

1 Life cycle assessment of electricity generation from sugarcane bagasse
2 hydrochar produced by microwave assisted hydrothermal carbonization

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16 **Abstract**

17 Microwave assisted hydrothermal carbonization (MAHTC) is a promising strategy for fuel production
18 from sugarcane bagasse. Even though microwave heating has been reported to facilitate product
19 (hydrochar) yield, energy properties and heating efficiency during hydrothermal carbonization (HTC)
20 process, the environmental consequences of MAHTC treatment were still not clear. This study
21 evaluated the environmental impact associated with 1 MJ electricity generation from sugarcane
22 bagasse hydrochar through life cycle assessment (LCA) method, focusing on the critical role of
23 process-based parameters to provide insights for optimization of MAHTC treatment. Specifically, two
24 different allocation factors (energy content and economic value) and five environmental impact
25 categories (climate change, freshwater eutrophication, freshwater ecotoxicity, human toxicity and
26 fossil depletion) were assessed in this study. The LCA results revealed significant contribution of
27 MAHTC process on climate change and fossil depletion because of large energy consumption used to
28 maintain the system at designed temperature. Discharge of liquid phase from MAHTC process
29 resulted in severe eutrophication impact especially when economic value was used as allocation

30 factor. Gas emission from hydrochar combustion caused most toxicity related impacts indicating
31 essential requirement of further investigation to quantify different gaseous composition. Based on
32 LCA results, sensitivity analysis indicated hydrochar yield and carbon content as the top two
33 influential factors on total environmental consequences. Comparison study with other fuel sources
34 were further conducted to identify the influence of substituted energy sources. The overall results
35 suggested MAHTC as promising method for bagasse utilization and energy retention efficiency as
36 important indicator for optimization of MAHTC treatment or the sake of high-quality products and
37 good environmental performance.

38 **Key words**

39 Life cycle assessment, Microwave assisted hydrothermal carbonization, Sugarcane bagasse,
40 Environmental impact, Hydrochar production

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42 **1. Introduction**

43 China, as the third largest sugar producer in the world, is manufacturing 10.25 million tonnes of
44 sugar annually (Peng et al., 2014) and over 90% of those are cane sugar. Sugarcane bagasse, the
45 fibrous residue after juice extraction of sugarcane, thus becomes the largest agro-industrial residue
46 and by-product of sugar industry (Sindhu et al., 2016). Various technologies have been applied on
47 utilising sugarcane bagasse including direct combustion for electricity generation, pulp and paper
48 production, and fermentation for value-added products (Ahmed and Gupta, 2012). In most cases,
49 bagasse is used directly for co-combustion to generate heat and power (Sindhu et al., 2016) and
50 then used as primary fuel source for sugar mills (Peng et al., 2014).

51 The high carbon content of sugarcane bagasse, reported as 47% on a moisture and ash free basis
52 (Wright et al., 2016), is quite desirable for fuel production. With over 50% water content in the
53 original bagasse (Erlich et al., 2005), thermochemical processes are usually required as pre-
54 treatment for fuel production (Bajpai, 2016). Hydrothermal carbonization (HTC), a novel
55 thermochemical process to transform biomass with high water content into carbon-rich solid
56 product (Kim et al., 2016), has been proposed as suitable fuel production method for biomass
57 feedstocks like sugarcane bagasse (Melo et al., 2017). As the suitability of biomass waste for fuel
58 production largely depends on its heating value (Wright et al., 2016), numerous studies have been
59 focus on modification of HTC process parameters to obtain fuel product, so-called hydrochar, with
60 better energy properties from different feedstocks, such as municipal waste streams (Berge et al.,
61 2011), pure cellulose (Lu et al., 2013) and lignocellulosic biomass (Xiao et al., 2012). The
62 conventional HTC treatment using sugarcane bagasse as feedstock resulted in only 20.3% increase in
63 calorific value at 180°C for 30 minutes (Chen et al., 2012). A more satisfying result of 34.0% increase
64 in energy content of hydrochar was observed (Hoekman et al., 2013), however it required large
65 amount of energy to maintain the reaction at 295°C. Microwave heating has been reported with
66 enhancement effects on process kinetics and considerable reduction of energy consumption during

67 HTC of pure cellulose (Zhang et al., 2018). Through a comparison between HTC and microwave
68 assisted hydrothermal carbonization (MAHTC) of lignocellulosic waste material (Elaigwu and
69 Greenway, 2016a), hydrochars with similar energy properties were generated with 10 times
70 reduction in reaction time under MAHTC. MAHTC, as a potential strategy to improve the fuel
71 properties of biomass feedstock with less energy consumption (Elaigwu and Greenway, 2016b), has
72 risen a particular interest of its accessibility for fuel production from sugarcane bagasse.

73 Although there are several studies suggesting advantages of MAHTC previously, a systems level
74 analysis is still needed to identify and quantify environmental consequences (Berge et al., 2015) for
75 further application. It is also essential to understand the environmental performance of electricity
76 production from hydrochar, as it frequently account for a major portion of the total environmental
77 burdens (Ramjeawon, 2008) identified in the life cycle of sugarcane bagasse. Life cycle assessment
78 (LCA) is a comprehensive method for analysis of a process/system and optimization of industry
79 (Chauhan et al., 2011). It has been successfully applied in conducting system level analysis and
80 addressing environmental sustainability (Borrion et al., 2012). There are few existing studies using
81 LCA to estimate the environmental impacts of electricity generation from hydrochar. Berge et al.
82 (2015) evaluated 9 types of environmental impacts associated with HTC of food waste and the
83 subsequent combustion of the generated hydrochar for energy production using lab scale data. The
84 LCA results indicated that treatment of process water HTC process and the energy sources being
85 replaced by hydrochar should be concerned to reduce environmental loads. Another study
86 conducted by Owsianiak et al. (2016) assessed 15 impact categories from the International
87 Reference Life Cycle Data System (ILCD) handbook of HTC using four types of wet biomass waste
88 streams as feedstock at industry-relevant scale. Although the application of HTC treatment with
89 hydrochar as solid fuel shown to be environmentally attractive (Owsianiak et al., 2016), their results
90 also suggested a range of factors like plant size and geographic location could largely influence the
91 overall environmental performance.

92 Previous LCA studies of HTC have shown interests in comparing environmental impacts of HTC and
93 other waste treatment methods (Berge et al., 2015) or HTC treatment using different feedstock
94 (Owsianiak et al., 2016). Not enough attention was offered on HTC process itself and the parameters
95 related with hydrochar production when conducting LCA on HTC. Only hydrochar moisture and
96 energy content have been discussed in LCA study of HTC using olive mill waste as feedstock
97 (Benavente et al., 2017). More process related parameters should be considered in LCA to reveal
98 how these parameters contribute to system environmental impacts, which could also provide
99 guidance for optimization of current MAHTC treatment. Moreover, the function of allocation
100 method in the previous LCA studies of HTC was ignored and required further investigation to
101 uncover its impact. As the whole electricity generation from hydrochar production by MAHTC
102 involves several multifunctional stages in the life cycle, allocation is an important issue in this
103 biorefinery system (Karka et al., 2015). Since sugarcane bagasse is the major by-product from sugar
104 industry, it is also essential to combine the environmental impact of MAHTC process with initial
105 bagasse production and the final use of hydrochar to understand the whole system instead of
106 focusing on the process itself.

