1	Melatonin for Neuroprotection in Neonatal Encephalopathy: A Systematic Review &
2	Meta-Analysis of Clinical Trials.
3	Javed Ahmed <sup>1</sup> , Pullattayil S. AK <sup>2</sup> , Nicola J Robertson <sup>3,4,5</sup> , Kiran More <sup>6,7</sup>
4 5 6 7 8 9 10 11 12 13 14 15	<ul> <li><sup>1</sup>Division of Neonatology, Women's Wellness and Research Centre, Hamad Medical Corporation, Doha Qatar</li> <li><sup>2</sup>Department of Medical Libraries, Sidra Medicine, Doha, Qatar.</li> <li><sup>3</sup> Institute for Women's Health, University College London, London WC1E 6HX</li> <li><sup>4</sup> Centre for Clinical Brain Sciences, University of Edinburgh, Edinburgh BioQuarter, 49 Little France Crescent, Edinburgh EH16 4SB</li> <li><sup>5</sup> The Roslin institute, University of Edinburgh Easter Bush Campus EH25 9RG</li> <li><sup>6</sup>Division of Neonatology, Sidra Medicine, Doha, Qatar.</li> <li><sup>7</sup>Weill Cornell Medicine, Doha, Qatar</li> </ul>
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17 18 19 20	<b>Keywords:</b> Hypoxic ischemic encephalopathy (HIE), Neonatal encephalopathy, newborn infant, neuroprotection, Melatonin, Therapeutic hypothermia
21 22	Conflict of Interest and Source of Funding Statement: No author received funding for the
23	conduct of this original study and have no conflict of interest.
24 25 26 27 28 29 30 31 32	<b>Corresponding Author</b> Dr Javed Ahmed Neonatologist, Division of Neonatology, Women's Wellness and Research center, Hamad Medical Corporation, Doha, Qatar. Email: docjaved@gmail.com
33	
34	Word count:
35	Abstract: 285
36 37 28	Main manuscript: 2966
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44	Authors;
45	1) Dr. Javed Ahmed, (Corresponding authors)
46	Division of Neonatology, Women's Wellness and Research center, Hamad Medical
47	Corporation, Doha, Qatar.
48	Email: docjaved@gmail.com
49	
50	2) Pullattayil S. AK
51	Department of Medical Libraries, Sidra Medicine, Doha, Qatar
52	Email: Apullattayil@gmail.com
53	
54 55	3) Dr. Nicola J Robertson
55 56	Institute for Women's Health, University College London, London WC1E 6HX and
56 57	Edinburgh Neuroscience & Centre for Clinical Brain Sciences (CCBS)
58	The University of Edinburgh, Chancellor's Building
59	49 Little France Crescent, Edinburgh EH16 4SB
60	Emoil a schertzen Quel ee ult
61 62	Email: n.robertson@ucl.ac.uk
63	4) Dr. Kiran More
64	Division of Neonatology, Sidra Medicine, Doha, Qatar.
65	Weill Cornell Medicine, Doha, Qatar
66	Email: drkiranmore@yahoo.com
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#### 82 Abstract:

**Objective:** Melatonin has shown neuroprotective properties in pre-clinical studies of perinatal asphyxia through antioxidant, anti-apoptotic and anti-inflammatory actions. Studies have also demonstrated its safety and efficacy in neonatal encephalopathy (NE). However, its role in the current era of therapeutic hypothermia (HT) is unclear. The objective of this review is to describe the currently available clinical evidence for Melatonin as a potential therapy for NE.

#### 88 Methods:

**Data Sources:** We searched Medline, EMBASE, CINAHL, LILACS, and Cochrane central databases, published journals, and conference proceedings from inception to 31<sup>st</sup> May 2020. **Study Selection:** Randomised controlled trials (RCTs) of Melatonin for NE in term or late preterm infants reporting neurodevelopmental outcomes, death, or both. The evidence quality was evaluated using the GRADE system, while the recommendations were taken according to the quality

95 Results: We included five RCTs involving 215 neonates. Long-term development outcome 96 data is lacking in all except in one small study, reporting significantly higher composite cognition scores at 18 months. One study reported intermediate 6-month favorable 97 98 development on follow-up. Meta-analysis of mortality in combined HT+ Melatonin group vs 99 HT alone (Studies= 2, participants= 54) demonstrated no significant reduction with relative 100 risk (RR) 0.42; 95%CI, 0.99-1.12). The overall GRADE evidence quality was very low for a 101 very small sample size. We did not meta-analyze the data for Melatonin alone therapy without 102 HT, as the included studies were of very low quality.

103 **Conclusions:** Despite strong experimental data supporting the role of Melatonin as a 104 neuroprotective agent in NE (both alone and as an adjunct with therapeutic hypothermia), the 105 clinical data supporting the neuroprotective effects in neonates is limited. Larger well designed, 106 adequately powered multicentre clinical trials are urgently needed to define the neuroprotective 107 role of Melatonin in optimizing outcomes of NE.

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- 109 Keywords: hypoxic-ischemic encephalopathy (HIE), neonatal encephalopathy (NE), newborn,
- 110 neuroprotection, Melatonin, therapeutic hypothermia (HT), level of evidence (LOE)

# 111 Abbreviations:

- 112 Amplitude integrated electroencephalography (aEEG), Hypoxic-ischemic encephalopathy
- 113 (HIE), Hypoxia and ischemia (HI), therapeutic hypothermia (HT), level of evidence (LOE),
- 114 Neonatal encephalopathy (NE), Magnitude resonance Imaging (MRI)

#### 116 **BACKGROUND**:

117 Perinatal asphyxia accounts for nearly a quarter (23%) of neonatal mortality worldwide. The 118 risk of adverse outcomes such as death, cerebral palsy, and neurosensory impairment can be as high as 50% in perinatal asphyxia. <sup>1</sup> The risk is highest with severe stage 3- (100%) and 119 moderate stage 2- (33%) neonatal encephalopathy (NE) survivors.<sup>2</sup> Last decade has seen 120 121 impressive progress in the management of asphyxia with the introduction of therapeutic 122 hypothermia (HT). With the current practice of HT, there has been a promising reduction in 123 the mortality of NE from 25% to 9% and the disability from 20% to around 16% along with a 124 reduction in the rate of cerebral palsy. <sup>3,4</sup> However, not all children benefit from treatment, and intellectual impairment may persist even in the absence of cerebral palsy. <sup>5</sup> Moreover, a subset 125 126 of NE population such as neonates born to chorioamnionitis mothers, preterm, or those presenting beyond the therapeutic window of HT, is less likely to be benefitted. <sup>6</sup> There is also 127 128 concern that HT may not reduce mortality or may worsen the outcome in low and middleincome countries in the presence of sepsis. <sup>7</sup> Therefore, different adjuvant therapies like 129 erythropoietin<sup>8</sup>, magnesium<sup>9</sup>, allopurinol<sup>10</sup>, xenon<sup>11</sup>, and Melatonin<sup>12</sup> either alone or in 130 131 combinations with HT, are being actively explored in clinical trials to improve outcomes in 132 NE.

