-TBI) study

153 reported an AUC of 0.89 for GFAP<sup>40</sup>; the second highest AUC (0.83) was for UCH-L1.  
154 Moreover, levels of GFAP scaled with clinical severity and care path intensity (emergency  
155 department < ward admission < intensive care unit) especially in individuals with mTBI<sup>40</sup>. In  
156 another example, blood GFAP levels were better than blood NfL levels at discriminating  
157 between participants with normal and abnormal head CT scans; GFAP had an AUC of 0.77,  
158 compared with 0.65 for NfL<sup>42</sup>. Similarly, blood GFAP outperformed blood S100B in  
159 discriminating between participants with and without lesions on CT, both at 0–8 hours and  
160 12–32 hours post-injury (0–8h: GFAP AUC = 0.89 and S100B AUC = 0.63. 12–32h: GFAP  
161 AUC = 0.94 and S100B AUC = 0.72)<sup>41</sup>.

162 Importantly, evidence suggests that blood GFAP levels are sensitive to subclinical  
163 intracranial pathologies that are not visible using head CT scans. Indeed, in the 18-centre  
164 Transforming Research and Clinical Knowledge in TBI (TRACK-TBI) study (study years  
165 2014–2018), plasma GFAP was used to identify participants with MRI abnormalities from a  
166 prospective cohort of 450 participants with normal head CT scans after mTBI<sup>26</sup>. plasma  
167 GFAP concentration measured within 24 hours of injury was significantly higher among the  
168 120 participants with positive MRI scans than among the 330 participants with negative MRI  
169 scans, with an AUC of 0.78. In this cohort, participants with diffuse axonal injuries detected  
170 with MRI had higher levels of plasma GFAP than participants with other MRI lesion types,  
171 suggesting that changes in GFAP levels are specific to some cellular pathologies. Moreover,  
172 participants with mTBI, and negative CT and MRI scans had higher median plasma GFAP  
173 concentrations than healthy control participants (74.0, pg/mL versus 8.0 pg/mL), suggesting  
174 the presence of subtle and/or microscopic glial damage not detectable with MRI<sup>26</sup>. These  
175 findings provide the impetus for future translational and clinical applications of GFAP to  
176 bridge the gap between molecular changes and the structural injury that is visible with  
177 neuroimaging tools. Furthermore, they suggest that GFAP could be used as a triage tool to

178 obtain short-interval scans and much-needed follow-up care for patients with negative initial  
179 imaging results, as the subtle and/or subclinical effects of TBI are often missed in a field  
180 without formal guidelines for clinical follow-up.

181 In one study, blood GFAP levels were higher in 73 participants with acute orthopaedic  
182 trauma than in 93 participants with mTBI and a negative head CT scan ( $p = 0.026$ ) on arrival;  
183 however, no differences between the two groups were observed on the following days<sup>43</sup>. In a  
184 large prospective study, initial (4 hours after injury) blood GFAP levels were also able to  
185 discriminate participants with body and head trauma with concussion from participants with  
186 non-concussive trauma in both children and adults with an AUC of 0.80 and 0.76,  
187 respectively; the highest GFAP levels were observed in participants with concussive head  
188 trauma<sup>44</sup>. An early increase in blood GFAP levels after head concussive trauma could reflect  
189 a mechanical disruption of the BBB that occurs in parallel with the observed transient and  
190 chronic GFAP overexpression following single and multiple concussions, respectively<sup>45,46</sup>.  
191 The temporal cascade of plasma GFAP following TBI was investigated using a small subset  
192 of 34 participants from the Citicoline Brain Injury Treatment Trial (COBRIT): plasma GFAP  
193 was maximal at day 0, remained elevated at 30 and 90 days after TBI, and was excellent in  
194 discriminating participants with complicated (for example, CT-positive) mTBI from healthy  
195 control participants (AUC = 0.94)<sup>47</sup>.

196 Age-related differences in the ability of GFAP to detect TBI should be considered when  
197 interpreting these findings. The ability of GFAP to identify participants with intracranial  
198 trauma on CT from a group of participants with mTBI declined with increasing age in a  
199 subset of 169 participants from the three-centre TRACK-TBI pilot cohort (2010–2014; AUC  
200 = 0.73 in participants aged > 60 years; AUC = 0.93 in participants aged < 40 years)<sup>48</sup>. The  
201 study also examined the ability of plasma levels of phosphorylated-tau and total-tau to  
202 identify participants with intracranial trauma on CT; however the AUC for these markers did



203 not differ majorly according to age. These observations support evidence that other glial  
204 biomarkers (for example, S100B) have reduced specificity in older individuals with TBI  
205 compared with younger individuals with TBI<sup>49</sup>. This effect of age could result from incipient  
206 neurodegeneration, different anatomical location and type of injury in older individuals, or  
207 differences in the sensitivities of the assays or imaging methods used<sup>48</sup>.

### 208 [H3] Prognosis

209 In one study, the prognostic utility of serum GFAP in mTBI was studied by recording return  
210 to work status and Glasgow Outcome Scale Extended (GOSE) scores at 6-months post-  
211 injury<sup>50</sup>. Participants with incomplete return to work had higher GFAP levels at hospital  
212 admission than participants with complete return to work. However, in multivariate analysis,  
213 GFAP was not predictive for outcome determined by GOSE or complete return to work. The  
214 BIO-ProTECT study found an association between serum levels of GFAP and S100B and  
215 poor outcome, as defined by GOSE scores at 1, 4 and 6 months post-injury<sup>51</sup>. The prognostic  
216 capacity of a model containing participant variables (age, sex, GCS score) and CT score was  
217 consistently improved by the incorporation of biomarker data, which were available for 566  
218 out of 882 participants<sup>51</sup>. In another study of 243 participants with moderate to severe TBI,  
219 the addition of blood GFAP, UCH-L1, and microtubule-associated protein-2 (MAP2)  
220 measurements to known clinical predictors (age, sex, GCS score) improved the prediction of  
221 6-month favourable outcome compared with the known clinical predictors alone<sup>52</sup>. GFAP  
222 was the most promising of the blood markers: the AUC for GFAP and clinical predictors was  
223 0.78 and the AUC for clinical predictors alone was 0.69. A comprehensive longitudinal  
224 assessment identified a biphasic profile of serum GFAP in participants with moderate and  
225 severe TBI. At enrolment, GFAP levels were elevated in participants with TBI compared with  
226 control participants. This initial increase was followed by a reduction over the following 6  
227 months after injury, but an increase over the subsequent years<sup>53</sup>. A study in 155 veterans with  
228 a mean age of 79 years also demonstrated the reliability of GFAP combined with other

229 biomarkers (pTAU, NfL, IL-6, and TNF $\alpha$ ) for the differentiation of participants with past  
230 medical history of TBI (up to decades before blood sampling) and cognitive impairment from  
231 those with history of previous TBI but no cognitive impairment (AUC = 0.85)<sup>54</sup>. The same  
232 panel of biomarkers was able to differentiate participants with cognitive impairment and TBI  
233 from those with cognitive impairment and no TBI (AUC = 0.88).

234 In summary, GFAP might represent a reliable proxy for small and diffuse structural damage  
235 that is not easily assessed with CT, and even MRI, and therefore, it could be employed as a  
236 surrogate marker of intracranial pathology. We postulate that adding measurement of blood  
237 GFAP (among other biomarkers) to the diagnostic process might provide a more accurate  
238 definition of ‘mild’, ‘moderate’, and ‘severe’ TBI than clinical classification alone, which is  
239 frequently hampered by the caveat of impaired consciousness. A point-of-care analysis  
240 platform for serum GFAP<sup>55</sup> in ambulances might guide the triage of patients with TBI.