107 A process based LCA was conducted on the MAHTC of sugarcane bagasse and electricity generation
108 from subsequent hydrochar to evaluate environmental performance and facilitate optimization of
109 MAHTC treatment. As far as we know, evaluation of environmental impacts associated with
110 hydrochar from MAHTC treatment for electricity generation has not been carried out. The aim of this
111 paper is to conduct LCA of electricity generation from sugarcane bagasse hydrochar to evaluate the
112 system environmental impact with two different allocation methods and identify critical MAHTC
113 process parameters on environmental burdens for future optimization. This work has three specific
114 objectives, (1) to investigate the environmental hotspots of electricity production from sugarcane
115 bagasse hydrochar generated from MAHTC with allocation of economic benefit and energy content
116 respectively; (2) to understand the effects of different process related parameters on LCA results to
117 minimize environmental impacts for optimization of MAHTC treatment; (3) to evaluate the feasibility

118 of sugarcane bagasse for fuel production through MAHTC treatment from environmental
119 perspective with comparison of other fuel sources. The novelty of this research is to establish the
120 connection between detailed MAHTC process design with systematic environmental impact of
121 electricity generation from bagasse hydrochar. By expanding the lab-scale result into practical
122 system level, this study presents a holistic understanding of the environmental consequences of the
123 implement of MAHTC as a promising waste-to-energy treatment for other biomass waste like
124 sugarcane bagasse. The LCA results from this study can also provide useful insights for both biomass
125 waste treatment and sugarcane bagasse biorefinery.

126 **2. Methods**

127 LCA modelling was performed based on ISO 14040 and 14044 standards (Finkbeiner et al., 2006) to
128 evaluate the environmental impact associated with material balance and energy flow of electricity
129 generation from sugarcane bagasse hydrochar. This study comprises four main phases as goal and
130 scope, life cycle inventory (LCI) analysis, life cycle impact assessment (LCIA) and interpretation.

131 2.1 Goal and scope

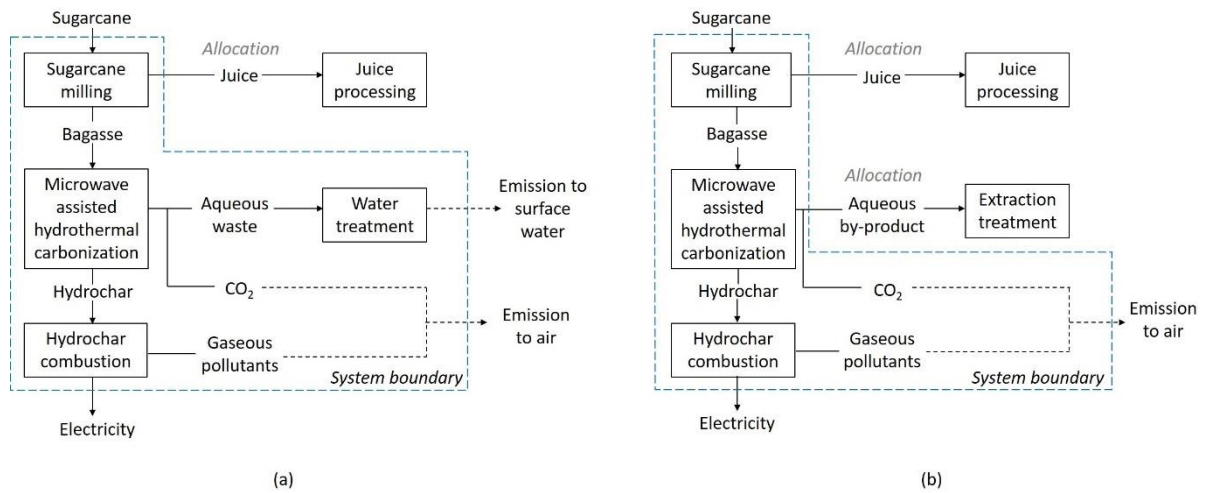
132 2.1.1 Goal of the study

133 The goal of the present research is to quantify environmental impacts of electricity production from
134 sugarcane bagasse hydrochar generated via MAHTC, identify critical process parameters from
135 sensitivity analysis and compare the environmental consequences with other fuel resources.

136 2.1.2 Scope of the study and functional unit

137 The system boundary of this study is illustrated in Fig.1. Two scenarios (S1 and S2) are discussed
138 based on two allocation methods and different treatment of liquid phase product from MAHTC of
139 bagasse. In both scenarios the system starts from sugarcane collection and transportation to the
140 electricity generation from combustion plant, including three main processes as sugarcane milling,
141 MAHTC of sugarcane bagasse and combustion of hydrochar. Sugarcane production process is not

142 included in the system since it has been discussed previously in detail in LCA studies focusing on
 143 sugar industry from Australia (Renouf et al., 2010), South Africa (Mashoko et al., 2010) and
 144 worldwide (Chauhan et al., 2011).



145 (a) (b)

146 Figure 1. System boundary of (a) S1: using economic value as allocation factor and treat liquid phase from HTC
 147 treatment as wastewater; and (b) S2: using energy content as allocation factor and recover liquid product from
 148 HTC treatment for further chemical extraction

149 An MAHTC plant is assumed to treat 4,000 t/y sugarcane bagasse on wet basis after sugarcane
 150 milling. The plant with two reactors system is assumed to run 300 d annually, which resembles to
 151 the capacity of an in-used HTC plant in Germany run by HTCycle company (HTCycle, 2018). Each
 152 reactor contains four batches with capacity of treating 200 kg sugarcane bagasse on wet basis. Eight
 153 batches of experiment are assumed to run per day to produce 3.35 t energy-enriched hydrochar.
 154 The HTCycle case is selected as reference in this study because there is no existing in-used HTC plant
 155 in China up to now and HTCycle is cooperating with several Chinese companies to implement their
 156 technology (HTCycle, 2019), such as Huizhou Tongyong, Beijing Tempro Technologies Inc etc. After
 157 MAHTC treatment the hydrochar will be made into briquette (Liu et al., 2017) and sent to
 158 incineration plant to produce 6.53×10^{10} J electricity per day.

159 The functional unit in this study is generation of 1 MJ (10^6 J) electricity from sugarcane bagasse
 160 hydrochar produced from MAHTC treatment. As hydrochar being assumed as potential fuel, using

161 electricity as functional unit would benefit the comparison of environmental impact between
 162 different fuel sources.

163 2.1.3 Allocation method

164 Table 1 lists the value of allocation factors used in two scenarios. The allocation factors for
 165 sugarcane milling process are adopted from previous research and experimental results are used for
 166 allocation in MAHTC of sugarcane bagasse. Both economic benefit (Gnansounou et al., 2015) and
 167 energy content (Renó et al., 2011) have been used as allocation factors for sugarcane based
 168 biorefineries. Bagasse is generally regarded as a processing waste with limited value in sugarcane
 169 industry, in which way the economic performance is sensitive to sugarcane milling process. As
 170 presented in Table 1, allocation factor is calculated by economic value in S1, where the liquid phase
 171 generated after MAHTC process without further treatment has no actual economic value and is
 172 assumed as direct discharge to wastewater treatment facility. However, the distribution in bagasse
 173 and juice as well as solid and liquid products from MAHTC treatment would largely change when the
 174 energy content is taken as allocation factor. In S2, the allocation is based on the energy content of
 175 products from both milling process and MAHTC treatment, where the liquid phase was taken as by-
 176 product with further extraction treatment to recover organic compounds. The environmental impact
 177 associated with further treatment of liquid phase after MAHTC treatment is not discussed in the
 178 designed system boundary as the focus of this study is on application of solid products.