Melatonin, produced by the pineal gland, has favorable pharmacokinetics, an excellent safety profile, and is widely available. It renders neuroprotection through its strong anti-apoptosis properties by both receptor-mediated signalling (transmembrane receptors MT1 and MT2, Cytosolic receptor MT3 and a nuclear receptor) and directly non-receptor mediated antioxidant and free radical scavenger action. <sup>12</sup> It can easily cross the blood-brain barrier and reach the intracellular compartment, providing neuroprotection at the cellular level in hypoxia ischemia (HI). Melatonin has anti-inflammatory and antioxidant properties that decrease microglial and 140 astrocyte proliferation and promote subsequent myelination within the white matter. It also has 141 anti-excitatory effects through the modulation of gamma-aminobutyric acid and glutamate 142 (GABA) receptors. Additionally, it is also known to improve mitochondrial integrity 143 upregulates antioxidant enzymes, a step important for the prevention of the release of apoptotic mitochondrial enzymes, seen in the secondary phase of neuronal damage in HI. <sup>7,12</sup> Melatonin, 144 due its multifaceted neuroprotective mechanism, has the unique potential to limit damage 145 146 duirng the latent phase (6-15 hours after HI and reperfusion injury) and reduce the secondary 147 phase of neuronal death, associated with secondary energy failure. Melatonin also attenuates 148 continuing brain injury, seen in the tertiary phase that can result in a reduction in neurons and plasticity of the brain. 7,13 149

150 Melatonin crosses all physiological barriers such as the blood-brain and the placenta; melatonin 151 has an excellent safety profile.<sup>14,15</sup> Pineal melatonin production is not fully mature at birth, 152 even in term infants for 2-4 months, producing a transient melatonin deficiency at birth, which 153 makes the newborn furthermore susceptible to oxidative damage in the event of the stress of NE.<sup>16</sup> Melatonin is considered to be the most promising neuroprotective agent amongst the 154 13 candidate therapies considered for postnatal neuroprotection of NE.<sup>17</sup> There is compelling 155 156 animal experiment data regarding its neuroprotective efficacy in reducing brain injury by 157 reducing apoptosis, inflammation, and lipid peroxidation. It is also shown to reduce the severity of cerebral palsy in rats <sup>18,19</sup>. The objective of this systematic review (SR) is to evaluate if 158 neonates with Hypoxic-ischemic encephalopathy (HIE) or neonatal encephalopathy (NE) 159 160 (Population) treated with Melatonin (any dose or route of administration) (Intervention) as 161 compared to standard therapy (either supportive therapy or HT or magnesium or erythropoietin 162 or any combination) (Comparison), have reduced mortality or better neurodevelopment 163 outcome on follow up at 18-24 months of age (Outcome).

#### 164 MATERIAL AND METHODS

This SR and meta-analysis were conducted and reported as per the guidelines from PRISMA
(Preferred Reporting Items for Systematic Reviews and Meta-analyses). The following were
criteria for the inclusion of the study for this SR.

168 Criteria for study selection:

(1) Study Selection: Only randomized controlled trials (RCTs) and quasi-randomized were
eligible for inclusion. Case-control or cohort studies, case reports/series, letters to editors,
editorials, review articles, and commentaries were identified for potential studies and reviewed
for relevant data, however, excluded for the SR and meta-analysis. Duplicate reports not
providing additional information were also excluded.

### 174 (2) Type of participants:

Neonates (term or late preterm infants) fulfilling criteria for perinatal asphyxia or Neonate with
NE due to perinatal asphyxia which is as follows: (i) profound metabolic or mixed acidaemia
(pH <7.00) in an umbilical artery blood sample, if obtained, (ii) persistence of an Apgar score</li>
of 0–3 for longer than 5 min, (iii) neonatal neurologic sequelae (e.g., seizures, coma,
hypotonia), and (iv) multiple organ involvement (e.g., kidney, lungs, liver, heart, intestines) <sup>20</sup>.

### 180 (3) Type of intervention:

181 1) Melatonin (regardless of dose, duration, and route of administration) vs placebo or

182 2) Melatonin as an adjuvant to therapeutic hypothermia (HT) vs HT alone or

183 3) Melatonin, along with erythropoietin *or* magnesium sulfate, *or* a combination of two *or* more

184 of the therapies which are established for the treatment of perinatal asphyxia *versus* controlled

185 arm.

#### 186 **(4) Outcomes:**

#### 187 **Primary Outcomes:**

- 188 1. Neurodevelopment impairment (NDI) as any form of change assessed at 18-24 months (by
- any standardized, validated tool like Bayley 2 or 3 (BSID), Griffith, etc.)
- 190 2. Death before discharge (due to any cause) (early or late neonatal death).

### 191 Secondary:

- 192 1. Cerebral palsy (CP) or unilateral deafness or unilateral blindness diagnosed on or before 24
- 193 months of age (as defined by the authors)
- 194 2. Neurodevelopment delay: as one or more of the following i) BSID III score in any domain
- 195 (e.g. cognitive/motor /language/ social/ adaptive score > 1SD or >2 SD below the normative
- 196 mean or ii) BSID II MDI and/or PDI scores >1 SD or >2 SD below the normative mean; iii)
- 197 non-ambulant CP (GMFCS level 3-5); iv) blindness bilateral v) sensorineural deafness
- 198 requiring amplification. Any other clinically important outcome was reported by authors
- 199 (not pre-specified).
- 200 3. MRI and EEG finding at follow up

201 4. Persistent Seizures disorder

202 5. Biomarkers of brain injury such as S100-B.

#### 204 **Review Methods:**

### 205 Literature search Strategy:

The Medline, Embase, Cumulative Index to Nursing and Allied Health Literature (CINAHL), LILACS, and Cochrane Central Register of Controlled Trials (CENTRAL) databases were searched applying the combinations of controlled vocabulary (e.g., MeSH/Emtree) and free text words guided by our PICO parameters. No language restriction was applied. The details of search terms, including the search strategies for individual databases, are available in *Supplemental Information*. Google search has also been conducted to minimize publication bias. The search was conducted for the period between inception till 31<sup>st</sup> May 2020.

