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## Advances in the application and utility of subseasonal-to-seasonal

## predictions

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3	Christopher J. White, <sup>a</sup> * Daniela I. V. Domeisen, <sup>b</sup> Nachiketa Acharya, <sup>c</sup> Elijah A. Adefisan, <sup>d</sup>
4	Michael L. Anderson, <sup>e</sup> Stella Aura, <sup>f</sup> Ahmed A. Balogun, <sup>g</sup> Douglas Bertram, <sup>a</sup> Sonia Bluhm, <sup>g</sup>
5	David J. Brayshaw, <sup>h</sup> Jethro Browell, <sup>i</sup> Dominik Büeler, <sup>j</sup> Andrew Charlton-Perez, <sup>h</sup> Xandre
6	Chourio, <sup>k</sup> Isadora Christel, <sup>1</sup> Caio A. S. Coelho, <sup>m</sup> Michael J. DeFlorio, <sup>n</sup> Luca Delle Monache, <sup>n</sup>
7	Francesca Di Giuseppe,º Ana María García-Solórzano, <sup>p</sup> Peter B. Gibson, <sup>n</sup> Lisa Goddard, <sup>k</sup>
8	Carmen González Romero, <sup>k</sup> Richard J. Graham, <sup>q</sup> Robert M. Graham, <sup>i</sup> Christian M. Grams, <sup>j</sup>
9	Alan Halford, <sup>h</sup> W. T. Katty Huang, <sup>h</sup> Kjeld Jensen, <sup>r</sup> Mary Kilavi, <sup>f</sup> Kamoru A. Lawal, <sup>s</sup> Robert
10	W. Lee, <sup>t</sup> David MacLeod, <sup>u</sup> Andrea Manrique-Suñén, <sup>1</sup> Eduardo S. P. R. Martins, <sup>v</sup> Carolyn J.
11	Maxwell, <sup>w</sup> William J. Merryfield, <sup>x</sup> Ángel G. Muñoz, <sup>1</sup> Eniola Olaniyan, <sup>s</sup> George Otieno, <sup>y</sup> John
12	A. Oyedepo, <sup>z</sup> Lluís Palma, <sup>1</sup> Ilias G. Pechlivanidis, <sup>aa</sup> Diego Pons, <sup>bb</sup> F. Martin Ralph, <sup>n</sup> Dirceu S.
13	Reis Jr., <sup>cc</sup> Tomas A. Remenyi, <sup>dd</sup> James S. Risbey, <sup>g</sup> Donald J. C. Robertson, <sup>a</sup> Andrew W.
14	Robertson, <sup>k</sup> Stefan Smith, <sup>ee</sup> Albert Soret, <sup>1</sup> Ting Sun, <sup>h</sup> Martin C. Todd, <sup>ff</sup> Carly R. Tozer, <sup>g</sup>
15	Francisco C. Vasconcelos Jr., <sup>v</sup> Ilaria Vigo, <sup>1</sup> Duane E. Waliser, <sup>gg</sup> Fredrik Wetterhall, <sup>o</sup> Robert
16	G. Wilson, <sup>w</sup>
17	<sup>a</sup> Department of Civil and Environmental Engineering, University of Strathclyde, Glasgow, U.K.
18	<sup>b</sup> Institute for Atmospheric and Climate Science, ETH Zürich, Zürich, Switzerland
19	° Center for Earth System Modeling, Analysis, and Data, Department of Meteorology and Atmospheric Science,
20	Pennsylvania State University, State College, PA
21	<sup>d</sup> Department of Meteorology and Climate Science, Federal University of Tech Akure, Akure, Nigeria
22	<sup>e</sup> California Department of Water Resources, Sacramento, CO
23	<sup>f</sup> Kenya Meteorological Department, Nairobi, Kenya
24	<sup>g</sup> Oceans & Atmosphere, CSIRO, Hobart, Australia

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AMERICAN METEOROLOGIC SOCIETY

Early Online Release: This preliminary version has been accepted for publication in Bulletin of the American Meteorological Society, may be fully cited, and has been assigned DOI 10.1175/BAMS-D-20-0224.1. The final typeset copyedited article will replace the EOR at the above DOI when it is published.

25	<sup>h</sup> Department of Meteorology, University of Reading, Reading, U.K.
26	<sup>i</sup> Department of Electronic and Electrical Engineering, University of Strathclyde, Glasgow, U.K.
27	<sup>j</sup> Institute of Meteorology and Climate Research, Department of Troposphere Research, Karlsruhe Institute of
28	Technology, Karlsruhe, Germany
29	<sup>k</sup> International Research Institute for Climate and Society, The Earth Institute, Columbia University, New York,
30	NY
31	<sup>1</sup> Barcelona Supercomputing Center (BSC), Barcelona, Spain
32	<sup>m</sup> Center for Weather Forecast and Climate Studies, National Institute for Space Research, Cachoeira Paulista,
33	Brazil
34	<sup>n</sup> Center for Western Weather and Water Extremes, Scripps Institution of Oceanography, University of
35	California San Diego, San Diego, CA
36	° Forecast Department, European Centre for Medium-range Weather Forecasts, Reading, U.K.
37	<sup>p</sup> Escuela de Nutrición de la Facultad de Ciencias Químicas y Farmacia, Universidad de San Carlos,
38	Guatemala City, Guatemala
39	<sup>q</sup> Formerly of Met Office, Exeter, U.K.
40	<sup>r</sup> Applied Research, British Telecommunications plc, London, U.K.
41	<sup>s</sup> Numerical Weather Prediction, Nigerian Meteorological Agency, Abuja, Nigeria
42	<sup>t</sup> National Centre for Atmospheric Science, Department of Meteorology, University of Reading, Reading, U.K.
43	<sup>u</sup> School of Geographical Sciences, University of Bristol, Bristol, U.K.
44	<sup>v</sup> Ceará State Meteorology and Water Resources Foundation, Fortaleza, Brazil
45	<sup>w</sup> Hydro Tasmania, Hobart, Australia
46	<sup>x</sup> Canadian Centre for Climate Modelling and Analysis, Environment and Climate Change Canada, Victoria,
47	Canada
48	<sup>y</sup> IGAD Climate Prediction and Applications Centre, Nairobi, Kenya
49	<sup>z</sup> Federal University of Agriculture Abeokuta, Abeokuta, Nigeria

50	<sup>aa</sup> Hydrology R&D, Swedish Meteorological and Hydrological Institute, Norrköping, Sweden
51	<sup>bb</sup> Department of Anthropology and Geography, Colorado State University, Fort Collins, CO
52	<sup>cc</sup> Department of Civil and Environmental Engineering, University of Brasilia, Brasilia, Brazil
53	<sup>dd</sup> School of Geography, Planning and Spatial Sciences, University of Tasmania, Hobart, Australia
54	ee School of the Built Environment, University of Reading, Reading, U.K.
55	ff University of Sussex, Brighton, U.K.
56	gg Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA
57	
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60	Submitted to: Bulletin of the American Meteorological Society
61	Manuscript ID: BAMS-D-20-0224
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69	*Corresponding author: Dr Christopher J. White, chris.white@strath.ac.uk, Department of
70	Civil and Environmental Engineering, University of Strathclyde, James Weir Building, 75
71	Montrose Street, Glasgow, G1 1XJ, U.K.

ABSTRACT

73 The subseasonal-to-seasonal (S2S) predictive timescale, encompassing lead times ranging 74 from 2 weeks to a season, is at the frontier of forecasting science. Forecasts on this timescale 75 provide opportunities for enhanced application-focused capabilities to complement existing 76 weather and climate services and products. There is, however, a 'knowledge-value' gap, where a lack of evidence and awareness of the potential socio-economic benefits of S2S 77 78 forecasts limits their wider uptake. To address this gap, here we present the first global 79 community effort at summarizing relevant applications of S2S forecasts to guide further 80 decision-making and support the continued development of S2S forecasts and related 81 services. Focusing on 12 sectoral case studies spanning public health, agriculture, water 82 resource management, renewable energy and utilities, and emergency management and 83 response, we draw on recent advancements to explore their application and utility. These case 84 studies mark a significant step forward in moving from *potential* to *actual* S2S forecasting 85 applications. We show that by placing user needs at the forefront of S2S forecast development – demonstrating both skill and utility across sectors – this dialogue can be used 86 87 to help promote and accelerate the awareness, value and co-generation of S2S forecasts. We 88 also highlight that while S2S forecasts are increasingly gaining interest among users, 89 incorporating probabilistic S2S forecasts into existing decision-making operations is not 90 trivial. Nevertheless, S2S forecasting represents a significant opportunity to generate useful, 91 usable and actionable forecast applications for and with users that will increasingly unlock 92 the potential of this forecasting timescale.

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### CAPSULE

A global community exploration of the application and utility of S2S predictions,

95 comprising 12 case studies from across public health, agriculture, water resource

96 management, energy and utilities, and emergency management.

