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Sara Ajami, Naiara Rodriguez-Florez, Juling Ong, Noor ul Owase Jeelani, David Dunaway, Greg James, Freida Angullia, Curtis Budden, Selim Bozkurt, Amel Ibrahim, Patrizia Ferretti, Silvia Schievano, Alessandro Borghi

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1 **Mechanical and Morphological Properties of Parietal Bone in Patients with**
2 **Sagittal Craniosynostosis**

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4 Sara Ajami ⁽¹⁾, Naiara Rodriguez-Florez ^(2,3), Juling Ong ⁽⁴⁾, Noor ul Owase Jeelani ⁽⁴⁾,
5 David Dunaway ⁽⁴⁾, Greg James ⁽⁴⁾, Freida Angullia ⁽⁴⁾, Curtis Budden ⁽⁴⁾, Selim Bozkurt
6 ^(1,5), Amel Ibrahim ⁽⁶⁾, Patrizia Ferretti ⁽¹⁾, Silvia Schievano ⁽¹⁾, Alessandro Borghi ⁽¹⁾

7

8 **1. UCL Great Ormond Street Institute of Child Health, London WC1N 1EH, UK**

9 **2. Universidad de Navarra, TECNUN Escuela de Ingenieros, Spain**

10 **3. Ikerbasque, Basque Foundation of Science, Spain**

11 **4. Craniofacial Unit, Great Ormond Street Hospital, London WC1N 3JH, United**
12 **Kingdom**

13 **5. UCL Institute of Cardiovascular Science, London WC1E 6BT, UK**

14 **6. Biomaterials and Biomimetics, NYU College of Dentistry**

15 **Abstract**

16 Limited information is available on the effect of sagittal craniosynostosis (CS) on
17 morphological and material properties of the parietal bone. Understanding these properties
18 would not only provide an insight into bone response to surgical procedures but also improve
19 the accuracy of computational models simulating these surgeries. The aim of the present study
20 was to characterise the mechanical and microstructural properties of the cortical table and
21 diploe in parietal bone of patients affected by sagittal CS. Twelve samples were collected from
22 pediatric patients (11 males, and 1 female; age 5.2 ± 1.3 months) surgically treated for sagittal
23 CS. Samples were imaged using micro-computed tomography (micro-CT); mechanical
24 properties were extracted by means of micro-CT based finite element modelling (micro-FE) of
25 three-point bending test, calibrated using sample-specific experimental data. Reference point
26 indentation (RPI) was used to validate the micro-FE output. Bone samples were classified
27 based on their macrostructure as unilaminar or trilaminar (sandwich) structure. The elastic
28 moduli obtained using RPI and micro-FE approaches for cortical tables ($E_{RPI} 3973.33 \pm 268.45$
29 MPa and $E_{micro-FE} 3438.11 \pm 387.38$ MPa) in the sandwich structure and diploe ($E_{RPI} 1958.17 \pm$
30 563.79 MPa and $E_{micro-FE} 1960.66 \pm 492.44$ MPa) in unilaminar samples were in strong
31 agreement ($r=.86$, $p<0.01$). We found that the elastic modulus of cortical tables and diploe
32 were correlated with bone mineral density. Changes in the microstructure and mechanical
33 properties of bone specimens were found to be irrespective of patients' age. Although younger
34 patients are reported to benefit more from surgical intervention as skull is more malleable,
35 understanding the material properties is critical to better predict the surgical outcome in
36 patients <1 year old since age-related changes were minimal.

37

38 **Keywords:** Craniosynostosis, Cranial bone microstructure, Biomechanics of cranial bone,
39 Pediatric

40 1 Introduction

41 Craniosynostosis (CS) is a congenital malformation defined by premature fusion of one or
42 more skull sutures – specialised fibrous joints which connect the bones of the cranial vault [1].
43 CS results in abnormalities of normal skull growth, causing aesthetic deformity and, in some
44 cases, functional problems affecting relevant organs such as the brain and eyes. It can present
45 as part of a genetically or clinically defined syndrome [2], but in the majority of CS patients no
46 genetic cause is identified and only a single cranial suture is affected – so called ‘non-
47 syndromic CS [3, 4]. Sagittal CS is the most common type of CS accounting for between 40%
48 and 55% of non-syndromic cases [3]. If untreated, skull malformations may result in functional
49 problems such as intracranial hypertension, which may result in visual and neurological harm
50 [5].

51 Currently, the only interventions for CS are surgical. Surgical techniques can broadly be
52 divided into minimally invasive techniques, where the fused suture is released and distraction
53 (internal or external) is applied; or calvarial vault remodelling procedures, more extensive
54 operations where osteoplastic flaps are cut from the skull, reshaped and repositioned to
55 correct head shape. Minimally invasive techniques such as spring-assisted cranioplasty (SAC)
56 have become increasingly popular over the last 20 years, particularly in young infants, as they
57 involve smaller skin incisions and less extensive soft dissection and osteotomies, with
58 concurrent reductions in transfusion rates, length of hospital stay and post-operative recovery
59 [6, 7]. However, the optimal timing of surgery for CS remains unclear and a subject of ongoing
60 debate.

61 Previous studies have used FE and statistical shape modelling to predict final head changes
62 and unveil surgical factors affecting the outcome [8-10]. While promising results have been
63 reported, one of the difficulties in such models is the lack of information on the mechanical
64 properties of the paediatric skull affected by CS [9]. Moreover, a recent study by Rodriguez-
65 Florez et al. has suggested that when predicting surgical outcomes, preoperative examination
66 of cranial bone structure should be considered for patients with an age range of 3-8 months,
67 as the bone structure strongly affects head shape changes in patients [11]. The structure of
68 the cranial bone evolves from unilaminar structure in childhood to trilaminar (sandwich)
69 structure in adults: during growth, the cranial bone differentiates structurally into a three-layer
70 composite consisting of two external cortical tables and a central trabecular layer, the diploe
71 [12-14]. Florez et al. reported parietal bone samples with unilaminar structure were associated
72 with an increased improvement in head shape changes than trilaminar [11].

73 The parameters indicative of bone quality are not accurately interpretable from clinical
74 examination and traditional radiographic imaging approaches, and often rely on ex-vivo

75 techniques to quantify the changes. The ability to non-invasively measure the material level
76 changes in vivo that relates to bone pathophysiology represents a powerful tool for disease
77 diagnosis and management [15-20]. Therefore, there is an immense desire for non-destructive
78 biomarkers to characterise bone properties. The aim of this study was to characterise the
79 microstructural and mechanical properties of parietal bone in paediatric patients with sagittal
80 CS and to identify a translatable biomarker representative of bone properties in order to better
81 predict surgical outcomes. Bone samples were classified as unilaminar or trilaminar. Since the
82 cortical and diploe feature independent mechanical properties and architecture, an enhanced
83 characterisation of each layer was carried out to improve our understanding of changes in the
84 diseased cranial bone. Micro-computed tomography (micro-CT) based finite element (micro-
85 FE) modelling has been widely used to indirectly assess the biomechanical properties of bone
86 and is particularly attractive as a tool to evaluate bones when physical testing of samples is
87 not possible [21-25]. On the other hand, a common method for direct bone testing is reference
88 point indentation (RPI), which is a non-destructive approach to test bone material properties
89 using cyclic micro-indentation [26]. Microstructural properties of the cranial bone were
90 examined using high-resolution micro-CT; three-point bending tests were carried out on each
91 sample and the output was used to calibrate micro-FE model which allowed reverse estimation
92 of each layer's mechanical properties; finally, validation of the results was carried out against
93 RPI.

