

# Upregulated retinal neurofilament expression in optic neuritis

Robert Weissert PhD FAAN\*    Therése Hugosson MD PhD<sup>†</sup>  
Axel Petzold MD PhD FRCP FRCOphth FRCPATH<sup>‡</sup>

DOI: 10.1080/01658107.2022.2025852

**Key words:** optic neuritis, axonal degeneration, neurofilament protein, retina, tissue.

---

\*Department of Neurology, University Hospital of Regensburg, Germany

<sup>†</sup>St Erik Eye Hospital, Department of Ophthalmology, Stockholm; Ophthalmology, Department of Clinical Sciences, Lund University, Lund, Sweden

<sup>‡</sup>UCL Institute of Neurology, Department of Neuroimmunology & The National Hospital for Neurology and Neurosurgery, Queen Square; Moorfields Eye Hospital, City Road, London, United Kingdom. Tel: +44 20 7837 3611 extension 4204, Fax: +44 20 7837 8553, Email: a.petzold@ucl.ac.uk.

**Abstract**

**Background:** In optic neuritis transient thickening of the macular retinal nerve fibre layer (RNFL) can be observed. This optical coherence tomography based observation is not understood. The axonal diameter correlates with neurofilament (Nf) protein content, but there are no data on the retinal tissue concentration of Nf.

**Objective:** To quantify the retinal tissue concentration of the Nf.

**Methods:** The myelin-oligodendrocyte-glycoprotein (MOG) induced experimental autoimmune encephalomyelitis (EAE) model was used to investigate the retinas of Brown Norway rats with (i) visual evoked potentials confirmed ON, (ii) VEP confirmed absence of ON and (iii) control animals.

**Results:** 20 retinas were collected from MOG-EAE and control rats 27 days after immunisation. Retinal tissue Nf concentrations per total protein ( $\mu\text{g}/\text{mg}$ ) were significantly higher in MOG-EAE rats with ON (median 4.29, IQR 3.41-5.97) if compared to MOG-EAE rats without ON (1.14, 1.10-1.67) or control rats (0.93, 0.45-4.00).

**Conclusion:** The data suggest that upregulation of Nf expression in the retinal ganglion cells precedes development of RNFL atrophy and plausibly explains the transient increase of axonal diameter and RNFL thickening.

## Introduction

Optic neuritis (ON) causes axonal degeneration which can be quantified from the blood by neurofilament proteins (Nf)<sup>1,2</sup>. Within about three months atrophy of the retinal nerve fibre layer (RNFL) follows<sup>1,3</sup>. It remains challenging to explain why there is also transient thickening of the macular RNFL in ON, not related to optic disc swelling, in some patients of the studies reviewed<sup>3,4</sup>. Transient RNFL swelling has also been reported in Leber's hereditary Optic Neuropathy (LHON)<sup>5</sup>, macular hole surgery<sup>6</sup> and retinal photocoagulation<sup>7</sup>.

We hypothesised that because of the association of axonal diameter with Nf concentration<sup>2</sup>, this transient phenomenon could be related to a change of retinal tissue Nf levels. The concentration of retinal tissue Nf levels following ON is not known.

Therefore we did chose an experimental model to investigate this further. The known advantage of the myelin-oligodendrocyte-glycoprotein (MOG) induced experimental autoimmune encephalomyelitis (EAE) model is that there are spontaneous relapses, but not all of them will result in optic neuritis<sup>8</sup>. This choice permitted to study retinal tissue in controls, but also in diseased rats with and without ON.

## Methods

This study was approved by the ethical committee of the participating centres. The animal experiments were conducted in Tübingen (RW, TH) and the protein quantification in London (AP). We followed the ARRIVE 2.0 guidelines (<https://arriveguidelines.org>).

**Animals and experimental procedures** Female, Brown Norway (BN) rats were chosen for MOG EAE<sup>9</sup>. The rats were 6-8 weeks of age at arrival. The rats were weighed and scored for clinical signs of EAE every second day from day 1 after immunisation. A disease severity score was recorded where grade 1 indicates tail weakness or tail paralysis; 2 indicates hind-leg para-paresis or hemiparesis; 3 indicates hind-leg paralysis or hemiparalysis and 4 indicates complete paralysis, moribund or death<sup>9</sup>. Because not all rats develop MOG induced ON we used visual evoked potentials (VEP) to confirm development of MOG induced ON<sup>10</sup>. To this purpose we implanted three cortical screws and performed serial VEP examinations until there was

evidence for ON. Control rats also had three cortical screws implanted. All rats were sacrificed by inhalation of CO<sub>2</sub>. Both eyes were immaculately removed, the retinas dissected and snap frozen in liquid nitrogen within less than 5 minutes.