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## 97 Introduction

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98 The subseasonal-to-seasonal (S2S) predictive timescale, encompassing forecast ranges 99 from 2 weeks to a season, is a rapidly maturing discipline. The S2S timescale is a frontier of 100 forecasting science, with emerging recognition for both the need and the potential utility of 101 forecasts on this timescale (White et al. 2017; Merryfield et al. 2020; Mariotti et al. 2020). It 102 is now over a decade since Brunet et al. (2010) recommended that the weather and climate 103 communities, under the auspices of World Weather Research Programme (WWRP) and 104 World Climate Research Programme (WCRP), collaborate to jointly tackle the challenge of 105 providing skillful and useable S2S forecasts. Significant advancements have been made in 106 this time, including the joint WWRP/WCRP Subseasonal to Seasonal Prediction Project<sup>1</sup> 107 (Robertson et al. 2018), which is advancing the science in identifying and simulating key 108 sources of S2S predictability and identifying 'windows of opportunity' (Vitart 2014; Mariotti 109 et al. 2020), quantifying and reducing inherent uncertainties, and working towards their 110 future operationalization (Robertson et al. 2014; Vitart et al. 2017; Lang et al. 2020). As S2S 111 prediction science continues to mature, the availability of extended-range forecasts provides 112 opportunities for enhanced application-focused capabilities to complement existing services 113 and develop new ones. Applications of S2S forecasts are increasingly being explored and 114 assessed across a range of sectors (White et al. 2017), with efforts also underway to test their application in real-time through the S2S Real-Time Pilot Initiative<sup>2</sup> (Robbins 2020). 115 116 There remains, however, a 'knowledge-value' gap, where evidence of the potential socio-117 economic benefits of S2S forecasts supported by demonstrations of their utility across a 118 number of sectors, has been limited to date. The 2018 international conference on S2S

prediction in Boulder, reported in Merryfield et al. (2020), brought together research,

<sup>&</sup>lt;sup>1</sup> WWRP/WCRP 'Subseasonal to Seasonal Prediction Project' (<u>http://s2sprediction.net/</u>)

<sup>&</sup>lt;sup>2</sup> S2S Real-Time Pilot Initiative (<u>http://s2sprediction.net/xwiki/bin/view/dtbs/RealtimePilot</u>)

120 operational prediction and application expertise to help identify such gaps and provide 121 pathways to address them. Several recommendations were identified for action, including the 122 creation of a summary of application-focused S2S case studies that highlight past and 123 ongoing projects to encourage and promote better engagement with end users and 124 stakeholders. As user needs vary greatly between different sectors and regions, the wider 125 community is increasingly working together on the co-generation of S2S predictions, yet 126 such application-focused studies are typically either reported as a 'side story' to S2S 127 predictability studies, or are simply not publishable in their own right. However, to guide 128 further user-driven decision-making products and support the continued development and 129 utility of S2S forecasts and related services, these efforts need to be catalogued and widely 130 disseminated.

131 This study is the first coordinated global community effort at summarizing the 132 experiences of application-relevant forecasts on the S2S timescale across sectors and regions. 133 Focusing on 12 sectoral S2S application case studies spanning the public health, agriculture, 134 water resource management, energy and utilities, and emergency management and response 135 domains (Table 1), we draw on recent advancements to explore the use and utility of S2S predictions and demonstrate how they can be employed to benefit society. We explore 136 137 common challenges and learnings, and why it is appropriate to integrate S2S forecasts with 138 other predictive, verification and risk-based systems for various decision-making purposes to 139 seamlessly extend the forecast horizon. Through this collective exploration of existing 140 applications, we aim to unlock the potential of S2S predictions.

## 141 Sectoral case studies

#### 142 Public health

143 Public health is a key sector for the development and application of S2S forecasts, where 144 decisions over extended-range forecasting timescales are directly contributing to positive 145 health outcomes (e.g., expected disease outbreaks, morbidity and mortality predictions, 146 poverty and nutrition indicators). The benefits are perhaps greatest in regions where climate-147 sensitive diseases pose a continuous threat to the lives and livelihoods of millions of people 148 (White et al. 2017). In this section, we explore three diverse applications of S2S predictions 149 in the public health domain, including mortality predictions during extreme weather events in 150 the U.K., malaria occurrence in Nigeria, and an early-action system for acute undernutrition 151 in Guatemala.

152 1) MORTALITY PREDICTIONS DURING EXTREME COLD WEATHER EVENTS IN THE U.K.

153 Authors: Andrew J. Charlton-Perez, Christian M. Grams, Dominik Büeler, Robert W.
154 Lee, W. T. Katty Huang, Ting Sun

155 Extreme weather, such as cold and heat waves, often increases human mortality in 156 temperate countries (e.g., Anderson and Bell 2009; Ryti et al. 2016). Anomalous mortality 157 can be particularly high during events that last several weeks, meaning mortality predictions 158 on S2S timescales are of specific interest. Here we examine the application of S2S forecasts 159 for predicting mortality in the U.K. during a recent cold wave event in 2018, colloquially 160 'The Beast from the East', by combining a statistical mortality model (Vicedo-Cabrera et al. 161 2019) with 2m temperature (T2m) and weather regime (Michelangeli et al. 1995; Grams et al. 162 2020) predictions from S2S forecasts. The event was characterized by two intense cold waves 163 peaking on February 28 and March 18, 2018, in the U.K. (Fig. 1a), which were both 164 associated with a cold Greenland Blocking weather regime (cf. Grams et al. 2017) (Fig. 1c). 165 The statistical model, estimating temperature-related mortality from observed T2m, indicates 166 more than 300 mortalities per day attributable to the event's cold temperatures (Fig. 1b),

## Accepted for publication in Bulletin of the American Meteorological Society. DOI 10.1175/BAMS-D-20-224.1.

totaling an estimated burden of 9,568 deaths during March that largely exceeded the 20-year
average. During the peak of the cold wave in the first week of March, the excess daily
mortality compared to the 20 year average (cf. differences of blue lines in Fig. 1b) matches
the mortality attributable to cold weather (black line in Fig. 1b)

171 We explore how far in advance the European Centre for Medium-Range Weather Forecasting (ECMWF) extended-range (Vitart 2004; Vitart et al. 2008; Vitart et al. 2014) 172 S2S ensemble forecasts<sup>3</sup>, available from the S2S global repository, indicated the first cold 173 174 wave to occur at the end of February. The T2m forecast converges towards a cold scenario 175 after the February 13 initialization, which is indicated by the substantial drop in the ensemble 176 mean and the gradual reduction in ensemble spread (Fig. 1d). The consideration of weather 177 regime forecasts provides additional insight into the predictability of the large-scale 178 conditions determining the cold temperatures. Both Scandinavian Blocking and Greenland 179 Blocking probabilities were relatively high in the S2S forecasts from February 05 (Fig. 1e); 180 as these regimes typically coincide with colder than average temperatures in the U.K., the 181 forecast thus indicates a possible cold scenario up to 3 weeks in advance. Nevertheless, the 182 regime prediction is rather uncertain until a Sudden Stratospheric Warming (e.g., Lee et al. 2019) occurs on February 12, indicated by the gradual increase in the probability for the two 183 184 blocking regimes and the decrease in the probability for the typically mild cyclonic regimes. 185 These results reveal the potential for predicting mortality on an operational basis when 186 combining a statistical mortality model with S2S forecasts. Our analysis shows that a 187 sophisticated combination of both temperature and weather regime information from S2S 188 forecasts as predictors might generate useful operational mortality forecasts, such as national 189 or regional mortality exceedance probabilities, that could support National Health Service

<sup>&</sup>lt;sup>3</sup> ECMWF extended-range forecasts (<u>https://www.ecmwf.int/en/forecasts/documentation-and-support/extended-range-forecasts</u>)

190 decision-making (e.g., NHS Improvement 2018). This builds on previous investigations that 191 systematically linked weather regimes with the likelihood of high mortality (Charlton-Perez 192 et al. 2019; Huang et al. 2020). Engagements with national health boards and public health 193 agencies in the U.K. through webinars and one-on-one interviews indicate interest by 194 stakeholders (particularly once the capability of S2S forecasts is clearly communicated)<sup>4</sup>. 195 However, the lack of operational planning focused on S2S timescales and health services' 196 limited capacity to react to moderate probability events are challenges that need to be 197 overcome.

198 2) MALARIA OCCURRENCE PREDICTION IN NIGERIA

Authors: Eniola Olaniyan, Elijah A. Adefisan, Ahmed A. Balogun, John A. Oyedepo,
Kamoru A. Lawal

201 Malaria is one of the largest contributors to disease in Nigeria. Humans contract the 202 malaria parasite through mosquitos (Githeko and Ndegwa 2001; Jones and Morse 2010), the 203 distribution and survival of which is largely influenced by environmental and atmospheric 204 factors such as temperature and rainfall (Abiodun et al. 2016; Asare and Amekudzi 2017). 205 The vector-borne disease community model of ICTP, Trieste (VECTRI) (Tompkins and 206 Ermert 2013), a distributed open-source dynamical malaria model that resolves the growth stages of the egg-larvae-pupa in addition to the gonotrophic and the sporogonic cycles, has 207 208 demonstrated predictive skill over different regions in Africa using both modelled and 209 observed climatic drivers (Tompkins and Ermert 2013; Asare et al. 2016; Asare and 210 Amekudzi 2017). The Nigerian Meteorological Agency (NiMet) and the National Weather and Hydrological Centers (NWHC) are collaborating with researchers globally<sup>5</sup> to develop a 211

<sup>&</sup>lt;sup>4</sup> 'Addressing the resilience needs of the UK health sector: climate service pilots' project, part of the UK Climate Resilience Programme (<u>https://www.ukclimateresilience.org/projects/addressing-the-resilience-needs-of-the-uk-health-sector-climate-service-pilots/</u>)

<sup>&</sup>lt;sup>5</sup> 'GCRF African SWIFT' project (<u>https://africanswift.org/</u>)

sustainable African weather forecasting and application system. Under these auspices, NiMet
has developed a real-time monitoring system based on temperature and rainfall conditions for
malaria transmission and has been issuing early warning forecasts for the potential
occurrence of malaria on the S2S timescale (2-6 weeks) using VECTRI. Despite the potential
benefits of forecasting malaria distribution in west Africa on the S2S timescale (Olaniyan et
al. 2018), the utility of S2S forecasts in the operational early warning system has yet to be
explored in this region.

219 Here we explore the potential benefits of S2S forecasts for the hyper-endemic malaria 220 zones in Nigeria using the VECTRI model. Observed daily temperature and rainfall datasets 221 were obtained from the Nigerian Meteorological Agency, together with ensemble hindcasts 222 from ECMWF (VarEPS, based on IFS version 41r1), China Meteorological Administration 223 (CMA) (BCC-CPS-S2Sv1 version 1) and UK Met Office (UKMO) (GloSea4) from the S2S 224 global repository. Clinically reported malaria cases were obtained from of the 'Roll Back 225 Malaria' program<sup>6</sup>. Two evaluations were undertaken between 2013 and 2017: firstly, 226 reported (observed) malaria cases were used to evaluate the skill of the VECTRI model using 227 an estimated entomological inoculation rate (EIR) as a measure of exposure to infectious 228 mosquitoes; secondly, the skill of the S2S predictions in driving the VECTRI model. The EIR 229 from the observed-driven VECTRI model was then compared with the EIR from the S2S-230 driven VECTRI model. Preliminary results show that the estimated EIR from the S2S-driven 231 VECTRI model (and as also seen in the observed-driven VECTRI model) increases from the 232 Gulf of Guinea to the Sahel as a function of the population profiles, with the ensemble means 233 of both the CMA and ECMWF S2S ensembles showing correlations with the observed-driven 234 EIR ranging from 0.7 to 0.85. A correlation of approximately 0.9 was found over all regions 235 from the UMKO model.

