

# The dust mass in Cassiopeia A from infrared and optical line flux differences

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Accepted 2021 March 21. Received 2021 February 26; in original form 2020 September 2

## ABSTRACT

The large quantities of dust that have been found in a number of high-redshift galaxies have led to suggestions that core-collapse supernovae (CCSNe) are the main sources of their dust and have motivated the measurement of the dust masses formed by local CCSNe. For Cassiopeia A (Cas A), an oxygen-rich remnant of a Type IIb CCSN, a dust mass of 0.6–1.1  $M_{\odot}$  has already been determined by two different methods, namely (a) from its far-infrared spectral energy distribution and (b) from analysis of the red–blue emission line asymmetries in its integrated optical spectrum. We present a third, independent, method for determining the mass of dust contained within Cas A. This compares the relative fluxes measured in similar apertures from [O III] far-infrared and visual-region emission lines, taking into account foreground dust extinction, in order to determine internal dust optical depths, from which corresponding dust masses can be obtained. Using this method, we determine a dust mass within Cas A of at least  $0.99_{-0.09}^{+0.10} M_{\odot}$ .

**Key words:** dust, extinction – ISM: supernova remnants – infrared: ISM.

## 1 INTRODUCTION

The discovery of large quantities of dust in a number of high-redshift ( $z > 6$ ) galaxies and quasars (e.g. Bertoldi et al. 2003; Watson et al. 2015; Laporte et al. 2017) prompted a shift away from asymptotic giant branch stars being perceived as the primary dust factories in the Universe. Instead, it has been proposed that a significant fraction of cosmic dust, particularly at high redshifts, is formed in the ejecta of core-collapse supernovae (CCSNe), with Morgan & Edmunds (2003) and Dwek, Galliano & Jones (2007) estimating that each CCSN would need to produce  $\geq 0.1 M_{\odot}$  of dust for this to be the case.

Typically, the dust mass in CCSNe and supernova remnants (SNRs) has been estimated by fitting the dust spectral energy distribution (SED) at infrared (IR) wavelengths. *Kuiper Airborne Observatory* and *Spitzer Space Telescope* mid-infrared observations of CCSNe ejecta made up to 3 yr after outburst typically found warm dust masses of only  $10^{-4}$  to  $10^{-3} M_{\odot}$  to be present (e.g. Wooden et al. 1993; Sugerman et al. 2006; Kotak et al. 2009; Fabbri et al. 2011). However, Matsuura et al. (2011) utilized *Herschel Space Observatory* observations of SN 1987A taken 23 yr after outburst to probe previously undetectable  $T \sim 23$  K cold dust emitting at far-IR wavelengths and derived a cold dust mass of  $\sim 0.5 M_{\odot}$ . Follow-up ALMA observations of SN 1987A (Indebetouw et al. 2014) resolved this dust component to be at the centre of the remnant.

The 340-yr old oxygen-rich supernova remnant Cassiopeia A (Cas A) has had a series of infrared-based measurements made of its

dust mass and dust composition, with the derived mass increasing as observations at progressively longer wavelengths exposed emission from increasingly cooler dust. A broad 21- $\mu\text{m}$  emission feature in the *ISO-SWS* spectrum of Cas A was identified as a silicate species by Arendt, Dwek & Moseley (1999). This feature and its correlations with a range of Cas A physical properties have been studied in detail by a number of subsequent papers (Douvion, Lagage & Pantin 2001; Ennis et al. 2006; Rho et al. 2008; Arendt et al. 2014). From *ISO* observations obtained out to 30- $\mu\text{m}$ , Douvion et al. (2001) derived a mass of  $\sim 90$  K warm dust of at least  $10^{-4} M_{\odot}$ . From a fit to *IRAS* 60- and 100- $\mu\text{m}$  fluxes, Arendt et al. (1999) estimated  $0.038 M_{\odot}$  of 52 K dust to be present in Cas A. From far-infrared and submillimetre photometry out to 500  $\mu\text{m}$ , a  $\sim 35$  K cold dust mass of  $0.06 M_{\odot}$  was estimated for Cas A by Sibthorpe et al. (2010) using *AKARI* and *BLAST* photometry, while  $0.075 M_{\odot}$  of  $\sim 35$  K dust was derived for Cas A by Barlow et al. (2010) using *Herschel* PACS and SPIRE photometry over a similar wavelength range. Arendt et al. (2014) analysed *Spitzer* and *Herschel*-PACS data out to 160  $\mu\text{m}$  and found  $\leq 0.1 M_{\odot}$  of dust emitting out to that wavelength. De Looze et al. (2017, DL2017) conducted a spatially resolved study of the dust in Cas A using *Spitzer* and *Herschel* data out to 500- $\mu\text{m}$ . They found that the largest proportion of the dust mass was in a cold dust component, which, similar to SN 1987A, resided in the unshocked interior of Cas A. They derived the total ejecta dust mass in Cas A to be  $0.5 \pm 0.1 M_{\odot}$ . Priestley, Barlow & De Looze (2019) modelled the thermal emission dust grains in Cas A heated by synchrotron radiation and particle collisions and found a dust mass in agreement with the DL2017 estimate. These results hint at the possibility that CCSNe could be the main contributors to the total dust budget of

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the Universe. A larger sample of dust masses for a diverse range of CCSNe and SNRs, and measured with a diverse range of techniques, together with tighter constraints on dust destruction rates in SNR reverse shocks (e.g. Kirchschrager et al. 2019; Kirchschrager, Barlow & Schmidt 2020; Slavin et al. 2020) is needed to confirm this view.

As *Herschel* is no longer functioning, different methods now have to be used if we are to determine dust masses for a larger sample of CCSNe and SNRs. Lucy et al. (1989) showed that one can determine the mass of dust that has condensed in CCSNe ejecta by exploiting the red–blue asymmetries in the optical broad-line profiles. This effect is created by light from the receding red-shifted side being absorbed by more dust than light from the approaching blue-shifted side. Bevan developed the Monte Carlo radiative transfer code DAMOCLES (Bevan & Barlow 2016), which models the red–blue asymmetries in CCSNe and SNRs to determine their dust properties. The dust masses deduced using it for SN 1987A (Bevan & Barlow 2016) and Cas A (Bevan, Barlow & Milisavljevic 2017) are in good agreement with the dust masses from *Herschel*-based analyses of the far-IR dust emission from SN 1987A and Cas A (Matsuura et al. 2011; De Looze et al. 2017, respectively).

Cas A is a young, oxygen-rich supernova remnant (SNR) with an age of roughly 340 yr (Fesen et al. 2006). An expansion distance of  $3.33 \pm 0.10$  kpc has been determined by Alarie, Bilodeau & Drissen (2014), in good agreement with the earlier expansion distance measurement by Reed et al. (1995) of  $3.4^{+0.3}_{-0.1}$  kpc. It is the result of a Type IIb supernova, classified by Krause et al. (2008) from a spectral identification of light from the original explosion echoing off interstellar material. Progenitor mass estimates for Cas A range from 15 to 25  $M_{\odot}$  (e.g. Young et al. 2006). It lies in the Perseus spiral arm of the Milky Way and the light we receive from it experiences a large amount of interstellar extinction. Dunne et al. (2003) attributed a cold dust component of around 3  $M_{\odot}$  to Cas A from SCUBA submillimetre polarimetric observations, but it has been argued that most of the sub-mm emission was actually from cold dust in a foreground molecular cloud complex (Krause et al. 2004; Wilson & Batrla 2005). Whether there is an interaction between Cas A and nearby molecular clouds is also disputed. Ma et al. (2019) and Kilpatrick, Biegging & Rieke (2014) detected some CO-emitting regions around Cas A which they believed could indicate an interaction, whereas Zhou et al. (2018) argued that the molecular cloud complex is in the foreground of Cas A and not interacting with it.

From X-ray observations, the ejecta has been observed to be impacted by a reverse shock (McKee 1974). The kinematic 3D structure of Cas A's ejecta has been studied by several authors. The optically emitting ejecta has been mapped by Reed et al. (1995), by Milisavljevic & Fesen (2013, M2013), and by Alarie et al. (2014). The 3D doppler reconstruction of M2013 is comprised of 1 pc diameter rings, which they interpreted as cross-sections of bubbles created by radioactive  $^{56}\text{Ni}$ -rich ejecta inflating and compressing material. The Milisavljevic & Fesen (2015) study of the interior unshocked ejecta emitting in the near-IR supports this interpretation. DeLaney et al. (2010) also created a 3D doppler reconstruction of Cas A using *Spitzer* data, mapping the reverse-shocked ring emitting in [Ar II] and [Ne III], as well as the unshocked interior emission which is bright in [Si II]. This led them to adopt a disc model for Cas A tilted away from the plane of the sky. Assuming the reverse shock is spherical, they posited that the fact that no optical emission is seen in the centre of Cas A is because the reverse shock is currently interacting with the edges of the tilted disc, and has not reached the centre yet.

The goal of this work is to use a new method to determine ejecta dust masses at multiple locations around Cas A, by comparing the relative intensities of far-infrared and optical forbidden emission lines

in the same apertures from the same ions of oxygen, either neutral or doubly ionized. We assume that the infrared line intensities are unaffected by dust extinction and reflect the intrinsic distributions of the given species in Cas A, and that any deviations in the intensities of the optical forbidden lines (after correction for significant interstellar extinction) are due to internal dust extinction.

In Section 2 below, we describe our optical and infrared line measurements. In Section 3, we describe how we correct our line fluxes for interstellar extinction. We then use the infrared fine structure line fluxes to predict the intrinsic optical line fluxes from the same ions, and compare the predicted optical line fluxes with the interstellar extinction-corrected line fluxes to determine internal dust optical depths and dust masses. In Section 4, we discuss a number of statistical and systematic sources of uncertainty for our derived quantities. In Section 5, we discuss the future survivability of the dust in Cas A while in Section 6, we present our conclusions.

## 2 OBSERVATIONAL DATA

### 2.1 MDM modular spectrograph optical spectra

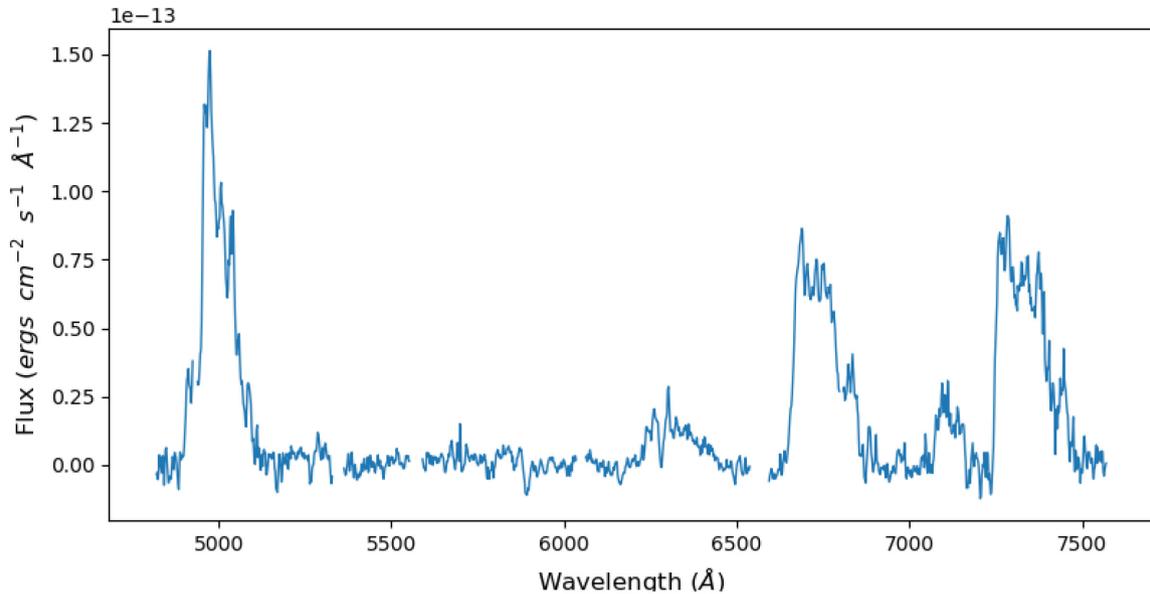
Two of the data sets used to make the 3D kinematic reconstruction of Cas A by M2013 were used in this work. These were taken in 2007 September and 2008 September and consisted of 58 and 45 long-slit optical spectra, respectively, covering the entire optically bright ring. The spectra were taken with the MDM modular spectrograph on the Hiltner 2.4m telescope at Kitt Peak. The dimensions of the long slit were 2 arcsec  $\times$  5 arcmin, and successive slit positions were spaced by 3 arcsec, orientated from North to South. The spectra covered a wavelength range of 4500–7700 Å with a resolution of 6 Å, corresponding to a velocity resolution of 360 km s<sup>-1</sup> at the air wavelength of the 5006.843-Å transition of [O III]. The exposure times for each long-slit spectrum were 1000 s. The slit placements are shown in fig. 1 of M2013. An integrated spectrum of Cas A obtained by co-adding the 2007 and 2008 long-slit data sets is shown in Fig. 1.

