

1 Enhancing Colorectal Anastomotic Safety  
2 with Indocyanine Green Fluorescence  
3 Angiography: An update

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## 25 Abstract

26 Reducing anastomotic leak (AL) continues to be a main focus in colorectal research. Several  
27 new technologies have been developed with an aim to reduce this from mechanical devices  
28 to advanced imaging techniques. Fluorescence angiography (FA) with indocyanine green  
29 (ICG) in colorectal surgery is now a well-established technique and may have a role in  
30 reducing AL. By using FA, we are able to have a visual representation of perfusion which aids  
31 intraoperative decision making. The main impact is change in the level of bowel transection  
32 at the proximal side of an anastomosis and provide a more objective and confident  
33 assessment of bowel perfusion. Previous studies have shown that routine FA use is safe and  
34 reproducible. Recent results from randomized control trials and meta-analyses show that FA  
35 use reduces the rate of anastomotic leak. The main limitation of FA is its lack of ability to  
36 quantify perfusion. Novel technologies are being developed that will quantify tissue  
37 perfusion and oxygenation. Overall, FA is a safe and feasible technique which may have a  
38 role in reducing AL.

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40 Key Words:

41 Fluorescence angiography, fluorescence imaging, indocyanine green, near infrared,  
42 anastomotic leak, colorectal surgery

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## 57 1. Introduction

58 Despite advances in technology and greater precision in surgical technique,  
59 anastomotic leak (AL) continues to be the main concern for patients undergoing colorectal  
60 resectional surgery. Reported rates remain between 3-15% depending on the location of the  
61 anastomosis with higher rates for left sided or colo-rectal anastomoses [1]. Despite some  
62 variability in the exact definition of what constitutes an AL, the generally recognized grading  
63 system is that put forward by the International Study Group of Rectal Cancer[2]. It is known  
64 that AL causes an increase in patient mortality, morbidity, hospital length of stay, rates of  
65 re-operation, permanent stoma and financial burden[3]. Studies have shown that patient  
66 specific pre-operative risk factors such as obesity, smoking and chemotherapy increase the  
67 risk of AL[4,5]. A Delphi consensus by the Association of Coloproctology of Great Britain and  
68 Ireland (ACPGBI) classified risk factors into non-modifiable and modifiable[6]. Separately,  
69 identification of intra-operative factors that may pre-dispose to AL are a main focus of  
70 research. Intraoperative risk factors can be divided between patient and technical factors.  
71 Tumor size, distal location, blood loss, transfusion and duration of surgery > 4 hours have  
72 been shown to increase the rate of AL[7].

73 Perfusion of the anastomosis has also been shown to have an effect on healing [8,9].  
74 This is affected by a patient's pre-operative vasculature, the level of resection and surgical  
75 technique. One intraoperative factor which surgeons have control over is the level of colonic  
76 division and consequently the perfusion to the proximal side of an anastomosis. Several  
77 methods have been described to assess blood flow to the anastomosis. The simplest of  
78 these is a visual assessment looking for serosal discoloration, pulsatile bleeding at the cut  
79 edge of the bowel or flow from the marginal artery[10]. However, this can be inaccurate and  
80 provides no indication as to the microperfusion of the colon at the site of anastomosis.

81 Intra-operative fluorescence angiography (FA) has been shown to assess  
82 microperfusion of the colon though this has not been quantified[11]. This process requires  
83 the intravenous administration of the fluorophore indocyanine green (ICG) which binds to  
84 plasma lipoproteins, therefore remaining within the intravascular space until excretion in  
85 bile or urine. When ICG is excited by near infra-red light (NIR) it fluoresces. This fluorescence  
86 can be captured with an NIR camera indicating on a conventional screen the location of ICG  
87 and thus providing an estimate of tissue perfusion.

88 Numerous observational trials have demonstrated safety, feasibility and efficacy in  
89 assessing perfusion using ICG with promising results. This purpose of this review is to  
90 provide an update in the progress being made in this field.

## 91 2. Search strategy and selection criteria

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93 An electronic search of PubMed, Embase and the Cochrane library was performed between  
94 2005 and 2020 to identify the relevant literature for this review. Medical subject headings  
95 (MeSH) and text words were searched. The following search terms were used: “anastomotic  
96 leak” AND “colorectal” AND “fluorescence angiography”, “fluorescence imaging” or “ICG”.  
97 Peer reviewed papers in the English language available in full were included. Reference lists  
98 were reviewed to include any further relevant literature. A systematic review of papers  
99 between 2015 and 2020 was performed to identify new clinical research. Comparative  
100 studies with an endpoint of anastomotic leak were included. Unmatched observational  
101 studies were excluded. These papers formed the basis for this review. Ongoing clinical trials  
102 were identified from the searched literature, ClinicalTrials.gov and ISRCTN.