94 **2 Materials and Methods**

95 **2.1 Sample collection and preparation**

96 In this study 12 patients (1 female and 11 males, age = 5.2 ± 1.3 months, ranging between 3
97 and 8 months) affected by non-syndromic, sagittal CS who underwent SAC at Great Ormond
98 Street Hospital for Children (GOSH, London, UK) between November 2018 and June 2019
99 were prospectively recruited. SAC is the standard of care in GOSH for the correction of
100 scaphocephaly in young children affected by single-suture sagittal CS. Detailed information
101 on the surgical procedure can be found at Rodgers et al.[6]. As a part of the procedure, a
102 square craniectomy is performed straddling the sagittal sinus approximately halfway along the
103 fused sagittal suture, with the cranial bone fragment being usually discarded. Following ethical
104 approval and parental consent (UK Health Research Authority REC reference: 09/H0722/28)
105 the excised bone specimens, namely parietal bones, were collected from the operation.
106 Retrieved bone samples were cleaned of soft tissue, fixed in 4% paraformaldehyde (PFA) for
107 24 hours, and stored in -20°C freezer until preparation for testing.

108 **2.2 Micro-computed tomography (micro-CT)**

109 Parietal bone samples were thawed in phosphate buffered saline (PBS) at room temperature
110 for less than 3 hours prior to micro-CT scanning. Each sample was scanned using a Skyscan
111 1172 (Skyscan, Kontich, Belgium) at a voxel size of $8.9\ \mu\text{m}$, with an X-ray tube operated at 49
112 kV, $200\ \mu\text{A}$, 885 ms exposure time, a rotational step of 0.4° over 180° total rotation, and a 0.5
113 mm aluminum filter. The slices were reconstructed using NRecon 1.7.1.0 (Skyscan, Kontich,
114 Belgium). 2D/3D analyses were performed using CTAn software (Skyscan, Kontich, Belgium).
115 Finally, CTvox (Skyscan, Kontich, Belgium) was used for 3D visualisation and production of
116 colour-coded images of trabecular thickness and separation.

117 A visual inspection of the micro-CT images demonstrated that the structure of parietal bone
118 samples was either unilaminar ($n=6$) composed of diploe or trilaminar ($n=6$) with an outer and
119 inner cortical table and a diploe core. Calibrated micro-CT was used to assess trabecular bone
120 mineral density (BMD) and cortical tissue mineral density (TMD) using two SkyScan-supplied
121 bone phantoms with known mineral density values of 0.25 and $0.75\ \text{g/cm}^3$ calcium
122 hydroxyapatite. The phantoms were scanned and reconstructed using the same scan settings.

123 **2.3 Sample beam mechanical testing**

124 Beam-shaped specimens were cut from the parietal bone, parallel to the sagittal suture and
125 under constant irrigation at room temperature using a diamond saw (Isomet™, Buehler,

126 Coventry, UK), with a target width of 2 mm. The beams were placed on a custom-made fixture
127 with adjustable span in a manner consistent with simply supported boundary condition and
128 loaded midspan. A span length of 10.40 or 5.40 mm was used. Different lengths were chosen
129 due to the restrictions in the size of the sample available.

130 The samples were subjected to a three-point bending test using a Zwick Roell material testing
131 machine (Z0.5, Zwick Roell Group, Ulm, Germany) at 0.005 mm/s for 4 cycles up to a
132 maximum deflection of 0.5 mm. During the test, the force-displacement curves were recorded
133 and used later for calibration of the micro-FE model and indirect estimation of the calvarial
134 bone material properties.,.

135 **2.4 Micro-Finite Element Modelling (Micro-FE)**

136 3D CAD models of bone samples were generated from the reconstructed micro-CT images
137 (Figure 1). Each image-set was imported into ScanIP software (version N-2018.03,
138 Simpleware Ltd., Exeter, UK). The image datasets were subsampled to a pixel size of 20 μm
139 to remove un-necessary detail and help with mesh creation. In each dataset, the regions
140 representing the parietal bone were segmented using a combination of threshold and flood fill
141 operations to remove any floating or disconnected structures. Three different volumes of
142 interest (VOI) in the shape of rectangular beams matching the dimensions of the beam used
143 for the mechanical test were extracted from each dataset to examine intrasample variability
144 (Figure 1B). The selected beams were in the same or close to the location of the beam
145 prepared for experimental three-point bending. Therefore, it can be assumed that the FE
146 model would well represent the beam tested experimentally. In each beam, cortical
147 compartment and diploe were manually segmented according to macro-porosity (Figure 1C):
148 cortical bone shows a compact structure with low porosity; trabecular bone shows a lattice-
149 type and highly porous structure. We inversely characterised the Young's modulus of the
150 diploe in unilaminar, cortical and diploe in trilaminar structures, using micro-FE model of three-
151 point bending - calibrated with three-point bending experimental output. A design of
152 Experiment stage followed by model optimization (using Latin hypercube sampling design
153 algorithm implemented in ANSYS - Release 19.0, ANSYS, Inc. and ANSYS Europe, Ltd.)
154 allowed the estimation of the different layer mechanical properties by matching the mechanical
155 response of the micro-FE model (displacement vs reaction force) with the relative curve
156 retrieved during the three-point bending test. The outer layer properties (diploe in the
157 unilaminar samples, cortical layer in trilaminar samples) was compared with RPI
158 measurements for validation.

159 Symmetry within the beam was assumed, to simplify the use of boundary conditions and to
 160 decrease the computational time. A comparison with the numerical results between the full
 161 beam and quadrant beam models was carried out in 4 samples and a deviation of less than
 162 10% was found. Then a quadrant from each beam was used to build tetrahedral meshes
 163 (SimplewareFE, version N-2018.03, Simpleware Ltd., Exeter, UK) with a coarseness value of
 164 -25, which corresponded to an average of 98,182 nodes (17,927 nodes/mm³) and 381,530
 165 elements (69,191 elements/mm³) for all patients and imported to ANSYS to simulate bending
 166 using the same conditions as the mechanical testing (supplementary materials). A mesh
 167 sensitivity analysis on element size was performed on 4 samples to achieve optimal balance
 168 between accuracy (with a deviation threshold of 5%) and CPU time. For all micro-FE models,
 169 the bone material properties were considered to be isotropic, linear elastic, and uniform with
 170 a Poisson's ratio of 0.3. The loading point and support were simulated using displacement
 171 conditions on specific node subsets (linearly increasing displacement from 0 to 0.5mm for the
 172 loading point, while imposing zero displacements for the support). The diploe and cortical
 173 moduli were determined using a response surface optimisation method targeting the peak
 174 force obtained in experimental testing with an error percentage of less than 0.5.

