# Thermodynamic and economic analysis of the air source heat pump system with direct-condensation radiant heating panel

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#### Abstract

The air source heat pump (ASHP) system with the direct-condensation radiant heating panel (DRHP) is an efficient space heating method. To evaluate the energy and exergy efficiencies of the system, a thermodynamic model was established. The effects of external air temperature, indoor air temperature and condensation temperature on the system efficiencies were investigated comprehensively. Results indicate that the low compression ratio is beneficial to the improvement of the energy and exergy efficiency of the system. The efficiency superiority of the system is corroborated in comparison with other ASHP systems. Meanwhile, the economic performances of the system are investigated with the initial cost, operating cost and several economic indicators. To examine the operating cost of the system, a reliable system model is proposed and the

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hourly heating load rates of a case study are presented. Results show that the dynamic investment pay-back period and the internal rate of return of the proposed system are 7.3 years and 11.2%, respectively. The economic competitiveness of the proposed system is demonstrated in comparison with other traditional ASHP heating systems.

## Keywords

air-source heat pump; direct-condensation radiant heating panel; thermodynamic analysis; system mathematical model; economic evaluation

Nomenclature	
a	Direct measurements
Во	Boiling number
С	Cost (USD)
$c_p$	Specific heat capacity (kJ kg <sup>-1</sup> K <sup>-1</sup> )
d	Diameter of the tube pipe (m)
Ε	Rate of energy (kW)
е	Fin spacing (m)
Ex	Rate of exergy (kW)
F	Surface area of the evaporator (m)
G	Mass velocity (kg m <sup>-2</sup> s <sup>-1</sup> )
h	Specific enthalpy (kJ kg <sup>-1</sup> )
$h_{ m lh}$	Latent heat of vaporization (kJ kg <sup>-1</sup> )
k	Adiabatic exponent
Κ	Heat transfer coefficient (W m <sup>-2</sup> K <sup>-1</sup> )
m	Flow rate (kg s <sup>-1</sup> )
Р	Pressure (bar)
$P_{q}$	Water vapor pressure (Pa)
Q	Heating capacity (kW)
R	Fouling resistance (m <sup>2</sup> °C W <sup>-1</sup> )
Re	Reynolds number
S	Specific entropy (kJ kg <sup>-1</sup> °C <sup>-1</sup> )
S	Pipe spacing (m)
Т	Temperature (°C)

Nom on ala tara	
Nomenclature	Valaaity (m. c <sup>-1</sup> )
u W	Velocity (m s <sup>-1</sup> )
W	Electric power consumption (kW)
We	Weber number
Greek symbols	Variation
Δ	
α	Convective heat transfer coefficient (W m <sup>-2</sup> K <sup>-1</sup> )
δ	Thickness (m)
η	Compressor efficiency
λ	Thermal conductivity (W m <sup>-1</sup> K <sup>-1</sup> )
μ	Uncertainties
$\mu_l$	Dynamic viscosity of the refrigerant (Pa s)
ξ	Moisture absorption coefficient
ρ	Density (kg m <sup>-3</sup> )
σ	Surface tension (N m <sup>-1</sup> )
υ	Velocity at net passage section (m s <sup>-1</sup> )
τ	Annual heating operation hours
φ	Exergy efficiency
$\phi$	Heat flux (W m <sup>-2</sup> )
Subscript	
0	Dead state
1~4	State points
Be	Benefit
OC	Operating cost
AC	Annual cost
com	Compressor
con	Condenser
dest	Destruction
dir	Direct
ele	Electric
eva	Evaporator
exa	External air
ICC	Initial capital cost
in	Inlet
ina	Indoor air

Nomenclature	
ind	Indirect
LC	Labor cost
ma	Maintenance cost
mp	Market price
no	Nominal condition
oc	Operating cost
out	Outlet
pip	Pipeline
ref	Refrigerant
rev	Reversed
sys	System
VAT	Value-added tax
Acronyms	
ASHP	Air source heat pump
СОР	Coefficient of performance
DRHP	Direct-condensation radiant heating panel
ic	Influence coefficient
IRR	Internal rate of return
NPV	Net present value
P't	Dynamic investment pay-back period

## **1. Introduction**

In 2018, global energy consumption increased by 2.3% [1], 36% of which was used for space heating and cooling in buildings [2]. Creating a comfortable indoor environment through low-cost and electrified clean energy technology is considered as an effective countermeasure for energy conservation [3]. As a clean and sustainable heating technology, the ASHP system has been widely utilized in areas without district heating.

The ASHP system can be categorized into ASHP air-to-water heating system and ASHP refrigerant-to-air heating system [4]. Compared with other traditional heating measures, the ASHP air-to-water heating system reduces energy consumption by 36% [5]. Shan et al. [6] integrated solar energy to the ASHP air-to-water heating system to increase the system COP. Gang et al. [7] proposed an instantaneous heating mode for the air-to-water system and found that the COP was 24% higher than that in the cyclic heating mode. However, due to the secondary heat transfer in the water heating cycle, the efficiency of the air-to-water heating system is degraded [8]. In the ASHP refrigerant-to-air heating system, the split air conditioner is one of the most common heating terminals. The system COP changes from 2 to 4 when the external air temperature varies between -15 °C and +7 °C in the tests of Christensen et al. [9], which is higher than that in the air-to-water heating system [10]. However, Lin et al. [11] found that the forced convection caused by fans of the split air conditioner will lead to discomfort. Meanwhile, the indoor air velocity distribution was more chaotic in the heating system with fans [12].

The heat loss in the air-to-water heating system and the indoor discomfort brought by the fan in the refrigerant-to-air heating system promote the emergence of fan-less direct-condensation heating terminals, which rely on natural convection and radiation for space heating [13]. The ASHP system with the direct-condensation floor was studied by Dong et al. [14] and Ma et al. [15]. The *COP* of the system was 2.05 at the external air temperature of -15 °C [14] and the indoor temperature field met the requirements in ASHRAE 55-2004 [15,16]. In the authors' previous study [17], a direct-condensation heating terminal was proposed for the ASHP system. The system was proved to be reliable with comfortable indoor thermal in heating conditions.

Apart from the thermal performances of the ASHP system, the energy and exergy efficiencies are important indicators of the system's thermodynamic performance [18]. For different ASHP heating systems, the energy and exergy destructions of components are different [19]. As pointed out by Kilkis [20], the key factor to determine the success of the HP system is whether the energy and exergy are matched with the demand of the heating terminals. However, there is little research on the thermodynamic performances of the ASHP system with the direct-condensation heating terminal.

In this paper, the thermal stability, energy and exergy efficiencies and the economic performances of the air source heat pump (ASHP) system with a direct-condensation radiant heating panel (DRHP) were examined. To explore the energy and exergy utilization of the ASHP system with the DRHP, a thermodynamic model was established. The effects of external air temperature, indoor air temperature and condensation temperature on the system utilization rate were discussed comprehensively. Meanwhile, the efficiency of the presented system was compared with other traditional ASHP systems to verify efficiency superiority. To investigate the system economy, the initial cost, operating cost and economic evaluation indicators of the system were analyzed. The mathematical model of the proposed system was established and the hourly heating load rates of a case study were presented to estimate the system operating cost. The economic competitiveness of the system was demonstrated in comparison with other heating systems.

#### 2. Experimental setup

Experiments were conducted based on EN 442-2-2014 [21]. As shown in Fig. 1, a standard-compliant calorimetric chamber, consisting of indoor and outdoor compartments with a size of 4 m (L) ×4 m (W) ×3 m (H) is the experimental site. Both compartments were equipped with independent air-conditioning systems. To guarantee the indoor flow field, a chamber of 3.5 m (L) × 3.5 m (W) × 2.5 m (H) was built in the indoor compartments to avoid forced convection from the indoor air-conditioning system.



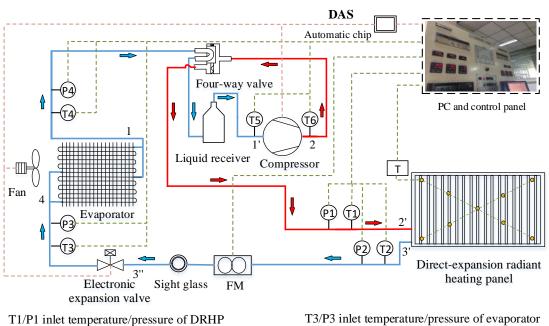
Fig. 1. The prototype of the calorimetric chamber.

