Randomised Trial of Indwelling Pleural Catheters for Refractory Transudative Pleural Effusions

## Introduction

Transudative pleural effusions are common and whilst the majority respond to medical optimisation, a proportion will persist and require pleural drainage. Congestive heart failure (CHF) is the leading cause of pleural effusions, with an estimated annual incidence in the US of 500 000, with most heart failure patients developing a pleural effusion during their disease course (1,2). Liver and renal failure also cause symptomatic effusions, with hepatic hydrothoraces (HH) present in up to 10% of patients with advanced cirrhosis(3) and effusions from chronic renal impairment present in a fifth of patients receiving haemodialysis(4).

First line management of transudative pleural effusions is pharmacological, with diuretics used to reduce dyspnoea(2). However, high dose diuretics can cause renal impairment, electrolyte disturbance and postural hypotension, and are not tolerated by some patients. Case series demonstrate that 10% of patients with pleural effusions from heart failure and up to 25% of HH do not respond to medical management(2, 5). Typically, these patients then undergo repeated therapeutic thoracentesis (TT) to alleviate breathlessness. However, thoracentesis is not without risk as it has been shown that the cumulative risk of complications increases with each subsequent aspiration in patients with HH (6).

Refractory transudative effusions, defined in this study as effusions from organ dysfunction, unresponsive to medications and requiring invasive pleural procedures, have been shown in

previous studies to have a poor prognosis, with shorter median survival than primary pleural malignancies(7). There has been little research on definitive management of this patient group, with approaches extrapolated from studies in malignant pleural effusion (MPE). Indwelling pleural catheters (IPCs) have been shown to be an effective treatment in MPE, alleviating dyspnoea and reducing hospitalization and number of pleural interventions when compared to talc pleurodesis(8, 9). Observational data suggests that IPCs can reduce breathlessness with low risk of complications in patients with non-MPE (10). In 2017, IPCs received US Food and Drug Administration 510(k) clearance for their use in the management of refractory non-MPEs, however this approval was granted despite a conspicuous paucity of clinical data, with no randomised trials of their use in transudative effusions (11).

This study tests the hypothesis that IPCs are superior to standard care with repeated TT, in management of patients with refractory transudative pleural effusions.

#### <u>Methods</u>

#### Trial design

The REDUCE study was an open-label multi-centre randomised controlled trial which was supported by an unrestricted research grant from BD CareFusion (New Jersey, USA). Trial design, implementation, data collection and analysis were performed solely by the trial investigators, the manuscript was written and the decision to submit for publication was made by the authors, without commercial involvement. North Bristol NHS Trust provided trial oversight. Ethics approval for recruitment was obtained from the UK South West - Exeter Research Ethics Committee (REC Reference 14/SW/0075, IRAS project ID 151804). The trial was registered with the International Standard Randomised Clinical Trials Number registry (ISRCTN66354436).

## **Trial Setting and Participants**

The trial recruited participants from 13 secondary and tertiary care centres in the United Kingdom. Individuals were eligible if they had a symptomatic pleural effusion due to either heart, liver or renal failure, which was either i) transudative as per Light's criteria (12) or ii) exudative (where malignancy and infection had been confidently excluded as the underlying cause by the treating physician). Key exclusion criteria were life expectancy less than 3 months, known pleural malignancy, pleural fluid pH <7.2, or an absolute contraindication to IPC insertion, such as skin infection over catheter insertion site or uncorrectable coagulopathy. Patients were assessed by a specialist cardiologist, hepatologist or nephrologist to determine presence of established heart, liver or renal failure and a pleural effusion that persisted despite optimised medical therapy.

#### **Randomization and Blinding**

Participants were randomly assigned in a 1:1 ratio to either an IPC (intervention) or a TT (standard care) using minimisation with a random component of 0.85(13). Minimisation factors were i) underlying aetiology of pleural effusion (heart or renal vs liver failure) and ii) size of the effusion on pre-randomisation chest radiograph ( $\geq$ ½ hemithorax vs <½ hemithorax). Heart and renal failure were cohorted together for minimisation, as they reflect comparable pathogenesis (i.e. fluid overload) and its was anticipated the enrolment of renal patients would be low. Hepatic hydrothorax, conversely, has a distinct pathogenesis and previous studies have shown outcomes distinct from the other groups (10). Randomisation was carried out using a central online service. Owing to the nature of the interventions, participants and investigators could not be blinded to treatment allocation. Chest radiograph analysis for secondary outcome measures was performed by assessors blinded to treatment allocation.

