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1        **Effect of soil-pile-structure interaction on seismic behaviour of nuclear**  
2 **power station via shaking table tests**

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19     **Abstract:** To better understand the characteristics of the seismic response of nuclear power stations with  
20 fixed-base and pile-raft foundations, a series of shaking table tests were performed. The shaking table tests  
21 included three cases: free-field soil, fixed-base structure without soil, and superstructure with a pile-raft  
22 foundation embedded in soil. One white noise excitation and three earthquake motions with different  
23 earthquake intensity were selected as the ground motion to identify the seismic response of the structure, raft,  
24 piles, and soils. The effects of earthquake intensity, earthquake frequency, soil-pile-structure interaction, and  
25 soil nonlinearity on the system's dynamic responses were analysed. The test results show that the natural  
26 frequency and the damping ratio of the superstructure for the pile-raft foundation and the fixed-base structure  
27 are different, owing to the soil-pile-structure interaction effect. The acceleration amplification ratio of the  
28 fixed-base superstructure shows a significant higher value than that of the superstructure with a pile-raft  
29 foundation. The average peak acceleration ratio of the raft for the pile-raft foundation (raft/soil surface) is 1.2.  
30 Under the long period wave excitations, the bending moment of the pile is greater than that of short-period  
31 wave excitations, and the peak bending moment occurs at the pile head. The vertical and horizontal  
32 displacement and residual displacement increases with the earthquake intensity. These observations suggested  
33 that the design of nuclear power station with a pile-raft foundation embedded in clay cannot be simplified as a  
34 fixed-base structure. Moreover, adopting pile-raft foundation for nuclear power station will extend the choice  
35 of finding suitable construction sites for the nuclear power stations, and the test results could provide  
36 references for engineers.

37     **Keyword:** Shaking table test; fixed-base structure; pile-raft foundation; nuclear power station; soil-pile-  
38 structure interaction

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## 41 1 Introduction

42 The damage of buildings under earthquake imposes great threats to lives and properties, especially for  
43 nuclear power stations, which could induce catastrophic secondary disasters. Many nuclear power stations with  
44 fixed-base raft foundation are built in rock sites to limit both settlement and differential settlement  
45 requirements. With limited number of rock sites and other considerations in the process of nuclear power  
46 station construction, alternative methods to construct nuclear power stations in non-rock areas are worth  
47 studying. Pile-raft foundation has been widely adopted in supporting various structure systems in soft soil  
48 areas. The pile-raft foundation under earthquake load endures two different forces: firstly, the inertial forces  
49 from the superstructure, and secondly, the ground deformations induced by the seismic load. In the process of  
50 pile-raft foundation design, the soil, the foundation, and the superstructure are supposed to be calculated as an  
51 integrated structural system [1]; however, the calculation method is not provided by related codes. Studies on  
52 the different characteristics of the seismic response of nuclear power station with a fixed-base or with a pile-  
53 raft foundation are critical in identifying the impacts of foundation type on the seismic response of structures,  
54 understanding the soil-pile-structure interaction effect and satisfying the high safety requirement of in the  
55 design of power stations.

56 Many studies on the seismic response of structures with fixed-base raft foundations were carried out by 1 g  
57 shaking table tests [2-5], in which, raft or load-bearing elements (column and shear wall) were attached to a  
58 shaking table to test the seismic response of the structures. Researches have been done to analyse the dynamic  
59 characteristics of structures considering the soil structure interaction (SSI), the topic has gained significant  
60 attention [6-11]. In some cases, the forces were applied to the pile head or on the raft directly, without  
61 superstructure [12-13]. For example, Mostafa and El Naggar [14] proposed a method to analyse the dynamic  
62 lateral response of pile group under harmonic excitation. Basack and Nimbalkar [15] developed a numerical  
63 model based on a two-dimensional (2D) dynamic finite-element (FE) approach.. For cases when  
64 superstructures were considered, the seismic response of the pile-soil-structure interaction were studied  
65 experimental [16-19] and numerically [20-25]. As n-g centrifuge experiment, liquefaction study and