## 2.1 System description

The ASHP system with the DRHP and the data acquisition system (DAS) are illustrated in Fig. 2. The heating system consists of a DRHP, an electronic expansion valve (EEV), an outdoor heat exchanger, a liquid receiver, a four-way valve, and a rotary compressor. The structure diagram of the DRHP is depicted in Fig. 3. Water is selected as heat storage material to fill the gaps in the DRHP. The details of the system are shown in Table. 1.

Components	Information					
	Type: hermetic motor compressor with twin rotary;					
Compressor	Nominal heating capacity:12000 Btu/h;					
	Displacement: 10.2 cm <sup>3</sup> /rev					
Direct-condensation radiant	Dimension: $0.9 \text{ m} \times 0.08 \text{ m} \times 1.6 \text{ m} (\text{L} \times \text{W} \times \text{H});$					
heating panel	Single panels; 48 vertical channels;					
	39 m copper tube; 3.26 kg water; 48 sets of ribs					
Electronic expansion valve	Type: DPF(Q)1.8					
	Size: 717 mm×483 mm×230 mm; 3 paths;					
	Copper pipe: length of 30.8 m, external diameter of					
Outdoor heat exchanger	mm (inner diameter of 6 mm); Pipe spacing: 25 mm;					
	Fin thickness: 0.1 mm; Fin spacing:1.8 mm					
Deficement	Type: R410A;					
Refrigerant	Charge: 0.80 kg					

Table. 1. Information on the components of the proposed system.



T2/P2 outlet temperature/pressure of DRHP T5/T6 suction/ discharge temperature of the compressor T temperatures arranged in the DRHP

T3/P3 inlet temperature/pressure of evaporator T4/P4 outlet temperature/pressure of evaporator

Fig. 2. The ASHP system with the DRHP.

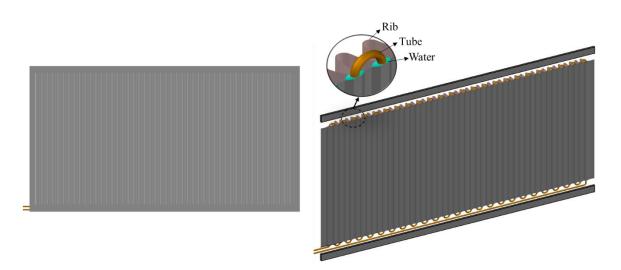


Fig. 3. The structure diagram of the DRHP.

#### 2.2 Experimental control strategy

The external and indoor air parameters can be regulated with the air-conditioning systems of the calorimetric chamber. The external air relative humidity (RH) changes from 40% to 88%. The external air temperature ( $T_{exa}$ ) changes from -10 °C to 12 °C while the indoor air temperature ( $T_{ina}$ ) changes from 16 °C to 24 °C in experiments. Meanwhile, the condensation pressure of the heating system is controlled via the adjustment of the compressor frequency, the outdoor fan speed, and the opening of the EEV. The control commands are set in an automatic chip associated with the control panel. Through the control panel, the air-conditioning systems of the calorimetric chamber and the automatic chip of the proposed system can be adjusted synchronously.

2.3 Measurements and uncertainty analysis

Based on the Chinese Standard JG/T 403-2013 [22], the following parameters are measurements.

(a) The refrigerant flow rates are tested by Coriolis Mass Flowmeter.

(b) The compressor input power is calculated by a wattmeter.

(c) The condensation and evaporation pressures are tested by Pressure Transmitters.

(d) The temperatures and *RH* are measured by copper-constantan thermocouples and Testo.

The uncertainties resulting from the limited precision of the measuring instruments are calculated according to the method from Ref. [23] and Ref. [24]. The uncertainty of temperature, pressure and flow rate [23] is:

$$\mu_{\rm dir} = \sqrt{\frac{1}{n-1} \sum_{i=1}^{n} (a_i - \frac{1}{n} \sum_{i=1}^{n} a_i)^2}$$
(1)

where a represents the direct measurements, and n is the measuring times.

The uncertainties of the system *COP* and the heating capacity of the DRHP are associated with the random error ( $\mu_i$ ) of the direct measurements, and the relation is formulated as [24]:

$$\mu_{ind} = \sqrt{\sum_{i=1}^{n} \left(\frac{\partial f}{\partial a_i} u_i\right)^2} \tag{2}$$

The measurements and the uncertainties are shown in Table. 2.

Measured parameters	Experimental range	Accuracy	Error range (%)
Т	14 °C ~ 72 °C	±0.5 °C	$\pm 0.69 \sim 3.57$
RH	40%~88%	±2%	$\pm 2.27 \sim 5.00$
Р	4.6 bar ~ 22.8 bar	0.1%FS	$\pm 0.10$
m	$35.5 \text{ kg/h} \sim 64 \text{ kg/h}$	$\pm 0.002 \text{ kg/h}$	$\pm 0.003 \sim 0.006$
W	$0.46~kW \sim 1.46~kW$	$\pm 0.01 \ kW$	$\pm 0.69 \sim 2.17$
$Q_{ m con}$	$1.70 \text{ kW} \sim 2.72 \text{ kW}$	/	$\pm 0.48 \sim 0.97$
COP	$1.79 \sim 4.45$	/	$\pm 0.91 \sim 5.68$

Table. 2. The experimental range of measurements.

#### 3. Methodology

#### 3.1 Thermodynamic model

To analyze the thermodynamic performance of the ASHP system with the DRHP, the lg *p*-*h* diagram is illustrated in Fig. 4. The physical locations of the corresponding cycle point  $1 \sim 4$  are annotated in Fig. 2. For simplicity, the following assumptions are considered:

- (a) The refrigerant keeps a steady state without any chemical reactions.
- (b) The throttling processes are isenthalpic (i.e.  $h_{3,i}=h_4$ ).
- (c) The outdoor environment is considered as the dead state for the proposed

system [25].

- (d) The input power of the outdoor fans is negligible compared with that of the compressor.
- (e) The heat loss and exergy destruction in the pipeline 1-1' and 3'-3" are ignored for the small temperature drop.
- (f) The evaporation and condensation pressure involved in the paper is unified as the average pressure of the import and export parts.

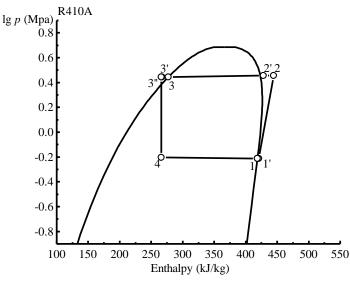


Fig. 4. lg *p*-*h* diagram of the ASHP system with the DRHP.

#### 3.1.1. Energy analysis

The energy rate and energy efficiency of the system are discussed. The mass balance and heat balance are expressed as:

$$\sum m_{\rm in} = \sum m_{\rm out} \tag{3}$$

$$\sum E_{\rm in} = \sum E_{\rm out} \tag{4}$$

The energy balance equation of each component of the system can be expressed

as follows,

for the condenser (DRHP),

$$mh_{2'} = Q_{\rm con} + mh_{3'} \tag{5}$$

for the EEV,

$$mh_{3"} = mh_4 \tag{6}$$

for the evaporator,

$$mh_4 + Q_{\rm eva} = mh_1 \tag{7}$$

$$Q_{\rm eva} = Q_{\rm fan} \tag{8}$$

for the compressor,

$$mh_{1} + W = mh_2 \tag{9}$$

The heat loss and exergy destruction in the pipeline 2-2' are taken into account due to its significant temperature drop. The heat emission of the system  $Q_{sys}$  equals the sum of the energy rate of the DRHP and the heat loss of the pipeline 2-2'.

$$Q_{\rm sys} = Q_{\rm con} + Q_{\rm pipeline2-2'} \tag{10}$$

$$Q_{\text{pipeline2-2'}} = mh_2 - mh_{2'} \tag{11}$$

The energy conservation of the system is given as follows:

$$COP = \frac{Q_{\rm con}}{W} \tag{12}$$

## 3.1.2. Exergy analysis

The exergy balance equation of the fluid in the steady-flow process is:

$$Ex_{\rm in} - Ex_{\rm out} = Ex_{\rm dest} \tag{13}$$

which can be further subdivided into:

$$Ex_{\text{heat}} - Ex_{\text{work}} + Ex_{\text{mass,in}} - Ex_{\text{mass,out}} = Ex_{\text{dest}}$$
(14)