## 241 ***[H2] Traumatic spinal cord injury***

242 A few studies have tested serum GFAP as a marker of the presence and severity of traumatic  
243 spinal cord injury (SCI) in patients who have had a traumatic injury<sup>56,57</sup>. GFAP levels seem to  
244 correlate with the severity of SCI, suggesting that use of GFAP as a biomarker of  
245 neurological outcome (segmental motor recovery) is clinically feasible. Specifically, a  
246 biochemical model that included both CSF and serum S100B, GFAP and IL-8, measured at  
247 24 h after injury, was able to correctly predict the American Spinal Injury Association  
248 (ASIA) grade — an accurate predictor of neurological outcome — in a consistent proportion  
249 (89%) of 27 patients with SCI<sup>57</sup>. Remarkably, the combined evaluation of these three  
250 biomarkers outperformed the ASIA grade in predicting segmental motor recovery at 6  
251 months. Following these results, another cohort study reported significantly higher serum  
252 levels of GFAP in individuals with severe (ASIA grade A) SCI than in individuals with  
253 moderate SCI (grade B;  $p < 0.05$ ), mild SCI (grade C;  $p < 0.01$ ) or controls (individuals with

254 vertebral fractures but without neurological symptoms;  $p < 0.01$ )<sup>56</sup>. In addition, individuals  
255 with SCI who died postoperatively had significantly higher serum GFAP levels in the first 24  
256 h post-injury than individuals with SCI who survived ( $p < 0.05$ )<sup>56</sup>. Last, following complex  
257 surgery of the thoracic aorta, serum GFAP levels were considerably higher in participants  
258 with SCI than in participants without SCI; however, these comparisons failed to reach  
259 statistical significance after adjusting for multiple testing, probably owing to the limited  
260 number ( $n = 3$ ) of postoperative SCI participants included in the study<sup>58</sup>. Although evidence  
261 is so far very limited, the measurement of serum GFAP levels could provide an avenue to  
262 determine the ‘biological’ severity of injury and predict neurological outcome in SCI, thereby  
263 supporting clinical decision-making regarding the identification of patients who are likely to  
264 benefit from surgery.

## 265 ***[H2] Cerebrovascular accidents***

### 266 **[H3] Diagnosis**

267 Biomarkers reflecting the underlying pathophysiological changes associated with  
268 cerebrovascular brain injury could improve the management and prognostic assessment of  
269 patients with acute stroke<sup>59</sup>. The results of previous studies suggest that serum GFAP could  
270 be employed as a biomarker of glial injury indicative of intracerebral haemorrhage in patients  
271 presenting with acute stroke symptoms<sup>60-65</sup>. As a result of sudden BBB disruption and  
272 subsequent brain injury, GFAP becomes rapidly detectable in blood during the hyperacute  
273 phase of intracerebral haemorrhage. Accordingly, studies have found serum levels of GFAP  
274 to be substantially higher in patients with intracerebral haemorrhage than in patients with  
275 ischemic stroke<sup>60,65</sup>. In a multicentre cohort study, the analysis of plasma GFAP levels in 205  
276 participants using electrochemiluminometric immunoassays within 4.5 hours of symptom  
277 onset differentiated participants with intracerebral haemorrhage from participants with  
278 ischemic stroke and stroke mimics<sup>66</sup>. Specifically, use of a GFAP cut-off of 0.29  $\mu\text{g/L}$

279 enabled the distinguishment of intracerebral haemorrhage from acute ischaemic stroke and  
280 stroke mimics with a sensitivity of 84.2% and a specificity of 96.3% (AUC = 0.92).  
281 Interestingly, in the BE FAST II study, serum levels of GFAP obtained upon hospital  
282 admission were about 16 times higher in participants with intracerebral haemorrhage than in  
283 participants with acute ischaemic stroke. In the same study, participants with large lobar  
284 intracerebral haemorrhages had a higher median serum GFAP concentration than participants  
285 with small, deep intracerebral haemorrhages<sup>61</sup>.

### 286 [H3] Prognosis

287 A number of studies investigated the role of GFAP as a predictor of functional outcomes after  
288 acute ischaemic stroke<sup>67,68</sup>. In one such study, serum GFAP levels were measured using  
289 ELISA in 286 participants with ischemic stroke on the first day of admission and participants  
290 were followed up for a year<sup>68</sup>. After adjusting for all the established predictors (for example,  
291 stroke severity and infarct volume), multivariate analysis found that elevated GFAP levels on  
292 the first day of admission independently predicted poor functional outcomes during the 1-  
293 year follow-up. Furthermore, a robust body of evidence suggests that GFAP is a sensitive  
294 indicator of injury and a predictor of outcome in patients with subarachnoid haemorrhage. In  
295 one study, GFAP levels in 67 participants with subarachnoid haemorrhage were measured at  
296 hospital admission<sup>69</sup>. The mean GFAP serum concentration in these participants was 1.8-fold  
297 higher than the upper limit of the normal laboratory reference value. In addition, participants  
298 in a coma at the time of hospital admission had higher serum GFAP levels than conscious  
299 participants. In another study, serum GFAP levels remained high from day 1 to day 6 after  
300 subarachnoid haemorrhage<sup>70</sup>. Similar to ischemic stroke, blood GFAP concentration at  
301 admission could significantly predict poor outcomes after subarachnoid haemorrhage, as  
302 observed by Zheng et al. at 6 months after the event<sup>71</sup>. In another study, a secondary rise in  
303 CSF GFAP levels (~ day 7) in subarachnoid haemorrhage was related to complications,  
304 including the development of hydrocephalus and cerebral vasospasm<sup>72</sup>. Nevertheless,

305 longitudinal data regarding blood GFAP dynamics following subarachnoid haemorrhage are  
306 still lacking.

307 Overall, these findings indicate a valuable prognostic role for blood GFAP in patients with  
308 stroke, although an important limitation of diagnostic use of blood GFAP could be a low  
309 specificity for differentiating among stroke subtypes. In particular, in the setting of acute  
310 stroke symptoms, distinguishing between ischemic stroke and intracerebral haemorrhage and  
311 between stroke and stroke mimics is essential, especially for the correct identification of  
312 patients who could be eligible for time-dependent reperfusion therapies (intravenous  
313 thrombolysis, mechanical thrombectomy for large vessel occlusion). In this diagnostic  
314 context, the available data do not strongly support an imminent application of serum GFAP.

## 315 **[H1] Inflammatory CNS diseases**

### 316 ***[H2] MS***

317 MS was the disease that led to the discovery of GFAP by Lawrence F. Eng in 1971<sup>73</sup>. MS is a  
318 complex inflammatory and neurodegenerative disorder that affects more than two million  
319 people worldwide<sup>74</sup>. Therefore, efforts to identify a reliable and readily available biomarker  
320 that reflects disease severity and progression in MS are paramount to improving the clinical  
321 workup and guiding the therapeutic approach. A reliable blood biomarker for use in MS will  
322 need to show an association with clinical severity, disease activity, worsening disability, and  
323 treatment effectiveness.