**Data extraction:** Author AKP conducted the initial search in all the databases, and the final list was assimilated. JA screened all titles and abstracts to determine the relevance to full-text eligibility. JA and KM conducted data extraction independently, and inconsistencies were resolved by discussion and involving NR. The studies' original authors were contacted for any further clarifications and/or additional missing data if needed.

### 218 Assessment of risk of bias:

219 For randomized studies, we used the Cochrane Handbook 'Risk of Bias assessment tool <sup>21</sup>.

220 Quality assessment of the included studies was done independently by two authors (JA and

221 KM). Differences of opinion were resolved by discussion and involvement with NR.

222 **Data Synthesis and Statistical analysis:** We performed statistical analysis using Review 223 Manager 5.4 (Cochrane Collaboration) and used the Cochrane Random-effects model for the 224 Meta-analysis. A two-tailed P value of <0.05 was considered statistically significant. The 225 results of the meta-analysis and summary of findings are provided with the Cochrane Grading 226 of Recommendations Assessment, Development, and Evaluation (GRADE) approach to assess 227 the level of evidence (LOE). <sup>22</sup> The authors (JA and KM) evaluated independently the level of

- 228 evidence using the GRADE approach. The discrepancies were resolved by discussion and
- consensus and with the involvement of NR.

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#### 232 **RESULTS:**

#### 233 **Description of studies:**

The result of the literature search and study selections is shown in the PRISMA flow diagram in *Figure 1*. An initial database search identified 614 records, 564 studies were manually screened after duplicate removal, and only 5 RCT fitting the inclusion criteria were included  $^{23-27}$ . The baseline characteristics of the five included studies, encompassing 215 neonates are reported in *Table 1*.

### 239 Risk of bias (ROB) among included studies:

The results of the ROB assessment of the included studies are presented in *Figure 2*. Overall, all studies had a high risk of performance biased (4/5) and a low risk of attrition and reporting bias. Only 2/5 studies have proper randomization, and all were at unclear risk of selection bias due to unclear allocation concealment. Lack of use of HT in the control group, which is the current standard of care in three-quarters of the studies has generated baseline *other bias* in 3/5 studies.

### 246 **Primary Outcome:**

1. **Neurodevelopment impairment (NDI):** Only one study by Calero AJ et al.<sup>27</sup>, reported development at 18 months showing composite cognitive score, significantly higher (p < 0.05) in the Melatonin and HT group. However, there were no statistical differences in the cognitive scale at six months or for the other components of neurologic development (language and motor skills) at 6 and 18 months. Aly H et al. <sup>26</sup> performed follow up until six months, however, reported significantly better normal neurological examination by the Denver Development screening test (DDST II) in the melatonin group (*Table 1*). 2. **Death** in the neonatal period is reported by four studies<sup>24–27</sup>. Meta-analysis of the mortality in combined HT+ Melatonin group vs HT alone (RR0.42, [95% CI 0.99-1.12], Studies 2, participants=54, I<sup>2</sup>=0) was not significant. We GRADE the Level of evidence (LOE) of this to be very low for a very small sample size. We did not pool the data for melatonin alone therapy without HT, as the included studies were of very low quality. (*Figure3,4*)

### 259 Secondary Outcomes:

No study described long term clinical follow-up findings such as cerebral palsy, 260 neurodevelopment impairment, deafness, and blindness. El Farargy et al.<sup>23</sup>, observed that the 261 biochemical marker of neuronal injury, Serum S100-B concentrations, which correlates with 262 263 the severity of HIE, was significantly reduced on Day 2 & 6 of the intervention group 264 (Magnesium and Melatonin group) suggesting a synergistic effect of magnesium and Melatonin in neuroprotection. Aly H et al. <sup>26</sup>, reported no difference in grey matter abnormality; 265 266 however, the white matter abnormalities were reduced in the melatonin+ HT group (P=0.014) 267 on follow-up MRI. Although the baseline EEG and follow up EEG after two weeks did not differ much, the intervention group (melatonin/HT group) had less clinical seizures (P=0.032). 268 In contrast, Calero et al. <sup>27</sup>, found no difference in MRI or amplitude-integrated EEG (aEEG) 269 270 between treatment groups.

#### 272 **Discussion:**

273 This SR highlights the clinical knowledge gap in the use of melatonin for neuroprotection in 274 NE despite supportive pre-clinical and animal data. There is a dearth of clinical data on long-275 term neurodevelopment outcome of melatonin therapy in NE. Melatonin's role as an additional 276 supportive therapy in NE without HT requires further exploration in better designed clinical 277 trials; currently, available evidence is from a small number of low-quality studies. The meta-278 analysis of melatonin as an adjunct therapy to HT in NE did not reveal benefit for mortality. 279 However, caution must be exercised in the interpretation of this result due to the very small 280 sample size, and this LOE is GRADED as very low.

The strengths of this SR include a comprehensive search strategy across multiple databases and the evaluation of multiple clinically relevant outcomes. The limitation of the review is due to limited numbers of small studies, high risk of bias in some of the included studies, different doses and dosing regimens of melatonin used, little pharmacokinetic data with no target therapeutic range, and a paucity of long-term neurodevelopment follow up data.

286 Melatonin is considered safe in the neonatal population with minimal side effects, even in higher doses; side effects are limited to its hypnotic and sedative properties <sup>28</sup>. Melatonin is 287 288 available in many countries without prescription as a nutritional supplement. Neonatal clinical 289 literature has described melatonin doses ranging from 0.5 mg/kg for sedation to as high as 290 10mg/kg/dose for neuroprotection without any serious adverse events or interaction with other medications <sup>16</sup>. Oral Melatonin has rapid absorption but low bioavailability (ranges from 3-291 292 15%) with great inter-individual variability mainly attributed to high first-pass hepatic 293 metabolism. The intravenous formulation has higher bioavailability by bypassing the first-pass 294 metabolism in the liver. The plasma level needed for melatonin's neuroprotective effects in babies is unclear but is likely to be supraphysiological for maximal free radical protection <sup>15,29</sup>. 295

296 From pre-clinical studies in piglets, a therapeutic range of 15-30 mg/L is safe and optimal for neuroprotection when melatonin is added to HT after transient HI <sup>30</sup>. This level is achieved 297 298 with doses of 20-30mg/kg every 24h in the piglet; similar doses are likely to be required in 299 babies, although neonatal pharmacokinetic studies are needed. Future studies must incorporate 300 therapeutic drug level monitoring, to ensure bioavailability and understand interindividual variation, and the effect of HT<sup>15</sup>. The therapeutic window for neuroprotection offered by 301 302 melatonin appears to be limited (10 minutes to 2 h after HI), as shown in the animal studies. 303 Hence, melatonin should be administered as early as possible to achieve the therapeutic levels for better neuroprotection <sup>19,30</sup>. Although no definite melatonin neuroprotective protocol can 304 305 be recommended presently, pre-clinical studies suggest a melatonin dose of 20-30mg/kg given as soon as possible, after birth. <sup>31</sup> Two relevant studies NCT03806816 (MELPRO trail) and 306 307 NCT02621944 may be able to answer some of these pharmacokinetic, safety, and clinical 308 outcome questions in the future.