The flow exergy rate  $Ex_{\text{mass}}$  and the exergy transfer rate of heat and work ( $Ex_{\text{heat}}$  and  $Ex_{\text{work}}$ ) are defined as follow:

$$Ex_{\text{mass,in}} = \sum m_{\text{in}} [(\mathbf{h}_{\text{in}} - \mathbf{h}_0) - \mathbf{T}_0 (\mathbf{s}_{\text{in}} - \mathbf{s}_0)]$$
(15)

$$Ex_{\text{mass,out}} = \sum m_{\text{out}} [(h_{\text{out}} - h_0) - T_0(s_{\text{out}} - s_0)]$$
(16)

$$Ex_{\text{heat}} = \sum (1 - \frac{T_0}{T_n})Q_n \tag{17}$$

$$Ex_{\rm work} = W \tag{18}$$

The exergy efficiency of the system,  $\varphi$  employed here is defined with the thermodynamic model in Refs. [26], which can also be expressed by the coefficients of performance [27]:

$$\varphi = \frac{\text{Exergy recovered}}{\text{Exergy supplied}} = \frac{\sum (1 - \frac{T_0}{T_n})Q_n}{W} = \frac{COP}{COP_{\text{HP rev}}}$$
(19)

$$COP_{\rm HP,rev} = \frac{1}{1 - T_0 / T_{\rm ina}}$$
(20)

where *COP*<sub>HP,rev</sub> is the coefficient of performance in reversed Carnot cycle.

The task efficiency, a ratio between COP and the ideal Carnot heat pump performance between the equivalent evaporation temperature and equivalent condensation temperature ( $COP_{HP,rev-euq}$ ), is used to examine the proposed system performance.

$$\eta = \frac{COP}{COP_{\rm HP, rev-equ}} \tag{21}$$

$$COP_{\rm HP, rev-equ} = \frac{1}{1 - T_{\rm eva} / T_{\rm con}}$$
(22)

The exergy transfer diagrams of the system components and the exergy equations

are shown in Table. 3. It should be emphasized that the refrigerant absorbs the heat energy of external air in the evaporator. Based on the definition of exergy, the exergy value of external air is 0 [28].

Table. 3. Exergy rate balance equations of the system's components.											
Component	Exergy transfer diagram	Exergy rate balance equation	Exergy efficiency								
Direct- condensation radiant heating panel	In $\gg$ Ex <sub>2</sub> (DRHP) Ex <sub>dest-con</sub> Out	$Ex_{\text{dest,con}} = m[(\mathbf{h}_{2}, -\mathbf{h}_{3'}) - T_0(\mathbf{s}_{2'}, -\mathbf{s}_{3'})] - Q_{\text{con}}(1 - \frac{T_0}{T_{\text{ina}}})$	$\varphi_{\rm con} = \frac{Q_{\rm con}(1 - \frac{T_0}{T_{\rm ina}})}{m[(\mathbf{h}_{2^{\circ}} - \mathbf{h}_{3^{\circ}}) - T_0(\mathbf{s}_{2^{\circ}} - \mathbf{s}_{3^{\circ}})]}$								
Electronic expansion valve	In $\gg$ $Ex_{3^*}$ $EEV$ $Ex_4$ $\gg$ Out $Ex_{dest-EEV}$	$Ex_{\text{dest,EEV}} = m[(\mathbf{h}_{3"} - \mathbf{h}_{4}) - T_{0}(\mathbf{s}_{3"} - \mathbf{s}_{4})]$	$\varphi_{\text{EEV}} = \frac{(\mathbf{h}_4 - \mathbf{h}_0) - T_0(\mathbf{s}_4 - \mathbf{s}_0)}{(\mathbf{h}_{3^*} - \mathbf{h}_0) - T_0(\mathbf{s}_{3^*} - \mathbf{s}_0)}$								
Outdoor heat exchanger	In $\gg$ $Ex_4$ Evaporator $Ex_1$ $\longrightarrow$ Out $Ex_{dest-eva}$	$Ex_{\text{dest,eva}} = m[(h_4 - h_1) - T_0(s_4 - s_1)] + Ex_{\text{heat,eva}}$ $= m[(h_4 - h_1) - T_0(s_4 - s_1)]$ $Ex_{\text{heat,eva}} = 0$	$\varphi_{\text{eva}} = \frac{(\mathbf{h}_1 - \mathbf{h}_0) - T_0(\mathbf{s}_1 - \mathbf{s}_0)}{(\mathbf{h}_4 - \mathbf{h}_0) - T_0(\mathbf{s}_4 - \mathbf{s}_0)}$								
Compressor	In $\gg$ $Ex_1$ Compressor $Ex_2$ $\gg$ Out $Ex_{dest-com}$	$Ex_{\text{dest,com}} = W + m[(\mathbf{h}_{1'} - \mathbf{h}_2) - T_0(\mathbf{s}_{1'} - \mathbf{s}_2)]$	$\varphi_{\rm com} = \frac{m[(h_2 - h_{1'}) - T_0(s_2 - s_{1'})]}{W}$								

Table. 3. Exergy rate balance equations of the system's components.
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The exergy destruction of the system pipeline 2-2' is as follows:

$$Ex_{\text{dest,pip}} = m[(h_2 - h_{2'}) - T_0(s_2 - s_{2'})]$$
(23)

The involved numbers are shown in Fig. 2 and 4, and the relevant characters can be found in the Nomenclature Table.

#### 3.2. Economic model

The initial capital cost ( $C_{ICC}$ ) and annual operating cost ( $C_{OC}$ ) are investigated in the system economic model. To further evaluate the economic performances, the economic indicators, such as the total annual cost ( $C_{AC}$ ), dynamic investment pay-back period (P i), the net present value (NPV) and the internal rate of return (IRR), are also taken into consideration.

#### 3.2.1 System initial investment

The initial capital cost ( $C_{\text{ICC}}$ ) is composed of the market price of the components ( $C_{\text{mp}}$ ), the value-added tax ( $C_{\text{VAT}}$ ), and the labor cost ( $C_{\text{LC}}$ ), which can be expressed as follows:

$$C_{\rm ICC} = C_{\rm mp} + C_{\rm VAT} + C_{\rm LC} \tag{24}$$

where  $C_{\rm mp}$  consists of the cost of the compressor ( $C_{\rm com}$ ), the cost of the evaporator ( $C_{\rm eva}$ ), the cost of the DRHP ( $C_{\rm DRHP}$ ), and the cost of accessories ( $C_{\rm add}$ ).  $C_{\rm VAT}$  of each component is determined by Ref.29, and  $C_{\rm LC}$  of the system is considered to be 5% of  $C_{\rm ICC}$ .

#### 3.2.2 Operating cost

The annual operating cost ( $C_{OC}$ ) of the proposed system depends on the building heating load and the heat transfer characteristics of the system loop [30]. The expression of  $C_{OC}$  is given as:

$$C_{\rm OC} = \sum W \times C_{\rm ele} \times \tau \tag{25}$$

where  $\Sigma W$  represents the total input power of the compressor from Nov 15th to Mar 15th in the typical meteorological year [31], while the electricity price ( $C_{ele}$ ) equals 0.073 \$/kWh [32].

## 3.2.2.1 Mathematical model of the heat pump system

The heat transfer characteristics of the system loop could be obtained through the mathematical model of the ASHP system with the DRHP.

For the condenser (DRHP), the energy balance equation of the refrigerant at the DRHP is expressed as follows:

$$Q_{\rm con} = m(h_{2'} - h_{3'}) \tag{26}$$

where the point of 2' can be inferred from the heat loss of the pipeline 2-2', which is examined in this paper, and the point of 3' can be obtained from the degree of supercooled, which changes around  $5 \pm 1.5$  °C in experiments.

Meanwhile, the heat transfer model of the DRHP is proposed in the authors' previous work [33]. Referring to the DRHP model, the heat transfer characteristics of the DRHP under different heating conditions are available. Accordingly, through the collection of DRHP model data, the relationship between DRHP heating capacity and

different operating parameters can be obtained.

For the evaporator, the heat transfer model is established based on the following assumptions:

- (1) The heat transfer process is steady-state;
- (2) The contact thermal resistance and pressure drop of the evaporator are ignored;
- (3) The effects of frosting are ignored in the evaporator model. However, to ensure the practicability of the system model, the simulation data are modified with the influence coefficients (*ic*) of frost conditions on system performance in Ref. 34.

