Title: Parkinson disease and STN-DBS: cognitive effects in *GBA* mutation carriers

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1. @GianPal4
2. Glucocerebrosidase (*GBA*) mutations and subthalamic nucleus deep brain stimulation (STN-DBS) may independently have a negative effect on cognition in Parkinson's disease
3. The combined effects of *GBA* mutations and STN-DBS on cognition is unknown
4. The results of the present study suggest that the combined effects of *GBA* mutations and STN-DBS negatively impact cognition over time
5. It would be advised to screen deep brain stimulation candidates for *GBA* mutations. Furthermore, we advise counseling patients with *GBA* mutations regarding the potential risks and benefits of surgery and to consider alternative treatment options.

ABSTRACT

Objective: To compare the rate of change in cognition between *glucocerebrosidase* (*GBA)* mutation carriers and non-carriers with and without subthalamic nucleus deep brain stimulation (STN-DBS) in Parkinson's disease (PD).

Methods: Clinical and genetic data from 12 datasets were examined. Global cognition was assessed using the Mattis Dementia Rating Scale (MDRS). Subjects were examined for mutations in *GBA* and categorized as *GBA* carriers with or without DBS (*GBA*+DBS+, *GBA*+DBS-), and non-carriers with or without DBS (*GBA*-DBS+, *GBA*-DBS-). *GBA* mutation carriers were subcategorized according to mutation severity (risk variant, mild, severe). Linear mixed modeling was used to compare rate of change in MDRS scores over time among the groups according to *GBA* and DBS status and then according to *GBA* severity and DBS status. The model was adjusted for age, age at onset, sex, and study site.

Results: Data were available for 367 subjects: 58 *GBA*+DBS+, 82 *GBA*+DBS-, 98 *GBA*-DBS+, and 128 *GBA*-DBS- subjects who were longitudinal followed (range 36 to 60 months after surgery). Using the MDRS, *GBA*+DBS+ subjects declined on average 2.02 points/year more than *GBA*-DBS- subjects (95% CI = -2.35, -1.69), 1.71 points/year more than *GBA*+DBS- subjects (95% CI = -2.14, -1.28), and 1.49 points/year more than *GBA*-DBS+ subjects (95% CI = -1.80, -1.18).

Interpretation: Although non-randomized, this composite analysis suggests that the combined effects of *GBA* mutations and STN-DBS negatively impact cognition. We advise that DBS candidates be screened for *GBA* mutations as part of the pre-surgical decision-making process. We advise that *GBA* mutation carriers be counseled regarding potential risks associated with STN-DBS and alternative options may be considered.

Introduction:

Parkinson disease (PD) affects at least 1 million people in the U.S.[1] with approximately 9,000 PD patients opting for deep brain stimulator (DBS) placement into the subthalamic nucleus (STN-DBS) annually.[2] Studies have suggested that genetic subtyping of PD subjects may be useful in understanding cognitive and motor outcomes of DBS.[3-5]

Individuals with PD who carry mutations in the glucocerebrosidase (*GBA*) gene (PD^{GBA}), are of particular interest, as they are at increased risk for cognitive impairment. PD^{GBA} subjects have reduced activity of the glucocerebrosidase (GCase) enzyme resulting in disrupted sphingolipid metabolism[6] with more rapid accumulation and spread of Lewy body pathology compared with non-mutation carriers.[7] PD^{GBA} is associated with earlier onset of disease and thus, these individuals may be more likely to pursue DBS.[8] Up to 17% of PD subjects who received DBS carry *GBA* variants.[3, 9] This is higher than the general PD population frequency since those who opt for DBS tend to be younger, have clear levodopa responsiveness, and troublesome clinical features that qualify the individual for DBS, such as dyskinesia and motor fluctuations.[3, 9] Importantly, STN-DBS itself can impair cognition, with a negative impact on verbal fluency,[10] executive control of action,[11] and inhibitory control.[12] Given the compact anatomy of the STN, there is potential for unintended current spread into adjacent associative and limbic subregions, adjacent fiber bundles[13] or other nearby nuclei such as the substantia nigra.[14] Imaging studies have also revealed concomitant activation of nonmotor frontal-striatal circuitry as a consequence of unintended current spread.[15] Furthermore, a recent pilot study found differences in beta power comparing PD^{GBA} vs. non-*GBA* subjects, suggesting that genotype may be associated with specific neurophysiologic changes.[16] Whether these physiologic changes are responsible for heterogeneous outcomes of DBS remains to be explored.