#### Study procedures

Those in the intervention arm had an IPC placed in a hospital procedure room and were discharged for drainage in the community. IPCs were drained at least three times a week for the first two weeks, and subsequently at a frequency considered appropriate by clinicians and patients. Patients receiving standard care had a first TT, removing up to 1.5L in a hospital procedure room. In the event of worsening breathlessness, patients were advised to contact the study team, with threshold for contact determined by the patient. Further TTs could then be performed as day-case attendances (elective hospital attendances, typically in a clinic or procedure room not requiring overnight stay) to control symptoms at the treating physician's discretion, with no specification that further frequency of drainage was required. If patients required TT at a frequency deemed unsuitable, then an alternative treatment approach (such

as IPC insertion or talc pleurodesis) could be considered at the treating clinician's and patient's discretion.

#### Outcomes

All participants were followed up as outpatients at each recruiting centre at four, eight and twelve weeks post randomisation.

The primary outcome was mean daily breathlessness score over 12 weeks from randomisation, measured using visual analogue scale (VAS) scores (i.e. each participant's mean VAS score across all measurement time-points). VAS scores were obtained by asking participants to make a mark on a 100mm horizontal line, 0mm for 'not breathless at all' to 100mm for 'worst possible breathlessness'.

Secondary outcome measures included: mean daily VAS breathlessness score over 7 and 28 days from randomisation; number of hospital visits, bed days, pleural aspirations, intercostal drain insertions and volume of fluid drained during study period; proportion of patients achieving pleurodesis within 12 weeks of randomisation; quality of life assessed using the EQ-5D-5L questionnaire at 4, 8, and 12 weeks from randomisation; albumin levels at 4, 8, and 12 weeks from randomised treatment; adverse event and all-cause mortality within study period. Patients were considered to have failed their initial treatment if they underwent a pleural intervention other than that which they were randomised to.

Blood tests (Full blood count, renal and liver function) were taken at baseline and at subsequent study visits. A baseline NT-ProBNP was recorded if available. A chest radiograph

was performed during follow-up at the discretion of the primary physician and for all participants at the 12-week assessment to establish if pleurodesis had occurred.

For the IPC group, pleurodesis was defined as chest radiograph showing an effusion less than third of the total hemithorax on the side of the effusion initially randomised as agreed by two independent assessors blinded to treatment, with less than 50ml aspirated from the IPC on three occasions over no less than 1 week with a patent IPC, or the IPC was removed, and no further pleural intervention required within the study period. In the TT group, pleurodesis was defined as chest radiograph showing an effusion less than third of the total hemithorax on the side of the effusion initially randomised as agreed by two independent assessors blinded to treatment, with no further pleural fluid intervention since the initial aspiration.

## Statistical analysis

To address the primary objective, we required 86 patients to have 80% power to detect a 7mm difference in means between groups at the 5% level, assuming a standard deviation of 11mm, and allowing for 8% loss to follow-up. A difference of 7mm was chosen from pilot data, described in the supplementary statistical analysis plan.

All analyses were conducted according to intention-to-treat principle (14). All analyses adjusted for the minimisation variables (cause of effusion and size of effusion)(15). The primary outcome of daily breathless score was analysed using a mixed-effects linear regression model), which included treatment allocation, study day, the minimisation variables, and the breathless score at baseline as fixed factors(16). Study day was included using restricted cubic splines with three knots; breathless score at baseline was included assuming a linear associated with the outcome (17). Missing values of baseline breathlessness were imputed using mean imputation(18). The model included a random-intercept for patient, used an autoregressive (order 1) correlation, and was estimated using restricted

maximum likelihood with a Kenward-Roger degree-of-freedom correction(19). This model is valid even when some participants have days with missing VAS scores, under the missing-atrandom assumption; this is achieved through the use of a random-intercept in conjunction with an autoregressive correlation structure, which models the correlation between VAS scores on different days within the same participant. This correlation structure allows the correlation to decrease over time, but never approach 0. The number of hospital visits, bed days, pleural aspirations, and intercostal drain insertions were all analysed using a negative binomial regression model, while the number achieving pleurodesis, failure of initially randomised treatment, number experiencing at least one adverse event, and all-cause mortality were all analysed using a logistic regression model.

