

Modelling of Ultrafast Waveguided Electro-Absorption Modulator at Telecommunication Wavelength ($\lambda=1.55 \mu\text{m}$) based on Intersubband Transition in an InGaAs/AlAs/AlAsSb Asymmetric Coupled Double Quantum Well Lattice-matched to InP

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Abstract—We investigated theoretically a waveguided EAM (Electro-Absorption Modulator) based on ISBT (Intersubband Transitions) in an $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs (Asymmetric Coupled Double Quantum Wells) lattice-matched to InP at telecommunication wavelength ($\lambda=1.55 \mu\text{m}$) which offers ultrahigh-speed and moderate voltage swing. Likewise, the temperature dependency in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs was investigated at different temperatures from 300 K to 400 K and evidently the InP-based ISB (Intersubband) modulator offers better temperature stability ($\sim 0.05 \text{ nm/C}$) compared to the InP-based IB (Interband) modulator. The EAM investigated here is anticipated to have a RC-limited speed (f_{3dB}) of $\sim 300 \text{ GHz}$ with insertion loss of 5.1 dB, 10 dB extinction ratio and 5.18 dB/V modulation efficiency at a peak-to-peak voltage of 2.0 V which can support a data rate of up to 600 Gbps and beyond.

Index Terms— Asymmetric Coupled Double Quantum Well, Electro-absorption modulator (EAM), InGaAs/AlAs/AlAsSb, intersubband transition, optical waveguide, opto-electronic device.

Manuscript received January 25, 2021. This work is supported by UK EPSRC (Engineering and Physical Sciences Research Council) and UCL (University College London). The work of P. Matin was supported by Huawei Technologies Research and Development (UK) limited. (*Corresponding author: Pouyan Matin.*)

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I. INTRODUCTION

ULTRAFAST opto-electronic devices such as high-speed photonic switches, high-speed photo-detectors and specifically ultrafast modulators which can function in the fs time scale are increasingly required for achieving high speed data transmission $\sim 400 \text{ Gb/s}$ per channel for the next generation of information and telecommunication networks [1],[2]. In conventional optical devices including InP-based EAMs which are functioning based on IBT (Interband Transitions) such as Interband-based InP-based EAMs, the carrier relaxation time is limited to the range between a few ps and ns, and also the absorption recovery time is restricted to $\sim 5 \text{ ps}$; thus, only a bandwidth of around 80 Gb/s could be achieved [3]-[5]. This restriction of speed is due to the comparatively slow interband recombination lifetimes caused by the limited absorption saturation recovery time which is restricted by the sweep-out time of the photo-generated carriers [6],[7]. Alternatively, by limiting electrons in a Quantum Well (QW) structure and then employing the optical transition among allowable electron energy levels quantized in the well between the subbands of the same generic band such as conduction band and valance band, which is well known as an Inter Sub-Band Transition (ISBT), extremely short absorption recovery time owing to the extremely fast carrier relaxation process can be achieved [8]-[11]. An ultrafast absorption recovery time of 1.3 ps has been reported at 1.3 μm in InP-based $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ CDQWs (Coupled Double Quantum Well) structures; though, an ultrafast absorption recovery time of 800 fs could be attained by exploring energy levels higher than the Fermi level in the conduction band [12]. ISBTs in A-CDQWs (Asymmetric-Coupled Double Quantum Well) offer unique properties such as fast relaxation times (sub-fs), large oscillator strengths and

extensive wavelength tuneability [13]-[16]. Likewise, large absorption can be achieved by using ISBTs; therefore, the intersubband modulator is a very promising candidate for the high-speed and low-voltage EAMs of the future [17]. ISBTs-based EAMs offer significant advantages including large bandwidth, low voltage swing, extremely high saturation intensity and negative effective chirp; hence, they are promising candidates for delivering the next generation optical communication systems at 400 Gb/s and beyond [16]-[20]. For attaining ISBTs in the near-infrared region, a large conduction band offset between the well material and the barrier material is essential [21],[22]. InGaAs/AlAsSb as part of wide bandgap antimonides semiconductors owing to its large conduction band offset (~ 1.75 eV), sufficiently large bandgap and low absorption saturation intensity is an appropriate material for realizing near-infrared ISBTs for operation at communications wavelengths between 1.3 μm and 1.55 μm [22],[23]. Moreover, InGaAs/AlAsSb offers ultrafast absorption response time at both 1.3 μm and 1.55 μm , in addition to the capability of being grown by MBE on InP substrate, and also can be monolithically integrated with other optical or electrical components; hence, InGaAs/AlAsSb is a good candidate for ultra-fast InP-based EAMs to be employed in the future optical communication networks [24]-[26].

The purpose of this paper is to report an efficient ultrafast waveguided ISBT-based electro-absorption modulator design based on $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs lattice-matched to InP for delivering the next generation optical communication systems at 400 Gb/s and beyond. The EAM designed here is expected to have a RC-limited speed (f_{3dB}) of 300 GHz with insertion loss of 5.1 dB, 10 dB extinction ratio and 5.18 dB/V modulation efficiency at a peak-to-peak voltage of 2.0 V which can support a data rate of up to 600 Gbps and beyond.

Fig.1 shows schematic of a quantum well structure and some of the different optical transitions possible including IBT (interband transition) and ISBT (intersubband transition).

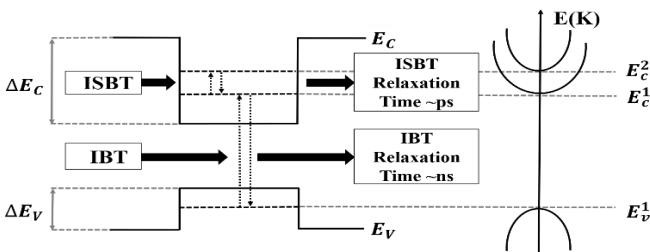


Fig.1. Schematic of a quantum well structure and some of the different optical transitions possible including IBT (interband transition) and ISBT (intersubband transition).

