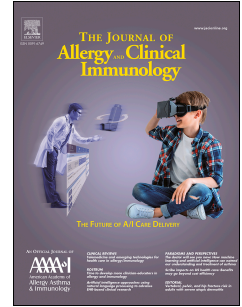


Journal Pre-proof

Neuroimaging and biomarker evidence of neurodegeneration in asthma

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PII: S0091-6749(21)01396-8

DOI: <https://doi.org/10.1016/j.jaci.2021.09.010>

Reference: YMAI 15269

To appear in: *Journal of Allergy and Clinical Immunology*

Received Date: 16 June 2021

Revised Date: 19 August 2021

Accepted Date: 7 September 2021

Please cite this article as: Rosenkranz MA, Dean III DC, Bendlin BB, Jarjour NN, Esnault S, Zetterberg H, Heslegrave A, Evans MD, Davidson RJ, Busse WW, Neuroimaging and biomarker evidence of neurodegeneration in asthma, *Journal of Allergy and Clinical Immunology* (2021), doi: <https://doi.org/10.1016/j.jaci.2021.09.010>.

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1 Neuroimaging and biomarker evidence of neurodegeneration in 2 asthma

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56 **Author Contributions**

57 MAR and DCD conceived and designed the study. MAR, DCD, HZ, BBB, SE, AH, and
58 WWB acquired, analyzed, and/or interpreted the data. MAR, DCD, SE, and MDE
59 performed statistical analyses. MAR, WWB, and RJD obtained funding for the study. All
60 authors contributed meaningfully to the writing and revision of the manuscript. MAR had
61 full access to all the data in the study and assumes final responsibility for the decision to
62 submit this manuscript for publication

63

64 **Conflicts of Interest**

65 Dr. Nizar N. Jarjour has a consulting relationship with Glaxo Smith Kline, Astra Zeneca,
66 and Boehringer Ingelheim.

67 Dr. Henrik Zetterberg has served at scientific advisory boards for Eisai, Denali, Roche
68 Diagnostics, Wave, Samumed, Siemens Healthineers, Pinteon Therapeutics, Nervgen,
69 AZTherapies and CogRx, has given lectures in symposia sponsored by Cellectricon,
70 Fujirebio, Alzecure and Biogen, and is a co-founder of Brain Biomarker Solutions in
71 Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program.

72 Dr. William W. Busse has a consulting relationship with Glaxo Smith Kline, Novartis,
73 Astra Zeneca, Regeneron, and Sanofi.

74 Dr. Richard J. Davidson is the founder, president, and serves on the board of directors
75 for the non-profit organization, Healthy Minds Innovations, Inc.

76 All other authors have nothing to disclose.

77

78 **Funding.** This work was supported by funding from National Heart, Lung, and Blood
79 Institute (NHLBI) R01 HL123284 to WWB and U10HL109168 to NNJ, National Center
80 for Complementary and Integrative Health (NCCIH) P01 AT004952 to RJD and by a
81 core grant to the Waisman Center from the National Institute of Child Health and Human

82 Development (NICHD) U54 HD090256. HZ and AH acknowledge funding from the UK
83 DRI. HZ is a Wallenberg Scholar.

84

85 Running title: Glial activation and neurodegeneration in asthma

86

87 Word Count: 3976

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88 **Abstract**

89 **Background.** Epidemiological studies have shown that Alzheimer's disease and related
90 dementias (ADRD) are seen more frequently with asthma, especially with greater
91 asthma severity or exacerbation frequency.

92 **Objective.** To examine the changes in brain structure that may underlie this
93 phenomenon, we examined diffusion-weighted magnetic resonance imaging (dMRI) and
94 blood-based biomarkers of AD (p-Tau181), neurodegeneration (NfL) and glial activation
95 (GFAP).

96 **Methods.** dMRI data were obtained in 111 individuals with asthma, ranging in disease
97 severity from mild to severe, and 135 healthy controls. Regression analyses were used
98 to test the relationships between asthma severity and neuroimaging measures, as well
99 as AD pathology, neurodegeneration and glial activation, indexed by plasma p-Tau181,
100 NfL and GFAP respectively. Additional relationships were tested with cognitive function.

101 **Results.** Asthma participants had widespread and large magnitude differences in
102 several dMRI metrics, which were indicative of neuroinflammation and
103 neurodegeneration, and robustly associated with GFAP and to a lesser extent, with NfL.
104 The AD biomarker p-Tau181 was only minimally associated with neuroimaging
105 outcomes. Further, asthma severity was associated with deleterious changes in
106 neuroimaging outcomes, which in turn, were associated with slower processing speed,
107 a test of cognitive performance.

108 **Conclusion.** These data suggest that asthma, particularly when severe, is associated
109 with characteristics of neuroinflammation and neurodegeneration and may be a
110 potential risk factor for neural injury and cognitive dysfunction. The results suggest a

111 need to determine how asthma may affect brain health and whether treatment directed
112 toward characteristics of asthma associated with these risks can mitigate these effects.

113 Abstract word count: 246

114
115 **Key Messages**

- 116 • Brain white matter showed evidence of structural deterioration in individuals with
117 asthma, relative to an age and sex-matched group of healthy controls, which was
118 more pronounced with more severe disease.
- 119 • Relationships with blood-based biomarkers suggest that brain white matter
120 changes observed in participants with asthma are neuroinflammatory and/or
121 neurodegenerative in nature.
- 122 • While this sample was cognitively normal, a relationship with cognitive
123 processing speed suggests that changes to brain white matter may confer
124 greater functional consequences for individuals with asthma.

125 **Capsule Summary:** Neuroinflammation and neurodegeneration contribute to impaired
126 brain health and cognitive decline. Here, we present evidence that these processes are
127 observed in asthma, which may represent a modifiable risk factor.

128 **Keywords:** asthma, dementia, diffusion-weighted imaging, neurodegeneration,
129 inflammation, GFAP, NfL

130 **Abbreviations:** ADRD (Alzheimer's and related dementias); AD (Alzheimer's disease);
131 dMRI (diffusion-weighted magnetic resonance imaging); GFAP (glial fibrillary astrocytic
132 protein); NfL (neurofilament light-chain); p-Tau 181 (phosphorylated-tau 181); IL-6

133 (interleukin-6); IL-17 (interleukin-17); TNF-- α (tumor necrosis factor alpha); eosinophils
134 (EOS); FeNO (fraction of exhaled nitric oxide); FEV₁ (forced expiratory volume in 1
135 second); T2 (type 2); ATS (American Thoracic Society); ICS (inhaled corticosteroids);
136 ACQ-6 (6-item Asthma Control Questionnaire); DTI (diffusion-tensor imaging); NODDI
137 (neurite orientation and dispersion density imaging); FA (fractional anisotropy); RT
138 (reaction time); PALM (Permutation Analysis of Linear Models); CNS (central nervous
139 system); RA (Rheumatoid Arthritis);

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141

142

143 **Introduction**

144 Airway inflammation is a pathogenic characteristic of asthma and contributes to
145 its symptoms, susceptibility to exacerbations, airway remodeling, and serves as a
146 primary target for effective therapy. Moreover, the effects of airway inflammation may
147 not be restricted to the airways and systemic manifestations can occur. Peters *et al.*
148 found metabolic dysfunction and increased serum concentrations of IL-6, primarily in a
149 subgroup with severe asthma(1). Our understanding of the systemic effects of asthma
150 are currently limited and their scope is likely under-appreciated; for example, the
151 possibility that airway inflammation may contribute to impaired brain health, beyond the
152 widely recognized and clinically important associations with depression, has generated
153 interest but limited study. However, the potential importance and impact of asthma on
154 brain health is emphasized by population-based studies which, though limited, found an
155 increased risk for dementia in asthma(2–4) that was amplified in patients with frequent
156 or severe exacerbations(5). These associations are further supported by animal studies
157 showing that neuroinflammatory and neurodegenerative processes result from airway
158 inflammation(6,7).

