## 1 Introduction

2 Vaccines are one of the greatest advances in medicine and an important public health tool, as they not only 3 prevent infection, morbidity and mortality individually, but also reduce and eliminate disease prevalence 4 locally, ultimately leading to eradication of disease globally [1]. Since the development of the smallpox vaccine 5 in 1798 [2] and rabies vaccine in 1885 [3], vaccine technology progressed from the use of inactivated and 6 attenuated pathogens, to the use of subunits that only contain those pathogen components that can trigger an 7 immunologic response (Figure 1). Key milestones include the development of virus-like particle vaccines, 8 recombinant viral-vectored vaccines, and toxoids, polysaccharides or protein-based vaccines, which can be 9 conjugated with different protein carriers to improve immune response. 10 Vaccines save 6 million lives every year and are one of the major responsible for the increase of human 11 longevity [6]. Their impact on the economic viability of the healthcare system is also very large, since vaccines 12 lower the treatment costs of diseases [7], and reduce the impact and risk of outbreaks [8]. Additionally, by 13 preventing bacterial infection and, subsequently, reducing the need for antibiotic treatment, vaccines can have 14 an impact on antimicrobial resistance [9]. The use of vaccines goes beyond prevention of infectious diseases. 15 Technology advances coupled with progress in target selection and understanding of the immunosuppressive 16 mechanisms have led to the development of therapeutic cancer vaccines [10]. 17 Despite the proven effectiveness of current vaccines, there is still room for improvement in the vaccine 18 technology field. Traditional attenuated and inactivated vaccines are still widely used today (e.g., Bacillus 19 Calmette–Guérin vaccine, BCG and Inactivated Polio vaccine, IPV) owing to their robustness and stability. 20 However, they present safety concerns due to the use of whole pathogens and in many cases, they don't have 21 a defined composition. In the case of toxoid and subunit vaccines, and despite their safety and stability profile, 22 the use of adjuvants is required for a strong immune response and the protection lifetime is limited (Table 1). 23 The manufacturing of new vaccines is typically a lengthy (6 to 36 months), challenging and expensive process, 24 as no standard process is available [11,12]. To deliver effective, precise, and consistent vaccines it is imperative

25 to use good manufacturing practice (GMP) compliant equipment, facilities, and procedures. However, this is 26 costly and difficult to implement at a large scale. Vaccines developed on the basis of traditional technology 27 have failed to respond effectively to several diseases, such as malaria, tuberculosis, AIDS or flu. Furthermore, 28 SARS and Ebola epidemic outbreaks and, more recently, the CODVID-19 pandemic, show that many of the 29 current platforms are not well suited for a very fast, efficient, and cost-effective response. 30 New vaccine technology approaches are thus necessary to improve our response to outbreaks and enable 31 vaccination worldwide. Ideally, a new vaccine should be safe, effective, stable, available to all populations and 32 not susceptible to antigenic variance [13]. The manufacturing must be reliable, efficient, low-cost, and flexible 33 to allow on-demand production. Viral vectors and DNA technology are two cutting-edge platforms that have 34 the flexibility and characteristics to support faster vaccine development and manufacturing [14]. However, the 35 costly and complex manufacturing of viral vectored vaccines and the poor immunogenicity presented by DNA 36 vaccines (Table 1) can make them unattractive for some clinical applications.

# 37 The rise of mRNA technology

38 mRNA vaccines have reached the spotlight during the Covid-19 pandemic, as the forefront technology used for 39 the development of vaccines by many companies. In fact, a mRNA vaccine candidate was the first to reach 40 phase I clinical trials [15]. The potential of mRNA vaccines was first hinted at in 1990, when the in vivo 41 expression of a protein was observed after injecting the coding mRNA into mouse skeletal muscle [16]. These 42 early experiments proved that in vitro transcribed mRNA (IVT) can induce the production of proteins in live 43 tissues. During the following 10 years, several studies demonstrated that mRNA could induce an immunologic 44 response to the expressed protein in many mammalian cell types both *in vitro* and *in vivo* [17–19] 45 mRNA technology presents several advantages that makes it an attractive alternative over traditional vaccines 46 or even DNA vaccines. Unlike attenuated or inactivated vaccines, mRNA is precise as it will only express a 47 specific antigen and induce a directed immune response. Additionally, it promotes both humoral and cellular 48 immune response and induces the innate immune system [20]. Compared with DNA-based vaccines, mRNA is