II. MODULATOR DESIGN

We investigate theoretically a modulator design based on ISBTs in InGaAs/AlAsSb A-CDQWs for attaining a useful ISBT ($\Delta E=0.8$ eV) for the telecommunication wavelength (1.55 μm). The DQWs (Double Quantum Well) structure offers much more appropriate absorption in comparison to the SQW (Single Quantum Well) structure for electro-absorption modulation purpose; hence, the DQWs model is advantageous for achieving communication wavelength intersubband gaps between 1.3 μm

and 1.55 μm mostly owing to the achievable sufficient absorption, flexibility in altering quantized energy levels and also carrier relaxation procedures [12],[13]. ISBTs at communication wavelengths can be attained in the DQWs structures by varying the well width, the middle barrier width and also the carrier population in the conduction subband states [12],[23],[27]. Weakly-coupled DQWs structures are more appropriate for electro-absorption modulation compared to the strongly-coupled DQWs structures as in a weakly-coupled DQWs structures the absorption change per volt applied is larger; hence, the absorption edge can be controlled over a larger range of applied electric field as well as equally external voltages [3],[28]. Likewise, the bipolar chirp parameter nearby the central absorption peak is attained in the weakly-coupled DQWs structures which makes it a great potential application in fibre-based optical telecommunication networks [3],[28]. Moreover, A-CDQWs is more advantageous in comparison to the S-CDQWs (Symmetric Coupled Double Quantum Wells); thus, an asymmetric weakly-coupled DQWs is the most appropriate structure to be employed in ultrafast waveguided ISBT-based EAM design. AlAs material must be utilised as a stopping layers and also the central barrier for solving the interface issue in the InGaAs/AlAsSb A-CDQWs structure which is mainly due to the robust intermixing of In, As and Sb in the barrier material and well material [26]-[30]. By employing AlAs, the inter-diffusion related effects at the interface are significantly reduced; consequently, short wavelength ISBTs peak can be attained [29],[30]. Fig.2 shows the conduction band profile and calculated subbands energies of the designed $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs by Nextnano software with no applied bias. The widths of wells and barriers within the A-CDQWs structure were designed for the ISBT between subband 2 and subband 3 ($\Delta E \rightarrow E3-E2$) to match the 1.55 μm communication wavelength ($\Delta E \approx 0.8$ eV). We employed 20 periods (20 x 50 nm = 1000 nm) of $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs with outer left barrier ($\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$) width of 10 nm, left stopping layer (AlAs) width of 1 nm, left well ($\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}$) width of 3 nm doped to $1 \times 10^{17} \text{ cm}^{-3}$, inner barrier (AlAs) width of 3 nm, right well ($\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}$) width of 2 nm doped to $1 \times 10^{17} \text{ cm}^{-3}$, right stopping layer (AlAs) width of 1 nm and outer right barrier ($\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$) of 30 nm. The calculated E1-E4 ISBT and E2-E3 ISBT in the proposed $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs are ~ 1.13 eV and ~ 0.8 eV, respectively. The calculated ISBTs are very close to the achieved ISBTs by Ishikawa *et al.* [30].

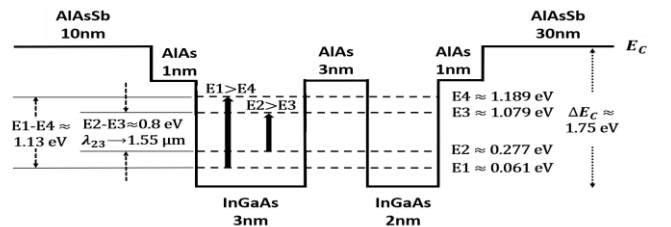


Fig.2. Conduction band profile and calculated subbands energies of the designed $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs with no applied bias. Materials and thickness used for different layers are specified along with the calculated energy levels.

Fig.3 shows the layer structure for the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs employed for the ISBT-based EAM.

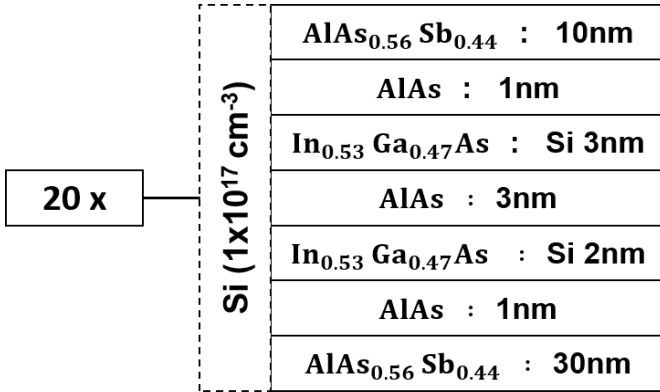


Fig.3. The layer structure for the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs employed for the ISBT-based EAM.

III. ISB TEMPERATURE DEPENDENCY

When the temperature is increased from 300 K to 400 K in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs lattice-matched to InP, the associated subband energies (E1, E2, E3 and E4), the E1-E4 ISBT and also the E2-E3 ISBT are decreased significantly. Additionally, the E2-E3 ISBT is decreased less in comparison to the E1-E4 ISBT which means the E2-E3 ISBT offers better temperature stability in comparison to the E1-E4 ISBT in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs lattice-matched to InP. Moreover, the rate of change of wavelength associated to E2-E3 ISBT is smaller than the rate of change of wavelength associated to E1-E4 ISBT in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs lattice-matched to InP; therefore, principally owing to the offered better temperature stability by E2-E3 ISBT in comparison to the E1-E4 ISBT, the E2-E3 ISBT is the more suitable ISBT to be chosen for designing an ISBT-based EAM. Furthermore, The rate of change of wavelength based on temperature in InP-based interband modulator is $\sim 0.5 \text{ nm/C}$ [31]; however, the rate of change of wavelength offered by ISBTs in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs lattice-matched to InP is almost 10 times better ($\sim 0.05 \text{ nm/C}$) which means that InP-based ISB modulator offers much better temperature stability compared to the InP-based IB modulator. Fig.4. shows subband energies (E1, E2, E3 and E4) of the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs with outer left barrier ($\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$) width of 10 nm, left stopping layer (AlAs) width of 1 nm, left well ($\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}$) width of 3 nm doped to $1 \times 10^{17} \text{ cm}^{-3}$, inner barrier (AlAs) width of 3 nm, right well ($\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}$) width of 2 nm doped to $1 \times 10^{17} \text{ cm}^{-3}$, right stopping layer (AlAs) width of 1 nm and outer right barrier ($\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$) of 30 nm lattice-matched to InP (a) and two relevant ISBTs including E1-E4 (b) and E2-E3 (c) under different temperatures from 300 K to 400 K, and also the inset shows the wavelength associated to E1-E4 ISBT (b) and the wavelength associated to E2-E3(c).