159 Although systemic effects associated with asthma suggest inflammatory injury to
160 peripheral tissue, evidence of inflammatory injury in non-pulmonary target organs, such
161 as the brain, is currently lacking. We previously demonstrated that an allergen-provoked
162 eosinophilic airway inflammatory response was associated with changes in brain
163 function(8,9). However, studies to assess whether asthma may also be associated with
164 more fundamental changes in brain health have not been reported. To explore
165 relationships between asthma and brain health, new developments in both
166 neuroimaging and blood-based biomarker measurements make it feasible to identify

167 neuroinflammatory and neurodegenerative processes with high degrees of sensitivity.
168 Using these neuroimaging and biofluid analytic approaches, we conducted a
169 retrospective, cross-sectional, case-control analysis, to determine whether brain white
170 matter microstructural changes exist in asthma and are associated with serological
171 determinants of altered brain health. Our exploratory analyses represent an initial effort
172 to evaluate the novel hypothesis that asthma is a risk factor for neuroinflammation and
173 neurodegeneration, which may exist despite an absence of concurrent cognitive deficits.
174 Longitudinal studies will be essential in establishing whether asthma confers an
175 increase in risk for progression to clinically important manifestations of white matter
176 deterioration and result in functional impairment. Nevertheless, our initial findings are a
177 necessary first step in demonstrating that altered brain microstructure is found in
178 asthma. Further, these data will be directive in clarifying phenotype(s) of disease that
179 may confer the greatest risk, and in identifying potential therapeutic targets to prevent
180 deleterious impacts of asthma on brain health.

181 **Methods**

182 *Participants.*

183 Our analyses included 111 (57% female) participants with asthma and 135 (59%
184 female) non-asthmatic healthy controls, ranging in age from 18-73 years. All asthma
185 patients had a physician's diagnosis of asthma and stable disease control for at least 4
186 weeks prior to study in order to ensure safety in the conduct of the enrolled protocols
187 (see below) and to avoid recent use of interventions which may affect baseline airway
188 inflammation and concurrent neuroimaging measures. Neuroimaging data were
189 analyzed retrospectively from participants who took part in previous University of

190 Wisconsin Asthma Research studies, for which MRI scans had been acquired. At
191 enrollment measurements of lung function, peripheral blood eosinophils (EOS), and
192 fraction of exhaled nitric oxide (FeNO) were made, along with a review of current
193 medications and an assessment of disease control.

194 The asthma population evaluated represents a diverse group of participants with
195 disease severity ranging from mild to severe. Twenty-five participants were recruited
196 based on their participation in the University of Wisconsin Severe Asthma Research
197 Project and met European Respiratory Society and American Thoracic Society (ATS)
198 Workshop(10) criteria for severe asthma at the time of enrollment. Sixty-seven
199 participants were recruited for a behavioral intervention study (reported elsewhere) and
200 had evidence of persistent airway inflammation, as defined by FeNO \geq 30 ppb, blood
201 eosinophil count \geq 150, or sputum eosinophils \geq 2%, and had a minimum pre-albuterol
202 baseline FEV₁ of 60% at enrollment. Finally, nineteen participants were recruited for a
203 protocol to investigate neuroimmune interactions in asthma (reported elsewhere); these
204 participants had a baseline FEV₁ of 70% or greater, with a 12% reversibility or PC₂₀
205 response to methacholine $<$ 16.0 mg/ml and were not using inhaled corticosteroid
206 medications.

207 Non-asthma healthy controls were recruited from the Madison, WI area as part of
208 the behavioral intervention study (mentioned above) and were required to be free of an
209 asthma diagnosis, symptoms compatible with asthma, and medications used to treat
210 asthma. While none had assessment of pulmonary function or bronchial
211 hyperresponsiveness to methacholine, all control participants were in good health and
212 had no history of lung disease.

213 Across groups, participants were excluded for incompatibility with the MRI
214 environment, current smoking, pregnancy, history of neurological disorder, traumatic
215 brain injury, psychotic disorders, and all were cognitively normal. Recruitment
216 information and complete inclusion and exclusion criteria are described in
217 supplementary materials. Though the data reported here were acquired in the context of
218 3 separate studies, all data were collected contemporaneously, using the same
219 equipment, scan protocols, processing pipelines, and personnel.

220 *Lung function assessment and measures of inflammation*

221 Lung function was measured according to ATS standards(11). As biomarkers for
222 airway inflammation(12), FeNO was measured following ATS guidelines(13) (NIOX
223 System; Aerocrine, Solna, Sweden) and a peripheral blood eosinophil (EOS) count
224 (cells/uL) was obtained. In addition, participants completed the Asthma Control
225 Questionnaire (ACQ-6) at enrollment(14).

226 Principal components analysis was used to create a composite score of asthma
227 burden from five separate measures: FEV₁ percent predicted, ACQ-6 (ACQ score
228 excluding FEV₁), FeNO, EOS, and a medication score (see supplemental information).
229 This resulted in two orthogonal components: an asthma severity score comprised of
230 ACQ-6, FEV₁, and medication score, and a Type (T)2 inflammation score comprised of
231 FeNO and EOS. Details of this analysis and the relationships among the five measures
232 and two derived scores are described in supplementary material.

233 *Brain imaging*

234 Diffusion-weighted magnetic resonance imaging (dMRI) data, a validated, non-
235 invasive tool to examine regional microstructural alterations in the brain(15), were

236 acquired on a 3 Tesla General Electric MR750 Discovery scanner. Acquisition
237 parameters are detailed in supplementary material. Each scan was reviewed by a
238 neuroradiologist and participants with anatomical abnormalities were excluded (5
239 asthma, 5 control). Images underwent standard pre-processing procedures. Motion
240 artifacts were visually assessed using in-house processing pipelines. Diffusion tensors
241 (DTI) were estimated at each voxel and quantitative maps of fractional anisotropy (FA),
242 and mean, radial and axial diffusivity were derived(16). DMRI data were also fit to the
243 three-compartment *neurite orientation dispersion and density imaging* (NODDI) tissue
244 model(17), using the AMICO-NODDI algorithm(18), to provide estimates of neurite
245 density index, orientation dispersion index, and free water volume fractions. In white
246 matter, these DTI and NODDI metrics inform the density, organization, and integrity of
247 myelinated axons, which are critical for efficient brain network connectivity and when
248 sufficiently compromised, gives rise to a wide variety of neurological disorders. DTI and
249 NODDI parameter maps were aligned with a population-specific template and smoothed
250 using a 4mm full-width-at-half-max Gaussian filter. See supplementary material for full
251 processing methods.