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66 numerical simulation beyond the scope of this paper, so they are not discussed in this paper. Durante et al. [26],  
67 Mohammad Hassan Baziar et al. [27] and Roy et al. [28] simplified the superstructure as an oscillator using  
68 shaking table test. They found that SSI produced a small amount of in period elongation, superstructure  
69 frequency strongly affects the pile-raft foundation frequency, and shorter period superstructure system exhibits  
70 significant lengthening of period. There is a general agreement [29-32] that limited effort was attempted for  
71 systems modelled with detailed superstructure. Omar et al. [33] and Aslan et al. [34] found that the type of  
72 foundation is a major contributor to the seismic response of building with SSI, and the foundation experienced  
73 a considerable amount of rocking dissipated much more earthquake than other types of foundation. Rajib et al.  
74 [35] studied the effect of SSI under different intensity sine wave excitations and found that the base shear of  
75 the structure may significantly increase considering SSI. Wu et al. [36] also used sine waves to study the SSI  
76 effect in coral sand and found that the horizontal displacement of the superstructure, bending moment of the  
77 columns and piles in coral sand site are smaller than that in the quartz sand site. Liang et al. [37] carried out  
78 multiple shaking table tests to study the transverse response of pile group foundation, and also proposed that it  
79 is not appropriate to simulate the superstructure with a single degree of freedom system. Nevertheless, the  
80 studies analysing the effect of SSI on seismic behaviour of a nuclear power station in clay soil under  
81 earthquake excitations are very few and await further investigation.

82 In this study, a series of shaking table tests were designed to understanding the seismic response of nuclear  
83 power stations with a pile-raft foundation on clay, and comparing the different characteristics between a fixed-  
84 base and a pile-raft foundation structure. The effects of earthquake intensity, earthquake frequency, soil-pile-  
85 structure interaction, and soil nonlinearity on the dynamic response of the system are considered in the tests.

## 86 2 Test set-up

### 87 2.1 Test facility

88 The shaking table tests were carried out in the Key Laboratory of Concrete and Prestressed Concrete  
89 Structures of Ministry of Education, Southeast University. The dimension of the shaking table is 4 m × 6 m  
90 (width × length), and the bearing capacity is 25 ton under the maximum acceleration of 1.5 g. The maximum  
91 displacement of the shaking table is ±250 mm, and the shaking frequency arranges from 0.1 Hz to 50 Hz. The

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92 laminar shear model box is 2 m long, 2.0 m wide, and 1.3 m high.

## 93 2.2 Model configuration

94 The design of the shaking table test model was based on the scaled (one-tenth) **third** generation nuclear  
95 power station in China, the same as a series of dynamic centrifuge test model carried out in the Geotechnical  
96 Centrifuge Modelling Laboratory, Tongji University, **and the relevant papers are currently under preparation.**  
97 Although studying the behavior of a scaled 1-g model do not provide exact data for practicing engineering  
98 design, the behavior of a scale model allows better understanding of the fundamental mechanics hence it gives  
99 instights to nuclear power station design. Tab.1 summarised the scaling factors applied to the shaking table  
100 tests. Based on the size and the maximum acceleration capacity of the shaking table, the scaling factors for  
101 geometry and acceleration are 1/25 and 3, respectively. The aluminium pipe pile was selected, which is the  
102 same material as the mentioned dynamic centrifuge test, so the scaling factor of modulus of elasticity is 1. The  
103 aluminium pile cannot satisfy the scaling factor for the elastic modulus and the density simultaneously. As  
104 calculated in Tab. 1, the density and mass scaling factors are 12.5 and  $5.33 \times 10^{-4}$ . It is challenging to satisfy the  
105 density and mass scaling factors for the aluminium pipe pile [38], and no extra mass was added in the test.