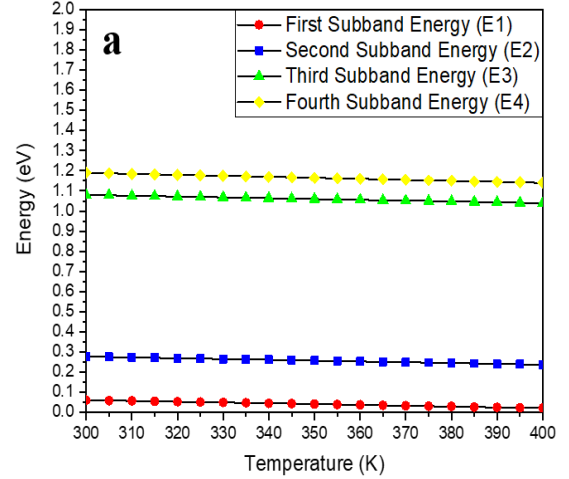
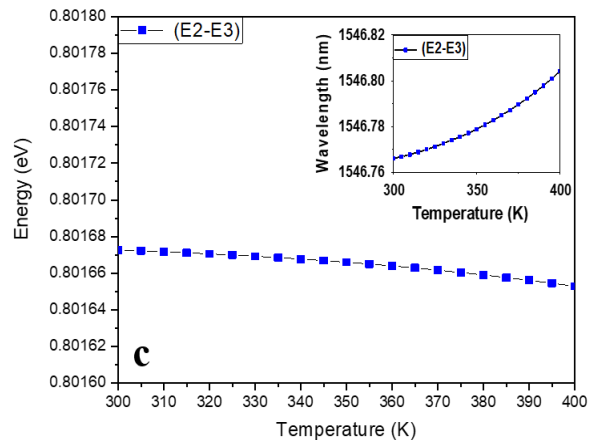
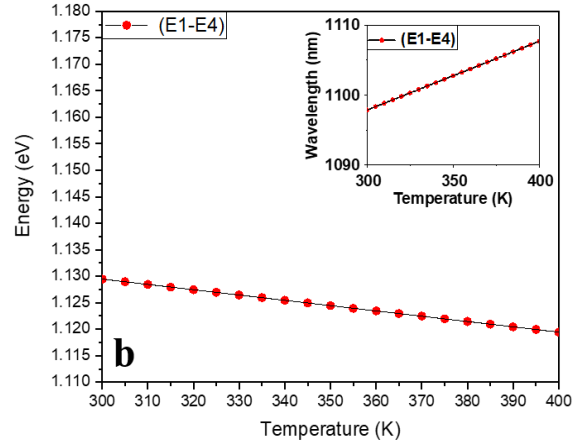


Fig.4. Subband energies (E1, E2, E3 and E4) of the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs lattice-matched to InP (a) and two relevant ISBTs including E1-E4 (b) and E2-E3 (c) under different temperatures from 300 K to 400 K. The inset shows the wavelength associated to E1-E4 ISBT (a) and the wavelength associated to E2-E3(b).



IV. WAVEGUIDE DESIGN AND CONTACTING

For designing an ultrafast ISBT-based EAM, employment of an optical waveguide with lower loss is essential; therefore, a newly designed ISBT-based waveguide device is employed. For this purpose, the active region ($\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{AlAs}/\text{AlAs}_{0.56}\text{Sb}_{0.44}$ A-CDQWs) as core layer is sandwiched with InAlAs as top and bottom cladding layers. The InAlAs is selected mainly due to the match interface with the active region; however, the refractive index of the InAlAs (~ 3.23) is still an issue as it is higher than the average refractive index of the $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{AlAs}/\text{AlAs}_{0.56}\text{Sb}_{0.44}$ A-CDQWs (~ 3.13); thus, 70 nm high refractive index InGaAlAs (~ 3.46) doped to $2 \times 10^{17} \text{ cm}^{-3}$ guiding layers on both sides of the A-CDQWs are employed in addition to the 1.43 nm InAlAs doped to $2 \times 10^{18} \text{ cm}^{-3}$ for confining the optical mode in the core region. Moreover, 300 nm $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$ doped to $2 \times 10^{19} \text{ cm}^{-3}$ is employed as top contact (Schottky contact) and 500 nm $\text{In}_{0.53}\text{Ga}_{0.47}\text{AsP}$ doped to $3 \times 10^{18} \text{ cm}^{-3}$ is employed as bottom contact (Ohmic contact). Fig.5. shows the ISBT-based $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{AlAs}/\text{AlAs}_{0.56}\text{Sb}_{0.44}$ A-CDQWs waveguide device.