252 *Glial activation, Neurodegeneration, and AD Biomarker Measures*

253 Blood samples for measurement of plasma biomarkers were acquired from
254 asthma patients only, under baseline conditions, and stored at -80°C until analysis. Glial
255 fibrillary acidic protein (GFAP) was measured to assess neuroinflammation,
256 neurofilament light chain (NfL) was measured to assess neurodegeneration, and p-
257 Tau181 was measured to assess AD-specific pathology. Biomarker concentrations were
258 measured using ultra-sensitive Single molecule array (Simoa) technology on an HD-X

259 instrument (Quanterix, Billerica, MA). Plasma GFAP concentration was measured using
260 the GFAP Discovery Kit, plasma NfL concentration was measured using the NF-light
261 Advantage Kit, and p-Tau181 concentration was measured using the pTau-181
262 Advantage Kit, according to manufacturer instructions (Quanterix, Billerica, MA). All
263 measurements were performed in one round of experiments, using one batch of
264 reagents by laboratory technicians who were blinded to clinical data. Mean intra-assay
265 coefficients of variation (SD) were 6.63% (5.57%) for GFAP, 4.72% (3.45%) for NfL, and
266 5.13% (4.70%) for p-Tau181.

267 *Processing speed as an index of cognitive function*

268 Reaction time (RT) in an asthma variant of the Stroop Task(19) was used to
269 assess processing speed. Processing speed, as indexed by mean RT, is a widely
270 accepted indicator of global cognitive function and has been previously applied in
271 dementia and AD research(20,21), but is not an indicator of dementia *per se*. Here,
272 processing speed was used to assess the functional consequences associated with
273 dMRI alterations. Briefly, participants were asked to identify the color of letters spelling
274 asthma-specific, negative, and neutral words with a button press during the collection of
275 neuroimaging data (for details see (9)). RT was averaged for trials with correct
276 responses only, within-subject, across valence conditions.

277 *Data analysis*

278 Whole-brain voxel-wise group differences (Asthma vs. Control) in the
279 neuroimaging metrics were tested with Permutation Analysis of Linear Models(22,23)
280 (PALM) using tail acceleration and 500 permutations(24). PALM enables joint inference
281 over multiple dMRI metrics, known as Non-Parametric Combination (NPC), while also

282 providing inference on the separate contribution of each metric(22). Joint inference of
283 group differences was assessed with NPC and Fisher's combining function across
284 seven dMRI metrics: FA, mean, radial, and axial diffusivity, neurite density index,
285 orientation dispersion index, and free water volume fractions, while differences in
286 individual metrics were also evaluated. Within the asthma group only, a similar whole-
287 brain approach was used to investigate the association between dMRI metrics and
288 asthma severity, T2 inflammation, and plasma biomarkers. The relationships among
289 asthma severity, T2 inflammation, and plasma biomarkers were assessed using linear
290 regression, with age as a covariate. Group differences in processing speed were tested
291 using linear regression with group and age regressed on mean RT. Group differences in
292 the relationship between processing speed and dMRI were examined using a voxel-
293 wise approach in PALM, as described above.

294 Voxel-wise analyses were restricted to white matter using a tissue-specific mask,
295 and age, sex, and total head motion were included as nuisance covariates. Voxels
296 showing significant group differences in dMRI metrics, or significant associations with
297 regressors of interest were identified in the omnibus test, using threshold-free cluster
298 enhancement and family-wise error correction to control inflation of type I error.

299 Significance was defined as $p < 0.05$, corrected for multiple comparisons.

300 **Results**

301 *Participants*

302 Asthma and control groups did not differ in their distribution of sex, but the control
303 group was significantly older ($M = 43.9$ [25-66] years) than the asthma group ($M = 39.8$
304 [18-73] years; $t = 2.2$, $p = .03$).

305 *Neuroimaging results*

306 Widespread and large magnitude differences in white matter microstructure were
307 present between asthma and controls (corrected $p < .05$; Fig 1). After controlling for
308 age, sex, and motion during collection of neuroimaging data, significant differences
309 were observed in nearly every individual dMRI metric. When dMRI metrics were
310 evaluated in relationship to asthma severity, deterioration in myelinated axons (mean
311 and radial diffusivity) was more profound in the presence of severe disease (corrected p
312 $< .05$; Fig 2). This deterioration was observed in multiple brain regions that include fiber
313 bundles of the corticospinal tract, external capsule, inferior longitudinal fasciculi,
314 superior longitudinal fasciculi, and inferior fronto-occipital fasciculi — tracts previously
315 implicated in cognitive decline(25,26). In contrast, markers for T2 inflammation (FeNO
316 and EOS) showed no significant associations with any of the dMRI metrics.

317 *Relationship of dMRI metrics to plasma biomarkers*

318 The association between deterioration in myelinated axons and GFAP was
319 widespread and observed across dMRI metrics (Fig 3A). In comparison, the association
320 between NfL and white matter microstructure (Fig 3B) was relatively circumscribed,
321 localized primarily in the corona radiata and internal capsule, a fiber bundle that
322 connects the cerebral cortex to mid-brain and brainstem. The relationship between
323 white matter microstructure and p-Tau181 was limited to a very small region of
324 cerebellar white matter, where a higher p-Tau181 concentration was associated with
325 lower mean diffusivity. There were no regions in the cerebral cortex where p-Tau181
326 was associated with dMRI.

327 *Relationships of plasma biomarkers to phenotypic aspects of asthma*

328 Plasma GFAP concentration was positively associated with asthma severity ($t =$
329 $2.7, p = .008$; Fig 4), controlling for age, such that a one unit increase in asthma severity
330 is associated with a 7.9 unit increase in GFAP. GFAP was not associated with T2
331 inflammation ($t = -.33, p = .74$). Plasma NfL concentration was not associated with
332 asthma severity or T2 inflammation ($ps > .05$). Similarly, plasma p-tau181 concentration
333 was unrelated to asthma severity and T2 score ($ps > .1$), respectively).

334 *Relationship of dMRI metrics to processing speed*

335 While a robust group difference in processing speed was not found ($t = 1.8, p =$
336 $.07$) a marginal difference was present. In addition, significant group differences were
337 observed in the slope of the relationship between processing speed and white matter
338 microstructure, in tracts that mirrored those showing an association with asthma
339 severity. This group difference in slopes was such that the deleterious effect of white
340 matter microstructural change on processing speed was greater for participants with
341 asthma (Fig. 5) and was present in multiple dMRI metrics.

342 **Discussion**

343 Using newly developed blood-based biomarkers of glial activation and
344 neurodegeneration, in addition to sensitive neuroimaging measures, we found that
345 asthma was associated with significant deleterious alterations in white matter,
346 resembling in extent and magnitude, those observed in neurodegenerative diseases.
347 The striking differences in dMRI metrics were greater among participants with more
348 severe asthma. Moreover, the deleterious nature of the white matter alterations was
349 corroborated by their association with plasma concentrations of GFAP and to a lesser
350 degree, NfL, suggesting that asthma is associated with glial activation and

351 neurodegenerative processes, independent of normal aging, with potentially important,
352 but subtle, consequences for cognitive function.

353 Asthma severity was also an important factor in relationship to brain imaging
354 findings. A relationship between asthma severity and altered brain microstructure was
355 present in the same white matter regions that differed between asthma and controls.
356 Given that these regions appear to be vulnerable to glial activation, we speculate that
357 asthma-associated inflammation provokes central nervous system (CNS) inflammation,
358 contributing to the vulnerability of these brain regions and eventual cognitive
359 impairment. Prior work has shown that AD-associated glial activation influences large-
360 scale brain network connectivity, which in turn is associated with cognitive deficits(27).
361 The superior longitudinal fasciculus and inferior fronto-occipital fasciculus, in particular,
362 connect cortical brain regions that are adversely affected by AD and subserve memory
363 networks(28–30). Alterations in these pathways have also been shown to *precede* the
364 development of dementia symptoms and to correlate with cerebrospinal fluid markers of
365 microglia activation and AD pathology(31).