**Retinal Nf analysis** In total, 20 rat retinas were collected (7 EAE, 4 control). Two EAE retinas were damaged during surgical removal and not processed further. The remaining samples were snap-frozen and stored at -80°C until analysis. On receipt in London the retinas were dry weighted, suspended 1:60 water:weight in the ELISA sample buffer containing a protease inhibitor cocktail. Next, retinas were thawed and homogenised on ice using a Sonipre 150 (power 14, one minute). The homogenate was spun down (4°C, 150,000 rpm, 10 minutes) and the supernatant used for quantification using ELISA as described for the EAE model before<sup>11</sup>.

All 20 retinas were batch analysed in one microtitre plate which was coated overnight with 50 µL of the SMI35 capture antibody, diluted 1/5000 in 0.05 M carbonate buffer, pH 9.5. The plate was then washed with barbitone buffer containing 0.1% BSA and 0.05% Tween 20 (pH 8.6). The plate was blocked with 150 µL of barbitone buffer containing 1% of BSA. After washing, 25 µL of barbitone buffer, 6 mM EDTA, 0.1% BSA were added as sample diluent to each well. Twenty-five µL of standard or retina homogenate were then added in duplicate to the plate. The plate was incubated at room temperature (RT) for 1 h. After washing, 50 µL of the second antibody diluted 1/1000 in barbitone buffer were added to each well and the plate was incubated for 1 h at RT. The microtitre plate was washed and HRP-labeled swine anti-rabbit antibody, diluted 1/1000, was added and incubated for 1 h at RT. After a final wash, 50 µL of TMB substrate were added. The plate was incubated for 20 min at RT in the dark, the reaction was stopped by adding 25 µL 1 M HCl and the absorbance was read at 450 nm with 750 nm as the reference wavelength on a Wallac Victor2 ELISA plate reader. Adhering to a previously proposed nomenclature<sup>12</sup> we indicate the capture antibody used for NfH quantification (SMI35) in the superscript NfH<sup>SMI35</sup>.

**Statistical analysis** For Gaussian data we show the mean and standard deviation, for non-Gaussian data the median and interquartile range. Two variables were compared by Kruskal-Wallis and for then two variables by general linear models (SAS v9.4m7).

## Results

The description of the rats used in the experiment are summarised in Table 1. Of the MOG immunised rats, 70% developed ON. ON was mostly bilateral.

The retinas were grouped into (i) controls who did not have MOG immunisation; (ii) MOG-EAE which did not develop ON as confirmed by VEP and (iii) MOG-EAE which developed ON as confirmed by VEP. Table 2 summarised the severity score for each group and the biomarker measurements. Total protein was comparable between groups and Nf was elevated in ON. Adjusting for the total protein concentration there was a significant, about 4-fold increase of NfH<sup>SMI35</sup>  $\mu\text{g}/\text{mg}$  total protein in MOG-EAE with ON (4.29) if compared to the other two groups (0.93 in controls and 1.14 in MOG-EAE without ON).

One MOG-EAE rat developed unilateral ON. In this rat the concentration of NfH<sup>SMI35</sup>  $\mu\text{g}/\text{mg}$  total protein in the eye without ON (OS) was 1.13 (comparable to controls) and in the eye affected by ON (OD) 5.04.

## Discussion

This study demonstrated that there is an increase of Nf proteins in the retina of VEP proven MOG-EAE associated ON. Importantly, this increase can be observed not only compared to the control rats, but also within the same rat developing only unilateral ON. The observation on increased retinal Nf tissue levels within an average of 27 days from induction of MOG-EAE associated ON is consistent for inter-group and intra-animal retina comparisons.