## 106 2.3 Test soil

107 The soil used in the test is natural clay soil in Nanjing. Measures were taken to ensure the uniformity of the  
108 soil in the laminar shear model box, and the soil was placed in it layer by layer. The total height of the soil is  
109 1.2 m. The density of the soil is  $1780 \text{ kg/m}^3$ , and the water content is 23.5 %. The liquid limit and plastic limit  
110 are 46.6% and 28.8%, respectively. **The Poisson's ratio is about 0.35 based on the empirical value**  
111 **recommended by Geological Engineering Handbook in China [39]. The fundamental period of the soil is about**  
112 **0.1 s, and the height of the soil in the laminar shear model box is 1.2m. So the shear wave velocity can be**  
113 **calculated as 48 m/s. Based on the consolidated drained triaxial tests of the clay soil the cohesion and internal**  
114 **friction angle are 7.2 kPa and  $21^\circ$ , respectively. To obtain the nonlinear soil properties, resonant column tests**  
115 **were carried out using the Stokoe resonant column apparatus. The dynamic shear modulus and damping ratios**  
116 **results of the soil under confining pressure of 100 kPa, 200 kPa and 300 kPa are shown in Fig. 1 and Tab. 2.**

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## 118 2.4 Test system

119 The laminar shear model box was firmly mounted on the shaking table using a bolt connection. The laminar  
120 shear model box filled with a height of 1.2 m soil without structure and piles were utilised to identify the effect  
121 of the laminar shear model box boundary on the dynamic characteristic of soil. The shaking table's dimension  
122 is 4 m × 6 m, which is capable of placing the laminar shear model box and the fixed-base nuclear power station  
123 model on the table. The fixed-base nuclear power station was fixed on the shaking table by a steel plate. Thus,  
124 the excitation of the fixed-base nuclear power station model and the free-field soil in the laminar shear model  
125 box were carried out together. This excitation was named case A, which included the free-field soil case (case  
126 A-1) and fixed-base model (case A-2), as shown in Fig. 2. The accelerometers installed at the top, middle, and  
127 raft of the structure are A-1a, A-2a, and A-3a. Fig. 3 plots the schematic figure of case A, including case A-1  
128 and case A-2. There are five accelerometers (A-4a~8a) installed in the soil. The distance from the  
129 accelerometers A-4a and A-5a to the laminar shear model box boundary are 600 mm and 300 mm, respectively.  
130 The comparison of those two accelerometers recorded data can identify the boundary effect. Furthermore, the  
131 characteristic of acceleration along the depth of soil can be generated from the recorded data of A4a, A6a, A7a  
132 and A8a.

133 After case A, the nuclear power station model with pile-raft was constructed in the soil in the laminar shear  
134 model box, as shown in Fig. 4. The excitation of the model with pile-raft foundation was called case B. The  
135 location of the accelerometers, meters and strain gauges for structure with pile-raft foundation are  
136 demonstrated in Fig. 5. The accelerometers located at the top, middle, and raft of the structure are A-1b, A-2b,  
137 and A-3b. The locations of accelerometers A-4b, A-6b and A-7b were near the piles, and the depths of these  
138 accelerometers were the same as those of A-4a, A-6a, and A-7a as shown in Fig. 3(a). Accelerometers, A-5b  
139 and A-8b, were equipped at the surface and bottom of the soil. A steel beam is equipped at the laminar shear  
140 model box to hold the laser displacement meters. The laser displacement meter LS1 and LS2 are used to record  
141 the vertical (settlement) and the horizontal displacement of the structure, respectively. The locations of four  
142 instrumented piles (P1~P4) were shown in Fig. 5(a). P1 and P2 are located at the first (outside) row, and P3  
143 and P4 are located at the second (inner) row. P1 is the corner pile. Each instrumented pile was equipped with

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144 twelve strain gauges at six heights along the pile shaft to monitor the strain of the pile and to generate the  
145 bending moment of the pile.