366 Increased expression of GFAP is a characteristic that defines reactive
367 astrocytes(32). Indeed, together with NfL – a marker of axonal damage, GFAP has
368 been used as an indicator of disease severity and progression in several
369 neurodegenerative diseases(33–37). The presence of reactive astrocytes is an
370 important indicator of neuroinflammation. Though astrocytes are essential in supporting
371 brain health, they can lose their supportive functions, as well as cause the degeneration
372 of neurons, an increase in microvascular permeability, and an amplification of the
373 inflammatory state directly, and via their interactions with microglia when they become

374 reactive during CNS injury(38–40). Though neuroinflammation and neural injury have
375 been identified in animal models of asthma(7,41), we report for the first time that these
376 processes are also observed with asthma.

377 While the relationship between brain microstructure and GFAP was evident
378 throughout the brain, the relationship with NfL was largely confined to the internal
379 capsule. The internal capsule has been shown to be vulnerable to microvascular injury
380 and increased arterial stiffness(42), which are apparent in asthma, even in children(43–
381 45). Alterations in internal capsule integrity are found across numerous disorders of
382 cognition and emotion, including depression(46,47) and ADRD(48,49), and correlate
383 with symptom expression and degree of functional impairment. While cerebrovascular
384 measures were not considered in our study, they deserve further research in the context
385 of asthma, particularly given prior findings that altered subcortical white matter tracts
386 contribute to cognitive impairment in vascular dementia(50).

387 To assess, in part, whether airway inflammation may instigate or exacerbate
388 neural injury, we examined FeNO and EOS as surrogate markers of T2 inflammation in
389 asthma. We did not observe a significant association between these proxies for T2
390 inflammation and white matter microstructure or plasma biomarkers of neural injury.
391 However, determinations of T2 inflammation were obtained with 44% of participants on
392 medications to reduce airway inflammation, which could obscure fluctuations in airway
393 inflammation that might have cumulatively impacted the brain over time. Furthermore, in
394 an exploratory analysis, we assessed the relationship between markers of T2
395 inflammation and dMRI in participants using only rescue medication (N = 62). Though
396 insufficiently powered to reach significance, several regions of the brain showed

397 associations in the expected direction at an uncorrected threshold of $p < .01$.
398 Nonetheless, our analysis captured a truncated range of airway inflammation.
399 Therefore, a more accurate assessment of the impact of underlying airway inflammation
400 on neural injury will require further study and an expanded assessment of the
401 expression of inflammatory pathways, including Th17 activation and IL-17 generation,
402 particularly among asthma patients with more pronounced and persistent airway
403 inflammation or in proximity to an exacerbation.

404 The importance of peripheral inflammation to altered brain health is underscored
405 by findings in Rheumatoid Arthritis (RA), which is also associated with increased
406 prevalence of dementia that has been found to be abrogated by the recent introduction
407 of anti-tumor necrosis factor alpha (TNF- α) treatment(51), This suggests that chronic
408 systemic inflammation contributes to neuropathology and dementia and can be
409 attenuated by inhibiting the actions of a key inflammatory mediator, TNF- α . TNF- α
410 expression is increased in asthma, and further increased following an experimental
411 allergen challenge(52) and during naturally occurring exacerbations(53). Similarly, the
412 T17 immune response has a synergistic relationship with the T2 response in the
413 pathogenicity of asthma(54,55). Moreover, TH17 cells traffic to the brain(56,57) and
414 have been shown to play a role in neurodegeneration(58,59). Thus, a more expansive
415 examination of inflammatory pathways and their interactions will be necessary to more
416 fully and precisely establish the pathogenic pathways of inflammation associated with
417 altered brain health in asthma.

418 The diagnosis of dementia is uncommon before 65 years of age, but the
419 pathological processes that underlie its development and clinical expression are set in

420 motion long before cognitive decline occurs – perhaps even early in life(60–64). To put
421 our findings into a clinical perspective, neuroinflammation and neurodegeneration are
422 commonly observed processes in neurodegenerative diseases, and are closely
423 associated with the clinical phenotype of dementia(65). Further, neuroinflammation
424 likely accelerates the onset of dementia symptoms(66,67). Yet, the contribution of the
425 white matter microstructural changes reported here to the eventual development of
426 dementia remains speculative. In contrast to GFAP and NfL, p-Tau181, which is a
427 specific marker of AD, was not associated with cortical neuroimaging metrics in asthma,
428 suggesting either that asthma may not be associated with AD pathology specifically, or
429 that AD pathology was not measurable in our cohort, which was cognitively unimpaired
430 and relatively young (median age = 37.5 y), compared to typical studies of dementia.

431 The functional relevance of the brain alterations reported here is supported by an
432 association with processing speed, a widely used index of cognitive function that
433 correlates highly with performance on a broad range of more targeted cognitive
434 tasks(20). Slower processing speed in asthma participants was associated with poorer
435 white matter integrity in the tracts discussed above, in addition to the corticospinal tract,
436 the inferior longitudinal fasciculus, and forceps major, indicative perhaps, of an
437 accelerated decline in cognitive function in asthma when white matter microstructure is
438 compromised. These observations corroborate prior research that demonstrates the
439 importance of these tracts in processing speed(68–70) and deterioration in processing
440 speed in neurodegenerative diseases(71,72). Nonetheless, processing speed
441 represents only a single functional outcome and limits the conclusions we can draw
442 regarding the implications of the white matter microstructural changes reported here for

443 functional impairment. A more comprehensive assessment of cognitive function and
444 longitudinal evaluation will ultimately be required to determine whether these changes
445 lead to increased risk of dementia.

446 A number of other factors may contribute to the observed brain changes,
447 including effects of inflammation on the vasculature. Asthma exacerbations increase
448 airway inflammation and airflow obstruction, sometimes resulting in hypoxia. The
449 availability of historical data on the frequency and severity of asthma exacerbations in
450 our participants was too sparse to support meaningful inference of these outcomes as
451 contributors to neurodegeneration. Sleep deficit is also associated with neural injury,
452 and often coexists with asthma(73). We examined group differences in self-reported
453 sleep quality and relationships between sleep quality and white matter microstructure
454 (see supplemental material Fig. 2); in those with sleep quality data, we found no
455 evidence that sleep disruption accounted for our observed effects.

456 The influence of treatment must also be considered. The mitigating effects of
457 anti-TNF- α in RA(51) suggest that treatment to suppress underlying peripheral
458 inflammation may be neuroprotective. Inhaled and systemic corticosteroids diminish
459 airway and peripheral markers of T2 inflammation(74). Our observations suggest that,
460 despite ongoing treatment with ICS, brain microstructural changes were present raising
461 the possibility that inflammatory factors not susceptible to corticosteroid regulation
462 contribute to alteration in brain health. Although adverse effects of asthma medications
463 may contribute to changes in brain structure, these associations are variable and
464 infrequent(7,75–79). There is some evidence that Montelukast – a leukotriene receptor
465 antagonist – is neuroprotective(76,80,81) and can slow age-related cognitive

466 decline(80,82). Prolonged oral corticosteroid use, on the other hand, has been
467 associated with reduced grey matter volume of the amygdala and hippocampus, a
468 global reduction in white matter volume, and reduced cognitive performance in cross-
469 sectional studies(83–85). Nonetheless, the only prospective study found equivocal
470 effects on cognitive performance and no change in hippocampal volume(86).