J2000 co-ordinates were assigned to each position. To illustrate the optical structure of Cas A, ejecta knots were identified by the presence of broad [O III] emission, as shown by the grey and coloured dots in Figs 2 and 3, where emission knots falling within each of the *Infrared Space Observatory* Long Wavelength Spectrometer (*ISO-LWS*) and PACS apertures are coloured. Ballistic trajectories from the centre of expansion (Thorstensen, Fesen & van den Bergh 2001) were assumed for all the ejecta knots. M2013 fitted a spherical expansion model to all of the identified ejecta knots, deriving a scale factor to convert from angular distance from the centre of expansion (COE) to transverse velocity of 0.022 arcsec per km s<sup>-1</sup>.

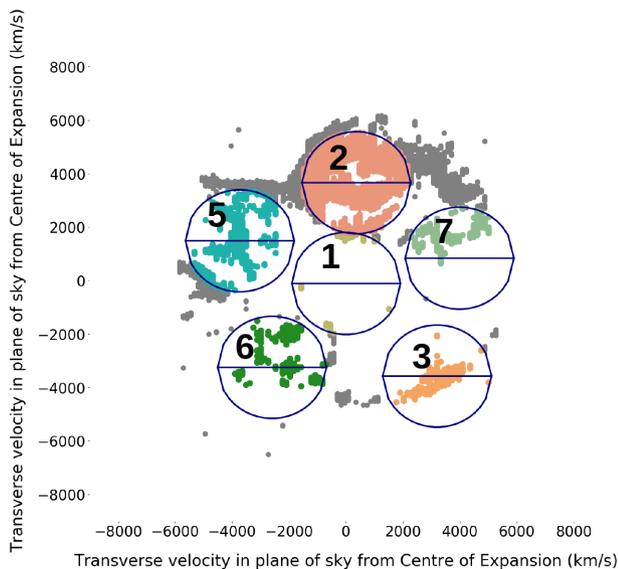
### 2.2 ISO-LWS far-infrared spectra

Spectra of Cas A were taken in 1997 using the *ISO-LWS* (Clegg et al. 1996; Swinyard et al. 1996) with six pointings on Cas A and one on offset position 4, using an 84 arcsec diameter circular aperture. The spectra had a spectral resolution of 0.3  $\mu\text{m}$  from 43 to 92  $\mu\text{m}$ ,

corresponding to velocity resolutions of 1737, 1424, and 1020 km s<sup>-1</sup> at the vacuum wavelengths of the 51.815, 63.185, and 88.356- $\mu\text{m}$  lines, respectively. The spectra were retrieved from the *ISO-LWS* archive, in a data type called ‘uniformly processed LWS L01 spectra’. The observations are summarized in table 2 of Docenko & Sunyaev (2010). The positions of the six LWS apertures are shown in Fig. 2 in a transverse velocity frame, where the velocities



**Figure 1.** The integrated spectrum of Cas A from the M2013 data set, before correcting for interstellar extinction. For each slit placement, 450 one-dimensional spectra in apertures extracted from the long-slit spectra were co-added, then all 90 slit spectra were added together. The main emission features are the [O III] 4959,5007-Å doublet, the [O I] 6300,6363-Å doublet, the [S II] 6717,6731-Å doublet, [Ar III] 7136 Å, and the [O II] 7320,7331-Å doublet. Gaps in the spectra are from where contaminating sky lines have been removed.

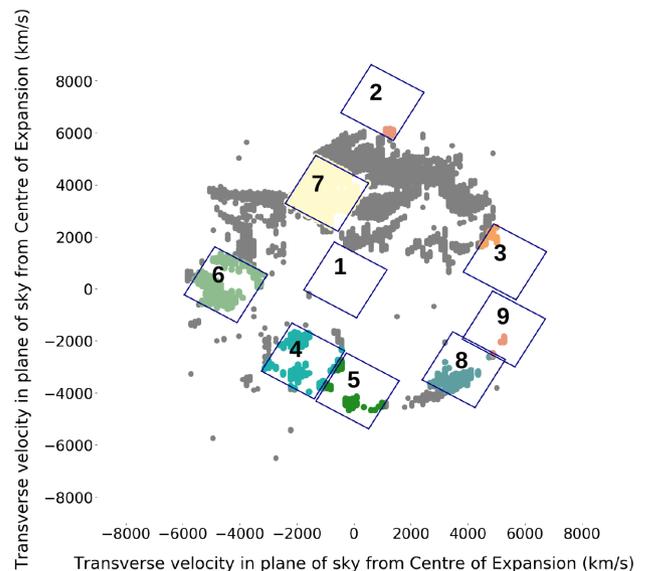


**Figure 2.** The circles show the ISO-LWS pointing apertures, numbered by how they appear in the archive. The WCS centre co-ordinates were determined from the ISO-LWS archive, and converted to velocities from the centre of expansion using the same M2013 technique as for the optical data set. Filled points show where [O III] 5007-Å emission was detected in spectra from the M2013 data set. The multicoloured points show where such emission fell within each of the ISO-LWS apertures.

of the centre of the aperture are given with respect to the same COE as for the optical data.

### 2.3 PACS-IFU far-infrared spectra

Spectroscopic observations with the *Herschel* PACS-IFU (Poglitsch et al. 2010) were taken in chopping mode for nine regions around



**Figure 3.** The diamonds show the PACS-IFU pointing apertures for Cas A. The WCS centre co-ordinates were taken from table 1 of DL2017 and converted to velocities from the centre of expansion using the same M2013 technique as for the optical data set. Filled points show where [O III] 5007-Å emission was detected in the M2013 data set. The multicoloured points show where such emission fell within each of the PACS apertures.

Cas A, on 2011 January 1. The central WCS co-ordinate of each aperture is summarized in table 1 of DL2017. The PACS-IFU apertures were orientated at a position angle of  $240^\circ$  and are shown in Fig. 3. We made use of spectra in the wavelength range 70–105  $\mu\text{m}$ , taken with the SED Range Mode B2B + Long R1. The spectral resolution at 90  $\mu\text{m}$  was  $120 \text{ km s}^{-1}$ . The PACS spectra had been reduced using the standard PACS chopped large range scan pipeline

**Table 1.** Columns from left to right are the following: *ISO*-LWS aperture number;  $F_{52}/F_{88}$ , the observed [O III] 52- $\mu$ m to 88- $\mu$ m flux ratio; the corresponding electron density  $N_e$  for an electron temperature of 7900 K;  $F_{52}$ , the observed [O III] 52- $\mu$ m flux; the observed [O III] 5007- $\text{\AA}$  flux,  $F_{5007}$ ;  $A_{5007}$ , the estimated ISM extinction at 5007  $\text{\AA}$  in magnitudes; the ISM-dereddened 5007- $\text{\AA}$  flux,  $F_{5007,d}$ ; the expected [O III] 5007- $\text{\AA}$  flux ( $F_{5007,exp}$ ) in each aperture for no internal dust extinction; and the internal optical depth,  $\tau_{int}$ , and dust mass,  $M_d$ , implied by the difference between the ratios of the predicted and ISM-dereddened [O III] 5007- $\text{\AA}$  fluxes for cylinders projected through each *ISO*-LWS aperture. All fluxes are in units of  $\text{erg cm}^{-2} \text{s}^{-1}$ .

Ap	$F_{52}/F_{88}$	$N_e$ ( $\text{cm}^{-3}$ )	$F_{52}$	$F_{5007}$	$A_{5007}$	$F_{5007,d}$	$F_{5007,exp}$	$\tau_{int}$	$M_d$ ( $M_{\odot}$ )
2	3.25	1380	$1.23 \times 10^{-10}$	$5.62 \times 10^{-12}$	4.32	$2.99 \times 10^{-10}$	–	–	–
1	0.87	115	$7.42 \times 10^{-11}$	$8.42 \times 10^{-14}$	4.85	$7.33 \times 10^{-12}$	$1.23 \times 10^{-10}$	2.82	0.31
3	2.05	630	$7.47 \times 10^{-11}$	$2.84 \times 10^{-13}$	5.11	$3.14 \times 10^{-11}$	$1.41 \times 10^{-10}$	1.50	0.16
5	1.15	225	$2.77 \times 10^{-11}$	$5.17 \times 10^{-13}$	3.48	$1.27 \times 10^{-11}$	$4.62 \times 10^{-11}$	1.29	0.14
6	2.42	830	$8.30 \times 10^{-11}$	$4.26 \times 10^{-13}$	4.21	$2.06 \times 10^{-11}$	$1.69 \times 10^{-10}$	2.11	0.23
7	1.18	240	$7.60 \times 10^{-11}$	$5.84 \times 10^{-13}$	4.32	$3.12 \times 10^{-11}$	$1.27 \times 10^{-10}$	1.40	0.15
								Total	0.99

**Table 2.** Corresponding absolute uncertainties on the values in Table 1. All fluxes are in units of  $\text{erg cm}^{-2} \text{s}^{-1}$ .

Ap	$F_{52}/F_{88}$		$N_e$		$F_{52}$ ( $\times 10^{-12}$ )		$F_{5007}$ ( $\times 10^{-14}$ )		$A_{5007}$	$F_{5007,d}$ ( $\times 10^{-12}$ )		$F_{5007,exp}$ ( $\times 10^{-11}$ )		$\tau_{int}$		$M_d$ ( $M_{\odot}$ )		
	+	–	+	–	+	–	+	–		+	–	+	–	+	–	+	–	
2	0.65	0.59	435	420	16	11	24	19	0.26	0.03	33.6	71.0	–	–	–	–	–	–
1	0.14	0.13	55	50	4.0	1.7	3.3	2.3	0.09	0.23	3.1	2.0	4.5	3.9	0.56	0.42	0.058	0.043
3	0.55	0.41	290	195	8.2	10.6	5.2	4.7	0.03	0.00	5.3	5.0	1.8	4.8	0.40	0.38	0.040	0.037
5	0.29	0.30	120	115	7.7	9.1	3.8	3.5	0.00	0.06	1.8	1.5	0.7	1.7	0.41	0.38	0.042	0.039
6	0.61	0.53	360	270	13.4	11.6	2.5	2.8	0.03	0.02	2.3	2.4	2.2	5.8	0.40	0.36	0.042	0.038
7	0.24	0.38	100	150	7.6	11.4	3.6	3.9	0.01	0.09	4.5	3.5	1.7	4.5	0.40	0.37	0.040	0.037
															Total		0.10	0.09

in HIPE v14.0.0, with the PACS CAL 32 0 calibration file. The flux calibration uncertainty for the PACS line measurements was assumed to be 13 per cent for our wavelength region of interest.

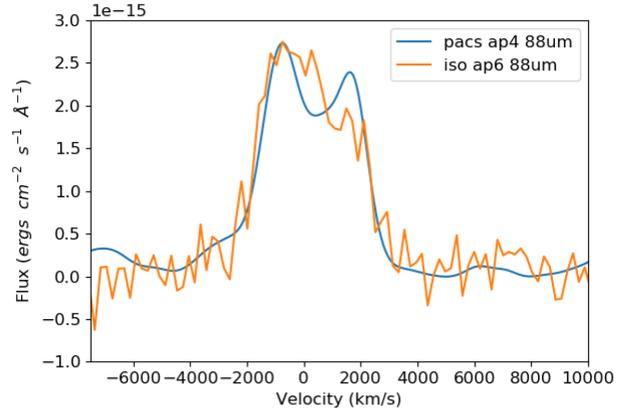
## 2.4 Spectral processing

The optical and IR spectra were manually continuum-corrected using the DIPSO package (Howarth et al. 2004). The twenty-five  $9.4 \text{ arcsec} \times 9.4 \text{ arcsec}$  spaxels in each PACS-IFU pointing were co-added.

All spaxels from the M2013 optical data set that spatially coincided with each *ISO*-LWS and PACS-IFU aperture were summed together. The optical spectra were convolved to have the same spectral resolution of the *ISO*-LWS spectrum of the 52- $\mu$ m line, which was  $1700 \text{ km s}^{-1}$ . The [O III] 4959,5007- $\text{\AA}$  and [O I] 6300,6363- $\text{\AA}$  doublets were extracted from the optical spectra. Adopting an intrinsic [O I]6300/6363- $\text{\AA}$  intensity ratio of 3.13 (Baluja & Zeppen 1988), the [O I] 6363- $\text{\AA}$  component was deblended from the [O I] 6300- $\text{\AA}$  component. The 4959- $\text{\AA}$  contribution to the [O III] 4959,5007- $\text{\AA}$  doublet was also removed, assuming a doublet intensity ratio of 1:2.98 (Storey & Zeppen 2000). All optical and infrared integrated fluxes of the continuum-corrected *ISO*-LWS and PACS-IFU spectra were calculated using the FLUX utility in DIPSO.