49 more effective, since expression does not require nuclear entry, and safer, since the probability of random 50 genome integration is virtually zero [21,22]. Additionally, expression of the coded antigens is transient since 51 mRNA is quickly degraded by cellular processes, with no traces found after 2-3 days [23]. The flexible nature of 52 the mRNA vaccine platform is also advantageous for manufacturing since a change in the encoded antigen 53 does not affect the mRNA backbone physical-chemical characteristics [24], and hence allow production to be 54 standardized. Additionally, since production is based on an *in vitro* cell-free transcription reaction, safety 55 concerns regarding the presence of cell-derived impurities and viral contaminants commonly found in other 56 platforms are minimised.

### 57 mRNA Vaccine Structure

58 Construction of mRNA vaccines requires the insertion of the encoded antigen in a DNA template from where 59 the mRNA is transcribed in vitro. Unlike DNA, mRNA only needs to reach the cytosol, where it will be 60 transcribed into the antigen in vivo, using the cell machinery. This way, any desired sequence can be designed, 61 produced in vitro, and delivered to any type of cell [21]. Inside the cells, RNA is recognised by endosomal or 62 cytosolic receptors, which can lead to the activation of the type I interferon (IFN-I) pathway, and to the 63 promotion of the production of chemokines and proinflammatory cytokines. These signal molecules lead to 64 antigen-presenting cell (APC) activation and, subsequently, to a strong adaptive response [25]. 65 The structure of mRNA vaccines is similar to eukaryotic mRNA - a single-stranded molecule with a cap at the 5' 66 end, a poly(A) tail at the 3' end and an open reading frame (ORF) flanked by untranslated regions (UTR) [20]. 67 The 5' cap is an important component as it enables the translation initiation by binding to a eukaryotic 68 translation initiation factor (eIF4E) [26]. Different structures are possible for the 5' cap. The Cap 0 structure, 69 which features a methyl-7 guanine nucleotide linked to the 5' position through a 5' triphosphate, is the 70 simplest. The Cap 1 structure is achieved by the methylation of the mRNA first nucleotide at the ribose 2'-O 71 position. Both caps can be added during in vitro mRNA transcription using a synthetic cap analogue [27] or the 72 proprietary Cap dinucleotide CleanCap® [28]. Another capping approach uses a post-transcription enzymatic

73 reaction based on the vaccinia capping system [29]. This modification brings with it a number of advantages as 74 it improves the translation initiation by recruiting translation initiation factors, protects the synthetic mRNA 75 against exonuclease degradation [30], and avoids an innate immunity overactivation response [25]. The 76 addition of a 3' poly(A) tail also improves mRNA stability and translational activities, as it protects mRNA from 77 nuclease degradation by the poly(A)-binding protein (PABP) [31]. This tail can be added to the transcript by 78 inserting a poly(A) sequence in the DNA template or by an enzymatic reaction [27]. Tail size optimization is an 79 important factor for the stabilization and expression of mRNA. Longer poly-A tails can improve mRNA stability 80 and translation. However, this effect is not linear, and the best tail size is dependent on cell type [31]. The 81 untranslated regions (UTRs) are responsible for the transcription regulation and mRNA stability. These regions 82 strongly affect translation efficiency as the sequences used are involved in the translation machinery 83 recognition, recruitment, and mRNA trafficking. Strategies to modulate the innate immune response, such as 84 the introduction of unnatural nucleosides (NTPs), and to improve translation efficiency, by using codon 85 optimisation, are also commonly used in mRNA production [27, 28]. 86 Two forms of mRNA structure are being extensively studied for vaccine applications: conventional or non-87 replicating mRNA and self-amplifying mRNA. In the conventional mRNA form, the antigen of choice is only 88 flanked by UTR regions, a 3' poly(A) tail and a 5' cap. This form presents several advantages - molecules are 89 simple and small, and the possibility of unwanted immune response is lowered since no other proteins are 90 encoded [32]. However, this mRNA expression is limited to its transient nature, and higher mRNA doses may 91 be necessary to achieve high expression [33]. Efforts have been made to overcome this bottleneck by using 92 sequence optimization and formulation [34]. Self-amplifying mRNA (saRNA) is based on the addition of a viral 93 replicase gene to enable the mRNA to self-replicate. Usually, sequences of single-stranded RNA viruses, such as 94 alphaviruses, flaviviruses, and picornaviruses, are used [35]. Upon cytoplasm delivery, this type of mRNA 95 produces high levels of the antigen of interest. Despite the use of viral genes, no viral infectious particles or 96 virus-like-particles are observed during expression, reducing the safety concerns [21]. Evaluation of an saRNA 97 vaccine for protection of mouse models against H1N1/PR8 infection showed that a 64-fold lower dose was