<sup>&</sup>lt;sup>6</sup> 'Roll Back Malaria' program (<u>https://endmalaria.org/</u>)

Despite regional model biases, the findings show the use of S2S forecasts in a malaria early warning system to be realistic, supporting early identification of malaria hyper-endemic areas, as well as prompt mobilization and intervention by the responsible health department, at least a month before the outbreak of the disease. However, the integration of S2S predictions into operational early warnings has its challenges, with real-time warnings only shared with 'Roll Back Malaria' and Nigeria's Ministry of Health, reducing the potential for co-production due to lack of feedback from users.

243 3) AN EARLY-ACTION SYSTEM FOR ACUTE UNDERNUTRITION IN GUATEMALA

244 Authors: Carmen González Romero, Ángel G. Muñoz, Ana María García-Solórzano,

### 245 Xandre Chourio, Diego Pons

246 The World Food Programme indicates the prevalence of stunting in children younger than 5 years old in Guatemala reaches 46.5% nationally, with peaks of 90% in the hardest-hit 247 248 municipalities (WFP 2020). Food insecurity in Guatemala is driven by both climate and non-249 climate factors, and its pathways are often complex (Beveridge et al. 2019). Additionally, 250 70% of the impoverished population in Guatemala lives in rural areas, where agricultural 251 production is mainly rain-fed (Lopez-Ridaura et al. 2019). Climate factors contribute to acute 252 undernutrition in children under 5, especially in the Dry Corridor, a region already highly 253 vulnerable to climate-related impacts.

Since September 2018, the National Secretariat for Food Security and Nutrition (SESAN) has been using a monitoring system called 'Sala Situacional', to allow for an early-action system for food security. Some limitations, though, have been identified: the expert-based criteria and the survey-based method are labor intensive, and its outputs are more aligned with a monitoring system than an early warning system. These challenges limit the use of the system as a forecasting tool, since it does not provide enough forecast lead time for decisionmakers to maneuver and distribute the resources available to better deal with food insecurity.
To address these issues, an objective, automated forecast system that incorporates S2S
forecasts that supports SESAN's current monitoring system is presented and discussed. Using
the 'Sala Situacional' approach as the base, the International Research Institute for Climate &
Society (IRI) worked with SESAN to co-develop a system to forecast the number of cases of
acute undernutrition for children under 5 per department.

266 The forecast system follows the NextGen methodology (Muñoz et al. 2019, 2020; WMO 267 2020) and promotes ecosystems of climate services (a climate services landscape that 268 increases resilience to crises via optimal orchestration of available resources; see Goddard et 269 al. 2020), considering the role of both climate and non-climate factors in statistical models of 270 increasing complexity. Observed total rainfall (or lack thereof) can be used as a predictor of 271 acute undernutrition in children under 5, with lags (or lead times) ranging 3-6 months 272 depending on the geographical location. A combination of observed rainfall and calibrated 273 rainfall forecasts produced by the S2S prediction project (Vitart and Robertson 2018) were 274 found to provide monthly predictions of acute undernutrition for up to 5 months in advance -275 a lead time identified by SESAN as useful since it would allow the National Government to 276 deploy resources effectively. Calibration was found to be required in order to guarantee that 277 the S2S forecasts could reproduce the observed (statistical) characteristics of acute 278 undernutrition. The best predictive models were found to exhibit good forecast discrimination 279 (as measured by the two-alternative forced-choice metric; Mason and Weigel 2009) for 280 almost all departments in Guatemala, with the system forecast skill being highest over the 281 eastern Dry Corridor (Fig. 2).

Although the interannual and seasonal characteristics (e.g., timing) of acute undernutrition are well captured by models using rainfall as the only predictor, the inclusion of non-climate predictors, such as the price of maize, beans and coffee, and user-defined

## Accepted for publication in Bulletin of the American Meteorological Society. DOI 10.1175/BAMS-D-20-224.1.

probability of exceedance of thresholds, were found to increase forecast skill and usability. In other words, the inclusion of non-climate predictors, which are consistent with the conceptual model of drivers for food security in Guatemala developed by SESAN, helps to reproduce the main features beyond the annual cycle and interannual variability of the undernutrition timeseries by better capturing peaks at monthly timescales.

#### 290 Agriculture

The agriculture sector is already one of the most advanced in terms of using weather forecasts and seasonal outlooks to support operational decisions (Clements et al. 2013). S2S forecasts are starting to provide additional decision-relevant information to support the timing of crop planting, irrigation scheduling, and harvesting, particularly in water-stressed regions. In this section, we explore agricultural applications of S2S forecasts of season onset timing in Kenya, and agricultural management in India.

#### 297 4) RAINY SEASON ONSET TIMING IN KENYA

Authors: Richard J. Graham, Mary Kilavi, David MacLeod, George Otieno, Martin C.
Todd, Stella Aura

Approximately 98% of Kenya's agricultural systems are rain-fed (Republic of Kenya 2017). Prediction of rainy season onset timing is therefore a key requirement for assisting farmers in timely land preparation and planting. The Kenya Meteorological Department (KMD) provides season onset predictions based on inferences from statistical and dynamical seasonal forecast systems. A real-time trial of the utility of S2S forecasts was undertaken by KMD to assess their usefulness in strengthening these operational onset predictions, at lead times of up to 4 weeks, for improved agricultural decision-making, crop yield and food

security. The trial was part of the 'Forecast-based Preparedness Action' (ForPAc) project<sup>7</sup>,
conducted over 5 rainy seasons in the period 2018-2020.

309 Met Office GloSea5 (MacLachlan et al. 2015) S2S forecasts<sup>8</sup> were provided to KMD in 310 the form of weekly guidance bulletins with a supporting narrative. KMD used the guidance 311 primarily for pre-operational evaluation purposes, however, in some cases where confidence 312 in the predictions was high (e.g., consistency over consecutive lead times), the information 313 was used in operational forecasts to the Kenyan public, including farming communities. The 314 bulletin was provided weekly throughout each rainy season, beginning 3 to 4 weeks ahead of 315 the climatological start of the season. Products included maps of forecast probabilities for 316 tercile categories of weekly-averaged precipitation at weeks 1-4 ahead and forecasts of the 317 Madden-Julian Oscillation (MJO), a key driver of sub-seasonal rainfall in the region 318 (Berhane and Zaitchik 2014), using phase and amplitude diagrams (Wheeler and Hendon 319 2004). The prediction skill and GloSea5's representation of the MJO phase teleconnections, 320 which are generally well captured (MacLeod et al. 2021a), were also provided. Two March-321 May (MAM) rainy seasons and three October-December (OND) rainy seasons were sampled 322 in the trial, each containing marked rainfall anomalies, including one with a widespread 323 notable delay in rainfall onset (MAM 2019) and one with a marked early rainfall onset (OND 324 2019). In both of these highly impactful cases, predicted tercile category rainfall probabilities 325 for the early weeks of the seasons were consistent with the observed onset anomaly, including at week 4 of early forecasts, with the forecast signal strengthening as the lead time 326 327 shortened.

<sup>&</sup>lt;sup>7</sup> 'Towards Forecast-based Preparedness Action (ForPAc)' project (http://www.shear.org.uk/research/ForPAc.html)

<sup>&</sup>lt;sup>8</sup> Met Office GloSea5 seasonal prediction system (<u>https://www.metoffice.gov.uk/research/approach/modelling-systems/unified-model/climate-models/glosea5</u>)

328 In the case of late onset (MAM 2019) the GloSea5 forecasts were used by KMD to update 329 the previously issued seasonal forecast to delay the expected onset date by 3-4 weeks, thus 330 providing the farming communities with improved information for scheduling of planting. 331 The trial also documented examples of good predictability beyond week 2 for intraseasonal periods with rainfall above the upper tercile, generally when the MJO was predicted to be 332 333 active in a rainfall-favoring phase. This supports the expectation that while, on average, skill 334 drops sharply beyond 2 weeks lead time (MacLeod et al. 2021a), an active MJO can provide 335 a 'window of opportunity' for longer-lead warning (Kilavi et al. 2018). These results give 336 clear indications that S2S predictions can assist KMD in strengthening its season onset 337 predictions. Further, as part of a seamless approach such S2S predictions can add value to 338 existing heavy rain hazard warnings (MacLeod et al. 2021b) by enabling early 'horizon 339 scanning' for up-coming heavy rain events and, potentially, by extending the warning lead 340 time.

#### 341 5) AGRICULTURAL MANAGEMENT IN BIHAR, INDIA

342 Authors: Nachiketa Acharya, Andrew W. Robertson, Lisa Goddard

343 A probabilistic S2S forecast system was developed for the state of Bihar, one of the most 344 climate-sensitive states in India. Precipitation forecasts were issued in real-time during the June-September 2018 monsoon to explore the potential value of the S2S forecasts for small-345 holder farmers who operate farms of less than five acres<sup>9</sup>. Four districts were selected – two 346 347 in the northern plains (flood-prone) and two in the southern plains (drought-prone). The 348 project was a collaboration between IRI, University of Arizona, Indian Meteorological 349 Department (IMD), Regional Integrated Multi-Hazard Early Warning System for Africa and 350 Asia (RIMES), and the Government of Bihar, India.

<sup>&</sup>lt;sup>9</sup> 'International Research Applications Project (IRAP)' project (<u>https://cpo.noaa.gov/Meet-the-</u> <u>Divisions/Climate-and-Societal-Interactions/IRAP</u>)</u>

351 Real-time National Centers for Environmental Prediction (NCEP) CFSv2 (Saha et al. 2014) S2S forecasts<sup>10</sup>, calibrated against observed gridded rainfall fields from the IMD 352 using canonical correlation analysis, were generated each month during June-September 353 354 2018. The forecasts were limited to two weeks in advance as the calibrated probabilistic 355 forecasts for weeks 3-4 were concentrated around climatological probabilities (0.33), which was a limitation of the forecast's potential utility. The 2018 monsoon recorded a large rainfall 356 357 deficit over Bihar (~25% below its long-term average) with 11 of the 18 weeks registering 358 deficits. The real-time S2S forecast captured the signal of the weaker monsoon in 2018 over 359 Bihar, including the delayed monsoon onset and the observed break phase in August at the 360 week 2 lead time. The quantitative verification of the district-level hindcasts and real-time 361 forecasts over the monsoon season in 2018 is evaluated in Robertson et al. (2019) and 362 Acharya (2018).