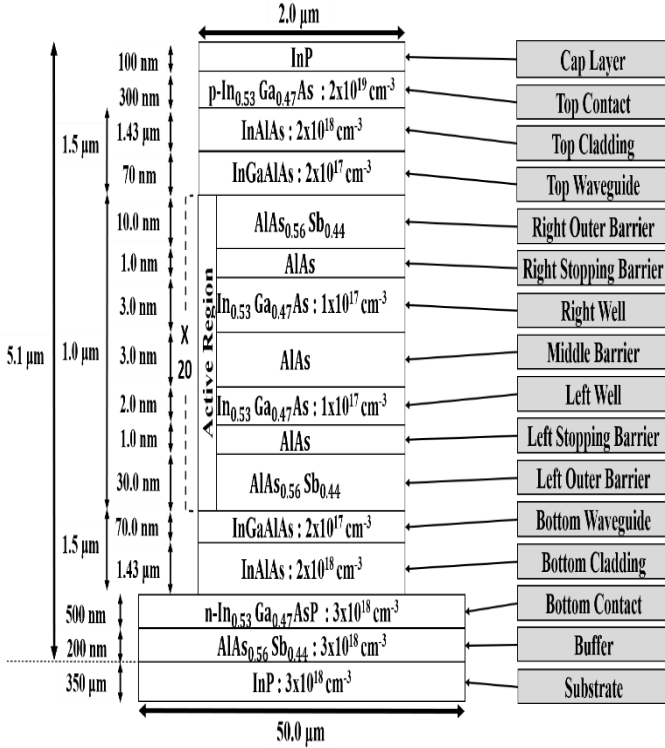


Fig.5. The ISBT-based $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{AlAs}/\text{AlAs}_{0.56}\text{Sb}_{0.44}$ A-CDQWs waveguide device. The refractive index (n) of InP, AlAs, InGaAs, InAlAs, InGaAlAs, InGaAsP, $\text{AlAs}_{0.56}\text{Sb}_{0.44}$, $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$ and $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs are 3.17, 2.95, 3.57, 3.23, 3.46, 3.39, 3.12, 3.59 and 3.13, respectively. Additionally, the absorption coefficients (α) of E1-E4 ISBT in $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs, E2-E3 ISBT in $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs, InGaAs, InGaAlAs and InAlAs are 832 cm^{-1} , 2548 cm^{-1} , 5500 cm^{-1} , 5000 cm^{-1} , 4500 cm^{-1} , respectively.

Fig.6. shows the ISBT-based $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{AlAs}/\text{AlAs}_{0.56}\text{Sb}_{0.44}$ A-CDQWs waveguide mode profile.

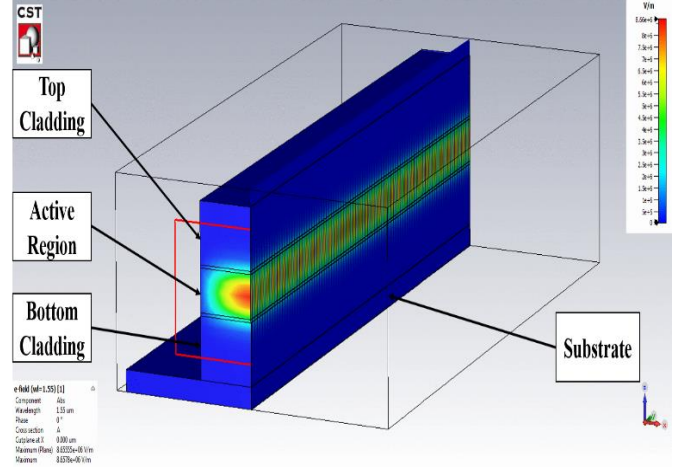


Fig.6. The ISBT-based $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{AlAs}/\text{AlAs}_{0.56}\text{Sb}_{0.44}$ A-CDQWs waveguide mode profile.

V. INTERSUBBAND ABSORPTION COEFFICIENT CALCULATION

ISBA (Intersubband Absorption) occurs owing to the interaction of an electromagnetic field with the electronic transitions among the confined states in quantum wells in the conduction band or in the valance band [32],[33]. Owing to an intrinsic insensitivity to saturation due to the extremely fast carrier relaxation time, large absorption could be achieved by ISBTs [33]. ISBA coefficient is determined by [33]

$$\alpha(\hbar\omega) = \frac{\omega \mu c^2 m^* K_b T}{\pi \hbar^2 L n_r} \sum_{i < j} \ln \left\{ \frac{1 + \exp[(E_f - E_i) / K_b T]}{1 + \exp[(E_f - E_j) / K_b T]} \right\} \quad (1)$$

$$\times \langle z \rangle^2 \frac{(\Gamma / 2)}{(E_{if} - \hbar\omega)^2 + (\Gamma / 2)^2}$$

$$\text{Where, } \langle z \rangle = \langle Q_f \cdot |z| \cdot Q_i \rangle = \int Q_f \cdot z \cdot Q_i dz$$

Where, ω is the angular frequency, μ is the permeability of the A-CDQWs, C is the speed of light, e is the charge of an electron, K_b is the Boltzmann constant, T is the temperature in Kelvin, Γ is the line width or full-width at half-maximum (FWHM), E_i is the initial subband energy (E1 or E2), E_f is the final subband energy (E3 or E4), ϑ_i is the envelope function of the initial subband (E1 or E2) and finally ϑ_f is the envelope function of the final subband (E3 or E4).

Likewise, m^* is the weighted effective mass which is calculated by [33]

$$m^* = (m_w^* L_w + m_b^* L_b) / (L_w + L_b) \quad (2)$$

Furthermore, n_r is the refractive index of the A-CDQWs which is calculated by [33]

$$n_r = \left(n_w L_w + n_b L_b \right) / \left(L_w + L_b \right) \quad (3)$$

Where, L_w is the well width, L_b is the middle barrier width, n_w is the well refractive index and n_b is the barrier refractive index in the A-CDQWs.

1.55 μm ISBA in $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs was first reported by Mozume *et al.* [11]. The calculated ISBA coefficients (α) of the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs structure is 832 cm^{-1} for E1-E4 ISBT and 2548 cm^{-1} for E2-E3 ISBT. The evaluated ISBA coefficients (α) of the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs are close to the achieved ISBA coefficients by Yoshida *et al.* [20] and Neogi *et al.* [23]. By employment of the optical waveguide structure, the ISBA coefficient of the both E1-E4 ISBT and also E2-E3 ISBT are reduced significantly. The waveguide absorption coefficient is calculated by [32]

$$\alpha_{WG} = \alpha_{Core} \times \Gamma_{Core} \quad (4)$$

Where, α_{Core} is the ISBA coefficient (α) of the core layer ($\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs) of the waveguide structure and Γ_{Core} refers to the confinement factor of the core layer which defines the overlap of the optical mode with the core layer of the waveguide. The waveguide ISBA coefficient (α_{WG}) for the E1-E4 ISBT and also the E2-E3 ISBT are calculated by [32]

$$\alpha_{WG(E_1-E_4)} = \alpha_{Core(E_1-E_4)} \times \Gamma_{Core} \quad (5)$$

&

$$\alpha_{WG(E_2-E_3)} = \alpha_{Core(E_2-E_3)} \times \Gamma_{Core}$$

The core confinement factor (Γ_{Core}) is described as a ratio of the mode intensity $E^2(x)$ overlapping with the core layer to the entire mode intensity $E^2(x)$ in the whole waveguide structure which is determined by [32]

$$\Gamma_{Core} = \frac{\int_{-d/2}^{+d/2} E^2(x) dx}{\int_{-\infty}^{+\infty} E^2(x) dx} \quad (6)$$