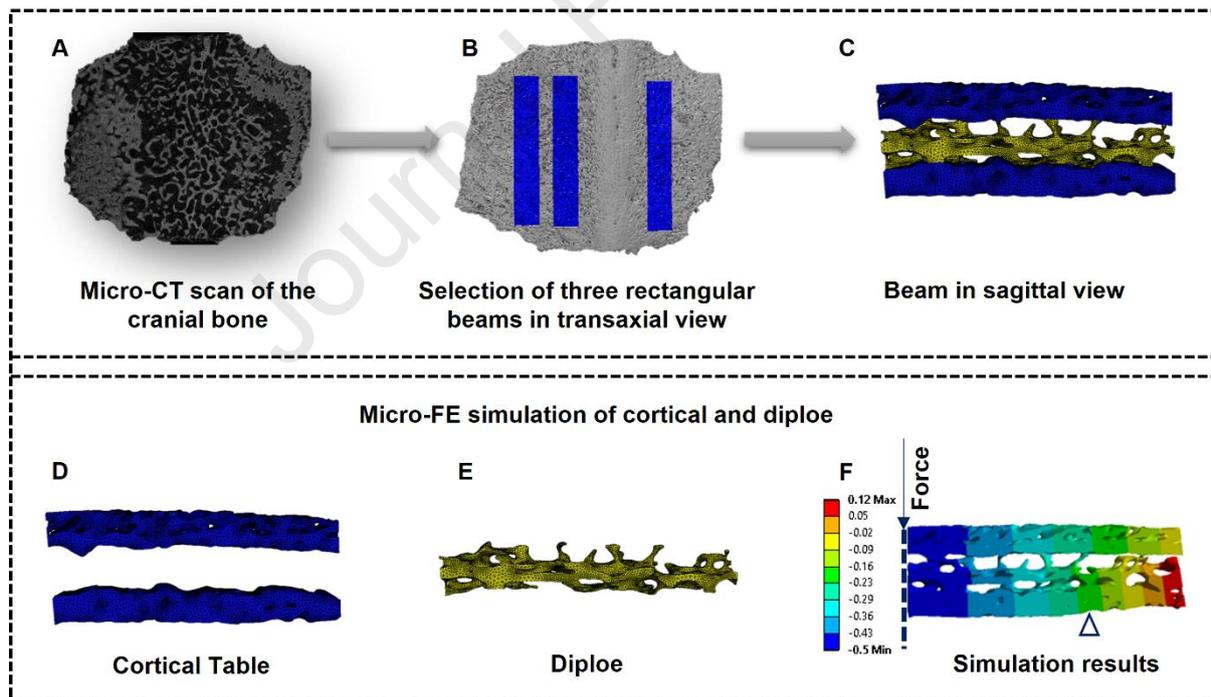


Figure 1. An Overview of operational steps performed for micro-FE modelling of three-point bending in parietal bone samples. The sample presented in this figure is representative of a parietal bone with trilaminar structure. A) Parietal bone samples were collected from craniostylosis patients and scanned with micro-CT; (B) Reconstructed micro-CT images were imported into ScanIP software and three rectangular beams were excised; (C) Rectangular beams with trilaminar (sandwich) structure were segmented into (D) cortical tables and (E) diploe compartments and meshed for subsequent (F) micro-finite element analysis.

175 2.5 Morphometric Analysis

176 Each resized dataset was imported into CTAn for morphological analysis [27]. The measured
 177 structural parameters for all samples (unsegmented) were bone volume fraction (BV/TV; %),
 178 specific bone surface (BS/BV; mm⁻¹), Cs.Th (cross-sectional thickness; mm) and bone mineral
 179 density (BMD, g/cm³). For the diploe analysis BV/TV, BS/BV, Cs.Th, BMD, trabecular
 180 thickness (Tb.Th; mm), trabecular number (Tb.N; mm⁻¹), trabecular spacing (Tb.Sp; mm),
 181 bone pattern factor- index of trabecular bone connectivity (Tb.Pf; mm⁻¹), and porosity (Po ;%)
 182 were determined. For cortical bone, BV/T, BS/BV, Po, and tissue mineral density (TMD, g/cm³)
 183 were analysed.

184 2.6 Reference Point Indentation (RPI)

185 Microindentation was performed using an RPI system (BioDent; Active Life Scientific, Santa
 186 Barbara, CA, USA). Briefly, RPI measures the displacement (relative to the bone surface) of
 187 a stainless steel test probe that indents the bone at a given load, dwells for a short period
 188 (typically <200 ms), and unloads to ~0 N [26]. The probe assembly consists of a cannula-like
 189 reference probe and a test probe that slides inside the reference probe. This allows the
 190 reference probe to establish and maintain a reference point on the material enabling the test
 191 probe to precisely indent the material relative to that established reference point. Each
 192 indentation was performed using the BP2 probe (375 µm diameter, 90° cono-spherical, 5 µm
 193 radius tip) and consisted of 10 cycles at 2 Hz with a maximum force of 2 N per cycle.
 194 Measurements were repeated at 5 regions per sample and each indentation site was
 195 approximately 5 mm apart (spacing between the indentation was >3 times the indent diameter
 196 [28]). Throughout the testing, specimens were kept moist with PBS at room temperature. Prior
 197 to testing, probes were calibrated using polymethylmethacrylate (PMMA) according to the
 198 manufacturer's instruction [29, 30].

199 RPI output was used to calculate the elastic modulus of diploe ($E_{\text{Diploe unilaminar}}$) in unilaminar
 200 samples and cortical bone ($E_{\text{Cortical trilaminar}}$) in trilaminar structures. The elastic modulus was
 201 calculated using the Oliver–Pharr technique [31]: initially, the reduced elastic modulus (E_r)
 202 was calculated using the equation shown below:

$$203 \quad E_r = \frac{\sqrt{\pi}S}{2\sqrt{A}}$$

204 where S is the unloading slope when maximum indentation force is reached and A is the
 205 contact area, which is the projected area geometry of the cono-spherical tip calculated from
 206 radius and the contact depth of the indenter.

207
$$Area = \pi ((r \times 0.414) + Indentation\ Depth)^2$$

208 From the reduced elastic modulus, the bone elastic modulus was computed:

209
$$\frac{1}{E_r} = \frac{1 - \nu^2}{E} + \frac{1 - \nu_i^2}{E_i}$$

210 where E and ν (0.3) are the elastic modulus and Poisson's ratio for the bone and E_i (200GPa)
211 and ν_i (0.3) are the same parameters for the indenter.