Subgroup analyses were performed for the primary outcome by cause of effusion and size of effusion and were performed for the outcome albumin at 12 weeks according to the cause of effusion. All analyses were performed using Stata 16.1. Further details on the statistical methods used to implement analyses are available in the statistical analysis plan.

## <u>Results</u>

## **Recruitment and Population Characteristics**

Recruitment and follow-up of the participants took place from April 2015 to December 2019. Over this period, 220 potential participants were identified. 68 patients were randomised, 33 to IPC (of whom 31 had an IPC inserted) and 35 to TT (all of whom received TT) (figure 1). The study did not reach the target sample size of 86 in the pre-defined study period due to slower than anticipated recruitment, with sponsor decision not to extend recruitment period. In total, 4/33 (12%) IPC patients withdrew. One withdrawal was due to cognitive deterioration and three withdrawals were due to patient preference; one patient had difficulty sleeping following IPC insertion and two withdrew after IPC removal (one accidental, one after IPCrelated pleurodesis). By contrast, none of 35 receiving standard care withdrew from the study. The two study groups were generally well matched a baseline (see table 1). The baseline characteristics by aetiology are shown in table 2.

#### **Primary outcome**

There was no significant difference between treatments in the primary outcome analysis, with mean breathless score over the 12-week study period of 39.7mm (SD 29.4) in the IPC arm and 45.0 mm (SD 26.1) in the TT arm (mean difference -2.9mm, 95% CI -16.1 to 10.3; p=0.67) (figure 2).

## Subgroup analysis of primary outcome

Subgroup analysis did not show any significant differences in treatment effects between different causes of effusion (heart/renal versus liver) or size of effusion (less or greater/equal than hemithorax) (table 3).

## Secondary outcomes

There was no significant difference between treatments in mean breathlessness scores over the first 7 or 28 days (table 4). Post hoc analysis demonstrated gradual improvement in breathlessness within the IPC arm and static breathlessness scores in the TT arm (table 5). There were, however, no significant differences between the treatment arms over the first, second and third month (table 5).

There was no difference in mean number of bed days, care visits or pleurodesis success rates during the study period (table 4). Baseline EQ-5D index was 0.57 (IQR 0.33, 0.74) and EQ-5D VAS was 50mm (35, 70) in the IPC group, and 0.58 (IQR 0.33, 0.68) and 50mm (40, 70) in TT group. There was no statistical difference in EQ-5D scores between groups at baseline or at the subsequent monthly visits.

The TT group required 1.3 (SD 1.4) additional TT during the study period, with no additional TT required in the IPC group. The mean drainage volume during the study period was 17,412ml (SD 17,936) and 2,901ml (SD 2,416) in the IPC and TT group, respectively (treatment effect 13,892ml; 95% CI 7669 to 20,116; p<0.001). In the TT group, 17% (6/35) failed their initially randomised treatment, compared to 0/33 in the IPC group. In the TT group 3 (9%) patients required a chest drain, one of whom also had talc slurry pleurodesis. Two (6%) patients randomised to TT had an IPC subsequently sited to manage their symptoms. One patient in the TT group (3%) had a medical thoracoscopy, with 3300ml drained at procedure. No patients in the IPC group required a further invasive pleural procedure due to failure of their initially randomised treatment, although one patient required their IPC re-sited due to device malfunction.

The serum albumin level at 12 weeks was 27.0g/L (SD 7.5) and 32.5g/L (SD 5.1) in the IPC and TT cohort, respectively (p-value <0.001) (table 4). Subgroup analysis for albumin levels for heart/renal failure patients at 12 weeks was 28.0g/L (SD 6.7) for the IPC group and 33.2g/L (SD 5.3) for TT group. Albumin levels for liver failure patients at 12 weeks were 24.7g/l (SD 9.1) for the IPC group and 29.8g/l (SD 2.9) for TT group (p-value for interaction = 0.83). Seven of the eight patients with HH (88%) received 20% human albumin solution (HAS) at treating physician's discretion.

