

1 **Distinct microRNA expression signatures of primary and secondary central nervous**
2 **system lymphomas**

3 Endre Sebestyén¹, Ákos Nagy², Dóra Marosvári², Hajnalka Rajnai¹, Béla Kajtár³, Beáta Deák⁴,
4 András Matolcsy^{1,2}, Sebastian Brandner⁵, James Storhoff⁶, Ning Chen⁶, Attila G. Bagó⁷, Csaba
5 Bödör², Lilla Reiniger^{1,8}

6

7 1, 1st Department of Pathology and Experimental Cancer Research, Semmelweis University,
8 Budapest, Hungary

9 2, MTA-SE Momentum Molecular Oncohematology Research Group, 1st Department of
10 Pathology and Experimental Cancer Research, Semmelweis University, Budapest, Hungary

11 3, Department of Pathology, University of Pécs, Pécs, Hungary

12 4, Department of Medical Oncology and Haematology, National Institute of Oncology,
13 Budapest, Hungary

14 5, Division of Neuropathology, The National Hospital for Neurology and Neurosurgery,
15 University College London Hospitals NHS Foundation Trust and Department of
16 Neurodegenerative Disease, UCL Queen Square Institute of Neurology, London, WC1N 3BG
17 United Kingdom

18 6, NanoString Technologies, Seattle, WA, USA

19 7, Department of Neurooncology, National Institute of Clinical Neurosciences, Budapest,
20 Hungary

21 8, SE-NAP Brain Metastasis Research Group, 2nd Department of Pathology, Semmelweis
22 University, Budapest, Hungary

23

24 **Correspondence:** Lilla Reiniger: reiniger.lilla@med.semmelweis-univ.hu

25 1st Department of Pathology and Experimental Cancer Research, Semmelweis University, Üllői

26 út 26, Budapest, Hungary, H-1085.

27 Tel.: + 36 1 459 1500/4435, Fax: + 36 1 317 1074

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29

30 **Abstract**

31 **Background:** Central nervous system (CNS) lymphoma is a rare and aggressive non-Hodgkin
32 lymphoma that might arise in the CNS (primary CNS lymphoma, PCNSL) or disseminates from
33 a systemic lymphoma to the CNS (secondary CNS lymphoma, SCNSL). Dysregulated
34 expression of [micro RNAs \(miRNAs\)](#) is associated with various pathological processes and
35 miRNA expression patterns may have diagnostic, prognostic and therapeutic implications.
36 However, miRNA expression is understudied in CNS lymphomas.

37 **Methods:** Here, we performed expression analysis of 798 miRNAs in 73 CNS lymphoma
38 samples, using the NanoString platform, followed by a detailed analysis, to identify potential
39 novel biomarkers characterizing subgroups and to examine differences based on their primary
40 and secondary nature, molecular subtype, mutational patterns and survival.

41 **Results:** We described the general expression patterns of miRNAs across CNS samples and
42 identified 31 differentially expressed miRNAs between primary and secondary groups.
43 Additionally, we identified 7 more miRNAs associated ~~to~~ [with](#) a molecular subtype and 25
44 [associated with](#) ~~to~~ mutation status. Using unsupervised clustering methods, we defined a small
45 but distinct primary sample group, with characteristically different expression patterns
46 compared to the rest of the samples. Finally, we identified differentially regulated pathways
47 between conditions and assessed the utility of miRNA expression patterns in predicting
48 survival.

49 **Conclusions:** Our study provides the basis of future research on central nervous system
50 lymphomas, and proves the importance of specific miRs and pathways in their pathogenesis.

51

52 **Keywords:** microRNA, PCNSL, brain lymphoma, molecular subtype

53

54 **Background**

55 Central nervous system (CNS) lymphoma is a rare and aggressive non-Hodgkin lymphoma that
56 either arises in the CNS structures (primary CNS lymphoma, PCNSL) or disseminates from a
57 systemic lymphoma to the CNS (secondary CNS lymphoma, SCNSL). Histologically, it
58 predominantly manifests as a diffuse large B-cell lymphoma (DLBCL). CNS lymphomas
59 remain a significant challenge to treat effectively as their molecular pathogenesis is not well
60 understood [1-4].

61 Both from a therapeutic and prognostic point of view, it is becoming increasingly important to
62 precisely define the molecular subtype of DLBCLs into germinal center B-cell (GC) type,
63 activated B-cell (ABC) type or “unclassified” (UC) cases, as described by Alizadeh *et al.* [5].
64 Patients in the ABC-type DLBCL group show an inferior outcome [5, 6] compared to the other
65 types. The fundamental difference in biology including oncogenic pathways and mutation
66 targets between GC- and ABC-type DLBCLs is also reflected in the different efficacy of novel
67 targeted therapies between these subgroups [7, 8]. A more precise, gene ~~expression-expression-~~
68 based molecular subtype assignment can be achieved from formalin-fixed paraffin embedded
69 (FFPE) tissue using the NanoString Lymphoma Subtyping Test (LST) assay (NanoString
70 Technologies, Inc., Seattle, USA) compared to the standard immunohistochemical (IHC)
71 methods. The NanoString assay also demonstrates a better concordance with the gold-standard
72 Affymetrix approach [9].

73 The discovery of microRNAs (miRNAs, miRs) has opened a new field for unraveling and
74 therapeutically targeting diseases. These small non-coding RNAs regulate diverse biological
75 processes through post-transcriptional gene expression modulation. Based on their seed
76 sequence, miRNAs bind to multiple target mRNAs, thereby promoting their degradation or
77 inhibiting translation [10-12]. Dysregulated expression of miRNAs is associated with a myriad

78 of pathological processes including hematological malignancies [13, 14], and distinct miRNA
79 expression patterns may have diagnostic, prognostic and therapeutic implications [15-19]. As
80 miRNAs remain relatively well preserved in archival FFPE tissue specimens, they are readily
81 available as valuable sources of information in cancer tissues [20-22]. For the quantification of
82 miRNA transcripts in FFPE samples, the NanoString nCounter technology is a preferable
83 choice over quantitative reverse transcription polymerase chain reaction (qRT-PCR) [23, 24],
84 with a high reproducibility similar to other platforms [25, 26]. The NanoString assay also
85 performs well in relative quantification studies [27].

