### 1 Negligible impacts of early COVID-19 confinement on 2 household carbon footprints in Japan

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21 Rapid and large-scale changes in household consumption patterns during the 22 COVID-19 pandemic can serve as a natural experiment to explore the 23 environmental outcomes of changing human behavior. Here, we assess the 24 carbon footprint of household consumption in Japan during the early stages of the COVID-19 pandemic (January-May 2020), which included moderate 25 confinement measures. COVID-19 confinement measures in Japan, and 26 27 associated lifestyle change, did not have a significant effect on the overall 28 household carbon footprint compared with 2015-2019 levels. However, there 29 were significant trade-offs between individual consumption categories, with 30 carbon footprint increasing for some (e.g. eating at home), while declining (e.g. 31 eating out, transportation, clothing, entertainment) or remaining relatively unchanged for others (e.g. housing). Furthermore, carbon footprint patterns 32 33 between age groups were largely consistent with 2015-2019 levels. However, 34 changes in food-related carbon footprints were visible for all age groups since 35 March, and in some cases since February.

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Keywords: Decarbonization, Input-output analysis, Greenhouse gas
 emissions, Natural experiment

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## 42 INTRODUCTION

The coronavirus disease 2019 (COVID-19) emerged in the late 2019<sup>1</sup>, and has since caused an unprecedented disruption of social and economic activity globally. Billions of people were forced to change on short notice their behavior and lifestyle, including how they live, work and socialize. Responses to the COVID-19 outbreak have varied significantly between countries, reflecting the very different national approaches and policies seeking to prevent or mitigate the spread of the disease. Some of the most common measures have included tele-commuting, scaling down (or even halting) of economic activity (e.g. services, industry), and stay-at-home orders of variable severity between countries<sup>2</sup>. Although a wide array of different control measures has been applied, at the time of writing this paper according to the World Health Organization (WHO), there have been nearly 83.3 million confirmed cases in 220 countries<sup>3</sup> and a second and third wave of infections in many countries.

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57 Since the early phases of the pandemic, studies have noted that these major 58 changes in human activity have had important economic and social 59 ramifications<sup>4,5</sup>. This in turn seems to have had significant implications for the 60 environment through the disruption of aggregate demand and global trade<sup>62</sup>. For example, studies have estimated substantial short-term decreases in 61 Greenhouse Gases (GHGs) emissions<sup>6,7</sup> nationally and globally, as well as 62 locally in some emission hotspots<sup>8-11</sup>. However, the observed changes in 63 socioeconomic activity might have more pronounced and long-term 64 65 environmental implications, for example by derailing current progress to (or providing new opportunities for) energy transitions and decarbonization<sup>12,13,37</sup>. 66 Furthermore, many of the actual environmental outcomes seem to vary 67 68 substantially between countries, depending on their different approaches to containment measures<sup>14-15</sup>. Most of the studies mentioned above have 69 70 explored the environmental outcomes of the COVID-19 pandemic through 71 measuring directly environmental variables or identifying macro-level patterns 72 associated with changes in aggregate economic and social activity. It can thus 73 be argued that they such studies have mainly adopted a production 74 perspective.

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76 However, there has been very little evidence of the possible environmental 77 outcomes of the COVID-19 pandemic from a micro-level or consumer 78 perspective, for example, by exploring quantitatively shifts in consumption 79 patterns due to changes in the lifestyles of individuals and/or households. In 80 the past, many studies have used such a lens to explore the direct links between the lifestyles of individuals/households, their consumption choices and 81 impact on the environment<sup>16,17</sup>, e.g. carbon footprints of current and future 82 lifestyles in the UK<sup>18</sup>, USA<sup>19</sup>, China<sup>20,21</sup>, and Japan<sup>22</sup>, among others. Other 83 84 studies have identified the very diverse factors mediating the environmental impacts of lifestyles and consumption practices such as household type<sup>23</sup>, 85 income/wealth (and related inequalities)<sup>24-28</sup>, and demographic processes (e.g. 86 87 aging)<sup>29-31</sup>.

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89 At the same time it has been argued that by transitioning to more sustainable 90 lifestyles such as those characterized by lower mobility and/or consumption, 91 could have major environmental benefits by decreasing overall energy 92 consumption, GHG emissions and environmental degradation<sup>29,32-34,17,35</sup>. For 93 example studies have pointed to the environmental dividends that a voluntary "downsizing" of the lifestyle has, without necessarily compromising the quality 94 95 of life<sup>36,37</sup>. However, despite the wealth of micro-level studies exploring the 96 environmental outcomes of observed (and not simulated) lifestyle changes, 97 these studies tend to have a piecemeal approach by focusing on small populations and/or distinct practices (e.g. mobility, dietary transitions)<sup>38</sup>. 98

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Conversely most studies exploring the environmental outcomes of large-scale
 lifestyle changes have either relied on simulations or long-term historical data<sup>39</sup>.