212

213 **2.7 Statistical analysis**

214 All Statistical analyses were performed using SPSS 25.0 (SPSS, Inc., Chicago, IL, USA).
215 Results are presented as mean \pm standard deviation. Mann Whitney U test was used to assess
216 the statistical significance of the differences between cortical tables and diploe. The correlation
217 between the mechanical properties and microstructural parameters of the bone samples, and
218 the agreement between RPI and micro-FE approaches were evaluated using Spearman's
219 rank correlation. Results were considered significant when $P < 0.05$.

220 3 Results

221 3.1 Biomechanics of Parietal Bone in Patients with sagittal CS

222 A total of 12 samples were collected from pediatric patients representing unilaminar (n=6) and
223 trilaminar (n=6) structure. The elastic modulus obtained for parietal bone was determined
224 using RPI and micro-FE model of three-point bending.

225 RPI allowed calculation of superficial layer Young's modulus, hence cortical Young's modulus
226 for the trilaminar structure ($E_{\text{Cortical trilaminar}}$) and diploe for the unilaminar structures (E_{Diploe}
227 $_{\text{unilaminar}}$). The average total indentation distance (TID) in the cortical layer of trilaminar samples
228 ($36.25 \pm 3.56 \mu\text{m}$) was much lower than the average thickness of the cortical layer (269.47 ± 75
229 μm) in all samples. A significantly higher elastic modulus for the cortical bone in trilaminar
230 structures was found in comparison to diploe ($E_{\text{Cortical trilaminar}} 3973.33 \pm 268.45 \text{ MPa}$ vs E_{Diploe}
231 $_{\text{unilaminar}} 1958.17 \pm 563.79 \text{ MPa}$, $p < 0.01$) in unilaminar structure (supplementary materials). The
232 average TID and unloading slope were: $53.33 \pm 8 \mu\text{m}$ and $0.24 \pm 0.06 \text{ N}/\mu\text{m}$ for unilaminar
233 samples and $36.25 \pm 3.56 \mu\text{m}$ and $0.31 \pm 0.03 \text{ N}/\mu\text{m}$ for trilaminar samples ($p < 0.05$).

234 Micro-FE allowed indirect evaluation of bone Young's modulus by means of model
235 optimization; when all bone samples were initially treated as a homogeneous material (cortical
236 layer and diploe treated as same), an average value of $1412.16 \pm 539.11 \text{ MPa}$ (range; 729.69
237 $- 2416.98$) was derived. When the cortical layer was separated from diploe in the subset of
238 patients who had a trilaminar structure, the values indicated that Young's modulus of the diploe
239 layer in unilaminar structures was lower than the cortical ($E_{\text{Cortical trilaminar}} 3438.11 \pm 387.38 \text{ MPa}$
240 vs $E_{\text{Diploe unilaminar}} 1960.66 \pm 492.44 \text{ MPa}$, $p < 0.01$) but higher than the diploe ($E_{\text{Diploe unilaminar}}$:
241 1960.66 ± 492.44 vs $E_{\text{Diploe trilaminar}} 651.13 \pm 331.35 \text{ MPa}$, $P < 0.01$) in trilaminar structures.

242 The level of agreement between the micro-FE and RPI data for cortical and diploe is illustrated
243 in Figure 2. Spearman's correlation, used to assess the relationship between $E_{\text{Micro-FE}}$ and E_{RPI} ,
244 confirmed a strong correlation ($r = .86$, $p < 0.01$). There were no significant correlations between
245 age and the elastic modulus of samples (Figure 3).

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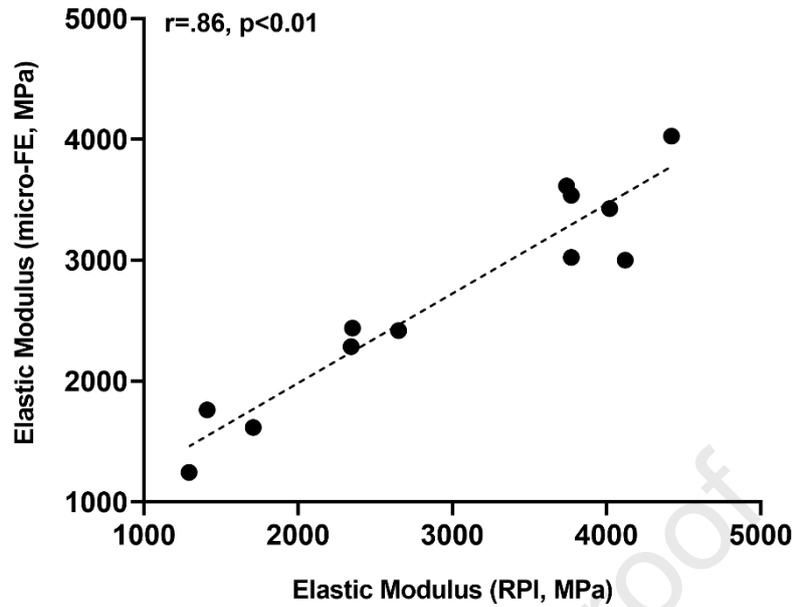


Figure 2. Correlation between elastic modulus derived from reference point indentation (RPI) and micro-FE models. The cortical modulus from trilaminar samples and diploe modulus from unilaminar samples were considered. Strong, positive correlation was found between $E_{\text{micro-FE}}$ and E_{RPI} .

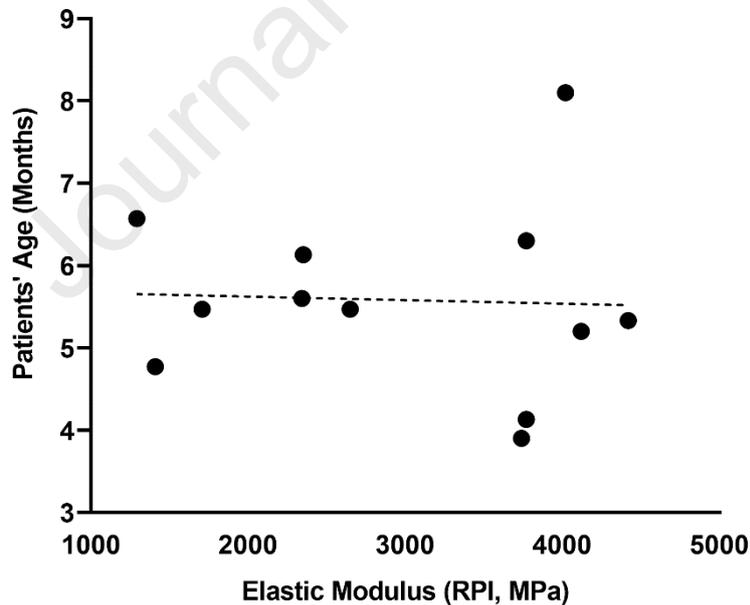


Figure 3. Relationship between patients' age and elastic modulus obtained from reference point indentation. For trilaminar samples the modulus for cortical tables and, for unilaminar the modulus for diploe were considered. No significant correlations were found.