86 MiRNA expression of PCNSL has been studied using different methods such as qRT-PCR [28-
87 38], microarray [36, 39], *in situ* hybridization [37, 38], next-generation sequencing (NGS) [35]
88 and NanoString [38] technologies on various tissue types including brain biopsy specimen
89 (FFPE [32, 37, 39] or fresh [36]), cerebrospinal fluid (CSF) [28-31, 33, 38] and peripheral blood
90 [35], serum [34] or plasma [33]. It has been shown and further confirmed that the combined
91 detection of miR-21, miR-19b and miR-92a in CSF allowed a stable diagnosis of PCNSL.
92 Moreover, these miRNAs seem to be a promising target for treatment monitoring and follow-
93 up [28, 29], similarly to U2 small nuclear RNA fragments [30]. In addition, plasma miR-21
94 may serve as a diagnostic [33], and serum miR-21 both as a diagnostic and prognostic marker
95 for PCNSL [34]. Other miRNAs with prognostic value in PCNSL include miR-151a-5p and
96 miR-151b, together with 10 additional miRs [35]. CSF levels of miRNA-21 may have the
97 potential as a predictor of chemotherapeutic effect [33]. Measuring miR-30c in the CSF can
98 differentiate between PCNSL and SCNSL, as elevated levels of miR-30c have pathobiological
99 significance in SCNSL [31]. A recent microarray study found a couple of miRNAs with positive
100 or negative prognostic significance in PCNSL [36]. It has also been demonstrated, that PCNSL
101 shows different miRNA expression profiles compared with nodal or testicular DLBCL [32, 37,
102 39].

103 In this study, we performed expression profiling of 798 human miRNAs in 73 FFPE brain
104 biopsy samples of primary and secondary CNS lymphomas using the NanoString platform,
105 followed by a bioinformatics analysis to reveal changing expression signatures. We aimed to
106 identify potential novel biomarkers characterizing subgroups among brain lymphomas, as well
107 as to examine differences based on their primary and secondary nature, molecular subtype,
108 mutational patterns and survival.

109

110

111 **Methods**

112 *Sample collection and patient information*

113 FFPE brain biopsy specimens of 64 patients with PCNSL and 9 patients with SCNSL were
114 analyzed in this study. Tissue samples were obtained from three centers: (i) 1st Department of
115 Pathology and Experimental Cancer Research, Semmelweis University, Budapest, Hungary;
116 (ii) Department of Pathology, University of Pécs, Pécs, Hungary and (iii) Division of
117 Neuropathology, The National Hospital for Neurology and Neurosurgery, University College
118 London Hospitals, United Kingdom, through the UK Brain Archive Information Network
119 (BRAIN UK). Permissions to use the archived tissue have been obtained from the Local Ethical
120 Committee (TUKEB-1552012) and from BRAIN UK (Ref.: 16/018), and the study was
121 conducted in accordance with the Declaration of Helsinki.

122 Information on the molecular subtypes determined by the Research Use Only version of the
123 NanoString LST-assay (NanoString Technologies, Inc., Seattle, USA) and on the mutational
124 status identified by ultra-deep NGS of 14 target genes as described previously [40] are
125 summarized in Additional file 1, together with clinical and survival data. Survival data was
126 available in 54 PCNSL and 9 SCNSL cases.

127

128 *MicroRNA profiling using the NanoString platform*

129 RNA isolation from 64 PCNSL and 9 SCNSL samples was performed using the RecoverAll™
130 kit (Life Technologies/Ambion, Inc, Foster City USA) according to the manufacturer's
131 instructions. Approximately 100 ng of total RNA from each sample was analyzed using the
132 Human v3 miRNA expression assay kit, following manufacturer's instructions (NanoString
133 Technologies, Inc., Seattle, USA). The Human v3 miRNA assay covers 98% of miRNA
134 sequences found in miRBase v22, including 798 probes that recognize human miRNAs and 29
135 assay control probes. Raw data were pulled from digital analyzer and imported into nSolver4.0

136 (NanoString[™]) for data quality check. Data sets that passed all QC checks (including imaging
137 quality, binding density, positive control linearity and limit of detection) were exported as a
138 .csv file for downstream statistical analysis. Raw miRNA counts for all samples are available
139 in the GSE162956 dataset at NCBI GEO.

140

141 *Nanostring data normalization*

142 Raw NanoString read counts and clinical information was imported into the R statistical
143 environment (version 3.5.1). We discarded human miRNAs with extremely low expression
144 (read count ≤ 1 in more than 60 samples) from all analysis, resulting in 781 human miRNAs,
145 besides the control probes and housekeeping genes. Data normalization was done with the
146 NanoStringNorm package (version 1.2.1) [41] using the following options: CodeCount =
147 “geo.mean”, Background = “mean.2sd”, SampleContent = “housekeeping.geo.mean”,
148 OtherNorm = “quantile”. We used the ath, cel, osa and NEG miRNA classes as negative
149 controls, the POS class as positive controls, the *ACTB*, *B2M*, *GAPDH*, *RPL19* and *RPLP0* genes
150 as housekeeping genes, and everything else as endogenous miRNAs. During the initial data
151 assessment, we considered those miRNAs expressed, that had a larger normalized expression
152 value than the lowest positive control (Additional file 2). We calculated the minimum,
153 maximum and average expression of all miRNAs across all samples, together with the number
154 of samples where a miRNA was expressed across all samples using the normalized expression
155 values. Additionally, we calculated the minimum, maximum, average and median values for
156 the PCNSL and SCNSL samples separately. Based on the median expression values we ranked
157 the miRNAs and categorized them into 15 separate expression groups.

158

159 *Differential expression analysis*

160 We used the limma package (version 3.38.3) for differential expression [42]. We included the
161 primary or secondary category, the molecular subtype, [origin of sample \(institute or](#)
162 [department\)](#)~~institute~~, degradation time and NanoString scan dates in the linear model as
163 covariates during differential expression analysis. We converted the normalized read counts
164 with the voom [43] function of limma, fitted the linear model with the lmFit function and
165 calculated p-values with the eBayes function [44]. The raw p-values were FDR adjusted using
166 the Benjamini-Hochberg method. We considered miRNAs differentially expressed with FDR
167 adjusted p-value < 0.05 and absolute log2 fold change between conditions > 1 (Additional file
168 3).