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102 Based on the above, the aim of this paper is two-fold. First it assesses the 103 changes in the direct and indirect GHG emissions associated with household consumption (carbon footprint) due to the large-scale lifestyle shifts during the 104 105 early stages of the COVID-19 pandemic. Second, by viewing these shifts through the lens of a natural experiment<sup>40</sup>, it critically discusses the implications 106 of possible large-scale lifestyle changes for decarbonization. This reflects the 107 108 emerging view of many environmental scientists that the COVID-19 pandemic 109 is an unprecedented natural experiment (e.g. Global Human Confinement 110 Experiment)<sup>40</sup> that can provide profound insights about the environmental 111 outcomes of large-scale changes in human activity due to its extensive and 112 rapid effects on socioeconomic activity and human behavior<sup>40</sup> (Anthropause).

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114 This study focuses on Japan, which offers an ideal setting in terms of its significant contribution to anthropogenic climate change, its distinct 115 demographic/socioeconomic characteristics, and its response to the outbreak 116 using much milder control policies compared to other countries. On the one 117 hand, Japan is the world's 3<sup>rd</sup> largest economy and 5<sup>th</sup> largest GHG emitter, 118 119 with a highly affluent and consumerist society. On the other hand Japan had a 120 relatively unique response to the early COVID-19 outbreak, which did not entail 121 a full and strict lockdown, instead influencing the restriction of usual behavior 122 through mild measures<sup>41</sup>. This makes Japan arguably a better proxy of a more 123 "reduced activity" lifestyle compared to most other developed countries that endured more severe measures. Furthermore, Japan has been undergoing 124 125 profound demographic changes in terms of aging, with the proportion of persons >65 years old increasing from 10% in 1985 to 28.1% in 2018 (one of 126 the highest such fractions in the world)<sup>42</sup>. This makes Japan an ideal setting to 127 128 explore the age-differentiated environmental outcomes of lifestyle change, 129 considering the observed trends towards higher affluence, consumerism, and 130 population ageing in many parts of the developed and developing world<sup>17</sup>.

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132 In summary, we assess the carbon footprint of lifestyle changes for the period 133 January-May 2020 across a set of constituents of household consumption for 134 different age groups, and compare it with 2015-2019 levels. We use 135 Environmental Extended Input-output (EEIO) analysis and data from a nationally representative sample around 7,500 households, collected monthly 136 137 by the Statistics Bureau, Ministry of Internal Affairs and Communications of 138 Japan. The study period consists of three relatively distinct time intervals 139 characterized by (a) lack of any marked lifestyle change (January-February), 140 (b) moderate visible lifestyle change (March), and (c) more pronounced 141 changes during an initially partial and subsequently national state of emergency 142 (7 April-25 May) (Figure S1, Supplementary Material).

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### 144 **RESULTS**

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146 **Carbon footprint fluctuation and trade-offs** 

Figure 1 shows the total carbon footprint associated with the different 147 148 components of household consumption in Japan for 2020 (red lines) compared 149 to 2015-2019 levels (green/yellow areas), and the major constituent of each 150 consumption component for 2020 (pie charts). Overall, the results suggest that 151 the total carbon footprint has not changed throughout the period of January-May 2020 compared to the five previous years (2015-2019). Indeed, the total 152 153 monthly carbon footprint for 2020 (red line) has remained with within the window 154 of the carbon footprint of household consumption in the period 2015-2019 (green area) (Figure 1T). However, it is possible that lifestyle change 155 156 decreased slightly the carbon footprint for the months of April and May 157 considering that it reaches the upper bound of the 2015-2019 carbon footprints 158 for these months.

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### <lnsert Figure 1 here >>

162 When looking at the disaggregated carbon footprint for individual consumption 163 categories, as expected, there are large overall the carbon footprint declines 164 for activities affected by the confinement measures such as eating out (Figure 11), entertainment (Figure 1S) and clothing (Figure 1O). On the contrary, as 165 166 expected, the carbon footprint for most consumption categories associated with 167 eating at home increased substantially (Figure 1A-H). For all these 168 consumption categories the footprint changes from 2015-2019 levels are very 169 pronounced for March and April, which signify the months of major lifestyle 170 change. However, the footprints for these consumption categories increased 171 rapidly in May, which signifies the end of the confinement measures, through 172 not reaching the levels of previous year.