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## 104 3. Fluorescence Angiography in Colorectal Surgery

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### 106 3.1 Early Use of Fluorescence Angiography

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108 Fluorescence angiography (FA) has been used to assess bowel perfusion in colorectal  
109 surgery for more than 15 years. It provides a more objective assessment of perfusion  
110 compared to more traditional, subjective methods described above. Perfusion remains the  
111 most important factor in the healing of bowel anastomoses.

112

113 Kudzus et al, began their series in 2003 demonstrating significantly reduced rates of  
114 anastomotic revision in the FA group compared with a retrospective matched control, 3.5%  
115 vs 7.5% respectively[12]. This showed a significant difference in the two groups and  
116 provided an important first step towards better understanding the role of FA in reducing AL.  
117 With the increased availability of CT, we can now use radiologically confirmed anastomotic  
118 leak (AL) as an endpoint rather than clinical endpoints such as reoperation.

119 The seminal paper by Jafari et al, the PILLAR II trial, is probably most recognized as  
120 the study which proved the feasibility and safety of FA in left-sided colonic and rectal  
121 resection[13]. This multi-centered, prospective trial recruited 139 patients across 11 centers  
122 in the USA. Importantly, this showed that FA was reproducible across sites as usable images  
123 were acquired in 98.6% cases. The use of FA changed the resection level in 6.5% cases, and  
124 there were subsequently no leaks in this group. The overall AL rate was low at 1.4% which  
125 much reduced compared to the existing literature. In 2018, Ris et al published the results of  
126 their multicenter phase II trial from 2013-2016[14]. Much larger than the trials before it, this  
127 prospective study recruited 504 patients across 3 tertiary centers. Again, this showed good  
128 usability of the technology as NIR images were obtained in all cases. The FA group had an AL  
129 rate of 2.4% against 5.8% in an historical unmatched control group. FA led to a change in  
130 surgical plan in 5.8% cases, none of which had an AL. Although their series included  
131 operations where the anticipated proximal anastomotic perfusion would be a high, such as  
132 reversal of Hartmann's or ileo-rectal anastomosis, subgroup analysis for low anterior  
133 resection (LAR) showed an AL rate of 3%. They related this to an historical group of LARs  
134 which had an AL rate of 10.7%. Although caution must be taken when using historical  
135 groups these studies showed that FA was feasible, reproducible and changed intraoperative  
136 decision making. It also suggested that its use may reduce the rate of AL.

137 A systematic review of 5 early studies by Blanco-Colino and Epsin-Basany involved  
138 1302 patients[15]. While based on non-randomized retrospective studies it showed a  
139 significant reduction in AL rate when FA was used in patients undergoing surgery for  
140 colorectal cancer (OR 0.35; CI 0.16-0.74; p=0.006). In particular there was significant  
141 reduction in the AL rate in a less heterogenous sub-group, patients undergoing rectal cancer  
142 resection, 1.1% FA vs 6.1% non-FA (p=0.02).

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### 145 3.2 Recent Trials using Fluorescence Angiography

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147 Since this period there have been 8 published comparative studies, two of which are  
148 randomized control trials (RCTs). There is a wide variation in these studies as some include  
149 any colonic resection and others solely low anterior resection with TME (3/8). The trial  
150 protocols did differ in their administration of ICG with doses varying widely.

151           2 studies specifically looked at the use of FA in patients undergoing laparoscopic LAR.  
152 In 2017 Boni et al showed a reduction in AL for LAR with TME using FA in 42 patients against  
153 a retrospective matched cohort (0% vs 5%)[16]. These results were reproduced by Mizrahi  
154 et al in 2018[17]. In this study 30 patients undergoing LAR were evaluated against a  
155 comparable historical group. 4 patients (13.3) had their surgical plan changed after FA  
156 assessment. Their study had no leaks in the FA group and 2 (6.7%) in the comparative group.  
157 These studies demonstrate that FA use may be of benefit in a patient group more at risk of  
158 AL. The authors from both studies concluded that the use of FA was safe though a  
159 randomized study was is needed.