309 As melatonin is sparingly soluble in aqueous vehicles, solubility enhancers, such as ethanol, 310 have been used, to obtain a solution with the desired concentration. The use of ethanol as an excipient could have been a confounding factor in previous pre-clinical <sup>32–34</sup> and clinical 311 312 studies, including the study by Fulia 2001 included in this SR where melatonin was dissolved in a 1:90 mixture of ethanol:0.9% saline. <sup>24</sup> Low doses of ethanol can have neuroprotective 313 effects against ischemia/reperfusion injury <sup>35</sup> and also may have an effect on GABA expression 314 and increase GABAergic neurotransmission. <sup>36</sup> Babies in the neonatal intensive care around 315 316 the world are commonly exposed to potentially neurotoxic excipients, including ethanol; 317 efforts are urgently needed to understand pharmacokinetics, long-term effects, and safety of ethanol in excipients and potentially reduce this exposure. <sup>37</sup> A high concentration intravenous 318 melatonin formulation with excipients safe for babies is urgently needed.<sup>30</sup> 319

This SR also draws attention to some limitations of the included studies. Fulia et al. <sup>24</sup> and 320 Farargy et al.<sup>23</sup> focused on biochemical markers of lipid peroxidation without reporting clinical 321 outcomes. The feasibility trial by Aly et al. <sup>26</sup>, had only six months of follow-up and included 322 more severe NE cases in the control arm. Calero et al.<sup>27</sup> had very few subjects and described 323 324 development at 18 months, but cerebral palsy, neurosensory impairments were not reported. 325 Ahmad et al. <sup>25</sup>, included the milder cases of NE in their study; mortality was reduced in 326 moderate and severe NE cases after removing the milder cases. The result of this study needs 327 to be interpreted cautiously due to the high risk of bias and as enrolment of the subjects was 328 entirely based on clinical examination. Only one study used a combination of magnesium and 329 melatonin; although a reduction in markers of neuronal injury was observed, the study did not 330 report clinical outcomes <sup>23</sup>, thus limiting its clinical utility. We did not find any clinical study 331 comparing the efficacy of the combination of melatonin and erythropoietin for neuroprotection, although a pre-clinical study has reported this combination recently. <sup>31</sup> Melatonin has the 332 333 potential to act synergistically with other therapeutic agents as pathways of neuroprotection 334 differ between therapeutics, for example, HT decreases metabolic rate, prevents secondary energy failure and apoptosis<sup>2</sup>, magnesium sulfate has anti-inflammatory properties and 335 competitively antagonizes calcium ion entry in the NMDA channel <sup>9</sup>, erythropoietin has 336 337 neurotropic and anti-apoptotic properties, and stimulates neurogenesis and oligodendrogenesis 338 <sup>8</sup>. It is also important to consider the timing of therapies with early melatonin targeting the high 339 levels of oxygen free radicals in the minutes and hours after birth in NE.

340 Several proposed trials for maternal supplementation of Melatonin for fetal neuroprotection 341 have been published in the last decade; however, we could find no published reports of these 342 trials. Thus, no conclusion about antenatal supplementation of melatonin for fetal 343 neuroprotection can be drawn. <sup>38–41</sup> 344 The deficiency of our current knowledge, highlighted in this review, should pave the way for 345 future clinical studies, addressing the limitation of the present studies. Larger powered safety 346 and efficacy clinical studies of HT with intravenous melatonin reaching therapeutic melatonin 347 levels are urgently needed. Important and relevant clinical measures such as long term 348 neurodevelopment outcomes and surrogate markers of a brain injury such as conventional MRI, 349 proton magnetic resonance spectroscopy (peak area ratio of Lactate/N Acetyl aspartate 350 (Lac/NAA)), and amplitude-integrated EEG (aEEG) must be used for prediction of neurological outcome and comparability with other standards of care. 42,43 351

352 In conclusion, despite promising pre-clinical data and encouraging clinical data about the role 353 of melatonin in the treatment of NE, this SR highlights the paucity of large, high-quality RCTs 354 of melatonin as either alone or an adjunct therapy with HT (with adequate melatonin 355 therapeutic levels, sample size, and appropriate surrogate outcome measures). It is clear that 356 clinical studies of melatonin as a neuroprotective agent significantly lag behind the promising 357 pre-clinical studies. The translational pipeline, taking therapies from bench to bedside, has 358 therefore stalled at the stage of Phase I and II clinical trials. This translational gap requires 359 researchers, pharmaceutical companies, funding bodies, and clinicians to work together so that 360 many more neonates with NE can have the opportunity to benefit from this potentially safe and 361 effective therapeutic agent and thus be saved from lifelong disabilities.

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# Figure 1: PRISMA flow diagram for literature search

Figure 2: Risk of Bias (ROB) assessment of included studies:

Figure 3: Meta-analysis for mortality in Melatonin with therapeutic hypothermia (HT+M) vs therapeutic hypothermia alone (HT)

Fig 4: Grade recommendations of the quality of evidence

Study	Design	Participants	Intervention	Comparison	Primary Outcome	Secondary outcome
( <b>yr</b> )		(Sample	(Dose)			
		size)	(Diluent detail)			
1.Fulia et	RCT	Term	M (oral)	SC	1) ND: NA	NA
al		(N=20)	10 mg/2 hourly 8		2) Mortality: M= 0/10 vs SC=3/10 in	
(2001)			doses (80 mg		control	
(USA)			total)		RE:0.14 [95% CI,0.01- 2.45]	
			(n=10) dissolved			
			in a 1:90 mixture			
			of ethanol:0.9%			
			saline			
2.Aly H et	RCT	Term	HT + M (oral)	HT:	ND: long term follow up: Nil	at 6 months:
al. (2015)		(N=30)	10mg/kg daily	Manual Cooling	Mortality: 1/15 in HT+M vs 4/15 death	Significant improve survival without
(Egypt)			for a total of five	with ice packs	HT (P=0.33)	disability at 6 months in HT+M
			doses (n=15)		RE: 0.25 [95% CI,0.03-1.98]	(10/14 vs 3/11 normal neurological
			Melatonin tablets (1	(n=15)		examination and DDST) (P< 0.001).
			or 3mg/tablet; Puritan's Pride,			
			Oakdale, NY, USA)			HT+M fewer clinical seizures and less
			were crushed, then dissolved			white matter abnormalities on MRI.
			in 5ml of distilled			
			water and			
			administered via an orogastric tube.			
3.Ahmad	RCT	Term or late	M (Oral)	SC	1) ND: NA	NA
QM		preterm	10 mg single	(n=40)	2) Mortality:	
(2018)		(clinical	dose at		M = 5/34(12.5%) death ,SC=14/36(35%)	
(Pakistan)		features of	admission		(p=0.03)	
		HIE)	(n=40)		(in moderate and severe HIE case)	
		(N=80)	(Information on		RE:0.38 [95% CI, 0.15-0.94]	
			diluent not			
			available)			
4.El	RCT	Term or late	М	M (10 mg/kg daily	1) ND; NA	Both groups have lower concentration of
Farargy et		preterm	+ Magnesium	enteral for 5 days).	2) Mortality: NA	S100-B on 6th day from baseline
al (2019)		(N=60)	(n=30)	(n=30)		