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174 The total transport-related emissions (both direct and indirect) followed similar 175 trajectories as the five years before the outbreak (albeit a bit elevated in 176 January-February), but fell well below the levels of previous years during April 177 and May, when the confinement measures affected travelling patterns for large 178 segments of the population (Figure 1Q). This decline is mainly due to 179 decreases in gasoline consumption for private vehicles, which fell 18% below 180 the lowest emission levels of the five previous years. This seems to imply that 181 even without mandatory control measures, Japanese residents decreased 182 substantially their private vehicle using even during the Golden Week in May, 183 which is the major holiday period in Japan.

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185 Surprisingly, despite this reduced activity lifestyle, the carbon footprint of 186 housing-related consumption categories such as accommodation, electricity, gas, heating, and sewerage remained largely within the range window of the 187 past five years with some small exceptions (Figure 1K-N). Albeit the carbon 188 footprint of most these consumption categories hovered at the higher end of 189 190 the past footprint spectrum (except for gas), especially during the confinement 191 measures, they did not show any significant variation despite the larger amount of time that residents spent at home. The reason might have been the 192 193 decreasing demand for space heating due to the regular seasonal warming 194 from March have weekended the COVID-19' impact on housing related 195 emission, rather than any unusually high temperatures compared to previous 196 years (Figure S2, Supplementary Material). The carbon footprint of other household consumption categories such as medical services and education,
were close to past footprint levels, with the former staying at the higher end of
the spectrum and the latter at the lower end of the spectrum (Figure 1P, R).

These patterns suggest two major things. First, despite the major lifestyle changes, the aggregate carbon footprint of household consumption seems to have remained relatively constant compared to previous years, with some signs of slight increase. However, there were very pronounced and changes in the carbon footprints of some consumption sub-component, which started bouncing back to the levels of previous years very rapidly after the lift of the state of confinement measures, such as eating out, clothing and entertainment.

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### 214 Age-differentiated carbon footprints.

Figure 2 and 4 show the carbon footprint of non-food and food household consumption categories respectively, differentiated by age group. Figure 3 provides a more disaggregated view of the age-differentiated emissions related to the demand on energy, sewage and transportation.

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220 Consistent with aggregate carbon footprint trends (**Figure 1**), the carbon 221 footprint for most non-food household consumption categories remained almost 222 within previous years' footprint limits for all age groups. However, there have 223 been some major differences between consumption categories as explained 224 below.

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First, similar to the aggregate carbon footprint (**Figure 1**), the largest carbon footprint decreases observed during the pandemic across all age groups are: clothing (**Figure 2F**), transportation (**Figure 2H**), and communication, entertainment and relaxation (**Figure 2J**). For these consumption categories their 2020 emission levels started falling below the 2015-2019 levels from March 2020 onward (since the early parts of outbreak in Japan), and further reduced very significantly in the subsequent months across all age groups.

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234 Second, the age-differentiated carbon footprints for housing and related energy 235 use (Figure 2A-D) seem to have remained within the previous years' footprint 236 limits during the pandemic period, despite major changes in working conditions 237 (i.e. promotion of remote working) and socialization activities (i.e. request by 238 Japanese government to avoid crowding). Regardless of the month and age 239 group, the main elements of housing-related emissions are from electricity and 240 natural gas (Figure 3), which might explain the increase by age in Figure 2D. 241 When looking in more detail energy use patterns (Figure 2B-D, Figure 3), as temperature increases into the spring season, heating demand decreases 242 243 appreciably. Interestingly, emissions linked to sewage show a slight increase 244 in April 2020 among age groups >45 years old compared to previous years, but it is not clear why this happens. While it could be due to increased hand 245

washing for sanitary purposes, the lower than average sewage emission in Mayfor all groups might challenge this hypothesis.

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249 Third, we observe a pronounced decline in transportation-related emissions in 250 May, when the confinement measures affected travelling patterns for large segments of the population, and especially groups between 40-64 years old. 251 252 Interestingly the transportation emissions of younger groups in May are similar 253 to previous years, while much more reduced for elderly groups, possibly implying normalization of travelling activities for the former during Golden Week 254 255 (which is the main holiday period in Japan) and continuation of a more "reduced 256 activity" lifestyle for the latter.