The energy balance equations of the evaporator model are given as follows:

(1) The energy balance equation of the refrigerant:

$$Q_{\text{eva}} = m(h_1 - h_4) \tag{27}$$

(2) The energy balance equation of the ambient air:

$$Q_{\rm air} = m_{\rm air} c_p (T_{\rm air-in} - T_{\rm air-out})$$
<sup>(28)</sup>

where the  $m_{air}$  is the velocity,  $c_p$  is the specific heat capacity,  $T_{air-in}$  is the inlet air temperature (i.e.  $T_{exa}$ ) while  $T_{air-out}$  is the outlet air temperature.

(3) The heat transfer between refrigerant fluid and the ambient air:

$$Q_{\text{heat,eva-air}} = KF\left(\frac{T_{\text{air-in}} - T_{\text{air-out}}}{In \frac{T_{\text{air-in}} - T_{\text{eva}}}{T_{\text{air-out}} - T_{\text{eva}}}}\right)$$
(29)

where the  $T_{eva}$  is the evaporation temperature, F is the surface area of the evaporator.

*K* is the overall heat transfer coefficient of the evaporator, given as follows [35]:

$$K = \frac{1}{\frac{A_{\text{out}}}{\alpha_{\text{out}}(A_{\text{pipe-out}} + \eta A_{\text{fin}})\xi} + \frac{\delta_{\text{pipe}}}{\lambda_{\text{pipe}}} \frac{A_{\text{out}}}{A_{\text{in}}} + R_{\text{out}} + \frac{1}{\alpha_{\text{in}}} \frac{A_{\text{out}}}{A_{\text{in}}}}{A_{\text{in}}}}$$
(30)

$$\xi = \frac{h_{\text{air-in}} - h_{\text{air-out}}}{c_p (T_{\text{air-in}} - T_{\text{air-out}})}$$
(31)

$$h_{\rm air} = 1.005T_{\rm air} + (2500 + 1.84T_{\rm air})\frac{0.622P_q}{P - P_q}$$
(32)

where  $\xi$  is the moisture absorption coefficient, which represents the latent heat transfer of evaporator [36],  $P_q$  is the water vapor pressure,  $\alpha_{out}$  and  $\alpha_{in}$  are the convective heat transfer coefficient between the evaporator and ambient air, between the refrigerant and the copper pipe,  $A_{out}$ ,  $A_{pipe-out}$ ,  $A_{in}$ , and  $A_{fin}$  are the surface area of the finned tube, the outside area of copper pipes, the inside area of copper pipes, and the area of fins.  $\delta_{pipe}$ and  $\lambda_{pipe}$  are the thickness and thermal conductivity of the copper pipe.  $R_{out}$  is the fouling resistance of the evaporator, which is  $2.8 \times 10^{-4}$  m<sup>2</sup> °C/W [37].

The heat transfer intensity between the forked finned bundles and ambient air is expressed as [38]:

$$\alpha_{\rm out} = 18\nu^{0.578} \tag{33}$$

$$\upsilon = u_{\rm air} / \varepsilon \tag{34}$$

$$\varepsilon = \frac{(S - d_{\text{out}})(e - \delta_{\text{fin}})}{S \times e}$$
(35)

where v is the air velocity at net passage section, u is air velocity, which is 1.8 m/s at the fan speed of 650 r/s, S is the pipe spacing, e is the fin spacing, and  $d_{out}$  is the outer diameter of the pipe.

The heat transfer coefficient  $\alpha_{in}$  is determined by Sun and Mishima [39]:

$$\alpha_{\rm in} = \frac{6\,{\rm Re}_1^{1.05}\,Bo^{0.54}}{We_1^{0.191}(\rho_1/\rho_g)^{0.142}}\frac{\lambda_1}{d_{\rm in}}$$
(36)

$$\operatorname{Re}_{\mathrm{I}} = \frac{Gd_{\mathrm{in}}}{\mu_{\mathrm{I}}}$$
(37)

$$Bo = \frac{\phi}{Gh_{\rm lh}} \tag{38}$$

$$We_{\rm l} = \frac{G^2 d_{\rm in}}{\sigma \rho_{\rm l}} \tag{39}$$

where  $Re_1$  is the Reynolds number, Bo is the Boiling number, We is the Weber number,  $\mu_1$  is the dynamic viscosity of the refrigerant, G is the mass velocity,  $\phi$  is the heat flux,  $h_{1h}$  is the latent heat of vaporization,  $\sigma$  is the surface tension of the refrigerant,  $\rho_1$  and  $\rho_g$ are the density of the refrigerant liquid and refrigerant gas.

For the compressor, the exhaust temperature of the compressor is given as follows:

$$T_2 = T_1 \left(\frac{P_2}{P_1}\right)^{\frac{k-1}{k}}$$
(40)

where  $T_1$  is the suction temperature, equals  $T_{eva}$ ,  $T_2$  is the exhaust temperature,  $P_1$  and  $P_2$  are the evaporation and condensation pressures, k is the adiabatic exponent obtained from the manufacturer.

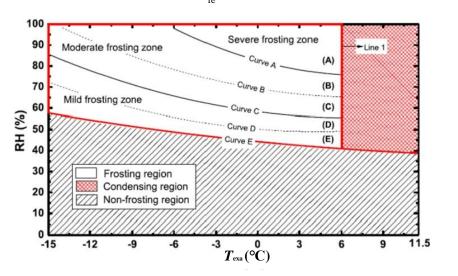
The input power of the compressor is defined as follows:

$$P_{\rm in} = \frac{m(h_2 - h_1)}{\eta_{\rm i} \eta_{\rm m} \eta_{\rm d} \eta_{\rm e}} \tag{41}$$

$$\eta_{\rm i} = 1 - 0.6[1 - (\frac{P_2}{P_1})^{-0.3}] \tag{42}$$

where  $\eta_i$  is the indicated efficiency,  $\eta_m$  is the friction efficiency,  $\eta_d$  is the transmission efficiency and the value is 1,  $\eta_e$  is the shaft efficiency and the value is 0.78 [38].

The mathematical model of the system is established with Eq. 26–Eq. 42. Considering the effects of frosting on the mathematical model, the modified method followed by Zhu et. al [34] and Liu et. al [40] is applied to the simulation data. As shown in Fig. 5, the frosting region zone is divided into five regions. The influence coefficients (*ic*) of the five regions on the system *COP* are shown in Table. 4. The modified simulated  $COP_{re}$  is defined as:



$$COP_{re} = COP \times ic \tag{43}$$

Fig. 5. Frosting map under different external air parameters (Zhu et al., 2015).

Frosting region	Judgment	ic
А	$0.1186T^{2}_{\text{exa}} - 1.7874T_{\text{exa}} + 82.979 \le \text{RH} \le 0.0186T^{2}_{\text{exa}} - 0.6003T_{\text{exa}} + 44.246$	0.68
В	$0.0695T^2_{\text{exa}}\text{-}1.0969T_{\text{exa}}\text{+}68.83 \leq \text{RH} \leq 0.1186T^2_{\text{exa}}\text{-}1.7874T_{\text{exa}}\text{+}82.979$	0.74
С	$0.0659T^{2}_{\text{exa}} - 0.8392T_{\text{exa}} + 58.349 \le \text{RH} \le 0.0695T^{2}_{\text{exa}} - 1.0969T_{\text{exa}} + 68.83$	0.80
D	$0.06T_{\text{exa}}^2 - 0.5457T_{\text{exa}} + 50.415 \le \text{RH} \le 0.0659T_{\text{exa}}^2 - 0.8392T_{\text{exa}} + 58.349$	0.86
Е	$0.0186T^{2}_{\text{exa}} - 0.6003T_{\text{exa}} + 44.246 \le \text{RH} < 0.06T^{2}_{\text{exa}} - 0.5457T_{\text{exa}} + 50.415$	0.89

Table. 4. The influence coefficients on system performances.