(Egypt)			Information on			
			diluent not			
			available.			
5.Calero	RCT	Term or late	HT + M	HT	1)ND: Significant difference in	No difference in aEEG /clinical seizures or
AJ et al		preterm	(n= 12)	(n=13)	Composite Cognitive score at 18 months,	MRI
(2020)		(N=25)	(IV melatonin		in HT+M , in BSID-3	
(Spain)			5mg/kg for 3		no difference in other scores	
			days)		2) Mortality:	
			Information on		HT+M=1/12 vs. HT=1/13 (NS)	
			diluent not		RE:1.00 [95% CI, 0.07- 14.21]	
			available.			

## Table 1: Characteristics of included studies

Yr: Years HT= therapeutic hypothermia, M= Melatonin, SC= Supportive care, IV = Intravenous, ND: neurodevelopment, DDST = Denver development screening test, NA=not available, BSID-3 = Bayley Scale of infant development-3, RE= random effect, CI= Confidence interval, N= total sample size, n= number of subjects in Melatonin group

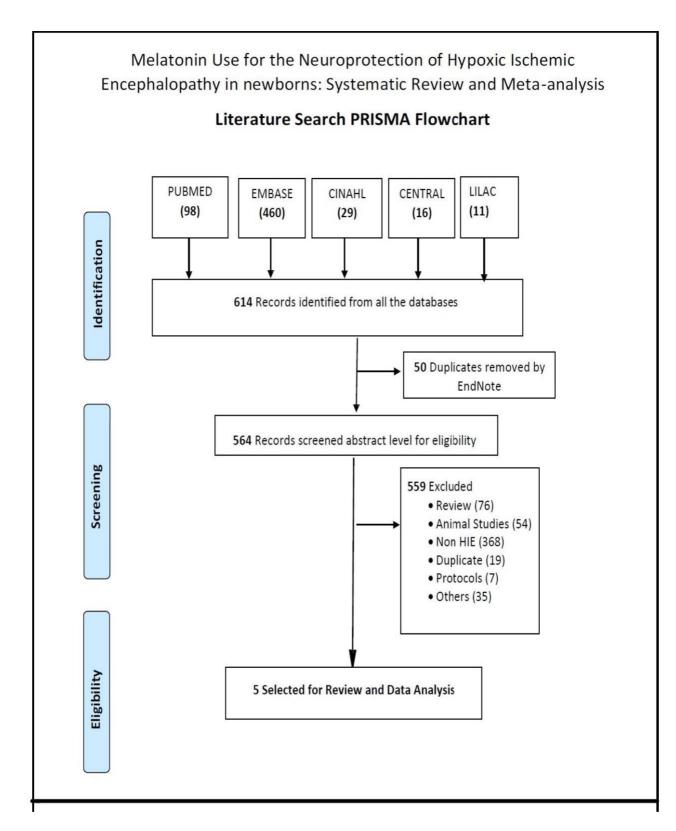


Figure 1: PRISMA flow diagram for literature search

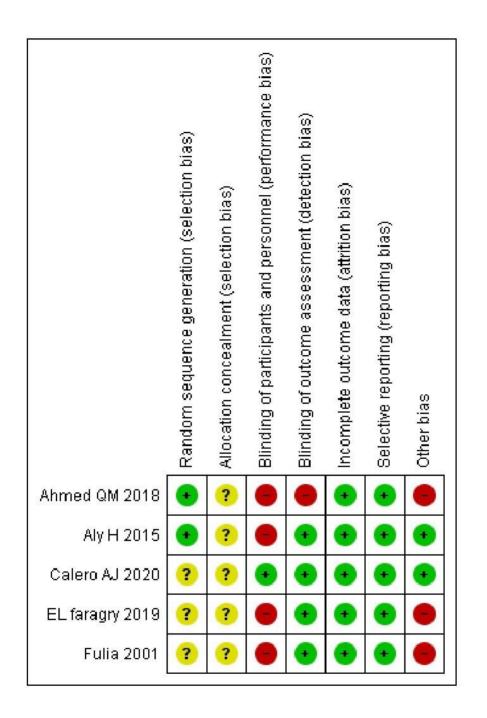


Figure 2: Risk of Bias (ROB) assessment of included studies:

	Melatonin with hypothermia (	HT+M)	hypothermia	a (HT)		Risk Ratio			Risk Ratio		
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl		М-Н,	Random, 95%	6 CI	
Aly H 2015	1	15	4	15	62.1%	0.25 [0.03, 1.98]	_				
Calero AJ 2020	1	12	1	12	37.9%	1.00 [0.07, 14.21]			+		
Total (95% CI)		27		27	100.0%	0.42 [0.08, 2.16]					
Total events	2		5								
Heterogeneity: Tau² =	: 0.00; Chi <sup>2</sup> = 0.66, df = 1 (P = 0.4	2); <b>I</b> ² = 0	%				0.01	01			100
Test for overall effect:	Z = 1.03 (P = 0.30)						0.01	0.1 	IT+M HT	10	100

Figure 3: Meta-analysis for mortality in Melatonin with therapeutic hypothermia (HT+M) *vs* therapeutic hypothermia alone (HT)

## For Melatonin for Neuroprotection in HIE:

Question: Mortality in combined Hypothermia+ melatonin vs. therapeutic hypothermia alone in HIE Setting:

	Certainty assessment								Effect			
N₂ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	mortality in combine HT+Melatonin vs HT alone	placebo	Relative (95% CI)	Absolute (95% Cl)	Certainty	Importance
Mortality	Mortality in therapeutic hypothermia with Melatonin (follow up: median 28 days)											
2	randomised trials	serious a	not serious	not serious	serious <sup>a</sup>	publication bias strongly suspected *	2/27 (7.4%)	5/27 (18.5%)	RR 0.42 (0.08 to 2.16)	107 fewer per 1,000 (from 170 fewer to 215 more)		

Fig 4: Grade recommendations of the quality of evidence

# Supplemental Information

# Search Strategies: Use of Melatonin for Hypoxic ischaemic brain injury Advance Literature Search via PubMed/Embase/Cinahl/CENTRAL

# <u>April 17 2020</u>

<u>Study objective:</u> To find out the effect of **melatonin** as adjunct therapy on newborns with hypoxic ischaemic encephalopathy.