169 We carried out a number of comparisons between different sample groups. First, we calculated
170 differentially expressed miRNAs between the primary and secondary samples. Additionally,
171 we calculated differentially expressed miRNAs between the different molecular subtypes,
172 within the primary samples. This included the GC vs ABC, UC vs ABC and GC vs UC
173 comparisons. Finally, we calculated differences between the different sample groups stratified
174 by mutation status, using the *CARD11*, *CCND3*, *CD79B*, *CSMD2*, *CSMD3*, *IRF4*, *KMT2D*,
175 *MYC*, *MYD88*, *PAX5*, *PIM1*, *PRDM1* and *TP53* genes (Additional file 3).

176

177 ***Principal component analysis and unsupervised clustering***

178 During the principal component analysis, we used the normalized and voom transformed data,
179 additionally removing the potential batch effects of the institute, scan date and degradation time
180 with the removeBatchEffect function of the limma package. We calculated the principal
181 components with the pcomp R function with the scale. parameter set to TRUE, and plotted the
182 first and second principal components.

183 During the binary hierarchical clustering of the samples, we used the voom transformed,
184 normalized read counts of all endogenous miRNAs, after removing the potential batch effects

185 of the institute, scan date and degradation time with the `removeBatchEffect` function of the
186 `limma` package. First, we binarized the expression values [45] with a cut-off of 12. All miRNAs
187 below this threshold were considered not expressed, while the rest was considered expressed.
188 Using the expressed/not expressed status of the miRNAs across all samples, we calculated
189 sample distances using the `dist` function from R, with the binary method, and did a hierarchical
190 clustering using the `hclust` function, with the `ward.D2` method. We manually defined a “small”
191 and a “big” cluster of samples (Additional file 4), and carried out a Fisher-test, using a 2x2
192 contingency table (expressed/not expressed and small/big cluster) to check for miRNAs whose
193 expression is associated with the small and big clusters (Additional file 5). The Fisher-test p-
194 values were FDR adjusted using the Benjamini-Hochberg method and miRNAs with FDR
195 adjusted p-value < 0.05 were considered associated with the cluster type. We repeated the
196 binary hierarchical clustering using only the primary samples without changing any other
197 parameter.

198 Additionally, we did a k-means clustering on the data, where we also used the `voom`
199 transformed, normalized read counts of all endogenous miRNAs after removing the potential
200 batch effects of the institute, scan date and degradation time with the `removeBatchEffect`
201 function of the `limma` package. We used `kmeans` function of R, with the `iter.max = 1000`
202 parameter and setting the cluster number to 4. We clustered both the samples and the miRNAs.
203 During data visualization we dropped the largest miRNA cluster as it mainly contained
204 miRNAs that were not expressed in most samples or had a very low expression level. We
205 repeated the k-means clustering using only the primary samples without changing any other
206 parameter.

207

208 *miRNA pathway enrichment analysis*

209 We used the miRNet database (accessed and downloaded on 2019-08-29) to collect putative
210 targets of the differentially expressed miRNAs [46]. We downloaded all gene and lncRNA
211 targets of these miRNAs from bone-marrow or brain tissue-based experiments. We considered
212 a gene up- or downregulated if at least two of its putative regulators from miRNet were
213 differentially expressed in the opposite direction in a specific tissue type. For example, the
214 AGO1 gene was considered downregulated in the secondary vs primary comparison, as 7 of its
215 regulatory miRNAs defined in miRNet were upregulated in the secondary vs primary
216 comparison based on the NanoString analysis. Based on this filtering criteria, only bone
217 marrow-based mRNA interactions were considered for further downstream analysis.
218 Additionally, we downloaded version 7 of the MSigDB gene sets [47] and did a Fisher-test
219 using a 2x2 contingency table as follows: genes were either part of a gene collection or not, and
220 based on the miRNet analysis they were putatively differentially expressed or not. The Fisher-
221 test p-values were FDR adjusted using the Benjamini-Hochberg method and gene collections
222 with FDR adjusted p-value < 0.05 were considered enriched in a specific comparison
223 (secondary vs primary, etc) (Additional file 6).

224

225 ***Survival analysis***

226 Survival data was available for 63 patients with the overall survival in months, last follow-up
227 date, and survival status. We used only the 54 primary samples during analysis, and stratified
228 patients according to the binary expression status of the investigated miRNAs, or the big/small
229 cluster classification. We used the survival package (version 2.44-1.1) [48] to create Surv objects
230 in R and calculated log-rank test based p-values using the survminer (version 0.4.6) [49]
231 package. The log-rank p-values were FDR adjusted using the Benjamini-Hochberg method
232 (Additional file 7).

233

234 ***Validation of NanoString miRNA expression data***

235 10 miRNAs with significant differential expression among subgroups were selected (Additional
236 file 8 and 9) based on NanoString expression data. Additionally, 3 miRNAs with stable
237 expression in the cohort were used as endogenous control.

238 ***Validation with droplet digital PCR***

239 The selected samples were individually retro-transcribed with the TaqMan™ MicroRNA
240 Reverse Transcription Kit (Applied Biosystems, USA) following manufacturer's instructions.
241 100 ng miRNA from each selected sample was retro-transcribed with specific TaqMan™
242 miRNA assays (Applied Biosystems, USA) in ProFlex Thermal Cyclers (Applied Biosystems,
243 USA) using the following parameter values: 16°C for 30 min, 42°C for 30 and 85°C for 5 min.
244 cDNAs were further diluted with 50 ul nuclease-free water to obtain a final volume of 77 ul. 10
245 ul diluted cDNA sample were used in the subsequent ddPCR reactions with 1 ul of the specific
246 TaqMan™ miRNA assays and 11 ul of ddPCR Supermix for Probes (No dUTP) (Bio-Rad
247 Laboratories, USA). Following droplet generation, target miRNAs were amplified in a C1000
248 Touch Thermal Cycler (Bio-Rad Laboratories, USA) with parameters as follows: 10 min at
249 95°C for enzyme activation, followed by 40 cycles of denaturation at 94°C for 30 s and 1 min
250 annealing/extension at 55°C, enzyme deactivation step set at 98°C for 10 min, and a final hold
251 step at 4°C for an infinite time period. Results were analyzed using the QuantaSoft software
252 (version 1.7; Bio-Rad, USA). All ddPCR reactions were performed with the detection of
253 adequate events (>10000 droplets per sample). Results were determined in copy number per ul.