## 2.5 *ISO*-LWS and PACS-IFU line profile comparison

Aperture 6 of the *ISO*-LWS data set and aperture 4 of the PACS-IFU data set cover very similar regions of Cas A, as seen in Figs 2 and 3. Fig. 4 shows the [O III] 88- $\mu$ m line profiles from these overlapping apertures of the LWS and PACS data sets. The spectrum from PACS aperture 4 has been convolved to the resolution of the *ISO* spectra. Both the line shapes and their integrated fluxes agree quite well, considering the different aperture sizes. The flux of the

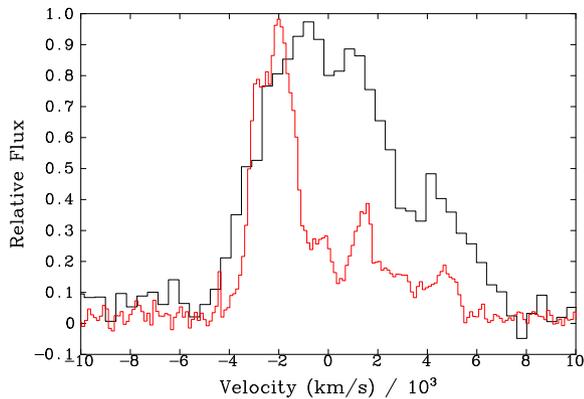


**Figure 4.** The [O III] 88- $\mu$ m line profile in *Herschel* PACS-IFU aperture 4 and in *ISO*-LWS aperture 6. The PACS spectrum has been convolved to the resolution of the LWS spectrum.

[O III]88  $\mu$ m line in LWS aperture 6 was  $4.34 \times 10^{-11} \text{ erg cm}^{-2} \text{ s}^{-1}$  and in PACS-IFU aperture 4 was  $2.81 \times 10^{-11} \text{ erg cm}^{-2} \text{ s}^{-1}$ .

## 3 DETERMINING DUST MASSES FROM OPTICAL AND IR LINE FLUX DIFFERENCES

The procedure we will follow is based on using the measured IR fine structure line fluxes of [O III] and [O I] to predict unreddened optical line fluxes from the same species and then comparing these predicted fluxes with those observed through the same aperture areas in order to estimate the optical extinction affecting each aperture. This extinction is assumed to consist of two components– the first being foreground interstellar dust extinction and the second being extinction due to dust internal to the remnant. In the next section, we describe how we estimate the foreground interstellar extinction

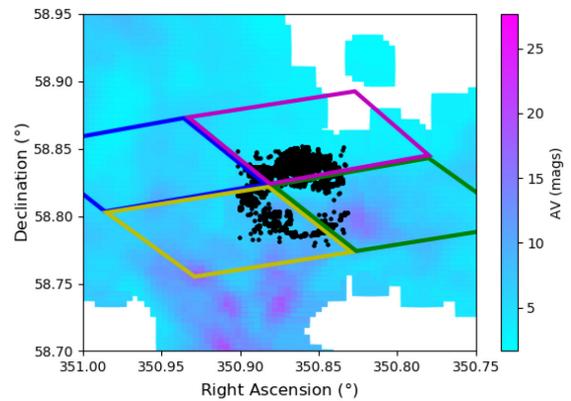


**Figure 5.** The [O III] 52- $\mu\text{m}$  line profile (black) summed over all six *ISO*-LWS apertures, compared to the 5007- $\text{\AA}$  line profile (red) corresponding to a summation over the same projected aperture areas. The two profiles have been normalized to the same peak value. It can be seen that relative to the 52- $\mu\text{m}$  profile the optical 5007- $\text{\AA}$  profile has a deficit of redshifted emission, attributable to internal dust extinction.

for each aperture position. Our internal dust extinction estimate implicitly places the internal dust in a screen near the front of the remnant rather than being mixed with the emitting gas throughout the remnant. However we show in Section 4.3, via numerical modelling of gas and dust mixed in either smooth or clumped distributions, that the dust optical depths measured by our empirical method should be within 20 per cent of actual dust optical depths when the dust is mixed with the emitting gas throughout the remnant. One prediction from internal dust extinction models is that redshifted gaseous line emission from the receding farside of the remnant should undergo more extinction by internal dust when traversing the nebula to the observer, than will blueshifted line emission from the approaching nearside of the remnant. This prediction is confirmed by Fig. 5, which shows the [O III] 52- $\mu\text{m}$  velocity profile summed over the six LWS apertures and compares it with the 5007- $\text{\AA}$  velocity profile summed over the same six areas. The 5007- $\text{\AA}$  line is clearly missing flux on the redshifted side of its profile.

Cas A has a complex internal structure (see e.g. Fesen et al. 2001) but our method makes no assumptions about where the doubly ionized and neutral oxygen species are located along the lines of sight projected through the remnant by the observing apertures, e.g. whether they are centrally or uniformly distributed, or whether in clumped or unclumped regions. The measured emission line fluxes are summations along the lines of sight of the emission from the various regions encountered, weighted by their electron densities and (in the case of the optical lines) by their electron temperatures. The values derived for these two quantities reflect these weightings, with electron densities derived from [O III] 52/88- $\mu\text{m}$  flux ratios being insensitive to the electron temperature  $T_e$ , while the 52- $\mu\text{m}$ /5007- $\text{\AA}$   $T_e$ -diagnostic ratio will be weighted to lower  $T_e$  values than the classic [O III] 5007/4363- $\text{\AA}$  optical-only line diagnostic ratio.

Priestley et al. (2019) modelled the heating sources for Cas A’s dust emission and fitted the overall IR SED with four dust components. The two warmest dust components, accounting for less than 1 per cent of the total dust mass between them, were respectively heated by the X-ray emitting diffuse reverse shocked ejecta and by the X-ray emitting material swept up by the outer blast wave. A cold ‘pre-reverse shock’ dust component heated by synchrotron radiation accounted for 90 per cent of the dust mass. Their ‘clumped’ gas component, with  $n_e = 480 \text{ cm}^{-3}$  and  $T_e = 10^4 \text{ K}$ , corresponded most



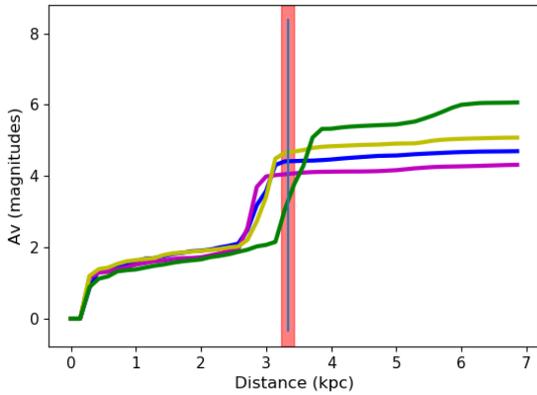
**Figure 6.** The coloured rhombuses show the voxels of the G2019 3D reddening map which overlies Cas A. They have a scale of 3.4 arcmin on a side (table 5 in G2019). The black dots show optical emission knots in Cas A, from the M2013 data set. The colour map shows the visual extinction of ISM dust, in magnitudes, along sightlines towards Cas A, from fig. F4 of DL2017.

closely to the [O III] emission analysed here, with the dust component heated by it accounting for only 10 per cent of the total dust mass. The synchrotron-heated cold dust component is expected to account for most of the internal optical obscuration.

### 3.1 Dereddening the optical line fluxes for interstellar extinction

It is important to correct the optical fluxes in our data set for extinction by interstellar dust. A map of interstellar extinction towards Cas A, shown in fig. F4 of DL2017, gives the column density of extinction, represented by  $A_V$ , along sightlines near to and through Cas A. This is plotted in Fig. 6 as a colour map, along with the distribution of the optical emission knots in Cas A, shown as black points. The white regions show where no ISM dust column densities could be estimated due to the corresponding far-IR dust emission being too faint (DL2017). For each LWS and PACS-IFU aperture, we calculated a mean  $A_V$  value averaging over every pixel of the DL2017 interstellar dust extinction map which fell within each aperture. These mean extinctions range from  $A_V = 3.9 \text{ mag}$  for LWS aperture 2 and PACS-IFU aperture 7, both at the northern part of the remnant, to  $A_V = 9.1 \text{ mag}$  for LWS aperture 3 and 10.3 mag for PACS-IFU aperture 9, both at the southwestern part of the remnant.

Although there is no way of quantifying from this map how much of the extinction in each pixel is in front of Cas A and how much is behind, we can show that at least a portion of the interstellar extinction, for some regions, must lie behind Cas A. We dereddened all the optical emission knots of Cas A with the total  $A_V$  value from the closest pixel in the DL2017 ISM dust map and compared the fluxes from two [O III] emission knots before and after dereddening: one in the northern region and one in the southwestern region. Before dereddening, these had fairly similar fluxes of  $9.8 \times 10^{-17}$  and  $1.3 \times 10^{-16} \text{ erg cm}^{-2} \text{ s}^{-1}$ , respectively. The northern knot corresponds to a total ISM  $A_V$  from DL2017 of 3.6 mag, while the southwestern knot corresponds to an ISM  $A_V$  of 9.7 mag. The fluxes after dereddening were  $2.7 \times 10^{-15}$  and  $1.0 \times 10^{-12} \text{ erg cm}^{-2} \text{ s}^{-1}$  for the northern and southwestern knot, respectively. It seems very unlikely that two optical knots, both with presumably similar density and temperature conditions, would have an intrinsic flux difference of a factor of nearly 1000, implying that at least part of some of the ISM extinction columns must lie behind Cas A.



**Figure 7.** Extinction in  $A_V$  magnitudes as a function of distance, for the four voxels of the **G2019** map plotted as coloured rhombuses in Fig. 6. The colours of the curves indicate the locations where the extinction curves are calculated, and correspond to the colours of the rhombuses in Fig. 6. The vertical blue bar corresponds to the Alarie et al. (2014) distance to Cas A of 3.33 kpc, with the broad red vertical bar corresponding to the stated errors on their estimate of this distance.

In an attempt to better constrain the proportions of the total interstellar dust columns that lie in front of Cas A, we used the 3D dust reddening map created by Green et al. (2019, **G2019**), to plot the reddening as a function of distance along different sightlines towards Cas A. We used the BayestarWebQuery<sup>1</sup> function in the dustmaps PYTHON package to query a grid of 1600 galactic co-ordinates close to Cas A. The coloured rhombuses in Fig. 6 show each voxel of the **G2019** map around Cas A, while Fig. 7 plots the extinction  $A_V$  versus distance relation for each voxel, where the colour of the curve matches the colour of the voxels shown in the dust reddening map. We use the distance to Cas A of Alarie et al. (2014) of  $3.33^{+0.10}_{-0.10}$  kpc, and this is plotted as the blue vertical line, where the broad red bar indicates the uncertainty on this value.

The curves in Fig. 7 confirm the **DL2017** finding that sightlines towards the western and southern regions of Cas A have the largest interstellar dust columns. The **DL2017** map also shows that the northern regions of Cas A correspond to the lowest interstellar dust columns. From Fig. 7 we see that for the northern region of Cas A the relevant **G2019** curve (magenta) predicts  $A_V = 4.0$  mag at the distance of Cas A, similar to the **DL2017** prediction of a total  $A_V$  of 3.8 mag at the position of LWS aperture 2. For apertures falling on northern parts of the remnant (LWS aperture 2 and PACS-IFU aperture 7), we have therefore assumed that all of the interstellar dust column lies in front of the remnant.

It is notable that the other three extinction versus distance curves that are plotted in Fig. 7 all show a flattening at or near to the distance of Cas A and that they predict maximum extinctions that are significantly lower than measured for the same positions from the **DL2017** map in Fig. 6. The flattening is likely due to increasing extinction with distance beyond Cas A leading to the **G2019** algorithm running out of detectable stars with which to measure distances and extinctions.

So apart from the northern LWS-2 and PACS-7 apertures, for which we have argued that all of the **DL2017** extinction column is in front of the remnant, we will assume that for the remaining apertures only 50 per cent of the **DL2017** ISM extinction columns lie in front of the remnant. This leads to adopted foreground  $A_V$  values

for these apertures that are similar to those discussed above for the northernmost apertures – see column 6 of Table 1 for a comparison for  $A_{5007}$ .

In Section 4.2, we will consider the effect on the derived dust masses of assuming that the interstellar dust columns in front of the remnant are exactly as predicted by the **G2019** extinction versus distance curves.

With the above assumptions we calculated a mean foreground  $A_V$  value for each aperture using every spaxel of the **DL2017** interstellar dust extinction map which fell within a given aperture. We used these foreground  $A_V$  values for each aperture to calculate  $A_\lambda$  at 5007-Å and 6300-Å assuming  $R_V = 3.1$  and adopting the reddening law of Cardelli, Clayton & Mathis (1989). The values of  $A_\lambda$  for the two optical lines and for each LWS and PACS aperture can be found in Tables 1, 3, and 5. These values of  $A_\lambda$  were applied to the optical fluxes in the LWS and PACS apertures, to give the ISM-dereddened  $F_{5007,d}$  and  $F_{6300,d}$  fluxes shown in those tables.