179 Table 1. Allocation factors in two different scenarios

Scenario		Sugarcane milling		MAHTC of sugarcane bagasse		Reference
S1 (Economic value)	<i>Products</i>	Bagasse	Juice	Hydrochar		(Gnansounou et al., 2015)
	<i>Allocation factor</i>	17%	83%	100%		
S2 (Energy content)	<i>Products</i>	Bagasse	Juice	Hydrochar	Liquid products	(Renó et al., 2011); Experimental result
	<i>Allocation factor</i>	49%	51%	74.2%	25.8%	

180

181 2.2. Life cycle inventory analysis

182 Existing literature with LCA of HTC treatment and other energy recovery methods of sugarcane
183 bagasse is used to provide secondary data for sugarcane milling and hydrochar combustion
184 processes. For the MAHTC treatment of sugarcane bagasse, our lab-scale experiment of sugarcane
185 bagasse is used as primary source to model the scale-up plant. Life cycle inventory data from
186 Ecoinvent 3.0 database is employed in this study.

187 2.2.1 LCI calculation

188 2.2.1.1 Sugarcane milling

189 The sugarcane milling process is adopted from the ED scenario in the research of Gnansounou et al.
190 (2015), where bagasse and juice are the only products from this process. Tap water is used for
191 feedstock washing and imbibition. Sugarcane juice and bagasse are separated after going through
192 steam for dirt and impurities removal. A small amount of lime is added in the end to adjust the pH
193 value of sugarcane juice. Data of bagasse production are summarized from sugarcane based
194 biorefinery researches in Brazil (Gnansounou et al., 2015), Thailand (Nguyen et al., 2008), Mauritius
195 (Ramjeawon, 2008) and China (Peng et al., 2014) and results in consistence that the yield of
196 sugarcane bagasse is about 30% with 50% water content. Emission of sugarcane milling process is
197 ignored in this study since the main emission is generated during further treatment of sugarcane
198 juice (Ensinas et al., 2007).

199 2.2.1.2 HTC treatment of sugarcane bagasse

200 Energy and mass balance of MAHTC process is calculated based on lab experimental results. The
201 hydrochar sample using sugarcane bagasse as feedstock was obtained at 240°C for 30 minutes in the
202 same batch reactor described in our previous study (Zhang et al., 2018), resulting in 38.9% increase
203 in carbon content and 36.3% increase in calculated high heating value (HHV) comparing to raw

204 bagasse. The optimum condition is selected from temperature range of 200°C to 250°C and time
 205 range of 0 to 90 minutes and identified as the condition when the highest energy retention
 206 efficiency (ERE) is reached (Wang et al., 2018). ERE is an indicator usually used to evaluate the extent
 207 of HTC treatment in previous research (Lu et al., 2013), which is calculated by solid yield multiply
 208 energy densification ratio as a measure of the fraction of feedstock energy retained within
 209 generated hydrochar. The properties of sugarcane bagasse and hydrochar generated by MAHTC and
 210 conventional HTC from research of Hoekman et al. (2013) are listed in Table 2.

211 Table 2. Physicochemical properties of sugarcane bagasse and generated hydrochar

	Moisture content (%)	(dry mass)					
		Elemental analysis (%)				HHV (MJ/kg)	ERE (%)
		C	N	O	H		
Sugarcane bagasse	50.3	44.2	0.4	35.0	6.4	17.9	-
Hydrochar MAHTC, 240°C, 30 min	-	61.4	0.9	18.4	5.3	24.4	84.8
Hydrochar Conventional HTC, 255°C, 30 min	-	62.3	1.1	18.5	4.7	23.4	56.33

212
 213 According to Bermúdez et al. (2015), when the microwave assisted process is scaled-up from 5 g to
 214 100 g, there is a decrease in specific energy consumption of 90% to 95% with both water heating and
 215 carbon heating methods and will further decrease with larger capacity on the basis of model
 216 prediction. In this study we assume the material input per unit is assumed to be not affected by
 217 scaling-up while the energy input per unit is assumed to decrease accordingly. The energy
 218 consumption would therefore decrease 95% per kg with increasing capacity from 10 g bagasse at
 219 lab-scale to 200 kg per batch for industrial plant. The comparison between parameters of lab-scale
 220 batch equipment and the hypothetical scale-up plant is listed in Table 3.

221

Table 3. Parameters of lab-scale MAHTC process and scaled-up MAHTC plant per batch

	Lab-scale	Scale up
Batch Capacity	0.1 kg (dry mass)	200 kg (wet mass)
Deionized water	300.0 mL	2.9 m ³
Hydrochar yield		62.5%
Electricity for microwave heating	414 MJ/kg	20.7 MJ/kg

222

223 The liquid phase after MAHTC treatment can be easily separated by gravity drainage. Several organic
 224 compounds in liquid phase were detected including various sugars and organic acids, where 5-HMF
 225 and furfural were detected as main products by GC-MS analysis as the degradation products of
 226 sugars. Although it has been suggested that the liquid phase could be utilized by recovery of valuable
 227 organic chemicals, without practical extraction method such a complex mixture would possess no
 228 economic value. The liquid phase is therefore usually regarded as waste aqueous phase that should
 229 be treated before discharge (Pala et al., 2014). According to our experimental results, the liquid
 230 phase still represents more than 25% energy content of initial feedstock at selected condition.
 231 Whether to take the liquid phase as by-products or wastewater is therefore depends on the chosen
 232 allocation method, which has been discussed in section 2.1.3.

233 The composition of gas phase was not detected since less than 5% of carbon in sugarcane bagasse
 234 has been transferred into gas phase according to our experimental result. It is well known that CO₂ is
 235 the dominant gaseous species for most biomass waste (Hoekman et al., 2011), which accounts for
 236 over 95% of the total gases quantified from HTC treatment of sugarcane bagasse (2013). In this
 237 study, the gas phase discharged into atmosphere from HTC process in this study is assumed to be
 238 CO₂ emission.

239 2.2.1.3 Hydrochar combustion

240 In the recent research of Liu et al. (2017), a boiler was used for co-combustion of hydrochar and coal
241 fines at different mixing ratios. The energy consumption data of the boiler is adopted in this study
242 under the circumstance that hydrochar being used as the only fuel source. The gaseous pollutant
243 emission from 1 kg hydrochar combustion is assumed as the same amount of the emission from 1 kg
244 MSW incineration since combustion of hydrochar could resemble the same process of municipal
245 solid waste (MSW) according to Berge et al. (2015).

246 2.2.1.4 Transportation

247 Collection and transport distance of sugarcane from field to the milling plant is calculated following
248 the logistics of Wang et al. (2014) according to the average haul distance equation (1), where C_p (10^3
249 t of sugarcane crushed per year) is 4000 as the assumed capacity of milling plant and Y is the
250 sugarcane yield with a value of 60.9% as the average sugarcane yield in china from 2004 to 2013 (Li
251 and Yang, 2015). Other relative parameters in the equation are adopted from the mentioned
252 reference, including α (the proportion of distillery catchment area covered by sugarcane), σ (the
253 actual distance travelled to the straight-line distance) and n (the harvest area would be constrained
254 to $1/n$ as a result of geography).

$$255 \quad d = 2 \times \sigma \times \frac{2}{3} \sqrt{\frac{n \times C_p}{100 \times \alpha \pi Y}} \quad (1)$$

256 The average distance to waste treatment facility in EcoInvent database is 10 km (Milà i Canals et al.,
257 2007) which is also adopted in the current research as the distance from sugarcane milling plant to
258 MAHTC plant. For the transportation distance of hydrochar briquette to the combustion plant by
259 train is assumed as 160.93 km (100 miles) as suggested by Liu et al (2017).