471 A few limitations of the study deserve mention. First, the analyses were
472 retrospective, though the number of participants studied was large (n=111) and
473 represented a broad spectrum of disease severity. Given the case-controlled nature of
474 our analyses, these results will need to be replicated in an independent sample.
475 Second, the scope of the functional consequences and clinical import of the white
476 matter deterioration that we observed has not yet been established. Plasma p-tau181
477 concentration was unrelated to cortical white matter microstructure, suggesting that at
478 least at the time of assessment, there was no evidence to indicate pathology specific to
479 AD. Indeed, at recruitment, all participants were cognitively normal. Moreover, plasma
480 for biomarker analysis was only acquired from participants with asthma, limiting the
481 support that these measures can provide in interpreting group differences. Thus,
482 additional research, including longitudinal study, is needed to definitively determine if
483 the brain microstructural changes we observed contribute meaningfully to cognitive
484 deficits and, in the long-term, to the development of dementia. Finally, our findings are
485 descriptive and cannot yet establish the underlying mechanisms or inflammatory profile
486 associated with these white matter structural changes. This need is a critical focus for
487 future research; for therapeutic mitigation to be effective, a more precise understanding
488 of the pathways involved will be required. Nonetheless, we believe our findings are of

489 potential clinical significance and reveal another important consequence of systemic
490 inflammation in asthma.

491 The potential public health impact of our findings is considerable. More than 5
492 million people in the U.S currently live with ADRD, a prevalence that nearly doubles
493 every two decades(87). The current lack of effective treatments for neurodegenerative
494 disease makes identification of early risk factors a promising approach for potential
495 interventions to delay onset, slow progression, or prevent these neural injuries and the
496 risk for dementia that they confer, and is a major research priority(88). With increasing
497 incidences of both chronic inflammatory diseases(89,90) and dementia(87), it will be
498 critical to determine if persistent or poorly controlled airway inflammation in asthma is
499 capable of provoking an inflammatory response in the brain, to either initiate or
500 exacerbate neurodegenerative processes and eventually lead to impairment in cognitive
501 function. Consequently, our findings invite the possibility that efforts designed to
502 improve disease control by more effectively controlling airway inflammation will
503 decrease or delay risks for neurodegeneration and dementia. Addressing this possibility
504 is important and highly relevant to the large population of asthma patients who may be
505 at risk for neurodegeneration and cognitive impairment and will be a focus for future
506 research.

507
508 **Acknowledgements**

509 The authors wish to gratefully acknowledge Danika Klaus, Lori Wollet, Julia Bach,
510 Evelyn Falibene, Corrina Frye, Michele Wolff, Ashlee Lindsay, Andrew Maddox,
511 Heather Floerke, Michael Anderle, Ron Fisher, Jane Sachs, Ted Imhoff-Smith, Jeanne
512 Harris, Elizabeth Nord, Kaley Ellis, Gina Bednarek, Kara Chung, and Pema Lhamo for
513 their indispensable work in participant recruitment, data collection, and data analysis.

514
515 **Statement of Ethics**

516 All participants included in this manuscript provided written, informed consent before
517 participating. All methods and procedures were reviewed and approved by the
518 University of Wisconsin-Madison Health Sciences Institutional Review Board.

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847 **Figure Captions**

848 **Figure 1:** Group difference in white matter microstructure between Asthma (n = 111)
849 and Control (n = 135) groups displayed on a standard white matter template.
850 Representative sagittal (A) and axial (B) slices displaying the overall test (across
851 diffusion-weighted imaging (DWI) metrics) of the group difference. Areas of
852 yellow/orange represent regions where there is a significant group difference. Images
853 were thresholded at a corrected $p < .05$. (C) Distribution of individual mean diffusivity
854 (MD) means for each group, averaged over all voxels where MD was significantly
855 greater in the asthma group, relative to the control group, in the whole-brain analysis
856 displayed in (A) and (B). MD was chosen as a representative DWI metric for plotting
857 purposes, but this effect was observed across most of the DWI metrics examined.

858
859 **Figure 2:** Greater asthma severity is associated with less white matter integrity.
860 Representative sagittal (left) and axial (right) slices of a standard white matter template
861 displaying voxels where asthma severity is significantly associated with overall white
862 matter microstructure, across all diffusion-weighted imaging metrics (red) and greater
863 mean diffusivity (MD; blue). The region shown in (A) includes fibers in the inferior fronto-
864 occipital fasciculus, superior longitudinal fasciculus, and uncinate fasciculus. The region
865 shown in (B) includes fibers in the superior longitudinal fasciculus, anterior thalamic
866 radiation, and uncinate fasciculus. All images were thresholded at a corrected $p < .05$.
867 (C) Scatter plot displaying the relationship between mean MD, averaged across all
868 voxels in the blue cluster shown in (A) & (B) and asthma severity, with variance
869 accounted for by age and sex removed from both variables.

870

871 **Figure 3:** Higher plasma biomarker concentrations are associated with less white matter
872 integrity. Representative sagittal slices of a standard white matter template displaying
873 voxels where plasma biomarker concentration is significantly associated with neurite
874 density index (NDI). (A) Voxels where glial fibrillary astrocytic protein (GFAP)
875 concentration showed a significant negative relationship with NDI. (B) Voxels where
876 neurofilament light (NfL) concentration showed a significant negative relationship with
877 NDI. This cluster is primarily composed of corona radiata and internal capsule fibers
878 (including corticospinal tract and thalamic radiations). All images were thresholded at a
879 corrected $p < .05$. (C & D) Scatter plots displaying the relationship between mean NDI,
880 averaged across all voxels in the clusters shown in (A) & (B) and GFAP (C) and NfL (D),
881 with variance accounted for by age and sex removed from both variables.

882

883 **Figure 4:** Relationship between plasma GFAP and asthma severity
884 Scatter plot displaying the relationship between plasma glial fibrillary astrocytic protein
885 (GFAP) concentration and asthma severity, with variance accounted for by age
886 removed from both variables ($B = 7.9$; $t = 2.7$, $p = .008$).

887

888 **Figure 5:** Reduced white matter integrity is associated with a deficit in processing speed
889 in participants with asthma, but not in non-asthma healthy controls.

890 (A) Representative sagittal slice of a standard white matter template displaying voxels
891 where the relationship between reaction time (RT) in the Stroop task and neurite density
892 is significantly more negative for the asthma group compared to the control group.

893 Image thresholded at a corrected $p < .05$ (B) Scatter plot displaying the relationship
894 between individual neurite density, averaged over the whole-brain analysis cluster
895 shown in (A) and individual mean RT for each group, with variance accounted for by
896 age and sex removed from both variables. Participants with asthma are shown in blue;
897 non-asthma participants are shown in violet. Neurite density showed the strongest
898 interaction between group and RT and was thus chosen as a representative diffusion-
899 weighted imaging (DWI) metric for plotting purposes, but this effect was observed
900 across multiple DWI metrics.