The timing of the NfH<sup>SMI35</sup> increase is consistent with earlier data on retinal Nf expression<sup>13</sup>. After injection of L-[2,3-<sup>3</sup>H]proline into the vitreous of mice the amino acid was incorporated by the retinal ganglion cell into the Nf isoforms. Retinal Nf levels peaked 9 days later. Over the following 76 days all three Nf isoforms were transported continuously from the retina into the optic nerve. Based on these radio-isotope experiments, we interpret present data as evidence for intra-retinal up-regulation of Nf after optic neuritis. This is then followed by anterograde axonal transport of Nf towards the proximal stump of the degenerating axon. The concept explains the sustained release of Nf into body fluids for about three months after a relapse of multiple sclerosis<sup>2</sup>. An accumulation of Nf proteins in the RNFL can also explain the transient thickening of the RNFL observed in some individuals, a finding

generally masked by the group level data of OCT cohort studies<sup>3</sup>. Axonal transport of Nf proteins towards the optic nerve then explains normalisation of the RNFL over time. In MOG-EAE 39.1% of lesions affect the optic nerves, tracts and chiasm<sup>14</sup>. It can however take up to 80 days in this model for optic nerve atrophy to develop<sup>15</sup>. This is consistent with the approximately three months delay for RNFL atrophy to be reliably quantifiable on OCT<sup>3</sup>.

It is also likely that our finding helps to explain the transient increase of RNFL thickness in macular hole surgery<sup>6</sup>. Within one month after surgery there was a significant increase of the pRNFL from 93.3  $\mu\text{m}$  to 98.7  $\mu\text{m}$  ( $p < 0.05$ ) before returning to baseline. Similar observations were made following retinal photocoagulation, the average pRNFL increased from 108  $\mu\text{m}$  at baseline to 117.4  $\mu\text{m}$  after two month ( $p = 0.006$ ), to return to near baseline levels two months later<sup>7</sup>. Barboni *et al* was first to propose that axonal stasis may precede de-compensation of retinal ganglion cells in Leber's hereditary Optic Neuropathy (LHON)<sup>5</sup>. Bielschowsky silver impregnation of the retina in MOG-EAE did indeed show swollen axons with frequent spheroids<sup>10</sup>. This interpretation is consistent with our retinal NfH<sup>SMI35</sup> data.

A limitation of present study is that we only quantified one of the three retinal Nf isoforms<sup>13</sup>. Another shortcoming is, that we did not investigate the adjacent body fluid and tissue compartments to the retina. Future studies may consider including the vitreous, retina, proximal and distal optic nerve and serial blood samples<sup>1</sup>. Ideally, to be combined with retinal OCT<sup>3</sup> and focus on the optic disc where the dynamic development of Peripapillary Hyperreflective Ovoid Mass-like Structures represent a novel OCT finding which is of interest for investigation of axonal stasis<sup>16</sup>. Finally, presence of conduction block in more severely affected eyes made it impossible to perform correlative analyses between VEP peak latencies or amplitudes and NfH<sup>SMI35</sup>. Future studies investigating this question will need much larger numbers, and ideally combine biomarkers for axonal degeneration with those for demyelination. Another limitation is that because of the two damaged retinas and the small numbers it was not feasible to statistically correct for inter-eye differences.

The concentration of NfH<sup>SMI35</sup>  $\mu\text{g}/\text{mg}$  total protein in controls (0.93) is marginally lower than what is found in human brain control gray matter (1.15)<sup>17</sup>. Likewise, the concentration in the MOG-EAE ON retina of 4.29 NfH<sup>SMI35</sup>  $\mu\text{g}/\text{mg}$  total protein is marginally lower than what is found in the human MS gray matter (5.15, all data are median). This strengthens the argument on similarities between the experimental model and human post-

mortem data<sup>14</sup>. Finally, in human MOG antibody disease there were elevated Nf levels in the cerebrospinal fluid and serum of 14 cases with MOG-ON from a deep phenotyped cohort<sup>18</sup>.

In conclusion, we present a biological plausible concept which helps to integrate structural and biomarker observations in ON<sup>1-4,18</sup>. The proposed sequence of pathology in ON is that, following the inflammatory damage to optic nerve axons, retinal ganglion cells react in a compensatory way, which includes up-regulate expression of Nf proteins. This then leads to a transient increase of the axonal diameter explaining the OCT observation of RNFL thickening. This phase is followed by one of two options, preservation of axonal integrity with normalisation of the RNFL or progression of retrograde axonal degeneration with atrophy of the RNFL. During this phase, which lasts for about three months, the intra-retinal up-regulation of Nf isoforms combined with anterograde axonal transport sustains the increase of Nf isoforms levels measured in the patients blood<sup>1,18</sup>.