363 To assess the usability and utility of the real-time S2S forecasts to the user community, 364 'field schools' involving ~300 farmers were conducted prior to the monsoon in May 2018. 365 The curriculum extended beyond the presentation of climate forecasts to include contextual information on climate systems and variability, the technology of forecasting, and the range 366 367 of adaptations available under specific forecast conditions. During the monsoon season, realtime forecasts were displayed through a virtual 'maproom'<sup>11</sup>. Text summaries based on the 368 369 forecast maps were sent to two of Bihar's State Agricultural Universities (SAUs) - one for 370 the flood districts and the other for the drought districts - who translated the forecast 371 summary into the local language (Hindi). These were disseminated through a non-372 governmental organization (NGO) directly to farmers via text message (Fig. 3). A user 373 survey was conducted at the end of the 2018 monsoon season across the four districts to find

<sup>&</sup>lt;sup>10</sup> NCEP CFSv2 seasonal forecasts (<u>https://www.cpc.ncep.noaa.gov/products/CFSv2/CFSv2 body.html</u>)

<sup>&</sup>lt;sup>11</sup> IRI Bihar Climate Maproom (http://iridl.ldeo.columbia.edu/maproom/Agriculture/bihar.html#tabs-2)

out how farmers used the S2S forecasts for farm-level planning and decisions (October 2018). The survey found that almost half of the farmers that participated in the field school used the forecasts to change their farming practices and irrigation schedules compared to previous years. Farmers used the late arrival of the 2018 monsoon (~16 days), which was well captured across Bihar by the S2S forecast, to delay the sowing of rice and other crops until closer to the monsoon onset. They also changed to a less water-demanding variety of paddy rice in response to expectations of a weaker monsoon.

#### 381 Water resource management

Forecast information on S2S timescales is crucial for managing water resources, especially in times of flood or drought. Combined S2S meteorological, climatological and hydrological forecast systems provide valuable water resource information to reduce economic, social and environmental damages (White et al. 2015), particularly in climatesensitive regions (Ralph et al. 2020). Here, we explore water resource management S2S forecasting applications in Brazil and the western U.S..

### 388 6) WATER MANAGEMENT IN CEARÁ STATE, BRAZIL

389 Authors: Francisco C. Vasconcelos Jr., Dirceu S. Reis Jr., Caio A. S. Coelho, Eduardo S.
390 P. R. Martins

A combination of seasonal climate and hydrological models has been used for ~15 years by Ceará State Meteorology and Water Resources Foundation (FUNCEME) and Ceará State Water Resources Management Company to support reservoir operations by forecasting inflows for key regional basins in Brazil, for both water resources planning and drought risk response. Current efforts on improving the seasonal forecast system include the use of an interannual statistical model and both global and regional dynamical models, but forecast use on S2S timescales is still in its infancy (Fig. 4a). The Inter-agency Drought Contingency Group (IDCG) is responsible for monitoring and predicting the State drought status within a
30-day planning horizon for 184 municipalities, including triggering emergency warnings
and responses for municipalities at risk. In the absence of operational S2S forecasts, these 30day ahead scenarios are based on seasonal forecasts updated monthly.

402 In this study, ECMWF S2S precipitation forecasts from the S2S global repository were 403 evaluated to assess their performance at producing inflow predictions for the Orós reservoir 404 in Ceará State up to 45-days ahead between January-April 2018 (Fig. 4). The verification 405 study focuses on 15 weekly forecasts as if issued every Thursday from January 18 to April 406 26. The quality of these forecasts has been evaluated at three time-mean horizons, 15, 30 and 407 45 days ahead from the initialization date. ECMWF S2S forecasts initialized once a week 408 during the Jan-Apr 1998-2017 period were used to feed a hydrological model to produce flow 409 forecasts into the Orós reservoir. These forecasts were then post-processed through an 410 empirical quantile mapping procedure using observed (1998-2017) flows to generate mean 411 flow forecasts for 2018. All 11 available ECMWF hindcast ensemble members were used for 412 post-processing. Fig. 4b shows the correlation between the 11-member ensemble mean flow 413 forecasts and the corresponding observations computed over the 1998-2017 hindcast period 414 for each initialization date and time mean horizons. Correlation values between 0.70 and 0.90 415 indicate reasonable forecast association ability. Fig. 4c shows boxplots of 51-member post-416 processed ensemble flow forecasts for 2018 (for 30-day means) along with the observed flow 417 and climatological 50th and 80th percentiles (dashed lines), which provided a good 418 description of the observed flow for most initialization dates.

These results illustrate the utility of inflow forecasts based on S2S precipitation forecasts in addition to the existing seasonal flow forecast system to support water management decisions and the triggering of emergency responses (e.g., construction of pipelines and wells) for municipalities at risk in Ceará State. Although this study illustrates the utility of

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## Accepted for publication in Bulletin of the American Meteorological Society. DOI 10.1175/BAMS-D-20224.1.

423 S2S forecasts to guide IDCG's decisions, additional activities are needed to demonstrate their 424 long-term value, such as one-on-one meetings with IDCG members to provide details about 425 the developed S2S timescale inflow forecasting system, an assessment of past performance of 426 this system, and the opening of a two-way dialogue with users to enable suggestions for 427 future improvements and product co-development.

428 7) WATER MANAGEMENT IN WESTERN U.S.

429 Authors: Michael J. DeFlorio, Peter B. Gibson, Duane E. Waliser, F. Martin Ralph,
430 Michael L. Anderson, Luca Delle Monache

431 The Center for Western Weather and Water Extremes (CW3E) and the National 432 Aeronautics and Space Administration Jet Propulsion Laboratory (NASA JPL), supported by 433 the California Department of Water Resources (CA DWR), formed a partnership to improve 434 the S2S prediction of precipitation to benefit water management in the western U.S.. The 435 main objective of this team is to produce experimental S2S prediction products for 436 atmospheric rivers (ARs), ridging events, and precipitation, supported by research and 437 hindcast skill assessments. Although the main quantity of interest for stakeholders is total 438 precipitation (i.e., available water), ARs and ridging events are a focal point due to their 439 strong influence on the presence (and absence, respectively) of precipitation in the western 440 U.S. during wintertime, and their intrinsic predictability. The primary sector and stakeholder 441 for which this effort is particularly relevant is western U.S. water resource management and 442 CA DWR, respectively.

A key pillar of this applied research endeavor is to collaborate with CA DWR's
stakeholders regarding the target predictand, methodology, and data used for research along
with the experimental product display and description for experimental S2S forecast
products. Our team, which also includes collaborators at IRI, University of California at Los

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# Accepted for publication in Bulletin of the American Meteorological Society. DOI 10.1175/BANS-D-20224.1.

447 Angeles, University of Arizona, and University of Colorado, has interacted regularly with 448 stakeholders from CA DWR to facilitate communication and help with the development of 449 the forecast products. This interaction ensures that the research and forecast product 450 development are meeting the specific needs of end users while maintaining high standards for both quality of research and utility of the forecast products for the applications community. 451 452 These experimental S2S forecast products, together with continued investment from CA 453 DWR into S2S research, stand to benefit end users at CA DWR by providing information at 454 subseasonal lead times to support flood risk management, emergency response, and 455 situational awareness (DeFlorio et al. 2021).

456 Fig. 5 summarizes two CW3E/JPL experimental S2S applications that utilize data from 457 the S2S global repository: the week 3 AR activity outlook (Fig. 5a), and the weeks 3-4 ridging outlook (Fig. 5b). This figure shows an example of particular forecast for AR activity 458 459 and ridging made on September 21, 2020. In Fig. 5a, the bottom panel shows the anomaly 460 forecast field (top minus middle panels) for above or below average AR days per week for 461 the October 06-12 week-3 verification period in the NCEP forecast system. In Fig. 5b, 462 forecast probabilities for each ridge type (North, South, and West) during the October 05-19 weeks 3-4 verification period are shown. If > 50% of ensemble members in the NCEP 463 464 forecast system predict above normal ridge frequency, the right panel maps are displayed to 465 show the likelihood of wetter or drier conditions based on how each ridge type typically influences precipitation (Gibson et al. 2020a). Both outlooks are updated weekly and made 466 available on the CW3E S2S forecast website<sup>12</sup>. Skill assessments of the NCEP and ECMWF 467 468 hindcasts from the S2S repository are provided in DeFlorio et al. (2019a,b) and Gibson et al. 469 (2020b). These forecast products have been regularly consulted by our stakeholders at CA

<sup>&</sup>lt;sup>12</sup> CW3E Subseasonal to Seasonal (S2S) Experimental Forecasts (<u>https://cw3e.ucsd.edu/s2s\_forecasts/</u>)

470 DWR, both in internal CA DWR meetings and in collaborative meetings between CA DWR
471 stakeholders and our research team.

472 Renewable energy and utilities

Understanding weather-related risk is vital for renewable energy pricing, production, transmission and usage. Energy demand and risk-based scenarios based on S2S predictions are now being explored to support the management of anticipated energy peaks and other weather-related risks. In this section, we explore an S2S forecast-based renewable energy decision-support tool, hydropower inflow predictions and scenario planning in Scotland and Australia, and weather risk management for telecommunications in the U.K..