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258 When looking more closely into the different food consumption categories, 259 some interesting patterns emerge (Figure 4). First, although the confinement 260 measures were implemented in April and May, changes in food-related carbon footprints were visible for all age groups since March, and in some cases since 261 262 February (see below), considering that Japan was one of the first countries to record COVID-19 infections. While it is possible that some of the increase 263 264 consumption (and related carbon footprint for some food categories) came from 265 panic buying in February and March as possibly implied by the increased 266 footprint of starchy and processed food that reached the emission levels of 267 previous years (Figure 4A, F), there have also been very visible increases 268 during April-May 2020 from more perishable items such as red meat, eggs and 269 dairy, and fresh vegetables and fruit (Figure 4C-E). There is a marked and 270 consistent increase in the carbon footprint of eating at home across all age 271 groups, with the April 2020 levels being consistently higher than the highest related footprint of the past five years. In contrast, there are exactly the opposite 272 273 consistent patterns for the carbon footprint of eating out (Figure 4B). However, 274 we have to point that we cannot infer through these results whether dietary 275 change took place during the confinement measures, and its effect on GHG 276 emissions. This is because all of the distinct food categories in Figure 4 relate 277 to eating in, as in the FIES survey expenses for "eating in" is divided across 278 food item categories. However, in the FIES survey "eating out" is captured as 279 a single block expense category not differentiated by food item. In other words, 280 the results of Figure 4 should not be used to elicit whether dietary change 281 occurred, and the associated changes in emissions. 282

283 Finally, when looking more closely the footprint of the different age groups we 284 see some interesting patterns. The most important is that despite some 285 differentiation in the footprints of individual age groups for some specific consumption categories, there is no major change in group ranking/order for 286 the aggregate footprint and almost all individual consumption categories, 287 288 except for transportation demand. This suggests that no age group altered 289 disproportionally its behavior during the period of confinement measures, when 290 compared to behavior in previous years, and only the younger household 291 cannot wait for going out in May but the elderly generation still lead a "reduced 292 activity" lifestyle.

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### 295 **DISCUSSION**

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### 297 Negligible carbon footprint impacts of lifestyle change

The results strongly imply that lifestyle change during the COVID-19 outbreak period did not have an appreciable effect on the carbon footprint of household consumption in Japan, apart from a small decline below past levels for May (**Figure 1**). This finding based on micro-level data comes in contrast to macrolevel studies suggesting that in the same period the decline in economic activity and trade around the world during the COVID-19 outbreak precipitated large overall declines in production-side GHG emissions<sup>8,43-46,62</sup>.

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This suggests the rather different trajectory of GHG emissions patterns from the household sector, compared to other economic sectors, at least during the early months of the COVID-19 pandemic (February–May 2020). However, we cannot preclude the possibility of more substantial emission reductions in the medium-to-long term due to reduced household consumption influenced from a possible economic downturn on the aftermath of the COVID-19 outbreak<sup>47</sup>.

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313 Lifestyle change has had relatively consistent effects on age-differentiated 314 carbon footprints. Even though the absolute carbon footprint levels are higher, 315 on average, for more elderly groups, there does not seem to be any major shift 316 in the ranking of carbon footprints between age groups (Figure 2 and 4). It is 317 worth noting, that elderly groups have the highest per capita carbon footprints, 318 especially for energy-related categories regardless of the month and the year 319 (e.g. pandemic vs. regular year). This generally higher emissions of elderly households has been pointed in other studies in Japan<sup>29,31,48</sup>, and is mainly due 320 321 to due to higher heat needs and cooking<sup>49</sup>. In our case, the transport-related 322 emissions of elderly households remain low level even after the emergency 323 declaration in May, while the total footprint is not significantly affected as neither 324 emissions from electricity and food consumption show a substantial decline 325 compared to previous years.

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### 328 Trade-offs among consumption categories

329 Lifestyle change does not seem to have precipitated uniform and proportional 330 changes in carbon footprints across consumption categories. Instead, there 331 seem to be a substantial variation in carbon footprint patterns among 332 consumption categories, with the main observed carbon footprint trade-offs 333 observed between consumption categories associated with eating at home 334 (major increase) and eating outside, transport, clothing and entertainment 335 (major declines). Surprisingly, and with few exceptions, the "reduced activity" 336 lifestyle does not seem to have affected substantially the carbon footprint of 337 housing, despite the opposite trends being visible in some other developed 338 countries<sup>50</sup>.

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Despite people spending more time at home, the lack of any major changes in
the carbon footprint of housing (and other related consumption categories)
might be explained by the timing (spring) and seasonality of energy
consumption in Japan<sup>31</sup>. Heating and cooling are the largest contributors of

344 housing-related emissions in Japan<sup>31</sup>, but the mild weather during late spring 345 in Japan reduces the need for both heating and cooling, as it is also guite 346 evident in past footprint patterns for these categories (**Figure 1K-M**). It is worth 347 mentioning that the 2020 spring period did not experience any abnormal 348 warming, with the average temperatures being rather similar to past years (Figure S2, Supplementary Material). However, we cannot preclude that a 349 350 "reduced activity" lifestyle could increase housing-related carbon footprint 351 during the winter or summer due to the higher demand for heating and cooling 352 respectively.