260 2.2.2 Life cycle inventory

261 Table 4 summarizes all the material flow data in the system boundary referring to 1 MJ electricity
262 generation from sugarcane bagasse hydrochar.

Table 4. Material flow data of 1 MJ electricity generation from sugarcane bagasse hydrochar

	Value	Units	Data source
Sugarcane milling			
<i>Input</i>			
Sugarcane (wet mass)	0.653	kg	(Ensinas et al., 2007; Gnansounou et al., 2015; Nguyen et al., 2008; Ramjeawon, 2008)
Transport sugarcane by agricultural tractor and trailer	0.015	tkm	Calculated based equation (Wang et al., 2014)
Tap water (used for washing)	0.245	kg	(Gnansounou et al., 2015)
Tap water (used for imbibition)	0.042	kg	(Gnansounou et al., 2015)
Electricity from natural gas	0.059	MJ	(Nguyen et al., 2008)
Steam consumption	0.163	kg	(Nguyen et al., 2008)
Lime hydrated	0.001	kg	(Gnansounou et al., 2015)
MAHTC of sugarcane bagasse			
<i>Input</i>			
Deionized water for MAHTC reaction	2.842	kg	Experiment result
Transport sugarcane bagasse by unspecified lorry	0.002	tkm	EcoInvent database
Electricity from natural gas for MAHTC reaction	0.749	MJ	Experiment result and calculation based on equation (Bermúdez et al., 2015)
Electricity from natural gas for briquette production	0.341	MJ	(Liu et al., 2017)
<i>Output</i>			
Aqueous phase	0.003	m ³	Experiment result
CO ₂ emission	0.003	kg	Experiment result
Combustion of hydrochar briquette			
<i>Input</i>			
Transport briquette to combustion plant using train	0.042	tkm	(Liu et al., 2017)

Electricity from natural gas for heater 0.073 MJ (Liu et al., 2017)

Output

Gaseous pollutants emission 0.051 kg Data of MSW incineration process from EcoInvent database

264

265 2.3 Impact assessment and interpretation

266 Five environmental impact categories, i.e. Climate Change (as Global warming Potential, GWP),
267 Freshwater Eutrophication (FEP), Freshwater Ecotoxicity (ET), Human Toxicity (HT) and Fossil
268 Depletion (FD) are evaluated based on the ReCiPe midpoint methodology. The interpretation phase
269 of this study discusses the LCA results of two scenarios with different allocation methods and
270 provided critical and feasible advices for technical improvement from environmental perspective.

271 **3. LCA results**

272 The LCA results from this study presents the potential environmental impacts of bagasse generation,
273 MAHTC treatment of sugarcane bagasse and hydrochar combustion processes under two scenarios
274 with different allocation factors. For each individual impact, the inputs and outputs have been
275 classified into four categories as emission, transport, fuel, and material to discuss their different
276 influence on causing environmental burdens. Based on LCA results, sensitivity analysis and
277 comparison with other fuel sources are further investigated to identify the influence from key
278 process parameters and substituted energy sources on the results.

279 LCA results of GWP, FEP, ET, HT and FD impacts under S1 and S2 are listed in Table 5. All five impacts
280 under of evaluated scenarios have resulted in similar value, confirming both allocation methods are
281 suitable from systematic view. The GWP, HT and FD impacts are slightly lower in S2 than S1, as a
282 small portion of impact related with energy consumption initially associated with hydrochar
283 generation would be allocated in liquid by-product when energy content is used for allocation. While
284 the FEP and ET impacts slightly increase, implying increasing amount of freshwater use in S2. The

285 GWP impact is 0.264 and 0.231 kg/MJ CO₂ eq under S1 and S2, both of which are less than half of
 286 the value reported by Liu et.al (2017) using conventional HTC treatment (0.149 kg/kwh CO₂ eq for
 287 100% hydrochar). Although the former research used wood chips as feedstock, several parameters
 288 are similar to the current study including the water content of feedstock and hydrochar properties.
 289 This comparison result suggests MAHTC as an environmental-friendly way for fuel production from
 290 biomass waste comparing to conventional HTC. To better understand the contribution of
 291 environmental burdens from individual process, each environmental impact will be broken down
 292 into three main processes and analysed in detail with inputs and outputs classified into four
 293 categories.

294 Table 5. Impact categories and the corresponding results under with two scenarios

Impact category	Abbreviation	Unit/MJ	S1	S2
Climate change	GWP	kg CO ₂ eq	2.64 x 10 ⁻¹	2.31 x 10 ⁻¹
Freshwater eutrophication	FEP	kg P eq	1.13 x 10 ⁻⁵	1.18 x 10 ⁻⁵
Freshwater ecotoxicity	ET	kg 1,4-DB eq	8.13 x 10 ⁻³	8.14 x 10 ⁻³
Human toxicity	HT	kg 1,4-DB eq	2.15	2.01
Fossil depletion	FD	kg oil eq	8.82 x 10 ⁻²	7.57 x 10 ⁻²

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296 4.1 LCA results of Scenario 1

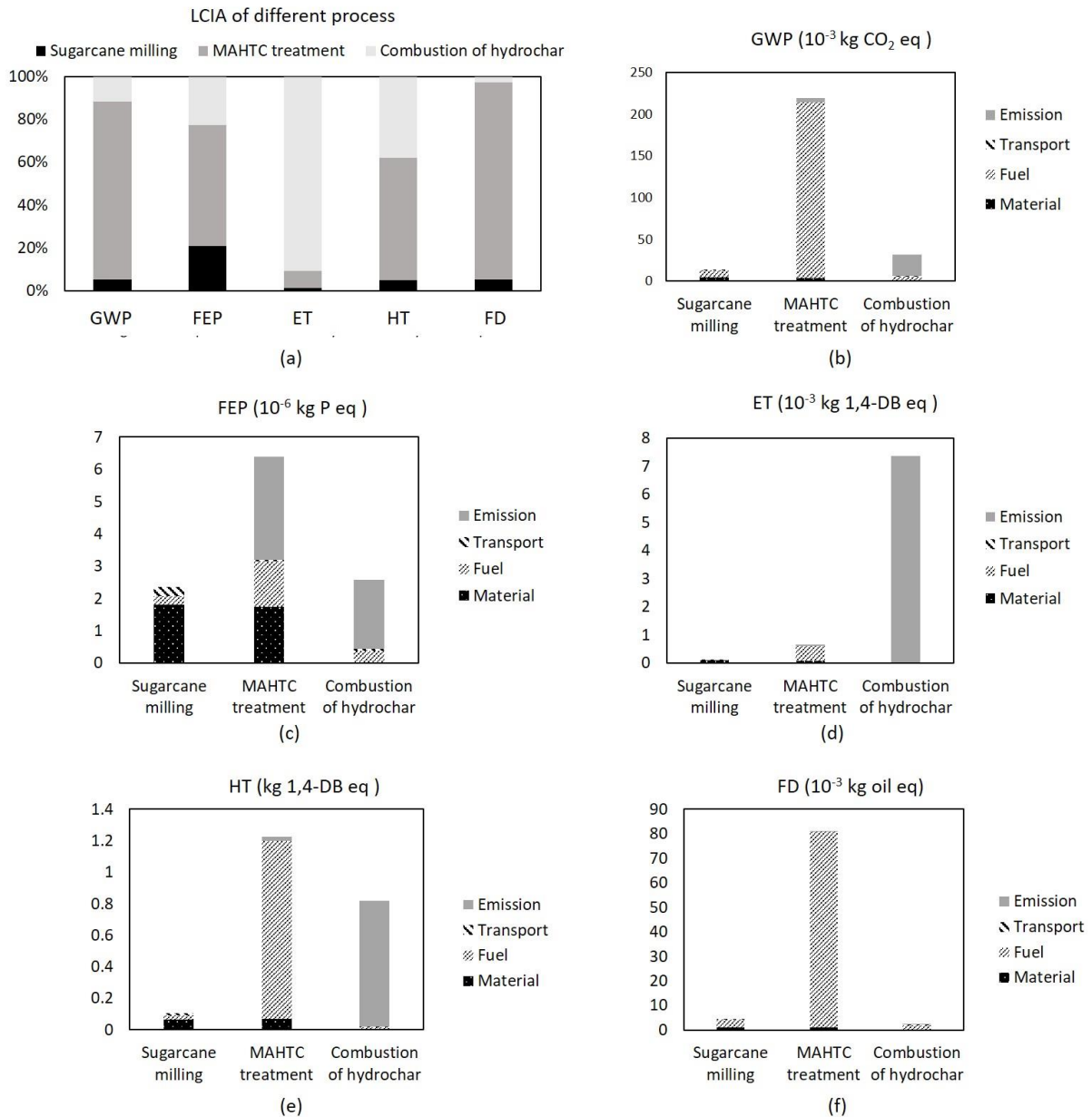
297 Results from LCA analysis of S1 are presented in Fig.2. The percent contribution of three main
 298 processes in Fig.2(a) – bagasse generation from sugarcane milling, MAHTC of sugarcane bagasse and
 299 hydrochar combustion for electricity generation are compared on each individual impact category.
 300 Sugarcane milling has the least contribution on all the selected impact categories comparing to the
 301 other two processes. For GWP, FD, FEP and HT impacts, the LCA results indicate MAHTC of bagasse
 302 have the most significant roles in causing environmental loads, where ET impact is shown to be
 303 mainly affected by hydrochar combustion process.