Publication Use: meta-analysis of RCTs

Step 2: Please identify PICO terms from the question you formulated in step 1 above

- (P) Neonates AND Hypoxic ischemic encephalopathy
- (I) Melatonin
- (C)Placebo or hypothermia
- (0)
- (T) Randomized Controlled Trials Limits: (infants <u>></u> age 18 months)

Step 3: Please identify search concept/terms from PICO on step 2

Each concept combine with AND Similar concept combine with OR keyword	Concept 1 Synonyms/related words	A N D	<u>Concept 2</u> Synonyms/r elated words Melatonin	A N D	Concept 3 Synonyms/re lated words	A N D	Concept 4 Synonyms/rela ted words
OR	encephalopathy Neonatal hypoxia- ischaemia OR		N-acetyl-5- methoxytrypta	A	Newborn	A	Controlled Trial Clinical Trials
	neonatal hypoxia- ischemia	A N	mine	N D		N D	
OR	asphyxia	D	ramelteon		infants		RCT
OR	hypoxic-ischaemic encephalopathy		indolamine		late preterm		Controlled Clinical Trials
OR	HI				term		Random Allocation
OR	HIE				preterm		Multicenter studies
OR	perinatal hypoxia- ischemia				newborns		Control groups
OR	encephalopathy						Evaluation studies
OR	neonatal asphyxia						Randomized- Controlled
OR	perinatal hypoxic- ischemic brain injury						Randomized
OR	cerebral asphyctic events						Trial
OR	Hypoxia-Ischemia, Brain						

OR	hypoxia' OR 'hypoxic' OR 'asphyxia' OR 'hypoxic- ischemic encephalopat hy' OR 'perinatal asphyxia' OR 'birth asphyxia' OR 'ischemia'			
OR	Brain Ischemia OR Hypoxia-Ischemia, OR Brain Ischemia OR Hypoxia Asphyxia			
OR	Neonatal Hypoxic- ischemic encephalopathy			
OR	Asphyctic			

# Advanced literature search via PubMed /Embase/Cinhal/Central/Lilac

# Database: Medline/PubMed Date

COV	tabase Name: Medline/PubMed	
	vered from 1966 to 17 April 2020	
#1 (((( "hy Fie Fie "er end OF inf isc bra "br ("h "er end OF inf isc ("if inf isc ("if inf isc ("if inf isc OF inf isc ("h "er end OF inf isc ("h "er end OF inf isc bra "br ("h "er end OF inf isc bra "br ("h "er end OF inf isc bra "br ("h "er end OF inf isc bra "br ("h "er end OF inf isc bra "br ("h "er end OF inf isc oF inf isc isc isc isc isc isc isc isc isc isc	<ul> <li>dabase Name: Medline/PubMed</li> <li>vered from 1966 to 17 April 2020</li> <li>((((((("("hypoxic ischaemic encephalopathy"[All Fields] OR</li> <li>ypoxia-ischemia, brain"[MeSH Terms] OR ("hypoxia-ischemia"[All</li> <li>elds] AND "brain"[All Fields]) OR "brain hypoxia-ischemia"[All</li> <li>elds] OR ("hypoxic"[All Fields]) OR "hypoxic ischemic</li> <li>cephalopathy"[All Fields]) OR (("infant, newborn"[MeSH Terms]</li> <li>R ("infant"[All Fields]) OR (("infant, newborn"[MeSH Terms]</li> <li>R ("infant"[All Fields]) OR (("infant, newborn"[MeSH Terms]</li> <li>R ("infant"[All Fields]) OR (neonatal"[All Fields]) AND ("hypoxic haemic encephalopathy"[All Fields] OR "hypoxia-ischemia, ain"[MeSH Terms] OR ("hypoxia-ischemia"[All Fields] AND</li> <li>rant"[All Fields]) OR "brain hypoxia-ischemia"[All Fields] AND</li> <li>rant"[All Fields]) OR "brain hypoxia-ischemia"[All Fields] AND</li> <li>rant"[All Fields]) OR "brain hypoxia-ischemia"[All Fields] OR</li> <li>rapoxic"[All Fields]) OR "brain hypoxia-ischemia"[All Fields] OR</li> <li>rapoxic"[All Fields]) OR "hypoxic ischemic</li> <li>cephalopathy"[All Fields]) OR "hypoxic ischemic</li> <li>cephalopathy"[All Fields]) OR "hypoxic ischemic</li> <li>cephalopathy"[All Fields]) OR ("infant, newborn"[MeSH Terms]</li> <li>R ("infant"[All Fields]) OR ("infant, newborn"[MeSH Terms] OR</li> <li>nfant"[All Fields] AND "newborn"[All Fields]) OR "newborn</li> <li>rant"[All Fields] OR "neonatal"[All Fields]) OR "newborn</li> <li>rant"[All Fields]] ON ("asphyxia"[MeSH Terms] OR</li> <li>rant"[All Fields]] ON ("hypoxic ischaemic encephalopathy"[All</li> <li>relds] OR "hypoxic: ischaemic encephalopathy"[All</li> <li>relds] OR "hypoxic: ischaemic encephalopathy"[All</li> <li>relds] OR "hypoxia-ischemia, train"[MeSH Terms] OR ("hypoxia-hemia[All Fields]]) OR ("hypoxic ischaemic encephalopathy"[All</li> <li>relds] OR "hypoxia-ischemia, train"[MeSH Terms] OR ("hypoxia-hemia[All Fields]]) OR ("hypoxic ischaemic encephalopathy"[All</li> <li>relds] AND "reain"[MeSH Terms] OR ("hypoxia-</li></ul>	2776539