98 required to induce an immunologic response when compared with the conventional mRNA vaccine

99 counterpart [36].

Trans-amplifying mRNA (taRNA) is a new structural modality of mRNA vaccines. The taRNA results from the splitting of the self-amplifying mRNA in a system with two templates, one containing the gene of interest and a second containing the replicase system. The amplification is performed *in trans* by the replicase in the cytoplasm. This system presents some advantages over saRNA since it is safer, more versatile and costeffective to manufacture, as the production of shorter RNAs with high yield and high quality is less challenging. taRNA has already been used to protect mice against influenza with results showing induction of antibodies and protection[37].

### 107 mRNA Delivery

108 mRNA must cross the cell membrane to reach the cytosol. This is challenging due to the negative charge of the 109 molecule, its relatively large size (300-5000 kDa) and degradability, which can hamper its passive pass through 110 the cell membrane [38]. To overcome this, mRNA can be delivered using different strategies including: i) direct 111 injection of naked mRNA; ii) conjugation with lipid-based carriers, polymers, or peptides; iii) via transfection of 112 dendritic cells (DC) [39].

113 The induction of an immune response by injection of naked mRNA in conventional and self-amplifying forms 114 has been widely reported [40–44]. However, mRNA delivery can be limited by the presence of extracellular 115 exonucleases in the target tissues, inefficient cell uptake or unsuccessful endosomal release [27]. Liposomes or 116 lipid nanoparticles (LNPs) are one of the most promising mRNA delivery tools [45]. For example, LNP-mediated 117 delivery of mRNA vaccines against Zika and influenza has shown encouraging results [46–49]. Although less 118 explored, polymer-based delivery systems can also be used. Polyethylenimine (PEI) systems were successfully 119 implemented as a strategy to deliver mRNA to cells [50], and intranasally [51]. Additionally, PEI-based systems 120 improved the response to sa-mRNA vaccines in skin explants [52] and in mice [36]. Peptide-based delivery is a 121 less explored system, as only protamine has been evaluated in clinical trials [53]. New delivery approaches

include the use of cationic cell-penetrating peptides (CPPs) and anionic peptides. CPPs systems have proved to
improve T-Cell immunity response *in vivo* [54], modulate innate immune response and enhance protein
expression in both DC and human cancer cells *in vitro* [55,56]. mRNA polyplexes conjugated with an anion
peptide, exhibited an increase in cellular uptake without inducing cytotoxicity in DC cells [57].
Despite the efforts to improve mRNA delivery, there are still challenges that must be considered, such as the
delivery efficiency, cell targeting, materials safety, route of administration and vaccine thermostability. This
topic is extensively revised elsewhere [39].

### 129 Applications

Since Wolf *et al.* [16] showed that proteins can be produced from *in vitro* transcribed mRNA in live tissues, mRNA vaccines have been demonstrating efficacy in a number of applications [58]. The first record of a clinical trial using mRNA technology based on RNA-pulsed DC cancer vaccine dates back to 2003 [59]. Today, more than 140 clinical trials can be found that use mRNA to address different conditions such as cancer or infectious disease (Figure 2).