#### Adverse events

In total 59% (19/32) of the patients in the IPC arm had at least one adverse event, compared to 37% (13/35) managed with TT (OR 3.13 (1.07, 9.13) p=0.04). There were a total of 39 adverse events in the IPC arm and 24 in the TT group (table 6). In the IPC group there were 12 serious adverse events (SAE), defined as an medical occurrences that resulted in death, was life threatening, required or prolonged hospitalisation or resulted in significant disability or incapacity. Eight AEs were felt to be secondary to IPC insertion, including leakage from IPC wound site, significant pain after IPC insertion, IPC malfunction, non-drainage due to pleural septations, and self-resolving localised swelling at IPC insertion site. In one instance the oneway valve became disconnected from the IPC, with resultant fluid leakage and a need to replace the IPC. There was one case of IPC site cellulitis which progressed to IPC-related pleural infection and necessitated hospital admission for intravenous antibiotics. The patient died of end-stage heart failure and acute kidney injury, with pleural infection a contributory cause. Four other patients with an IPC died, two with end-stage heart failure, one with liver failure and acute kidney injury, one with renal transplant failure and one with end-stage renal disease. In the TT group there were seven SAEs, with three AEs (all pneumothoraces) secondary to TT. One of these was classified as iatrogenic, one as trapped lung and one as a spontaneous pneumothorax. Two patients managed with TT died. The first was electively hospitalised for fluid management, then developed hepatic encephalopathy and hypercaphic respiratory failure with subsequent deterioration. The second was hospitalised with dyspnoea, developed hypercapnic respiratory failure on a background of heart failure and acute kidney injury.

#### **Discussion**

This is the first randomised controlled trial of the use of IPCs in patients with pleural effusions secondary to heart, liver or renal failure. We found no difference in mean breathlessness scores, as assessed by daily VAS, between the use of IPCs and as required TT over a 12-week study period.

Previous non-randomised studies have demonstrated improvements in dyspnoea with IPCs in transudative effusions, with both Srour and Potechin et al reporting an improvement at two weeks using a baseline and transitional dyspnoea index score in cardiac and renal related effusions, respectively(20, 21) and other observational studies reporting high rates of symptom improvement with IPC in non-malignant effusions(22, 23). This supports the rationale that the frequent drainages offered by the IPC leads to sustained symptom relief. While in this study there appears to be gradual improvement in the daily mean breathless in the IPC group, it was not shown to be superior when compared to TT. This is despite large differences in the drainage volumes between the groups, with the IPC group draining, on average, six times greater fluid volume than those managed with TT.

That increased drainage volumes did not translate to lower symptoms scores suggests that the cause of breathlessness in these patients is multifactorial and not solely related to pleural fluid volume. Alternatively, removal of pleural fluid, without correction of the underlying abnormal oncotic pressure gradients, may lead to short terms benefits in breathing, but may ultimately precipitate pleural fluid re-accumulation(24).

An alternative explanation of the failure to reject the null hypothesis is that the trial did not achieve its intended recruitment target. However, with the trial achieving over 80% target recruitment and with small intergroup differences between VAS breathlessness, it is unlikely that a larger study would have demonstrated a clinically meaningful difference.

Overall, patients in both study groups had very poor health status, with lower mean quality of life scores than in studies of patients with primary pleural malignancies(25). This is the first study to demonstrate the extent of the symptom burden in this patient group. The choice of intervention in this study did not affect quality of life scores between treatment groups.

Patients managed with IPCs required fewer additional invasive pleural procedures, with six patients in the TT cohort requiring chest tube insertion to manage their dyspnoea, including two IPCs and one thoracoscopic procedure. However, the average number of repeated aspirations required in this group was low, with just under half not requiring a further aspiration. It is unclear why this was the case, with a high persistent mean breathless score in the group, and a moderate to large effusion in nearly a third at the end of the study. Whether this reflects a reluctance of medical staff to aspirate a transudative effusion, lack of perceived benefit, access barriers to day-case appointments, or patient preference is unclear. Over half the patients were taking anticoagulants, which may have influenced decisions regarding repeat aspirations.