324 Studies using ELISA or ECL assays to detect GFAP failed to identify significant differences  
325 between blood GFAP levels in participants with MS and participants with non-inflammatory  
326 neurological diseases<sup>75,76</sup>; these studies included a relatively small number of participants.  
327 However, subsequent studies using the more sensitive Simoa assay reported evidence of  
328 higher serum GFAP levels in participants with MS than in healthy control participants and

329 participants with non-inflammatory neurological diseases<sup>25,77,78</sup>. In particular, higher serum  
330 GFAP levels than controls were consistently reported in participants with progressive MS,  
331 whereas the results for the relapsing-remitting MS (RRMS) phenotype differed between  
332 studies<sup>25,78</sup>. In one study, samples collected after a recent clinical relapse (RRMS+) had a  
333 higher concentration of GFAP than samples from healthy control participants, but no  
334 significant difference in GFAP levels was observed between participants with stable MS  
335 (RRMS-) and healthy control participants<sup>77</sup>. The same study reported higher levels of GFAP  
336 in participants with RRMS+ than in participants with RRMS- (129.8 pg/mL and 112.9  
337 pg/mL, respectively,  $p < 0.012$ ), but with a substantial overlap between the two groups.

338 In agreement with the proposed pathological role of astrocytes in MS<sup>79-82</sup>, multiple studies  
339 have reported a correlation between blood GFAP concentration and severity of disability, as  
340 assessed by the expanded disability status score (EDSS)<sup>8,77,78,83-87</sup> (Table 2). Only a single  
341 study reported a positive correlation of blood GFAP concentration with disease duration<sup>78</sup>.  
342 Notably, higher GFAP levels were associated with a greater MRI lesion load in most of the  
343 reported studies<sup>25,78,87</sup>; blood GFAP levels also correlated with other markers of  
344 neurodegeneration (for example, NfL) and brain atrophy<sup>8,25,77,78,85</sup>. One study reported an  
345 association between disease-modifying treatment (DMT) and reduced levels of GFAP<sup>78</sup>,  
346 whereas all other studies found no change in GFAP levels associated with such  
347 treatment<sup>8,25,78,87</sup>. Another study assessed blood GFAP levels in patients receiving autologous  
348 hematopoietic stem cell transplantation and identified a paradoxical increase compared with  
349 baseline after the initiation of treatment<sup>86</sup>. A possible explanation for this finding could be the  
350 transient worsening of CNS inflammation following the administration of the  
351 chemotherapeutic agent busulfan, which constitutes part of the haematopoietic stem cell  
352 transplantation procedure and might cause intrinsic neurotoxicity<sup>86</sup>. A similar increase in  
353 GFAP concentration was observed in the context of neurotoxicity following immune effector

354 cell-associated neurotoxicity syndrome (ICANS) after chimeric antigen receptor (CAR)-T  
355 cell therapy<sup>88</sup>. The potential value of GFAP as a predictor of future relapses and disability  
356 progression over time has scarcely been explored in individuals with MS and in populations  
357 with heterogeneous characteristics. Indeed, although a study including fewer than 50  
358 participants with MS<sup>77</sup> failed to identify a prognostic value of blood GFAP levels,  
359 preliminary results from a larger trial (EXPAND) identified a higher risk (hazard ratio of  
360 1.96) of reaching an EDSS of 7.0 in participants with SPMS who had higher GFAP levels  
361 (>80<sup>th</sup> percentile) at baseline<sup>89</sup>.

## 362 ***[H2] Neuromyelitis optica spectrum disorder***

363 Neuromyelitis optica spectrum disorder (NMOSD) is a classical autoimmune inflammatory  
364 astrocytopathy<sup>90</sup>. Aquaporin 4 antibodies, among other mechanisms, induce astrocytic  
365 damage in NMOSD lesions and subsequently cause neuroaxonal damage<sup>91</sup>. Data regarding  
366 GFAP concentrations in NMOSD are limited but promising<sup>3</sup>. Even with the standard ELISA,  
367 which is less sensitive than the ECL or Simoa assays, higher CSF and serum GFAP levels  
368 were reported in participants with NMOSD than in healthy control participants or participants  
369 with MS<sup>76</sup>. These findings are supported by more recent results obtained using more sensitive  
370 assays<sup>3,77,85</sup> and suggest that blood GFAP could be used to distinguish between NMOSD and  
371 MS.

372 Furthermore, one study found that GFAP levels were higher in 33 participants with NMOSD  
373 than 16 participants with myelin oligodendrocyte glycoprotein antibody-associated disease  
374 (MOGAD), two diseases with similar clinical and radiological findings<sup>84</sup>. Similar to MS,  
375 evidence indicates that serum GFAP in NMOSD is higher shortly before (within 1 week) and  
376 during acute clinical relapses than during stable disease<sup>77,92</sup>. Data also indicate that serum  
377 GFAP levels correlate with EDSS score, most notably in younger patients<sup>77,85</sup>. The ratio  
378 between GFAP and NfL (GFAP:NfL) increased during NMOSD relapses and decreased

379 during MS relapses (AUC = 0.78)<sup>77</sup>, suggesting that this combination of markers could be  
380 used to distinguish between the two diseases. In contrast to MS and NMOSD, a correlation  
381 between GFAP levels and clinical severity was not observed in participants with MOGAD<sup>84</sup>.  
382 Finally, in a study that included 33 participants with NMOSD, immunomodulatory therapies  
383 (corticosteroids, azathioprine, tacrolimus, methotrexate, cyclophosphamide, cyclosporine) did  
384 not seem to influence serum GFAP concentrations in NMOSD<sup>77</sup>, most probably owing to the  
385 timing and relative ineffectiveness of the disease-modifying treatment used in this study.  
386 Additionally, evidence indicates that the main extent of astrocytic loss occurs during acute  
387 inflammatory exacerbation<sup>3,24,91</sup>. Nevertheless, the N-MOmentum study<sup>92</sup> demonstrated that  
388 GFAP levels between the NMOSD attacks were associated with risk of relapse and,  
389 therefore, could still be informative. Additionally, serum GFAP levels decreased by 12.9%  
390 from baseline in inebilizumab-treated participants with NMOSD, who did not show relapse  
391 over the follow-up period of the study<sup>92</sup>. The potential of serum GFAP as a possible treatment  
392 marker in NMOSD, including AQP4 seronegative disease, remains to be addressed in further  
393 studies.

394 In summary, GFAP might not be the most suitable marker for the differentiation of disease  
395 phenotypes in MS, or the monitoring of disease activity or treatment effectiveness, as blood  
396 levels of the marker in different subgroups seem to overlap substantially. However, several  
397 studies have reported an association between higher GFAP concentrations and PMS<sup>25,78,87</sup>.  
398 The consistent correlation of GFAP concentrations with clinical severity metrics suggest  
399 promising applications of the marker for exploring and monitoring relapse-independent  
400 progression in RRMS and PMS. However, in astrocytopathies, GFAP levels could be useful  
401 for the identification of patients with the highest relapse risk. Nevertheless, sufficiently  
402 powered prospective multicentre trials that aim to identify clear cut-off values are warranted  
403 to clarify some of these open questions.



## 404 **[H1] Neurodegenerative diseases**

405 Several studies have reported increased levels of CSF GFAP in the most common  
406 neurodegenerative diseases, including Alzheimer disease (AD), prion diseases,  
407 frontotemporal lobar degeneration (FTLD), Parkinson disease (PD), Parkinson disease  
408 dementia (PDD), and dementia with Lewy bodies (DLB)<sup>9,12,13,30</sup>. In contrast, only a few  
409 studies have explored levels of blood GFAP in these proteinopathies<sup>93-96</sup>, supporting the  
410 notion that more extensive investigations are needed to address this topic in detail.