In this study, we aimed to determine the combined effects of *GBA* and STN-DBS on global cognition. We examined longitudinal changes in cognition in four groups of patients: *GBA* carriers with or without DBS (*GBA*+DBS+, *GBA*+DBS-), and non-carriers with or without DBS (*GBA*-DBS+, *GBA*-DBS-). We hypothesized that *GBA*+DBS+ subjects would have the fastest rate of cognitive decline compared with the other groups.

Materials and Methods:

Datasets

Approval for the study was obtained from the Rush University Medical Center Institutional Review Board. Prospective and retrospective clinical and genetic data were pooled across 12 datasets from: Amsterdam University Medical Centers (Amsterdam, Netherlands),

Columbia University (New York, NY, USA), Hôpital Pitié-Salpêtrière (Paris, France), National Institutes of Health (NIH, Bethesda, USA), Norwegian University of Science and Technology (Trondheim, Norway), Parkinson's Progression Markers Initiative (PPMI, www.ppmi-info.org/data), Rush University (Chicago, IL, USA), Mount Sinai Beth Israel (New York, NY, USA), University College London (London, UK), and the Accelerating Medicines Partnership: Parkinson's disease (AMP-PD, amp-pd.org). At all clinical sites (n = 8), DBS was performed awake, with microelectrode recording (MER) being used at all sites except one (University College London). Only 2 of 8 clinical sites did not make specific trajectory adjustments to avoid the caudate nucleus (Columbia University and Norwegian University of Science and Technology). All sites averaged between 1-3 tracks per side, with one site averaging 5 tracks per side (Norwegian University of Science and Technology). From the clinical sites, no cases of genetic testing were performed because of poor DBS outcome. Genetic testing was done as part of clinical and/or research efforts to offer genetic testing regardless of clinical status. Within AMP-PD, data were extracted from the LRRK2 Cohort Consortium, STEADY-PD, and the Parkinson's Disease Biomarkers Program (PDBP), and only subjects were included where both *GBA* and DBS status were confirmed (present or absent).[17]   All DBS subjects had electrodes implanted bilaterally within the STN. Subjects were examined for mutations in *GBA* and then categorized as *GBA* carriers with or without DBS (*GBA*+DBS+, *GBA*+DBS-), and non-carriers with or without DBS (*GBA*-DBS+, *GBA*- DBS-). Four clinical sites (Columbia University, NIH, Norwegian University, Mount-Sinai Beth Israel) contributed only *GBA*+DBS+ subjects. Subjects from Rush University and PPMI contributed subjects to all four groups. Only *GBA*+DBS- subjects were drawn from AMP-PD given that details of DBS implantation were not collected in this dataset. The remaining three datasets (Hôpital Pitié-Salpêtrière, University College London, and University of Amsterdam), consisted of only DBS subjects. Data was checked for duplicate subjects based on demographics, mutations, and cognitive scores. Additional details for each dataset are described below and summarized in Supplementary Table 1.

University of Amsterdam (Amsterdam, Netherlands): A total of 36 bilateral STN from the Netherlands SubThalamic and Pallidal Stimulation (NSTAPS) study[18] had both clinical and genetic data available (2007-2011). Subjects were tested for the following *GBA* mutations: A456P, L444P, N370S, T369M, E326K, and D140H. Of the 36 STN subjects, 6 were *GBA*+DBS+ and 30 were *GBA*-DBS+. MDRS scores were available for 2 of 6 *GBA*+DBS+ subjects and 7 of 30 *GBA*-DBS+ subjects.