The flow chart of the mathematical model is as follows:

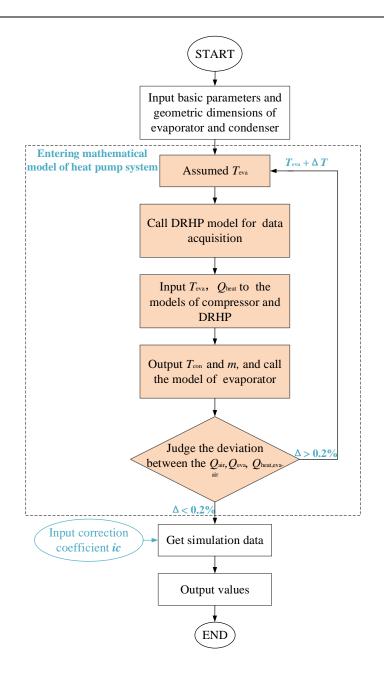


Fig. 6. Flow chart of the mathematical model.

The calculation process of the model is divided into five steps: (1) Input heating load demand and basic parameters of the system, (2) Assume  $T_{eva}$  and call the system model to solve the mass flow rate (*m*) and the condensation temperature ( $T_{con}$ ), (3) Judge the deviation of the  $Q_{air}$ ,  $Q_{eva}$ ,  $Q_{heat, eva-air}$ , (4) Modify  $T_{eva}$  until the deviation meets the requirement, (5) Introduce the influence coefficients of the defrosting condition to the simulation data.

### 3.2.2.2 Validation of the mathematical model

The feasibility of the system model is demonstrated by comparing the simulation and experimental results. As shown in Table. 5, the experimental heating capacity of the DRHP,  $T_{exa}$  and RH are input parameters. The deviation of  $T_{eva}$  between the numerical and experimental data changes from -1.0 °C to 0.7 °C, with an absolute mean deviation of 0.5 °C. Moreover, the deviations of  $T_{con}$ , *m* and *W* are all examined, ranging from -2.9% to 9.8%, -6.7% to 1.6%, and -11.6% to 9.6%, respectively, which are within the acceptable range.

С	1	2	3	4	5	6	7	8	9	
$T_{\rm exa}$ (°C)		-7	-7	-7	-7	-10	-5	5	7	10
RH (%)		48%	50%	49%	46%	55%	44%	71%	86%	76%
$Q_{\rm heat}({ m W})$		1932	2115	2317	2580	2072	2106	2229	2186	2256
	Exp	-9.5	-9.4	-9.3	-9.1	-12.2	-7.5	1.9	3.7	6.4
$T_{\rm eva}(^{\circ}{\rm C})$	Num	-9.2	-9.3	-9.50	-9.7	-12.3	-7.3	2.50	4.6	7.4
	DEV (°C)	-0.3	-0.1	0.2	0.7	0.14	-0.2	-0.6	-0.9	-1.0
$T_{\rm con}$ (°C)	Exp	42.5	46.6	50.9	55.5	46.4	46.1	46.1	46.0	46.6
	Num	43.7	46.1	48.8	52.3	45.5	46.0	47.3	46.6	42.0
	DEV (%)	-2.9	0.9	4.1	5.8	1.9	0.3	-2.6	-1.2	9.8
	Exp	41.7	47.2	52.69	58.6	46.3	46.5	49.8	49.3	50.4
G (kg/h)	Num	42.8	47.4	52.68	59.9	45.6	47.6	53.3	52.5	53.2
	DEV (%)	-2.6	-0.5	0.03	-2.2	1.56	-2.4	-7.0	-6.4	-5.5
	Exp	0.73	0.89	1.10	1.38	0.97	0.82	0.64	0.60	0.55
W(kW)	Num	0.81	0.95	1.11	1.35	1.00	0.89	0.70	0.62	0.50
	DEV (%)	-11.6	-6.6	-1.3	2.2	-3.3	-8.1	-8.9	-3.8	9.6

Table. 5. Comparison of the numerical and experimental data of the heat pump system.

Note:

Case 2 is the typical heating condition in experiments.

Based on the established mathematical model, the operating cost of the system for space heating could be obtained when the building dynamic heating load is available.

#### 3.2.3 Economic indicators

The total annual cost ( $C_{AC}$ ) related to  $C_{ICC}$  and  $C_{OC}$  is calculated as [41]:

$$C_{\rm AC} = C_{\rm ICC} \left[ \frac{i(i+1)^n}{(i+1)^n - 1} \right] + C_{\rm OC} + C_{\rm ma}$$
(44)

$$C_{\rm ma} = 0.12 \times C_{\rm ICC} \times \left[\frac{i(i+1)^n}{(i+1)^n - 1}\right]$$
(45)

where  $C_{\text{ma}}$  is the maintenance cost, *n* is the lifetime of the heating system, and the value is 10 years, *i* is the annual interest rate, and the value is 8%.

The dynamic investment pay-back period (*Pi*) of the system associated with  $C_{AC}$  and the annual benefit ( $C_{Be}$ ), is defined as follows [42-43]:

$$P't = t_{[(\sum_{i=2}^{n} (C_{Be} - C_{AC}) - C_{ICC})_{i} > 0]} + \frac{[C_{ICC} / (1+i) - \sum_{2}^{t} (C_{Be} - C_{AC}) / (1+i)^{t}]}{(C_{Be} - C_{AC}) / (1+i)^{t+1}}$$
(46)

$$C_{\rm Be} = C_{\rm basic,heat} \times A + C_{\rm heat} \times Q_{\rm heat} \tag{47}$$

where  $Q_{\text{heat}}$  is the total heating load,  $C_{\text{basic,heat}}$  and  $C_{\text{heat}}$  are the basic cost associated with the heating area and the heating cost, the values are 2.75 \$/m<sup>2</sup> and 0.055 \$/kWh in China.

The net present value (*NPV*) of the proposed system during the whole lifetime is calculated as follows:

$$NPV = \sum_{2}^{n} (C_{\rm Be} - C_{\rm AC}) / (1+i)^{n} - C_{\rm ICC} / (1+i)$$
(48)

The interest rate that makes the project inflows equal to the outflows is defined as the internal rate of return (*IRR*):

$$\sum_{2}^{n} (C_{\rm Be} - C_{\rm AC-IRR}) / (1 + IRR)^{n} - C_{\rm ICC} / (1 + IRR) = 0$$
(49)

If IRR>i, the proposed system is profitable, otherwise, the system has no

investment prospects.

#### 4. Results and discussions

#### 4.1 Thermal stability of the system

The operating characteristics of the system are shown in Fig. 7. As shown in Fig.7, the fluctuations of the temperature parameters are faint in the steady stage. The condensation temperature ( $T_{con}$ ) fluctuates around 46.5 ± 0.1 °C while the  $T_{eva}$  fluctuates around -9.4 ± 0.1 °C in the typical heating condition. The superheat of the inlet refrigerant is maintained at 2.7 ± 0.2 °C. Apart from temperature parameters, the refrigerant flow rate of the system oscillates between 47.05 kg/h and 47.37 kg/h with an average value of 47.2 kg/h. Compared with the fluctuations of other HP systems [44, 45], the oscillation amplitude of the proposed system is smaller, demonstrating the stability and reliability of the ASHP system with DRHP.

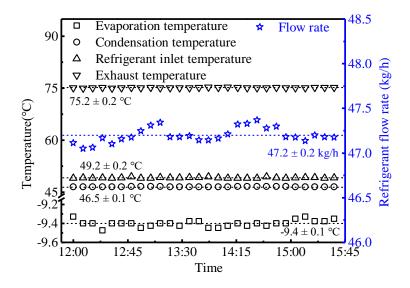


Fig. 7. Thermal characteristics of the system under the steady-state.

The heat loss of the system can be inferred from the characteristic temperatures of the system. As exhibited in Fig. 8,  $T_{exa}$  and  $T_{ina}$  are preserved at  $-7 \,^{\circ}$ C and 18  $^{\circ}$ C. As  $T_{con}$  increases from 40.1  $^{\circ}$ C to 55.5  $^{\circ}$ C, the superheat of the inlet refrigerant changes from 0.3  $^{\circ}$ C to 11.2  $^{\circ}$ C. The heat provided by the superheat section increases from 5.9 W to 398.7 W, accounting for 0.3  $^{\circ}$  ~ 15.5% of the heating capacity of the DRHP. Meanwhile, the differences between the exhaust temperature and inlet temperature change from 21.1  $^{\circ}$ C to 26.0  $^{\circ}$ C, with an average value of 24.7  $^{\circ}$ C. Accordingly, the heat loss of the pipeline 2-2' increases from 401.9 W to 548.8 W, and the heat loss caused by the pipeline accounts for 18.5% of the total heat emission of the system. In practical application, the heat loss can be reduced by strengthening heat preservation and shortening connection length.