254 ***Validation with qPCR***

255 Further NanoString miRNA expression data validation was completed with quantitative PCR.
256 Reverse Transcription was performed as described above with the exception that each miRNA
257 target was individually retro-transcribed with an endogenous control miRNA. 5 ul of the cDNA
258 samples were amplified in duplicates using 1.5 ul of the target and endogenous control specific

259 TaqMan™ miRNA assays with 7.7 ul 2x TaqMan puffer (Applied Biosystems, USA) and 10.5
260 ul nuclease-free water on a Quantstudio 3 Real-Time PCR system (Applied Biosystems, USA).
261 Ct values for sample target and endogenous miRNAs were determined as the average Ct value
262 of the duplicates. Δ Ct values were obtained extracting Ct values of endogenous control
263 miRNAs from the Ct values of target miRNAs, which were subsequently used for statistical
264 analysis.

265

266

267 **Results**

268 *Distribution of molecular subtype categories in CNS lymphomas*

269 Based on the NanoString LST-assay the PCNSL cases consisted of 78.1% (50/64) ABC, 15.6%
270 (10/64) GC and 6.3% (4/64) UC molecular subtypes. Among the SCNSL cases, 44.4% (4/9)
271 were classified as ABC- and 55.6% (5/9) as GC-subtypes (Figure 1A).

272

273 *General miRNA expression patterns in CNS lymphomas*

274 The NanoString panel contained 798 endogenous, 5 housekeeping genes (*ACTB*, *B2M*,
275 *GAPDH*, *RPL19*, *RPLP0*), 9 positive and 16 negative controls. Based on the normalized
276 expression values, almost half of the investigated miRNAs (381) were not expressed in any
277 sample, and 54 were expressed only in one. On the other hand, 12 miRNAs were expressed in
278 72 and 2 miRNAs in all 73 samples (Additional file 2).

279 We compared the median expression of endogenous miRNAs between PCNSL and SCNSL
280 samples (Figure 1B). miRNAs that have a non-zero median expression in both groups are highly
281 correlated (Spearman correlation 0.89). We found 676 and 661 miRNAs in PCNSL and SCNSL
282 respectively, that had rank in the bottom 10 % based on median expression. On the other hand,
283 hsa-miR-4454+hsa-miR-7975 is an extreme outlier, with a larger median expression than most
284 of the positive controls. We noticed that the hsa-miR-4454 expression detected by NanoString
285 might be confounded by the expression of tRNA^{His}, as the miRNA is identical to the 3' end of
286 the tRNA [50].

287

288 *Differential miRNA expression between primary and secondary CNS lymphomas and*
289 *molecular subtypes*

290 We analyzed differential expression patterns of the miRNAs between PCNSL and SCNSL
291 cases and different molecular subtypes using the limma R package, after removing known
292 confounding factors. With an absolute log₂ fold change > 1 and FDR value < 0.05 cut-off, we
293 found 31, 4 and 3 differentially expressed miRNAs between PCNSL and SCNSL cases, GC
294 and ABC subtypes, and UC and ABC subtypes, respectively (Figure 2A, Table 1 and Additional
295 file 3). Twenty-eight miRNAs showed increased and three showed decreased expression in
296 SCNSL compared to PCNSL. Three miRNAs showed lower and one miRNA higher expression
297 in GC compared to ABC cases. All three differentially expressed miRNAs showed lower levels
298 in UC compared to ABC subtypes (Additional file 3).

299

300 *miRNA expression profile association to mutation status*

301 We ~~checked~~assessed if miRNA expression profiles ~~are~~were associated ~~to~~with the mutation
302 status of specific genes. We found 8 differentially expressed miRNAs associated ~~to~~with the
303 mutation status of gene *PRDM1*, and one miRNA associated ~~to~~with the mutation status of
304 genes *C-MYC* and *CARD11* each (Figure 2B, Table 1 and Additional file 3).

305 We also identified 8, 5 and 2 differentially expressed miRNAs in cases with *PAX5*, *CSMD3*
306 and *CSMD2* mutations. However, there were only two samples harboring mutation in each of
307 these genes, therefore, the results must be considered with caution (Additional file 3).

308

309 *Unsupervised clustering of miRNA expression data*

310 We checked if the data grouped according to disease characteristics using principal component
311 analysis (PCA). The PCA results showed that we have no ~~clear~~distinct groups based on disease
312 subtype (primary or secondary) or molecular subtype (Figure 3). Additionally, the samples do

313 not ~~group-cluster~~ based on RNA isolation group, ~~institute~~[place of origin](#), scanning date,
314 degradation time, % tumor content, age or sex (Supplementary Figure 1 – 8). As we could not
315 define any specific grouping of samples based on this analysis, we investigated the miRNA
316 expression patterns further, using additional clustering methods.

317 First, we did a binary clustering of the data (Figure 4), where we only considered the
318 expressed/not expressed status of miRNAs. Based on the binary clustering, two sample groups
319 ~~are~~[become](#) apparent. A small group, consisting of 8 samples are clearly separate from the rest
320 (Additional file 4). The binary expression patterns of this group are markedly different from the
321 larger group. For example, the hsa-miR-93-5p and hsa-let-7d-5p miRNAs were not expressed
322 in any samples from the small cluster, while the hsa-miR-181a-5p miRNA was expressed only
323 in one sample. These miRNAs were expressed only in a small fraction of the large cluster.
324 Considering these expression patterns, we carried out a systematic analysis and validated if the
325 expression of specific miRNAs is significantly associated with the small and large groups using
326 a Fisher test. Based on the test results, we found 19 miRNAs, whose expression patterns are
327 associated with the clusters (Additional file 5). Interestingly, we only found miRNAs, whose
328 lack of expression was associated with the small cluster, and did not find any, whose presence
329 of expression was associated with the same cluster. Based on these patterns, we initially thought
330 that the small cluster might be the result of a particularly bad quality sample group or strong
331 technical bias. However, based on the normalized, batch-corrected PCA analysis
332 (Supplementary Figures 1-8) of the expression data, this does not seem to be true. The cluster
333 remains even after correcting for all known technical biases, and also does not seem to be
334 associated with age or sex.