Columbia University (New York, NY, USA): A total of 5 *GBA*+DBS+ subjects with clinical and genetic data were available in the Columbia University database (K02NS080915, 2006-2017).

The *GBA* gene was fully sequenced as previously described.[19] One subject was excluded who had a pre-DBS MDRS score < 130. Another subject carried the Q-8H mutation, a variant of unknown significance (VUS), was excluded.

Hôpital Pitié-Salpêtrière (Paris, France): A total of 14 *GBA*+DBS+ subjects and 28 *GBA*-DBS+ subjects with clinical and genetic data were available for analysis (1998-2016). The *GBA* gene was fully sequenced as previously described.[4]

National Institutes of Health (NIH, Bethesda, USA): A total of 5 *GBA*+DBS+ subjects with clinical and genetic data were available in the NIH Parkinson's Clinic database (2012-2019). Genotyping was performed using a genotyping array (NeuroX or Neuro Consortium Array, Illumina, Inc., San Diego, CA) with custom content covering neurodegenerative disease-related variants. To identify SNPs from the genotyping array, Illumina GenomeStudio (v.2.0) was used cluster genotypes. After quality control, subjects with pathogenic *GBA* variants were included while VUS were excluded.

Norwegian University of Science and Technology (Trondheim, Norway): A total of 3 *GBA*+DBS+ subjects with clinical and genetic data were available in the Norwegian University database (2002-2014). Subjects were tested for N370S and L444P mutations as previously described.[20]

Parkinson's Progression Markers Initiative (PPMI): The database was accessed February 1, 2021. First, all PD subjects with known DBS status (present/absent) were identified (n = 279). Then, genetic data were pulled for these PD subjects. Genotyping methods used in PPMI have been described extensively elsewhere.[21] Subjects with VUS were excluded (2 patients without DBS, r44c, r39c). One subject carried a rare polymorphism, K(−27)R, and was excluded because this polymorphism has been observed to occur at a frequency of >5% in controls of African and Asian ancestry.[22] One individual carried the I489L mutation, which has not been previously reported and, therefore, classified as a VUS and excluded. A total of 157 subjects remained: 2 *GBA*+DBS+, 40 *GBA*+DBS-, 6 *GBA*-DBS+, and 109 *GBA*-DBS- subjects.

Rush University (Chicago, IL, USA): Retrospective data from consecutive PD subjects already implanted with bilateral STN-DBS were genotyped for *GBA* mutations (2003-2018). Additionally, consecutive PD subjects planning to undergo DBS and those without DBS were genotyped and followed prospectively (2017-2020). Subjects were fully sequenced for *GBA* as previously described.[23] Data were available for a total of 102 subjects: 21 *GBA*+DBS+, 10 *GBA*+DBS-, 52 *GBA*-DBS+, 19 *GBA*-DBS- subjects.

Mount Sinai Beth Israel (New York, NY, USA): A total of 4 *GBA*+DBS+ subjects with clinical and genetic data were available for review (2005-2019). Participants were genotyped for both

LRRK2-G2019S and the 11 most common *GBA* mutations among Ashkenazim: N370S, 84GG, IVS2+1, V394L, D409G, L444P, A456P, RecNcil, R496H, E326K or T369M as previously described.[24]

University College London (London, UK): Motor outcomes data from this cohort, which includes 32 subjects with bilateral STN-DBS, have been published previously.[3] However, MDRS scores were available for only 10 subjects: 5 *GBA*+DBS+ subjects and 5 *GBA*-DBS+ subjects. Subjects were fully sequenced for *GBA* as previously described.[3]

AMP-PD: This dataset includes the *LRRK2* Cohort Consortium (LCC), STEADY-PD, and PDBP datasets.   Whole genome sequencing methods for this cohort have been described elsewhere.[17] There was one *GBA*+DBS+ subject in the PDBP dataset who was excluded since the specifics regarding unilateral vs. bilateral DBS and site of implantation were not collected. A total of 32 *GBA*+DBS- subjects were identified from AMP-PD: LCC (n = 2), STEADY-PD (n = 5), PDBP (n= 25).