135 From the first applications, mRNA has emerged as a potential therapy for cancer. Boczkowski et al [60] 136 produced one of the first breakthroughs by using mRNA to generate vaccines based on RNA-pulsed dendritic 137 cells (DC) against tumour cells. Using this system, the antigen-presenting immune response was induced, and 138 tumour regression was observed. Since then, mRNA-based DC vaccines have shown their potential in cancer 139 applications in over 70 completed clinical trials. Recently, a phase I study where RNA transduced DCs were 140 evaluated as a post-remission therapy in acute myeloid leukaemia (AML) was published [61]. This treatment 141 induced an immune response with a positive relation between higher survival rate of patients with  $\leq$  65 years. 142 The use of mRNA has also been explored to engineer T- or Natural Killer (NK) cells to express chimeric antigen 143 receptor (CAR) that are used as a cancer cell therapy [62,63]. In fact, this this system was successfully 144 implemented in a phase I clinical trial designed to evaluate its potential in the treatment of colorectal cancers 145 [64].

146 The direct injection of mRNA is a more cost-effective delivery alternative to DC vaccines. In vivo delivery of the 147 naked, complexed, or encapsulated mRNA can be successfully performed by a number of administration 148 routes such as intradermal, intramuscular, intranasal, intratumoral, intranodal or even intravenous [45]. Using 149 this method, a dose consisting of only a few tenths or hundreds of micrograms of mRNA (10-250  $\mu$ g) is 150 administered to each patient to trigger an immune response [65]. The first clinical trial evaluating direct 151 injection used naked mRNA in patients with melanoma [66]. This approach was feasible and safe but no clinical 152 effectiveness was observed. Self-adjuvanted RNActive® vaccines is a technology developed by CureVac that 153 uses a mixture of protamine-complexed and naked mRNA to improve the immunostimulatory effect of the 154 vaccine [67]. This technology was successfully applied in phase I and I/II clinical trials targeting liver [68], 155 prostate [69], lungs [70] and melanoma [71] cancers. New delivery approaches using lipoplexes and LNPs have 156 been extensively used in clinical trials studies in the last couple of years. Recent results show that both 157 technologies can be successfully applied to treat melanoma [72], lymphoma [73,74], and solid tumours 158 [75,76].

159 Cancer is currently the target of choice for mRNA technology. Over 50% of the clinical trials focus on the 160 treatment of melanomas, prostate and brain cancer (Figure 3), with most of the trials still in the early phases (I 161 and II). The lack of benchmarks for cancer treatment hampers the evaluation of the vaccine's effectiveness 162 beyond the safety profile and the immunological response [21]. However, this is not the case for infectious 163 diseases since many conventional vaccines are available to serve as benchmarks to validate the new mRNA 164 vaccines. mRNA have also shown potential, not only for the treatment of cancer, but also as a therapeutic for 165 protein expression in the treatment a number of other diseases, such a cardiovascular disease [87,88] and type 166 II diabetes [88].

Owing to its versatility and flexible manufacture, mRNA is an excellent platform for the development of
 prophylactic or therapeutic vaccines against infectious diseases (Figure 3). The first studies using mRNA
 technology for infectious diseases therapeutics targeted HIV. Using DC-based and naked delivery systems,

170 phase I and II clinical trials presented mixed results despite the vaccine's safe profile [77], as a lack of an

171 efficient immunologic response against HIV was observed [78,79].

172 Prophylactic vaccines using mRNA technology were also directed to rabies, with the first clinical trial using a

173 self-adjuvanted delivery system [80]. Interestingly, this trial showed that the vaccine effectiveness depended

174 on the route of administration, as only those patients that received the vaccine via needle-free devices

175 produced antibodies above the WHO predefined titre (≥0.5 IU mL<sup>-1</sup>). A new formulated mRNA vaccine based

176 on LNPs delivery system is currently being evaluated in a phase I clinical trial [25].

177 mRNA technology is a perfect fit to overcome the bottlenecks faced by the conventional influenza vaccine.

178 Indeed, studies on influenza immunisation provided the first demonstration of the efficacy of mRNA vaccines

against infectious diseases in animals models (mice, ferrets and pigs) [81]. An LNP-based vaccine encoding

180 H10N8 and H7N9 is currently being evaluated in phase I clinical trials. The first published results demonstrated

181 that the H10N8 encoding vaccine was safe and triggered a robust prophylactic immunity [48].