335 After the binary clustering analysis, we repeated sample clustering using a k-means based
336 method and instead of the binary expression data, we used normalized expression values (Figure
337 5). Based on visual inspection, we decided to use 4 clusters for the k-means algorithm, both for

338 the sample and the miRNA level analysis. Interestingly, the second largest k-means sample
339 cluster (SCluster1) consisting of 10 samples, partially overlaps with the “small” cluster defined
340 in the binary expression analysis (Additional file 4). In summary, both the binary expression
341 clustering and the k-means clustering defined a small set of samples with markedly distinct
342 miRNA expression patterns from the rest.

343 After inspecting the miRNA k-means clusters, we noticed that the expression of miRNAs in the
344 second largest cluster (MCluster1) in SCluster1 is low for most of the samples. We checked the
345 overlap of MCluster1 miRNAs with the 19 miRNAs that had significantly different binary
346 expression in the previous analysis. All of the 19 miRNAs were present in the new k-means
347 based cluster. Therefore, MCluster1 contains those miRNAs whose expression pattern defines
348 the small sample cluster seen in all of the above analysis.

349 Repeating both analysis using only PCNSL samples leads to similar results, with the only
350 difference being that the “small” binary cluster contains an additional sample with sample
351 number 33 (Supplementary Figures 9 and 10).

352

353 *Pathway enrichment of differentially expressed miRNAs*

354 After the differential expression analysis, we asked if specific pathways might be up- or
355 downregulated in a specific comparison. Assuming that the differential regulation of a specific
356 miRNA will lead to the differential regulation of its target mRNAs in the opposite direction,
357 we carried out a pathway enrichment analysis, based on validated miRNA – mRNA interactions
358 from the miRNET database. Due to the low number of differentially expressed miRNAs, we
359 were able to do this analysis only in the case of SCNSL vs PCNSL and PRDM1 mutated vs
360 non-mutated comparisons. We found several pathways that are putatively downregulated in
361 these cases (Figure 6 and Additional file 6). The G2M checkpoint, PI3K-AKT-MTOR

362 signaling, TGF-beta signaling pathways, MYC target and androgen response genes were
363 downregulated in both comparisons besides a number of other pathways or gene sets.
364 Additionally, in the SCNSL vs PCNSL comparison TNF-alpha signaling, apoptosis and UV
365 response genes, the P53 pathway and E2F target genes were also downregulated.

366 We carried out a similar analysis using the 19 miRNAs defined during the binary clustering,
367 that are not expressed in our “small cluster”. However, in this case, as the miRNAs are not
368 expressed in the small sample cluster, and the associated enriched pathways are putatively
369 upregulated in the same samples. Pathways include the unfolded protein response, connected
370 to cellular stress, the P53 and MTORC1 signaling pathways, the epithelial to mesenchymal
371 transition or the hypoxia gene sets and UV response genes.

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372

373 *miRNA expression profile association to survival characteristics*

374 Finally, we asked whether the expression profile of specific miRNAs is associated to patient
375 survival. We stratified patients according to the binary expression status of miRNAs and using
376 the log-rank test, calculated the significance of overall survival (OS) differences between
377 groups (Additional file 7). The only miRNA showing difference was hsa-miR-4488, with an
378 FDR of 0.022 (Supplementary Figure 11 and 12). Repeating the analysis with only PCNSL
379 samples (Additional file 7), we found no significant differences between groups after FDR
380 correction. hsa-miR-18a-5p had the lowest FDR value (0.12). Of note, the survival analysis is
381 hampered by the relatively low number of cases and the heterogeneous nature of the treatment
382 regimens applied in this cohort.

383

384 *Validation of NanoString results*

385 Based on the differential expression results, we selected a number of miRNAs for additional
386 validation. We used quantitative RT-PCR and dd-PCR for different miRNAs. (Additional file
387 8). Using the RT-PCR delta-CT values and the dd-PCR counts, we correlated the validation
388 results with the original and normalized NanoString read counts (Additional file 9). RT-PCR
389 delta-ct values should show a high negative correlation, while dd-PCR counts should show a
390 high positive correlation with NanoString read counts or normalized expression values. We
391 could validate hsa-miR-411-5p and hsa-miR-32-5p using RT-PCR, where the correlation of
392 delta-ct values with the normalized read counts was ≤ -0.6 . Additionally, we validated hsa-
393 let7g-5p, hsa-miR-191-5p and hsa-miR-379-5p using dd-PCR where the correlation of dd-PCR
394 read counts with the NanoString read counts was ≥ 0.6 , besides hsa-miR-411-5p where the
395 correlation of normalized read count with dd-PCR counts was 0.67 and the correlation of the
396 original NanoString read count with the dd-PCR count was 0.47.

397

398

399 **Discussion**

400 CNS lymphomas represent a considerable clinical challenge, as their molecular pathogenesis is
401 poorly explored. CNS lymphomas are difficult to investigate as they are rare, and usually small
402 biopsies are taken for diagnostic purposes, which may not be sufficient for further analysis [3,
403 4] in many cases. Moreover, the majority of these biopsies are archived as FFPE tissue blocks,
404 which suffer from degradation of macromolecules [51-56]. However, microRNAs provide a
405 robust signal and can be stably extracted from FFPE samples [20-22], making them preferential
406 as cancer biomarkers. They are also promising targets for molecular therapy in cancer, due to
407 their role as oncomiRs or tumor-suppressors [57-59]. The NanoString nCounter technology
408 allows direct quantitation of hundreds of miRNA transcripts from FFPE tissues with
409 outstanding performance, therefore it may be a preferable choice over other transcriptomic
410 methods [23-26].

411 Previous expression studies of PCNSL revealed various miRNAs with a potential diagnostic
412 [28, 29, 31, 33, 34], prognostic [34-36] or predictive [33] value. These datasets were generated
413 by different methods, ~~and used with the use of~~ diverse patient-derived sample types and control
414 tissues [28-39], thus being difficult to synthesize and integrate. Moreover, most studies
415 examined only a few miRs [28-30, 33, 34, 37] on a limited number of CNS lymphoma cases
416 [28, 29, 32-39]. There is only a single study in the literature discussing the miR expression
417 differences between PCNSL and SCNSL [31]. The authors demonstrated in CSF samples that
418 miR-16, miR-30b, miR-30c, miR-191 and miR-204 were upregulated and miR-222 was
419 downregulated in SCNSL, with miR-30c showing the largest expression difference [31].