## 411 ***[H2] Alzheimer disease***

412 In a study by Oeckl et al, blood GFAP levels were higher in participants with AD and in  
413 participants with DLB or PDD than in control participants, participants with behavioural  
414 variant FTD (bvFTD) or participants with PD<sup>30</sup>, whereas blood biomarker levels did not  
415 differ between control participants, participants with PD and participants with bvFTD<sup>30</sup>.  
416 Interestingly, CSF levels of GFAP were similar across all participants with neurodegenerative  
417 disease; the presence of higher blood GFAP levels (that is, higher CSF-to-serum rate) in  
418 participants with AD only was attributed to the heterogeneous topographical involvement of  
419 neuroinflammation and/or distinct types and patterns of astrogliosis occurring among  
420 neurodegenerative diseases<sup>13,30,93,96</sup>. Another study also reported increased blood GFAP  
421 levels in individuals with AD compared with cognitively healthy individuals<sup>97</sup>. Most  
422 interestingly, one study reported a correlation between plasma GFAP levels and cortical A $\beta$   
423 deposition in individuals with symptomatic AD<sup>98</sup>. Linear, positive associations were observed  
424 early in disease and diverged at more severe disease stages. These findings suggest that  
425 astrocytic damage or activation begins in the pre-symptomatic phase of AD and is associated  
426 with brain A $\beta$  load<sup>99</sup>.

## 427 ***[H2] The FTLD spectrum***

428 Compared with AD, the data on GFAP in the FTLD spectrum are inconsistent<sup>30,93,94,96</sup>. The  
429 analysis of plasma samples from the large, multicentric Genetic FTD Initiative (GENFI)  
430 cohort, including 469 participants with genetic FTD, revealed that GFAP levels were elevated  
431 compared with controls in symptomatic *GRN* mutation carriers but not in other FTD mutation  
432 carriers<sup>94</sup>. Moreover, biomarker changes were associated with the appearance of clinical  
433 symptoms and not detectable in presymptomatic mutation carriers<sup>94</sup>. In support of these  
434 findings, two other studies reported no changes in serum GFAP levels in participants with  
435 sporadic<sup>30</sup> and genetic bvFTD<sup>96</sup> compared with control participants without  
436 neurodegenerative diseases. However, in a large Italian cohort, serum GFAP was elevated in  
437 participants with all FTLD clinical syndromes (sporadic and genetic) compared with healthy  
438 control participants<sup>93</sup>. The one exception was the group of participants with progressive  
439 supranuclear palsy, who had similar serum GFAP levels to healthy control participants. In  
440 this study, the two FTLD groups with the most elevated GFAP were bvFTD and agrammatic  
441 variant PPA; these groups comprised participants with an unusually high percentage of *GRN*  
442 mutations (13% and 25%, respectively). However, no significant difference in serum GFAP  
443 levels was observed between these two groups of participants and participants with sporadic  
444 FTLD. Several studies are ongoing in this field, the results of which might help clarify the  
445 discrepancies between the studies discussed here.

## 446 **[H2] Alexander disease**

447 Blood GFAP levels are of particular interest in specific genetic neurodegenerative diseases,  
448 such as Alexander disease. Alexander disease is caused by various dominant heterozygous  
449 mutations in the gene encoding GFAP<sup>100</sup>. The pathological hallmark of the disease is the  
450 formation of cytoplasmic aggregations in astrocytes<sup>101</sup>. These aggregates contain mainly  
451 GFAP, along with other cytoplasmic proteins. In a mouse model, the degree of GFAP  
452 expression in the brain showed a clear, negative correlation with survival<sup>101</sup>. Owing to the

453 rarity of the disease, studies reporting GFAP levels in the blood of individuals with  
454 Alexander disease are limited. One study reported a modest, elevation of GFAP in the serum  
455 of participants with infantile and juvenile Alexander disease, but not in adult participants with  
456 the disease, compared with healthy controls<sup>102</sup>. This finding contrasts with the high  
457 concentrations of GFAP found in the CSF of participants with Alexander disease<sup>102-104</sup>. A  
458 possible explanation for this divergence is the ‘hook effect’ mentioned above, whereby  
459 GFAP aggregate formation might limit its detection in the blood<sup>3</sup>. Blood GFAP might still  
460 serve as a promising treatment outcome parameter for future trials in Alexander disease (for  
461 example, in trials of antisense oligonucleotide therapies), but further studies are necessary.

462

## 463 ***[H2] Other neurodegenerative diseases***

464 Data on blood GFAP in other neurodegenerative diseases is scarce. In one study, blood  
465 GFAP concentrations were not significantly elevated in participants with genetic or sporadic  
466 amyotrophic lateral sclerosis compared with healthy control participants<sup>96</sup>. Another study  
467 reported higher blood GFAP levels in participants with PD than in healthy control  
468 participants<sup>105</sup>. Blood GFAP was also elevated in participants with neurological  
469 manifestations of Wilson disease compared with healthy control participants and participants  
470 with pure hepatic manifestations of Wilson disease<sup>106</sup>. We found only one study that assessed  
471 blood GFAP levels in individuals with vascular cognitive impairment — no significant  
472 difference between healthy control participants and participants with vascular cognitive  
473 impairment was observed<sup>107</sup>. Notably, the studies discussed in this section used a range of  
474 analytical methods, including sensitive immunoassays with relatively low sensitivity (that is,  
475 standard ELISA).

## 476 ***[H2] Diagnosis and prognosis***

477 Regarding the potential for diagnostic use, serum GFAP has shown promising performance in  
478 neurodegenerative diseases. In the study by Oeckl et al., mentioned above, serum GFAP  
479 allowed a better distinction between participants with AD and control participants than CSF  
480  $A\beta_{1-42}$  (AUC 0.91 and 0.87, respectively). In the same study, serum GFAP distinguished  
481 between participants with AD and participants with bvFTD with an AUC of 0.85<sup>30</sup>.  
482 Moreover, blood GFAP was able to discriminate participants with PDD or DLB from control  
483 participants (AUC 0.87), participants with PD (AUC 0.88) and participants with bvFTD  
484 (AUC 0.79)<sup>30</sup>. In two other studies, plasma GFAP seemed to perform similarly to plasma  
485  $A\beta_{1-42}:A\beta_{1-40}$  for the identification of amyloid PET positivity in participants with AD<sup>108,109</sup>.  
486 Plasma GFAP predicted amyloid PET positivity with an accuracy of 88% (when combined  
487 with  $A\beta_{1-42}:A\beta_{1-40}$ , age and *APOE* genotype), and AD CSF biomarker profile with an  
488 accuracy of 79%–80%. These findings might be relevant to the early identification of  
489 candidates for clinical trials.

490 Remarkably, blood GFAP levels correlated negatively with Mini-mental State Examination  
491 (MMSE) score and performance in the major cognitive domains in participants with AD or  
492 FTD<sup>30,93,108</sup>. Accordingly, in participants with presymptomatic *GRN*-related FTD, higher  
493 plasma GFAP levels were associated with lower MMSE scores and brain volumes<sup>94</sup>. Higher  
494 GFAP concentrations correlated with faster rates of atrophy in the temporal lobes of  
495 participants with symptomatic *GRN*-related FTD. Therefore, elevated GFAP levels might be  
496 a characteristic of the late presymptomatic phase and relate to disease severity<sup>94</sup>. Even in  
497 cognitively healthy older adults at risk of cognitive impairment, blood GFAP levels were  
498 higher than in control participants and were associated with a higher risk of dementia<sup>99,108,110</sup>,  
499 conversion to AD<sup>109,111</sup>, a faster rate of cognitive decline<sup>110</sup>, and decline in hippocampal  
500 volume<sup>111</sup>. However, the prognostic value of GFAP in other neurodegenerative diseases was

501 poorly analysed: we could only find two cohorts of participants with sporadic CJD<sup>95</sup> and  
502 FTD<sup>93</sup>. In both studies, blood GFAP levels failed to predict survival.