182 mRNA vaccines have also shown promising results against other infectious diseases. For example, experiments

183 with an LNP-based system against Zika have been performed in cells, mice and primates [46,47]. Currently,

184 phase I clinical trials against Zika virus, Chikungunya virus, and a phase II trial against Human Cytomegalovirus

185 using LNPs-bases systems are on-going.

186 During the current Covid-2019 pandemic, mRNA vaccines took the spotlight as the first vaccines to be

187 approved for the prophylactic treatment. Furthermore, at least nine clinical trials can be found using mRNA

technology, two of which are in phase III. Three recently published studies describe encouraging results

189 obtained in phase I clinical trials using LNP-based systems [82–86]. All studies reported a safe profile with mild

190 to moderate reactions, despite the greater reactogenicity observed following the administration of the second

dose. Furthermore, an immunologic response was also observed in all studies, thus supporting the advance of

this technology to late-stage clinical evaluation. A recent phase III study reported an efficacy of 95% [86].

193

## <sup>194</sup> mRNA Manufacturing: from upstream to downstream

One of the most important advantages of mRNA over conventional vaccines is its relatively simple 195 196 manufacturing. To produce the mRNA product with specific quality attributes, a series of manufacturing steps 197 must be carried out. Currently, a well-established manufacturing platform is still lacking and a number of 198 combinations of steps is possible. These can be grouped into the upstream processing, which comprises the 199 enzymatic generation of mRNA, and the downstream processing, which includes the unit operations required 200 to purify the mRNA product (Figure 4). These are complemented with LNP formulation and Fill-to-Finish steps 201 [92]. Nonetheless, the choice of the unit operations is still dependent on the purpose. For example, a lab scale 202 production usually consists of a one-step synthesis reaction followed by a nuclease digestion and a 203 precipitation [58]. The exact unit operations used can have an impact on the manufacturing price [92] and on 204 the cost per dose. Ultimately, the cost will be greatly influenced by the quantity of RNA per dose, production 205 titres and production scale used. The purchase price of 5' cap analogue and modified UTP seem to have an 206 impact on the cost [92].

207 mRNA is produced in a cell-free system and uses no animal derived raw materials. Cell-derived impurities or 208 adventitious contaminations are thus absent, which makes the manufacturing of these molecules safer [58, 209 65]. The in vitro transcription (IVT) enzymatic reaction used to generate mRNA relies on T7, SP6 or T3 RNA 210 polymerases to catalyse the synthesis of the target mRNA from the corresponding DNA template (Figure 4). 211 This template must be produced in advance, usually by linearisation of a purified plasmid or by amplification of 212 the region of interest using PCR. Apart from the linear DNA template, the IVT components must then include 213 an RNA polymerase, nucleotide triphosphates (NTPs) substrates, the polymerase cofactor MgCl<sub>2</sub>, a pH buffer 214 containing polyamine and antioxidants [33,89]. The reaction only takes a few hours in contrast with the time-215 consuming processes used to manufacture conventional vaccines. Furthermore, this reduced time lowers the 216 probability for contamination to occur [65]. In general, milligrams of mRNA per millilitre of reaction can be 217 obtained [90]. Additionally, the production process can be standardized as it is not dependent on the antigen 218 encoded in the template.

219 As for mRNA capping, it can be performed during the IVT reaction by substituting a part of the guanosine 220 triphosphate (GTP) substrate for a cap analog [91]. Alternatively, mRNA can be capped in a second enzymatic 221 reaction using the vaccinia capping enzyme (VCC) and a methyl donor as a substrate (Figure 4). Although the 222 capping efficiency of this method is higher (100% compared to 60-80% obtained with the use of a cap analog), 223 the process with cap analogs is faster as it does not require the set-up of a second enzymatic reaction [25]. 224 However, due to their price, cap analogues can have an impact on production costs [92], especially if large 225 scale manufacturing is considered. Nevertheless, a cost analysis should be performed to compare the costs of 226 the one-step and two-step production options [93]. Alternatively, co-transcriptional capping can be performed 227 using CleanCap® Reagent AG [28]. Although this method does not compete with GTP and delivers a Cap 1 228 construct, it requires the use of templates with a modified T7 promoter.