## 146 2.5 Applied ground motions and test program

147 One artificial seismic wave and two **natural** ground motions were adopted in the tests. The artificial seismic  
148 wave was designed based on the EUR soft design response spectrum, named YG. The other two **natural**  
149 ground motions are the Mexico City wave (MEX) and the El Centro wave (EL). **Fig. 6** plots the time-history  
150 acceleration and response spectrum (RS) of the applied ground motions. Note that the applied ground motions  
151 shown in **Fig. 6** are not scaled. The MEX wave has a long dominant period (2.03 s), and the EL wave has a  
152 short dominant period (0.52 s). For the artificial earthquake YG wave, the normalised response spectrum has a  
153 platform value of 0.31g and the corresponding characteristic period is from 0.14s to 0.39s. Those three motions  
154 were selected as the input ground motions because of their various dominant frequencies and response spectra  
155 characteristic periods. For better understanding the effect of earthquake intensities on the dynamic  
156 characteristics of the model, each motion was designed with three model excitation intensities of 0.3 g, 0.6 g,  
157 and 0.9 g, **corresponding to the three prototype intensities of 0.1 g, 0.2 g, and 0.3 g respectively, as shown in**  
158 **Tab. 3.**

159 The detailed test program is summarised in Tab. 3. Both case A and case B contained one 0.05 g White  
160 noise excitation to identify the dynamic characteristics of the soil, structure or the **whole** system, and three  
161 earthquake excitations with three different earthquake intensities. The prototype acceleration in Tab. 3 refers to  
162 the insitu acceleration. The model acceleration and the recorded acceleration are the designed input model  
163 ground acceleration based on the scaling law and the actual input acceleration of the shaking table, **the**  
164 **difference is due to the limitation in calibrating the excitation control system of the shaking table.**

## 165 3 Test results and discussion

### 166 3.1 Frequency and damping of the cases

167 The white noise excitation was used to identify the fundamental frequencies and damping ratios of the cases.  
168 **Rational fraction polynomial (RFP) method was employed to extract the modal parameters (natural frequency**

169 and damping ratio) of the experimental model. The RFP method utilises the frequency response function (FRF)  
 170 which is generated by an input signal and an output signal. Similar to the Laplace domain model [40], the  
 171 dynamic frequency domain model, which is built using FRF, is employed. The form of the frequency domain  
 172 model is exactly the same as the Laplace domain model, but with frequency response functions replacing  
 173 transfer functions and Fourier transforms replacing Laplace transforms of the structural excitations and  
 174 responses. Herein, the measurement of the FRF is the heart of modal analysis, which is defined as the Fourier  
 175 transforms of the system response  $r(t)$  to the excitation  $u(t)$ . Herein, the FRF is calculated by

$$H(f) = \frac{G_{ur}(f)}{G_{uu}(f)} \quad (1)$$

176 where  $H(f)$  represent the FRF,  $G_{ur}(f)$  is the cross-spectrum between the response  $r(t)$  to the excitation  $u(t)$ , and  
 177  $G_{uu}(f)$  is the power spectrum of the excitation  $u(t)$ . The measured FRF can be fitted using two polynomials,  
 178 which is expressed as

$$H(\omega) = \frac{\sum_{k=0}^{2n} b_k (i\omega)^k}{\sum_{k=0}^{2n} a_k (i\omega)^k} \quad (2)$$

179 where  $a_k$  and  $b_k$  represent the coefficients of the polynomials for the numerator and denominator, and  $\omega=2\pi f$ .  
 180 Accordingly, a linearised error function is defined as the difference between the measured FRF and this fitted  
 181 model. The coefficients  $a_k$  and  $b_k$  can be evaluated by minimising the error function. Subsequently, the natural  
 182 frequency and damping ratio can be derived readily from the fitted FRF. More detailed information regarding  
 183 the RFP method can be found in Richardson and Formenti [41]. Note that the robustness of the RFP method  
 184 has been verified by previous researches [42-43].

185 The fundamental frequencies and damping ratios of the fixed-base structure and the structure with pile-raft  
 186 foundation are shown in Tab. 4. The fundamental frequencies in the middle of the superstructure for the fixed-  
 187 base structure (case A-2) and for the structure with pile-raft foundation (case B) are 16.49 Hz and 10.05 Hz,  
 188 respectively. Meanwhile, the damping ratios in the middle of the superstructure for case A-2 and for case B are  
 189 0.47% and 1.11%, respectively. The reason why the natural frequency of the superstructure of the pile-raft

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190 foundation is lower (damping is higher) than that of the fixed-base structure is because of the SSI between the  
191 soil-pile underneath the raft and the superstructure. However, the superstructure remains almost in elastic state  
192 during shaking table tests. For example, for case B with pile-raft foundation, both frequency and damping  
193 characteristics of superstructure at the top (A-1b), in the middle level (A-2b) and at the raft level (A-3b) are  
194 almost the same at around 10 Hz and 1 %.