901

902

Figure 1

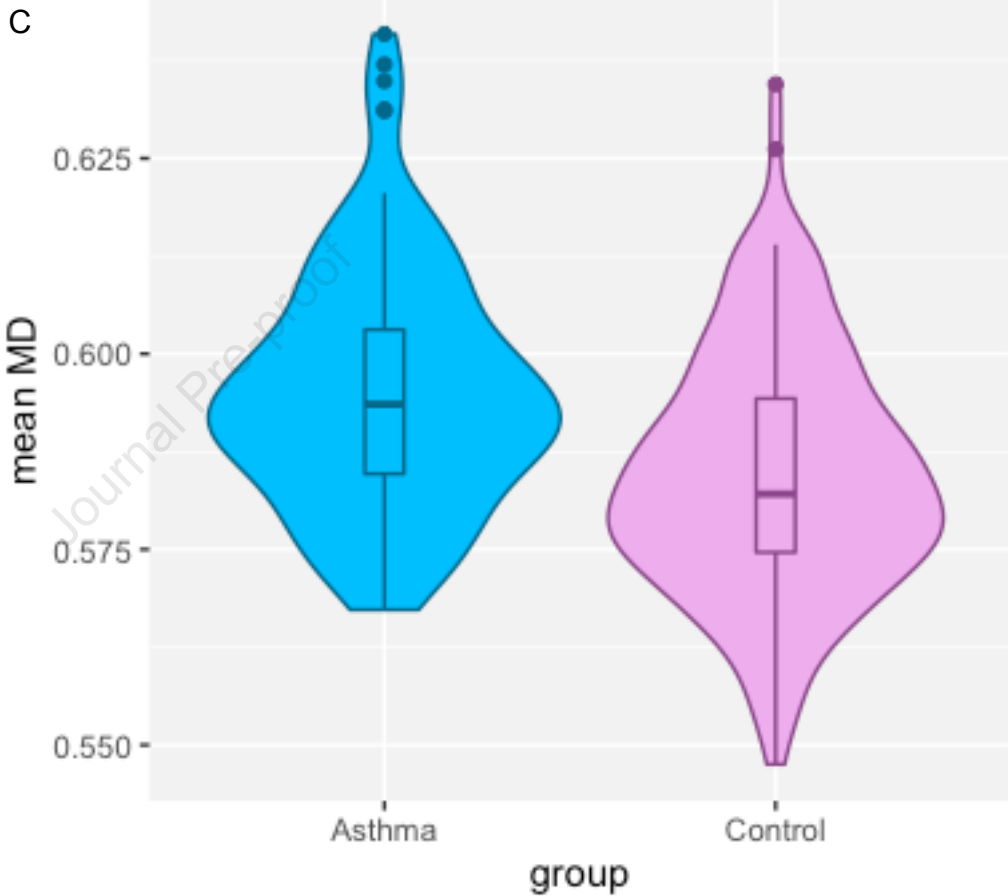
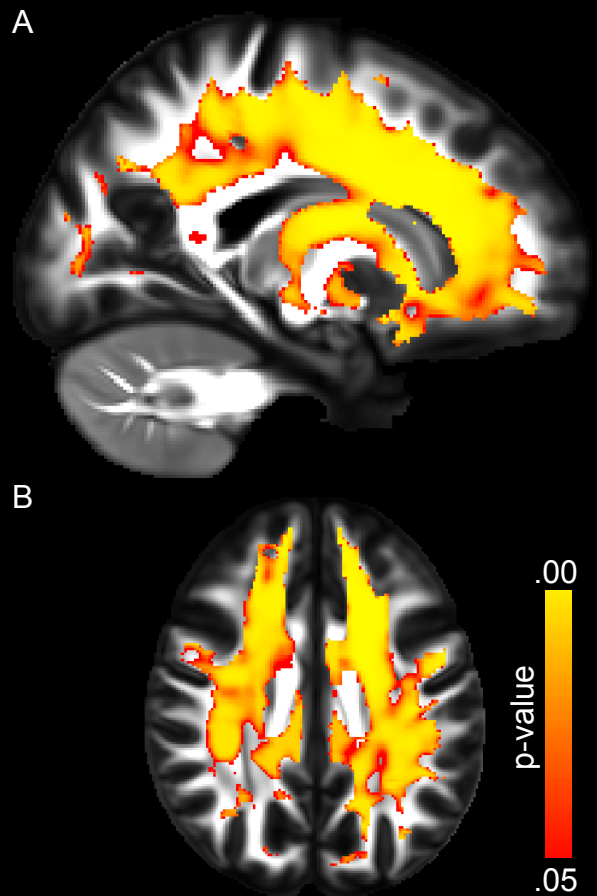
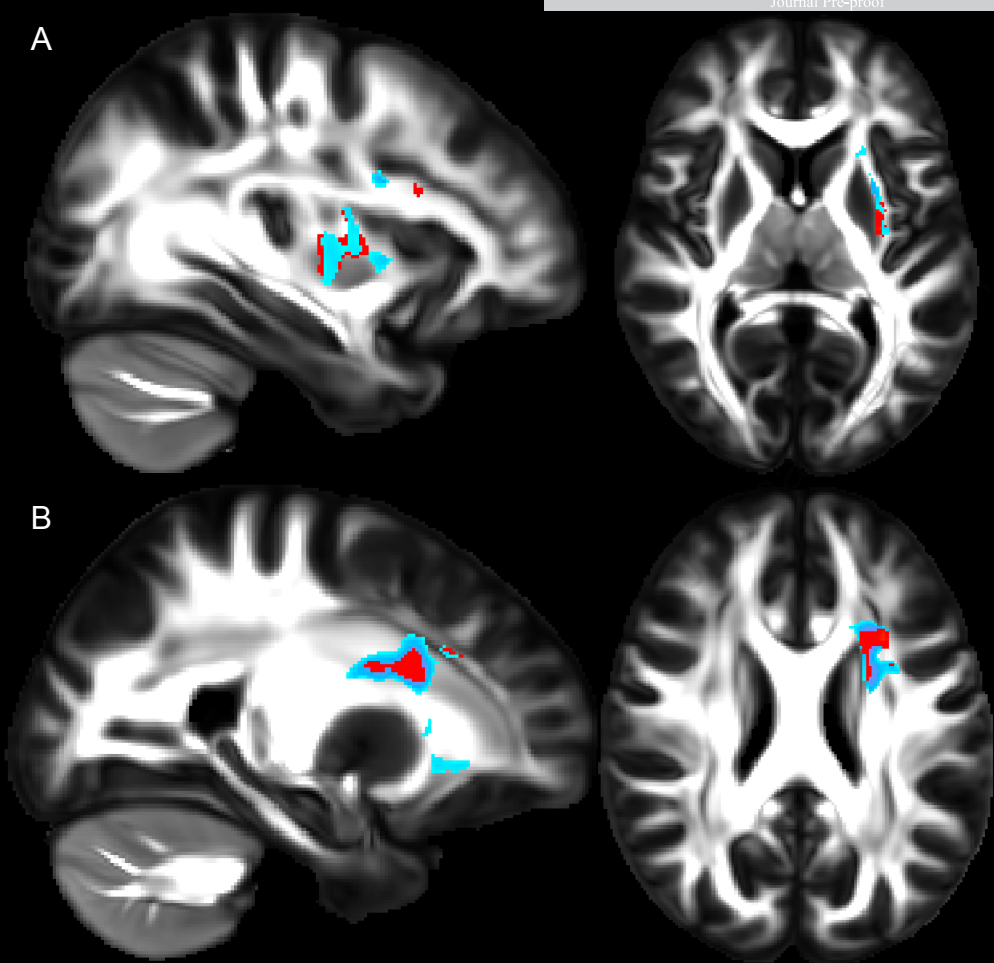