IPCs were associated with higher rates of adverse events. Most of these had minimal impact on the patient and the risk of infective complications was low. Risk of IPC-related infection is a commonly cited concern in non-malignant effusions, although pooled analysis of previous rates has shown low rates of 2%(26). Concern about infection is particularly high for patients with end-stage liver disease, who have associated immunosuppression, thrombocytopenia and coagulopathy (27). Specifically for HH, there is concern that infective complications could delay or exclude potential eligible patients from liver transplantation(28). This concern has been amplified by high rates of infection in IPC in series of non-randomised, and predominately retrospective studies of cirrhotic patients, which demonstrate rates between

10 and 25%(29-32). Reassuringly, in our study there was only one case of IPC related infection and none in HH cohort.

An additional concern with use of IPC in transudative effusions, particularly HH, is that repeated large volume drainage may cause nutritional or electrolyte derangement. An earlier study of IPCs in patients with HH demonstrated a small downward trend of serum albumin of 0.3 g/dL, of uncertain clinical significance(30). In our study, there was a decline of serum albumin levels in patients with an IPC which was not evident in patients managed with TT. It occurred in both heart failure and liver failure groups, though the decrease was greater with liver patients. The clinical significance of this decrease in albumin levels is uncertain and the role of intravenous human albumin solution (HAS) in this cohort is unestablished, with it being mainly used during ascitic drainages to prevent paracentesis-induced circulatory dysfunction (PICD), which is associated with worsening renal function, ascites re-accumulation and poorer prognosis (33). However, HAS has only been shown to reduce rates of PICD when the drainages volumes exceed 6 Litres, which is unlikely in a single IPC drainage (34). Further research is needed to examine to role of HAS in IPC drainage of HH.

Patient selection may influence treatment response to pleural intervention, with patients whose effusion rapidly and repeatedly reaccumulate likely to benefit from IPCs the most. However, there are no validated predictive models to determine which transudative effusions will be refractory and to what degree. Our study found no difference in treatment effect regardless of underlying aetiology or size of effusion.

This study is limited by modest under recruitment and may not be powered to detect a small difference in VAS score. Even though the study is underpowered, the primary analysis can serve to rule out extremely large differences in treatment effect for either intervention and

this trial provides extra data on safety and secondary outcomes, which were unknown before. Many participants had days with missing VAS scores for the primary outcome, particularly towards the end of follow-up. We used an analysis method which accounts for such missing data under a missing-at-random assumption, however if data are missing-not-at-random (e.g. participants with particularly poor outcomes are more likely to have missing data) than results may be affected. However, sensitivity analyses found that results were consistent event under different missing-not-at-random assumptions, except when participants with missing data were assumed to have extremely high or extremely low VAS scores. It was not feasible to blind study participants and clinicians to study intervention, although this was to some extent mitigated by blinded outcome assessment.

## Conclusion

In this study, IPCs did not offer greater control of breathlessness than repeated TT for recurrent non-malignant effusion, despite large difference in drainage volumes. This may represent a failure to correct the underlying abnormal physiology in patients with severe endorgan disease. Repeated TT had fewer complications and maintained albumin levels, however, IPCs reduced the number of invasive pleural procedures required with infrequent serious complications, in a population in whom over half were on long-term anticoagulation therapy. Patient preference and circumstances should be considered in selecting the intervention in this cohort. IPC may have a role in selected patients who do not tolerate repeated TT, find repeated journeys to hospital difficult or in whom repeated interruption of anticoagulant therapy is undesirable.

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	TT group	IPC group
	(n =35)	(n 33)
Age (years) – mean (SD)	73.6 (12.1)	73.2 (12.0)
Female, no (%)	9 (26)	6 (18)
Primary cause of effusion, no (%)		
Heart failure	25 (71)	21 (64)
Liver Failure	8 (23)	8 (24)
Renal Failure	2 (6)	4 (12)
Size of effusion, no (%)		
>1/2 hemithorax	12 (34)	14 (42)
Smoking status, no (%)		
Never-smoker	13 (37)	16 (48)
Ex-smoker	20 (57)	16 (48)
Current smoker	2 (6)	1 (3)
WHO performance status, no (%)		
0	0 (0)	1 (3)
1	14 (40)	10 (30)
2	16 (46)	12 (36)
3	5 (14)	9 (27)
4	0 (0)	1 (3)
Side of effusion requiring intervention,		
no (%)		