479 8) A DECISION-SUPPORT TOOL FOR THE RENEWABLE ENERGY SECTOR

480 Authors: Andrea Manrique-Suñén, Isadora Christel, Ilaria Vigo, Lluís Palma, Ilias G.
481 Pechlivanidis, Albert Soret

The S2S4E<sup>13</sup> project explored the usefulness of S2S forecasts to anticipate renewable 482 483 energy production and demand several weeks to months ahead (Soret et al. 2019). A 484 decision-support tool (DST) that provides S2S predictions of climate variables and renewable 485 energy-related indices was co-developed with users. The spatial coverage of the majority of 486 the forecasts is global with some products provided for the pan-European domain. The DST 487 is fed with forecasts from the ECMWF S2S forecast system (2 m mean / max / min 488 temperature, 10m wind speed, precipitation, solar radiation and mean sea level pressure). It 489 provides weekly S2S forecasts for up to 4 weeks lead time via a visual interface that includes 490 a skill score that evaluates the quality of the forecast with respect to a climatological forecast 491 reference (fair Ranked Probability Skill Score for the tercile probabilities and fair Brier Skill 492 Score for the extreme probabilities; Wilks 2011; Ferro et al. 2014). The raw forecasts are bias

<sup>&</sup>lt;sup>13</sup> 'Sub-seasonal to Seasonal climate forecasting for Energy' project (<u>https://s2s4e.eu/dst</u>)

493 adjusted to remove the model mean bias with respect to ERA5 reanalysis (Hersbach et al.
494 2020). The computation of a robust climatology is crucial to ensure an effective bias

495 adjustment of subseasonal forecasts (Manrique-Suñén et al. 2020).

The DST provides forecast indices per energy sector: hydropower (maximum snow and inflows at the catchment scale), wind energy (3 capacity factors for 3 different turbine types), solar energy (capacity factor) and energy balance (electricity demand, wind energy production, and demand minus wind energy production per country). Energy companies use the S2S forecasts to inform operation and maintenance decisions, optimize water levels in the reservoirs, and hedge against climate variability (e.g., by trading energy futures).

502 The co-generation and operationalization of the DST involved scientists, designers, 503 communication and industry specialists. The inclusion of three energy companies as 504 consortium partners (EDF Electricité de France, EDP Renováveis SA, and ENBW Energie 505 Baden-Württemberg AG) provided opportunities for collaboration at all stages of the project, 506 and ensured their needs were addressed in the co-development of the DST. In the design 507 phase, user input was crucial to devise a structured, complete and concise interface. Focus 508 groups, workshops, interviews, usability testing and eve-tracking were some of the 509 techniques used (Calvo et al. 2021). During the operational phase, monthly meetings were 510 held with partners to understand how the tool was being employed. This allowed a 511 continuous feedback that served to include small modifications or additional functionalities. 512 A key challenge in the development of the DST was introducing the concept of 'skill' to 513 users. To orientate the user, a qualitative skill classification was devised : 'no skill' (skill < 514 0%), 'fair' (0 < skill < 15%), 'good' (15% < skill < 30%) and 'very good' (30% < skill). This 515 helped users to evaluate expected quality. Nevertheless, in order to attribute trust to a probabilistic forecast, users need to combine the skill information with a measure of 516

Accepted for publication in Bulletin of the American Meteorological Society. DOI 10.1175/BAMS-D-202021.1.

517 uncertainty (related to the ensemble spread) provided by the forecast probability. This

- 518 remains an open challenge in the field of uncertainty communication in climate services.
- 519 9) HYDROPOWER INFLOW PREDICTIONS IN SCOTLAND, U.K.

520 Authors: Robert M. Graham, Jethro Browell, Christopher J. White, Douglas Bertram

521 In Scotland, reservoir inflow forecasts for hydropower generation are primarily dependent 522 on weather forecasts rather than initial hydrological conditions. This is due to steep 523 topography and low groundwater storage capacity (Svensson 2015). SSE Renewables, a UK 524 energy generation company, have a hydropower portfolio of 1,459MW across Scotland, 525 enough to supply approximately 1 million UK homes. Hydropower operators at SSE 526 currently use deterministic inflow forecasts, covering periods up to 2 weeks ahead, and an 527 expert meteorologist provides longer range outlooks based on S2S forecasts. A team of 528 hydropower operators from SSE Renewables and researchers from the fields of meteorology, 529 energy forecasting and hydrology at the University of Strathclyde co-developed probabilistic 530 S2S inflow forecasts for selected hydropower reservoirs in Scotland and further evaluated the 531 potential economic value of these forecasts. SSE were involved from the initial concept stage 532 of the project to its closure.

533 Inflow forecasts were derived from ECMWF S2S forecasts from the S2S global 534 repository. Benchmark inflow forecasts for a case study reservoir were created by training a 535 linear regression of the S2S precipitation forecasts onto the historical inflow record. These 536 were then post-processed, following methods similar to Scheuerer (2014), to produce 537 calibrated probabilistic inflow forecasts (Graham et al. 2021). We evaluated the inflow 538 forecasts for 11 lead times, including weekly mean inflow rate forecasts from week 1 (days 1-539 7) to week 6 (days 36-42), and extended mean inflow rate forecasts from 2 (days 1-14) to 6 weeks (days 1-42) ahead. After post-processing, the probabilistic weekly mean inflow 540

forecasts demonstrated skill up to week 6, though skill in weeks 3 to 6 is low relative to
weeks 1 and 2. Furthermore, the six-week average (days 1-42) inflow rate forecasts displayed
greater skill than weekly mean inflow forecasts for week 2 (days 8-14). In contrast, the raw
S2S precipitation forecasts and benchmark inflow forecasts held statistical skill only to
forecast week 2, the typical skill horizon in mid-latitudes for probabilistic ensemble forecasts
(Branković et al. 1990).

547 The economic value of the inflow forecasts was explored using a stylized cost model 548 based on the classical 'News Vendor' optimization problem (Khouja 1999), following the 549 principle of maintaining a target water level in the reservoir. Within this framework, the 550 probabilistic inflow forecasts consistently reduced costs relative to the use of climatological 551 forecasts, even for forecast week 6 (days 36-42). However, deterministic inflow forecasts, based on the median of the probabilistic forecast distribution, often resulted in poor 552 553 operational decisions and increased costs relative to the use of climatological forecasts from 554 week 2 (days 8-14) onwards.

555 The project concluded that S2S probabilistic forecasts can improve water management 556 decisions for hydropower reservoirs up to six weeks ahead. However, post-processing and 557 forecast calibration is an essential step to realize skill in the S2S range. The demonstration of 558 the potential for the S2S inflow forecasts to increase economic value and improve decision-559 making was particularly welcomed by the industry collaborators. The partnership was not 560 without its challenges however; understanding how the 'value' of the S2S forecasts could be 561 fully realized and applied in operation would require closer and continued collaboration 562 between the researchers, hydropower operators and in-house meteorologists.

563 10) SCENARIO PLANNING FOR HYDROPOWER OPERATIONS IN TASMANIA, AUSTRALIA

Authors: Carly R. Tozer, Sonia Bluhm, Carolyn J. Maxwell, Tomas A. Remenyi, James S.
Risbey, Robert G. Wilson

566 The El Niño Southern Oscillation (ENSO) and Indian Ocean Dipole (IOD) are recognized 567 as key large-scale drivers of Australia's climate variability (Risbey et al. 2009). The co-568 occurrence of El Niño and positive IOD events has been associated with dry conditions across the country (Meyers et al. 2007; Ummenhofer et al. 2011). One such occurrence was 569 570 in 2015, which coincided with below average winter and spring rainfall across parts of 571 southern Australia. Tasmania experienced statewide rainfall deficits and the lowest spring 572 rainfall on record in western Tasmania (Karoly et al. 2016). Hydro Tasmania, which manages 573 multiple hydropower facilities, primarily located across western Tasmania, produces hydro-574 electricity for both Tasmania and mainland Australia. The record low rainfall in 2015 575 contributed to an energy supply challenge for Hydro Tasmania, leading to a subsequent 576 operational review. In 2019, a reappearance of this combination of climate drivers looked 577 likely, with S2S forecasts issued in April and May 2019 pointing towards the development of 578 an El Niño and positive IOD over winter and spring (e.g., Bureau of Meteorology 2019). The 579 positive 'super-IOD' (Doi et al. 2020) event has since been linked to rainfall deficits and 580 bushfires across Australia (van Oldenborgh et al. 2021).

581 Hydro Tasmania collaborated with the Commonwealth Scientific and Industrial Research 582 Organisation (CSIRO) as part of a project to understand the use and potential utility of 583 climate forecasts, including identifying Hydro Tasmania's operations and decision-making 584 processes, and the climate variables of importance for forecast evaluation. The application of 585 climate forecasts within Hydro Tasmania's operations is through operational scenario 586 planning (Fig. 6a). Potential operational outcomes are produced in response to forecast information and evaluated against historical data. In the case of the 2019 El Niño/positive 587 588 IOD forecast, Hydro Tasmania's operational scenario planning options were focused on dry

conditions as this was the expectation based on past experiences (Fig. 6b,d). As the year
progressed, Hydro Tasmania monitored the subseasonal climate driver forecasts issued by
Australia's Bureau of Meteorology in concert with rainfall received in western Tasmania, in a
'watch and act' process. When it became clear that the rainfall deficits experienced in 2015
were not being repeated in 2019 (Fig. 6d) no major changes to operations were enacted (Fig.
6c).

595 Using S2S forecasts of climate drivers to inform scenario planning – as opposed to the 596 direct input of forecast information into operational systems - implicitly acknowledges that 597 there is uncertainty in S2S forecasts, and that teleconnections between large-scale climate 598 drivers and regional rainfall are complex. There are typically multiple drivers at play on 599 different timescales, which is the case in Tasmania (Risbey et al. 2009; Tozer et al. 2018), 600 meaning a skillful forecast of a particular climate driver may not lead to a skillful rainfall 601 forecast. The forecast may also not directly change a decision, but it can influence which 602 scenarios to reassess. Scenario planning puts Hydro Tasmania in a stronger position to 603 identify options and make appropriate decisions should a dry scenario play out, or continue 604 normal operations if it does not.

#### 605 11) WEATHER RISK MANAGEMENT FOR U.K. FIXED-LINE TELECOMMUNICATIONS

606 Authors: David Brayshaw, Alan Halford, Stefan Smith, Kjeld Jensen

607 The physical infrastructure associated with fixed-line telecommunication systems, which

are critical for many aspects of modern service-based economies, is subject to significant

- 609 weather exposure. In the U.K., weather-related line-faults are commonly associated with
- 610 service disruptions (e.g., BT 2018), however, rapid evolution of the infrastructure (e.g.,
- 611 growth in broadband) limit the availability of historical data for both weather risk assessment
- and impact-based prediction. A jointly supervised project (Halford 2018) by the University of

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## Accepted for publication in Bulletin of the American Meteorological Society. DOI 10.1175/BAMS-D-20224.1.