	<ul> <li>"brain"[All Fields]) OR "brain hypoxia-ischemia"[All Fields] AND "brain"[All Fields]) OR</li> <li>("hypoxia"[MeSH Terms] OR "hypoxia"[All Fields]) OR</li> <li>("hypoxia"[All Fields]) OR ("asphyxia"[All Fields]) OR</li> <li>("hypoxia ischemic encephalopathy"[All Fields] OR "hypoxia-ischemia"[All Fields]) OR</li> <li>("hypoxic ischaemic encephalopathy"[All Fields] OR "hypoxia-ischemia"[All Fields]</li> <li>AND "brain"[All Fields]) OR "brain hypoxia-ischemia"[All Fields] OR</li> <li>("hypoxic"[All Fields]) OR "brain hypoxia-ischemia"[All Fields]</li> <li>OR ("hypoxia"[MeSH Terms] OR "hypoxia"[All Fields]]</li> <li>("asphyxia"[MeSH Terms] OR "asphyxia"[All Fields]]</li> <li>OR ("asphyxia"[All Fields]) OR ("asphyxia"[All Fields])</li> <li>OR ("asphyxia"[MeSH Terms] OR "asphyxia"[All Fields]]</li> <li>OR ("birth"[All Fields]) AND "asphyxia"[All Fields]) OR ("asphyxia neonatorum"[All Fields]) OR</li> <li>("birth"[All Fields]) AND "asphyxia"[All Fields]) OR</li> <li>("hypoxia"[MeSH Terms] OR "hypoxia"[All Fields]] OR</li> <li>("hypoxia"[MeSH Terms] OR "hypoxia-ischemia"[All Fields]] OR</li> <li>("hypoxia"[MeSH Terms] OR "asphyxia"[All Fields]] OR</li> <li>("hypoxia"[MeSH Terms] OR "asphyxia"[All Fields]] OR</li> <li>("hypoxia"[MeSH Terms]</li></ul>	
#2	("melatonin"[MeSH Terms] OR "melatonin"[All Fields]) OR ("ramelteon"[Supplementary Concept] OR "ramelteon"[All Fields]) OR ("indolamine"[Supplementary Concept] OR "indolamine"[All Fields])	<u>26416</u>

#3	("infant, newborn"[MeSH Terms] OR ("infant"[All Fields] AND "newborn"[All Fields]) OR "newborn infant"[All Fields] OR "neonates"[All Fields]) OR ("infant, newborn"[MeSH Terms] OR ("infant"[All Fields] AND "newborn"[All Fields]) OR "newborn infant"[All Fields] OR "newborn"[All Fields]) OR ("infant"[MeSH Terms] OR "infant"[All Fields] OR "infants"[All Fields]) OR (late[All Fields] AND preterm[All Fields]) OR ("term birth"[MeSH Terms] OR ("term"[All Fields] AND "birth"[All Fields]) OR "term birth"[All Fields] OR "term"[All Fields]) OR preterm[All Fields].	2422446
#4	#1 AND #2 AND #3	368
#5	(RCT[All Fields] AND ("clinical trial"[Publication Type] OR "clinical trials as topic"[MeSH Terms] OR "clinical trials"[All Fields]) AND ("randomized controlled trial"[Publication Type] OR "randomized controlled trials as topic"[MeSH Terms] OR "randomized controlled trial"[All Fields] OR "randomised controlled trial"[All Fields]) AND ("controlled clinical trial"[Publication Type] OR "controlled clinical trials as topic"[MeSH Terms] OR "controlled clinical trials as topic"[MeSH Terms] OR "controlled clinical trials"[All Fields])) OR ("random allocation"[MeSH Terms] OR "controlled clinical trials"[All Fields])) OR ("random allocation"[MeSH Terms] OR ("random"[All Fields])) OR ("random allocation"[MeSH Terms] OR ("random"[All Fields]) OR ("multicenter study"[Publication Type] OR "multicenter studies as topic"[MeSH Terms] OR "multicenter studies"[All Fields]]) OR ("multicenter studies"[All Fields]) OR ("control groups"[MeSH Terms] OR "multicenter studies"[All Fields]]) OR ("control"[All Fields]]) OR ("control"[All Fields]]) OR ("control groups"[MeSH Terms] OR ("control"[All Fields]]) OR ("control groups"[MeSH Terms] OR ("control groups"[MeSH Terms] OR ("control"[All Fields]]) OR ("evaluation study"[Publication Type] OR "evaluation trype] OR "evaluation studies as topic"[MeSH Terms] OR ("evaluation study"[Publication Type] OR "evaluation trype] OR "evaluation studies as topic"[MeSH Terms] OR ("evaluation studies"[All Fields]]) OR ("evaluation studies"[All Fields]]) OR ("evaluation trype] OR "evaluation trype] OR "evaluation trype] OR "evaluation studies as topic"[MeSH Terms] OR "evaluation trype] OR "evaluation trype]	2186559
#6	#4 AND #5	98
	Embase Session Results 17 <sup>th</sup> April 2020	
#1	'hypoxic ischemic encephalopathy'/exp OR 'hypoxic ischemic encephalopathy' OR 'neonatal hypoxia-ischaemia' OR 'neonatal hypoxia-ischemia asphyxia' OR 'hypoxic-ischaemic encephalopathy'/exp OR 'hypoxic-ischaemic encephalopathy' OR hi OR hie OR 'perinatal hypoxia- ischemia' OR 'encephalopathy'/exp OR encephalopathy OR 'neonatal asphyxia'/exp OR 'neonatal asphyxia' OR 'perinatal hypoxic-ischemic brain injury' OR 'cerebral asphyctic events' OR asphyctic OR 'hypoxia- ischemia, brain'/exp OR 'hypoxia-ischemia, brain' OR 'hypoxia- ischemia, brain'/exp OR 'hypoxia-ischemia, brain' OR 'hypoxia/exp OR hypoxia OR 'hypoxic' OR 'asphyxia'/exp OR 'asphyxia' OR 'hypoxic-ischemic encephalopathy'/exp OR 'hypoxic- ischemic encephalopathy' OR 'perinatal asphyxia'/exp OR 'hypoxic- ischemic ischemia' OR 'birth asphyxia'/exp OR 'birth asphyxia' OR 'ischemia'/exp OR 'ischemia' OR 'brain ischaemia'/exp OR 'brain ischaemia' OR 'hypoxia-ischemia' OR 'brain ischemia'/exp OR 'brain	3,029,945