Where, d refers to the thickness of the core layer and E refers to the mode intensity. The mode was simulated using FDTD. The calculated mode intensity overlapping with the core layer is $\sim 3.45 \times 10^{-10}$ and the total mode intensity in the whole waveguide is $\sim 4.15 \times 10^{-10}$; consequently, the calculated core confinement factor (Γ_{Core}) for the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs waveguide structure is $\sim 85\%$. Furthermore, the waveguide ISBA coefficient (α_{WG}) of the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs waveguide structure is 707 cm^{-1} for E1-E4 ISBT and 2167 cm^{-1} for E2-E3 ISBT; though, the ISBA coefficient of the E1-E4 ISBT and E2-E3 ISBT within the well region ($\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}$) of the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/$

$\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs waveguide structure are much lower as only small part of the optical mode within the waveguide structure overlaps with the available wells within the core layer (active region). The well ISBA coefficient is calculated by [32]

$$\alpha_{Well} = \alpha_{WG} \times \Gamma_{Well} \quad (7)$$

Where, α_{WG} is the waveguide ISBA coefficient of the waveguide structure and Γ_{Well} refers to the well confinement factor which defines the overlap of the optical mode with the wells in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs. The well ISBA coefficient for the E1-E4 ISBT and the E2-E3 ISBT are calculated by [32]

$$\alpha_{Well(E_1-E_4)} = \alpha_{WG(E_1-E_4)} \times \Gamma_{Well} \quad (8)$$

&

$$\alpha_{Well(E_2-E_3)} = \alpha_{WG(E_2-E_3)} \times \Gamma_{Well}$$

The confinement factor (Γ_{Well}) is described as a ratio of the mode intensity $E^2(x)$ overlapping with the wells to the entire mode intensity $E^2(x)$ in the whole active region which is determined by [32]

$$\Gamma_{Well} = \frac{\int_{-d/2}^{+d/2} E^2(x) dx}{\int_{-\infty}^{+\infty} E^2(x) dx} \quad (9)$$

Where, d refers to the thickness of the well and E refers to the mode intensity. The mode was simulated using FDTD. The calculated mode intensity overlapping with the well is $\sim 2.43 \times 10^{-10}$ and the total mode intensity in the whole waveguide is $\sim 4.15 \times 10^{-10}$; thus, the calculated well confinement factor (Γ_{Well}) for the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs waveguide structure is $\sim 10\%$. The calculated ISBA coefficient in the waveguided ISBT-based $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs for E1-E4 ISBT and for E2-E3 ISBT is 71 cm^{-1} and 217 cm^{-1} , respectively.

VI. RESULTS AND DISCUSSION

The electro-absorption modulator length is determined by [34]

$$L = \frac{1}{\alpha_{(E_2-E_3)}} \quad (10)$$

Where, L is the EAM length and $\alpha_{(E_2-E_3)}$ is the ISBA coefficient for the E2-E3 ISBT in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs waveguided structure under no electric field which is 217 cm^{-1} . The calculated EAM length is $\sim 46 \mu\text{m}$. Moreover, the calculated V_{bd} (breakdown voltage) is

~ 10 V. External electric field has a large influence on the subband energies as well as equivalent wavefunctions which can control the variation of ISBA [33]. ISB-based EAMs operate based on the variation of the absorption spectrum which is caused by an applied electric field [33]. Fig.7. shows the ISBA coefficients for E2-E3 ISBT in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs waveguided structure (a), the related extinction ratio (b) and the related modulation efficiency (c) under different applied electric field from 0 kV/cm to 60 kV/cm (reverse bias from 0 V to -6 V) where the EAM length is 46 μm .

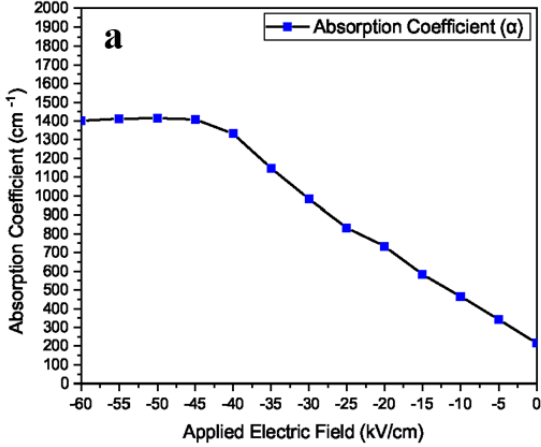
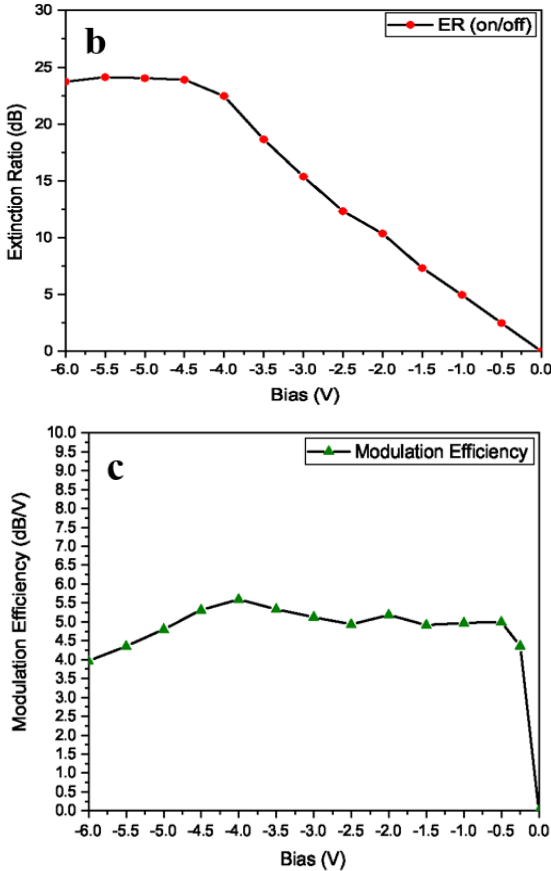


Fig.7. The ISBA coefficients for E2-E3 ISBT in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs waveguided structure (a), the related extinction ratio (b) and the related modulation efficiency (c) under different applied electric field from 0 kV/cm to 60 kV/cm (reverse bias from 0 V to -6 V) where the EAM length is 46 μm .