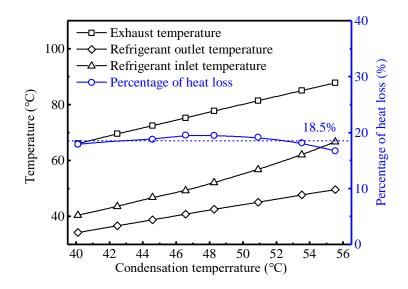


Fig. 8. Variations of the system characteristic temperatures.

#### 4.2. Energy and exergy efficiencies of the system

The exergy destructions and efficiencies of the system in the typical heating

condition are shown in Tables. 6 and 7. The pressure  $P_0$  of 1.013 MPa and external air temperature  $T_0$  of -7 °C is taken as the dead state. Meanwhile, the reference point of the refrigerant for the enthalpy and entropy evaluation in Table. 6 is consistent with the dead state [46, 47]. Due to the degradation of useful work in the conversion of electrical energy into mechanical and thermal energy, the maximum exergy destruction occurs in the rotary compressor, accounting for 42.0% of the total exergy destruction. The exergy efficiency of the compressor is 66.7%. Meanwhile, the exergy destruction of EEV is remarkable for the heat loss caused by the conversion of refrigerant internal energy into kinetic energy. And the exergy destruction and exergy efficiency of EEV is 116.3 W and 85.4%, respectively. Based on the system thermodynamic model, the system *COP* and system exergy efficiency are 2.4 and 20.3% in the typical heating condition.

Besides, the influence of the external air temperature  $(T_{exa})$ , the indoor air temperature  $(T_{ina})$  and the condensation temperature  $(T_{con})$  on the system exergy and energy efficiency are estimated.

Number	Elui d	Position	State	Flow rate	Temperature	Pressure	Specific enthalpy	Specific entropy	Exergy rate
	Fluid	Position	State	m (kg/s)	T (°C)	P (bar)	h (kJ/kg)	s (kJ/kg k)	Ex (kW)
0	R-410A	-	Reference point	-	-7.00	1.01	434.03	2.08	
1	R-410A	Evaporator outlet	Vapor	0.01311	-9.10	5.87	418.44	1.83	0.66
1'	R-410A	Compressor inlet	Vapor	0.01311	-8.85	5.87	418.70	1.83	0.66
2	R-410A	Compressor outlet	Vapor	0.01311	75.2	28.28	467.76	1.84	1.26
2'	R-410A	DRHP inlet	Vapor	0.01311	49.20	28.28	428.68	1.73	1.15
3'	R-410A	DRHP outlet	Liquid	0.01311	40.80	28.28	267.35	1.22	0.80
3"	R-410A	EEV inlet	Liquid	0.01311	40.20	28.28	266.20	1.22	0.80
4	D 4104	EEV outlet		0.01311	-9.40	5.87	266.20	1.25	0.60
4	R-410A	/Evaporator inlet	Mixture						0.68

<b>Table</b> .	6.	Property	<sup>,</sup> data d	of the A	ASHP	system	with th	e DRHP	' under	the	tvpical	heating	condition.
	~ •					.,					.,		

Component	Ex <sub>in</sub> [W]	Ex <sub>out</sub> [W]	Ex <sub>dest</sub> [W]	$\Phi$ [%]
Evaporator	678.9	661.2	17.7	97.4
Compressor	1551.2	1255.1	296.1	66.7
Condenser (DRHP)	1150.6	978.4	172.2	51.2
Expansion valve	795.2	678.9	116.3	85.4
Pipeline	1255.1	1150.6	104.5	91.7
System	5431.0	4724.3	706.7	20.3

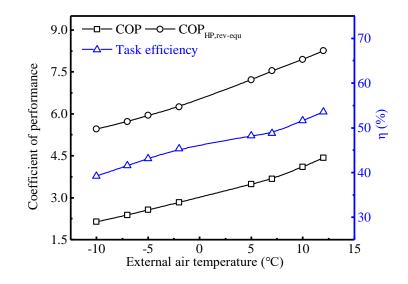
Table. 7. Exergy destruction and exergy efficiency under the typical heating condition.

## 4.2.1. Effects of external air temperature

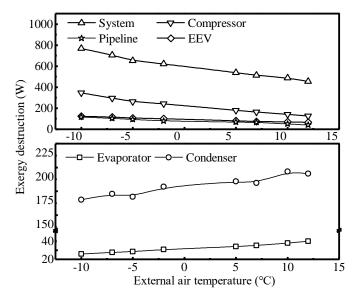
The energy and exergy performances of the ASHP system with the DRHP under different  $T_{exa}$  are displayed in Fig. 9. To investigate the effect of a single variable on the system performances,  $T_{con}$  and  $T_{ina}$  are maintained around  $46.5 \pm 0.4$  °C and  $18 \pm 0.1$  °C. The heating capacity of the DRHP is maintained at a certain value while the input power is reduced under the increased  $T_{exa}$ . Accordingly, the system *COP* and *COP*<sub>HP,rev-equ</sub> are enhanced from 2.13 to 4.37 and from 5.45 to 7.95. The task efficiency of the system is improved from 39.2% to 59.6% for the reduced compression ratio in Fig. 9 (a).

The effects of  $T_{exa}$  on system exergy destructions are exhibited in Fig. 9 (b). Owing to the declined compression ratio, the exergy destruction in the compressor, the EEV and the whole heating system is decreased with the ascendant  $T_{exa}$ . On the contrary, the exergy efficiency of the DRHP is decreased and the exergy destruction will increase from 166.1 W to 195.6 W. The phenomenon can be attributed to the decreased output exergy flow of the DRHP under the reduced temperature difference between the indoor air and external air. Meanwhile, the exergy destruction of the evaporator rises from 13.3 W to 31.9 W with the increased  $T_{exa}$ . The analogous phenomenon on the evaporator exergy rate is also observed by Dong et al. [14] and Suleman et al. [26].

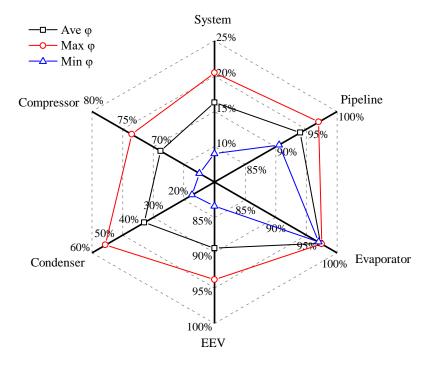
Apart from the exergy destructions, the exergy efficiencies are investigated. The variations of exergy efficiencies of system components under different  $T_{exa}$  are opposite to that of exergy destructions. Consequently, the average and extremal exergy efficiencies are analyzed, rather than the specific exergy efficiencies. As shown in Fig. 9 (c), the exergy efficiency of the evaporator is higher than 95%. This is because the refrigeration temperature difference between the inlet and outlet of the evaporator is small, and the exergy of external air is zero. In terms of the compressor and the EEV, the exergy efficiency varies from 64.2% to 74.9% and from 83.4% to 93.8% under the enhanced  $T_{exa}$ . Due to the decreased output exergy flow, the exergy efficiency of the DRHP decreases from 54.5% to 19.1% under the increased  $T_{exa}$ .



(a) Variations of *COP* and  $\eta$  under different  $T_{\text{exa.}}$ 



(b) Variations of the exergy destructions under different  $T_{exa}$ .



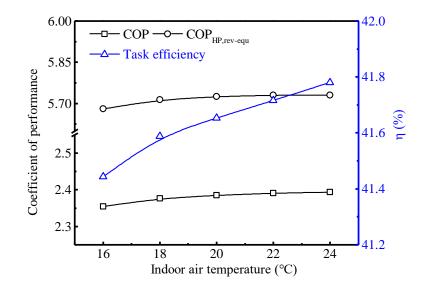
(c) Variations of the exergy efficiencies under different  $T_{\text{exa}}$ .

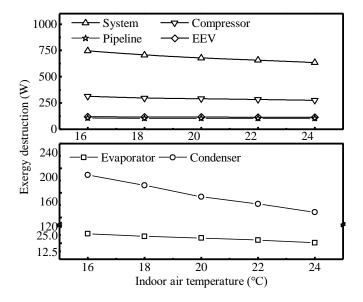
Fig. 9. Effects of external air temperature on system efficiencies.