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354 The most pronounced carbon footprint shifts are linked to changes in eating 355 habits, and especially the large increase in eating at home. This seems to have 356 negated any carbon footprint gains from other consumption categories due to lifestyle change, with these changes being largely consistent between all age 357 358 groups (Figure 4). Despite some evidence of precautionary food purchasing 359 during the early part of the outbreak (i.e. indicated by carbon footprint increases 360 for processed and starchy food in February and March), the subsequent 361 increase in consumption and carbon footprints of perishable food items shows 362 a rather clear-cut change in eating habits during the study period. This is guite 363 visible in the large increase of the carbon footprint of emission-intensive food 364 categories such as red meat, dairy and eggs<sup>39</sup>, especially after March. Even 365 though it is not possible to confirm possible dietary change from this highly 366 aggregated data, such shifts might have happened, and can have major 367 environmental ramifications considering that Japan imports most of these food 368 items from other countries<sup>51</sup>.

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### 370 Implications for decarbonization

371 Before exploring the implications of this study for decarbonization efforts through the lens of a natural experiment, we should first acknowledge two 372 373 important points. First, as outlined in the Introduction Japan offers a rather 374 interesting case for exploring the ramifications of reduced social and economic 375 activity, as the confinement measures were rather moderate and largely 376 voluntary<sup>41</sup>. Thus, compared to other countries they could in theory reflect 377 better a possible switch to a "reduced activity" lifestyle. However, at the same 378 time Japan has some specific characteristics that might affect generalization to 379 a degree. These include its mild spring, relatively small homes, and lower 380 reliance on car use, especially in large metropolitan areas such as Tokyo where 381 a large proportion of the population resides.

382

That said, our results suggest that contrary to other economic sectors and 383 geographical contexts<sup>8,43-46,62</sup>, there seem to be no obvious short-term 384 environmental benefits from the lifestyle change in the Japanese household 385 sector during the COVID-19 confinement measures. In our mind this has a 386 387 major ramification when seeking to contribute to decarbonization through lifestyle change, in that environmental benefits might not materialize simply by 388 389 adopting a "reduced activity" lifestyle. In fact, the evidence suggests that there 390 was a simultaneous shift in consumption patterns, which seems to have 391 practically negated any environmental benefits, at least in the short-term. 392 Furthermore, the quick bounce of carbon footprints to pre-confinement levels 393 strongly implies that any changes might be easily reversible.

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395 This seemingly minor environmental effect of this involuntary change in 396 consumption patterns across all age groups seems to be in stark contrast with 397 the pronounced positive environmental outcomes of voluntary lifestyle 398 changes<sup>36,37</sup>. In this sense we see two major ramifications of our results for 399 influencing decarbonization through lifestyle change. First, in our mind it re-400 affirms the real importance of education to foster more sustainable lifestyles and prolonged shifts in consumption patterns<sup>17,39,52</sup>, if lifestyle change is to 401 402 contribute meaningfully to decarbonization efforts. Second, considering the 403 larger per capita footprints of the ever-increasing elderly population, future 404 decarbonization efforts through lifestyle change should focus on emission-405 intensive household demand, such as space and water heating, and private car 406 using.

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### 409 **Future perspectives**

410 Future studies should seek to bridge some of the limitations of this study. 411 Methodologically these include the inability to consider properly the carbon 412 intensities of imported goods and the consumption of single-person households 413 (see Limitations in Methods). The former would require the development of 414 multi-regional input-output (MRIO) tables that have high sectoral resolution and 415 employ recent datasets that can capture well national economic structure, 416 going beyond simple calculations based on GDP change. This is to our best 417 knowledge a major research gap for Japan, with most current studies unable to use such high-resolution MRIOs<sup>22,53</sup>. The latter would possibly require 418 419 dedicated primary data collection campaigns from nationally representative 420 singe-person households, as these are not considered in the underlying 421 consumption datasets collected by the Japanese government and used in this 422 study (see Methods).

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424 More broadly studies should seek to explore the effects of different confinement 425 measures on GHG emission changes due to lifestyle changes. Arguably, as 426 outlined in the introduction Japan's confinement measures have been rather 427 mild compared to other developed countries, which in our mind make them a 428 better approximation of "reduced activity" lifestyles. However, comparative 429 studies across different countries could provide a better micro-level evidence 430 of how the "Anthroposause" has affected the environment, which would 431 complement better the emerging studies from the macro-level<sup>54-56</sup>.