---

## References

- [1] A Petzold K Rejdak G. P. Axonal degeneration and inflammation in acute optic neuritis. *J Neurol Neurosurg Psychiatry*. 2004;75:1178–1180. DOI: 10.1136/jnnp.2003.017236. URL: <https://discovery.ucl.ac.uk/id/eprint/18935/>.
- [2] Khalil M., Teunissen C. E., Otto M., Piehl F., Sormani M. P., Gatteringer T., et al. Neurofilaments as biomarkers in neurological disorders. *Nature Reviews Neurology*. 2018;14:577–589. DOI: 10.1038/s41582-018-0058-z. URL: <https://discovery.ucl.ac.uk/id/eprint/10057189/>.
- [3] Petzold A., Balcer L. J., Calabresi P. A., Costello F., Frohman T. C., Frohman E. M., et al. Retinal layer segmentation in multiple sclerosis: a systematic review and meta-analysis. *The Lancet Neurology*. 2017;16:797–812. DOI: 10.1016/S1474-4422(17)30278-8. URL: <https://discovery.ucl.ac.uk/id/eprint/1574848/>.
- [4] Costello F., Pan Y. I., Yeh E. A., Hodge W., Burton J. M., and Kardon R. The temporal evolution of structural and functional measures after acute optic neuritis. *Journal of Neurology, Neurosurgery & Psychiatry*. 2015:1369–1373. DOI: 10.1136/jnnp-2014-309704.
- [5] Barboni P., Savini G., Feuer W. J., Budenz D. L., Carbonelli M., Chicanì F., et al. Retinal Nerve Fiber Layer Thickness Variability in Leber Hereditary Optic Neuropathy Carriers. *European Journal of Ophthalmology*. 2012;22:985–991. DOI: 10.5301/ejo.5000154.
- [6] Hibi N., Kondo M., Ishikawa K., Ueno S., Komeima K., and Terasaki H. Transient increase of retinal nerve fiber layer thickness after macular hole surgery. *International Ophthalmology*. 2013;34:575–581. DOI: 10.1007/s10792-013-9855-y.
- [7] Goh S., Ropilah A., Othmaliza O., and Mushawiahti M. Retinal Nerve Fibre Layer Thickness Changes after Pan-Retinal Photocoagulation in Diabetic Retinopathy. *Journal of Surgical Academia*. 2016;6:4–9. DOI: 10.17845/jsa.2016.0601.02.



- 
- [8] Ben-Nun A., Kaushansky N., Kawakami N., Krishnamoorthy G., Berer K., Liblau R., et al. From classic to spontaneous and humanized models of multiple sclerosis: Impact on understanding pathogenesis and drug development. *Journal of Autoimmunity*. 2014;54:33–50. DOI: 10.1016/j.jaut.2014.06.004.
- [9] Weissert R., Wallström E., Storch M. K., Stefferl A., Lorentzen J., Lassmann H., et al. MHC haplotype-dependent regulation of MOG-induced EAE in rats. *J Clin Invest*. 1998;102:1265–1273. DOI: 10.1172/jci3022.
- [10] Meyer R., Weissert R., Diem R., Storch M. K., Graaf K. L. de, Kramer B., and Bahr M. Acute neuronal apoptosis in a rat model of multiple sclerosis. *J Neurosci*. 2001;21:6214–6220.
- [11] Petzold A., Baker D., Pryce G., Keir G., et al. Quantification of neurodegeneration by measurement of brain-specific proteins. *J Neuroimmunol*. 2003;138:45–48. DOI: 10.1016/S0165-5728(03)00092-4. URL: <https://discovery.ucl.ac.uk/id/eprint/18940/>.
- [12] Petzold A., Keir G., Green A., Giovannoni G., and Thompson E. A specific ELISA for measuring neurofilament heavy chain phosphoforms. *J Immunol Methods*. 2003;278:179–190. DOI: 10.1016/S0022-1759(03)00189-3. URL: <https://discovery.ucl.ac.uk/id/eprint/189153/>.
- [13] Nixon R. and Logvinenko K. Multiple fates of newly synthesized neurofilament proteins: evidence for a stationary neurofilament network distributed nonuniformly along axons of retinal ganglion cell neurons. *J Cell Biol*. 1986;102:647–659.
- [14] Storch M. K., Stefferl A., Brehm U., Weissert R., Wallström E., Kerschsteiner M., et al. Autoimmunity to Myelin Oligodendrocyte Glycoprotein in Rats Mimics the Spectrum of Multiple Sclerosis Pathology. *Brain Pathol*. 1998;8:681–694. DOI: 10.1111/j.1750-3639.1998.tb00194.x.
- [15] Kornek B., Storch M. K., Weissert R., Wallstroem E., Stefferl A., Olsson T., et al. Multiple Sclerosis and Chronic Autoimmune Encephalomyelitis. *The American Journal of Pathology*. 2000;157:267–276. DOI: 10.1016/S0002-9440(10)64537-3.