304 In order to investigate the influence of different factors related to each process, the impact potential
305 is presented with absolute value in Fig.2(b)-(f). As shown in Fig.2(b) and (f), the GWP and FD impacts
306 have similar distribution that majority of the burden comes from fuel consumption while a small
307 contribution from hydrochar combustion emission is not neglectable on GWP impact. It is not
308 surprising to find out that MAHTC process represents the largest contribution in these two fuel
309 related categories because of large amount of heat consumption during MAHTC to maintain the
310 system at designed temperature.

311 Two types of freshwater related impacts, i.e. FEP and ET impacts, are illustrated in Fig.2(c) and (d)
312 with large distinction in process distribution. There is a great complexity in the attributed factors in
313 FEP impact. In sugarcane milling process, water use is shown to be the main factor since large
314 amount of water is consumed for steam generation during milling process (Lobo et al., 2007). When
315 it comes to the MAHTC process, material and fuel use represent half contribution with the other half
316 comes from discharge of the liquid product after wastewater treatment. For the last process when
317 hydrochar is combusted for electricity, there is a reasonable finding that gaseous pollutants emission
318 from hydrochar combustion has largest impact on eutrophication. These gaseous pollutants also
319 represent the cause of over 94% ET impact presented in Fig.2(d), indicating the major ET impact is
320 caused by traditional combustion process. Another 3% of ET impact is observed to be caused by fuel
321 consumption during MAHTC treatment, which is originated from electricity generation plant.

322 The last but the most intuitive category discussed in this research is HT impact as shown in Fig.2(f).
323 Sugarcane milling process represents the least contribution on HT impact with fuel use as the main
324 cause. Unlike the results from Berge et al. (2015), the contribution of MAHTC treatment on human
325 toxicity in this study mainly comes from fuel use rather than water emission since the liquid product
326 is assumed to be treated prior to discharge. The largest HT impact among all the concerned factors is
327 again associated with gaseous pollutants emission from hydrochar combustion process. Since the
328 impact of gas emission from hydrochar combustion is assumed as same as the impact from MSW

329 combustion, it is suggested less impact would associate with real hydrochar combustion condition
 330 because of relatively simple composition of the combust. The negligible environmental impact
 331 associated with gaseous pollutants on toxicity related impact categories indicates essential
 332 requirement of further investigation to quantify different composition in gas emission from
 333 hydrochar combustion.



335 Figure 2. LCA results of five environmental impact categories under S1, (a) percentage contribution of different
336 processes and impacts from emission, transportation, fuel, and material use on (b) GWP; (c) FEP; (d) ET; (e) HT
337 and (f) FD