The ISBA coefficient for E2-E3 ISBT increases when the applied negative electric field (reverse bias) increases from 0 kV/cm to 50 kV/cm (0 V to -5 V); however, when the applied electric field increases from 50 kV/cm to 60 kV/cm (-5 V to -6 V), the ISBA coefficient for E2-E3 ISBT is decreased slightly which is due to the absorption saturation. The ER (extinction ratio) is calculated by [34]

$$R_{on/off} = \frac{P_{out}(V_{on} = 0)}{P_{out}(V_{off} = V)} = \frac{e^{-\alpha(0)L}}{e^{-\alpha(V)L}} \quad (11)$$

Where, $\alpha(0)$ is the ISBA coefficients for E2-E3 ISBT ($\alpha_{ISB(E2-E3)}$) at 0 V, $\alpha(V)$ is the ISB absorption coefficients for E2-E3 ISBT with applied reverse bias and L is the EAM length. Moreover, the ER (extinction ratio) in dB (decibel) is calculated by [34]

$$R_{on/off} (dB) = 10 \log(R_{on/off}) = 4.343 [\alpha(V) - \alpha(0)] L \quad (12)$$

The extinction ratio increases when the reverse bias increases from 0 V to -5.5 V; however, when -6 V reverse bias is applied, the ER is decreased slightly which is obviously owing to the absorption saturation.

The modulation efficiency is calculated by [34]

$$\frac{R_{on/off}}{\Delta V} = 4.343 \frac{[\alpha(V) - \alpha(0)] L}{\Delta V} = 4.343 \frac{\Delta \alpha}{\Delta F} \quad (13)$$

Where, $\alpha(0)$ is the ISBA coefficient for E2-E3 ISBT ($\alpha_{ISB(E2-E3)}$) at 0 V, $\alpha(V)$ is the ISBA coefficient for E2-E3 ISBT with applied reverse bias and L is the EAM length. Likewise, $\Delta \alpha$ is the ISBA coefficient difference, ΔV is the applied reverse bias difference and ΔF is the applied negative electric field difference. The modulation efficiency increases largely when the reverse bias increases from 0 V to -0.5 V. Moreover, the modulation efficiency is almost the same from -0.5 V up to -4.0 V which is ~ 5.0 dB/V; though, the modulation efficiency is decreased from -4.0 V to -6.0 V.

Fig.8. shows the extinction ratio under different reverse bias from 0 V to -2 V (0 kV/cm to 20 kV/cm) for different EAM lengths including 46 μm , 60 μm , 70 μm , 80 μm , 90 μm and 100 μm (a), and the insertion loss in different EAM lengths including 46 μm , 60 μm , 70 μm , 80 μm , 90 μm and 100 μm (b). In different EAM lengths, when the applied reverse bias is increased from 0 V to -2 V (0 kV/cm to 20 kV/cm), the extinction ratio is also increased. Moreover, when the length of the EAM is increased, the extinction ratio is largely increased. Furthermore, the rate of change of the extinction ratio is linear with length and voltage.

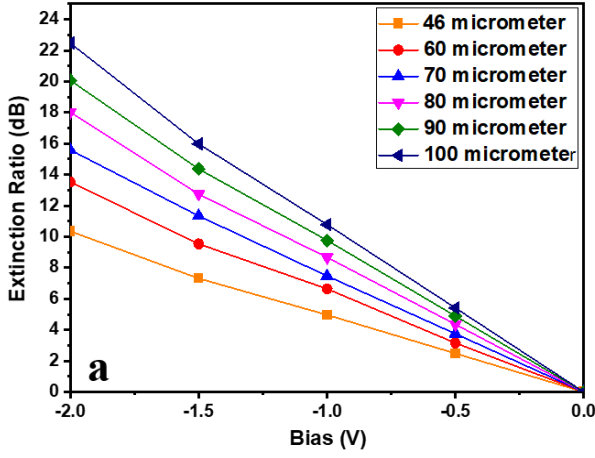
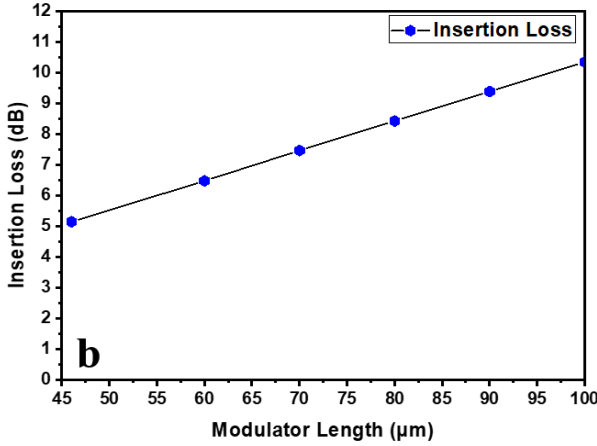


Fig.8. The extinction ratio under different reverse bias from 0 V to -2 V (0 kV/cm to 20 kV/cm) for different EAM lengths including 46 μm, 60 μm, 70 μm, 80 μm, 90 μm and 100 μm (a), and the insertion loss in different EAM lengths including 46 μm, 60 μm, 70 μm, 80 μm, 90 μm and 100 μm (b).