### 3.2 Using infrared line fluxes to estimate intrinsic optical line fluxes

The 52- $\mu\text{m}$  and 88- $\mu\text{m}$  lines of [O III], both observed from Cas A by the *ISO-LWS*, are at sufficiently long wavelengths that dust extinction effects can be neglected, unlike the case of the 5007-Å line of [O III]. The flux ratio of the latter optical transition to either of the infrared transitions is a sensitive function of both the local electron density  $n_e$  and electron temperature  $T_e$  and so we can use the IR line fluxes to predict the unreddened optical line flux if we know the values of  $n_e$  and  $T_e$ . The 52/88- $\mu\text{m}$  flux ratio is sensitive to  $n_e$  across the density range  $100 \text{ cm}^{-3} < n_e < 10^4 \text{ cm}^{-3}$  but is insensitive to  $T_e$  due to the very low excitation energies of the two IR transitions. We have used this flux ratio and the statistical equilibrium code EQUIB (written by S. Adams and I. Howarth), together with the  $\text{O}^{2+}$  collision strengths of (Aggarwal 1983) and the transition probabilities of (Nussbaumer & Storey 1981), to derive the values of  $n_e$  that correspond to each of the six LWS aperture regions shown in Fig. 2. Column 1 of Table 1 lists the LWS aperture numbers, followed by the  $F_{52}/F_{88}$  flux ratios and the corresponding values of  $n_e$ , which range from  $1380 \text{ cm}^{-3}$  for LWS aperture 2 down to  $115 \text{ cm}^{-3}$  for LWS aperture 1.

To predict the 5007-Å line flux from an IR line flux, the value of the electron temperature is also needed. A value for  $T_e$  can be estimated for LWS aperture 2, for which we have argued that all of the interstellar extinction column is in front of the remnant. Our method also implicitly assumes that the internal extinction in LWS aperture 2 is very low, consistent with the low internal dust columns found in the northernmost part of the remnant by (De Looze et al. 2017, their fig. F3) The ratio of the LWS aperture 2 ISM-dereddened 5007-Å line flux (column 7 of Table 1) to its 52- $\mu\text{m}$  line flux (column 4) yields  $T_e = 7900^{+400}_{-700}$  K, for  $n_e = 1380^{+435}_{-420} \text{ cm}^{-3}$ . Fig. 8 shows for LWS aperture 2, the loci of the  $n_e$  and  $T_e$  solutions for the 5007-Å/52- $\mu\text{m}$  and 52/88- $\mu\text{m}$  flux ratios of  $2.43^{+0.50}_{-0.68}$  and  $3.25^{+0.65}_{-0.59}$ , with the dashed lines showing the loci corresponding to the upper and lower limits on the flux ratios.

Our [O III] electron density and temperature derived for LWS aperture 2 are consistent with the range estimated by Docenko & Sunyaev (2010) for the same aperture. Our [O III]  $T_e$  and  $n_e$  values for LWS aperture 2 are also consistent with those estimated by Rho et al. (2019) from *SOFIA* spectra of a region in the northern part of the remnant encompassed by LWS aperture 2. Our electron densities are consistent with the range mapped over Cas A by Smith et al. (2009) using *Spitzer* IRS measurements of the [S III] 18.7/33.6- $\mu\text{m}$  line flux ratio (see their fig. 6).

<sup>1</sup><http://argonaut.skymaps.info/usage>

**Table 3.** Columns from left to right are: PACS aperture number; the [O III] 88- $\mu\text{m}$  flux; the [O III] 5007- $\text{\AA}$  flux;  $A_{5007}$ , the ISM extinction at 5007- $\text{\AA}$  in magnitudes; the ISM-dereddened 5007- $\text{\AA}$  flux; the expected optical [O III] 5007- $\text{\AA}$  flux in each aperture for no internal dust extinction,  $F_{5007,\text{exp}}$ ; the optical depth,  $\tau_{\text{int}}$ , and dust mass,  $M_{\text{d}}$ , implied by the ratio of the predicted and ISM-dereddened [O III] 5007- $\text{\AA}$  fluxes within the rectangle projected by each PACS aperture on to Cas A. All fluxes are in units of  $\text{erg cm}^{-2} \text{s}^{-1}$ .

Ap	$F_{88}$	$F_{5007}$	$A_{5007}$	$F_{5007,\text{d}}$	$F_{5007,\text{exp}}$	$\tau_{\text{int}}$	$M_{\text{d}} (M_{\odot})$
1	$3.08 \times 10^{-11}$	$<2.8 \times 10^{-14}$	5.38	$<4.0 \times 10^{-12}$	$4.46 \times 10^{-11}$	$>2.4$	$>0.104$
3	$1.10 \times 10^{-11}$	$1.02 \times 10^{-13}$	5.13	$1.15 \times 10^{-11}$	$2.19 \times 10^{-11}$	0.64	0.028
4	$2.02 \times 10^{-11}$	$1.82 \times 10^{-13}$	3.96	$6.98 \times 10^{-12}$	$1.00 \times 10^{-10}$	2.67	0.116
5	$1.32 \times 10^{-11}$	$4.74 \times 10^{-14}$	5.13	$5.34 \times 10^{-12}$	$6.55 \times 10^{-11}$	2.51	0.109
6	$4.51 \times 10^{-12}$	$1.92 \times 10^{-13}$	4.09	$8.30 \times 10^{-12}$	$9.02 \times 10^{-12}$	0.08	–
7	$1.92 \times 10^{-11}$	$3.28 \times 10^{-12}$	4.30	$1.72 \times 10^{-10}$	$1.58 \times 10^{-10}$	–0.08	–
8	$1.27 \times 10^{-11}$	$1.42 \times 10^{-13}$	4.96	$1.37 \times 10^{-11}$	$5.04 \times 10^{-11}$	1.30	0.056
9	$8.83 \times 10^{-12}$	$<7.2 \times 10^{-14}$	5.83	$<1.55 \times 10^{-11}$	$3.42 \times 10^{-11}$	$>0.79$	$>0.034$
						Total	$>0.45$

**Table 4.** Corresponding absolute uncertainties on the values in Table 3. All fluxes are in units of  $\text{erg cm}^{-2} \text{s}^{-1}$ .

Ap	$F_{88} (\times 10^{-12})$		$F_{5007} (\times 10^{-14})$		$A_{5007}$		$F_{5007,\text{d}} (\times 10^{-12})$		$F_{5007,\text{exp}} (\times 10^{-11})$		$\tau_{\text{int}}$		$M_{\text{d}} (M_{\odot})$	
	+	–	+	–	+	–	+	–	+	–	+	–	+	–
1	7.1	6.0	0.20	0.40	0.31	0.21	0.99	1.23	1.97	1.74	0.51	0.49	0.021	0.020
3	2.6	3.0	0.80	1.20	0.00	0.51	4.60	1.86	1.02	1.14	0.62	0.54	0.025	0.022
4	2.4	2.1	0.90	1.40	0.03	0.34	2.72	1.01	4.37	3.93	0.59	0.42	0.024	0.017
5	1.9	1.2	1.02	1.27	0.19	0.07	0.88	1.16	2.91	2.52	0.47	0.44	0.019	0.018
6	0.70	1.2	0.70	0.80	0.00	0.04	0.95	1.14	0.40	0.41	0.46	0.47	0.017	0.017
7	1.6	2.3	15	15	0.00	0.02	19.1	23.7	6.1	5.5	0.40	0.38	0.017	0.017
8	1.40	1.94	2.5	1.9	0.05	0.10	2.07	2.41	2.13	2.10	0.45	0.45	0.024	0.025
9	1.6	1.2	1.1	0.80	0.07	0.00	1.93	2.37	1.42	1.30	0.43	0.41	0.016	0.015
													Total	0.056 0.052

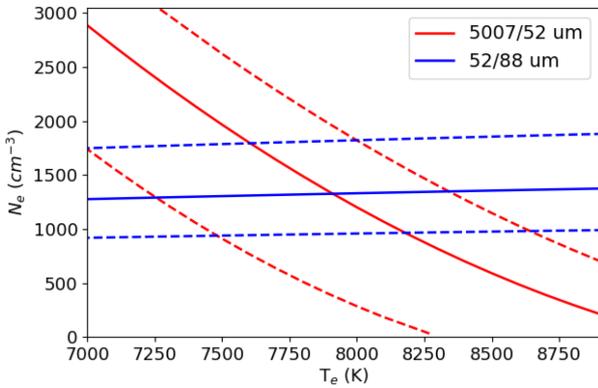
**Table 5.** Columns from left to right are: ISO-LWS aperture number; the observed [O I] 63- $\mu\text{m}$  flux,  $F_{63}$ ; the observed [O I] 6300- $\text{\AA}$  flux,  $F_{6300}$ , from the same area;  $A_{6300}$  the estimated ISM extinction at 6300  $\text{\AA}$  in magnitudes; the ISM-dereddened 6300- $\text{\AA}$  flux,  $F_{6300,\text{d}}$ ; the expected optical [O I] 6300- $\text{\AA}$  flux,  $F_{6300,\text{exp}}$ , in each aperture for no internal dust extinction; the internal optical depth  $\tau_{\text{int}}$  and dust mass,  $M_{\text{d}}$ , implied by the difference between the predicted and ISM-dereddened [O I] 6300- $\text{\AA}$  fluxes for cylinders projected through each ISO-LWS aperture. All fluxes are in units of  $\text{erg cm}^{-2} \text{s}^{-1}$ .

Ap	$F_{63}$	$F_{6300}$	$A_{6300}$	$F_{6300,\text{d}}$	$F_{6300,\text{exp}}$	$\tau_{\text{int}}$	$M_{\text{d}} (M_{\odot})$
2	$2.98 \times 10^{-11}$	$1.20 \times 10^{-12}$	3.31	$2.53 \times 10^{-11}$	–	–	–
1	$6.35 \times 10^{-12}$	$2.63 \times 10^{-14}$	3.72	$8.09 \times 10^{-13}$	$5.40 \times 10^{-12}$	1.90	0.31
3	$1.17 \times 10^{-11}$	$6.61 \times 10^{-14}$	3.91	$2.42 \times 10^{-12}$	$9.95 \times 10^{-12}$	1.41	0.23
5	$1.24 \times 10^{-12}$	$8.85 \times 10^{-14}$	2.67	$1.04 \times 10^{-12}$	$1.05 \times 10^{-12}$	0.01	–
6	$4.52 \times 10^{-12}$	$1.13 \times 10^{-13}$	3.23	$2.21 \times 10^{-12}$	$3.84 \times 10^{-12}$	0.55	0.09
7	$8.58 \times 10^{-12}$	$1.21 \times 10^{-13}$	3.31	$2.55 \times 10^{-12}$	$7.29 \times 10^{-12}$	1.05	0.17
						Total	0.80

Since  $T_e$  usually varies far less than  $n_e$  in an ionized nebula, we have used the LWS aperture 2 value of  $T_e = 7900$  K along with the values of  $n_e$  listed in column 3 to predict the 5007  $\text{\AA}$  52- $\mu\text{m}$  flux ratio for each LWS aperture. We then multiplied this ratio by the  $F_{52}$  line fluxes listed in column 4 of Table 1 to obtain the expected 5007- $\text{\AA}$  flux in the absence of any dust extinction,  $F_{5007,\text{exp}}$ , as listed in column 8. This expected flux can be compared to the ISM-dereddened flux from column 7 to obtain an estimate for the internal dust optical depth,  $\tau_{\text{int}}$  (column 9), for each aperture projection through Cas A. Table 2 lists the statistical uncertainties for the measured and derived quantities that are listed in Table 1; the uncertainties were combined and propagated in quadrature as appropriate.