Figure 2



C

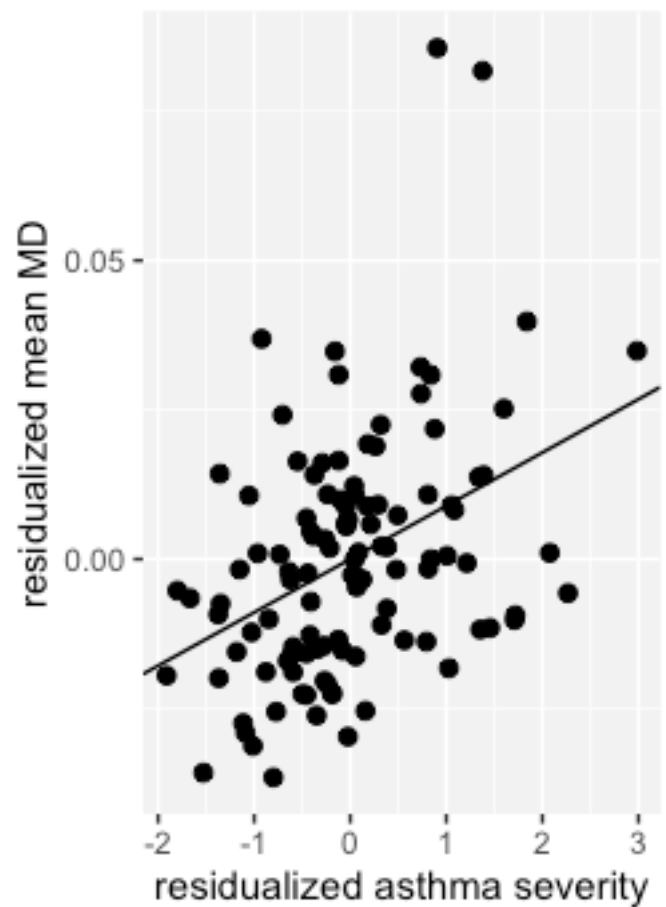


Figure 3

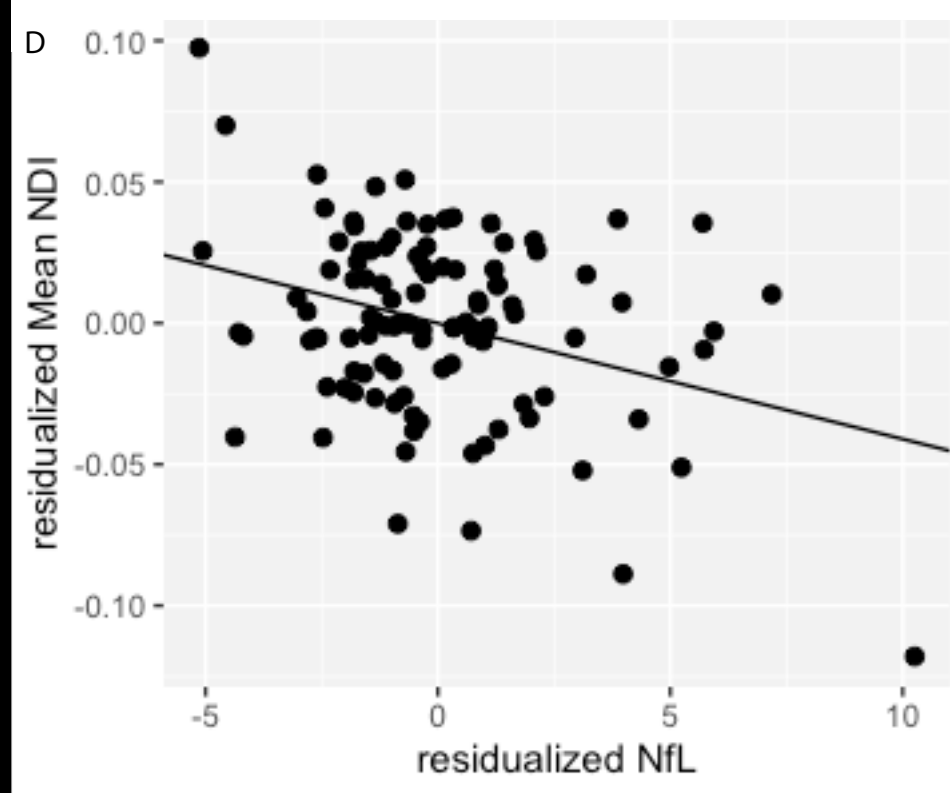
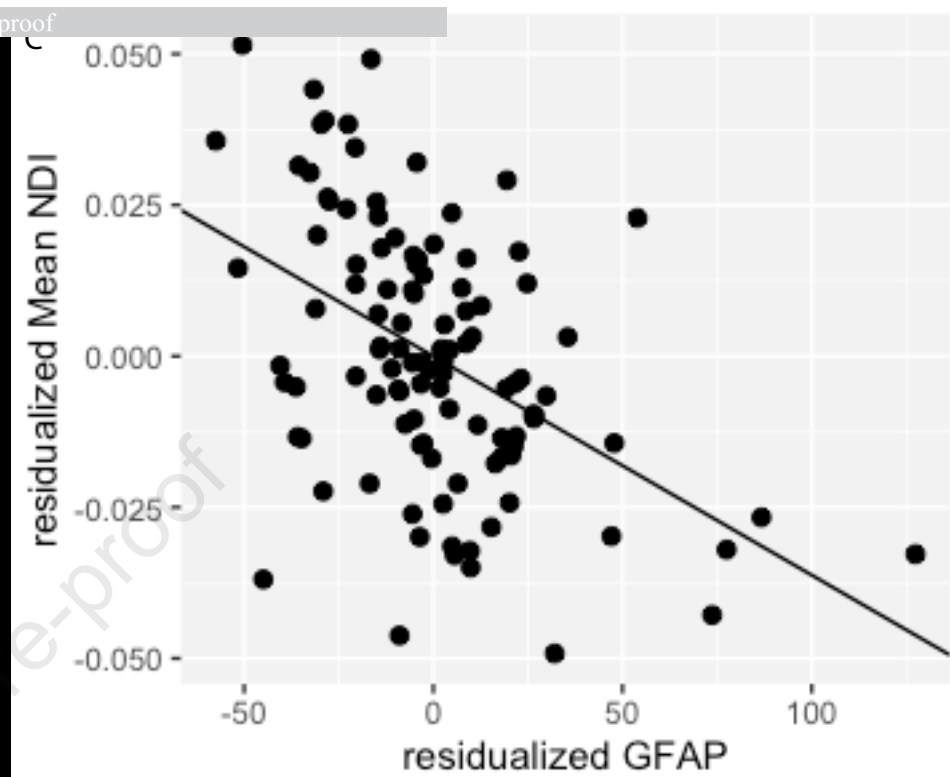
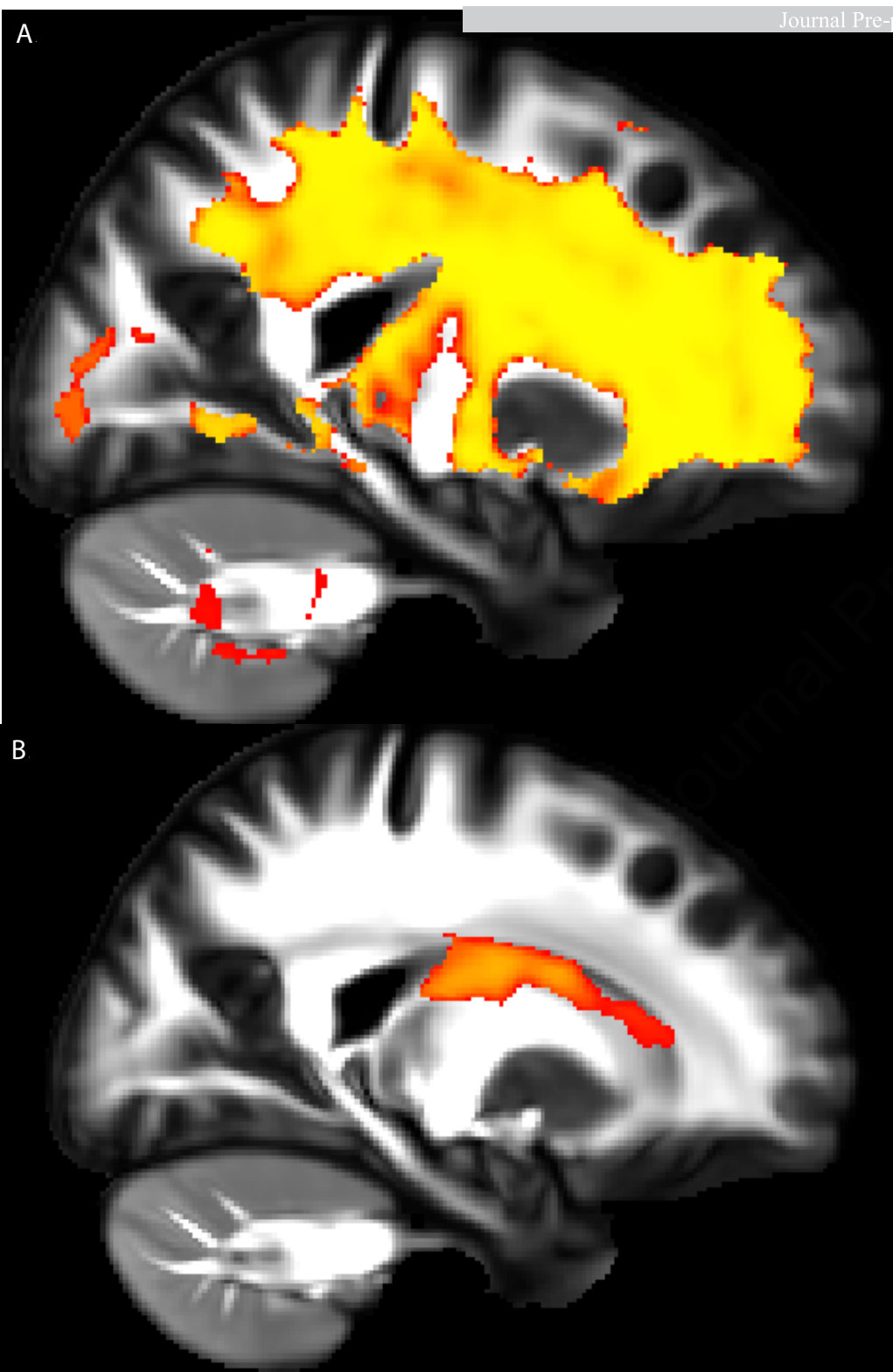