503 In summary, the implementation of blood GFAP as a biomarker in neurodegenerative  
504 diseases, especially in combination with other markers, is promising approach for improving  
505 the precision of differential diagnosis. The association of higher blood GFAP concentration  
506 with faster cognitive decline, higher incidence of dementia, and a greater likelihood of  
507 conversion to symptomatic cognitive impairment in the presence of amyloid pathology and in  
508 *GRN* mutation carriers indicates potential prognostic applications. Nevertheless, blood GFAP  
509 levels might be affected by the heterogeneity of a disorder, the stage of disease, and abnormal  
510 GFAP aggregation formation. These raise concerns about the practical usefulness of the  
511 marker and must be considered during data interpretation. More research is needed to clarify  
512 the effects of these possible confounders. Furthermore, in older individuals with  
513 neurodegenerative diseases, the coexistence of large and small cerebral vessel comorbidities  
514 might further complicate inferences based on measurements of brain derived proteins in the  
515 blood.

## 516 **[H1] Brain tumours**

517 Similar to other structural neurological diseases, a large body of evidence indicates that blood  
518 GFAP levels are elevated in individuals with brain tumours. Some studies have found blood  
519 GFAP levels to be higher in participants with glioblastoma multiforme (GBM) than in  
520 healthy control participants, participants with other non-glial primary tumours and  
521 participants with brain metastasis<sup>112-116</sup>, whereas, in other studies, a statistically significant  
522 difference in blood GFAP levels between participants with high-grade (that is, GBM) and  
523 low-grade brain tumours was not detected<sup>117,118</sup>. In participants with GBM, blood GFAP  
524 concentration correlated with preoperative tumour volume<sup>112,113,115,119,120</sup>, volume of  
525 necrosis<sup>112,120</sup>, and GFAP expression levels in tumour tissue<sup>112,120</sup>. In one study, individuals

526 with systemic metastasis of myxopapillary ependymoma, a brain tumour with high GFAP  
527 expression, had very high blood GFAP concentrations compared with healthy controls<sup>121</sup>.

528 Data regarding the prognostic value of blood GFAP in individuals with brain tumours seem  
529 to be inconsistent. Evidence indicates that blood GFAP levels rise shortly after operative  
530 treatment compared with preoperative samples<sup>122</sup>, before ultimately decreasing<sup>123</sup>. One study  
531 found that blood GFAP levels did not correlate with the amount of malignant tissue that  
532 remained postoperatively<sup>124</sup>. In several studies, blood GFAP levels did not help predict  
533 postoperative tumour recurrence or overall survival<sup>113,117,123</sup>. However, two studies reported  
534 an association between high blood GFAP levels and poor progression-free survival<sup>114,115</sup>. The  
535 major limitations of the studies discussed here are that they used immunoassays with low  
536 sensitivity and low readout resolution compared with the highly sensitive bead-based assays  
537 like Simoa, and that they included a relatively small number of participants. Overall,  
538 additional, sufficiently powered studies with newer immunoassays are a major unmet need  
539 for the evaluation of the diagnostic and prognostic application of GFAP in brain tumours.

540 In addition to the conditions discussed in this Review, changes in blood GFAP levels have  
541 been observed in various other neurological and systemic conditions; a summary of the  
542 available evidence is provided in Table 3.

### 543 **[H1] Challenges facing clinical use of GFAP**

544 In addition to the disease-specific limitations mentioned in each section of this Review, the  
545 accurate implementation of blood GFAP measurement and the correct interpretation of the  
546 results faces other challenges (Box 1). Evidence indicates that the expression of GFAP by  
547 astrocytes increases with age in healthy individuals<sup>125</sup>, so the correlation between GFAP  
548 levels and age needs to be explored in more extensive studies to enable the definition of age-  
549 specific normal ranges. Sex-specific normal ranges might also be required. Additionally, the

550 mechanisms that underlie the release of intermediate filaments such as GFAP following  
551 astrocytic activation remain unclear.

552 With the exception of the work on TBI, most of the studies discussed in this Review were  
553 single-centre, retrospective, or had methodological limitations such as small sample sizes.  
554 Furthermore, as different platforms and methods are available to detect GFAP in blood, it is  
555 essential to note that many of the studies are not directly comparable with each other and that  
556 a general agreement on a 'gold standard' detection method is currently lacking. Also, the  
557 epitopes targeted by GFAP antibodies are mostly unknown or proprietary, raising some  
558 concerns about the GFAP isoforms detected by different assays. Therefore, the identification  
559 of a reference method for detecting blood GFAP, for example, by mass spectrometry, is  
560 highly recommended. Furthermore, whether the antibody pairs used in existing assays detect  
561 the full-length GFAP protein or proteolytic fragments is unclear. GFAP also undergoes  
562 various post-translational modifications (for example, phosphorylation, citrullination, and  
563 acetylation) and is vulnerable to the proteolytic activity of calpain and caspase-6<sup>17</sup>. Site-  
564 specific phosphorylation can be disease-relevant and has been reported to be associated with  
565 disease severity, for example in Alexander disease<sup>126</sup> and following hypoxic injury<sup>127</sup>. The  
566 effect of post-translational modifications on the analytical performance and clinical  
567 utilization of different GFAP assays, which use different proprietary antibody pairs, has been  
568 poorly characterised.

569 Similarly, compared with healthy participants, blood levels of GFAP breakdown products  
570 seem to be elevated following TBI and follow a similar diagnostic and prognostic pattern as  
571 the blood levels of the full GFAP protein<sup>128-130</sup>. If we consider GFAP breakdown products as  
572 a product of activated calpain proteolysis following TBI, these products might be a better  
573 marker of astrocyte damage, but not necessarily astrocyte activation, when compared with  
574 standard GFAP assays. However, the added value of assays that measure levels of GFAP

575 breakdown products, compared with conventional GFAP assays, should be investigated  
576 further. One hint that some antibodies in GFAP assays do not recognise (proteolytic) protein  
577 fragments is the observation that subjecting blood or CSF samples to several freeze–thaw  
578 cycles results in a significant decrease in the detected GFAP concentration, especially in the  
579 CSF<sup>8</sup>. In addition, the effect of inhibitory matrix effects has not yet been completely clarified.  
580 For example, circulating GFAP autoantibodies have been reported in the blood of individuals  
581 with AD and following traumatic CNS injury<sup>126,131-133</sup>. The effect of these autoantibodies on  
582 the measurement of circulating GFAP levels with the different commercial platforms is  
583 poorly characterized.

584 Interpreting the meaning of elevated GFAP concentrations in CNS chronic diseases could be  
585 challenging. Indeed, GFAP expression accompanies astrocytic activation, which is a ‘double-  
586 edged sword’ in neurological diseases<sup>134</sup>. Although some subclasses of astrocytes (for  
587 example, neurotoxic astrocytes) are toxic to neurons and oligodendrocytes, other subclasses  
588 promote CNS repair<sup>134,135</sup>. Data suggest that harmful pan-activated astrocytes at the rim of  
589 MS lesions are GFAP positive, whereas direct neurotoxic astrocyte subpopulations are  
590 not<sup>79,82,136</sup>. So far, the expression of GFAP over the spectrum of astrocyte subclasses remains  
591 poorly characterised, and more specific markers are needed to investigate the different  
592 subclasses of activated astrocytes and the different isoforms of GFAP<sup>82</sup> (Box 2).