613 Reading and a leading UK communications services company, BT plc, sought to address 614 these challenges by creating a robust long-term historic fault-rate record for the UK 615 telecommunications system with a multi-week fault rate forecasting system to support line-616 maintenance scheduling. In brief, historic fault-rates from 1979-2017 were constructed using 617 a multiple linear regression fault-rate model which was applied to weather-inputs from ERA-618 Interim (Dee et al. 2011), i.e., a time-series of estimated fault rates assuming the historic 619 weather impacted upon the UK telecoms system of 2017 was produced (refer to Brayshaw et 620 al. 2020 for details). S2S 'forecasts' spanning 1996-2015 for the same UK telecoms system 621 were then generated using ECMWF S2S ensemble hindcasts (11 ensemble members). Here, 622 and in the original study (Brayshaw et al. 2020), there was an emphasis on the quantitative 623 estimation of end-user 'value' from skillful S2S forecasts that can be summarized by the 624 schematic:

625 S2S forecast (weather) => Impact model (line faults) => Decision model (cost) 626 S2S forecasts were identified as potentially offering predictive skill and opportunities for 627 user-value through efficient scheduling of staffing resources (restorative maintenance versus 628 provision of new line connections). A strategy was agreed that combined a tercile-based S2S 629 forecast of the North Atlantic Oscillation (NAO), with fault-rate distributions from the long-630 term synthetic fault-rate record corresponding to the occurrence of each NAO-tercile. The 631 resulting forecast system was shown to have skill in predicting weekly fault rates up to 4 632 weeks ahead in winter, based on 11-member ECMWF S2S hindcasts spanning 1996-2015 633 (Vitart and Robertson 2018).

A decision-simulation model utilizing the fault-rate forecast in maintenance scheduling was then developed to estimate forecast value. This demonstrated that the fault-rate forecast system could be used to improve both short-term and long-term management strategies, e.g., either meeting week-to-week performance targets (a simulated ~5-10% improvement) or

## Accepted for publication in Bulletin of the American Meteorological Society. DOI 10.1175/BAMS-D-20-224.1.

achieving the same level of performance but at lower long-term cost (a simulated ~1% reduction in resource levels). Though these estimates are likely an upper bound to that which would be achievable in practice, the savings are potentially significant with the penalty for failing to meet repair targets reaching up to ~£1 million/day and annual staffing costs of around £500 million (see Brayshaw et al. 2020).

643 The success of the project is attributable to the extensive collaboration between the 644 academics and BT plc staff from the outset. This not only enabled the rapid co-development 645 of statistical fault-rate and decision-support models, but also deepened engagement in both 646 directions (as BT staff, rather than the academic team, held the expertise regarding the fault 647 rate modelling and maintenance scheduling). Beyond successfully demonstrating skill on S2S 648 lead times, the project emphasized that the skill of the fault-rate forecast does not in itself 649 guarantee value to the end-user, e.g., a forecast may have skill but may hold little value if the 650 outcome has no relevant consequences and/or the user is unable to act upon it.

#### 651 Disaster early warnings and emergency management

Skillful and reliable extended-range forecasts of extreme events, such as floods and
droughts, offer significant opportunities for improved disaster preparedness and risk
reduction, including tracking the progress of the slowly evolving, large-scale climate modes
and supporting the transition from long-range outlooks to weather forecasts to provide early
warnings and inform emergency management activities (Tadesse et al. 2016). In this section,
we explore the use of S2S forecasts for flood forecasting across Europe.

#### 658 12) EUROPEAN FLOOD FORECASTING

659 Authors: Francesca Di Giuseppe, Fredrik Wetterhall

The European Flood Awareness System (EFAS)<sup>14</sup> is operated by the Copernicus 660 661 Emergency Management System (CEMS), and functions as a common pan-European tool to 662 provide coherent early warnings of flood events. A set of decision rules based on forecast 663 persistency and magnitude are defined to identify points on Europe's river network where 664 flooding is likely to happen. The authorities responsible for flood forecasting in the specific 665 location are then sent flood notifications ahead of such events. EFAS uses medium-range 666 forecasts, typically up to 10 days lead time, but for rare and potentially widespread flood 667 events a system working on the S2S timescale (10-30 days) would extend the early warning 668 window to help pinpoint regions in need of attention. EFAS recently added a twice weekly 669 extended-range ensemble forecast with 51 members up to 6 weeks (aggregated into weekly 670 averages) based on ECMWF S2S forecasts (Wetterhall and Di Giuseppe 2018). These 671 forecasts are currently only for supplementary information and not used to issue warnings. 672 Since the predictability for extreme events on S2S lead times can be uncertain (Domeisen et 673 al. 2021), decision rules for preventive actions would have to be designed with this increased 674 uncertainty in mind in comparison with the medium-range forecasts.

675 In this study, we revisit a major flooding event that took place in southeastern Europe in May 2014 to explore the potential added-value in the decision-making process of S2S 676 677 hydrological forecasts. During the event, large areas of south-eastern and central Europe 678 experienced exceptionally intense rainfall which led to widespread flooding where over 60 679 people died and more than a million inhabitants were affected (Stadtherr et al. 2016). The 680 EFAS system indicated exceedance of the 20-year return period more than a week ahead of 681 the event and was able to issue notifications 4-5 days lead time. However, this information 682 could potentially have been even more useful if an even earlier indication of the event was

<sup>&</sup>lt;sup>14</sup> EFAS (<u>www.efas.eu</u>), part of the European Commissions' Emergency Management System (CEMS) (<u>https://emergency.copernicus.eu/</u>)

683 available. In this revised analysis, we look at how far back a signal for these conditions was 684 present in the S2S forecasts. The fraction of ensemble members that predicted the exceedance 685 of the 'decision' threshold is considered as the probability of an event occurring for the 686 period preceding and following the event (April 01 to June 30 in this case) and as a function of lead times up to 46 days ahead. Considering that extreme conditions are difficult to detect 687 688 at longer lead times as the forecast naturally reverts to climatology as predictability 689 decreases, a 30% chance at lead times >10 days is generally taken as an indication a 690 forthcoming event. In this study, the main event had a persistent signal up to 25 days before 691 the event in the S2S forecasts, highlighting the importance and potential utility of the S2S 692 time scale for pre-warning. To put this into the context of decision-making, a full cost-loss 693 scenario analysis of the historical period is needed to establish the correct level of probability 694 and lead time to issue pre-alerts for severe events. Further, the decision-making process in the 695 region would need to be trained to utilize the added information.

## 696 Discussion

697 We demonstrate here that S2S forecasts are increasingly being used across the public 698 health, agriculture, water resource management, renewable energy and utilities, and 699 emergency management and response sectors in both the developed and emerging economies. 700 As identified across our 12 application-focused case studies (Table 1), current decision-701 making is generally based on either short-to-medium range (often deterministic) or seasonal 702 forecasts. The S2S forecasting timescale is therefore a new concept for many users. While the 703 additional value of S2S forecasts for decision-making is increasingly gaining interest among 704 users, as shown here, incorporating probabilistic ensemble S2S forecasts into existing 705 operations is not trivial. S2S forecasts do not produce a "go/no go" answer of what a user 706 should do; instead they provide additional, supplementary 'situational awareness' information

that can be used to drive decision-making and risk-based management processes on weekly to monthly forecast horizons. Seasonal to decadal forecasts face the same challenge. What the presented case studies clearly suggest, however, is that the kind of widespread, national and international investment witnessed in service development on seasonal and climate timescales is also needed on the S2S timescale.

712 In addition to the limited awareness and demonstration of the potential benefits of the 713 S2S timescale across sectors to date, a lack of 'in house' expertise in how to effectively apply 714 S2S forecasts and, to some extent, a lack of access to S2S forecasts, have also been barriers to 715 widespread adoption of S2S forecasts. This is the 'knowledge-value' gap, highlighting the 716 challenge and need of translating S2S forecast skill into forecast value (e.g., Giuliani et al. 717 2020). For S2S predictions to have utility, there needs to be an signal in the forecast that 718 emerges beyond the noise in the system (Mariotti et al. 2020). However, across the case 719 studies presented here, there are varying interpretations of what 'skill' is from a scientific or 720 user perspective and what magnitude of signal is needed for a forecast to add value for a user. 721 For any forecast application, user-focused questions such as "What is the minimum level of 722 skill (or perhaps 'certainty') that can still be useful?", and "Is the required level of skill 723 actually attainable for the variables, region and application of interest?" are as essential to the 724 concept of forecast utility as is verifying forecast skill (e.g., Crochemore et al. 2021). Here, 725 we highlight that the answers to these and similar questions can only be determined via user 726 engagement and continued partnership. This approach helps determine whether S2S forecast 727 information can be better utilized through approaches such as multiple scenario planning 728 'storyline' frameworks with a comparison to recent historical events (e.g., hydropower 729 operations in Tasmania, Australia), or supplemented by statistical post-processing (e.g., 730 hydropower inflows in Scotland, U.K.), or through additional impact-based models (e.g., 731 Malaria occurrence in Nigeria). Some of the most effective real-time / operational

732 applications presented here are where S2S forecasts have been communicated to end-users 733 and contributed to 'situational awareness' using an early 'horizon scanning' approach of up-734 coming extreme events. This is true in the case of farmers determining the planting and 735 management of crops, informed by the timing of the monsoon in Bihar, India, and the rainy 736 season onset in Kenya. The co-development of the S2S4E project's decision-support tool for 737 the renewable energy sector also provides a particularly useful and insightful discussion 738 around forecast skill, value, trust and communication, with all of the cross-sectoral case 739 studies presented here confirming the need for the co-generation of forecast products. This 740 clearly identifies and communicates the strengths and limitations of forecasts in support of 741 improved forecast utility.