Right	28 (80)	26 (79)
Previous pleural intervention on same	26 (74)	25 (76)
side of effusion in previous 3 months,		
no (%)		
Duration of symptoms, no (%)		
<1 month	2 (6)	3 (9)
1 to 3 months	5 (14)	6 (18)
3 to 6 months	9 (26)	10 (30)
>6 months	19 (54)	14 (42)
Total volume of pleural fluid drained in	1950 (875, 3225)	1790 (1000, 4550)
previous 3 months (ml), median (IQR)		
Receiving anticoagulation, no (%)	18 (51)	19 (58)
Receiving clopidogrel, no (%)	3 (9)	2 (6)
Albumin (g/L), mean (SD)	31.8 (4.8)	31.1 (10.5)
Breathlessness (VAS), mean (SD)	57 (29)	46 (24)

 Table 1: Baseline characteristics by treatment group

	TT group (N=35)		IPC (N=33)				
	Patients with available data		Patients with available data				
Heart Failure patients (N=46)							
Cardiac diagnosis: no (%) Ischaemic heart disease Atrial fibrillation Valvular heart disease Cardiac Amyloid	25/25 (100%)	8 (32) 19(76) 3(12) 0(0)	21/21 (100%)	10(48) 10 (48) 5(24) 1(5)			
Total daily loop-diuretic dose*(mg), mean (SD)	25/25 (100%)	87(53)	21/21 (100%)	107 (103)			
Total daily spironolactone (mg), mean (SD)	25/25 (100%)	9(20)	21/21 (100%)	3.57(9)			
NT-ProBNP (pg/ml), mean (SD)	11/25 (44%)	7801 (11757)	4/21 (19%)	8812 (3829)			
Liver Failure nationts (N=16)							
Total daily loop-diuretic dose* (mg), mean (SD)	8/8 (100%)	28(28)	8/8 (100%)	20(30)			
Total daily spironolactone (mg), mean (SD)	8/8 (100%)	125(117)	8/8 (100%)	75(117)			
MELD score	7/8 (88%)	16 (6)	8/8 (100%)	15 (7)			
Panal Failura nationts (N-6)							
Total daily loop-diuretic dose* (mg), mean (SD)	2/2 (100%)	125(177)	4/4 (100%)	60(77)			
Haemodialysis, no (%)	2/2 (100%)	2(100)	4/4 (100%)	3(75)			
Peritoneal dialysis, no (%)	2/2 (100%)	0(0)	4/4 (100%)	1(25)			
* Combined daily diuretic dose of furosemide or bumetanide (at 40mg=1mg equivalence)							

Table 2: Baseline characteristics by aetiology

	TT group (I	1=35)	IPC group (n=31)		Treatment effect estimate (95% CI)	P-value for interaction
	Patients with available data	Breathlessness (VAS)	Patients with available data	Breathlessness (VAS)		
Cause of effusion						
Heart/renal failure	26/27 (96)	48.5 (24.6)	22/25 (88)	40.7 (27.5)	-4.5 (-19.2, 10.2)	0.62
Liver failure	7/8 (88)	32.3 (29.1)	8/8 (100)	36.8 (36.2)	3.2 (-23.4, 29.7)	-
Size of effusion						
<1/2 hemithorax	21/23 (91)	49.1 (24.4)	16/19 (84)	48.9 (31.3)	-0.6 (-17.3, 16.2)	0.67
≥1/2 hemithorax	12/12 (100)	38.0 (28.5)	14/14 (100)	29.1 (24.0)	-6.2 (-26.4, 14.0)	-