     GBA mutation carriers were further subcategorized according to mutation severity (risk variant, mild, severe) based on prior reports (Table 1).[25]   The specific mutations with their corresponding mutation severity categorization are shown in Table 2. Subjects who carried both *GBA* and *LRRK2* mutations were excluded, since *LRRK2* variants might be protective for cognitive decline in *GBA* mutation carriers.[26, 27] Subjects with two different *GBA* mutations were categorized as compound heterozygotes.

Demographic and clinical data

     The following demographic and clinical data were collected: baseline age, age at disease onset, sex, date of DBS, family history of first-degree PD relative, Unified Parkinson's Disease Rating Scale (UPDRS) or MDS revision of the UPDRS (MDS-UPDRS), Mini-Mental State Exam (MMSE), Montreal Cognitive Assessment (MoCA), and Mattis Dementia Rating Scale (MDRS). UPDRS scores were converted to MDS-UPDRS scores.[28] The number of cognitive test points/subject and the interval between cognitive testing (months) were also collected. Cognitive assessments were performed in the subject's native language where possible (Netherlands, France, Norway). Baseline age for DBS subjects was defined as the age of the subject pre-DBS. MMSE and MoCA scores were converted to MDRS scores.[29] All subjects were required to have a baseline MDRS score of 130 or greater to be included in the analysis per typical pre-operative cognitive function recommendations for DBS implantation.[30] *GBA*+DBS+ subjects were included if their baseline score was missing but their 1-year post-DBS MDRS score was 130 or greater (n = 4 of 367 subjects). No imputation was used to handle this missing data since it was relevant for only 4/367 subjects (1% of data).

Statistical analysis

Demographic characteristics were compared using one-way ANOVA or Kruskal-Wallis test as appropriate. Post-hoc Bonferroni correction was performed for $p < 0.05$. Post-DBS UPDRS-III scores (ON medication and ON stimulation vs. pre-DBS OFF medication) and levodopa equivalent daily dosing (LEDD)[31] reduction (pre vs. post-DBS) were stratified by *GBA* status with available data within 2 years of DBS implantation. LEDD reduction was used as a surrogate marker for DBS efficacy since OFF medication/ON stimulation UPDRS scores were not available. Linear mixed modeling was used to compare rate of change in MDRS scores over time among the groups according to *GBA* and DBS status and then according to *GBA* severity and DBS status. For DBS subjects, the time at which pre-DBS MDRS (baseline) assessments were conducted was defined as time zero. The model was adjusted for age, age at onset (random intercept), sex, and study site (random factor). Given the non-random group assignment of our subjects, we also performed propensity score weighting, adjusting for age, age at onset, sex, and study site. Finally, we also performed an analysis looking at a three-way interaction (DBS*GBA*time) while adjusting for sex, baseline age, age of onset, and study site.

Results:

Baseline characteristics

Data were available for 367 subjects: 58 *GBA*+DBS+, 82 *GBA*+DBS-, 98 *GBA*-DBS+, and 128 *GBA*-DBS- subjects across 12 datasets (Table 3). DBS subjects, regardless of *GBA* status, had significantly lower MDRS scores pre-DBS compared with *GBA*-DBS- subjects. *GBA*+DBS+ subjects had significantly worse cognition at baseline with *GBA*-DBS- subjects (p = 0.017). Based on the data available, the number of cognitive test points/subject, the interval between cognitive testing (months), and median follow-up time for cognitive assessments was significantly different between the four groups (Table 3). The number of subjects from each dataset are shown in Supplementary Table 1.