742 We acknowledge, however, that S2S forecasting is still a maturing discipline, with 743 several of the studies here being at the 'proof of concept' stage so their scope is somewhat 744 limited or that issues to their further implementation and/or operationalization remain. There 745 is also a distinction between case studies that use S2S forecasts directly (e.g., precipitation 746 and temperature fields) compared to those exploring the large-scale climate drivers to identify 747 additional sources of skill (e.g., ENSO, NAO, MJO). While we present application case 748 studies that span different sectors from around the world, there is also a notable focus on 749 water-related applications. This is perhaps not surprising – there is an experienced user-base 750 spanning the water-related sectors, meaning the 'knowledge-value' gap is perhaps not as 751 significant here compared to other disciplines. For example, the agriculture sector is already 752 familiar with using seasonal outlooks (e.g., Verbist et al. 2010), and flood management is the 753 forefront of providing risk-based anticipatory warnings in response to forecasts. Impact-based 754 flood and drought forecasts, for example, have huge potential to help shape these dialogues 755 (Merz et al. 2020) and have been deployed in a number of the water-related studies shown

Accepted for publication in Bulletin of the American Meteorological Society. DOI 10.1175/BANNS-D-20224.1.

here. Water therefore presents perhaps the best opportunity to demonstrate the utility of S2S
forecasts to bridge the gap between the weather and climate forecasting timescales.

758 It is, however, the collective body of evidence provided by *all* of these multi-sectoral case 759 studies that marks a significant step forward from White et al. (2017) in moving from 760 potential to actual S2S forecasting applications. By placing user needs and applications at the 761 forefront of S2S forecast development - demonstrating both skill and utility across sectors -762 in unison with ongoing scientific endeavors to improve forecasting systems and identify 763 sources of skill, it is hoped that this dialogue will help promote and accelerate the awareness, 764 value and co-generation of S2S forecasts to real-world decision-making. Increasing the 765 ability of users to engage simply and transparently with S2S forecasts, and to employ new 766 technologies such as machine learning and artificial intelligence tools to build and augment 767 impact models, would help to further accelerate this process. Crucially, this study provides a 768 platform towards the creation of a global community of researchers and users with a shared 769 aim of exploring and promoting applications of this new generation of forecasts. S2S 770 forecasting represents a significant opportunity to generate useful, usable and actionable 771 forecast information and services for and with users for a range of sectoral applications on 772 previously untapped predictive timescales.

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## ACKNOWLEDGEMENTS

776	DD gratefully acknowledges support from the Swiss National Science Foundation
777	through project PP00P2_170523. Case study 1) ACP and WTKH were funded by the UK
778	Climate Resilience Programme, supported by the UKRI Strategic Priorities Fund. RWL was
779	funded by NERC grant NE/P00678/1 and by the BER DOE Office of Science federal award
780	DE-SC0020324. TS was funded by NERC Independent Research Fellowship
781	(NE/P018637/1). CMG and DB were funded by the Helmholtz Young Investigator Group
782	'SPREADOUT' grant VH-NG-1243. 2) was supported by the UK Global Challenges
783	Research Fund NE/P021077/1 (GCRF African SWIFT) and the Tertiary Education Trust
784	Fund (TETFUND) of Nigeria TETFund/DR&D/CE/NRF/STI/73/VOL.1. EO thanks Adrian
785	Tomkins of ICTP, Italy for his contribution. 3) was undertaken as part of the Columbia
786	World Project, ACToday, Columbia University (https://iri.columbia.edu/actoday/). 4) was
787	supported by the ForPAc (Towards Forecast-based Preparedness Action) project within the
788	NERC/FCDO SHEAR programme NE/P000428/1, NE/P000673/1 and NE/P000568/1.5)
789	was undertaken as part of the International Research Applications Project, funded by the U.S.
790	National Oceanic and Atmospheric Administration. EO thanks IRAP project colleagues at the
791	University of Arizona, University of Arizona, Indian Meteorological Department, Regional
792	Integrated Multi-Hazard Early Warning System for Africa and Asia, and two of Bihar's State
793	Agricultural Universities for their contributions. 6) CASC thanks Conselho Nacional de
794	Desenvolvimento Científico e Tecnológico process 305206/2019-2 and Fundação de Amparo
795	à Pesquisa do Estado de São Paulo process 2015/50687-8 (CLIMAX Project) for their
796	support. 7) DW's contributions were carried out under contract with the National Aeronautics
797	and Space Administration. 8) was funded by the EU Horizon 2020 research and innovation
798	programme grant 7767874 (S2S4E). We also acknowledge the subseasonal-to-seasonal
799	Project's Real-Time Pilot Initiative for providing access to real-time forecasts. 9) TIC-LCPE

800 Hydro-04 was funded by the University of Strathclyde's Low Carbon Power and Energy 801 program. JB was supported by EPSRC Innovation Fellowship EP/R023484/1. We thank 802 Andrew Low and Richard Hearnden from SSE Renewables for their input. 10) was supported 803 by the Earth Systems and Climate Change Hub under the Australian Government's National 804 Environmental Science Program, and the Decadal Climate Forecasting Project (CSIRO). 11) 805 was funded by the Technologies for Sustainable Built Environments Centre, Reading 806 University, in conjunction with the EPSRC grant EP/G037787/1 and BT plc. 12) was funded 807 through the framework service contract for operating the EFAS computational center contract 808 198702 and the Copernicus Fire Danger Computations contract 389730 295 in support of the 809 Copernicus Emergency Management Service and Early Warning Systems between the Joint 810 Research Centre and ECMWF. 811 DATA AVAILABILITY 812 The joint WWRP/WCRP Subseasonal to Seasonal Prediction Project (e.g., Robertson et 813 al. 2014) created a global repository of experimental or operational near real-time S2S 814 forecasts and reforecasts (hindcasts) from eleven international meteorological institutions, co-815 hosted by ECMWF and CMA (Vitart et al. 2017). These data are publicly accessible by 816 researchers and users (https://apps.ecmwf.int/datasets/data/s2s and http://s2s.cma.cn/index). 817 With the exception of case study 4), which uses GloSea5 forecasts (MacLachlan et al. 2015)), 818 all case studies use selected S2S forecasts and reforecasts that are available from this 819 repository, providing a consistent basis for S2S forecast skill assessment and evaluation of 820 their utility. 821

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### TABLES

**Table 1** Description of sectoral case studies with notable prior or related studies where

applicable. Note: not all case studies are based on previously-published work; for some, this

1095 is the first time they have been documented (shown as n/a). In other cases, such as study 2

and 4, the studies listed describe key motivations, partially related components of the case

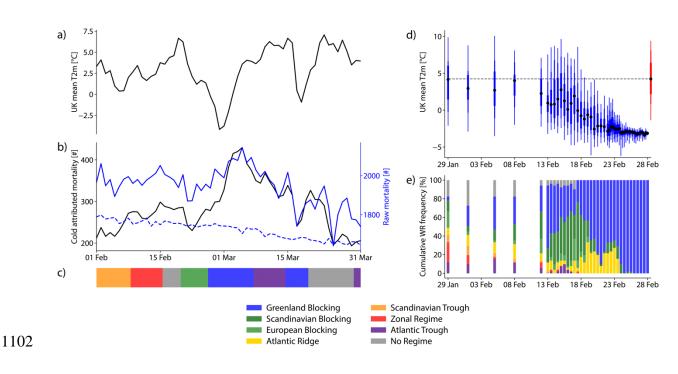
1097 study, or prediction of events different to that of the main study theme and should not be

taken as a more complete account of the case study.

Description	Sector	S2S Application / Product	Prior or Related Studies
1) Mortality predictions during extreme cold weather events in the U.K.	Public health	Cold wave mortality	Charlton-Perez et al. (2019); Huang et al. (2020)
2) Malaria occurrence prediction in Nigeria	Public health	Malaria prediction using a vector- borne disease model	Tompkins and Ermert (2013); Asare et al. (2016) (both related to the VECTRI model)
3) An early-action system for acute undernutrition in Guatemala	Public health	Early-action system for food security	n/a
4) Season onset timing in Kenya	Agriculture	Season onset timing for crop yield and food security	Kilavi et al. (2018); MacLeod et al. (2021a) (both primarily related to heavy rain events in the study region)
5) Agricultural management in Bihar, India	Agriculture	Monsoon signal for small-holder farmers	Robertson et al. (2019); Acharya (2018) (verification of district- level hindcasts and real- time forecasts in 2018)
6) Water management in Ceará State, Brazil	Water resource management	Reservoir inflows for water management	n/a
7) Water management in western U.S.	Water resource management	Atmospheric rivers, ridging events and precipitation	DeFlorio et al. (2019a,b); Gibson et al. (2020a,b)
8) A decision-support tool for the renewable energy sector	Renewable energy and utilities	Renewable energy decision- support tool	Soret et al. (2019)

9) Hydropower inflow predictions in Scotland, U.K.	Renewable energy and utilities	Reservoir inflows for hydropower	Graham et al. (2021)
10) Scenario planning for hydropower operations in Tasmania, Australia	Renewable energy and utilities	Low rainfall scenarios for hydropower	n/a
11) Weather risk management for U.K. fixed-line telecommunications	Renewable energy and utilities	Telecommuni- cation fault-rate maintenance scheduling	Brayshaw et al. (2020)
12) European flood forecasting	Emergency management and response	Hydrological flood forecasting	Wetterhall and Di Giuseppe (2018)