259
 260

261 3.2 Sagittal CS and Microstructure of Parietal Bone

262 Figure 4 represents differences in the macrostructure of micro-CT scanned parietal bones
 263 between patients. A sandwich structure was observed in six patients with a mean age of 5.5
 264 ± 1.5 months (range; 3-8), whereas the other six with a mean age of 5.7 ± 0.6 months (range;
 265 4-6) represented a unilaminar diploe structure. No statistical differences were found when the
 266 age of patients in the two subgroups was compared.

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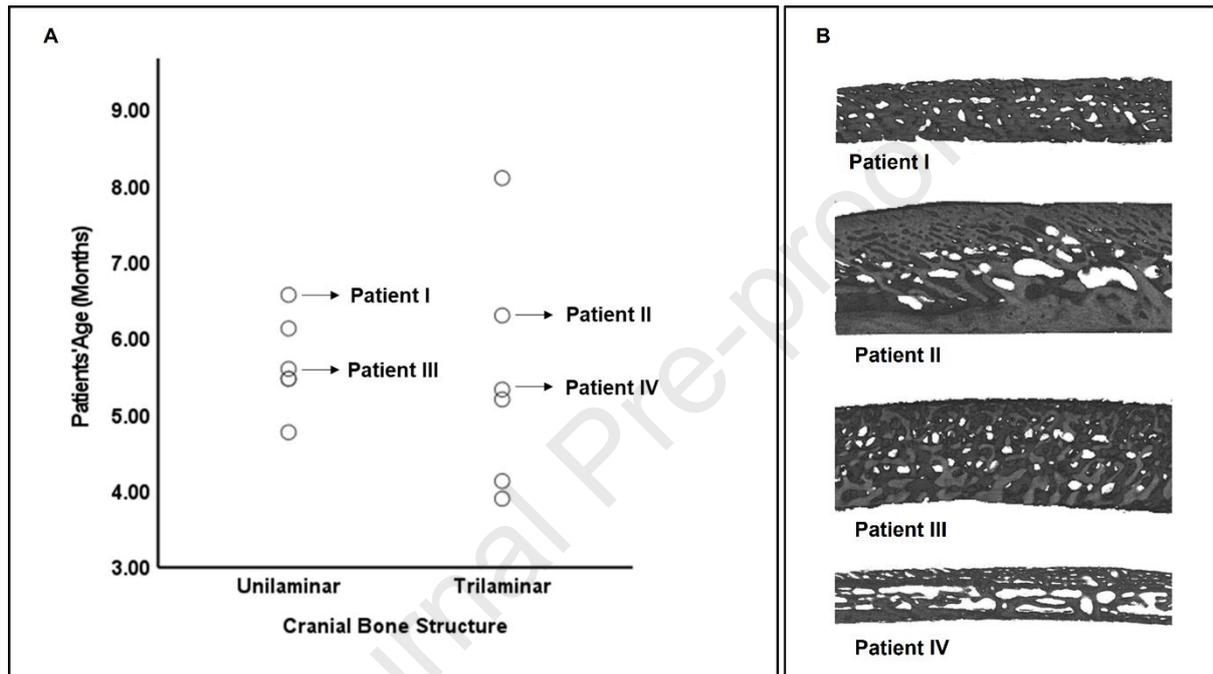


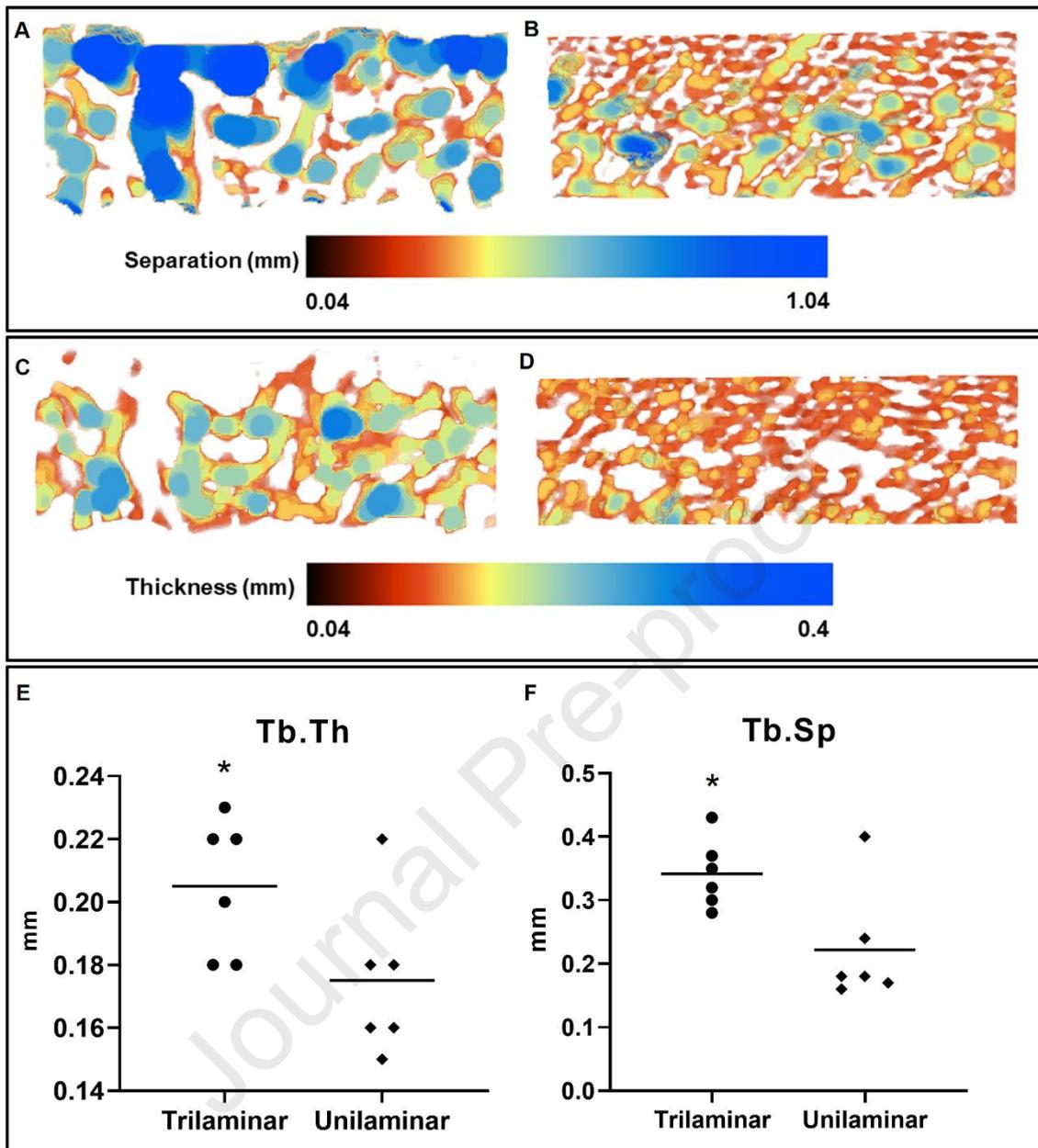
Figure 4. Age is not the sole representative of cranial bone macrostructure in patients with sagittal craniosynostosis. (A) Variation in macrostructure of cranial bone in 3-8 months old patients. (B) Representative micro-CT images of retrieved parietal bone samples. 3D visualization of unilaminar structure in (I) 6.5 month old and (III) 5.6 month old patients; and trilaminar structure in (II) 6.3 month old and (IV) 5.3 month old patients.