420 In this study, we performed expression profiling of 798 human miRNAs in a large number of
421 CNS lymphoma cases. We compared the miR expression patterns of FFPE brain biopsy
422 specimens of primary and secondary CNS lymphomas to minimize the tissue bias that may well

423 be presented with the examination of systemic (nodal) DLBCL. We used the NanoString
424 platform, which is a reliable method for miR expression analysis of FFPE tissues [23-27].

425 We identified 28 up- and 3 downregulated miRs in SCNSL compared with PCNSL (Table 1).

426 Amongst these, we ~~find~~found miR-30c-5p, which ~~has~~had already been described to be
427 significantly increased in CSF ~~specimens~~samples of patients with SCNSL compared with
428 PCNSL [31]. In general, miR-30c-5p has a tumor-suppressive role in cancer pathogenesis, and
429 shows low expression in various malignancies (reviewed in [60]) which is in line with our
430 findings (median expression: 11.18 and 0; rank: 137 and 147 in SCNSL and PCNSL samples,
431 respectively) (Additional file 2). Its significantly lower expression in PCNSL may contribute
432 to their more aggressive behavior. Multiple studies have found higher expression of miR-21, a
433 well-known oncomiR [61], both in PCNSL and DLBCL cases compared to controls [16, 28,
434 29, 33, 34, 62-66]. In our study miR-21-5p generally showed high expression (median
435 expression: 3278.55 and 2926.61; rank: 16 and 17 in SCNSL and PCNSL samples,
436 respectively) with a significant increase in SCNSL cases. Higher expression of miR-21 has also
437 been associated with worse overall survival in DLBCL patients [67]. We found 3 members of
438 the miR-17-92 cluster (miR-19a-3p, miR-18a-5p and miR-106b-5p) to be upregulated in
439 SCNSL compared with PCNSL, with a moderately high overall expression (median expression:
440 606.62 and 58.34, 1088.28 and 383.75, and 763.30 and 263.06; rank: 70 and 94, 52 and 72, and
441 62 and 75, respectively in SCNSL and PCNSL samples). This miR cluster has a strong
442 oncogene activity in various malignancies including DLBCL [68-71]. Higher expression of
443 miR-18a was found to be associated with a shorter overall survival in DLBCL [72]. Previous
444 studies demonstrated other members of the miR-17-92 cluster (miR-17-5p and miR-20a) to be
445 upregulated in PCNSL compared with nodal DLBCL [32, 37]. Moreover, high levels of miR-
446 19b-1 and miR-92a-1 were detected in the CSF of PCNSL patients [28, 29].

447 Regarding the molecular subtypes, cases in the ABC group showed significantly higher
448 expression of miR-155-5p, miR-222-3p and miR-522-3p and lower expression of miR-92a-3p
449 compared with the GC group. In line with our results, higher expression of miR-155-5p [16,
450 19, 64, 65, 71, 73-76] and miR-222-3p [18, 71, 73] has already been associated with the ABC
451 subtype in DLBCL. We found that the ABC molecular subtype also correlated with higher miR-
452 522-3p, miR-454-3p and miR-455-5p expression compared with the UC subgroup.

453 This is the first study demonstrating differentially expressed miRNAs in association with the
454 mutational status of the *PRDM1*, *C-MYC* and *CARD11* genes in CNS lymphomas. According
455 to the literature, the only miRNA that has already been connected to any of these genes is miR-
456 30a-5p, which directly targets *PRDM1* and modulates the WNT/beta-catenin pathway [77].
457 However, this association is not connected to the mutational status of *PRDM1* itself.

458 It is widely known, that the different miRNA profiling platforms do not perform ~~evenly~~
459 ~~consistently~~ [24-27]. Nevertheless, we successfully validated the observed expression patterns
460 of miR-148b-3p, miR-32-5p, miR-411-5p and miR-379-5p by ddPCR and/or RT-PCR methods.

461 Based on our data, pathway enrichment analysis revealed several downregulated pathways and
462 gene sets in SCNSL compared with PCNSL. Additionally, *PRDM1* mutation was also
463 associated with the downregulation of several pathways. Even though the evidence is
464 ~~circumstantial~~~~indirect~~, as we did not directly measure the differential regulation of the genes
465 ~~consisting-comprising~~ a pathway, ~~but~~ only their regulators, these pathways might be attractive
466 targets for future drug development. The constitutive activation of NF- κ B was already
467 described in the literature [78-80] for DLBCL, ~~besides-apart from~~ the activation of the PI3K-
468 MTOR-AKT [79-81]. Based on our results, these pathways are generally more active in PCNSL
469 compared to SCNSL and ~~available~~-drugs [82] ~~available to target~~ing these pathways might be
470 more effective for a selection of PCNSL cases. The unfolded protein response pathway was

471 similarly upregulated in PCNSL cases. This pathway is considered as a general pro-survival
472 mechanism for cancer cells [83], and small molecule inhibitors targeting the pathway are
473 becoming available, suggesting a possible ~~threapeutic~~therapeutic target in PCNSL, as these
474 lymphomas might be more sensitive to ~~the~~treatment. Additional upregulated pathways in the
475 PCNSL vs SCNSL comparison include protein secretion, the ~~P53-p53~~p53 pathway, MYC target
476 genes, the G2M checkpoint, E2F transcription factor target genes, apoptosis genes, and genes
477 related to androgen response. Considering that PCNSL is a rare and aggressive disease and the
478 prognosis is poor [84], the pathways and molecular mechanisms analyzed in this study might
479 be considered as novel drug targets.

480 Considering the pathway level changes in PRDM1 mutated samples, some drugs might be more
481 effective in patients without PRDM1 mutations. The TGF-Beta signaling, the PI3K-MTOR-
482 AKT, MYC target genes, G2M checkpoint genes and androgen response genes are all
483 downregulated in samples with PRDM1 mutation, therefore the efficiency of their inhibitors
484 might be decreased [80, 82, 85].