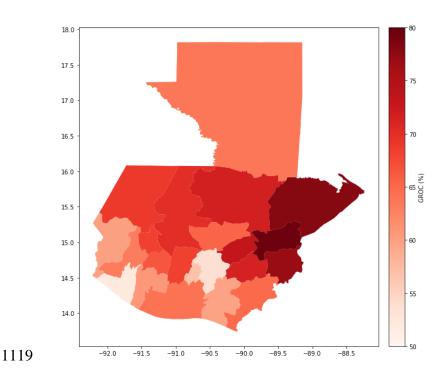


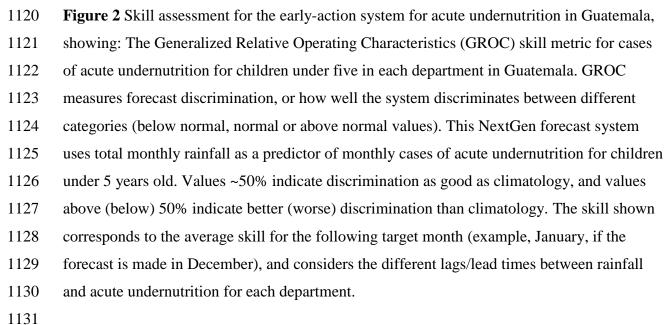


1103 Figure 1 Mortality during extreme cold weather events in the U.K., showing: a) HadUK-Grid 1104 mean 2m temperature (T2m) observations for the two cold waves in February and March 1105 2018; b) estimated U.K. mortality attributable to the cold weather (black line), observed raw 1106 total mortality (blue line), and 1998-2017 average (dashed line); c) Observed weather regime 1107 evolution (based on ECMWF analysis) during the same period for a life cycle definition of 1108 seven weather regimes (cf. Grams et al. 2017); d) ECMWF extended- and medium-range 1109 U.K. mean T2m ensemble forecasts valid for February 28, 2018 00 UTC (y-axis) as a 1110 function of forecast initial time (x-axis), with the blue box-and-whiskers showing the 99th, 1111 75th, 50th, 25th, and 1st percentiles, the black dots the control forecast, and the red box-and-1112 whiskers the model climatology for February 28, 2018 00 UTC (plotting tool provided by 1113 Linus Magnusson, ECMWF); e) Same as d) but for the predicted probabilities of the active 1114 weather regime (regime projection > 1 sigma) in the ensemble indicated by the corresponding 1115 color (gray indicates the 'no regime' category representing an atmospheric state not 1116 resembling any of the seven regimes).

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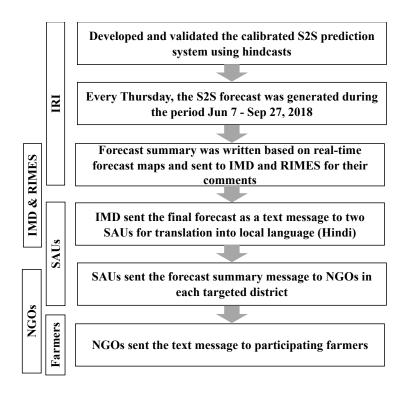
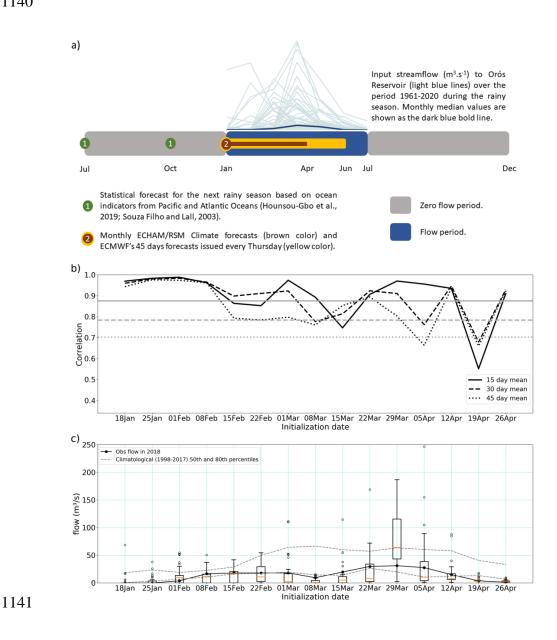
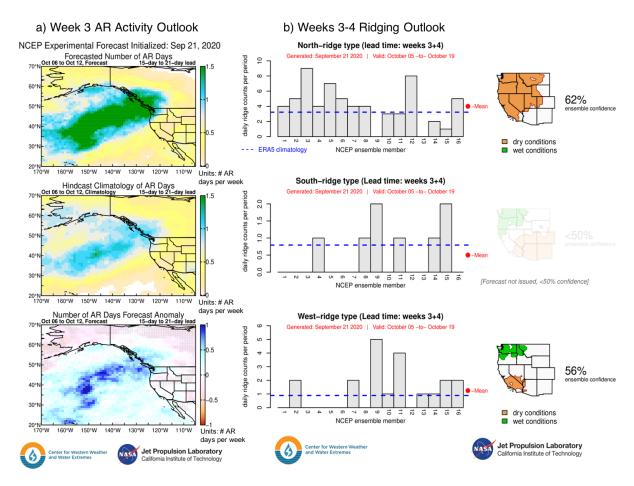


Figure 3 Agricultural management in Bihar, India, showing: A flowchart of the forecast
generation and dissemination. Interactions between the institutions and actors involved are
indicated. NGO: non-governmental organization; IMD: India Meteorological Department;
RIMES: Regional Integrated Multi-Hazard Early Warning System for Africa and Asia; SAU:
State Agricultural Universities; IRI: International Research Institute for Climate and Society.



1142 Figure 4 Water management in Ceará State, Brazil, showing: a) Ceará State flow forecast 1143 system schematic depicting January to April (rainy period) forecasts. Produced with (1) 1144 statistical models using previous July and October equatorial Pacific and Atlantic indices, and 1145 (2) daily precipitation forecasts from dynamical global and regional seasonal forecast models 1146 updated monthly from January to April for feeding a hydrological model to generate monthly 1147 flow forecasts (brown), and with ECMWF sub-seasonal precipitation forecasts produced 1148 every Thursday for the following 45 days for feeding a hydrological model to generate daily 1149 flow forecasts during the January to May period (yellow). The blue (grey) bar illustrates the 1150 wet (dry) period; b) Correlations between cross-validated 11 members ensemble mean flow 1151 forecasts post-processed through empirical quantile mapping and the corresponding observed

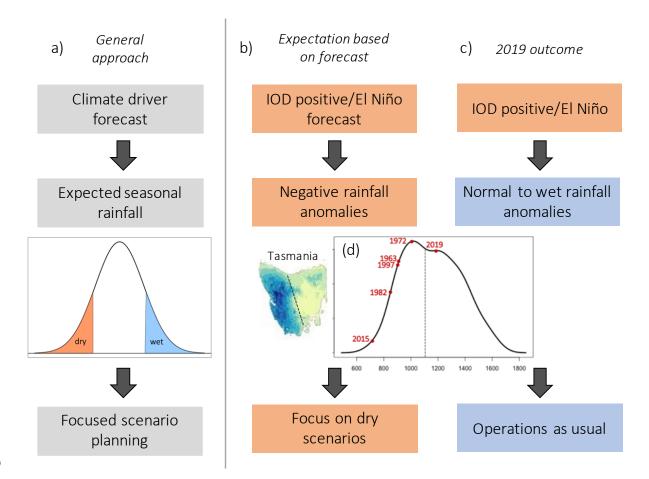
- 1152 flow over the 1998-2017 hindcast period for three time horizons (15, 30 and 45 day means).
- 1153 Flow forecasts were produced with a hydrological model (Lopes 1999) fed with daily
- 1154 precipitation ECMWF S2S forecasts initialized every Thursday (15 dates between January 18
- and April 26). The solid, dashed and dotted horizontal grey lines represent the correlation
- 1156 values computed aggregating all available forecasts (300 pairs of forecasts and observations)
- 1157 for the three time horizons; c) 30 day mean post-processed flow forecasts for 2018 (boxplots
- 1158 of 51 member ensembles) produced with a hydrological model fed with daily precipitation
- 1159 ECMWF sub-seasonal forecasts initialized every Thursday (between January 18 and April
- 1160 26). The red line in the boxplots represents the median  $p_{50}$  (50th percentile), the upper box
- 1161 border represents the upper quartile  $p_{75}$  (75th percentile), and the lower border the lower
- 1162 quartile  $p_{25}$  (25th percentile). The whiskers at the top of each box extend to  $p_{75} + 1.5$  IQR,
- 1163 where IQR is the interquartile range (p<sub>75</sub>-p<sub>25</sub>). The whiskers at the bottom of each box extend
- to p<sub>25</sub>-1.5IQR. Values outside the whiskers are plotted with open circles. The black line
- represents the 2018 observed flow, and the dashed lines the climatological (1998-2017) 50th
- and 80th percentiles.



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1170 Figure 5 Water management in western U.S., showing: a) CW3E/JPL week 3 AR activity outlook. Forecast initialized September 21, 2020 and verified October 06-12, 1171 2020. Top panel shows the forecasted number of AR days to occur during the week 3 1172 1173 verification period; middle panel shows the NCEP hindcast climatology of AR days during 1174 the October 06-12 week in the hindcast record; bottom panel shows the anomaly forecast 1175 field (top minus middle panels). Hindcast skill assessment provided in DeFlorio et 1176 al. (2019a,b); b) CW3E/JPL weeks 3-4 experimental ridging outlook. Forecast initialized on 1177 September 21, 2020 and verified October 05-19, 2020. Left column shows the occurrence 1178 frequency of each ridge type (bars) compared to climatology (horizontal line) for each of the 1179 model ensemble members. The top, middle, and bottom row display the North, South, and 1180 West ridge forecasts, respectively. If over 50% of the ensemble members predict more 1181 ridging than expected (for this time of year), then the right column maps indicate the 1182 likelihood of wetter or drier conditions based on how each ridge type typically influences 1183 precipitation. We note that summing across ridge types for a given ensemble member does

- 1185 period where none of the three ridge types are predicted to occur. Methodology for
- 1186 calculating ridge types is provided in Gibson et al. (2020a); hindcast skill assessment is
- 1187 provided in Gibson et al. (2020b).



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1190 Figure 6 Scenario planning for hydropower operations in Tasmania, Australia, showing: a) A 1191 general scenario planning approach, where a climate driver forecast is received from which 1192 there is an expectation around the seasonal rainfall response focused towards operational 1193 scenario planning; b) Dry scenario planning in response to IOD positive/El Niño forecast 1194 and the expectation of negative (dry) rainfall anomalies in western Tasmania; (c) 2019 1195 example outcome; (d) Probability density function of total winter/spring rainfall (in mm) in 1196 western Tasmania for each year from 1900-2019. The years marked in red indicate past IOD 1197 positive/El Niño events and the associated winter/spring rainfall anomalies. Dashed line 1198 indicates median winter/spring rainfall. Western Tasmania is considered the region west of 1199 the dashed black line (inset map). 1200