DBS subjects

Of 156 subjects with DBS, 58 were *GBA* mutation carriers while 98 were non-carriers. There was no difference in the number of years from motor symptom onset to DBS implantation or number of years that subjects had DBS (p > 0.05, Table 4) with respect to *GBA* status. There was no difference in baseline MDRS scores in DBS subjects based on *GBA* status (p = 1.0). Pre-operative UPDRS-III OFF/ON scores, percent change in UPDRS-III OFF/ON medication, and pre-DBS LEDD were not significantly different based on *GBA* status. Similarly, post-operative UPDRS-III scores (ON medication/ON stimulation) and percent reduction in LEDD were not significantly different based on *GBA* status.

Effects of GBA and DBS on cognition

GBA mutation carriers with DBS (*GBA*+DBS+) had the fastest cognitive decline among the four groups based on change in MDRS scores per year (Figure 1). *GBA*+DBS+ had the worst cognition at baseline and declined on average 2.02 points/year (SE = 0.17) more than *GBA*-DBS- subjects, 1.71 points/year (SE = 0.22) more than *GBA*+DBS- subjects, and 1.49 points/year (SE = 0.16) more than *GBA*-DBS+ subjects (all p<0.0001, Table 5). Similar results were found with propensity score matching (Table 5). The analysis testing for a three-way interaction including the term DBS*GBA*time was also statistically significant (p < 0.0001).

Effects of DBS on cognition in GBA subjects according to mutation severity

GBA+DBS+ subjects, subcategorized according to mutation severity, declined faster in the MDRS than their non-DBS counterparts with the same mutation severity (Figure 2, Table 6). Subjects with a *GBA* variant and DBS declined 1.15 points/year (SE = 0.29) faster than those with the equivalent *GBA* variant and no DBS (p < 0.0001). Subjects with mild *GBA* mutations and DBS declined 2.08 points/year (SE = 0.52) faster than their non-DBS counterparts (both p<0.0001). Subjects with severe *GBA* mutations and DBS declined 1.13 points/year (SE = 0.53) faster than their non-DBS counterparts (p = 0.03) with the mixed model analysis, but there was no difference between the groups using propensity score matching (p = 0.11, Table 6).

Effects of mutation severity on cognition in DBS subjects

Amongst those with DBS, subjects with mild or severe *GBA* mutations (neuronopathic) declined 1.31 points/year (SE = 0.31, p<0.0001) and 1.18 points/year (SE = 0.29, p<0.0001) faster than subjects in the *GBA* variant group (non-neuronopathic) in their MDRS scores. Those with neuronopathic *GBA* mutations (mild or severe mutations combined) declined 1.26 points/year (SE = 0.25, p<0.0001) faster than *GBA* subjects with non-neuronopathic mutations. There was no difference in the rate of decline comparing subjects with mild *GBA* mutations vs. severe mutations though the sample size was limited.

Discussion:

This is the first study to demonstrate that the combined effects of *GBA* mutations and STN-DBS in PD negatively impact cognition. We also demonstrate that *GBA* mutation carriers, when stratified according to mutation severity, also show a graded cognitive outcome after STN-DBS compared with their non-DBS counterparts. Also, amongst subjects with DBS, those with neuronopathic mutations have faster cognitive decline than those with non-neuronopathic mutations. Other studies have shown that PD[GBA] subjects with STN-DBS have more severe decline in cognition, lower health related quality of life, and a greater burden of non-motor symptoms compared with non-mutation carriers with STN-DBS.[4, 5, 32] However, because none

of these studies included PD[GBA] subjects without DBS as a comparator group, it was unknown whether these suboptimal cognitive outcomes related to the natural disease trajectory of *GBA* carriers or related to the combination of both *GBA* and STN-DBS. We have filled this knowledge gap by our 2 x 2 design comparing four groups of subjects, PD[GBA] and non-*GBA* subjects, with and without bilateral STN-DBS. Based on our results, we would advise that DBS candidates for *GBA* mutations as part of the pre-surgical decision-making process. Further, we advise counseling of all patients, and particularly those with *GBA* mutations, regarding the potential cognitive risks of STN-DBS over time. There are slight differences in cognition even at baseline, which are statistically significant, but these differences become clearer over time as shown in Figures 1 and 2.