Similar results for the [O III] optical and IR line fluxes falling within the PACS-IFU apertures are presented in Table 3. The PACS spectra do not cover the 52- $\mu\text{m}$  line, so the 52/88- $\mu\text{m}$  density

diagnostic is not available. To evaluate predicted 5007- $\text{\AA}$ /88- $\mu\text{m}$  line flux ratios for each IFU aperture, we adopted electron densities corresponding to those found for the nearest, usually overlapping, LWS apertures. So for PACS-IFU apertures 1, 3, 4, 5, 6, 7, 8, and 9 we adopted the electron densities listed in Table 1 for LWS apertures 1, 7, 6, 6, 5, 2, 3, and 3, respectively. PACS-IFU aperture 7 overlaps LWS aperture 2 in the northern region of Cas A, for which we have argued that all of the interstellar dust column is in front of the remnant. The ratio of its ISM-dereddened 5007- $\text{\AA}$  flux listed in column 5 of Table 3 to its 88- $\mu\text{m}$  flux listed in column 2 of  $8.96_{-1.15}^{+2.10}$  implies an electron temperature  $T_e = 8100_{-400}^{+600}$  K for  $n_e = 1380_{-420}^{+435} \text{cm}^{-3}$ , in good agreement with the value of  $T_e = 7900_{-700}^{+400}$  K found for the overlapping LWS aperture 2. We adopted an electron temperature  $T_e$  of 7900 K for all apertures in order to estimate the expected 5007- $\text{\AA}$  line flux,  $F_{5007,\text{exp}}$ , using the 88- $\mu\text{m}$  line flux. For regions of Cas A



**Figure 8.** The  $n_e$  and  $T_e$  loci corresponding to the [O III] 5007-Å/52- $\mu\text{m}$  and 52/88- $\mu\text{m}$  line flux ratios for LWS aperture 2 are plotted as the red and blue solid lines, respectively, with the dashed lines corresponding to the upper and lower limits on each ratio.

other than PACS aperture 7 in the north we again assumed that half of the interstellar dust column lies in front of the remnant in order to calculate the ISM-dereddened 5007-Å flux,  $F_{5007,d}$ . The ratio of  $F_{5007,exp}$  to  $F_{5007,d}$  then yields the internal dust optical depths,  $\tau_{intexp}$ , that are listed in column 7. Table 4 lists the statistical uncertainties on the measured and derived quantities in Table 3.

The optical [O III] spectra falling within apertures 1 and 9 of the PACS-IFU data set show no obvious [O III] 5007 Å emission features. To calculate upper limits for the 5007-Å fluxes in these apertures, we used the largest peak in the aperture 6 spectrum, with a flux of  $1.4 \times 10^{-13}$  erg cm $^{-2}$  s $^{-1}$ , as a test emission feature. We found that co-adding a spectrum containing only this peak feature, scaled by factors of 0.2 and 0.3 to the spectra in apertures 1 and 9, respectively, resulted in spectra where the peak of the feature could just about be detected reliably. The resulting fluxes are adopted as  $3\sigma$  upper limits in Table 3.

We also have available for comparison optical and infrared line fluxes from a second ion, namely the 6300-Å and 63- $\mu\text{m}$  transitions of [O I]. The measured line fluxes are listed in Table 5. Fluxes for the 63- $\mu\text{m}$  line were measured only for the LWS apertures, since the PACS-IFU apertures did not provide enough detections of this line. The LWS observations did not provide enough detections of the [O I] 146- $\mu\text{m}$  line for it to be usefully compared to the 63- $\mu\text{m}$  line. The LWS observations of Cas A included a position (aperture 4; see fig. 4 of Docenko & Sunyaev 2010) which was offset to the north-east from the remnant. No [O III] 52- or 88- $\mu\text{m}$  emission was detected at this position but [O I] 63- $\mu\text{m}$  emission was detected there, which we attribute to a diffuse interstellar source. We therefore subtracted the aperture 4 LWS spectrum from those obtained from the on-source apertures prior to measuring the net 63- $\mu\text{m}$  line emission in the latter. Since the diffuse interstellar [O I] contribution may vary across Cas A, this must be considered as an additional source of uncertainty – one which does not affect the [O III] line flux measurements.

We can use the [O I] 63- $\mu\text{m}$  line fluxes to predict the unreddened flux in the 6300-Å line. This can then be compared to the ISM-dereddened 6300-Å flux in order to evaluate the internal dust extinction in each aperture. The 6300-Å/63- $\mu\text{m}$  flux ratio is insensitive to electron density but is sensitive to the electron temperature. For aperture 2, where all the projected interstellar dust column is expected to be in front of the remnant, the value of 0.85 for the ratio of the ISM-dereddened 6300-Å flux to the observed 63- $\mu\text{m}$  flux (Table 5) implies  $T_e = 4800$  K, significantly lower than the 7900–8100 K values derived from the [O III] optical to infrared line flux ratios. This

discrepancy is probably because the ionized regions responsible for the 6300-Å emission are not entirely responsible for the 63- $\mu\text{m}$  flux, some of which may arise from cooler neutral regions within the remnant that do not excite [O I] 6300-Å emission significantly. We have adopted the LWS aperture 2 6300-Å/63- $\mu\text{m}$  flux ratio of 0.85 in order to predict the unreddened 6300-Å fluxes for the remaining LWS apertures. However, this is equivalent to assuming that all LWS apertures sample the same internal ionized to neutral gas fractions, and that the diffuse interstellar [O I] contribution does not vary from its offset aperture 4 level. The dust internal optical depths derived in Table 5 from the [O I] lines must therefore be considered more uncertain than those derived in Tables 1 and 3 from the [O III] lines. Table 6 lists the statistical uncertainties on the measured and derived quantities in Table 5.

### 3.3 The dust masses in the ISO-LWS and Herschel PACS-IFU projected apertures

We will assume a simple case where no light has been scattered into the beams by internal dust, in which case the internal dust optical depths in Cas A, deduced above for each aperture and listed in the penultimate columns of Tables 1, 3, and 5, are given by

$$\tau_\lambda = \kappa_\lambda \times \rho \times l, \quad (1)$$

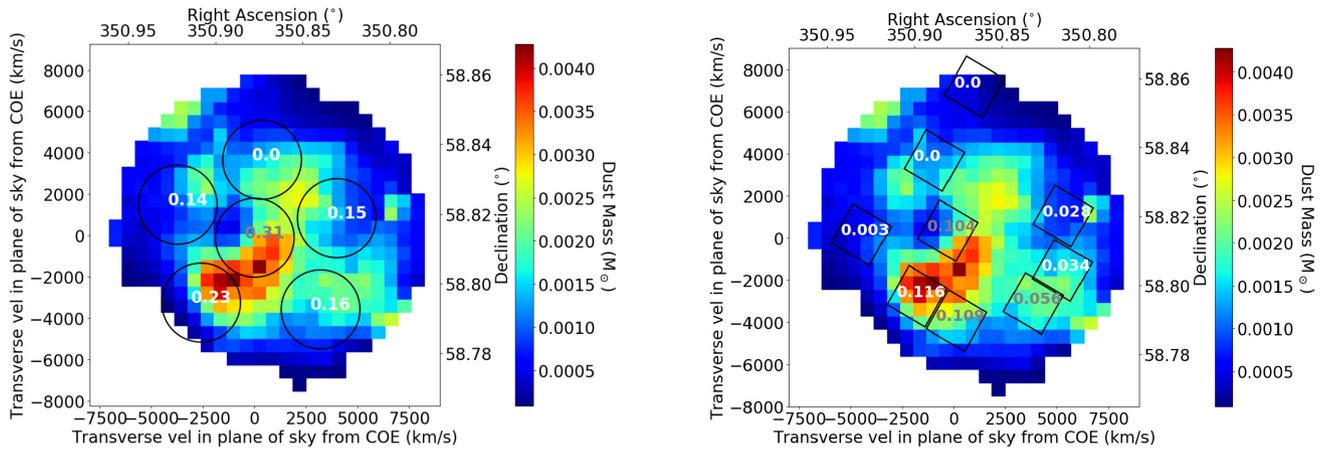
where  $\kappa_\lambda$  is the dust opacity per unit mass at the wavelength observed,  $\rho$  is the dust mass density, and  $l$  is the path-length projected through Cas A by each aperture. If  $A$  is the area projected on to Cas A by each aperture and  $V = A \times l$  is the volume projected through Cas A by each aperture then we have

$$(\tau_\lambda / \kappa_\lambda) \times A = \rho \times V = M_d, \quad (2)$$

where  $M_d$  is the mass of dust in the volume projected through Cas A by each aperture. For consistency, the results in Tables 1, 3, and 5 use the same grain composition adopted by Bevan et al. (2017): 50 per cent silicate and 50 per cent carbon grains with a grain size of 0.05  $\mu\text{m}$ . However, in line with the work of Arendt et al. (2014), who found that silicates of a structure  $\text{Mg}_x\text{SiO}_{x+2}$  best fitted the 21- $\mu\text{m}$  feature of the *Spitzer* SED, we use  $\text{Mg}_2\text{SiO}_4$  optical constants instead of the astronomical silicate constants used by Bevan et al. (2017), although doing so only changes the derived dust mass by 1.4 per cent, for the same 50:50 grain mixture as above. The effect on the derived dust mass of adopting different grain compositions is discussed further in Section 4.4 below.

As discussed above, we consider the internal dust mass estimates derived from the [O III] line comparisons to be more reliable than those based on the [O I] line fluxes, so we will consider only our results from the former. The ratio of the total area subtended on Cas A by the eight  $47 \times 47$ -arcsec $^2$  PACS IFU aperture positions to that subtended by the six 84-arcsec diameter circular LWS apertures is 0.53, while a value of  $>0.45$  is obtained for the ratio our PACS-IFU summed dust mass of  $>0.45 M_\odot$  to our LWS summed dust mass of  $0.99 M_\odot$ .

The spatial distribution of internal dust from both the ISO-LWS and PACS apertures can be seen in Fig. 9, overplotted on fig. F3 of DL2017, which shows the spatially resolved dust masses derived from the far-infrared and submillimetre *Herschel* images of the cold dust emission from the remnant. Our dust distribution closely follows the distribution found by DL2017: the largest internal dust columns are found towards the centre and south-east of Cas A. The LWS apertures did not cover all of Cas A but in the dust mass map of De Looze et al. (2017) 73 per cent of the total dust mass was encompassed by the six LWS apertures.



**Figure 9.** (a) Left: Cas A internal dust masses deduced from a comparison of [O III] infrared and optical line fluxes falling within *ISO*-LWS apertures, labelled for each aperture with the dust mass, in solar masses, listed in Table 1. They are overplotted on the resolved internal dust mass map of Cas A from fig. F3 of DL2017. (b) Right: Internal dust masses deduced from a comparison of [O III] infrared and optical line fluxes falling within PACS-IFU apertures, labelled for each aperture with the dust mass, in solar masses, listed in Table 3. ‘COE’ is an abbreviation for ‘centre of expansion’.

For comparison with our LWS summed dust mass of  $0.99 M_{\odot}$ , De Looze et al. (2017) derived a total mass of  $0.5 \pm 0.1 M_{\odot}$  for a 50:50 mixture of silicate and amorphous carbon dust grains within Cas A while, from an analysis of the red–blue emission line asymmetries in the integrated optical spectrum of Cas A, Bevan et al. (2017) derived a total dust mass of  $\sim 1.1 M_{\odot}$  using the same 50:50 silicate:carbon dust mixture as adopted here.

## 4 DUST MASS SOURCES OF UNCERTAINTY

### 4.1 Measurement uncertainties

Tables 2, 4, and 6 list the statistical uncertainties on the measured and derived quantities for the individual LWS and PACS apertures. The uncertainties associated with the optical and IR line flux measurements were estimated from repeated measurements of the line fluxes above different choices for the background continuum levels. These measurement uncertainties were combined with the flux calibration uncertainties of 10 per cent for the *ISO*-LWS spectra and 13 per cent for the PACS-IFU spectra.

To estimate uncertainties associated with the foreground extinction measurements (listed in entries 6, 4, and 4 of Tables 2, 4, and 6, respectively), we calculated from the DL2017 interstellar extinction map  $A_V$  limits for the LWS and PACS apertures that corresponded to aperture radii that were 0.9 and 1.1 times their actual sizes. The uncertainties on the ISM-dereddened optical line fluxes,  $F_{5007,d}$ , and  $F_{6300,d}$ , were then obtained by combining the flux measurement uncertainties with the uncertainties associated with the foreground interstellar extinction estimates.

The uncertainties on  $F_{5007,exp}$  for the different *ISO*-LWS apertures are listed in Table 2 and are a combination of the  $F_{52}$  flux measurement uncertainties and the uncertainties associated with the adopted dust-free  $F_{5007}/F_{52}$  ratio, derived in turn from the uncertainties on  $n_e$  in each aperture and the uncertainty on the temperature of 7900 K calculated for LWS aperture 2. The  $F_{5007,exp}$  uncertainties listed in Table 4 for the PACS apertures are a combination of the uncertainty on the dust-free  $F_{5007}/F_{52}$  ratio derived for the *ISO*-LWS aperture 2, the  $F_{88}$  flux measurement uncertainty for the PACS aperture, and the uncertainty associated with the  $F_{52}/F_{88}$  ratio measured for the matching LWS aperture. In Table 6, for the *ISO*-LWS apertures, the

listed uncertainty for each  $F_{6300,exp}$  value is a combination of the uncertainties associated with  $F_{6300,d}$  and  $F_{63}$  for LWS aperture 2, and the  $F_{63}$  measurement uncertainty for the given aperture.