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## 433 **EXPERIMENTAL PROCEDURES**

- 434
- 435 **Resource availability**
- 436 427

### 437 Lead contact

438 Further information and requests for resources should be directed to and will

- 439 be fulfilled by the lead contact, Yin Long at <a href="https://www.long.action.com">long.action.com</a> gmail.com
- 440 *Materials availability*
- 441 This study did not generate new unique materials.
- 442 Data and code availability

443 The dataset used for this paper has been uploaded to the figshare data 444 repository, where it is freely available

- 445 (https://doi.org/10.6084/m9.figshare.14211989.v1).
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#### 447 Carbon footprint of household consumption

Household consumption emits GHGs both directly and indirectly. The direct 448 449 emissions are due to the actual consumption of fuel such as natural gas and 450 petroleum products by households. Indirect emissions refer to the emissions 451 embodied in the different goods and services consumed by households such 452 as food and consumer products. Thus, the total carbon footprint of household consumption ( $E^i$ ) is estimated as the sum of direct ( $E_d^i$ ) and indirect emissions 453  $(E_{cf}^{i})$  (Eq.1). 454

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$$E^i = E^i_d + E^i_{cf} \tag{1}$$

In this study we estimate the total carbon footprint of household consumption 458 459 for the period January-May 2020, and compare it with 2015-2019 levels to 460 identify the effect of lifestyle changes during the first COVID-19 confinement measures in Japan. The GHGs considered in the calculations include CO<sub>2</sub>, 461 462 CH<sub>4</sub>, N<sub>2</sub>O, HFC<sub>5</sub>, PFC<sub>5</sub>, SF<sub>6</sub> and NF<sub>3</sub>. 463

#### 464 Indirect emissions

465 Many studies have argued for the importance of tracking indirect emissions 466 when the environmental consequences household evaluating of 467 consumption<sup>57-61</sup>. Indirect emissions can be estimated through Environmental Extended Input-output (EEIO) analysis<sup>29,53,62-64</sup>, which involves the use of an 468 469 economic input-output table (IO-T). IO-Ts have been originally used to estimate economic transactions among industrial sectors<sup>65-67</sup>. However, subsequently 470 471 they have found applications in environmental impact assessment, as a means 472 of tracking indirect energy flows and emission transfer.

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474 In the EEIO model, the relationship between final consumer demand and its 475 environmental impacts can be expressed through Equation (2): 476

 $X = (\mathbf{I} - A)^{-1} F$ (2)

477 ...where X is the vector of domestic production, I is the identity matrix, A is the 478 input coefficient matrix, and F is the vector of final demand. When the effects 479 of imported goods are considered, then the emission intensity of economic sectors is instead calculated using the  $(I - A^d)^{-1}$  type, which refers to inverse 480 matrix coefficients of "non-competitive import type" used for analysis when the 481 input ratios of imports vary between sectors<sup>68</sup>. When considering the effect of 482 483 imports, Equation (2) is modified into Equation (3):

$$\boldsymbol{X} = (\mathbf{I} - \boldsymbol{A}^d)^{-1} \boldsymbol{F}^d \tag{3}$$

...where  $A^d$  and  $F^d$  represent the vectors of domestic input coefficients and 485 domestic final demand respectively. Then, by combining with the household 486 487 consumption inventory, indirect emissions embodied in consumption are 488 quantified following Equation (4):

489 
$$E_{cf}^{i} = \sum_{j=1}^{i} e_{j}^{i} * \left(\mathbf{I} - \mathbf{A}^{d}\right)^{-1} * Ep^{i}$$
(4)

490 ...where  $E_{cf}^{i}$  indicates the household carbon footprint by consumption item *i*; 491  $Ep^{i}$  refers to monetary consumption on consumption item *i*;  $e_{j}^{i}$  is the direct 492 emission intensity of consumption item *i*'s GHG emission *j*. By multiplying the 493 Leontief Inverse Matrix, the direct emission intensity is converted into indirect 494 emission intensity, i.e.  $\sum_{j=1} e_{j}^{i} * (\mathbf{I} - \mathbf{A}^{d})^{-1}$  denoting the indirect emission 495 intensity of item *i*.

### 497 **Direct emissions**

Direct emissions are due to the use of fossil fuel, such as natural gas and other petroleum products. For this study we include the emissions associated with the use of city gas (pipe gas), liquefied petroleum gas (LPG), kerosene and gasoline. Japanese households do not use coal directly, while kerosene is an important fuel for space heating especially in the mountainous regions<sup>49</sup>. The direct emission is estimated through Equation (5),

$$E_{y,m}^{dr} = \sum_{i=1}^{i} e_t^i * Ep_{y,m}^i * Upc_{y,m}^i$$

(5)

506 ... where  $E_{y,m}^{dr}$  indicates the total direct household emissions in year y month 507  $m, Ep^i$  the direct monetary on fuel *i*,  $Upc_{y,m}^i$  the unit price of fuel *i* in year y 508 month *m*, and  $e_t^i$  the emission intensity of fuel *i* in year y derived from hthe 509 Agency for Natural Resources and Energy by year<sup>69,70</sup>.