The IL (insertion loss) in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQW is calculated by [34]

$$IL = \frac{P_{in}}{P_{out}(V=0)} = e^{-\alpha(0)L} \quad (14)$$

Where, $\alpha(0)$ is the ISBA coefficient for E2-E3 ISBT ($\alpha_{ISB(E2-E3)}$) at 0 V and L is the EAM length. Moreover, the IL (insertion loss) in dB (decibel) is calculated by [34]

$$IL(\text{dB}) = 10 \log(e^{-\alpha(0)L}) \quad (15)$$

The calculated insertion loss of the waveguided EAM with length of 46 μm is ~ 5.1 dB. It must be mentioned that the calculated insertion loss includes the loss in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQW (active region) and other layers of the waveguided EAM structure which are overlapped with the optical mode; however, it does not include the coupling loss into and out of the EAM. When the length of the EAM is increased, the insertion loss is increased significantly; therefore, the EAM length has direct relationship with the insertion loss.

By reducing the length of the EAM, a very low insertion loss of ≤ 1 dB could be achieved which results in larger optical bandwidth (f_{3dB}) as well as higher data rate; however, the extinction ratio and also the modulation efficiency will be decreased significantly.

Fig.9. shows electrical equivalent circuit of the waveguided $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs EAM, where V_{in} is the bias voltage, L is the inductance, C_p is the parasitic capacitance, C_d is the device capacitance, R_{in} is the line resistance, R_{acc} is the access resistance and R_d is the device resistance.

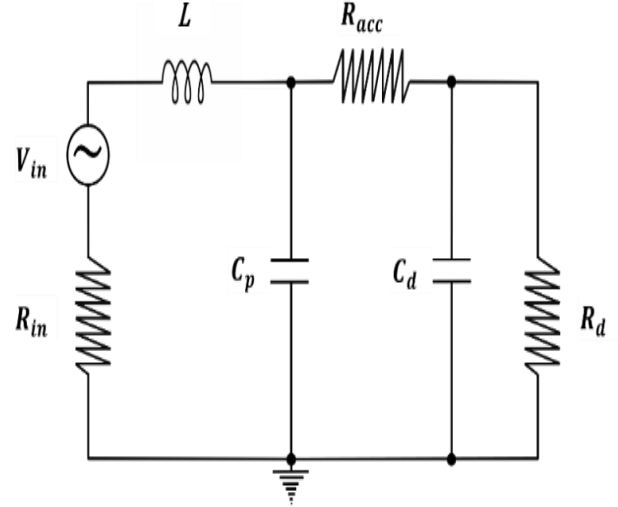


Fig.9. Electrical equivalent circuit of the waveguided $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs EAM where V_{in} is the bias voltage, L is the inductance, C_p is the parasitic capacitance, C_d is the device capacitance, R_{in} is the line resistance, R_{acc} is the access resistance and R_d is the device resistance.

In case of EAM, the p-i-n structure can be estimated by a first-order RC low-pass filter, which is considered by an operative capacitor (C) and the active resistance (R); therefore, the 3dB bandwidth (f_{3dB}) is determined by the RC constant. The 3dB bandwidth (f_{3dB}) is calculated by [34]

$$f_{3dB} = \frac{1}{2\pi RC} \quad (16)$$

Where, C is the device capacitance (C_d) and R is the active resistance which is the combination of the access resistance (R_{acc}) and the line resistance (R_{in}). For calculating the optical bandwidth (f_{3dB}), the device resistance (R_d) has been ignored as the device is operated by reverse bias (0 V \rightarrow -2.0 V). Moreover, the inductance (L) is related to the packaging which has been ignored. Likewise, the parasitic capacitance (C_p) from the device bond pad has been ignored in this calculation, as it could be minimised by careful design. The device capacitance (C_d) is calculated by [34]

$$C_d = \epsilon_o \epsilon_r \frac{WL}{d} \quad (17)$$

Where, ϵ_o is the vacuum permittivity, ϵ_r is the dielectric constant of the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs (11.065), W is the waveguide width (2.0 μm), L is the modulator length (46 μm), and d is the thickness of the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs (1000 nm). The calculated device capacitance (C_d) is ~ 9 fF which is extremely small and advantageous. As it was mentioned earlier, R which refers to the active resistance is the combination of the access resistance (R_{acc}) and the line resistance (R_{in}). The line resistance (R_{in}) is estimated to be $\sim 50 \Omega$ and the access resistance (R_{acc}) is calculated by [32]-[35]

$$R_{acc} = \frac{\rho L}{W_{Lp}} + \frac{\rho L}{W_{Ln}} \quad (18)$$

Where, L is the EAM length, W_{Lp} is the p-contact width, W_{Ln} is the n-contact width and ρ is the electrical resistivity which is the inverse of electrical conductivity and is calculated by [34]

$$\rho = \frac{1}{\delta} \quad (19)$$

Where, δ is the electrical conductivity and is calculated by [34],[35]

$$\delta = eN_d \quad (20)$$

Where, e is the electron charge and N_d is the doping density. The calculated access resistance (R_{acc}) for the p-contact layer ($\sim 7.19 \Omega$) and the n-contact layer ($\sim 1.92 \Omega$) is $\sim 9.11 \Omega$. As the R_{acc} ($\approx 9.11 \Omega$) and R_{in} ($\approx 50 \Omega$) are in series in the electrical equivalent circuit of the waveguided ISBT-based $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs EAM; therefore, the R_{Total} ($R_{acc} + R_{in}$) is $\sim 59.11 \Omega$ which is very small and advantageous. By utilising the calculated active resistance ($R_{acc} + R_{in}$) and active capacitor (C_d), the optical bandwidth (f_{3dB}) is calculated to be ~ 300 GHz which is extremely large and advantageous. By employment of the On-Off keying as the simplest method of ASK (Amplitude-Shift Keying) modulation, an extremely fast data rate of ~ 600 Gbps can be achieved; however, by employing more advanced modulation schemes such as QAM (Quadrature Amplitude Modulation), higher data rate (≥ 1 Tbps) could be attained. It must be noted that the extremely high calculated bandwidth of ~ 300 GHz is principally the outcome of the employed very narrow waveguide width (2 μm), very small device capacitance ($C_d \approx 9$ fF) and small access resistance ($R_{acc} \approx 9.11 \Omega$); however, in practice, by considering all practical factors, the attainable actual electrical bandwidth is ≥ 100 GHz which is highly beneficial. Fig.10. shows the rate of change of the RC-limited frequency response (f_{3dB}) in a 50Ω system for different EAM lengths including 46 μm , 60 μm , 70 μm , 80 μm , 90 μm and 100 μm .