268 The 3D microstructure of all samples was examined regardless of their structure. The mean
 269 cross-sectional thickness for all samples was 1.32 ± 0.29 mm. The average BV/TV, BS/BV,
 270 porosity and BMD were respectively 59.63 ± 11.23 %, 16.50 ± 4.90 mm^{-1} , 40.37 ± 11.23 %
 271 and 1.34 ± 0.29 g.cm^{-3} . When the microstructure of the parietal bones with sandwich structure
 272 was compared with the unilaminar samples, a significantly higher BS/BV was found in
 273 unilaminar samples (20.14 ± 3.40 vs 12.87 ± 3.08 mm^{-1} , $p=.01$). Porosity was also higher in
 274 unilaminar parietal bone specimens in comparison to sandwich structure, although it was not
 275 statistically significant (43.24 ± 13.43 vs 37.49 ± 8.79 %). A trend towards increasing in BMD
 276 from unilaminar to trilaminar bone structure (1.19 ± 0.21 g.cm^{-3} vs 1.49 ± 0.29 g.cm^{-3} , $p=.09$)
 277 was observed. A similar trend was observed when cross-sectional thickness (unilaminar 1.22

278 ± 0.29 mm vs trilaminar 1.43 ± 0.27 mm) and BV/TV (unilaminar 56.76 ± 13.43 % vs trilaminar
279 62.51 ± 8.79 %) in unilaminar and trilaminar samples were compared.

280 The 3D analysis of diploe segmented from the trilaminar structure (diploe_{trilaminar}) and
281 unilaminar (diploe_{unilaminar}) samples showed no significant differences when BV/TV (unilaminar
282 56.76 ± 13.43 % vs trilaminar 50.49 ± 8.11 %) and BS/BV (unilaminar 20.14 ± 3.40 mm⁻¹ vs
283 trilaminar 18.66 ± 2.28 mm⁻¹) were compared. The diploe in the unilaminar structure was
284 significantly thicker in cross section when compared to diploe from trilaminar structure
285 (unilaminar 1.22 ± 0.28 mm vs trilaminar 0.77 ± 0.24 mm, $p=.01$). A significantly thicker
286 (unilaminar 0.18 ± 0.02 mm vs trilaminar 0.21 ± 0.02 mm, $p=.04$) and more separated
287 (unilaminar 0.22 ± 0.09 mm vs trilaminar 0.34 ± 0.05 mm, $p=.04$) trabeculae was found in the
288 trilaminar structure when compared to the unilaminar structure (Figure 5). No significant
289 differences were found when the trabecular number (unilaminar 3.26 ± 0.66 mm⁻¹ vs trilaminar
290 2.48 ± 0.30 mm⁻¹) and porosity (unilaminar 43.24 ± 13.43 mm⁻¹ vs trilaminar 49.51 ± 8.11 mm⁻¹)
291 was considered. Analysis of Tb.Pf revealed a concave and convex structure respectively in
292 unilaminar and trilaminar structure (unilaminar -0.12 ± 3.33 mm⁻¹ vs trilaminar 4.27 ± 1.99 mm⁻¹,
293 $p=.03$). When comparing the BMD no significant differences were found (unilaminar $1.19 \pm$
294 0.21 vs trilaminar 1.13 ± 0.32 g.cm⁻³). Overall, an increase in BV/TV was associated with
295 dense ($r=.71$, $p=.01$) and a high number of trabeculae ($r=.81$, $p<0.01$) with a significant
296 reduction in BS/BV ($r=-.59$, $p=.04$), Po ($r=-1$, $p<0.01$), Tb.Sp ($r=-.74$, $p=.01$), and Tb.Pf ($r=-$
297 $.73$, $p=.01$). Parietal bone samples with larger cross sections had a thinner trabeculae ($r=-.59$,
298 $p=.04$). BS/BV had a significant positive correlation with Po ($r=0.6$, $p=0.04$), and negative
299 correlation with Tb.Th ($r=-.78$, $p<0.01$) and BMD ($r=-.64$, $p=.03$). Moreover, the higher the
300 trabecular number the more separated they were ($r=-.85$, $p<0.01$). Tb.Pf was significantly
301 negatively correlated with BV/TV ($r=-.73$, $p=.01$) and Tb.N ($r=-.69$, $p=.01$).

302



303 Figure 5. Diploe structure in paediatric patients with craniosynostosis. Representative colour-
 304 coded images of trabecular separation in (A) trilaminar and (B) unilaminar structures.
 305 Representative colour-coded images of trabecular thickness in (C) trilaminar and (D)
 306 unilaminar structures. Trabecular thickness (E) and trabecular separation (F) were
 307 significantly higher in trilaminar samples compared to unilaminar. Statistical comparisons: *
 308 denotes $p \leq 0.05$.

309

310 Assessment of the cortical morphology showed a BV/TV of $71.09 \pm 8.61\%$ (range; 59.91-
 311 81.77) which was statistically negatively correlated with BS/BV $12.88 \pm 3.17 \text{ mm}^{-1}$ (range;
 312 9.36-16.46; $r = -.88$, $p = .02$) and total porosity $28.91 \pm 8.61\%$ (range; 18.23-40.09; $r = -1.00$,
 313 $p < 0.01$). An increase in TMD $2.30 \pm 0.19 \text{ g.cm}^{-3}$ (range; 1.94 - 2.46) was positively correlated
 314 with BV/TV and had a significant negative correlation with BS/BV ($r = -.83$, $p = 0.04$). No
 315 correlations were found between the microstructural properties and age.

316 **3.3 Elastic modulus of the parietal bone is related to specific architectural**
317 **parameters**

318 The elastic modulus of the cortical bone segmented from trilaminar structure and diploe from
319 unilaminar structure measured by RPI and FEA was positively correlated with bone mineral
320 density (E_{RPI} ; $r=.57$, $p=.05$ and $E_{micro-FEA}$; $r=.64$, $p=.03$). $E_{diploe}^{micro-FEA}$ for all 12 samples increased
321 significantly with a decrease in trabecular thickness ($r=-.61$, $p=.03$), and $Tb.Pf$ ($r=-.73$, $p=.01$).