 Table 3: Primary Outcomes for pre-defined subgroup analysis

	TT group (n=3	35)	IPC group (n=31)		Treatment effect (IPC vs TT) and 95% Cl	P-value
Outcome	Patients with available data (%)	TT group, (%)	Patients with available data (%)	IPC group, (%)		
Breathlessness (VAS) over the first 7 days	27 (77)	41.3 (25.4)	25 (76)	38.5 (22.3)	1.4 (-11.9, 14.8)	0.83
Breathlessness (VAS) over the first 28 days	31 (89)	44.3 (23.5)	27 (82)	37.8 (26.0)	-2.9 (-15.1, 9.3)	0.63
Pleurodesis success within 12 weeks	32 (91)	2/32 (6)	24 (73)	3/24 (13)	2.59 (0.38, 17.72)	0.33
Volume of fluid drained within 12 weeks of randomisation	34 (97)	2901 (2416)	31 (94)	17,412 (17,936)	13,892 (7669, 20,116)	<0.001
Total number of hospital bed days within 12 weeks of randomisation	35 (100)	3.7 (9.0)	31 (94)	1.3 (3.5)	0.21 (0.02, 2.22)	0.20
Number of hospital visits within 12 weeks of randomisation	35 (100)	1.8 (3.4)	31 (94)	2.4 (4.0)	1.13 (0.55, 2.32)	0.74

Number of TT within 12	35 (100)	1.3 (1.4)	31 (94)	0 (NA)	NA	NA
weeks of randomisation*						
Number of intercostal drain (ICD) insertions within 12 weeks of randomisation	35 (100)	0.1 (0.3)	31 (94)	0 (NA)	NA	NA
Failure of initially randomised treatment within 12 weeks of randomisation	35 (100)	6/35 (17)	31 (94)	0/31 (0)	NA	NA
At least one adverse event within 12 weeks of randomisation	35 (100)	13/35 (37)	31 (94)	19/31 (59)	3.13 (1.07, 9.13)	0.04
All-cause mortality within 12 weeks of randomisation	35 (100)	2/35 (6)	31 (94)	5/31 (16)	3.80 (0.65, 22.15)	0.14
Serum albumin level, (g/L)	34 (97)		29 (88)			
At 4 weeks	-	33.1 (4.3)	-	27.1 (5.2)	-5.1 (-7.1, -3.1)	<0.001
At 8 weeks	-	31.9 (4.0)	-	27.9 (6.1)	-4.5 (-6.7, -2.2)	< 0.001
At 12 weeks	-	32.5 (5.1)	-	27.0 (7.5)	-5.7 (-8.9, -2.6)	<0.001
NA, Not applicable.						

**Table 4: Secondary outcomes** 

	Number included in analysis		Breathlessness (VAS)		Treatment effect (IPC vs standard care) and 95% Cl	P- value
Outcome	TT group (%)	IPC group (%)	TT group (%)	IPC group (%)		
Days 1-28	31 (89)	27 (82)	44.3 (23.5)	37.8 (26.0)	-2.9 (-15.1, 9.2)	0.63
Days 29-56	32 (91)	27 (82)	45.9 (28.4)	40.5 (30.9)	-1.0 (-16.2, 14.1)	0.89
Days 57-84	31 (89)	22 (67)	45.8 (28.5)	31.5 (30.2)	-8.5 (-25.3, 8.3)	0.31

Table 5: Post hoc analysis of mean monthly breathlessness (VAS)

Adverse event	TT group (N=35)	IPC group (N=33)	Total (N=68)
Device and procedure related			
Fluid Leakage from IPC	0	2	2
Device malfunction	0	1	1
Non-drainage	0	1	1
Localised swelling (non-infected)	0	1	1
Pneumothorax	3	0	3
Adverse reaction to talc pleurodesis	1	0	1
Chest pain	0	4	4
Infection			
Pleural infection	0	1	1
Localised peri-device cellulitis	0	1	1
Chest infection	4	3	7
Cellulitis (non-thoracic)	0	2	2
Infection (other, non-related)	1	2	3

Admission secondary to decompensation of	9	9	18
underlying disease			
Acute kidney injury	2	2	4
Other adverse event, not related to study	4	10	14
intervention			
Total	24	39	63

Table 6: Adverse events

## Figure 1: Consort diagram detailing identification, recruitment, randomization, and follow-

## up of study participants.

CXR, chest radiograph; IPC, indwelling pleural catheter; TT, therapeutic thoracentesis; VAS,

visual analogue scale.

# Figure 2 – Change from baseline in daily mean VAS score