Although several commercial kits are available to produce mRNA for preclinical studies at laboratory scale,

their costs are high [94]. The generation of mRNA by IVT at large scale and under current good manufacturing

practice (cGMP) conditions is also challenging. For example, the specialised components of the IVT reaction

must be acquired from certified suppliers that guarantee that all the material is animal component-free and

233 GMP-grade. Furthermore, the availability of large amounts of these materials is limited and purchasing costs

are high [58]. This is true, for example, in the case of the enzymes used for translation and capping.

235 Nevertheless, the expedite and simple nature of the production process is expected to lower production and

236 operational costs when compared with the cell-based manufacturing of other biologicals such as proteins,

antibodies, plasmid DNA and virus-like particles [94].

Once the mRNA is generated by IVT, it must be isolated and purified from the reaction mixture using multiple
 purification steps to achieve clinical purity standards (Figure 4). The reaction mixture contains not only the

240 desired product, but also a number of impurities, which includes enzymes, residual NTPs and DNA template,

and aberrant mRNAs formed during the IVT. Traditional lab scale purification methods are based on DNA

removal by DNAse digestion followed by lithium chloride (LiCl) precipitation [31,58]. However, these methods

243 do not allow the removal of aberrant mRNA species such as dsRNA and truncated RNA fragments. The removal

of these product-related impurities is crucial for mRNA performance, as they lower translation efficiency and
 modify the immunostimulatory profile. For example, a 10-1000-fold increase in protein production was
 observed when nucleoside-modified mRNA was purified by reverse phase HPLC prior to delivery to primary DC
 [95].

Chromatography is a mainstream purification process widely accepted in the pharmaceutical industry. Its high
popularity is derived from several attributes such as selectively, versatility, scalability and cost-effectiveness
[96]. The first published protocol for large scale purification of synthetically produced RNA oligonucleotides
used size exclusion chromatography (SEC) in a gravity-flow mode to separate molecules according to size. [97].
Further studies applying SEC with fast performance liquid chromatography were performed [98,99]. These
techniques allowed a preparative scale purification process, achieving high purity and high yields. However,

254 SEC presents limitations, as it is not able to remove similar size impurities, such as dsDNA.

255 The use of ion pair reverse-phase chromatography (IPC) proved to be an excellent method for mRNA

purification [44,95,100,101]. In IPC, the negatively charged sugar-phosphate backbone of the oligonucleotides
will pair with quaternary ammonium compounds present in the mobile phase (in this case triethylammonium)

acetate) to become lipophilic and then interact with the stationary phase of a reverse-phase chromatography

column [90]. Elution is then performed with a gradient of an adequate solvent, e.g., acetonitrile. Using this

approach, dsRNA impurities are effectively removed while maintaining the process's high yield. However, IPC is

261 challenging and costly to scale, and the use of toxic reagents such as acetonitrile, is not desirable. A new

cellulose-based chromatography process for the removal of dsRNA has been described that leverages the

ability of dsRNA to bind to cellulose in presence of ethanol [102]. This method reported a mRNA yield of >65%

with a dsRNA removal of over 90%. Still, the removal of other impurities was not addressed, and thus the

265 introduction of pre-purification steps is likely to be required.

Ion exchange chromatography (IEC) can also be used to purify mRNA at large scale. This technique explores the
 charge difference between the target mRNA species and the different impurities. For example, weak anion
 exchange chromatography has been successfully implemented to separate mRNA from IVT impurities [103].