## 195 3.2 Dynamic response of the soil

### 196 3.2.1 Dynamic response of the free-field soil

197 The peak soil surface acceleration, and the acceleration response spectrum ratios of the soil surface to the  
198 soil base were analysed to study the dynamic response of the free-field soil. Besides, the boundary effect of the  
199 laminar shear model box is evaluated during the tests.

200 The laminar shear model box filled with soil without the model was equipped at the shaking table. Two  
201 accelerometers (A-4a, A-5a), near and far from the laminar shear model box boundary, were located at the  
202 surface of the free-field soil to evaluate the boundary effect of the laminar shear model box and to calculate the  
203 frequencies and damping ratios of the free-field soil. **Tab. 5** lists the fundamental frequencies and damping  
204 ratios calculated based on the recorded acceleration data using the **RFP** method under white noise excitation.  
205 The fundamental frequencies and damping ratios for those two accelerometers have a neglectable difference,  
206 which means the boundary effect on the soil is acceptable. The recorded peak acceleration value under test  
207 AW0 to AM3 for A-4a and A-5a is compared in Tab. 6. The difference between the acceleration value of A-4a  
208 and A-5a is about 5%, which also provides evidence that the boundary effect is neglectable.

209 **Fig. 7** plots the relationship between PGA and soil surface acceleration for free-field soil. The slope of the  
210 dashed line in **Fig. 7** is 1:1. The soil surface acceleration values all above the dashed line, which means the soil  
211 surface acceleration is higher than the PGA. The soil exhibit an amplification effect on seismic waves. The soil  
212 surface accelerations under the MEX excitation are higher than that under the EL excitation and the YG  
213 excitation for the MEX owning long period and may cause resonant during excitations.

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214 The soil response spectrum ratios of the soil surface (A-4a) to the soil base (A-8a) were analysed, as shown  
215 in Fig. 8. Under the excitation of white noise, AW0, the peak acceleration spectrum ratio occurred at 0.1 s,  
216 which is the site predominant period. Under the YG, EL, and MEX excitation, the acceleration spectrum ratios  
217 show different characteristics. For the YG excitation case, the peak spectrum ratio is 2.82 at 0.23s. With the  
218 increase of earthquake intensity, the peak spectrum ratio decrease, because of the different level of soil  
219 nonlinearity. With the increase of earthquake intensity, the level of soil nonlinearity increased. The soil may  
220 suffer from plastic deformation, in which process the earthquake energy is dissipated. Under the EL excitation,  
221 a similar characteristic is shown with that under the YG excitation. However, the peak spectrum ratio under the  
222 EL excitation is smaller than that under the YG excitation for the YG wave owning more energy than the EL  
223 wave. For the MEX excitation, the period corresponding to the acceleration spectrum ratio is more extended  
224 than that of the YG and the EL excitation. The peak location of the spectrum ratio curves is primarily  
225 influenced by the site predominant period.

### 226 3.2.2 Influence of piles on seismic response of soil

227 Fig. 9 summarised the acceleration characteristics of soil with piles and the free-field soil. The red line  
228 represents the recorded soil acceleration data from the shaking table test of case A-1 of the free-field soil. The  
229 soil acceleration data for the black line is generated from case B with a pile-raft foundation. Comparing soil  
230 surface acceleration between the soil with piles and the free-field soil shows that the former one owns more  
231 excellent acceleration. The accelerometers (A-4b, A-6b, A-7b) in the soil of case B located at the soil depth of  
232 0.0 m, -0.3 m, and -0.6 m are near piles. The accelerometer (A-8b) at the soil depth of -1.2 m located at the  
233 bottom of the laminar shear model box, and it has an over five times pile diameter distance from the boundary  
234 of the laminar shear model box. Comparing to the acceleration (A-8b) at the depth of -1.2 m, the soil surface  
235 acceleration (A-4b) has an amplification. Because of the nonlinearity of the soil, the soil acceleration shows a  
236 nonlinear characteristic under earthquake loadings. Fig. 10 demonstrates the soil surface acceleration (A-5b  
237 and A-4b) near and far from the piles. The solid lines and dashed lines represent the experimental results of  
238 accelerations far from and near piles, respectively. Soil surface acceleration under MEX excitation is higher