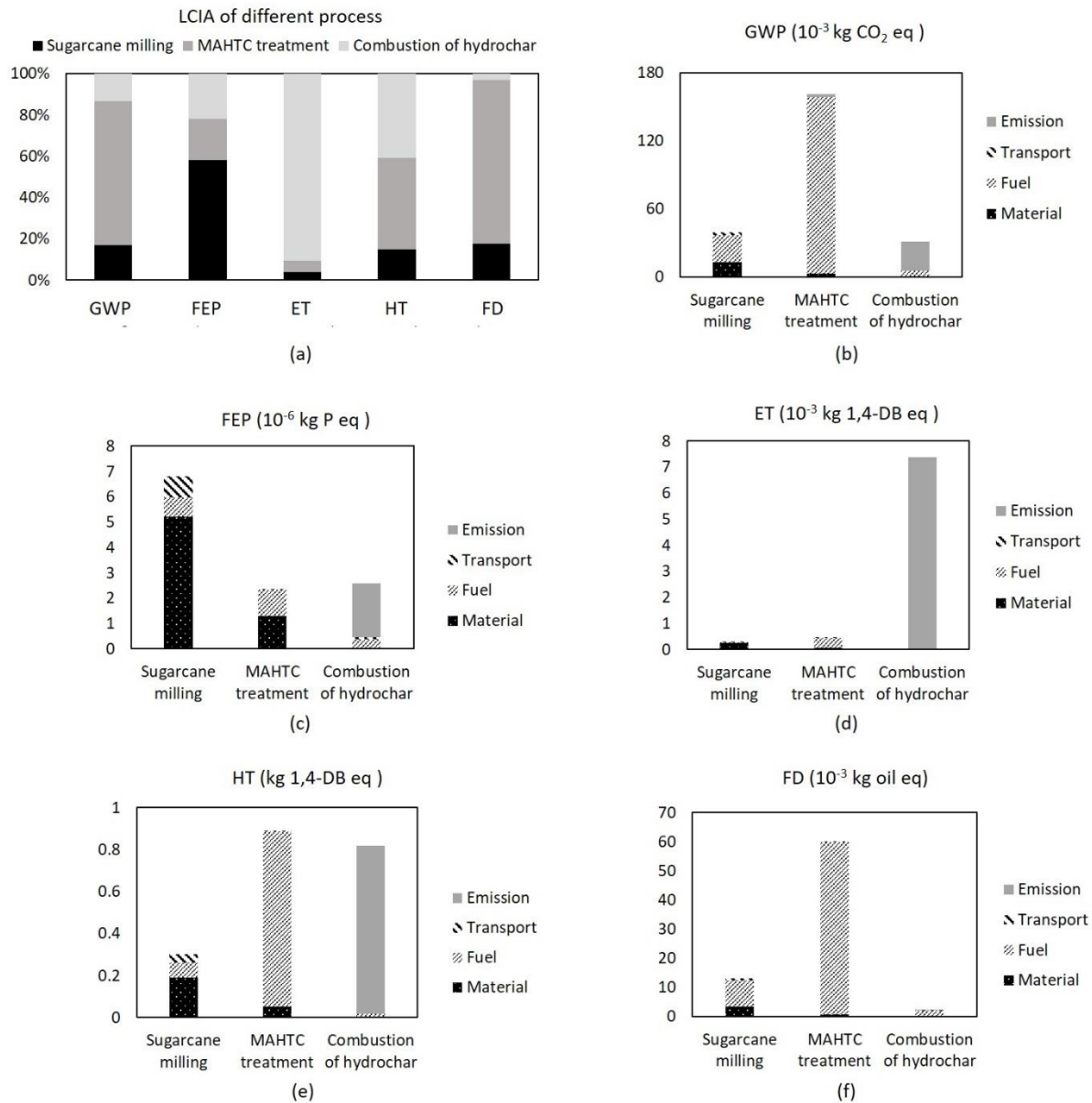
338 4.2 LCA results of Scenario 2

339 As discussed previously in Table 5, the total environmental impact value of each categories under
340 two scenarios are approximately similar. Yet obvious differences are revealed between these two
341 scenarios as environmental impacts being allocated into each process. Comparing the contribution
342 of three main processes in Fig.3(a) with Fig.2(a), a significant increase of the environmental burden
343 caused by sugarcane milling process (displayed in black) can be observed in S2 with simultaneous
344 decrease in the burden caused by MAHTC process (displayed in dark grey). The change of process
345 distribution in two scenarios is mainly caused by two different allocation methods that have changed
346 impact allocated to different products. The distribution of hydrochar combustion process is almost
347 unaffected since no allocation is involved in this process.

348 In the two main products from sugarcane milling process, bagasse possesses very little economic
349 value comparing to juice but occupies half of the energy content because of its large volume. When
350 the environmental impacts are allocated by energy content in S2, the impact associated with
351 bagasse generation would increase and therefore result in higher contribution of sugarcane milling
352 process than S1. Another process being largely affected by allocation method is MAHTC treatment of
353 bagasse to produce hydrochar. In S1 when the liquid product from MAHTC process is regarded as
354 wastewater, the environmental impact largely associates with treatment and discharge process,
355 while in S2 the liquid phase is regarded as a by-product of MAHTC process with appropriate
356 treatment to retrieve energy. As mentioned before, the liquid product from lab-MAHTC process
357 possesses 25% of total carbon contribution in initial feedstock that cannot be ignored when energy
358 content is used for allocation. It has been discussed in previous research that the recovery of liquid
359 phase is critical for the environmental performance of HTC treatment from both technical (Pala et al.,

360 2014) and environmental (Berge et al., 2015) perspective. Hoekman et al. (2013) suggested a
361 multistep HTC process for recovery of valuable organic chemicals to maximize them at different
362 temperature ranges, however studies on successful extraction of organic compounds from the
363 complex aqueous products are barely published.

364 The impact potential of five categories in S2 related with emission, transportation, fuel and material
365 use contribution in different processes are presented in Fig.3 (b)-(f). The high energy consumption of
366 MAHTC process still makes it as the biggest contribution of GWP and FD impacts, though the
367 increased contribution from sugarcane milling process indicates fuel use during bagasse generation
368 is also an important influential factor on these two impacts. The increasing load represented by
369 impact potential of sugarcane milling process is also observed in FEP, ET and HT impacts. A visible
370 difference in the contribution of FEP impact between two scenarios in Fig.2(c) and Fig.3(c), indicating
371 this impact category is sensitive to process with high amount of water use and wastewater
372 treatment. The increasing environmental impact from water use during bagasse generation makes
373 sugarcane milling process become the dominant contribution for eutrophication impact in this
374 scenario. It also explains the desirable decrease of FEP impact in S2 because of liquid phase recovery
375 from MAHTC process. These results not only indicate the unavoidable environmental impact from
376 fuel use in sugarcane milling process, but also highlight the importance of environmental burdens
377 caused by material use, i.e., water and sugarcane. As for ET and HT, gaseous emission from
378 hydrochar combustion is still playing a determining role in both toxicity related impacts in
379 accordance with the environmental performance of S1.



380

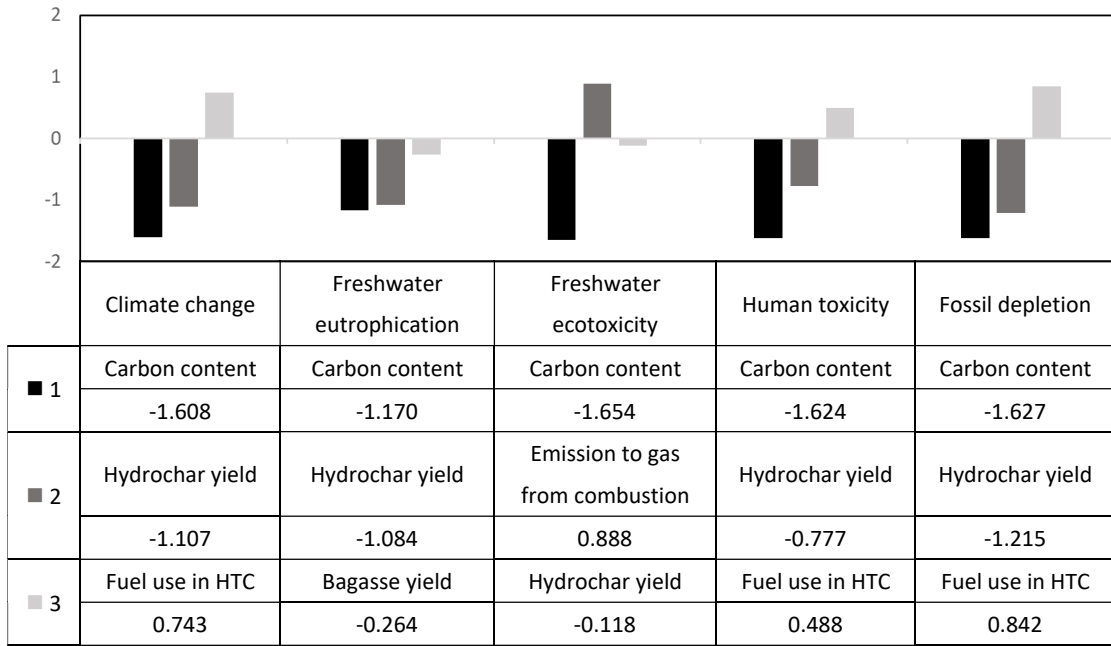
381 Figure 3. LCA results of five environmental impact categories under S2, (a) percentage contribution of different
 382 processes and impacts from emission, transportation, fuel, and material use on (b) GWP; (c) FEP; (d) ET; (e) HT
 383 and (f) FD

384 4.3 Sensitivity analysis

385 Sensitivity analysis is conducted with ten potential parameters based on previous analysis to
 386 understand how changes of parameters in different processes would influence environmental
 387 impacts. For sugarcane milling process, bagasse yield and energy use are selected as primary factors
 388 since both material and fuel use have large impact on the total environmental impact. Transport

389 distance from sugarcane field to milling plant is also selected because there could be an uncertainty
390 during the calculation of the distance under real circumstance. The influence of energy use during
391 MAHTC treatment on the environmental impact is proved critical to the system, thus electricity use
392 during MAHTC process. Other three parameters related with MAHTC reaction including hydrochar
393 yield, water/solid ratio and carbon content of hydrochar are also selected for sensitivity analysis. For
394 combustion of hydrochar, the emission is evaluated for its essential impact as well as fuel use and
395 railway transportation.