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511 To analyze direct emission by household activities, we merged the four direct 512 emission types with the indirect emission inventory, and reclassified sectors 513 according to household demand. In more detail, gasoline emissions are merged 514 with other transportation-related indirect emission into the "Transportation and 515 communication" sector, city gas and LPG in gas-related emissions with indirect 516 up-stream emission, and kerosene into the "Other heating and lighting" sector.

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### 518 Datasets and Input-Output Tables

The base data for household consumption used to calculate the indirect and direct emissions comes from the monthly Family Income and Expenditure Survey (FIES)<sup>71</sup>, conducted monthly across Japan by the Statistics Bureau, Ministry of Internal Affairs and Communications. The FIES follows a standardized approach to capture the expenditures of a nationally representative sample of 7,500 households per month across the country.

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The data for the indirect emission intensity of household consumption comes 526 from the Embodied Energy and Emission Intensity Data for Japan Using Input-527 Output Tables (3EID), a database of Japan's sectoral intensity of life-cycle 528 529 environmental burdens. This is constructed from the Input-Output Tables for Japan using a EEIO model developed by Nansai et al (2002)<sup>72,73</sup>. Even though 530 the original model was developed in 2002, it is updated regularly based on the 531 532 Japan official input-output table. For our calculations we used the GHG 533 emission intensity for each final demand sector included in the last updated 534 version of the 3EID, developed for the year 2015.

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536 We select the 3EID, which is a single-regional input-output (SRIO) table, rather 537 than a multi-regional input-output (MRIO) table for two reasons: (a) higher 538 sectoral resolution; (b) most recent data availability. In more detail, the 3EID 539 has a much higher sectoral resolution (390 sectors) when compared with other 540 MRIOs such as WIOD (56 sectors) and EXIOBASE (200 sectors), which is closer to the structure of the FIES that contains 500 consumption categories. 541 542 This allows for a more comprehensive and fine-grain analysis of consumption 543 changes in the Japanese household sector, which provides a much better 544 corresponding between categories between model and data (see below). 545 Furthermore, the 3EID model has more recent data availability compared to 546 other SRIOs with similar sectoral resolution such as Eora (401 commodities). 547 In particular while both 3EID and Eora produce recent data, the latter produces 548 data that is an extension of estimates based on GDP and other information. 549 Thus, it does not reflect the latest Input-Output table structure information for 550 Japan, which is necessary considering the span of our study (2015-2020).

551

### 552 Calculation procedure

553 First, we extract from the 3EID dataset the data for the 390 sectors for the year 554 2015, as well as the corresponding emission intensities<sup>72,73</sup>. Second, we match 555 categories of the 3EID and FIES, as the classification of industries in the 3EID database differs from the classification of consumption elements in the FIES 556 557 expenditure data. We matched the data following the general approach outlined in Jiang et al. (2020)<sup>22</sup>, as shown in Table S1 (Supplementary Material) that 558 559 includes the major categories and cross-matching of FIES and 3EID. It should 560 be noted that there is no perfect match between the categories of the 3EID and 561 the FIES. Some 3EID categories such as waste management that are not 562 distinct household components in FIES are linked to consumption-relevant items such as municipal services. However, to avoid mismatching we have 563 564 excluded some of the FIES miscellaneous expenses such as allowances and 565 donations that cannot match well with 3EID sectors. According to our estimates, the average consumption ratio of these miscellaneous expenses was 4.65% for 566 567 the study months in 2020, which represents a rather minor fraction of overall 568 household consumption.

569

570 Third, we calculate changes in prices between years adjusting for inflation and 571 Consumer Price Index (CPI). Here, we applied the constant price of 2015 572 according to the annual inflation data derived from the Word Bank<sup>74</sup>. Monthly 573 average CPI is obtained from the Statistics Bureau of Japan<sup>75</sup>.

574

575 Fourth, we aggregate the obtained inventory of the 495 indirect emission items 576 and 4 direct emission items into 19 footprint elements, by month and age group 577 (see Table S2, Supplementary Material for 2020 levels). To understand 578 convergences and divergences with past emission patterns, we compare each 579 footprint elements per month and age group for 2020, with the maximum and 580 minimum such values between 2015 and 2019 (footprint range window).