It is well established that cognitive impairment is more frequent and more severe in PD[GBA] patients compared to non-*GBA* patients.[33] Clinically, PD[GBA] patients also develop dementia faster than non-*GBA* patients.[7] This has been attributed to deficiency of the *GCase* enzyme which leads to more rapid accumulation and spread of α-synuclein, which in turn further lowers GCase, and this process continues in a bidirectional positive feedback loop.[8, 34, 35] STN-DBS has been associated with a negative impact on timed tasks such as verbal fluency,[10] executive control of action,[11] and inhibitory control.[12] Further studies are needed to determine whether these cognitive processes or other specific domains are affected in those with STN-DBS and *GBA* mutations. Additional studies are needed to determine the *GBA* related mechanisms that may interact with the potential deleterious effect of DBS on cognition over time.

Regarding benefits of DBS, in some cases, LEDD reduction in *GBA*+DBS+ has been shown to be less compared with other monogenic forms of PD.[36] In our study, *GBA*+DBS+ subjects had a significant reduction in total LEDD compared with *GBA*-DBS+ subjects, suggesting a similar response to DBS in both groups. Indeed, the significant short-term[37] and long-term[38] motor benefits of STN-DBS on quality of life are well-established. However, the anticipated motor benefits of STN-DBS surgery need to be carefully weighed against the potential long term cognitive adverse effects of DBS specifically in PD[GBA] patients. We acknowledge that our findings can only be referenced to bilateral STN-DBS and not to other surgical procedures.   DBS options, such as unilateral DBS,[39] combined STN-DBS with contralateral GPi-DBS, or bilateral GPi-DBS, may provide a more different risk/benefit profile than bilateral STN-DBS and deserve comprehensive evaluation.[40] Additional device-aided therapies, such as apomorphine continuous subcutaneous infusion, levodopa duodenal gel or subcutaneous infusion, remain to be explored in this population as well.[41]

Although studies have shown that GPi-DBS may result in less cognitive decline compared with STN-DBS[42] (without considering genotype), no data are available on cognitive outcomes of GPi-DBS in PD^{GBA} patients. One of the key reasons for potential differences in outcomes based on target may simply be the size of the targets - GPi is nearly three times the size of STN (approximately 450 mm^3 vs. 150 mm^3 respectively).[43-45] Given the compact anatomy of STN compared with GPi, the likelihood of unintended current spread is higher into adjacent STN subregions and nearby structures.[13, 14] Imaging studies have revealed the likely region of accidental activation is that of nonmotor medial prefrontal-striatal circuitry in those with STN-DBS.[15] Future studies can potentially examine the effects of GPi-DBS in this cognitively vulnerable population. Prior studies, such as the VA cooperative study by Weaver et al.[46] which demonstrated faster cognitive decline with STN vs. GPi-DBS did not consider *GBA* status. Whether *GBA* status was driving the differences between targets remains unknown and since many of those subjects are now deceased (personal communication, Francis Weaver), this question is unlikely to be answered using retrospective data. In fact, we searched widely for a dataset with this focus (*GBA*+GPi) and did not locate sufficient cases with gene testing and the needed cognitive assessments or follow-up, so we are not optimistic that such data will exist without a specific prospective study. Interestingly, subjects with bilateral STN-DBS declined by approximately 2.0 points/year over 26 months in the Weaver et al. study[46] and by 1.8 points at 6 months post-STN-DBS in another study,[47] which are comparable to the degree of decline found in our study. To our knowledge, there is no minimal clinically important difference published for the MDRS, thus the clinical context of these annual changes remains unknown.