## 4.2.2. Effects of indoor air temperature

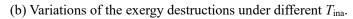
The effects of  $T_{ina}$  on system energy and exergy are displayed in Fig. 10. The  $T_{con}$ and  $T_{exa}$  are maintained around 46.5 ± 0.4 °C and -7 ± 0.1 °C in experiments. When  $T_{ina}$  changes from 16 °C to 24 °C, the heat transfer intensity of the DRHP is inhibited, and the compressor input power is reduced under the lessened heating capacity. Correspondingly, the system *COP*,  $COP_{\text{HP,rev-equ}}$  and the task efficiency have been improved, changing from 2.35 to 2.39, from 5.68 to 5.73, and from 41.4% to 41.8% in Fig. 10 (a).

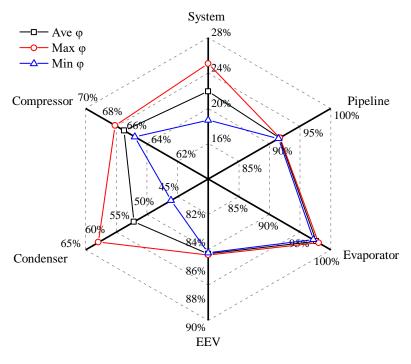
The reduced input power of the compressor contributes to the exergy efficiency of the compressor. As depicted in Fig. 10 (b) and (c), the exergy destruction of the compressor drops from 313.0 W to 275.6 W under the ascendant  $T_{ina}$ . Meanwhile, the higher  $T_{ina}$  leads to higher exergy efficiency of the DRHP, and the exergy destruction of the DRHP decreases from 188.9 W to 128.4 W in Fig. 10 (b). Nevertheless, the influences of  $T_{ina}$  on the exergy utilization of the evaporator, EEV and pipeline are negligible. The extremal exergy efficiencies of the three components preserve at 97.6%, 85.3% and 91.6% in Fig. 10 (c). The exergy efficiency of the system ranges from 18.7% to 25.1%, demonstrating that the enhanced  $T_{ina}$  is beneficial for exergy utilization.





(a) Variations of *COP* and  $\eta$  under different  $T_{\text{ina.}}$ 





(c) Variations of the exergy efficiencies under different  $T_{ina}$ .

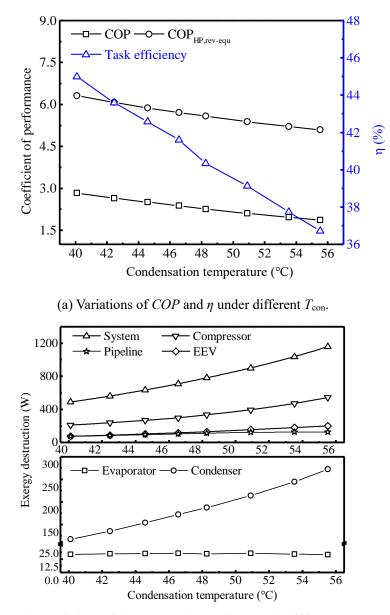
Fig. 10. Effects of indoor air temperature on system efficiencies.

## 4.2.3. Effects of condensation temperature

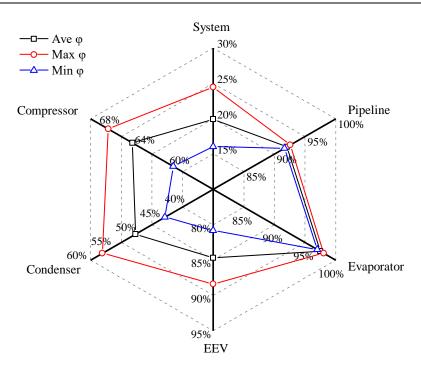
The energy rate and exergy efficiency of the system at different  $T_{con}$  are involved

in Fig. 11. The experiments are conducted at  $T_{exa}$  of -7 °C, in which  $T_{ina}$  is 18 °C, and  $T_{con}$  is stabilized at 40.5 °C, 43.0 °C, 45.1 °C, 46.5 °C, 48.8 °C, 51.4 °C,54.0 °C and 55.7 °C, separately. The enhanced  $T_{con}$  stimulates the increased refrigerant flow rate. Accordingly, the electric power computation and the heating capacity of the DRHP are augmented. The system *COP* and *COP*<sub>HP,rev-equ</sub> decline from 2.84 to 1.87 and from 6.31 to 5.09 under the increased  $T_{con}$ . Meanwhile, the system task efficiency is impaired for the increased compression ratio, decreasing from 45.0% to 36.7% in Fig. 11 (a).

The enhanced  $T_{con}$  aggravates the system exergy destruction and impedes the system exergy utilization. As shown in Fig. 11 (b), the exergy destruction of each component is intensified in addition to the evaporator. The maximum exergy destruction occurs in the compressor, rising from 208.1 W to 544.6 W under the increased  $T_{con}$ . The slight fluctuation of the evaporator pressure is inevitable under the enhanced flow rate even if the external air temperature is controlled. Consequently, the exergy destruction in the evaporator fluctuates from 16.6 W to 17.9 W. The system exergy efficiency declines from 24.5 % to 16.1% when  $T_{con}$  increases from 40.5 °C to 55.7 °C, while the exergy efficiencies of the pipeline and the evaporator are kept above 90% in Fig. 11 (c).



(b) Variations of the exergy destructions under different  $T_{con}$ .



(c) Variations of the exergy efficiencies under different  $T_{con}$ . Fig. 11. Effects of condensation temperatures on system efficiencies.

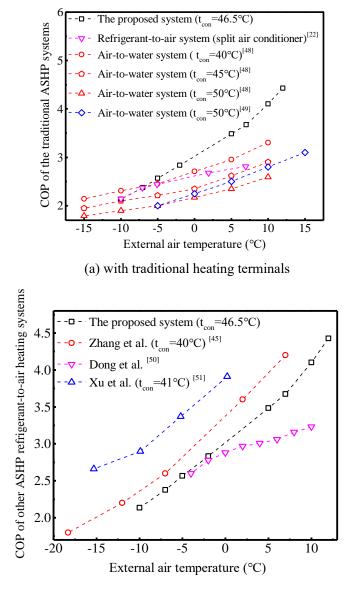
From the variations of system energy and exergy rates, it is demonstrated that the low compression ratio contributes to the system energy and exergy utilization. Moreover, the exergy efficiency of the DRHP under different operating conditions indicated that the temperature difference between indoor and outdoor environments is the major driver for the variations of the exergy efficiency.

#### 4.2.4. Comparisons of the system efficiency

In Fig. 12, the energy efficiency of the ASHP system with DRHP is compared with other ASHP systems under the same  $T_{\text{exa}}$  and  $T_{\text{con}}$ . As shown in Fig. 12 (a), the *COP* of the proposed system, ranging from 2.13 to 4.41 at  $T_{\text{con}}$  of 46.5 °C, which is higher than that of the ASHP air-to-water heating system at  $T_{\text{con}}$  of 40 °C under the same  $T_{\text{exa}}$  [48]. The system performance differences between the two systems mainly originate from

the secondary heat transfer in the water heating cycle. Compared with the ASHP system with the split air conditioner, the power consumption of the indoor fan is avoided in the proposed system. Consequently, the proposed system is competitive in efficiency utilization when  $T_{exa}$  is higher than -7 °C [22].

In Fig.12 (b), the *COP* of the proposed system is compared with the ASHP system with other direct-condensation heating terminals. In Ref. 50, the system *COP* changes from 2.6 to 3.3 when  $T_{con}$  changes from 38.5 °C to 45.7 °C, which is lower than that of the proposed system under the same heating condition. The differences between the compressors of two heat pump systems are responsible for the different *COP*. Meanwhile, the fan-less heating terminal of the proposed system contributes to reducing system input power and improving system efficiency. Compared with the efficiency in Ref. 45 and 51, the *COP* of the proposed system is lower, while it will increase when  $T_{con}$  decreases from 46.5 °C to 40 °C. In comparison with other ASHP systems, the ASHP system with the DRHP is corroborated to be efficient and competitive, which is conducive to reducing heating energy consumption.