269 IEC presents several advantages: it is scalable and cost-effective; it allows the separation of longer RNA 270 transcripts; and it presents higher binding capacities (when compared with IPC) [104]. Nevertheless, this 271 chromatography must be performed under denaturing conditions. This makes the process more complex as it 272 requires a mobile phase heater and a tight control of the temperature during chromatography. 273 Affinity based separation is another mRNA purification approach. A single-stranded sequence of 274 deoxythymidine (dT) - Oligo dT - is routinely used for the capture of mRNA in laboratory applications. This 275 sequence binds to the poly-A tails present in the mRNA. Chromatographic beads with immobilized oligo dT 276 could thus be used for the process scale purification using affinity chromatography: the poly-A tails of the 277 single stranded mRNA produced during IVT would bind to the stationary phase while impurities are washed 278 out. This way, IVT unconsumed reagents, the DNA template and dsRNA could be efficiently removed [105]. 279 While high purity products can be obtained using affinity chromatography, several drawbacks are present such 280 as low binding capacities and a less cost-effective process. 281 The removal of small size impurities can also be achieved while concentrating or diafiltrating solutions by 282 tangential flow filtration (TFF) [106,107]. Core bead chromatography can also be used for this purpose [108]. In 283 this case, small impurities are trapped inside the beads, and the product will be in the flowthrough. However, 284 both techniques rely on DNase digestion or denaturing agents to remove high size molecules such as the DNA 285 template or the polymerase. DNA removal can also be achieved using hydroxyapatite chromatography without 286 the use of a DNase [108]. As a polishing step, hydrophobic interaction chromatography (HIC) can be applied 287 using connective interaction media monolith (CIM) containing OH or SO<sub>3</sub> ligands [109]. 288 Large scale adaptations of the traditional laboratory scale mRNA purification methods are also being explored. 289 For example, mRNA precipitation can be combined with TFF technique [106]. During TFF, the membrane 290 captures the precipitated mRNA product while other impurities are removed by diafiltration. The product is 291 then eluted by re-solubilizing the mRNA. Furthermore, DNA template removal can be achieved by performing 292 the digestion with immobilised DNase [110]. Another approach is to use tagged DNA template that can then be 293 removed after IVT using affinity chromatography [110]. Despite being scalable, these methods present a

294 limited effectiveness since they only focus on the removal of some specific impurities and hence must be295 coupled with other purification steps.

296

#### 297 New perspectives

298 The current IVT mRNA production methods must be improved to move mRNA technology to 299 commercialisation and to support market demand. As process yields and production scale have an impact on 300 the manufacturing costs and consequentially on the cost per dose [92], we speculate that continuous 301 processing would have a particular advantage to lower costs. Continuous processing is already used in the 302 chemical and pharmaceutical industry to run flexible and cost-effective processes and will ultimately offer on 303 demand production. Additionally, the process integration made possible by continuous manufacturing may 304 also reduce operation time and facilitate automation and process analytical technologies (PAT), which can 305 result in a higher productivity and higher product quality [111,112]. The relative simplicity of mRNA 306 manufacturing makes the process well suited for continuous processing, and in particular at a microfluidic 307 scale (Figure 5). At this scale, reaction rates can be accelerated under specific conditions, the use of expensive 308 reagents can be minimised, and cascade reactions can be compartmentalised easily [113]. Further, in situ 309 product removal (ISPR) and substrate feed and product recovery (SFPR) strategies can be implemented in flow 310 to facilitate process control, recirculation, and re-use of compounds [113]. These strategies will allow the 311 separation of molecules, such as enzymes (if free enzymes are used), co-factors or NTPs, that can be 312 recirculated in the process. Different unit operations, such as TFF, aqueous two-phase systems (ATPS) or 313 precipitation, could be used for this purpose. These potentially will lower the burden on the downstream 314 processing as well as the overall processing costs. furthermore, the proposed system could be coupled with a 315 microfluidic formulation step, in which the mRNA is encapsulated into lipid nanoparticles (LNPs) [115]. This 316 would allow the establishment of continuous mRNA processing until the fill-to-finish steps.

317

318 Downstream processing, together with fill-to-finish, is still the major bottleneck in the mRNA vaccine 319 production due to the lack of well-established and cost-effective processes. Despite the effort to develop 320 methods that achieve high purity products, most of them are coupled with the traditional precipitation or 321 nuclease digestion techniques [102,108]. Moreover, most methods are not cost-effective which can make the 322 process infeasible for the market needs. Alternative cost-effective techniques, such a single-pass tangential 323 flow filtration (SPTFF) or aqueous two-phase systems (ATPS), that can be applied in a continuous mode, could 324 potentially improve the process time and manufacturing flexibility while reducing cost and maintaining the 325 quality [113]. Additionally, new chromatographic operation modes can overcome the need for having multiple 326 mRNA purification steps (Figure 5). For example, the use of multimodal chromatography is highly promising as 327 the combination of interactions between the molecule and the matrix could result in an integrated and 328 intensified purification process without the need for multiple chromatographic steps [114].