396 Sensitivity ratio (SR) is introduced and calculated to quantify the influential extent of each parameter.
397 It is defined as the percent change of the result divided by the percent change of parameter (Berge
398 et al., 2015). LCA results from S1 is selected as base case since the economic value is more
399 frequently used as the allocation method in biorefinery research and the total environmental impact
400 value from the two scenarios are similar. For all the selected parameters, simulations are conducted
401 in 20% increasing and 20% decreasing of the value in base case for comprehensive analysis (except
402 for water/solid ratio used in MAHTC process, 15/1 and 40/1 are selected as the same one used for
403 test in lab-scale experiment), which are recorded as '+20%' and '-20%' respectively to facilitate the
404 discussion. Fig.4 and Fig.5 illustrate the correlation of three most sensitive parameters (selected with
405 top three absolute value of SR) in each impact category under two circumstances with their
406 corresponding value listed in the table.

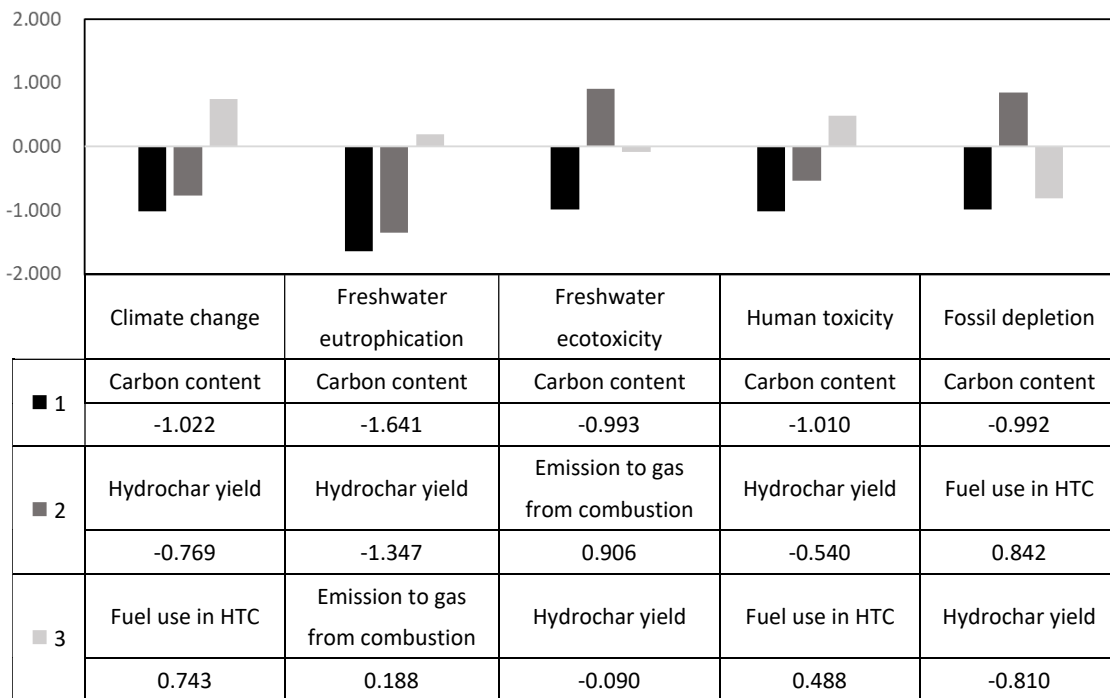


407

408

Figure 4. Top three sensitive factors for each impact category with corresponding sensitivity ratio (+20%)

409



410

411

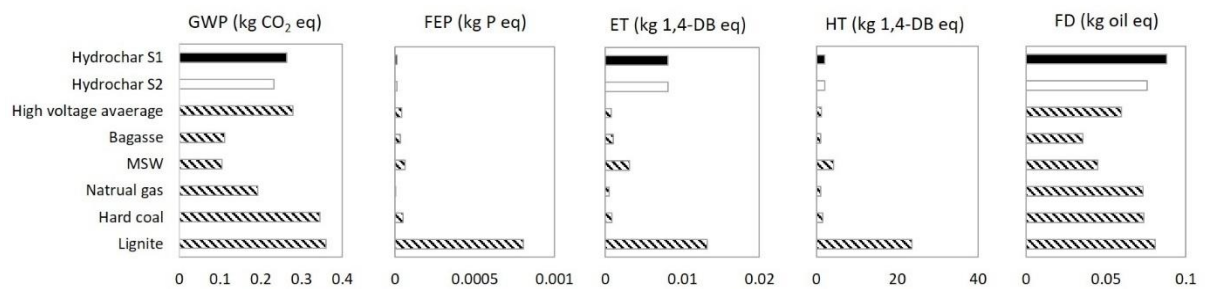
Figure 5. Top three sensitive factors for each impact category with corresponding sensitivity ratio (-20%)

412 It is not surprising to discover carbon content of hydrochar as most influential factor which has
413 negative correlation on all the five impact categories in this study. With hydrochar being used for
414 electricity generation, the change of carbon content closely relates with the demanded quantity of
415 hydrochar and thus all the parameters associated with hydrochar generation, especially material and
416 energy use in MAHTC process. Gaseous emission from combustion is also highly influenced by the
417 amount of provided hydrochar. Hydrochar yield lists second influential for GWP, FEP, HT and FD
418 impacts in the +20% simulation as shown in Fig.4. It is also observed as second influential factor for
419 GWP, FEP and HT impacts in the -20% simulation as shown in Fig.5. As explained before, there is no
420 doubt with the importance of hydrochar generation related parameters as the critical role of MAHTC
421 process plays in total environmental impact. Results from sensitivity analysis highlight the
422 importance of increasing product yield and carbon content of hydrochar simultaneously during
423 MAHTC treatment, which has been ignored in previous LCA studies since energy consumption is
424 always recognized as the most influential factor in the case of environmental performance. As a
425 combined indicator to evaluate carbon content and hydrochar yield in the same time, ERE is not only
426 an indicator to improve energy properties of hydrochar, but also can be used to evaluate the
427 potential environmental performance of hydrochar for electricity production.

428 As for the electricity use during MAHTC treatment, sensitivity analysis shows that the results are
429 quite sensitive with GWP, HT and FD impacts. GWP and FD represent the impacts from energy use
430 thus are tightly related with the electricity use. However, it should be highly noticed that the HT
431 impact also obtains considerable influences from energy consumption. Another sensitive parameter
432 is gaseous emission from hydrochar combustion as illustrated by the analysis, especially for ET
433 impact. The explanation of this result could be the toxic gas emission from conventional combustion,
434 as the impact of hydrochar combustion is assumed as the same of MSW incineration. Gas emission
435 from hydrochar combustion and bagasse yield respectively list as the third influential factor for FEP
436 impact under +20% and -20% simulation. Discrepancy in results from two simulation scenarios is due
437 to the uneven percentage each process contributed to the total environmental impact.

438 4.4 Comparison with other electricity generation method

439 The importance of substituted energy sources has been highlighted in previous LCA studies using
 440 food waste (Berge et al., 2015) and biomass waste (Owsianiak et al., 2016) as feedstocks when the
 441 electricity production from hydrochar is used to offset other types of electricity generation. It is
 442 critical to understand at what level was the environmental impact caused by electricity generation
 443 from hydrochar combustion when compared with other fuel sources. Fig.6 presents the comparison
 444 of environmental impact of 1 MJ electricity generation from bagasse hydrochar combustion in two
 445 scenarios and six other electricity sources with impact potential data adopted from EcoInvent
 446 database, including the average high voltage electricity generation (CN, China), electricity from co-
 447 generation of sweet sorghum bagasse (GLO, Global), incineration of MSW (RoW, Rest of the world),
 448 conventional natural gas power plant (CN), hard coal combustion (CN) and lignite combustion (RoW).