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239 than that of the YG and EL excitation results, because of the long-period characteristic of MEX wave. With  
240 the increase of PGA, the peak soil surface acceleration also shows a nonlinear increase.

241

### 242 3.3 Influence of peak ground acceleration on raft and superstructure 243 response

#### 244 3.3.1 Influence of peak ground acceleration on raft acceleration

245 The designed model acceleration for each case may not be the same as the actual acceleration excited by the  
246 shaking table. The actual input acceleration of the shaking table is also summarised in Tab. 3, named recorded  
247 acceleration. In the following discussions, the actual input acceleration of the shaking table is utilised as the  
248 peak ground acceleration (PGA). The influence of PGA on the fixed-base structure of case A-2 is illustrated in  
249 Fig. 11. The acceleration of raft increased with the PGA with an excellent linear relationship, which means the  
250 fixed-base structure mainly works in the elastic state.

251 Fig. 12 plots the relationship between PGA and the peak raft acceleration of case B. The peak accelerations  
252 of the raft increase with the PGA increase. The peak acceleration value of the raft located among two dashed  
253 lines, one line with a slope of 3:2 and the other line with a slope of 1:1. The relationship between those two  
254 parameters is not linear, and the increase ratio decrease with the increase of PGA.

255 Case A-2 and case B are the fixed-base structure and structure with pile-raft foundation shaking table tests.  
256 Fig. 13 shows the comparison of raft acceleration between case A-2 and case B under three earthquake  
257 excitations with different earthquake intensity. The characteristics of peak raft acceleration of fixed-base  
258 structure show significant differences from that of structure with a pile-raft foundation. The former  
259 acceleration is lower than the latter one. For the soil condition of this model, the analysis is more reasonable  
260 than simplifying the system into a fixed-base structure, considering the soil-pile-structure interaction.

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### 261 3.3.2 Influence of peak soil surface acceleration on raft acceleration

262 The relationship between peak soil surface acceleration and peak raft acceleration of the pile-raft foundation  
263 is plotted in Fig. 14. All the peak accelerations are above the line with 1:1 slope, which means that the peak  
264 raft accelerations are higher than the peak soil surface accelerations. Besides, the average peak acceleration  
265 ratio (raft/soil surface) is 1.2, as shown in Fig. 14. In practice, using the soil surface acceleration as the input  
266 acceleration of superstructure without considering the SSI effect is normal. The test results provide evidence  
267 that utilising the soil surface acceleration as the input acceleration of the superstructure is not reasonable.

### 268 3.3.3 Acceleration amplification ratios-characteristics

269 The accelerometer equipped in the middle of the superstructure could monitor the time-history acceleration  
270 of the superstructure. The peak acceleration generated from the recorded data is utilised to get the acceleration  
271 amplification ratio by dividing the corresponding PGA. Fig. 15 plots the acceleration amplification ratios for  
272 the superstructure of the pile-raft foundation under three earthquake excitations with different earthquake  
273 intensity. The acceleration amplification ratios all over 1.5, and it decreases with the increase of PGA. For  
274 instance, under the excitation of 0.3 g, 0.6 g, and 0.9 g EL, the acceleration amplification ratios are 2.16, 1.83,  
275 and 1.71. The acceleration amplification ratio under MEX excitation is the greatest among those three different  
276 excitations.