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322 4 Discussion

323 Surgical procedures performed for the management of sagittal CS are highly variable between
324 centres and there is no consensus in the literature regarding optimal surgical treatment.
325 Regardless of the surgical approach employed, most clinicians advocate for surgical
326 intervention within the first year of patients' life to take advantage of skull malleability and
327 rapidly expanding brain to drive optimal remodelling of the skull [32, 33]. At Great Ormond
328 Street Hospital, we favour minimally invasive SAC [6, 8], safe and successful in expanding the
329 skull, but sometimes with suboptimal shape results. Age alone cannot explain the changes in
330 the final morphological SAC outcome [11]. Previous studies have focused on understanding
331 the pathogenesis of the disease, whilst material and morphological properties of cranial bone
332 in patients affected by CS remain unknown. In this study, we characterised the microstructure
333 and mechanical properties of parietal bone retrieved from sagittal CS patients. Initially bone
334 samples were scanned with micro-CT. A rectangular beam was then prepared from each
335 specimen for experimental three-point bending and RPI. Following that, micro-CT datasets
336 were used to generate micro-FE models of three-point bending. For each sample three beams
337 matching the dimensions of the beam used for the experimental three-point bending test were
338 extracted (from the micro-CT datasets) to examine intrasample variability. Using micro-FE
339 model of three-point bending, calibrated using three-point bending experimental data- we
340 inversely characterised the young's modulus of the diploe in unilaminar, cortical and diploe in
341 trilaminar structures. To validate such inverse characterisation step, we compared the
342 superficial Young's modulus retrieved using RPI and we found very good matching in both
343 unilaminar (micro-FE vs diploe RPI) and trilaminar (micro-FE vs cortical layer RPI).

344 Three-point bending test is one of the most common approaches to examine biomechanical
345 properties of whole bone [34-36]. Several assumptions underlie the use of beam theory to
346 calculate the elastic modulus of bone including a beam with uniform cross-section,
347 homogenous isotropic material, and a high length to depth ratio (a ratio of over twenty for bone
348 samples) [37, 38]. These assumptions have been identified as a potential source of errors
349 when testing short specimens as the bending test will generate a larger shear deformation in
350 addition to bending deformation thus reducing the value of Young's modulus derived from the
351 test [39-41]. In order to overcome these limitations, micro-FE models can be used to determine
352 the mechanical properties of bone samples. In the present study, to take into account the
353 complexity of parietal bone structure and provide an insight into non-invasive methods for
354 determining the elastic modulus of the parietal bone, samples were micro-CT scanned and
355 micro-FE models were generated. We showed that the elastic modulus of the entire beams
356 calculated from micro-FE models were 1412.16 ± 539.11 MPa. In comparison to the literature,

357 this is the first study to investigate the mechanical properties of cranial bone in CS patients.
358 Few studies have reported the mechanical properties of adult and infant cranial bone [42-47].
359 Coats and Margulies tested human infant (<1 year old) cranial bone in three-point bending at
360 test rates of 1.58 and 2.81 m/sec and found an elastic modulus of 461 MPa for parietal bone
361 [45]. Wang et al reported an elastic modulus of 1103.01 MPa for parietal bones in 1-2 year old
362 infants [48]. Margulies et al. investigated the age-dependent changes in skull properties in a
363 bending set up. The reported elastic modulus for parietal bone ranged from 71.6 MPa at 25
364 weeks gestation to 3582.2 MPa at 6 months term [49].

365 For micromechanical characterisation of cortical and diploe, micro-FE derived moduli were
366 compared with RPI. Good correlation was found in the past between RPI / micro-indentation
367 profiles and the respective finite element simulations [50, 51], hence in this work, the modelling
368 was restricted to the simulation of the three-point bending to account for the differences in
369 stiffness between the cortical and the diploe layers in the trilaminar samples. While RPI
370 experimental values validated the micro-FE indirect estimation of surface stiffness for
371 unilaminar and trilaminar samples, model optimization allowed robust estimation of the
372 stiffness of the diploe layer, which well compared with literature values [48, 49, 52]. The three-
373 layer moduli could be used in FE simulations which explicitly model the three layers of the
374 sandwich structure of the skull [53]. Results from RPI and micro-FE approaches were in strong
375 agreement with each other. Peterson and Dechow examined the cortical material properties
376 of human parietal bone in an age range of 58-88 years [54]. A longitudinal modulus (E_1) with
377 grand means of 10.6 GPa for the inner and 13 GPa for the outer table was found. Davis et al.
378 loaded 47 samples from one six year-old human cranium to failure via four-point bending and
379 reported an elastic modulus of 9.87 ± 1.24 GPa for cranial cortical bone [55]. The moduli found
380 for the cortical tables in our study using RPI and micro-FE were respectively 3973.33 ± 268.45
381 MPa and 3438.11 ± 387.38 MPa. The modulus of the diploe ($E_{\text{Diploe unilaminar}} 1958.17 \pm 563.79$
382 and $E_{\text{Diploe trilaminar}} 651.13 \pm 331.35$ MPa) in this study falls within the 0.4 to 2.8 GPa range
383 reported by Melvin et al. [52] for bones tested in compression. To the author's knowledge, no
384 information is available on the mechanical properties of the cortical tables and diploe in the
385 fetal population.

386 The variability in the structure of the bone (unilaminar or trilaminar) is associated with the
387 magnitude of final head shape changes after SAC [11]. Therefore, we have reviewed various
388 morphological parameters to identify an imaging biomarker that would represent the bone
389 structure. We found that bone macrostructure was not reflective in the sample cross-sectional
390 thickness and BMD. Although parietal bone specimens with the sandwich structure were
391 generally thicker with increased BMD when compared to unilaminar types, no significant

392 differences were found. This can be due to the limited number of samples examined here.
393 Florez et al. [11] reported parietal bone samples with sandwich structure was significantly
394 thicker than the samples with a unilaminar structure. When patients' age was considered, no
395 correlations were found with thickness (range; 0.82 – 1.88 mm) and BMD (range; 0.92-1.84
396 g.cm⁻³). The postnatal growth of the calvarium occurs rapidly during the first year followed by
397 a reduced growth rate until the skull approaches adult size at approximately the age of 7 [56].
398 In non-CS infants, parietal bone thickness with a median of 1.45 mm in the age group of 3-6
399 months, 1.69 mm in the age group of 6-9 months, and a mean of 3.4 -4.1 mm in the age group
400 of 0-12 months were reported using CT and histological analysis [12, 57]. Since the changes
401 in cranial thickness correlate with occipitofrontal circumference and cephalic index [11, 12,
402 58], determining the thickness and in-depth understanding of factors affecting it such as
403 gender [59], ethnic origin [60] and anatomical site [59, 61] would aid predicting the final head
404 shape outcome. Our data showed that age is not a significant predictor of parietal thickness
405 for the cohort examined. A minimum thickness of 0.82 mm and a maximum of 1.88 mm was
406 found respectively for 4.7- and 4.1-month-old patients. This variation in the thickness might be
407 due to the remodelling of the bone and changes in the microstructure as a result of the disease.
408 Moreover, no age-related changes in the macrostructure of the samples were found (Figure
409 4) and patients of the same age were identified with different bone structures. A 6.5 month old
410 patient was represented with unilaminar diploe, whilst a sandwich structure was found in a 6.3
411 month old patient. This is in line with previous findings from our group on the cranial bone
412 structure of sagittal CS [11]. Further research is required to study the longitudinal structural
413 changes of the non-diseased cranial bone to investigate how CS interferes with the
414 developmental timeline. It must be noted that in this study all the parietal bone samples were
415 harvested from the same anatomical site.