Of note, most of the *GBA*+DBS+ subjects in this study had risk variants or mild mutations, with the smallest group being those with severe mutations. Variants such as E326K are the most common abnormality in *GBA*, followed by mild (N370S) and severe (L444P) mutations,[48] which is consistent with our results. It is possible that individuals with severe *GBA* mutations are "screened out" during neuropsychological testing as part of the DBS pre-operative evaluation, potentially due to higher risk of dementia earlier in the disease course, which may also contribute to the higher frequency of subjects with risk variants or mild mutations. Also, our results were largely consistent using the mixed effects model and propensity score matching, except when examining those with severe GBA mutations with and without DBS (n = 11 and n = 9, respectively). This discrepancy between the statistical models is likely secondary to the small sample size in these groups.

Strengths of our study include a large sample size, international collaboration, long duration of follow-up, and use of mixed effects model analysis. Limitations include lack of

randomization of DBS subjects, information regarding death and dropouts in each group, DBS lead location, details of actual surgery (awake vs. asleep, number of brain penetrations, etc.), programming parameters, lack of in-depth neuropsychological and motor assessments in the OFF medication/ON DBS state, heterogeneity of assessments, and variability of individual site contribution to *GBA* mutation carriers and non-carriers. Lastly, cognitive testing was performed without blinding for genotype or DBS status, and the analysis is categorically retrospective, and culled from multiple centers with no prescribed protocol at the time of data collection. PD^{GBA} patients who are cognitively well enough to qualify for DBS may represent outliers in the PD^{GBA} population given the higher risk of dementia in this group.[7, 8, 20, 25, 33] As such, the decline in cognition seen after STN-DBS for these patients may represent a regression to the mean. However, the mild slope of decline in the MDRS scores per year argues against this (Figure 1, Table 5) and suggests rather that STN-DBS compounds the risk of cognitive decline associated with *GBA* mutations. Furthermore, we have on average greater than 2 time points per subject which reduces the likelihood of regression to the mean.[49] Therefore, additional studies are needed to confirm our results and their impact on quality of life.

In terms of future directions, *GBA* mutations are a known risk factor for cognitive decline,[8, 33] and STN-DBS appears to accelerate this decline. Our data permit us to advise that *GBA* status be part of pre-operative evaluations and that gene positive patients be counseled appropriately regarding the potential cognitive risks, benefits, and alternatives to STN-DBS prior to implantation. Determining the cost effectiveness of genetic testing as part of the pre-operative evaluation also should be considered through health-economic studies, though genetic testing is becoming increasingly accessible through free testing programs such as PD GENEration (NCT04057794) and currently no interventions exist to slow cognitive decline in PD. The influence of additional genes that are associated with cognitive decline, such as apolipoprotein E,[50] also warrant examination in future studies. Integration of genetic data along with DBS status, target, DBS lead location, and details of surgery (awake vs. asleep, number of brain penetrations, etc.), should be considered for integration into large national and international datasets for further investigation. Ongoing efforts to genotype all PD patients through PD GENEration (NCT04057794) and 23andMe[51] are critical to expediting studies that link genotype with outcomes of clinical interventions.

Author contributions: 1) conception and design of the study, 2) acquisition and analysis of data or 3) drafting a significant portion of the manuscript or figures

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Figure Legends:

Figure 1. Linear fit showing change in MDRS scores over time according to *GBA* and DBS status. For subjects with DBS, time zero equates to pre-DBS assessment (< 1 year prior to DBS). Median follow-up time ranges from 36.0-60.0 months.

Figure 2. Linear fit showing change in MDRS scores over time based on DBS status and according to *GBA* mutation severity. Panel A compares *GBA* variant mutation carriers with and without DBS. Panel B compares *GBA* mild mutation carriers with and without DBS. Panel C compares *GBA* severe mutation carriers with and without DBS. Panel D compares *GBA* subjects with neuronopathic (mild and severe) vs. non-neuronopathic mutations (variant), with and without DBS. Median follow-up time ranges from 36.0-60.0 months.