160           Losurdo et al used a propensity score-matching (PSM) system in their series to try  
161 and mitigate the inherent bias from the heterogeneity within their cohort of patients  
162 undergoing laparoscopic left sided colonic or colorectal resection, including patients with  
163 handsewn coloanal anastomosis[18]. Cases converted to open were excluded. Before  
164 matching statistically fewer patients in the FA group underwent reoperation for AL. A 1:1  
165 PSM system grouped 75 patients from each cohort. This score accounted for tumor stage,  
166 co-morbidities and baseline demographics. After matching there was a significant reduction  
167 in AL within the FA group, 9.3 vs 16.3% (p=0.058). A multicenter study by Watanabe et al  
168 used PSM in patients undergoing LAR[19]. 211 patients were matched in each group, FA and  
169 non-FA. Their study found a significant reduction in Clavien-Dindo (CD) Grade II and III  
170 anastomotic leakage.

171           At the time of this review there have been 2 RCTs looking at FA and AL. De Nardi et  
172 al published the first RCT in patients undergoing left sided or rectal resection[20]. In this  
173 multi-center trial 252 patients were randomized and after exclusions there were 118  
174 patients in the study group. 11% patients in the study group had a change of surgical plan  
175 due to FA. The study did not show a significant difference in AL between groups. However,  
176 the leak rate was lower in the study group and the authors concluded that FA was a safe  
177 adjunct that was not time consuming or detrimental. Alekseev et al published the results of  
178 the FLAG trial, a second RCT focused on patients undergoing anterior rection with stapled  
179 end-to-end colorectal anastomosis[21]. They included both open and laparoscopic  
180 approaches, 380 patients were randomized. This trial demonstrated a significant reduction  
181 in the AL rate when using FA (9.1% vs 16.3% p=0.04). It is worth noting that there was a  
182 comparatively high AL rate in patients undergoing LAR without FA, 25.7% (FA group 14.4%

183 p=0.04). Additionally, there was a slightly higher, but non-specific, reoperation rate in the FA  
184 group (3.7% vs 2.1% p=0.38). This study demonstrates that FA has a role but that it is mainly  
185 limited to low colorectal anastomoses.

186 In 2020 Chan et al published a systematic review of 20 studies including the above  
187 RCTs[22]. 5498 patients were included in the meta-analysis. This showed that FA decreased  
188 AL with an odds ratio (OR) of 0.46 (95% CI 0.34-0.62; p<0.0001). Although largely based on  
189 retrospective studies a subgroup analysis of 4 prospective trials confirmed this result (OR  
190 0.49 95% CI 0.3-0.81; p=0.005). Furthermore, this study confirmed that patients undergoing  
191 LAR for rectal cancer with colorectal anastomosis may benefit from ICG. Arezzo et al  
192 published their meta-analysis containing individual participant data from 9 trials involving  
193 1,330 patients[23]. Their results showed a significant reduction in the rate of AL in the FA  
194 group compared with standard care 4.2% vs 11.3% respectively (p=<0.001). Additionally, risk  
195 of AL was found to be significantly lower with anastomoses <6cm from the anal verge and in  
196 patients with BMI >25.

197

### 198 3.3 Ongoing trials

199

200 There is only 1 current randomized control trial investigating FA and AL. The IntAct trial is a  
201 multi-center European RCT currently recruiting[24]. They aim to randomize 880 patients.  
202 This will be the largest trial of its kind and is focused on patients undergoing laparoscopic or  
203 robotic surgery for rectal cancer. An additional sub-study intervention will look at CT  
204 perfusion scanning aiming to investigate the link between pre-operative vascular anatomy  
205 and AL.

206

## 207 4. Challenges and Skepticism

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209 Whilst current research is yielding promising results there are still some challenges  
210 to be overcome. Although studies produce can reproduce fluorescence, there is a broad  
211 range in the dose of ICG administered and the timing to assessment of the bowel. A recent  
212 Delphi Consensus Conference of international experts across surgical specialties, including  
213 colorectal, agreed that both dose administered and timing to assessment was important

214 (89.5% and 89.5% consensus)[25]. A recent review of protocols recommends a dose of  
215 2.5mg as multiple studies have had good results at lower dosages[26]. This correlates with  
216 work undertaken in esophagogastric anastomoses[26]. Although there is a very low risk of  
217 anaphylaxis to ICG, current studies in colorectal surgery use concentrations well below that  
218 which is known to cause toxicity[27]. The European Association for Endoscopic Surgery  
219 (EAES) technology committee are preparing a consensus conference for fluorescence and  
220 we await the results of this later in 2021.