416 Gender is one of the important risk factors in sagittal CS, affecting 3.5 males for every 1
417 affected female [62-65]. In our study, the effect of gender was not considered as 11 male
418 patients were compared to 1 female patient. The role that gender may play in predisposing an
419 individual to certain forms of CS has been of interest. It has been suggested that the male
420 predominance in certain forms of CS is attributable to a larger head circumference in male
421 fetuses resulting in a higher degree of intrauterine constraint [66, 67], while in other studies
422 higher levels of circulating serum androgens [68], dysregulation of osteoblast differentiation
423 and genetic factors were demonstrated to contribute to development of sagittal CS and gender
424 related differences [69]. There is limited research available on how the gender difference can
425 affect the material and morphological properties of bone. Future research should address this
426 further.

427

428 Previous studies have shown that micro-CT scanning voxel size affects the cortical and
429 trabecular bone microstructure if the voxel size is not appropriately small compared to the
430 dimensions of the structure being measured [27, 70, 71]. The microstructural properties of the
431 bone samples in this study were determined at the same 20 μm resolution that the CAD
432 models were generated in order to minimise the error when investigating the correlation
433 between the microstructural and mechanical properties of the samples in the micro-FE model.
434 In a previous publication by our group [11], when parietal bone samples were scanned at
435 isotropic voxel sizes of 5–8 μm (equivalent to an approximate resolution of 6–9 μm) a BV/TV
436 of $50 \pm 10\%$ was found compared to our current findings : $59.63 \pm 11.23 \%$.

437 The mechanical response of the cranial bones may be highly sensitive to their corresponding
438 microstructure [13]. Our findings indicated that the unilaminar samples represented a more
439 separated and thicker diploe with a reduction in their corresponding micro-FE derived elastic
440 modulus when compared to trilaminar specimens. The trilaminar parietal bone is analogous
441 to an engineering sandwich structure, which is composed of stiff cortical tables (micro-FE
442 3438.11 ± 387.38 MPa) and energy absorbing porous lightweight diploe (micro-FE $651.13 \pm$
443 331.35 MPa). Zhai et al reported that the thickness ratio of the diploe to the entire sandwich
444 structure plays an important role in determining the strength in a tangential direction [72]. For
445 both RPI and micro-FE approaches, the elastic modulus of segmented cortical tables and
446 diploe correlated well with tissue and bone mineral density measured by micro-CT. Cortical
447 porosity is one of the most important predictors of bone strength and has been reported to be
448 negatively correlated with elastic modulus [73-75], although we did not find any significant
449 correlations. Previous studies have captured vascular porosity when voxel size of 41 and 82
450 μm were used to scan human tibiae [76] and radii [77]. Therefore, the cortical porosity
451 determined in our study at 20 μm resolution may be representative of vascular porosity.
452 Further research using histological and high-resolution micro-CT is needed to confirm that as
453 limited information is available in the literature on the microstructure of parietal bone from
454 paediatric patients,

455 The radiation dose used here for imaging and micro-FE modelling of the samples were too
456 high for clinical applications. Hence, it highlights the need for translatable imaging biomarkers.
457 Our results indicated that bone mineral density and cross-sectional thickness should be further
458 investigated as a potential imaging biomarker in the clinical assessment of sagittal CS for
459 identifying the macrostructure and evaluating the mechanical properties. Previous studies
460 have indicated that Hounsfield unit (HU) values obtained from computed tomography (CT)
461 scans can be used for determining regional BMD through calibration using a phantom with
462 known bone mineral density [78-81]. In a recent study by Delye et al. BMD of the cranial bone

463 was determined in 187 patients (age range 0–20 years old) based on the average number of
464 HUs of skull models processed from CT data [57].

465 This study has a few limitations that should be taken into consideration when interpreting the
466 results. First, the parietal bone specimens were fixed in PFA. Long term embalming of bone
467 samples has been shown to significantly affect their mechanical properties [82]. Although the
468 parietal bones here were not stored in PFA for long period, further studies are required to
469 address the effect of our preservation method on their material properties. Second, the
470 influence of the cortical site (inner or outer table) was not taken into consideration when tested
471 with RPI. Previous experimental studies have reported a higher elastic modulus for the outer
472 cortical table than the inner table [13, 54]. Third, the assumption of linear, isotropic and
473 homogeneous material properties in the micro-FE model. The accuracy of the model can be
474 further improved by implementing heterogeneous and anisotropic material properties.
475 Nevertheless, the goal of this study was not to optimise the modelling approach but to
476 characterise the mechanical properties of samples using the simplest and most commonly
477 used micro-FE modelling approach. Moreover, a manual segmentation approach was
478 employed for cortical and diploe analysis in this study. Using a fully automatic segmentation
479 technique, problems associated with operator dependencies (precision and bias) are avoided
480 and ensure high consistency between datasets.

481

482 **5 Conclusion**

483 Using non-destructive approaches, we provided an insight into the mechanical and
484 morphological properties of cranial bone in sagittal CS patients, as well as the relationship
485 between the two. Certain craniofacial procedures, which rely on the malleability of cranial bone
486 are ideally carried out in the first year of patients' life to achieve desired head shape changes.
487 Our results revealed that age was not a determinant of bone microstructure and elastic
488 modulus in sagittal CS patients, which indicates the need for tailoring patient-specific
489 treatments to other parameters. To achieve optimal surgical outcomes, the mechanical and
490 microstructure of the samples should be considered prior to surgery. Since micro-CT cannot
491 be used to assess patients preoperatively, it highlights the need for translatable imaging
492 biomarkers for clinical settings to identify the structural type and determine mechanical
493 properties. This study suggests that morphological parameters such as bone mineral density
494 and cross-sectional thickness [57, 81, 83-86] - which could be measured by routine CT clinical
495 examination - should be further investigated as potential imaging biomarkers.

496

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Author statement

Sara Ajami: Conceptualization, Methodology, Validation, Investigation, Resources, Writing - Original Draft, Writing - Review & Editing

Naiara Rodriguez-Florez: Conceptualization, Methodology, Writing - Review & Editing

Juling Ong: Supervision, Resources, Writing – review & editing, Funding acquisition

Noor ul Owase Jeelani: Supervision, Resources, Writing – review & editing, Funding acquisition

David Dunaway: Supervision, Resources, Writing – review & editing, Funding acquisition

Greg James: Supervision, Resources, Writing – review & editing

Freida Angullia: Resources, Writing – review & editing

Curtis Burden: Resources, Writing – review & editing

Selim Bozkurt: Writing – review & editing

Amel Ibrahim: Investigation

Patrizia Ferretti: Supervision, Writing – review & editing

Silvia Schievano: Supervision, Writing – review & editing, Funding acquisition

Alessandro Borghi: Conceptualization, Methodology, Validation, Writing - Review & Editing, Project administration, Funding acquisition

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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