277 The superstructure acceleration of the fixed-base case (case A-2) could be used to identify the difference  
278 between the fixed-base case and pile-raft foundation case. Because of the problem of the acquisition system  
279 under the shaking table test, only the time-history acceleration data of the superstructure under the YG 0.3 g,  
280 EL 0.3 g, EL 0.6 g cases were recorded. The amplification ratios of the fixed-base superstructure under the  
281 excitation of the YG 0.3 g, EL 0.3 g, EL 0.6 g are 2.77, 2.28, and 2.16, respectively. As shown in Fig. 15, the  
282 amplification ratios of superstructure with pile-raft foundation of case B under the excitation of the YG 0.3 g,  
283 EL 0.3 g, EL 0.6 g are 2.37, 2.16, and 1.83. The former ratios significantly higher than that of the latter, and  
284 increased by 17%, 6%, and 18%. That is because, in the propagation of earthquake waves, the soft soil and

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285 pile-raft foundation consumed part of the earthquake energy, and the energy transported to the superstructure is  
286 decreased.

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### 288 3.4 Bending moment results and discussion

289 Four instrumented piles (P1, P2, P3 and P4) were equipped with spaced strain gauges to detect the bending  
290 moments of the piles. The bending moments of the piles were measured at -0.55, -0.45, -0.35, -0.25, -0.15 and  
291 -0.05 m under the pile head ( $\pm 0$  m). Each side of the piles at the measuring point was attached with one strain  
292 gauge, symmetrically. Moreover, the bending moments of the piles at the measuring point was determined by  
293 the average maximum and minimum strain based on the classical beam theory [44]. Utilising the average strain  
294 can eliminate the effects of time lag and reduce test error [17]. Fig. 16 plots the recorded strain ( $\epsilon$ ) data at each  
295 side of the corner pile (P1) at the depth of -0.05 m. The peak bending moment of measuring point is:

$$296 \quad M = \frac{EI(\epsilon_t - \epsilon_c)}{2r} \quad (3)$$

297 where  $E$  is the elasticity modulus of the pile;  $I$  is the inertia moment of the pipe pile;  $\epsilon_t$  is the average strain  
298 of Max left and Max right;  $\epsilon_c$  is the average strain of Min left and Min right;  $r$  is the radius of the pile. In  
299 order to avoid the error caused in the process of gauges sticking and waterproof protection, all the strain  
300 gauges were calibrated, as shown in Fig. 17. The pile head is fixed, and the pile acted as a cantilever beam.  
301 Four calibration loads (2.84, 4.68, 5.61, and 6.54 kg) were equipped at the pile tip, and a linear relationship  
302 between the bending moment and strain can be generated. For instance, the corner pile calibration results of  
303 calibration moment and calculated bending moment using equation (3) were compared in Fig. 17, and the  
304 values are close to each other with a neglectable difference.

305 The peak bending moments of each instrumented piles under three earthquake excitations with different  
306 earthquake intensity are presented in Fig.18. The bending moment increased along with the pile from pile tip to  
307 pile head. The maximum bending moment occurred at the top of the piles, which is agree with previous studies  
308 [16, 45-46]. The results of the pile bending moment demonstrate that the pile at the middle of first row P2 had  
309 a significantly higher bending moment than the other instrumented piles, because the pile P2 shared more load

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310 and it was influenced by the shadowing effect. The shadow effect was also found in other researches [47-48].  
311 Comparing the bending moment of piles under 0.3g, 0.6g, and 0.9g YG excitations, the bending moment of  
312 piles under greater earthquake excitations has a greater bending moment. For instance, the bending moment at  
313 the head measuring point of pile 2 under 0.3g, 0.6g, and 0.9g YG excitations were 1.30 N·m, 4.23 N·m, and  
314 6.28 N·m. The same bending moment characteristics could be found under the earthquake excitation of EL and  
315 MEX, as shown in Figs. 18(b) and 18(c). The bending moment of piles under the excitation of MEX excitation  
316 is the greatest in three different earthquake excitations. Under the 0.9 g earthquake excitation, the bending  
317 moment of P2 head for the case under the YG, EL, and MEX excitation are 6.28 N·m, 5.33 N·m, and 22.08  
318 N·m. This is because the MEX wave is a long-period wave, and during the excitation, the resonant effect  
319 generating more energy.