221 A further challenge is that whilst FA with ICG can provide a visual estimation of  
222 microperfusion, there is no standard method of quantifying this. This is perhaps the biggest  
223 hurdle at the present time. The rationale behind using FA is to be able to provide a  
224 reproducible and objective method of perfusion assessment. On the surface it may seem  
225 like ICG fulfils these criteria but in practice, the operating team still have to subjectively  
226 decide whether the fluorescent signal is strong enough to justify creation of the  
227 anastomosis or that the transection point should be revised more proximally. Recent work  
228 from Soares et al, have shown variability in users relating to specialty and experience[28].  
229 Further, it is not known how the intensity of fluorescence correlates with microperfusion at  
230 tissue level. Several studies have modelled colonic perfusion patterns by measuring  
231 fluorescence intensity and time of onset[29,30]. This has been achieved in real time for  
232 intraoperative use[29]. A retrospective video analysis study showed that slow perfusion was  
233 an independent risk factor for AL[30]. However, parameter based models vary and are  
234 difficult to reproduce. Park et al generated an artificial intelligence (AI) model which was  
235 more accurate in retrospectively predicting the risk of AL compared with parametric  
236 models[31]. Further work is required to ascertain specific, generalizable cut off levels for  
237 intensity and time of onset that may influence intraoperative decision making.

238 Though FA can give an estimation of perfusion it cannot quantify oxygen delivery to  
239 the tissues. Hyperspectral imaging (HIS) uses a sensor to capture electromagnetic waves at a  
240 spectrum beyond visible light, and in greater detail. Reconstructed false color images  
241 provide a visual representation of tissue oxygen saturation. This technology is non-invasive  
242 and can accurately identify the margin of perfusion[32]. This has been shown to be  
243 comparable to FA[33]. Moreover, Clancy et al have demonstrated in patients that there is a  
244 strong correlation between high fluorescent intensity and oxygen saturation. Although,  
245 these methods require calibration and are not widely available they likely to be the main

246 focus of tissue perfusion assessment going forward providing simultaneous optical and  
247 biological imaging patterns.

248 While the discussed techniques can give an estimate of perfusion at the time of  
249 anastomosis there is currently no reliable measure in the post-operative period. Recognition  
250 of patients in whom the anastomosis is failing due to ischemia may allow early intervention.  
251 Cahill et al have used an AI model to accurately identify tumors from their perfusion  
252 patterns using FA[34]. Development of this technology can lead to real-time assessment of  
253 bowel perfusion at the anastomosis. By knowing how our post-operative treatment regimen  
254 affects anastomotic perfusion we may be able to specifically tailor patient management.

255 Lastly, if we can reduce the rate or accurately predict AL then we can allow FA to  
256 have a greater impact on in other areas of our intraoperative decision making. Spinelli et al  
257 have used FA to guide vascular ligation when forming an ileal pouch[35]. By using FA they  
258 were able to confidently ligate the ileocolic vessels more proximally where required, giving  
259 more length for the pouch. There were no anastomotic leaks. It may be that we can make  
260 further decisions such as whether or not to create a defunctioning stoma. FA influenced this  
261 decision in a pilot by Ris et al[36]. Stomas are known to add to patient financial burden and  
262 reduce quality of life[37]. Conversely, if we can measure perfusion at the anastomosis post-  
263 operatively then we may be able to identify the patient group that benefits most from early  
264 stoma reversal which has been shown to reduce costs and increasing quality of life[38].

265

## 266 5. Conclusion

267 Fluorescence angiography in colorectal surgery is a safe and reproducible technique. There  
268 is increasingly strong evidence that the use of FA reduces the AL rate. In particular, this may  
269 be of greatest benefit in patients undergoing LAR where the AL rate is known to be the  
270 highest. Although further randomized studies are needed, we conclude that, where  
271 available, routine use of FA is not to the detriment of the patient and often influences  
272 surgical decision making. This may reduce the overall rate of AL and moderate the need for  
273 defunctioning stoma. A comprehensive protocol is required to establish a standard  
274 technique across all centers using FA. Ultimately, a way to quantify microperfusion is  
275 needed and this should be a focus of research.

## 276 Conflict of Interest

277 Pampiglione, T and Chand, M declare no conflict of interest

## 278 Author Statement

279 Pampiglione, T: Conceptualization, Methodology, Writing - original draft preparation.

280 Chand, M: Conceptualization, Methodology, Writing – reviewing and editing

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