	ischemia' OR (('brain'/exp OR brain) AND ('ischemia'/exp OR ischemia)) OR 'hypoxia asphyxia' OR 'neonatal hypoxic-ischemic encephalopathy'	
#2	'melatonin'/exp OR melatonin OR 'n-acetyl-5-methoxytryptamine'/exp OR 'n-acetyl-5-methoxytryptamine' OR 'ramelteon'/exp OR ramelteon OR 'indolamine'/exp OR indolamine	148,206
#3	neonates OR newborn OR infants OR 'late preterm' OR term OR preterm OR newborns OR infant OR neonate	2,908,254
#4	#2 AND #3 AND #4	2,227
#5	'randomized controlled trial' OR 'clinical trials' OR rct OR 'randomized- controlled' OR randomized OR trial OR 'controlled clinical trials' OR 'random allocation' OR 'multicenter studies' OR 'control groups' OR 'evaluation studies'	2,538,237
#6	#5 AND #4	460
	Database Name: Cochrane Library (CENTRAL) Date Run: 17/04/2020 16:10:06	
#1	#1 MeSH descriptor: [Hypoxia-Ischemia, Brain] explode all trees	212
#2	Hypoxic ischemic encephalopathy' OR 'Neonatal hypoxia-ischaemia' OR 'neonatal hypoxia-ischemia Asphyxia' OR 'hypoxic-ischaemic encephalopathy' OR HI OR HIE OR 'perinatal hypoxia-ischemia' OR Encephalopathy OR 'neonatal asphyxia' OR 'perinatal hypoxic- ischemic brain injury' OR 'cerebral asphyctic events' OR asphyctic OR 'Hypoxia-Ischemia, Brain' OR hypoxia OR 'hypoxic' OR 'asphyxia' OR 'hypoxic-ischemic encephalopathy' OR 'perinatal asphyxia' OR 'birth asphyxia' OR 'ischemia' OR 'Brain Ischaemia' OR 'Hypoxia- Ischemia' OR Brain Ischemia OR 'Hypoxia Asphyxia' OR 'Neonatal Hypoxic-ischemic encephalopathy'	40156
#3	#1 OR #2	40156
#4	MeSH descriptor: [Melatonin] explode all trees	1190
#5	Melatonin OR "N-acetyl-5-methoxytryptamine" OR ramelteon OR indolamine	2986
#6	#4 OR #5	2986
#7	MeSH descriptor: [Infant, Newborn] explode all trees	15916
#8	MeSH descriptor: [Infant, Premature] explode all trees	3752
#9	Neonates OR Newborn OR infants OR 'late preterm' OR term OR preterm OR newborns OR infant OR neonate	224256

#10	#7 OR #8 OR #9	224256		
#11	#3 AND #6 AND #10	16		
	CINAHL search dated April 17, 2020			
<b>S</b> 1	(MH "Hypoxia-Ischemia, Brain, Neonatal")	240		
S2	Hypoxic ischemic encephalopathy' OR 'Neonatal hypoxia-ischaemia' OR 'neonatal hypoxia-ischemia Asphyxia' OR 'hypoxic-ischaemic encephalopathy' OR HI OR HIE OR 'perinatal hypoxia-ischemia' OR Encephalopathy OR 'neonatal asphyxia' OR 'perinatal hypoxic-ischemic brain injury' OR 'cerebral asphyctic events' OR asphyctic OR 'Hypoxia-Ischemia, Brain' OR hypoxia OR 'hypoxic' OR 'asphyxia' OR 'hypoxic-ischemic encephalopathy' OR 'perinatal asphyxia' OR 'birth asphyxia' OR 'ischemia' OR 'Brain Ischaemia' OR 'Hypoxia-Ischemia' OR Brain Ischemia OR 'Hypoxia Asphyxia' OR 'Neonatal Hypoxic-ischemic encephalopathy'	78,890		
<b>S</b> 3	S1 OR S2	78,890		
S4	(MH "Melatonin")	2,686		
S5	Melatonin OR "N-acetyl-5-methoxytryptamine" OR ramelteon OR indolamine	3,683		
S6	S4 OR S5	3,683		
<b>S</b> 7	(MH "Infant, Newborn+")	140,288		
<b>S</b> 8	(MH "Infant, Premature")	23,095		
S9	Neonates OR Newborn OR infants OR 'late preterm' OR term OR preterm OR newborns OR infant OR neonate	665,438		
S10	S7 OR S8 OR S9	665,438		
S11	(S7 OR S8 OR S9) AND (S3 AND S6 AND S10)	29		

# LILAC: Run on 17 April 2020

# Database : LILACS

Search on : Hypoxic ischemic encephalopathy' OR 'Neonatal hypoxia-ischaemia' OR 'neonatal
hypoxia-ischemia Asphyxia' OR 'hypoxic-ischaemic encephalopathy' OR HI OR HIE OR
'perinatal hypoxia-ischemia' OR Encephalopathy OR 'neonatal asphyxia' OR 'perinatal
hypoxic-ischemic brain injury' OR 'cerebral asphyctic events' OR asphyctic OR
'Hypoxia-Ischemia, Brain' OR hypoxia OR 'hypoxic' OR 'asphyxia' OR 'hypoxic-
ischemic encephalopathy' OR 'perinatal asphyxia' OR 'birth asphyxia' OR 'ischemia' OR
'Brain Ischaemia' OR 'Hypoxia-Ischemia' OR Brain Ischemia OR 'Hypoxia Asphyxia'
OR 'Neonatal Hypoxic-ischemic encephalopathy' [Words] and Melatonin OR "N-acetyl-
5-methoxytryptamine" OR ramelteon OR indolamine [Words]

References found : 11 [refine]

Displaying: 1.. 10 in format [Detailed]

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YOUR SELECTION	>> SEND RESULT	Q NEW SEARCH	C⇒ CONFIG	🐥 PAGE BOTTOM			
Database : LILACS							
Search on : Hypoxic ischemic encephalopathy' OR 'Neonatal hypoxia-ischaemia' OR 'neonatal hypoxia-ischemia Asphyxia' OR 'hypoxic-ischaemic encephalopathy' OR HI OR HIE OR 'perinatal hypoxia-ischemia' OR Encephalopathy OR 'neonatal asphyxia' OR 'perinatal hypoxic- ischemic brain injury' OR 'cerebral asphyctic events' OR asphyctic OR 'Hypoxia-Ischemia, Brain' OR hypoxia OR 'hypoxic' OR 'asphyxia' OR 'hypoxic-ischemic encephalopathy' OR 'perinatal asphyxia' OR 'hypoxic-ischemic on 'Brain Ischaemia' OR 'Hypoxia-Ischemia' OR Brain Ischemia OR 'Brain Ischaemia' OR 'Hypoxia-Ischemia' OR Brain Ischemia OR 'Hypoxia Asphyxia' OR 'Neonatal Hypoxic-ischemic encephalopathy' [Words] and Melatonin OR "N-acetyl-5-methoxytryptamine" OR ramelteon OR indolamine [Words]							
References found : 11 [refine]							
	Displaying: 1 10 in	format [Detailed]					
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