- 
- [16] Petzold A., Coric D., Balk L. J., Hamann S., Uitdehaag B. M. J., Deniston A. K., et al. Longitudinal Development of Peripapillary Hyper-Reflective Ovoid Masslike Structures Suggests a Novel Pathological Pathway in Multiple Sclerosis. *Annals of Neurology*. 2020;88:309–319. DOI: 10.1002/ana.25782.
- [17] Petzold A., Gveric D., Groves M., Schmierer K., Grant D., Chapman M., et al. Phosphorylation and compactness of neurofilaments in multiple sclerosis: Indicators of axonal pathology. *Exp Neurol*. 2008;213:326–335. DOI: 10.1016/j.expneurol.2008.06.008. URL: <https://discovery.ucl.ac.uk/id/eprint/177309/>.
- [18] Mariotto S., Ferrari S., Gastaldi M., Franciotta D., Sechi E., Capra R., et al. *Neurofilament light chain serum levels reflect disease severity in MOG-Ab associated disorders*. eng. England, 2019. DOI: 10.1136/jnnp-2018-320287.

**Contributors** RW, TH and AP contributed to the conception and design of the study; RW, TH and AP contributed to the acquisition and analysis of data; AP drafted the text and prepared the Tables. All authors reviewed the manuscript.

**Competing interests** AP is part of the steering committee of the ANGI and ARI networks which is sponsored by ZEISS, steering committee of the OCTiMS study which is sponsored by Novartis and reports speaker fees from Heidelberg-Engineering. RW and TH have nothing to disclose.

**Funding** This study was supported by a grant of the Deutsche Forschungsgemeinschaft (DfG) to RW. AP is supported by the National Institute for Health Research (NIHR) Biomedical Research Centre based at Moorfields Eye Hospital NHS Foundation Trust and UCL Institute of Ophthalmology.

Table 1: *Description of animals and material used for NfH<sup>SMI35</sup> studies. The mean±standard deviation and numbers (percentage) are shown.*

	CTRL	MOG-EAE
Number	6	14
Gender (F:M)	6:0	14:0
Days from immunisation	n/a	27±1
Severity score	0	1±0.96
ON in OD	0	1
ON in OS	0	0
ON in ODS	0	10
ON (total)	0/6 (0%)	7/10 (70%)
Retinas OD	3 (50%)	8 (57%)
Retinas OS	3 (50%)	6 (43%)
Retina weight (mg)	8.0±2.60	8.6±2.53

Table 2: Retinal NfH<sup>SMI35</sup> concentration per  $\mu\text{g}$  total protein. The median (IQR) are shown. Not significant = NS.

	CTRL	MOG-EAE without ON	MOG-EAE with ON
Number	6	3	11
Score	0 (0-0)	0 (0-0)	2 (0-2) <sup>1</sup>
Total protein [g/L]	2.05 (1.09-2.38)	1.17 (1.13-1.84)	1.76 (1.37-1.93) <sup>2</sup>
NfH <sup>SMI35</sup> [mg/L]	2.07 (0.75-9.31)	1.95 (1.25-2.09)	6.78 (5.40-11.54) <sup>3</sup>
NfH <sup>SMI35</sup> $\mu\text{g}/\text{mg}$			
total protein	0.93 (0.45-4.00)	1.14 (1.10-1.67)	4.29 (3.41-5.97) <sup>4</sup>

<sup>1</sup>CTRL vs no-ON p>0.05; CTRL vs ON p=0.0021; no-ON vs ON p=0.0119

<sup>2</sup>CTRL vs no-ON p>0.05; CTRL vs ON p>0.05; no-ON vs ON p>0.05

<sup>3</sup>CTRL vs no-ON p>0.05; CTRL vs ON p>0.05; no-ON vs ON p=0.0231

<sup>4</sup>CTRL vs no-ON p>0.05; CTRL vs ON p=0.0496; no-ON vs ON p=0.208