The uncertainties on  $F_{\lambda,exp}$  and  $F_{\lambda,d}$  enter weakly into the error budgets for the derived internal optical depths, which depend only logarithmically on line flux ratios. The scaling factor from a measured far-IR line flux to a predicted optical line flux depends exponentially on the adopted gas temperature but the logarithmic dependence of the dust internal depths on flux ratios means that the derived dust optical depths effectively have only a linear dependence on the adopted gas temperature. These weak dependencies may help explain why the LWS [O I]-based dust mass estimate of  $0.80 M_{\odot}$  (Table 5) is relatively close to the LWS [O III]-based dust mass estimate of  $0.99 M_{\odot}$  (Table 1) despite the [O I] IR flux measurements and optical flux predictions being affected by greater uncertainties than those for the [O III] lines, as discussed in Section 3.2.

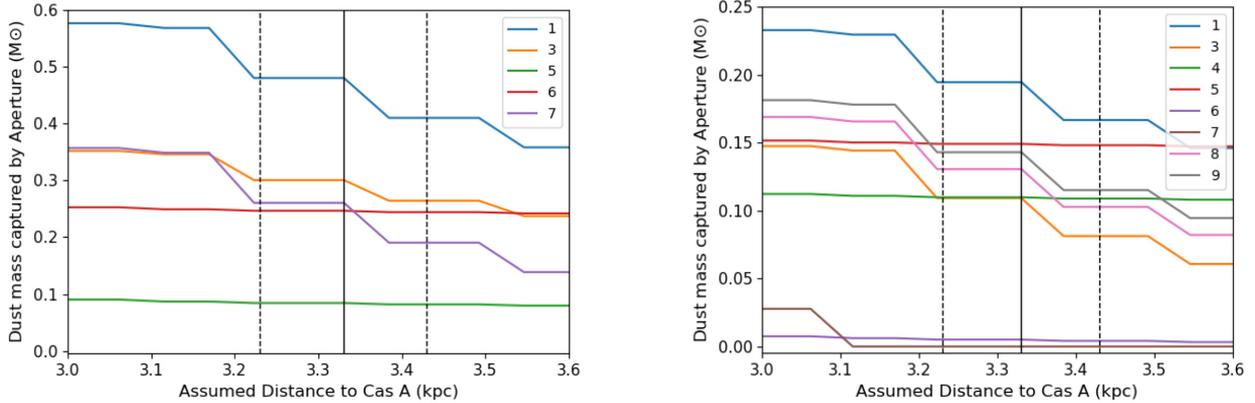
### 4.2 Uncertainties associated with the location of the interstellar dust

The source of uncertainty that we will discuss here is that associated with the correction of the optical line fluxes for foreground interstellar extinction. The factors by which the optical line fluxes have been corrected are large, ranging from 25 to 200 depending on position (see column 6 of Table 1 and column 4 of Table 3), although this is then moderated by the logarithmic factor determining the internal optical depth estimate. For the above ISM extinction corrections, we have made use of the DL2017 ISM dust maps, based on their *Herschel* mapping of the far-IR emission by ISM dust in the vicinity of Cas A. An alternative to their use that we discussed is to use the ISM extinction versus distance curves available from the mapping of G2019, see Figs 6 and 7.

Although the distance to Cas A is well established, the steepest parts of the G2019 extinction versus distance curves in Fig. 7 lie close to the position of Cas A, so possible uncertainties in the exact range of distances over which the steep extinction rise take place can potentially have a significant effect on the adopted interstellar extinction corrections. To show the effect of shifting the extinction curves in Fig. 7 relative to the position of Cas A, we illustrate in Fig. 10 how the dust masses derived in each LWS and PACS-IFU

**Table 6.** Corresponding absolute uncertainties on the values in Table 5. All fluxes are in units of  $\text{erg cm}^{-2} \text{s}^{-1}$ .

Ap	$F_{63} (\times 10^{-12})$		$F_{6300} (\times 10^{-14})$		$A_{6300}$		$F_{6300,d} (\times 10^{-13})$		$F_{6300,exp} (\times 10^{-12})$		$\tau_{int}$		$M_d (M_{\odot})$		
	+	-	+	-	+	-	+	-	+	-	+	-	+	-	
2	1.6	2.2	5.0	7.7	0.19	0.02	27.8	51.1	4.86	6.70	0.22	0.33	-	-	
1	0.64	0.41	0.74	0.70	0.07	0.18	2.44	1.91	1.09	1.41	0.36	0.35	0.059	0.057	
3	0.80	0.90	1.1	1.1	0.02	0.00	4.20	4.20	1.94	2.63	0.26	0.32	0.042	0.051	
5	0.40	0.40	0.60	0.55	0.0	0.06	1.35	1.21	0.16	0.24	0.20	0.26	0.033	0.042	
6	0.70	0.60	0.80	0.70	0.02	0.01	2.70	2.60	7.40	9.97	0.23	0.28	0.037	0.046	
7	0.43	0.38	1.2	1.5	0.01	0.07	3.50	4.02	1.39	1.89	0.24	0.30	0.038	0.049	
													Total	0.09	0.10

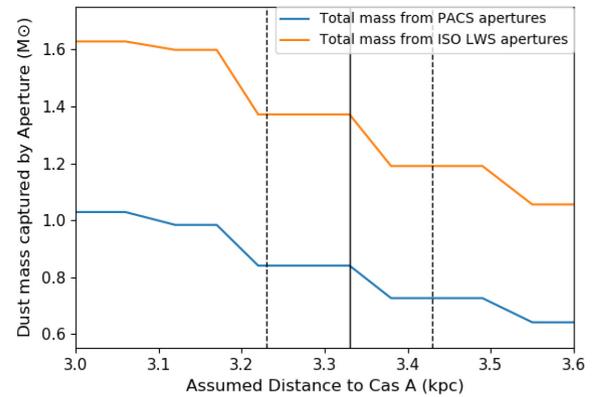
**Figure 10.** Dust masses within Cas A based on the flux ratios of [O III] optical to infrared lines emitted within projected *ISO-LWS* (left) and *Herschel-PACS* (right) apertures, as a function of position along the **G2019** reddening versus distance curves for their lines of sight to Cas A. The numbering of the curves refers to the numbering of the LWS and PACS-IFU apertures shown in Figs 2 and 3. The black vertical line represents the distance of 3.33 kpc for Cas A as found by Alarie et al. (2014), and the black dashed lines indicate the stated uncertainty on their result of  $\pm 0.10$  kpc.

aperture vary as the **G2019** extinction curves are shifted by  $\pm 0.3$  kpc around the Alarie et al. (2014) distance to Cas A of  $3.33 \pm 0.10$  kpc. We matched the **G2019** extinction curve in the pink voxel in Fig. 6 with *ISO-LWS* aperture 2 and PACS aperture 7, the green voxel to *ISO-LWS* aperture 1 and 7 and PACS apertures 1,8, and 9, the yellow voxel to *ISO* aperture 5 and 6, and PACS apertures 4,5, and 6. The extinction in *ISO-LWS* aperture 3 was an average of the extinction in the green and yellow voxels.

The total dust mass variation with adopted distance of Cas A is seen in Fig. 11.

Comparison of the **DL2017**-based total dust masses in Tables 1 and 3 with the **G2019**-based total dust masses at 3.33 kpc shown in Fig. 11 shows the latter to be larger by factors of 1.5–2.0, which can be attributed to the generally lower ISM dust extinction corrections to the optical line fluxes yielded by the **G2019** distance-extinction curves.

Fig. 7 shows that for three of the four **G2019** Cas A sightlines the extinction starts to plateau at about 3 kpc, with the fourth plateauing just before 4 kpc. The interstellar extinction in the **G2019** map is deduced from stellar reddenings but, as discussed in Section 3.1, as the extinction increases with distance there comes a point beyond which there can be an inadequate number of detectable stars from which accurate distances and extinctions can be inferred, so that higher column densities of interstellar dust cannot be probed by the **G2019** extinction curves. The **G2019** extinction versus distance curves are therefore likely to be plateauing at too low a distance due to their inability to probe higher dust extinctions. As well as having much higher angular resolution, the **DL2017** interstellar dust map, inferred from the optically thin far-infrared emission by the dust, can

**Figure 11.** Dust masses within Cas A obtained by summing the masses contained within all projected *ISO-LWS* apertures (orange line) or *Herschel-PACS* apertures (blue line), as a function of position along the **G2019** reddening versus distance curves for the lines of sight to Cas A. The black vertical line represents the distance of 3.33 kpc for Cas A as found by Alarie et al. (2014), and the black dashed lines indicate the stated uncertainty on their result of  $\pm 0.1$  kpc.

probe higher dust column densities. This explains why the deduced dust masses in the *ISO-LWS* and PACS apertures are lower when using the higher  $A_V$  values from the **DL2017** interstellar extinction map to correct the optical fluxes for interstellar extinction. For the above reasons, we consider our **DL2017**-based dust masses (Section 3.3) to be more reliable.

We assumed earlier that a fraction  $f = 0.5$  of the DL2017 interstellar extinction lies in front of the remnant for all of the projected LWS aperture areas apart from LWS-02, for which we adopted  $f = 1.0$ . For the five other LWS apertures, their derived enclosed total dust mass  $M_d$  is found to vary with the value adopted for  $f$  according to  $M_d = (3.11 - 4.25f) M_\odot$ , yielding  $M_d = 0.99 M_\odot$  for  $f = 0.5$  and with  $M_d$  falling to zero when  $f$  reaches 0.73.

### 4.3 Uncertainties associated with the dust screening assumption

Another source of uncertainty is that we have effectively assumed a foreground screen model for the dust, rather than the dust being mixed with the emitting gas, and light scattered back into the line of sight by dust has been neglected. Natta & Panagia (1984) have treated the case of multiple dust clumps, each with an optical depth  $\tau_{cl}$ , immersed in an emitting gas and find that for individual clump optical depths  $< 1$  then effective dust optical depths should be close to the actual optical depths. The apparent overall optical depths at 5007 Å measured for our LWS and PACS-IFU apertures range between 0.6 and 2.8 and individual dust clumps are expected to have low optical depths – if one adopts a clump radius of  $10^{16}$  cm (Fesen et al. 2011) and a clump gas density of 100 oxygen atoms  $\text{cm}^{-3}$  (Kirchschlager et al. 2019), together with a gas-to-dust mass ratio of 5–10 (Priestley et al. 2019) along with a grain mass density of  $3.2 \text{ g cm}^{-3}$  and the grain radius of  $0.05 \mu\text{m}$  from Bevan et al. (2017) used here, then one finds an optical depth from the centre to the surface of a clump of 0.125–0.25.

We have tested these expectations by running a number of DAMOCLES gas plus dust Monte Carlo radiative transfer models for Cas A, with parameters as described by Bevan et al. (2017). The [O III] emission models had an outer remnant radius  $R_{\text{out}}$  of  $5.2 \times 10^{18}$  cm and an outer expansion velocity of  $5000 \text{ km s}^{-1}$ , together with ratios of inner to outer radius and inner to outer velocity of 0.5. The dust and gas were mixed together and had  $r^{-2}$  density distributions between the inner and outer radii. Models were run with smooth density distributions or clumped density distributions and with dust masses of either  $1.1$  or  $5.5 M_\odot$ . For clumped models, a clump volume filling factor of  $f = 0.1$  was used with clumps of radius  $R_{\text{clump}} = R_{\text{out}}/25$ . Column 5 of Table 7 lists each model’s total (absorption + scattering) optical depth,  $\tau_{\text{tot}}$  along a column projected through the centre of the remnant (corresponding to LWS aperture 1). Column 6 then lists  $\tau_{\text{eff}}$ , obtained via the ratio of the [O III] 4959,5007-Å dust-free input line flux to the dust-affected output line flux. Column 7 lists the ratio of  $\tau_{\text{eff}}/\tau_{\text{tot}}$ . For the four clumped dust models  $\tau_{\text{eff}}/\tau_{\text{tot}} = 0.85 \pm 0.16$  while for the four models with smooth distributions of dust and gas we obtain  $\tau_{\text{eff}}/\tau_{\text{tot}} = 1.01 \pm 0.08$ . We have therefore made no corrections to the effective dust optical depths that have been derived in Tables 1, 3, and 5.

### 4.4 Constraining the dust parameters

Cas A has been extensively studied at mid-IR wavelengths with *Spitzer*, which has enabled the silicate dust species in Cas A to be well constrained (Ennis et al. 2006; Rho et al. 2008; Arendt et al. 2014). We have determined the dust mass in the ISO-LWS apertures for a range of grain radii, silicate to amorphous carbon ratios and silicate dust species, in order to try to constrain the dust parameters in Cas A. Arendt et al. (2014) found that  $\text{Mg}_x\text{SiO}_{x+2}$  silicates provided the best fit to the 21- $\mu\text{m}$  feature in the *Spitzer* SED. Rho et al. (2008) and Douvion et al. (2001) modelled the *Spitzer* spectra and ISO-SWS spectra respectively with  $\text{MgSiO}_3$  as the predominant silicate composition. Arendt et al. (2014) and Rho et al. (2008) also found that the addition of amorphous carbon to the

silicate dust component improved their fits to the overall IR SED of Cas A. We calculated the dust masses in the ISO-LWS apertures using  $\text{Mg}_2\text{SiO}_4$ ,  $\text{Mg}_{0.7}\text{SiO}_{2.7}$ ,  $\text{Mg}_{2.4}\text{SiO}_{4.4}$ , and  $\text{MgSiO}_3$  as the silicate dust species, for silicate:amorphous carbon ratios of 0.5:0.5 and 0.75:0.25, with a range of grain radii from 0.05 to  $1.0 \mu\text{m}$ . We used the silicate species optical constants of Jäger et al. (2003), and the BE amorphous carbon optical constants of Zubko et al. (1996).