581

Finally, it is worth mentioning that some of the interannual variation in emissions might be due to confounding factors related to climate and the economy. To test whether such confounding factors might have had an important effect on the results, we check for the study period in 2020 changes for three confounding factors related to the national economy and climate, namely GDP, household income, Engel's coefficient (i.e. proportion of income spend on food), and temperature. Overall, we find that these factors remain relatively constant between years, with no unnatural peaks or declines in the study period
 compared to previous years (Figure S3-S5, Supplementary Material).

### 592 **Methodological limitations**

593 Despite the high resolution of consumption categories and data quality, this 594 study has three main limitations, namely (a) the inability to apply distinct carbon 595 intensities for imported goods, (b) an inability to capture single-person 596 households, (c) the assumption of constant technology since 2015, and value 597 chain configurations since the onset of the COVID-19 pandemic.

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591

599 First, the 3EID is an emission inventory generated through the Japanese SRIO 600 table. This inherently means that the emission intensities used in this study 601 reflect only domestic goods (and their value chains). We also apply these 602 domestic emission intensities for imported goods, which inserts some level of 603 uncertainty to our results. As outlined above, despite the higher sectoral resolution and data quality expected by adopting the 3EID model, this omission 604 605 might underestimate the actual carbon footprint, as goods imported in the domestic market tend to have longer value chains, and thus higher GHG 606 emissions when compared to similar domestic goods<sup>76</sup>. However, apart from 607 the Global Link Input-output for 2005<sup>73</sup>, to the best of our knowledge no input-608 609 output table in the Japanese context has included multi-regional economic 610 interactions or other similar data in an appropriate manner. This inability to 611 consider properly emissions from imported good remains a broader gap in the 612 literature in recent decades.

613

614 Second, the underlying FIES datasets used in this study do not capture single-615 person households, as the most recent sample used in this study only contains 616 households with two and more members. Even though single-person households are very prevalent across all age groups in Japan<sup>71</sup>, they tend to 617 be more prevalent across younger age groups<sup>77</sup>, which are generally 618 619 associated with lower per capita emissions in the country (see also Results). At 620 the same time single-person households are associated with higher per capita emissions in Japan<sup>77</sup>. This means that it is difficult to predict what is the actual 621 effect of this omission from our calculations, in terms of overestimation, 622 623 underestimation or balancing out. Thus, considering the relatively large 624 prevalence of single-person households in the Japanese society<sup>78</sup>, some 625 caution should be exerted when generalizing the results of the analysis.

626

627 Third, considering that 3EID data is for 2015 it might be that technology effects 628 might lead to the over- or under-estimation of the carbon footprints when applied for other years<sup>73,79</sup>. Still we believe that these changes might be 629 relatively marginal considering that the technology improvement needs a 630 comparatively longer time to manifest<sup>80</sup>. One interesting phenomenon might be 631 632 the effect of COVID-19 in production and trade chains, considering the severe 633 economic disruptions. It is rather difficult to predict the effects of such changes 634 for household carbon footprints in Japan. Considering the exclusion of imported carbon intensities in our analysis as explained above they will not affect the 635 636 results of this analysis. In any case we expect them in reality to be marginal as 637 the confinement measures it is highly possible that most materials were supplied to the market before the confinement measures, and thus non-food 638

639 items (and possibly food items with long lives such as starchy and processed
640 food) will not have been affected by any changes in production value chains
641 due to existing stocks.

642

#### 643

### 644 Figure Legends

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647

**Fig.1 Total carbon footprint of household consumption** (in kg-CO<sub>2</sub>eq/cap). The red line indicates the 2020 GHG emissions for the different household consumption categories for each corresponding month. The yellow and green areas indicate the emissions ranges for the past five years (2015-2019). Pie charts indicate the main emission sources for each consumption category.



Fig.2 Carbon footprint of non-food consumption categories disaggregated by age group (in kg-CO<sub>2</sub>eq/cap). The lines indicate the 2020 GHG emissions for the different non-food household consumption categories for each corresponding month. The shades indicate the emissions ranges for the past five years (2015-2019).

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Fig.4 Carbon footprint of food consumption categories disaggregated by age groups (in kg-CO2eq/cap). The lines indicate the 2020 GHG emissions for 

667 the different food-related household consumption categories for each 668 corresponding month. The shades indicate the emission ranges for the past 669 five years (2015-2019). The concentric circles at the pie charts indicate for each 670 age group the proportion of eating at home (dark blue) and eating out (light 671 blue) to the food-related carbon footprint by month starting from January (inner 672 circles) to May (outer circles).

673

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679

### 680 AUTHOR CONTRIBUTIONS

Y.L. and G.A designed the study. Y.L. conducted the analysis. Y.L and A.G.
wrote the first draft of the manuscript. K.K., D.G and G.A revised the manuscript.

683 684

# 685 **DECLARATION OF INTERESTS**686 The authors declare no competing interests.

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