Figure 4

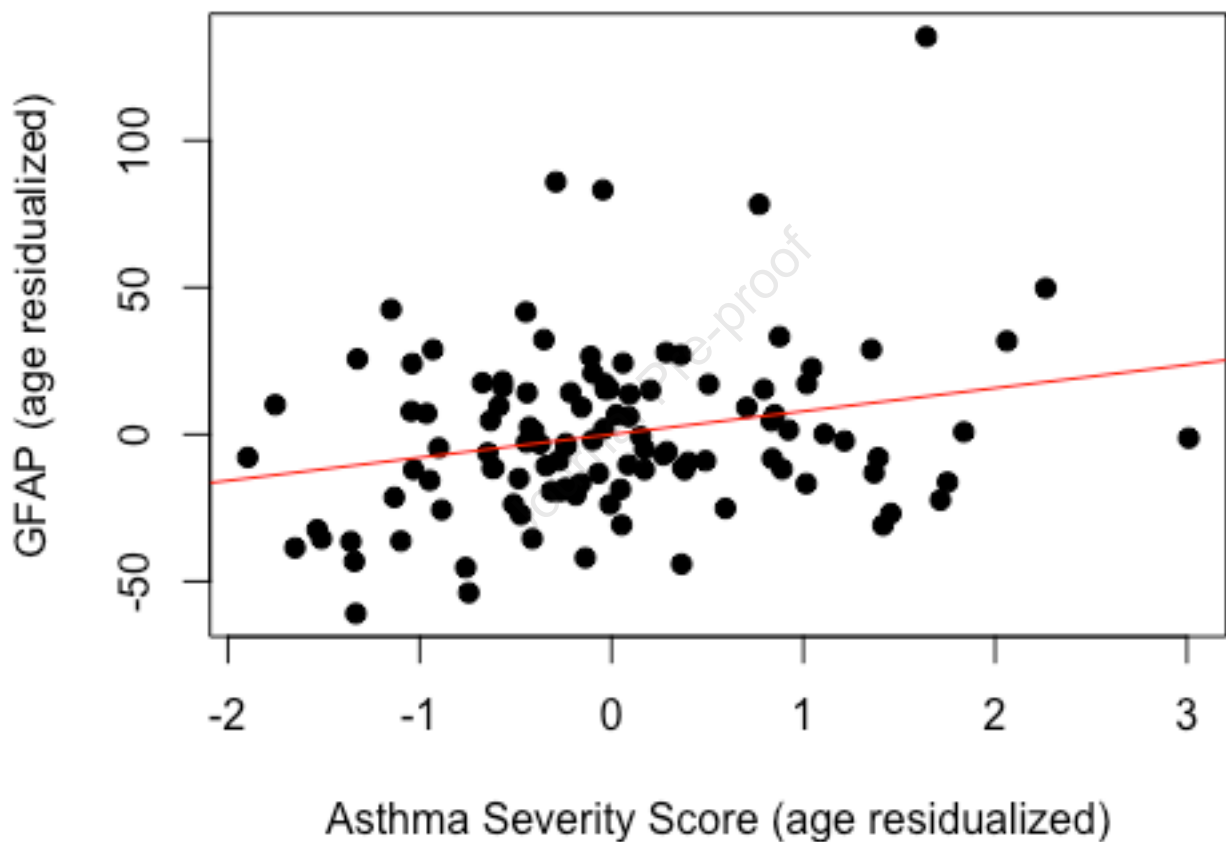
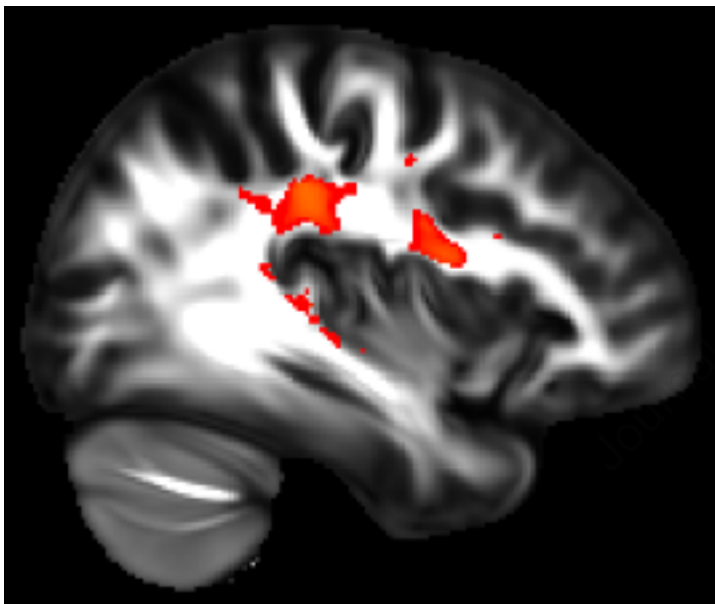


Figure 5

A



B