593 Furthermore, the dynamics of blood GFAP levels depend on the underlying pathology. In  
594 acute events without major astrogliosis and gliotic scar formation, such as mTBI<sup>137</sup>, the half-  
595 life of GFAP in blood is around 24–72 hours<sup>35,138</sup>. In this context, GFAP could be merely a  
596 marker of structural damage to the CNS. In less acute events, such as inflammatory relapses,  
597 GFAP remains elevated for weeks after clinical onset<sup>139</sup>. In this case, blood GFAP levels are  
598 likely to reflect ongoing astrocytic activation in addition to the possible astrocytic damage, as  
599 has been shown in NMOSD<sup>92</sup>. In chronic neuroinflammatory (for example, progressive MS)



600 and neurodegenerative diseases, the levels of GFAP in the blood are expected to increase  
601 with accumulating astrogliosis. However, whether GFAP levels continue to climb, become  
602 stable, or even decrease over time, remains unclear, as the counterbalance between GFAP  
603 release and clearance is still not well defined.

## 604 **[H1] Conclusions**

605 Unprecedentedly, the FDA recently authorised a panel test for blood-derived brain protein  
606 biomarkers, including GFAP, for clinical use in a neurological disease. GFAP is a well-  
607 established marker of astrocyte injury and activation in CNS diseases and represents a  
608 valuable addition to the expanding panel of CNS-based blood biomarkers. The potential for  
609 clinical application of blood GFAP is encouraging, especially in the field of TBI, where  
610 robust data show that the marker has discriminatory ability for CNS injury evident on CT and  
611 MRI head scans. Importantly, historical data on the diagnostic performance of GFAP has  
612 been validated in multicentre prospective studies using point-of-care assays, which might  
613 facilitate the triage of patients with TBI in prehospital and acute hospital settings if integrated  
614 into standard care. In inflammatory neurological diseases, blood GFAP has promising  
615 applications in progressive MS, as the marker could reflect and predict long-term disability  
616 worsening and, therefore, contribute to the treatment decision algorithm. In the elderly  
617 population, GFAP seems to predict the rate of cognitive decline and conversion to overt  
618 dementia, which makes it an attractive marker to recognise individuals at risk and enable  
619 rapid initiation of future preventive, and eventually therapeutic measures. Finally, recent  
620 insights suggest that blood GFAP levels have the potential to track even subtle structural  
621 CNS involvement in various neurological and systemic diseases. Academic collaborations  
622 could significantly accelerate efforts to fill current knowledge gaps and facilitate the  
623 implementation of blood GFAP as a biomarker on a wide scale.

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### 1035 **Author contributions**

1036 A. A., M. F., S., A.-R., J. K. Y., L. D'A., A. H. and P. O. researched data for the article, made  
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1038 the manuscript before submission. H. T., A. C. L., A. P., J. K., G. T. M., A. J. G., and M. O.  
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#### 1073 **Key points:**

- 1074 • GFAP levels reflect the clinical severity and extent of intracranial pathology after  
1075 traumatic brain injury (TBI).
- 1076 • In 2018, the FDA authorised the marketing of a blood test for GFAP and ubiquitin  
1077 carboxy-terminal hydrolase L1 for clinical use in mild TBI.
- 1078 • Growing evidence supports the potential clinical use of blood GFAP levels in  
1079 numerous neuroinflammatory, neurodegenerative diseases, and in the context of CNS  
1080 involvement in systemic diseases.

- 1081 • Successful validation of the GFAP point-of-care analysis platform might ameliorate  
1082 the decision algorithms for acute neurological diseases with important economic  
1083 implications.

#### 1084 **Review criteria**

1085 For this Review, we screened the published literature in PubMed using the following  
1086 terms in the title/abstract: ‘GFAP’ or ‘Glial fibrillary acidic protein’, ‘blood’ or  
1087 ‘plasma’ or ‘serum’, and the disease of interest. Hence, we added the following terms:  
1088 ‘MS’, ‘Neuromyelitis optica’, ‘NMO’, ‘MOG Antibody Disease’, ‘MOG associated  
1089 disease’, ‘Traumatic brain injury’, ‘TBI’, ‘Spinal trauma’, ‘Spinal injury’, ‘Stroke’,  
1090 ‘Cerebral ischemia’, ‘Intracranial haemorrhage’, ‘Intracranial hemorrhage’,  
1091 ‘Subarachnoid haemorrhage’, ‘Subarachnoid hemorrhage’, ‘Alzheimer’s’,  
1092 ‘Parkinson’, ‘Dementia’, ‘Creutzfeldt-Jakob disease’, ‘vascular cognitive  
1093 impairment’, ‘vascular dementia’, ‘amyotrophic lateral sclerosis’, ‘motor neuron  
1094 disease’, ‘ALS’, ‘MND’, ‘Frontotemporal’, ‘Prion’, ‘Epilepsy’, ‘Seizures’,  
1095 ‘Convulsions’, ‘Encephalitis’, ‘Encephalopathy’, ‘Tumours’, ‘Tumors’, ‘Glioma’,  
1096 ‘Glioblastoma’, ‘COVID-19’, ‘SARS-CoV-2’, ‘Cardiac Arrest’, ‘Hypoxic’,  
1097 ‘Meningitis’. Animal studies, previous reviews, and studies reporting only GFAP  
1098 values in CSF were considered beyond the scope of this article.

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**Table 1 | Key studies of blood GFAP levels in traumatic brain injury**

Study	Assay	Participants	Methods	Main results <sup>a</sup>
<b>Metting et al. (2012)</b> <sup>50</sup>	ELISA	94 with TBI	Prospective cohort study: serum samples and head CT obtained after admission, MRI 3-month post-injury, GOSE and RTW 6-month post-injury	GFAP levels higher in participants with abnormal CT than in those with normal CT. GFAP levels higher in participants with axonal injury on MRI than in those without axonal injury on MRI. GFAP levels higher in participants with incomplete RTW than in those with complete RTW.
<b>Papa et al. (2012)</b> <sup>129</sup>	ELISA	108 with TBI (97 with GCS score 13–15 and 11 with GCS score 9–12), 199 controls	Prospective cohort study: serum samples and head CT < 4 h post-injury	GFAP breakdown product levels distinguished participants with TBI from controls (AUC 0.90), identified TBI with a GCS score of 15 (AUC 0.88), identified participants with CT lesions (AUC 0.79), and identified participants with neurosurgical intervention (AUC 0.87)
<b>Papa et al. (2014)</b> <sup>143</sup>	ELISA	209 with MMTBI, 188 without MMTBI	Prospective cohort study: serum collected <4 h post-injury; head CT in 262 participants	AUC for predicting presence of intracranial lesions on CT: 0.84 for GFAP and 0.78 for S100B
<b>Papa et al. (2016)</b> <sup>35</sup>	ELISA	584 with MMTBI, 259 without MMTBI	Prospective cohort study: blood samples obtained < 4 hours post-injury; repeated sampling at 4 h, 8 h, 12 h and then each 12 h up to 180 h post-injury	GFAP was detectable <1 h post-injury, peaked at 20 h, and declined until 72 h. Over the course of 1 week, GFAP identified participants with MMTBI (AUC 0.73–0.94), intracranial lesions on CT (AUC 0.80–0.97), and neurosurgical intervention (AUC 0.91–1.00)
<b>Posti et al. (2016)</b> <sup>144</sup>	CLIA	324 with acute TBI, 81 controls	Prospective cohort study: blood sample, GCS and head CT at admission; blood sample on days 1, 2, 3, and 7 post-admission	Strong correlation between GFAP levels and GCS at admission ( $r=0.43$ , $p<0.001$ ). GFAP distinguished mass lesions from diffuse injuries on CT (AUC 0.64–0.82)
<b>Posti et al. (2017)</b> <sup>43</sup>	CLIA	73 with acute orthopaedic injury, 93 with CT-negative mild TBI	Prospective cohort study: blood sample and head CT on arrival; blood sample on day 1, 2, 3, and 7 post-arrival; follow-up at 3–10 months; head MRI in 71% of participants.	Higher GFAP levels in participants with orthopaedic trauma than in participants with CT-negative mild TBI ( $p=0.026$ ) on arrival, and no differences on the following days.
<b>Bogoslovsky et al. (2017)</b> <sup>47</sup>	Simoa	34 with TBI, 69 controls	Prospective multicentre cohort study: blood samples < 24 h, and 30 and 90 days post-TBI, head CT and GCS at admission; GOSE 6 months post-injury	GFAP levels were highest at day 0 and distinguished complicated mild TBI from controls with an AUC of 0.936. Elevated GFAP levels up to 90 days post-injury compared with controls
<b>Bazarian et al. (2018)</b> <sup>36</sup>	CLIA	2,011 ( non-penetrating TBI and GCS 9–15, of whom 1,959 had analysable data	Prospective multicentre observational study: serum samples and head CT < 12 h post-injury	66% of participants had GFAP >22 pg/mL. For the detection of intracranial injury, the test had a sensitivity of 0.976 and a negative predictive value of 0.996
<b>Frankel et al. (2019)</b> <sup>51</sup>	ELISA	566 with TBI	Prospective multicentre observational study: blood samples were obtained < 4 hours post-	Inclusion of GFAP improved ( $p \leq 0.05$ ) prognostic capacity of GOSE scores compared with a model containing only baseline patient