### 320 3.5 Vertical and horizontal displacements results and discussion

321 One laser meter (LS1) for vertical displacement measurement is equipped at the top of the superstructure.  
322 Fig. 19 shows the vertical displacement of superstructure under the EL excitations with three earthquake  
323 intensity, 0.3 g, 0.6 g, and 0.9 g. It demonstrates that the vertical displacement is proportional to the earthquake  
324 intensity. The vertical displacements under the EL excitation of 0.3 g, 0.6 g, and 0.9 g are -0.05 mm, -0.14 mm,  
325 and -0.32 mm, respectively. The same characteristics could be found with the earthquake excitations of the YG  
326 and MEX. Fig. 20 shows the vertical displacement of the superstructure under the YG, EL, and MEX  
327 excitations with earthquake acceleration of 0.3g. The time-history vertical displacement and the residual  
328 displacement after the shaking could be generated from Fig. 20. with the same earthquake intensity, the  
329 vertical displacement under the MEX excitation is the greatest for the resonance effect between the earthquake  
330 and the soil.

331 Fig. 21 plots the horizontal displacement of the superstructure under the EL excitations. The laser meter  
332 (LS2) monitoring the horizontal displacement is fixed at the laminar shear model box by a steel beam, which  
333 means the recorded horizontal displacement is the absolute displacement of the superstructure. The maximum  
334 horizontal displacement of the superstructure under the 0.3 g, 0.6 g, and 0.9 g EL excitations are -1.99 mm, -  
335 6.59 mm, and -13.35 mm, respectively. The maximum horizontal displacement increases with the earthquake

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336 intensity. Fig. 22 shows the response of horizontal displacement under three earthquake excitations. The  
337 maximum horizontal displacements under the 0.3 g earthquake excitation of YG, EL, and MEX are -2.28 mm,  
338 -1.99 mm, and -12.12 mm, respectively. The residential horizontal displacement is almost zero even under the  
339 MEX excitation, which means the superstructure is under the elastic state.

## 340 4 Conclusion

341 In this study, a series of shaking table tests on a nuclear power station model with a fixed-base and with a  
342 pile-raft foundation embedded in soft clay were carried out to investigate the effect of earthquake intensity,  
343 earthquake frequency, soil-pile-structure interaction, soil nonlinearity on the dynamic response of the system.  
344 The major conclusions are as follows:

345 (1) The soil acceleration shows a nonlinear characteristic due to an increased influence of the nonlinearity of  
346 soil under increasing earthquake excitation. The acceleration at the soil surface is amplified from the base of  
347 soil, and the soil surface acceleration under the long-period MEX excitation is higher than that under short-  
348 period excitations. The average peak acceleration ratio of the raft for pile-raft foundation (raft/soil surface) is  
349 1.2, which provides evidence that utilising the soil surface acceleration as the input acceleration of  
350 superstructure is not reasonable.

351 (2) The acceleration amplification ratios of the superstructure with pile-raft foundation are all over 1.5,  
352 decreasing with the increase of earthquake intensity. Comparing the two foundation types, the natural  
353 frequency of the fixed-base superstructure is higher than that of the pile-raft foundation because of soil-pile-  
354 structure interaction. The damping ratio at the top of the fixed-base superstructure is lower than that of the pile-  
355 raft foundation. Since the peak raft acceleration of the fixed-base structure is nearly the same as the peak  
356 ground acceleration, it is lower than that of the pile-raft foundation. However, the amplification ratios of the  
357 fixed-base superstructure are higher than that of the pile-raft foundation.

358 (3) The pile at the middle of the first row (P2) had a greater bending moment than the other instrumented  
359 piles, because it shared more load and was influenced by the shadowing effect. The bending moment of piles  
360 under greater earthquake excitations owns a larger bending moment. Under the long period MEX excitations,  
361 the bending moment of the piles is larger than that under the YG and EL excitations.

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362 (4) The vertical and horizontal displacement and residual displacement increase with the earthquake  
363 intensity. With the same earthquake intensity, the displacement under the MEX excitation is the greatest due to  
364 the resonance effect between the earthquake and the soil.

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