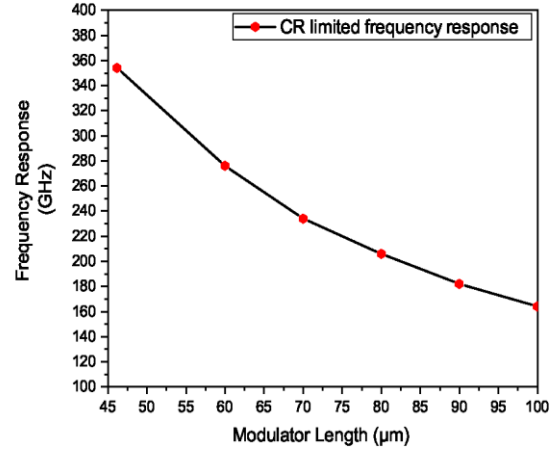


Fig.10. The rate of change of the RC-limited frequency response (f_{3dB}) in a 50Ω system for different electro-absorption modulator lengths including 46 μm , 60 μm , 70 μm , 80 μm , 90 μm and 100 μm .

The EAM length has direct relationship with the device capacitance (C_d); therefore, when the length of the EAM is increased, the device capacitance (C_d) is also increased. Moreover, when the device capacitance (C_d) is increased, the RC-limited frequency response (f_{3dB}) is decreased largely. In the 50Ω system, when the length of EAM is $\sim 46 \mu\text{m}$, the achieved f_{3dB} bandwidth is ~ 354 GHz; however, when the length of the EAM is increased to 100 μm , the achieved f_{3dB} bandwidth is decrease to ~ 164 GHz; thus, when the length of the EAM is increased, the RC-limited frequency response (f_{3dB}) as well as data rate are decreased significantly.

Table 1 represents the comparison between LiNbO_3 MZI (Mach-Zehnder interferometer modulator), IB-based InP EAM and the proposed ISB-based InP EAM based on ISBT in an $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs.

	LiNbO_3 MZI	IB-based InP EAM	ISB-based InP EAM
Size	Large (several centimetres)	Small ($<500 \mu\text{m}$)	Small ($<500 \mu\text{m}$)
Drive voltage	Medium to low ($>5\text{V}$)	Low ($<3\text{V}$)	Low ($<2\text{V}$)
Bandwidth	Very high (~ 100 GHz)	Very high (~ 80 GHz)	Very High (~ 300 GHz)*
Extinction Ratio	$>20\text{dB}$	$>20\text{dB}$	$\geq 10\text{dB}$
Insertion loss	Low	Moderate	Moderate (~ 5.1 dB)

*The anticipated actual electrical bandwidth is ≥ 100 GHz.

The investigated ISB-based InP EAM based on ISBT in $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs with an operating wavelength of 1.55 μm in this paper, is smaller than the LiNbO_3 MZI. Moreover, it offers larger bandwidth and requires lower drive voltage in comparison to the LiNbO_3 MZI and IB-based InP EAM; however, the proposed ISB-based InP EAM offers lower extinction ratio and larger insertion loss.

VII. CONCLUSION

In conclusion, we have investigated theoretically a waveguided EAM using ISBT in $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs lattice-matched to InP with an operating wavelength of 1.55 μm which offers ultrahigh speed and moderate voltage swing. The desired ISBT (~ 0.8 eV) at 1.55 μm can be achieved in an $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs with outer left barrier ($\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$) width of 10 nm, left stopping layer (AlAs) width of 1 nm, left well ($\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}$) width of 3 nm doped to $1 \times 10^{17} \text{ cm}^{-3}$, inner barrier (AlAs) width of 3 nm, right well ($\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}$) width of 2 nm doped to $1 \times 10^{17} \text{ cm}^{-3}$, right stopping layer (AlAs) width of 1 nm and outer right barrier ($\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$) of 30 nm. Likewise, the beneficial optical waveguide structure by employing 1.43 nm InAlAs doped to $2 \times 10^{18} \text{ cm}^{-3}$ and 70 nm InGaAlAs $2 \times 10^{18} \text{ cm}^{-3}$ as both top and bottom cladding layers and 1000 nm (20 period of 50 nm) $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs (active region) as core region was investigated and designed. Also, the temperature dependency in the proposed $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs lattice-matched to InP was investigated at various temperatures from 300 K to 400 K and evidently the InP-based ISB modulator offers much better temperature stability (~ 0.05 nm/C) compared to the InP-based IB modulator. Likewise, E2-E3 ISBT in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs is more advantageous transition in comparison to the E1-E4 ISBT principally due to the offered better temperature stability. Furthermore, rapid changes in ISBA coefficient in the $\text{In}_{(0.53)}\text{Ga}_{(0.47)}\text{As}/\text{AlAs}/\text{AlAs}_{(0.56)}\text{Sb}_{(0.44)}$ A-CDQWs with applied reverse bias (0 V \rightarrow 2 V) were achieved. The EAM investigated and analysed here is predicted to have a RC-limited speed ($f_{3\text{dB}}$) of ~ 300 GHz with insertion loss of 5.1 dB, 10 dB extinction ratio and 5.18 dB/V modulation efficiency at a peak-to-peak voltage of 2.0 V which can support a data rate of up to ~ 600 Gbps and beyond. It must be pointed out that by reducing the EAM length, a very low insertion loss of ≤ 1 dB could be attained which results in larger optical bandwidth ($f_{3\text{dB}}$) as well as higher data rate. Moreover, by employing more advanced modulation schemes such as QAM, higher data rate (≥ 1 Tbps) could be achieved.

ACKNOWLEDGMENT

The authors wish to thank Dr. Michael Robertson of the Huawei Technologies Research and Development UK for supportive discussions, helpful comments and suggestions in the preparation of this paper.

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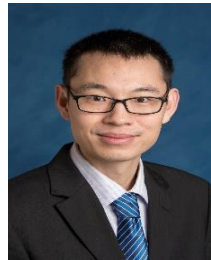
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