			injury; GOSE at 1–4 and 6 months post-injury	variables and characteristics. The best prognostic capability (AUC 0.85) was achieved by also incorporating blood S100 levels
<b>Mahan et al. (2019)<sup>41</sup></b>	Not reported in detail	118 with TBI, 37 controls	Prospective observational cohort study: blood samples collected 0–8 h and 12–32 h post-injury, head CT in the emergency department	GFAP levels higher in CT-positive participants than in CT-negative participants. Higher median GFAP levels at 12–32 h than 0–8 h. GFAP was a predictor of pathological head CT results (0–8 h: 0.89 sensitivity, 0.62 specificity; 12–32 h: 0.94 sensitivity, 0.67 specificity). GFAP alone outperformed all possible combinations of tested biomarkers (UCH-L1, SB100)
<b>Yue et al. (2019)<sup>26</sup></b>	Prototype immunoassay assay on a point-of-care platform	450 with mild TBI, GCS 13–15 and normal head CT, of whom 330 had negative MRI; 122 orthopaedic trauma controls; 209 healthy controls	Prospective multicentre cohort study: blood samples obtained < 24 h post-injury, brain MRI 7–18 days post-injury	GFAP identified participants with positive CT and MRI scan with an AUC of 0.777 over 24 h. Median GFAP concentration was highest in participants with CT-negative and MRI-positive findings
<b>Anderson et al. (2020)<sup>52</sup></b>	ELISA	243 with TBI (PH GCS score 3–12, SPB > 90)	Prospective observational cohort study: blood samples and head CT at baseline (median 84 min after injury), GOSE and DRS at 6 months	In the majority of predictive models, the inclusion of GFAP significantly improved AUC compared with models passed on pre-hospital variables alone.
<b>Huebschmann et al. (2020)<sup>38</sup></b>	Simoa single, and multiplex kit for plasma and serum, respectively	121 ( $\geq 50$ years old) with head trauma	Prospective observational cohort study: mean time between injury and blood sampling = 3.4 hours (SD = 2.1; range = 0.5–11.7); head CT scans at the emergency department; GOSE 1 week after injury.	Higher GFAP levels in participants with abnormal CT scans than in those with normal head CT scans, and in those with poor compared to good functional outcome. Similar serum (AUC = 0.814) and plasma (AUC = 0.778), GFAP identified participants with head CT abnormalities.
<b>Czeiter et al. (2020)<sup>40</sup></b>	Simoa (multiplex kit)	2,867 with TBI	Prospective multicentre cohort study: serum samples and head CT < 24 h post-injury	GFAP predicted CT abnormalities with higher AUC (0.89) than S100B, NSE, UCH-L1, NFL, and t-tau
<b>Peltz et al. (2020)<sup>54</sup></b>	Simoa (kits used not specifically mentioned)	65 with TBI history <sup>a</sup> (35 with CI, 30 without CI), 90 controls (30 with CI, 60 without CI)	Cross-sectional	Higher concentration of exosomal GFAP in TBI with cognitive impairment than TBI without cognitive impairment ( $p = 0.06$ ).
<b>Shahim et al. (2020)<sup>53</sup></b>	Simoa	162 with TBI, 68 controls	Prospective cohort study: blood samples obtained at baseline, 30, 90 and 180 days and annually from 1 to 5 years after TBI in 102 out of 162 participants	Higher GFAP levels at baseline in participants with TBI than controls ( $p < 0.001$ ). GFAP levels decreased during the first 6 months of TBI, then increased. Highest AUC (0.89) for distinguishing participants with moderate and severe TBI from controls was at 30 days.

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1103 AUC, area under the receiver operating characteristics curve; CI, cognitive impairment; DRS, Disability Rating Scale; GCS, Glasgow Coma Scale; GOSE, Glasgow Outcome Scale Extended; GFAP, glial fibrillary acidic protein; ICH, intracranial haemorrhage; IL-6, interleukin 6; IL-10, interleukin 10; MAP2, microtubule-associated protein 2; MMTBI, mild or moderate traumatic brain injury; NFL, neurofilament light chain; NRG1, neurogranin; pTAU, phosphorylated tau; RTW, return to

1107 work; S100B, calcium-binding protein S100 beta; SBDP150, Spectrin Breakdown Product of molecular weight 150; TNF $\alpha$ ,  
1108 tumour necrosis factor  $\alpha$ ; UCH-L1, ubiquitin C-terminal hydrolase L1. <sup>a</sup> Average time from most recent TBI = 37 years  
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**Table 2: Key studies of blood glial fibrillary acidic protein levels in multiple sclerosis**

Study	Assay	Disease course (number of participants)	GFAP level compared with healthy control participants	GFAP level in PMS compared with RRMS	Treatment effect (% participants treated)	Correlation with other markers (correlation coefficient or beta value)		
						Clinical disease severity (EDSS)	MRI T2 lesion load	Blood NFL levels
Abdelhak et al., (2018) <sup>25</sup>	Simoa (singleplex)	RRMS+ (18)	ns <sup>a</sup>	Higher in PMS than RRMS (p < 0.05)	ns (8.8%)	ns	Higher GFAP levels in participants with > 9 lesions than in participants with 2–9 lesions (p < 0.05)	r = 0.4 (p < 0.01)
		RRMS- (24)				ns		
		SPMS (13)	Higher <sup>a</sup> (p < 0.05)			r = 0.5 (p < 0.001)		
		PPMS (25)						
Högel et al., (2018) <sup>78</sup>	Simoa (singleplex)	RRMS (46)	ns	Higher in SPMS than RRMS (p < 0.001)	Lower in participants receiving treatment (64.6%) than in untreated participants; p < 0.01	r = 0.5 (p < 0.001)	r = 0.3 (p < 0.05)	r = 0.5 (p < 0.01)
		SPMS (33)	Higher (p < 0.01)					
Abdelhak et al., (2019) <sup>8</sup>	Simoa (singleplex)	PPMS (71)	NA	NA	ns (40.9%)	β = 0.3 (p < 0.01)	NA	NA
Watanabe et al., (2019) <sup>77</sup>	Simoa (singleplex)	RRMS (38)	Higher in RRMS+ (p < 0.01)	ns	ns (55.1%)	β = 1.1 (p < 0.05)	ns	NA
		PMS (11)	Higher (p < 0.01)					
Lee et al., (2020) <sup>85</sup>	Simoa (singleplex)	MS (112)	NA	NA	NA	r = 0.3 (p = 0.001)	NA	r = 0.6 (p < 0.001)
Thebault et al., (2020) <sup>86</sup>	Simoa (multiplex)	RRMS (12)	Higher (p < 0.001)	NA	Higher after treatment with IAHSCT compared to baseline p < 0.01	NA	NA	NA
		SPMS (10)						
Aygnac et al., (2020) <sup>87</sup>	Simoa (singleplex)	PPMS (18)	NA	Higher in PPMS than RRMS (p < 0.01)	ns (48.7% of RRMS group, 0% of PPMS group)	ns	r = 0.4 (p < 0.01)	r = 0.7 (p < 0.001)
		RRMS (111)						