Although different silicate species can have widely different opacities at infrared wavelengths, there is much less variation at visible wavelengths. We found that the choice of silicate dust species did not greatly affect the derived dust masses. For the above silicate:carbon ratios, then for a given grain size the total derived dust mass in Cas A varied by less than 15 per cent between different  $\text{Mg}_x\text{SiO}_{x+2}$  species, with a mean difference of 4 per cent. The maximum difference between the dust masses derived using different silicate species was 60 per cent, that between  $\text{Mg}_2\text{SiO}_4$  and  $\text{MgSiO}_3$  when using a grain radius of  $0.3 \mu\text{m}$ . For silicate:carbon ratios of 0.5:0.5 and 0.75:0.25, the mean dust mass difference, over all grain radii from 0.05 to  $1.0 \mu\text{m}$ , was 17 per cent. For a fixed grain radius of  $0.05 \mu\text{m}$  and a silicate:carbon ratio of 0.5:0.5, as per the results in Tables 1, 3, and 5, we found that the total silicate + carbon dust masses varied by  $< 1$  per cent when using the range of silicate species discussed above. As we do not consider these differences to be significant, for simplicity we show in Fig. 12 only the dust masses that result from adopting  $\text{Mg}_2\text{SiO}_4$  as the silicate dust species.

Fig. 12 illustrates how the total silicate + carbon dust mass varies as the adopted grain radius is varied, for (a) a 50:50 ratio and (b) a 75:25 ratio of silicate to amorphous carbon. The black dashed line plotted at  $1.16 M_\odot$  in both panels of Fig. 12 is the maximum dust mass of  $\text{Mg}_2\text{SiO}_4$  and amorphous carbon in Cas A predicted using the nucleosynthetic yields of Woosley & Heger (2007) for a  $25 M_\odot$  progenitor star. In panel (b) of Fig. 12, for the 75/25  $\text{Mg}_2\text{SiO}_4$  to amorphous carbon ratio, the only consistent grain radius is approximately  $0.2 \mu\text{m}$ . This would give a dust mass of  $1.36 M_\odot$ , where the maximum dust mass permissible within Cas A given the nucleosynthetic limit is within the uncertainty limits. For the 50/50 ratio of panel (a), all dust grain radii smaller than  $0.2 \mu\text{m}$  are comfortably within the nucleosynthetic yield limit.

Grain radii of  $1 \mu\text{m}$ , which DL2017 adopted for their analysis of the far-infrared dust emission, would give a very large total dust mass here ( $> 5 M_\odot$ ), as such large grains are inefficient absorbers in the optical regime. However, DL2017 noted that the assumed size of the grains does not strongly affect the dust emissivity at IR/submm wavelengths and that adopting a smaller grain radius would only change their derived dust mass of  $0.5 \pm 0.1 M_\odot$  within the model uncertainties. Therefore, the DL2017 results are consistent with those obtained here.

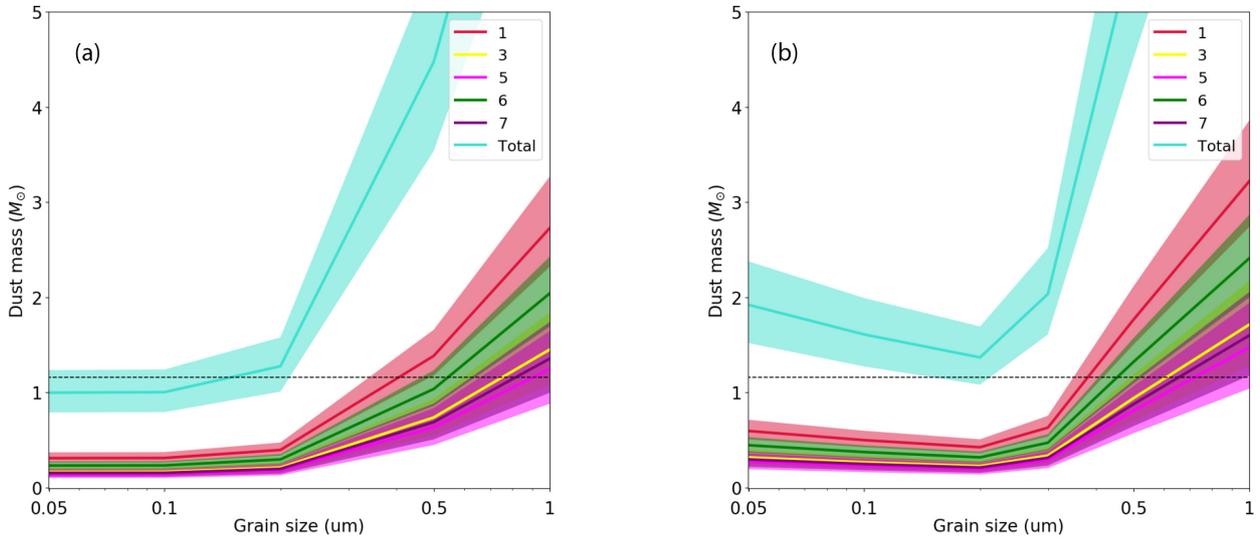
## 5 THE SURVIVABILITY OF CAS A’S DUST

An interesting question is the future survivability of the current mass of dust against destruction by shocks in Cas A.

Kirchschlager et al. (2020) treated sputtering, grain–grain collisions, ion trapping, and gas accretion in Cas A conditions and found that for an initial lognormal grain size distribution peaking at  $0.1 \mu\text{m}$ , between 15 and 50 per cent of silicate grains would survive an encounter with the reverse shock, for a range of clump density contrasts, while Kirchschlager et al. (2019) found that between 10 and 30 per cent of amorphous carbon grains could survive. So for an initial  $0.99 M_\odot$  of a 50:50 silicate:carbon dust mix,  $0.07$ – $0.25 M_\odot$  of silicates and  $0.05$ – $0.15 M_\odot$  of carbon dust could survive to reach the ISM. When considering dust destruction by sputtering

**Table 7.** Dust absorption optical depths,  $\tau_{\text{abs}}$ , and total (absorption + scattering) dust optical depths,  $\tau_{\text{tot}}$ , calculated for a projection of an *ISO-LWS* aperture through the centre of the Bevan et al. (2017) best-fitting spherically symmetric model for Cas A, compared with the effective optical depth,  $\tau_{\text{eff}}$ , deduced from the ratio of the output dust-affected to the input dust-free [O III]  $\lambda\lambda 4959, 5007\text{-}\text{\AA}$  fluxes.

Clumped?	Dust mass ( $M_{\odot}$ )	Per cent silicate	$\tau_{\text{abs}}$	$\tau_{\text{tot}}$	$\tau_{\text{eff}}$	$\tau_{\text{eff}}/\tau_{\text{tot}}$
Yes	1.1	50	0.38	0.46	0.49	1.06
No	1.1	50	0.37	0.46	0.51	1.11
No	5.5	50	1.88	2.31	2.04	0.88
Yes	5.5	50	1.86	2.28	1.44	0.63
No	1.1	75	0.18	0.23	0.24	1.03
Yes	1.1	75	0.17	0.22	0.20	0.92
No	5.5	75	0.87	1.14	1.17	1.02
Yes	5.5	75	0.86	1.12	0.89	0.80



**Figure 12.** (a) The dust masses within the numbered *ISO-LWS* apertures as a function of grain radius for a 50:50  $\text{Mg}_2\text{SiO}_4$ :amorphous carbon mix. (b) Dust masses as a function of grain radius for a 75:25  $\text{Mg}_2\text{SiO}_4$ :amorphous carbon mix. The black dashed line corresponds to the maximum dust mass within Cas A allowed by the nucleosynthetic yields of Woosley & Heger (2007).

only, Slavin et al. (2020) found that for initial grain radii of 0.1 or 0.2  $\mu\text{m}$ , then respectively 3 or 10 per cent of the mass of silicate dust and 30 or 45 per cent of the mass of amorphous carbon dust would survive passage through the remnant shocks. So for an initial 50:50 silicate:carbon dust mass of 0.99  $M_{\odot}$  consisting of 0.1  $\mu\text{m}$  radius grains, their results predict that 0.015  $M_{\odot}$  of silicates and 0.150  $M_{\odot}$  of amorphous carbon grains would survive, while for a 75:25 silicate:carbon total dust mass of 1.36  $M_{\odot}$  consisting of 0.2- $\mu\text{m}$  radius grains, their results predict that 0.10  $M_{\odot}$  of  $\text{Mg}_2\text{SiO}_4$  and 0.15  $M_{\odot}$  of amorphous carbon would survive shock impacts. Morgan & Edmunds (2003) and Dwek et al. (2007) have estimated that a typical CCSN would need to produce  $\geq 0.1 M_{\odot}$  of dust to account for a significant fraction of cosmic dust, a constraint that the above estimates satisfy, giving further weight to the hypothesis that CCSNe can be major contributors to galactic dust budgets.

## 6 CONCLUSIONS

*ISO-LWS* and *Herschel-PACS* spectroscopic measurements of far-infrared [O III] and [O I] emission line fluxes at multiple positions across Cas A have been compared with measurements of the optical line fluxes from the same ions in equivalent apertures. Maps of

interstellar dust extinction versus distance for different sightlines towards Cas A were used to correct the measured optical line fluxes for foreground dust extinction. For the northern region of Cas A, the interstellar dust column was estimated to lie entirely in front of the remnant. After correcting for this foreground extinction, the 5007- $\text{\AA}$ /52- $\mu\text{m}$  line flux ratio yielded an electron temperature for the  $\text{O}^{2+}$  emitting gas of  $T_e = 7900^{+400}_{-700}$  K. This value of  $T_e$  was adopted for the other observed positions, along with the electron densities implied by the measured [O III] 52/88- $\mu\text{m}$  ratios at each position, in order to predict the expected 5007- $\text{\AA}$  flux at each position from the observed [O III] far-IR line fluxes at the same positions. The ratio of these expected 5007- $\text{\AA}$  fluxes to the observed values after foreground extinction corrections had been applied enabled internal dust optical depths to be evaluated at each aperture position on the remnant. These dust optical depths were converted to dust masses by using optical constants for a 50:50 silicate:amorphous carbon mixture of 0.05- $\mu\text{m}$  radius grains.

From a comparison of *ISO-LWS* [O III] 52- $\mu\text{m}$  fluxes with *M2013* 5007- $\text{\AA}$  fluxes from the same aperture areas, a summed dust mass of  $0.99 \pm 0.1 M_{\odot}$  within the projected apertures was derived. The dust mass in Cas A derived by the IR-optical emission-line method used here agrees within the quoted uncertainties with those from two other

independent methods, namely the IR SED-based dust mass estimate of  $0.5 \pm 0.1 M_{\odot}$  by De Looze et al. (2017) and the optical emission line red–blue asymmetry-based dust mass estimate of  $1.1 M_{\odot}$  by Bevan et al. (2017).

Laming & Temim (2020) have questioned whether the dust mass within Cas A could be as large as  $0.5\text{--}1.1 M_{\odot}$ , preferring the IR SED-based dust mass of  $\leq 0.1 M_{\odot}$  obtained by Arendt et al. (2014), but we note that the latter’s SED analysis ignored the *Herschel*-SPIRE photometric data available for Cas A longwards of  $200 \mu\text{m}$ , a spectral region where its coolest and most massive dust component emits strongly.

Since high-resolution 3D optical data exist for the LMC remnant N132D (Law et al. 2020) and for the SMC remnant 1E 0102.2–7219 (Vogt et al. 2017), future spaceborne infrared missions could provide resolved far-IR spectra of these and similar young remnants to which the method described here could be applied. *Herschel* PACS-IFU and *ISO-LWS* spectra exist for the Crab Nebula, so an analysis using our method would also be possible given suitable resolved optical spectra.

## ACKNOWLEDGEMENTS

MND, MJB, and AB acknowledge support from European Research Council (ERC) Advanced Grant 694520 SNDUST. DM acknowledges National Science Foundation support from grants PHY-1914448 and AST-2037297. IDL acknowledges support from European Research Council (ERC) Starting Grant 851622 DustOrigin.