485 Intriguingly, principal component analysis (PCA) showed no clustering of cases according to
486 either disease characteristics or subtypes even after accounting for the various batch effects and
487 biases. Subsequent binary clustering of the cases according to miRNA expression (expressed
488 or not expressed) revealed a small group of 8 samples clearly separating from the rest.
489 Additional sample clustering using a k-means based method with normalized expression values
490 defined a sample cluster (SCluster1) consisting of 10 samples. Five samples from SCluster1
491 also overlaps with the small cluster defined in the binary expression analysis. Taken together,
492 unsupervised clustering methods defined a small set of samples with markedly distinct miRNA
493 expression patterns. Interestingly, the lack of expression of 19 miRNAs was found to be
494 associated with the small cluster in the binary clustering analysis. Moreover, all of these 19
495 miRNAs were part of a miRNA k-means cluster (MCluster1) in SCluster1. Pathway enrichment

496 analysis shows similar pattern in this small distinct set of samples to PCNSL, with even more
497 pronounced changes compared to the SCNSL vs PCNSL analysis. The WNT/beta-catenin
498 pathway activated here, was described as activated in PCNSL [86], and the small set of samples
499 defined here might be an unknown PCNSL subgroup where the pathway can be efficiently
500 targeted with Wnt inhibitors [87]. Similarly, many other pathways that were upregulated in the
501 PCNSL vs SCNSL comparison, are also upregulated here, therefore inhibitors targeting them
502 [79-81] might be more effective. Based on these results, this is a well-defined sample group
503 within the PCNSL cases contributing significantly to the differing expression patterns between
504 PCNSL and SCNSL.

505 Survival analysis of all cases using the binary expression data showed miR-4488 to be
506 significantly associated with a worse overall survival, however, we did not find any association
507 when analyzing PCNSL samples solely. It is important to highlight that these results are limited
508 by the modest number of cases and the heterogeneous nature of the treatment regimens applied
509 in this cohort.

510 **Conclusions**

511 Our results could be the basis of future research on a larger number of PCNSL cases to prove
512 the importance of specific miRs and pathways in the pathogenesis of PCNSL, in order to
513 discover novel therapeutic targets or biomarkers.

514

515 **Declarations**

516 *Ethics approval and consent to participate*

517 Permissions to use the archived tissue have been obtained from the Local Ethical Committee
518 (TUKEB-1552012) and from BRAIN UK (Ref.: 16/018), and the study was conducted in
519 accordance with the Declaration of Helsinki.

520 *Consent for publication*

521 Not applicable.

522 *Availability of data and materials*

523 The datasets supporting the conclusions of this article are included within the article, its
524 additional files and at the NCBI GEO database with accession number GSE162956.

525 *Competing interests*

526 The authors declare the following competing interest. JS and NC were employees of NanoString
527 Technologies when the original NanoString analyses were carried out. JS is currently an
528 employee of Veracyte. NC is currently an employee of Adaptive Biotechnologies.

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541 ***Author's contributions***

542 ES analyzed all NanoString data, interpreted the results and wrote the paper, AN did the RT-
543 PCR and ddPCR validation, DM isolated the RNA samples, HR revised histology, BK
544 contributed samples and clinical data, BD contributed clinical data, AM revised histology, SB
545 contributed samples and clinical data, JS and NC coordinated the NanoString studies, NC
546 performed NanoString data QC and analysis, AGB contributed samples and clinical data, CsB
547 designed and coordinated the study, LR designed and coordinated the study, contributed
548 samples, interpreted the results and wrote the paper. All authors read and approved the final
549 version of the manuscript.

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832 **Figure legends**

833 **Fig. 1** Sample characteristics and general expression patterns. **a)** Total number of primary and
834 secondary central nervous system lymphoma samples categorized by molecular subtypes. **b)**
835 Median normalized expression of miRNAs in PCNSL (x-axis) and SCNSL (y-axis) samples.
836 Both axes are \log_{10} based, and the hexagon color scale shows the number of miRNAs falling
837 into a particular median expression range. As can be seen on the plot (bright yellow hexagon in
838 the bottom left corner), a large number of miRNAs had a 0 or near 0 expression in both sample
839 types. The Spearman correlation of PCNSL and SCNSL values is 0.89.

840 **Fig. 2** Differential expression analysis between sample groups. **a)** Volcano-plot of differential
841 expression results comparing secondary and primary samples, the GC and ABC or UC and
842 ABC sample groups. The x-axis shows the \log_2 fold change of a specific miRNA, while the y-
843 axis shows the $-\log_{10}$ transformed FDR corrected p-value. **b)** Volcano-plot of differential
844 expression results comparing mutated and non-mutated samples for a specific gene, where the
845 color of the dots correlates with the number of mutated samples. As in panel **a)** x-axis shows
846 the \log_2 fold change of a specific miRNA, while the y-axis shows the $-\log_{10}$ transformed FDR
847 corrected p-value.

848 **Fig. 3** Principal component analysis of miRNA expression patterns of all samples, after
849 removing potential batch effects from the normalized and voom transformed data. The shape
850 of the points shows the primary or secondary disease category, while the color corresponds to
851 the molecular subtype.

852 **Fig. 4** Unsupervised clustering of samples using binary (ON/OFF) miRNA expression patterns.
853 The heatmap shows the binary expression pattern of miRNAs that were considered expressed
854 in at least one sample. Columns correspond to samples, while rows correspond to a specific
855 miRNA. Yellow tiles show expressed, purple tiles show non-expressed miRNAs. On top of the

856 heatmap a hierarchical clustering tree shows the relationship between samples. miRNAs that
857 were not expressed in any of the samples are not shown.

858 **Fig. 5** Unsupervised clustering of samples using normalized miRNA expression patterns. The
859 heatmap shows the k-means clustering of miRNAs after normalization, voom transformation
860 and batch effect removal. Columns correspond to samples, while rows correspond to a specific
861 miRNA. Heatmap colors show expression intensity. We removed the largest miRNA cluster
862 (Mcluster2) from the visualization as it contained mainly miRNAs with zero or very low
863 expression.