EDSS, expanded disability status scale; GFAP, glial fibrillary acidic protein; RRMS, relapsing-remitting MS; RRMS+, RRMS during clinical relapse; RRMS-, RRMS patients without evidence of clinical relapses; PMS, progressive MS; SPMS, secondary progressive MS; PPMS, primary progressive MS; CIS, clinically isolated syndrome (optic neuritis in MS); Simoa, single molecular array; MRI, magnetic resonance imaging; NFL, neurofilament light chain; NA, not assessed or not reported; ns, not significant; IAHSCT, Immunoablation and autologous hematopoietic stem cell transplantation; r, correlation coefficient (Pearson/Spearman); β: regression estimates.

<sup>a</sup> Compared with participants with non-inflammatory neurological diseases



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1122 **Table 3: Other CNS and systemic diseases associated with changes in blood**  
 1123 **concentration of GFAP**

<b>Disease</b>	<b>Changes in GFAP levels</b>	<b>Refs</b>
Epilepsy	Transient elevation of GFAP levels (up to 100%) following epileptic seizures compared with controls and participants with psychogenic non-epileptic seizures	145-147
Delirium	In cases of ICU delirium following COVID-19 infection, GFAP increased and correlated to delirium severity. GFAP might increase in association with postoperative delirium, but the evidence is conflicting	58,148-152
Sepsis-related encephalopathy	Elevated serum GFAP levels compared with participants with sepsis without encephalopathy	153
Cardiac arrest	GFAP levels and proteolytic fragments were elevated after cardiac arrest	154-157
SARS-CoV-2 infection	Serum levels of GFAP, but not of other markers like NfL, were increased in participants with moderate and severe COVID-19 infection, who were admitted to ICU	148,158
West-Nile Virus infection	CSF and serum levels of GFAP were significantly higher in individuals with West-Nile virus infection compared with controls. These findings correlated with the severity of post-mortem histopathology	159
Atrial fibrillation	Elevated circulating levels of GFAP in individuals with atrial fibrillation compared with control participants	160
GFAP, Glial fibrillary acidic protein; NfL, Neurofilament light chain; COVID-19, coronavirus disease 2019.		

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1125

1126 **Figure 1 | Astrocytes have multiple physiological roles in the CNS.**

1127 **a** | Astrocytic end feet containing glial fibrillary acidic protein (brown filaments) are an  
1128 essential component of the blood–brain barrier and the glymphatic system<sup>140</sup>. **b** | Astrocytes  
1129 are critical in maintaining axonal metabolic homeostasis<sup>141</sup>. **c** | Astrocytes contribute to  
1130 tripartite synapses<sup>142</sup>.

1131 **Box 1 | Unmet needs on the way towards a clinical utilisation for GFAP**

1133 Numerous limitations hamper the clinical applicability of blood GFAP in the field of  
1134 Neurology. Many aspects of the mechanisms and pathways of GFAP release into the blood  
1135 are still not completely understood. In addition, blood GFAP half-life in health is still to be  
1136 described. For a better definition of the clinical context of use in various neurological  
1137 conditions, coordinated multicentre trials using a predefined gold standard method to assess  
1138 GFAP levels longitudinally are still needed. Here, we list the gaps in our knowledge that will  
1139 need to be addressed before blood GFAP can be used as a clinical biomarker.

1140 **Preclinical and analytical aspects**

- 1141 • Effect of GFAP aggregation on analytical accuracy
- 1142 • Identification of isoform(s) specificity for current assays
- 1143 • Defining the mechanisms of GFAP release from various astrocyte subclasses
- 1144 • Magnitude of GFAP release for astrocyte subclasses
- 1145 • Contribution of GFAP breakdown products and vesicular GFAP to total blood  
1146 concentration

1147 **In vivo physiological considerations**

- 1148 • Accurate determination of blood GFAP half-life
- 1149 • Characterization of GFAP protein binding, metabolism and excretion

- 1150 • Defining the effect of age and gender on blood GFAP concentrations

#### 1151 **Clinical context of use**

- 1152 • Defining and age-specific and gender-specific reference range in healthy individuals
- 1153 • Identification of clinically useful cut-off values
- 1154 • Agreement on a “gold standard” measurement technique
- 1155 • Co-ordination of multicentre studies to address the clinical applicability of GFAP in  
1156 difference diseases
- 1157 • Longitudinal studies assessing GFAP dynamics in subacute and chronic conditions

1158

#### 1159 **Box 2 | The spectrum of astrocytic body fluid markers:**

1160 A broad panel of astrocytic proteins can be detected in the cerebrospinal fluid (CSF) and  
1161 blood. In addition to glial fibrillary acidic protein (GFAP), calcium-binding protein B  
1162 (S100B), glutamine synthetase, and chitinase 3 like 1 (CHI3L1) are among the most studied  
1163 biological markers for the definition of astrocyte involvement in health and diseases. These  
1164 makers constitute a multifaceted profile of astrocyte activity *in vivo* and have the potential to  
1165 reflect astrocyte integrity and cellular activation. Of note, no single biomarker reflects  
1166 astrocyte damage or aberrant activity in its entirety, either *in vitro* or *in vivo*<sup>161,162</sup>. The  
1167 expression and secretion of astrocyte biomarkers varies according to the age of the individual  
1168 , cellular localization of the marker, and astrocyte subtypes<sup>163</sup>. Moreover, other cell types can  
1169 contribute to the concentration of these biomarkers circulating in CSF and blood. For  
1170 example, GFAP is secreted from renal tubular cells and enteric cells<sup>17</sup>, S100B is secreted  
1171 from skeletal muscles<sup>164</sup>, and CHI3L1 can be secreted from microglia and macrophages<sup>165</sup>. In  
1172 2021, advanced single-cell sequencing techniques unravelled the complexity of astrocyte  
1173 subpopulation heterogeneity<sup>166</sup>; however, the definition of a biomarker-based signature for  
1174 these different subpopulations is still far from reach.

1175

1176 **Glossary**

1177 Hook effect: an excess of the analyte of interest overwhelms the capture antibodies in  
1178 immunoassays, resulting in a falsely low reading.

1179 In this Review, the authors provide an overview of the evidence regarding the use of blood  
1180 levels of glial fibrillary acidic protein as a biomarker in a range of neurological diseases,  
1181 including traumatic brain injury, stroke, multiple sclerosis and Alzheimer disease.