329

### 330 mRNA Safety and Quality

331 mRNA manufacturing is advantageous when compared to the production of most biologicals since it does not 332 require the use of cell cultures. Owing to its fast reaction time, the risk of contamination is lower than what is 333 observed with other complex vaccine manufacturing processes. Additionally, the non-integrative nature and 334 the transient expression inside the cells favours the mRNA safety profile [58,116].

335 Regulation guidelines for the evaluation of quality, safety and efficacy of RNA-based prophylactic vaccines for

infection diseases are now being considered [117]. The emphasis is now on the establishment of

337 manufacturing processes that can deliver a high quality and consistent product. Specifications for a number of

338 critical process steps and acceptance criteria, intermediates, drug substances (DS) and drug product (DP) must

- therefore be defined, e.g., in terms of product yields, and analytical technologies that allows for rigorous
- 340 product quantification and characterisation (product identity, purity and quality). mRNA quality can be
- 341 assessed using several analytical techniques, such as gel electrophoresis and high-performance liquid

chromatography (HPLC) [116], while the identity can be assured using sequencing techniques, such as reverse
transcription polymerase chain reaction (RT-PCR) or next-generation sequencing [117]. The presence of
residual amounts of DNA, enzymes and solvents [118], as well as dsRNA and truncated RNA fragments, must
be determined. Additionally, as a general quality control, aspects like the presence of endotoxins, overall
sterility and mRNA stability, must also be evaluated [117].

## 347 Concluding Remarks

mRNA is a rising star in the field of biopharmaceuticals. The interest in this new type of vaccine derives from
the flexibility, safety, and precision that these vaccines present when compared to conventional approaches.
The growing number of clinical trials for cancer therapies and infectious diseases demonstrates an increased
interest from the industry to release these types of vaccines to the market. mRNA vaccines are precise, safe
and flexible, which can be easily manufactured on a large scale for clinical grade applications. These vaccines
can be an answer to quickly respond to epidemic outbreaks in terms of manufacturing.
However, to achieve this status, the development of sustainable and cost-effective manufacturing processes

355 must be addressed. Although the IVT reaction of mRNA is safer and quicker than most of the established 356 vaccines production, it relies on the use of expensive and limited materials. Downstream processing of the 357 vaccine is still poorly established, and it is dependent on methods that lack scalability and cost-effectiveness. 358 Moving the process to continuous manufacturing can overcome these bottlenecks. We propose a microfluidics 359 approach with the compartmentalisation of enzymatic reactions coupled with *in situ* product removal (ISPR) 360 and substrate feed and product recovery (SDPR) modules and the use of multimodal chromatography to 361 replace the use of multiple chromatographic steps (Figure 5). The use of new production methods that allow 362 the reuse and recirculation of compounds integrated with high-throughput purification and well-defined 363 analytical methods in a continuous manufacturing process can be the answer for a sustainable, flexible and 364 cost-effective vaccine manufacture that can allow an on-demand response.

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371

# 372 Declaration of Competing Interest

373 The authors declare that they have no known competing financial interests or personal relationships that could

- have appeared to influence the work reported in this paper.
- 375
- 376
- 377 All authors attest they meet the ICMJE criteria for authorship.

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Table 1. Advantages (+) and disadvantages (x) of the currently available types of vaccines.
693

Properties	Inactivated	Live attenuated	Toxoid	Subunit (conjugate; protein- based; polysaccharide)	Viral Vectors	DNA
Humoral and cellular immune response	⊗	Ð			÷	
Lasting protection		<b>+</b>	×	8		
Stability	÷		Ð	÷	ŧ	Ð
Safety	÷	8	Ð	ŧ	8	Ð
Manufacturing	Ð		Ð	×	8	Ð
Presence of adjuvants		÷	8	8	Ð	8
Cold chain		×			×	÷