864 **Fig. 6** Gene set enrichment analysis. MSigDB pathways deregulated in specific comparisons,
865 based on the differential expression patterns of miRNAs, from PRDM1 mutated and non-
866 mutated or secondary and primary samples. Additionally, the figure shows the putatively
867 deregulated pathways using the 19 miRNAs showing a significant association with the
868 unknown “small cluster” based on the unsupervised clustering of expression data. The x axis
869 shows the $-\log_{10}$ transformed, FDR corrected Fisher-test p-values, the y axis lists the
870 deregulated MSigDB cancer hallmarks, and the dot size is proportional to the Fisher-test odds
871 value. The dot colors correspond to the three different categories investigated (PRDM1 mutated
872 vs wild-type, SCNSL vs PCNSL and the unknown small cluster). The light red background of
873 the “Unknown small cluster” facet indicates that pathways in this analysis are upregulated,
874 while pathways in the other two comparisons are downregulated.

875

876 **Additional files**

877 **Additional file 1.** Sample information. The table contains available clinical and sample
878 information including age, sex, survival status, clinical treatment, mutation status of selected

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879 genes, tumor content, primary/secondary and molecular subtype classification, sample and
880 RNA isolation year, institute, RNA isolation group, and scan date.

881 **Additional file 2.** Summary statistics of normalized NanoString read counts. The first tab
882 includes the minimum (*expression_min*), maximum (*expression_max*) and average expression
883 (*expression_mean*) across all samples, together with the number of samples where the miRNA
884 was expressed (*is_expressed*). A miRNA was considered expressed if it had a higher expression
885 than the lowest positive control. The second tab contains minimum (*expression_min*),
886 maximum (*expression_max*), average (*expression_mean*), and median (*expression_median*)
887 expression across PCNSL or SCNSL samples (*pri_sec*). Additionally, it contains the rank of
888 median expression among all miRNAs (*expression_rank*) and the expression groups (*group*),
889 based on the ranks.

890 **Additional file 3.** Differential expression results. Limma-voom based differential expression
891 results. The table contains the log2 fold change (*log2fc*), and false discovery rate (*FDR*) for
892 each miRNA in each comparison (*Condition*). Additionally, it contains the number of samples
893 with a specific mutation (*Mutation_count*), for the mutated – non-mutated sample comparisons
894 and a Yes/No categorization showing if a specific miRNA was considered changing
895 significantly in a specific condition (*significant*).

896 **Additional file 4.** Sample clusters. Summary of different clustering analysis methods, showing
897 sample ids (*sample_id*) that were included in the unknown “small cluster” based on a specific
898 method (*Binary cluster PCNSL+SCNSL*, *Binary cluster PCNSL only*, *Kmeans cluster*
899 *PCNSL+SCNSL*, *Kmeans cluster PCNSL only*). The table shows the number of times a sample
900 id was present in the small cluster during a specific analysis (*Present in cluster*) and the size of
901 the cluster in a specific analysis (*Cluster size*).

902 **Additional file 5.** Small cluster associated miRNAs. List of miRNAs that show a significant
903 association with the “small cluster”. The table shows the miRNA id (*mirna_id*), the number of
904 samples in the two clusters with expressed/not expressed status (*Big_exp*, *Small_exp*,
905 *Big_noexp*, *Small_noexp*), the Fisher-test p-value (*fisher_p*), odds ratio (*fisher_odds*) and FDR
906 (*fisher_fdr*).

907 **Additional file 6.** Pathway enrichment analysis. The table shows all MSigDB pathways and
908 gene collections, that were significantly enriched in a specific condition. Besides the condition
909 (*condition*) and the MSigDB id (*pathway*), it includes the MSigDB class (*msigdb_class*), the
910 number of genes considered differentially expressed/not differentially expressed, in the
911 pathway/not in the pathway (*pathway_de*, *pathway_not_de*, *not_pathway_de*,
912 *not_pathway_not_de*), the Fisher-test p-value (*fisher_p*), odds ratio (*fisher_odds*) and FDR
913 (*fisher_fdr*).

914 **Additional file 7.** Survival analysis. The table shows the results of the survival analysis, where
915 samples were stratified based on the expressed/not expressed status of a miRNA. Columns
916 include the miRNA id (*mirna_id*), log-rank survival test p-value (*pval*), FDR (*FDR*), the
917 number of samples with or without expression (*n0* and *n1*) and the survival analysis category
918 (*category*).

919 **Additional file 8.** Validation results. Results of the RT-PCR and dd-PCR validations, including
920 miRNA id (*mirna_id*), sample id (*sample_id*), box number (*bx_no*), validation type
921 (*measurement*), ct, delta-ct, or dd-PCR count values (*value*).

922 **Additional file 9.** Correlation of PCR based validation and NanoString data. Spearman
923 correlation of RT-PCR delta-ct or dd-PCR (*condition*) count values with the NanoString counts
924 (*spearman_corr_count*) or normalized (*spearman_corr_expr*) NanoString values for the

925 validated miRNAs (*mirna_id*), besides the number of samples (*sample_number*) used for
926 validation.

927

928 **Supplementary figure 1-7.** Principal component analysis of miRNA expression patterns of all
929 samples, after removing potential batch effects from the normalized and voom transformed
930 data. The color of the points corresponds to various possible technical and biological
931 confounding factors, including RNA isolation group, institute, scan date, degradation time in
932 years, % tumor content (gray dots mean data not available), age or sex. Grey points correspond
933 to samples with missing information.

934 **Supplementary figure 8.** Principal component analysis of miRNA expression patterns of all
935 samples, after removing potential batch effects from the normalized and voom transformed
936 data. The plot shows the sample IDs.

937 **Supplementary figure 9.** The unsupervised clustering of samples, similar to **Figure 3.**, but
938 excluding SCNSL samples.

939 **Supplementary figure 10.** The unsupervised clustering of samples using normalized miRNA
940 expression patterns, similar to Figure 4., but excluding SCNSL samples.

941 **Supplementary figure 11.** Survival curve of patients stratified based on the binary expression
942 (ON/OFF) of hsa-miR-4488.

943 **Supplementary figure 12.** Normalized NanoString read count density of hsa-miR-4488 across
944 samples, separated by the binary expression (ON/OFF) classification. The hsa-miR-4488
945 miRNA in a specific sample might be considered not expressed, even with a larger than zero
946 number of reads.