449

450 Figure 6. Comparison of environmental impacts from different electricity sources

451 As illustrated in Fig.6, electricity production from hydrochar combustion generally causes more
 452 environmental burdens in GWP, ET and FD impacts. On the other hand, less impact is caused by
 453 hydrochar combustion in FEP and HT categories than other fuel sources. Comparing with combustion
 454 of lignite, hard coal, and average high voltage electricity generation, hydrochar combustion results in
 455 lower GWP impact, indicating it possesses large potential to substitute traditional coal. The results
 456 are not ideal comparing with electricity production from sorghum bagasse, MSW and natural gas,
 457 suggesting hydrochar for combustion is not competitive with other biomass or equivalent clean fuel
 458 sources. This result is consistent with the conclusion of Berge et al. (2015) that electricity generated

459 from hydrochar combustion would result in environmental savings when offsetting coal-based
460 energy sources but biomass. Disappointingly, FD impacts under both S1 and S2 appear to be even
461 larger than that of hard coal and lignite combustion. This observation is mainly caused by the large
462 amount of electricity consumption during heating and reaction period of MAHTC process, which
463 could be the main obstacle for its industrial application.

464 For other three impact categories, a big disappointing fact is the high impact potential of ET impact
465 associated with electricity production from hydrochar combustion. Under both scenarios, this
466 impact is shown to be far larger than electricity generated from other sources except lignite. This
467 result is mainly caused by the assumption that the gaseous pollutants from hydrochar combustion
468 was as same as MSW incineration, which has a great influence on toxicity related environmental
469 impacts. The low value of FEP and HT impacts associated with electricity production from hydrochar
470 generation when compared to other sources indicates the attracting aspects for implementation of
471 MAHTC treatment for fuel production from sugarcane bagasse.

472 **5. Discussion and implications**

473 The LCA results from this study indicates the critical position of MAHTC treatment and hydrochar
474 combustion process of causing environmental burdens in the system boundary, suggesting technical
475 improvement should be done in these two processes when using hydrochar for electricity
476 generation. Although the change of process-related parameters is micro under lab-scale, it could
477 result in variable differences in material balance and energy consumption from the view of life cycle.
478 For the first time, results from the sensitivity analysis have highlighted both solid yield and carbon
479 content of generated hydrochar have adequate critical influences on the environmental
480 performance of HTC treatment. As a combined index for carbon content and hydrochar yield, ERE is
481 thus suggested as useful indicator to minimize potential environmental burdens. In the life cycle
482 analysis of conventional HTC of two-phase olive mill waste, Benavente et al. (2017) also observed
483 desirable environmental benefits being obtained at highest ERE, which was described as “the

484 percent of energy initially present in the olive mill waste that is recovered in the hydrochar reached
485 maximum". Our recent research (Zhang et al., 2018) has proved that using microwave heating during
486 HTC treatment could further enhance both solid yield and energy content of hydrochar and result in
487 high ERE value, indicating MAHTC could provide attracting improvement in environmental
488 performance comparing with conventional HTC.

489 The largest portion of environmental burdens associated with electricity generation from bagasse
490 hydrochar still appears to be energy consumption even with microwave assisted heating during HTC
491 treatment. As energy consumption has been proved to decrease with reduction of heating time
492 during MAHTC, the environmental burdens mainly attribute to the energy source being used to
493 generate heat. For conventional HTC, the heat is usually generated from direct combustion of
494 natural gas or other fuel. Though there could be heat loss due to limited transfer efficiency,
495 environmental burdens associated with direct combustion is still less than that from electricity.
496 Microwave dielectric heating requires significantly more energy than conventional heating
497 techniques as a consequence of the comparably low transfer efficiency of magnetrons in converting
498 electrical to microwave energy (Razzaq and Kappe, 2008). When microwave is used as the only
499 energy supply, MAHTC process could result in more environmental load than conventional HTC using
500 electricity or heat as sources. Considering both process enhancement effect and low transfer
501 efficiency of magnetrons associated with microwave heating, an ideal option could be using natural
502 gas as heating source to reach the designed reaction temperature first and then adding microwave
503 as enhancement method to obtain hydrochar with desirable properties.

504 Substitutional energy is critical for industrial expansion of sugarcane bagasse hydrochar for
505 electricity generation. Comparing with other energy sources in China, electricity generation from
506 sugarcane bagasse hydrochar could result in environmental savings when it is used to replace
507 traditional coal combustion and average electricity generation. Nevertheless, it is not as competitive
508 as other energy source with less environmental loads such as biomass or natural gas. Water

509 emission from MAHTC treatment remains a big issue for industrial application of MAHTC treatment
510 from environmental perspective. If the liquid phase from MAHTC process could be partially recycled,
511 all the environmental impact categories discussed in the study especially FEP impact can be largely
512 reduced. If the economic value of liquid product could increase with proper recovery, a small portion
513 of impact initially associated with hydrochar generation would be allocated with the final liquid
514 product thus results in less impact with hydrocar. As for hydrochar combustion process, further
515 investigations should be conducted with gaseous composition to understand the unneglectable
516 toxicity related impacts to benefit the industrial use of hydrochar as alternative fuel.

517 **6. Conclusion**

518 A comprehensive **life cycle assessment (LCA)** has been conducted in this study to evaluate
519 environmental impacts associated with microwave assisted **hydrothermal carbonization (MAHTC)** of
520 sugarcane bagasse and electricity generation from subsequent hydrochar to provide practical
521 advices for future optimization of MAHTC treatment. Both economic benefit and energy content are
522 suitable for allocation with slightly difference in total environmental impacts. **Comparing the two**
523 **scenarios discussed in this article, the Climate change, Human toxicity, and Fossil depletion** impacts
524 are slightly lower when energy content was used for allocation, as a small portion of impact initially
525 associated with hydrochar generation would be allocated in liquid product. Results indicate MAHTC
526 as an environmental-friendly **treatment** for fuel production from biomass waste comparing to
527 conventional **hydrothermal carbonization** in the case of **Climate change** impact for both scenarios.
528 Environmental burdens associated with electricity generated from hydrochar combustion are mainly
529 attributed liquid phase treatment and fuel use from MAHTC treatment process and gaseous
530 emission from hydrochar combustions. Comparing with other electricity sources in China, hydrochar
531 combustion could result in environmental savings when substitutes traditional coal and average
532 electricity generation. It is important to note, however, using hydrochar for fuel is not as competitive
533 as biomass and natural gas.

534 LCA Results from this study has successfully established linkage between the environmental
535 performance and the process parameters from MAHTC treatment when hydrochar is used for fuel
536 production. As suggested by sensitivity analysis, carbon content and hydrochar yield have
537 neglectable influences on environmental performance that have been overlooked previously. Both
538 hydrochar properties and environmental performance can be optimized with high **energy retention**
539 **efficiency** indicator which can be effectively improved with assistance of microwave heating. MAHTC
540 is suggested as an environmentally friendly way for fuel production from biomass waste like
541 sugarcane bagasse to substitute traditional coal. It is recommended that multistep treatment for
542 recovery of valuable organic chemicals from liquid phase and mixed heating method are favourable
543 to reduce associated environmental impacts for future optimizations of **hydrothermal carbonization**
544 **process**.

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551

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