## DATA AVAILABILITY

The infrared spectra used here are available from the ESA *ISO* and *Herschel* archives, at <http://archives.esac.esa.int/nida/> and <http://archives.esac.esa.int/hsa/whsa/>, respectively. Copies of the Cas A optical spectroscopic data set can be obtained from D.M. upon reasonable request.

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# List of astronomical key words (Updated on 2020 January)

This list is common to *Monthly Notices of the Royal Astronomical Society*, *Astronomy and Astrophysics*, and *The Astrophysical Journal*. In order to ease the search, the key words are subdivided into broad categories. No more than *six* subcategories altogether should be listed for a paper.

The subcategories in boldface containing the word ‘individual’ are intended for use with specific astronomical objects; these should never be used alone, but always in combination with the most common names for the astronomical objects in question. Note that each object counts as one subcategory within the allowed limit of six.

The parts of the key words in italics are for reference only and should be omitted when the keywords are entered on the manuscript.

## **General**

editorials, notices  
errata, addenda  
extraterrestrial intelligence  
history and philosophy of astronomy  
miscellaneous  
obituaries, biographies  
publications, bibliography  
sociology of astronomy  
standards

## **Physical data and processes**

acceleration of particles  
accretion, accretion discs  
asteroseismology  
astrobiology  
astrochemistry  
astroparticle physics  
atomic data  
atomic processes  
black hole physics  
chaos  
conduction  
convection  
dense matter  
diffusion  
dynamo  
elementary particles  
equation of state  
gravitation  
gravitational lensing: micro  
gravitational lensing: strong  
gravitational lensing: weak  
gravitational waves  
hydrodynamics  
instabilities  
line: formation  
line: identification  
line: profiles  
magnetic fields  
magnetic reconnection  
(*magnetohydrodynamics*) MHD  
masers  
molecular data  
molecular processes  
neutrinos  
nuclear reactions, nucleosynthesis, abundances  
opacity  
plasmas  
polarization

radiation: dynamics  
radiation mechanisms: general  
radiation mechanisms: non-thermal  
radiation mechanisms: thermal  
radiative transfer  
relativistic processes  
scattering  
shock waves  
solid state: refractory  
solid state: volatile  
turbulence  
waves

## **Astronomical instrumentation, methods and techniques**

atmospheric effects  
balloons  
instrumentation: adaptive optics  
instrumentation: detectors  
instrumentation: high angular resolution  
instrumentation: interferometers  
instrumentation: miscellaneous  
instrumentation: photometers  
instrumentation: polarimeters  
instrumentation: spectrographs  
light pollution  
methods: analytical  
methods: data analysis  
methods: laboratory: atomic  
methods: laboratory: molecular  
methods: laboratory: solid state  
methods: miscellaneous  
methods: numerical  
methods: observational  
methods: statistical  
site testing  
space vehicles  
space vehicles: instruments  
techniques: high angular resolution  
techniques: image processing  
techniques: imaging spectroscopy  
techniques: interferometric  
techniques: miscellaneous  
techniques: photometric  
techniques: polarimetric  
techniques: radar astronomy  
techniques: radial velocities  
techniques: spectroscopic  
telescopes

## Astronomical data bases

astronomical data bases: miscellaneous  
atlases  
catalogues  
surveys  
virtual observatory tools

## Software

software: data analysis  
software: development  
software: documentation  
software: public release  
software: simulations

## Astrometry and celestial mechanics

astrometry  
celestial mechanics  
eclipses  
ephemerides  
occultations  
parallaxes  
proper motions  
reference systems  
time

## The Sun

Sun: abundances  
Sun: activity  
Sun: atmosphere  
Sun: chromosphere  
Sun: corona  
Sun: coronal mass ejections (CMEs)  
Sun: evolution  
Sun: faculae, plages  
Sun: filaments, prominences  
Sun: flares  
Sun: fundamental parameters  
Sun: general  
Sun: granulation  
Sun: helioseismology  
Sun: heliosphere  
Sun: infrared  
Sun: interior  
Sun: magnetic fields  
Sun: oscillations  
Sun: particle emission  
Sun: photosphere  
Sun: radio radiation  
Sun: rotation  
(*Sun*;) solar–terrestrial relations  
(*Sun*;) solar wind  
(*Sun*;) sunspots  
Sun: transition region  
Sun: UV radiation  
Sun: X-rays, gamma-rays

## Planetary systems

comets: general

## comets: individual: . . .

Earth  
interplanetary medium  
Kuiper belt: general

## Kuiper belt objects: individual: . . .

meteorites, meteors, meteoroids

minor planets, asteroids: general

## minor planets, asteroids: individual: . . .

Moon  
Oort Cloud  
planets and satellites: atmospheres  
planets and satellites: aurorae  
planets and satellites: composition  
planets and satellites: detection  
planets and satellites: dynamical evolution and stability  
planets and satellites: formation  
planets and satellites: fundamental parameters  
planets and satellites: gaseous planets  
planets and satellites: general

## planets and satellites: individual: . . .

planets and satellites: interiors  
planets and satellites: magnetic fields  
planets and satellites: oceans  
planets and satellites: physical evolution  
planets and satellites: rings  
planets and satellites: surfaces  
planets and satellites: tectonics  
planets and satellites: terrestrial planets  
planet–disc interactions  
planet–star interactions  
protoplanetary discs  
zodiacal dust

## Stars

stars: abundances  
stars: activity  
stars: AGB and post-AGB  
stars: atmospheres  
(*stars*;) binaries (*including multiple*): close  
(*stars*;) binaries: eclipsing  
(*stars*;) binaries: general  
(*stars*;) binaries: spectroscopic  
(*stars*;) binaries: symbiotic  
(*stars*;) binaries: visual  
stars: black holes  
(*stars*;) blue stragglers  
(*stars*;) brown dwarfs  
stars: carbon  
stars: chemically peculiar  
stars: chromospheres  
(*stars*;) circumstellar matter  
stars: coronae  
stars: distances  
stars: dwarf novae  
stars: early-type  
stars: emission-line, Be  
stars: evolution  
stars: flare  
stars: formation  
stars: fundamental parameters  
(*stars*;) gamma-ray burst: general  
(*stars*;) **gamma-ray burst: individual: . . .**  
stars: general  
(*stars*;) Hertzsprung–Russell and colour–magnitude diagrams  
stars: horizontal branch  
stars: imaging  
**stars: individual: . . .**  
stars: interiors

stars: jets  
 stars: kinematics and dynamics  
 stars: late-type  
 stars: low-mass  
 stars: luminosity function, mass function  
 stars: magnetars  
 stars: magnetic field  
 stars: massive  
 stars: mass-loss  
 stars: neutron  
 (*stars:*) novae, cataclysmic variables  
 stars: oscillations (*including pulsations*)  
 stars: peculiar (*except chemically peculiar*)  
 (*stars:*) planetary systems  
 stars: Population II  
 stars: Population III  
 stars: pre-main-sequence  
 stars: protostars  
 (*stars:*) pulsars: general  
 (*stars:*) **pulsars: individual: . . .**  
 stars: rotation  
 stars: solar-type  
 (*stars:*) starspots  
 stars: statistics  
 (*stars:*) subdwarfs  
 (*stars:*) supergiants  
 (*stars:*) supernovae: general  
 (*stars:*) **supernovae: individual: . . .**  
 stars: variables: Cepheids  
 stars: variables: Scuti  
 stars: variables: general  
 stars: variables: RR Lyrae  
 stars: variables: S Doradus  
 stars: variables: T Tauri, Herbig Ae/Be  
 (*stars:*) white dwarfs  
 stars: winds, outflows  
 stars: Wolf–Rayet

### **Interstellar medium (ISM), nebulae**

ISM: abundances  
 ISM: atoms  
 ISM: bubbles  
 ISM: clouds  
 (*ISM:*) cosmic rays  
 (*ISM:*) dust, extinction  
 ISM: evolution  
 ISM: general  
 (*ISM:*) HII regions  
 (*ISM:*) Herbig–Haro objects

### **ISM: individual objects: . . .**

(*except planetary nebulae*)  
 ISM: jets and outflows  
 ISM: kinematics and dynamics  
 ISM: lines and bands  
 ISM: magnetic fields  
 ISM: molecules  
 (*ISM:*) photodissociation region (PDR)  
 (*ISM:*) planetary nebulae: general  
 (*ISM:*) **planetary nebulae: individual: . . .**  
 ISM: structure  
 ISM: supernova remnants

### **The Galaxy**

Galaxy: abundances  
 Galaxy: bulge  
 Galaxy: centre  
 Galaxy: disc  
 Galaxy: evolution  
 Galaxy: formation  
 Galaxy: fundamental parameters  
 Galaxy: general  
 (*Galaxy:*) globular clusters: general  
 (*Galaxy:*) **globular clusters: individual: . . .**  
 Galaxy: halo  
 Galaxy: kinematics and dynamics  
 (*Galaxy:*) local interstellar matter  
 Galaxy: nucleus  
 (*Galaxy:*) open clusters and associations: general  
 (*Galaxy:*) **open clusters and associations: individual: . . .**  
 (*Galaxy:*) solar neighbourhood  
 Galaxy: stellar content  
 Galaxy: structure

### **Galaxies**

galaxies: abundances  
 galaxies: active  
 galaxies: bar  
 (*galaxies:*) BL Lacertae objects: general  
 (*galaxies:*) **BL Lacertae objects: individual: . . .**  
 galaxies: bulges  
 galaxies: clusters: general  
**galaxies: clusters: individual: . . .**  
 galaxies: clusters: intracluster medium  
 galaxies: disc  
 galaxies: distances and redshifts  
 galaxies: dwarf  
 galaxies: elliptical and lenticular, cD  
 galaxies: evolution  
 galaxies: formation  
 galaxies: fundamental parameters  
 galaxies: general  
 galaxies: groups: general

### **galaxies: groups: individual: . . .**

galaxies: haloes  
 galaxies: high-redshift

### **galaxies: individual: . . .**

galaxies: interactions  
 (*galaxies:*) intergalactic medium  
 galaxies: irregular  
 galaxies: ISM  
 galaxies: jets  
 galaxies: kinematics and dynamics  
 (*galaxies:*) Local Group  
 galaxies: luminosity function, mass function  
 (*galaxies:*) Magellanic Clouds  
 galaxies: magnetic fields  
 galaxies: nuclei  
 galaxies: peculiar  
 galaxies: photometry  
 (*galaxies:*) quasars: absorption lines  
 (*galaxies:*) quasars: emission lines  
 (*galaxies:*) quasars: general

*(galaxies:)* **quasars: individual: . . .**  
*(galaxies:)* quasars: supermassive black holes  
galaxies: Seyfert  
galaxies: spiral  
galaxies: starburst  
galaxies: star clusters: general

**galaxies: star clusters: individual: . . .**  
galaxies: star formation  
galaxies: statistics  
galaxies: stellar content  
galaxies: structure

### **Cosmology**

*(cosmology:)* cosmic background radiation  
*(cosmology:)* cosmological parameters  
*(cosmology:)* dark ages, reionization, first stars  
*(cosmology:)* dark energy  
*(cosmology:)* dark matter  
*(cosmology:)* diffuse radiation  
*(cosmology:)* distance scale  
*(cosmology:)* early Universe  
*(cosmology:)* inflation  
*(cosmology:)* large-scale structure of Universe  
cosmology: miscellaneous  
cosmology: observations  
*(cosmology:)* primordial nucleosynthesis  
cosmology: theory

### **Resolved and unresolved sources as a function of wavelength**

gamma-rays: diffuse background  
gamma-rays: galaxies  
gamma-rays: galaxies: clusters  
gamma-rays: general  
gamma-rays: ISM  
gamma-rays: stars  
infrared: diffuse background  
infrared: galaxies  
infrared: general  
infrared: ISM  
infrared: planetary systems  
infrared: stars  
radio continuum: galaxies  
radio continuum: general  
radio continuum: ISM  
radio continuum: planetary systems  
radio continuum: stars  
radio continuum: transients  
radio lines: galaxies  
radio lines: general  
radio lines: ISM  
radio lines: planetary systems  
radio lines: stars  
submillimetre: diffuse background  
submillimetre: galaxies  
submillimetre: general  
submillimetre: ISM  
submillimetre: planetary systems  
submillimetre: stars  
ultraviolet: galaxies

ultraviolet: general  
ultraviolet: ISM  
ultraviolet: planetary systems  
ultraviolet: stars  
X-rays: binaries  
X-rays: bursts  
X-rays: diffuse background  
X-rays: galaxies  
X-rays: galaxies: clusters  
X-rays: general  
**X-rays: individual: . . .**  
X-rays: ISM  
X-rays: stars

### **Transients**

*(transients:)* black hole mergers  
*(transients:)* black hole - neutron star mergers  
*(transients:)* fast radio bursts  
*(transients:)* gamma-ray bursts  
*(transients:)* neutron star mergers  
transients: novae  
transients: supernovae  
transients: tidal disruption events