(b) with other direct-condensation heating terminals

Fig. 12. Comparisons of the system COP with other ASHP systems

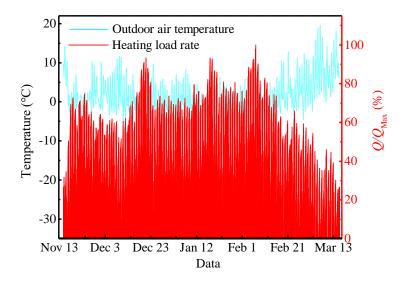
# 4.3. Economic evaluation of the system

The investments of each component of the ASHP system with the DRHP are categorized in Table. 8. Based on the economic model, the total  $C_{ICC}$  of the proposed system is 398.2 \$.

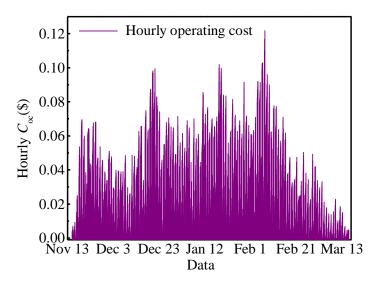
Table. 8. C<sub>ICC</sub> of the proposed heating system.

Component	$C_{\mathrm{mp}}\left(\$ ight)$	$C_{\mathrm{VAT}}(\$)$	$C_{ m LC}$ (\$)
Compressor	171.3	27.4	10.5
DRHP	64.5	10.3	3.9
Evaporator	71.0	11.4	4.3
Valves & Refrigerant	19.2	3.1	1.2
$C_{\mathrm{ICC}}$		398.2	

To extend the application field of the system, the hourly heating load rates of the building are given rather than the specific heating load. Accordingly, the results of the case-study building could be referential for general buildings. In this paper, the hourly heating load rates of a 25 m<sup>2</sup> building are examined, and the maximum heating load is 2427.1 W in Fig. 13 (a). Details of the case-study building are provided in Appendix A. Based on the mathematical model of the system and the load rates of the case study, the total operating cost of the proposed system is 72.7 \$ in Fig. 13 (b).



(a) Hourly load rates.



(b) Hourly operating cost.

Fig. 13. Operating cost of the proposed system.

Based on  $C_{ICC}$  and  $C_{oc}$  of the proposed system, the economic indicators, such as  $C_{AC}$ , Pt, NPV and IRR of the ASHP system with DRHP are obtained, which are summarized in Table. 9. Meanwhile, the economic comparisons between the proposed system and other heating systems are also displayed in Table. 9. It should be noted that the investments of the heating systems are converted to the cost for the same heating capacity or the same heating area. As shown in Table. 9,  $C_{ICC}$  of the ASHP system with the DRHP is less than the traditional heating systems in different regions. Meanwhile, the economic indicators of the proposed system indicated that the ASHP system with the DRHP is profitable and has broad investment prospects in space heating.

Reference	Heating system	Region	Heating capacity	$C_{ m ICC}$	$C_{ m OC}$	Economic indicators
Proposed system	Refrigerant-to-air heating system with DRHP	China	3.5 kW	398.2 \$	72.7 \$	C <sub>AC</sub> :139.2 \$ P't: 7.3 year NPV: 107.4 \$ IRR: 11.2%
Zhang et al [52]	Coal-fired cogeneration	China	/	410 CNY/m <sup>2</sup> (1571.3 $)^{a}$	22.1 CNY/m <sup>2</sup>	$(C_{\rm AC}: 347.0 \$ No profit in 10 years) <sup>b</sup>
	Wall hanging gas boiler heating	China	/	230 CNY/m <sup>2</sup> (881.5 \$) <sup>a</sup>	13.2 CNY/m <sup>2</sup>	( <i>C</i> <sub>AC</sub> : 197.7 \$ No profit in 10 years) <sup>b</sup>
	Regional coal-fired boiler	China	/	$260 \text{ CNY/m}^2 (996.5 \text{ s})^{a}$	12.8 CNY/m <sup>2</sup>	$(C_{AC}: 215.4 \$ No profit in 10 years) <sup>b</sup>
Popa et al [53]	Heating boiler with liquefied petroleum gas	Romania	75 kW	6865 € (393.1 \$) <sup>a</sup>	30000 € per year	/
	Air-to-water heating system	Romania	75 kW	63900 € (3658.9 \$)ª	58000 € per year	/
Ali et al [54]	Refrigerant-to-air heating system with air conditioner	Canada	9.32 kW	3086 C\$ (907.2 \$) <sup>a</sup>	6623 C\$ for ten years	/
Alshehri et al [55]	Refrigerant-to-air heating system with air conditioner	Saudi Arabia	38 kW	160,000 SR (3927.8 \$) <sup>a</sup>	6,456 SR per year	/
Li et al [56]	Refrigerant-to-air heating system with floor	China	/	$160 \sim 170 \text{ CNY/m}^2$ (613.2 ~ 651.5 \$) <sup>a</sup>	/	/

Note:

<sup>a</sup> Figures outside the bracket () are shown in References, and figures inside the bracket () are values converted into the cost for the system with the same heating capacity or same heating area.

<sup>b</sup> Figures inside the bracket () are values calculated with the  $C_{ICC}$  and  $C_{OC}$ .

#### **5.** Conclusions

The air source heat pump (ASHP) system with the direct-condensation radiant heating panel (DRHP) was presented and the thermal stability of the system was examined to be reliable. To evaluate the energy and exergy efficiencies of the system, a thermodynamic model was established. Meanwhile, the efficiency competitiveness of the system was demonstrated in comparison with other traditional ASHP systems. To examine the system economy, the economic model of the system was established, in which the initial cost, operating cost, and economic indicators were analyzed. In the system operating cost estimation, an effective system model was established and the hourly heating load rates of a case study were investigated. The economic competitiveness of the proposed system was validated in comparison with other heating systems. The thermo-economic model proposed in this paper contributes to the optimization and application of the ASHP system with direct-condensation heating terminals. The main conclusions are as follows:

1. The proposed system is authenticated to be reliable and effective for excellent thermal stability, and the heat loss of the pipeline accounts for 18.5% of the total heat emissions.

2. Based on the thermodynamic model, the *COP* and exergy efficiency of the proposed system are 2.4 and 20.3% in the typical heating condition. The increased indoor and external air temperature contribute to the system performance, so is the depressed condensation temperature.

4. Compared with other ASHP heating systems, the COP superiority of the ASHP system with the DRHP is demonstrated. The proposed system is conducive to reducing heating energy consumption.

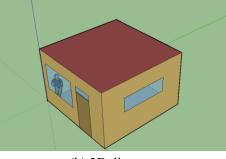
5. The mathematical model of the proposed system is corroborated to be effective for the acceptable simulation deviations. Based on the economic model, the dynamic investment pay-back period is 7.3 years, and the internal rate of return of the system is 11.2%. Compared with the traditional heating systems, the economic performances of the ASHP system with the DRHP are competitive.

# Appendix A

The hourly heating load rates of the residential room model with a size of 5 m×5 m  $\times$ 3.2 m (L  $\times$  W $\times$  H) are examined. The structure of the case-study building is displayed in Fig. A, while the thermal characteristics of the constructions are shown in Table. A.



(a) Actual structure



(b) 3D diagram

Fig. A. The diagram of the case-study building.

Constructions	Geometry	Composition details	Heat transfer coefficients	
		370 mm brick wall;	coefficients	
External wall	5 m ×3.2 m	20 mm cement mortar;	$0.65 \text{ W/(m^2 K)}$	
		20 mm white plaster	0.05 W/(III K)	
East external window		Double-pane Glass;		
	2.5 m ×0.8 m	Window frames of metal;	$2.5 \text{ W/(m^2 K)}$	
		Window curtains	- ( )	
South external window	2.2 m ×0.8 m	Double-pane Glass;	2.8 W/(m <sup>2</sup> K)	
	1 m ×0.3 m	Window frames of metal		
Door	1 m ×2 m	25 mm wooden door;	2.5 W/(m <sup>2</sup> K)	
		Metal frame	2.3  w/(III K)	
Floor	5 m ×5 m	35 mm concrete;	$0.6 \text{ W/(m^2 K)}$	
		100 mm cement expanded perlite	0.0 W/(m K)	
Roof	5 m×5m	35 mm concrete;	$0.5 \text{ W/(m^2 K)}$	
	0 0	150 mm cement expanded perlite		

#### Table. A. Geometry and thermal characteristics of the constructions.

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